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Interventions with Music in Pectus Excavatum Treatment (IMPECT trial): A study protocol for a randomized controlled trial investigating the clinical effects of perioperative music interventions

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2019-036380
Article Type:	Protocol
Date Submitted by the Author:	13-Dec-2019
Complete List of Authors:	Billar, Ryan; Erasmus MC Sophia Children Hospital, Pediatric Surgery Kühlmann, Rosalie; Saint Antonius Hospital, Anaesthesiology Schnater, Marco; Erasmus MC Sophia Children Hospital, Paediatric Surgery Vlot, John; Erasmus MC Sophia Children Hospital, Paediatric Surgery Tomas, Jeremy; Erasmus MC Sophia Children Hospital, Anaesthesiology Zijp, Gerda; Haga Hospital Juliana Childrens Hospital, Paediatric Surgery Rad, Mandana; Haga Hospital Juliana Childrens Hospital, Anaesthesiology de Beer, Sjoerd; Emma Childrens Hospital AMC, Paediatric Surgery Stevens, Markus; Emma Childrens Hospital AMC, Anaesthesiology Poley, Marten; Erasmus University Rotterdam, Institute for Medical Technology Assessment; Erasmus MC Sophia Children Hospital, van Rosmalen, Joost; Erasmus MC, Biostatistics Jeekel, Johannes; Erasmus MC, Wijnen, Rene; Erasmus MC Sophia Children Hospital, Paediatric Surgery
Keywords:	Paediatric thoracic surgery < PAEDIATRIC SURGERY, Paediatric anaesthesia < PAEDIATRICS, PAIN MANAGEMENT

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3 **Interventions with Music in Pectus Excavatum Treatment (IMPECT trial): A study**
4 **protocol for a randomized controlled trial investigating the clinical effects of**
5 **perioperative music interventions**
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Abstract

Introduction: Pectus excavatum repair is associated with substantial postoperative pain, despite the use of epidural analgesia and other analgesic regimens. Perioperative recorded music interventions have been shown to alleviate pain and anxiety in adults, but evidence for children and adolescents is still lacking. This study protocol describes a randomized controlled trial that evaluates effects of recorded music interventions on postoperative pain relief in children and adolescents after pectus excavatum repair.

Methods:

A multicentre, randomized controlled trial was set up comparing the effects of perioperative recorded music interventions in addition to standard care with those of standard care only in patients undergoing a Nuss-procedure for pectus excavatum repair. One hundred and seventy subjects (12-18 years of age) will be included in three centres in the Netherlands. Patient inclusion has started in November 2018, and is ongoing. The primary outcome is self-reported perceived pain measured on the Visual Analogue Scale. Secondary outcomes are anxiety level, analgesics consumption, vital parameters such as heart rate, blood pressure and respiratory rate, length of hospital stay, postoperative complications, quality of life, and cost-effectiveness.

Ethics and dissemination

This study is being conducted in accordance with the Declaration of Helsinki. The medical ethics review board of Erasmus University Medical Centre Rotterdam, the Netherlands, has approved this protocol. Results will be disseminated via peer-reviewed scientific journals and conference presentations.

Trial registration number NL6863

Strengths and limitations

- The IMPECT trial is the first multicentre randomized controlled trial evaluating the effects of recorded music interventions on pain experience in older children before, during and after pectus excavatum repair with the Nuss-procedure.
- Data will be collected during hospitalization and up until 3 months postoperatively to shed light on the effect of perioperative recorded music interventions during hospitalization and after hospitalization evaluating both short-term and potentially long-term effects.
- The study participants and participating surgeons are not blinded to the interventions, which is a limitation; however, the anaesthesiologists and pain specialists will be blinded to the study arm allocation.

Introduction

Pectus excavatum (PE) is the most common congenital chest wall deformity affecting 0.1-0.8% of live births, affecting boys more than girls. Operative repair is indicated when symptoms or signs of heart and/or lung dysfunction are present,(1) or when the patient is much concerned about the cosmetic appearance and psychosocial problems occur.(2, 3) The optimal age for repair is between 12 and 16 years.(4) Numerous surgical techniques have been developed to correct PE, of which the Nuss-procedure is now among the most commonly employed techniques. (5, 6) The Nuss-procedure involves inserting a convex steel bar beneath the sternum, to reposition the sternum anteriorly and thereby effectively correcting the deformity.(7) It is associated, however, with substantial postoperative pain, despite the use of epidural analgesia or patient-controlled intravenous opioid administration.(8, 9) Pain management is the critical component of postoperative care as postoperative pain has implications for activity and quality of life (10) and is the primary factor determining the length of hospital stay.(11) Therefore, interest is growing in finding new ways to alleviate postoperative pain, such as perioperative music interventions. In previous studies in adult surgical patients, recorded music interventions reduce pain medication consumption and improve the management of pain and anxiety.(12-20) However in children and adolescents undergoing surgery, a definite conclusion about the effect of recorded music interventions has yet to be drawn.(21) Especially in paediatric surgical procedures associated with substantial postoperative pain, such as the Nuss-procedure, music

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3 interventions might be effective in reducing children's pain and anxiety. We designed a
4 multicentre, randomized controlled trial (IMPECT trial) to evaluate whether adjuvant
5 recorded music interventions are indeed associated with less postoperative pain in
6 children and adolescents undergoing the Nuss-procedure for PE repair.
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10 11 **Methods and analysis**

12 13 **Study design**

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15 The IMPECT trial is a randomized controlled trial with two study arms, designed to
16 compare the effects on postoperative pain of perioperative recorded music
17 interventions in addition to standard care (intervention group) versus standard care
18 (control group) – prior, during and after the Nuss-procedure for PE repair. We will
19 include one hundred and seventy subjects children and adolescents (12-18 year of age)
20 operated on in three centres in the Netherlands: the Erasmus University Medical Centre-
21 Sophia Children's Hospital, Rotterdam; Haga Hospital-Juliana Children's Hospital, The
22 Hague; Academic Medical Centre-Emma Children's Hospital, Amsterdam. Patient
23 inclusion has started in November 2018, and is ongoing.

24
25 This study protocol follows the Standard Protocol Items: Recommendations for
26 Interventional Trials (SPIRIT) guidelines (see SPIRIT checklist in online supplemental
27 files). The underlying protocol follows the Consolidated Standards of Reporting Trials
28 (CONSORT) guidelines for non-pharmacological treatments. This trial was registered on
29 trialregister.nl (NL6863).
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43 **Randomization, blinding and treatment allocation**

44 A parallel randomization with equal allocation ratio is being carried out to individually
45 allocate subjects to either the intervention or the control group. An online web-based
46 randomization program (ALEA; FormVision, Abcoude, the Netherlands) generates the
47 random allocation sequence by the use of random block size randomization and is
48 stratified by centre with an equal allocation-ratio per centre in both study arms.
49 Allocation concealment will be ensured, as the service will not release the
50 randomization code until the patient has been recruited into the trial. The
51 anaesthesiologists and pain specialists involved do not have access to the randomization
52 program and are blinded to the subject's study arm allocation, as well as the person
53 analysing the data.
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Interventions

Subjects in the intervention arm will receive a recorded music intervention prior to and during surgery and postoperatively the first three days (see figure 1). The music intervention prior to surgery is 30 minutes long and takes place before the administration of premedication. After induction of general anaesthesia and after final positioning of the patient a headphone with music is applied and will remain during surgery. The headphones will be removed at the recovery unit, when patients are fully awake. The music interventions after surgery are each 30 minutes long and will take place twice a day, in the morning and evening. In each hospital, the best times to start the intervention will be established to assure blinding of both the anaesthesiologists and pain specialists. Subjects in the control arm will rest for 30 minutes prior to surgery and the administration of premedication, and wear a headphone without music during surgery. After surgery, they will receive regular postoperative care without music interventions. Subjects in the control group are instructed to refrain from much listening to music during the hospital stay. The subjects in both control group and intervention group are requested to self-document all activities performed, such as listening to music, playing video games, using the computer and watching movies and television. Participation ends at the scheduled postoperative check up at the outpatient clinic (see figure 1). All study measurements will take place during hospitalization and at the outpatient clinic. No extra visits to the hospital are required.

Music selection

It has been suggested that individual music preference is important to the effect of a music intervention.⁽²²⁾ Nevertheless, a study has shown that playing music from a preselected playlist by the researcher has the largest beneficial effect on postoperative pain, compared to the subject's own favourite music or preselected music without taking the music preference of the subject into account.⁽¹⁹⁾ However, definite conclusions in this regard cannot be drawn. Furthermore, research in rodents suggests that loud rock music may have a negative effect and may act as a stressor.⁽²³⁾ Therefore, in collaboration with a specialized music therapist we have composed three music playlists without loud rock music, which the subject can choose from. The playlists are categorized into three different genres of music: pop, lounge, and classical music. Subjects can choose from either of these playlists. Subjects may choose a different

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3 playlist during surgery. Music will be heard through an on-ear headphone connected to
4 a digital music player. After surgery, subjects in the intervention group may listen to
5 their own preferred music. Approval from Buma/Stemra, the Dutch collecting society
6 for composers and music publishers, has been received to use any licensed music.
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10 11 12 **Anaesthetic treatment**

13 There is no nationwide standard anaesthesia protocol for the Nuss-procedure in the
14 Netherlands. Therefore anaesthesia protocols differ between centres. Randomization
15 should control for such variation between centres. However it will be analysed
16 statistically.
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19 All centres apply EMLA cream® at the intravenous line insertion site. Furthermore, all
20 patients receive epidural analgesia, which are preferably placed at 5th thoracic level. All
21 centres used long-acting local anaesthetics with an adjuvant epidurally. General
22 anaesthesia was induced and maintained by propofol combined with opioids and
23 neuromuscular relaxation induced by rocuronium. Postoperative analgesia was
24 maintained with a continuous epidural infusion of a long-acting local aesthetic
25 (ropivacaine 0.2% or bupivacaine 0.125%) with an adjuvant. All patients received
26 weight-based doses of paracetamol and an NSAID postoperatively. After epidural
27 removal pain was treated by oral opioids as required.
28
29

30 However, there are some major differences between hospitals: The Emma Children's
31 hospital gives standard premedication with clonidine 150 microgr and 300 mg
32 gabapentine, while the other two hospitals do not give any pharmacological
33 premedication. Furthermore, in Emma Children's hospital patients receive gabapentin
34 300 mg twice daily for 5 days and receive patient-controlled analgesia with morphine in
35 addition to the epidural catheters. Finally, while Juliana and Sophia Children's hospitals
36 use sufentanil 0.5 microgr/ml as an epidural adjuvant, Emma Children's hospital uses
37 clonidine 1 microgr/ml.
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52 **Outcome parameters**

53 The primary outcome parameter is pain, defined as the average pain score, as measured
54 by a Visual Analogue Scale (VAS-pain), that patients will report at the third day
55 postoperatively. The scale of the VAS-pain varies from 0 to 100, whereas 0 is defined as
56 no pain and 100 as the worst pain imaginable. This scale has been recommended and
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3 validated for the measurement of acute pain in children 8 years of age and above and is
4 also sensitive to changes in pain levels postoperatively. (24-26)
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8 Secondary outcome parameters include:
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- 10 ▪ The morphine consumption in the first three days postoperative as calculated by
11 the Morphine Equivalent Dose Daily/ kilogram (MEDD/kg) and the consumption
12 of other analgesics in milligrams.
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- 14 ▪ Physiological variables such as heart rate, blood pressure and respiratory rate
15 will be measured throughout their hospital stay.
16
- 17 ▪ Levels of anxiety and distress will be measured before surgery through the State-
18 Trait-Anxiety Inventory for children. This questionnaire consists of two separate,
19 20-item, self-report rating scales for measuring trait and state anxiety. The trait
20 anxiety is a relatively stable personality disposition, while state anxiety is the
21 situation-related anxiety and this may differ depending on the stress of a
22 particular moment. (27) The questionnaire has been translated into Dutch and
23 has been validated. (28)
24
- 25 ▪ Quality of life will be measured before surgery and at their first check-up at the
26 outpatient clinic through the Child Health Utility Questionnaire (CHU9D). This
27 validated questionnaire consists of 9 items that assess the child's functioning
28 across domains of worry, sadness, pain, tiredness, annoyance,
29 schoolwork/homework, sleep, problems with daily routine, and ability to join in
30 activities. (29-31)
31
- 32 ▪ Postoperative complications and length of hospital stay are recorded.
33
- 34 ▪ The subject's post-procedural pain after three months will be evaluated with the
35 VAS, the CHU9D and the 'TNO questionnaire for sport and physical activity'. This
36 validated Dutch questionnaire assessed a person's daily activities. (32) This
37 questionnaire serves to measure rehabilitation as a derivative of the post-
38 procedural pain. Baseline measurements for the 'TNO questionnaire for sport
39 and physical activity' will also be performed before surgery.
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- 41 ▪ Considering the potential influence of pain and the use of analgesics on length of
42 stay, cost-effectiveness of the intervention will be determined through a cost-
43 utility analysis.
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Eligibility criteria

Potential subjects visiting the outpatient clinic of the three paediatric surgery departments involved will be informed about our study. A member of the research team undertakes the initial screening for eligibility. The following inclusion criteria apply:

- Age 12 – 18 years
- Scheduled for primary PE repair according to the Nuss-procedure with either one or multiple bars
- Postoperatively, initial placement of a thoracic epidural or both thoracic epidural and patient-controlled analgesia system
- Good knowledge of the Dutch language, by both patients and parents
- Written informed consent. Additional written informed consent by parents or legal guardian is only necessary for children under the age of 16 years.

The following exclusion criteria apply:

- Hearing impairment
- Secondary PE surgery or other prior thoracic surgery
- Known severe mental or psychiatric disorder
- Known impaired communication with patient and parents as collected
- Presence of chronic pain syndrome: ongoing pain lasting longer than 3 months or ongoing pain lasting longer than the reasonably expected healing time for the involved tissues)

One week after being informed about the study, eligible subjects will be called by telephone to inquire if they wish to participate.

Sample size

A power calculation was performed by department of Biostatistics of the Erasmus Medical Centre for the primary outcome parameter: pain, defined as the average pain score, as measured by a Visual Analogue Scale (VAS), that patients will report at the third day postoperatively. Evidence on the effects of recorded music interventions prior, during and after surgery in PE repair is lacking. However, a recent meta-analysis, which investigated music interventions on pain in surgical patients, found an overall effect size measured as the Cohen's delta of -0.50 (CI -0.66;-0.34).(19)

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3 We assumed a low correlation between the VAS score preoperatively and
4 postoperatively of 0.3. Thus, to obtain a power of 90% using a two-sided significance
5 level of $p < 0.05$, each study arm requires 77 subjects. To account for dropouts, we will
6 include 85 subjects per study arm, resulting in a total sample size of 170.
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10 11 **Statistical analysis**

12 The main study endpoint will be the VAS-pain score reported by the subject during the
13 length of hospital stay, three times a day. The mean VAS-pain score of each day will be
14 calculated per subject. The mean VAS-pain scores between the music and control group
15 on day 3 will be compared with an ANCOVA test, with adjustment for the effects of
16 centre and baseline VAS-pain score. The main analysis will be based on the intention-to-
17 treat principle. In case of non-compliance, a sensitivity analysis will be performed using
18 per-protocol analyses. A two sided p-value of < 0.05 will be considered to be statistically
19 significant. For the primary outcome parameter, only the available data will be analysed
20 (no imputation of missing data).
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29 In a sensitivity analysis, we will also adjust for possible confounder variables in the
30 linear regression model for the following variables: age, gender, Body Mass Index, and
31 epidural use. Finally, we will also perform a second sensitivity analysis to determine if
32 the effectiveness of the intervention depends on the type of music chosen, by adding
33 these genres as categories to the linear regression model.
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38 The VAS score of each time point will be analysed using a linear
39 mixed model, with the baseline value (observed before surgery), group (control arm or
40 intervention arm), centre, and time point, and the interaction between group and time
41 point as independent variables. Total consumption of analgesics and type of analgesics
42 in milligrams will be added to the analyses. Also an interaction effect of centre and
43 group will be examined, due to variation in anaesthesia protocols in the participating
44 centres. Using information criteria, it will be determined if it is necessary to add a
45 random intercept and/or random slope of time point to this model, to account for the
46 within-subject correlations. If required, a transformation of the outcome will be applied
47 to ensure normality of the model residuals.
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57 The secondary outcome parameters will be analysed as follows.
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- Morphine equivalence daily dose/kilogram (MEDD/kg) and total dosage of other analgesia:

There may be differences between centres in usage of patient controlled analgesia and epidural anaesthesia. Therefore, the difference between the intervention group and the control group will be tested using multiple linear regression, with adjustment for the effects of centre. When necessary, an appropriate transformation of the outcome (MEDD/kg) or total dosage of other analgesia in milligrams will be performed to achieve a normal distribution of the residuals.

- Score on State-Trait-Anxiety-Inventory questionnaire (STAI) and Health related quality of life (HRQoL):

The scores of the STAI and HRQoL questionnaires will be compared between groups using analysis of covariance, with group, centre, and the baseline STAI score and HRQoL score before the intervention or the resting period as independent variables.

- Physiologic measurements, including blood pressure, heart rate and respiratory rate:

These variables will be analysed using a linear mixed model, with the baseline value (observed before surgery), group (control arm or intervention arm), centre, time point, and the interaction between group and time point as independent variables.

Using information criteria, it will be determined if it is necessary to add to a random intercept and/or random slope of time point to this model, to account for the within subject correlations. If necessary, a transformation of the outcome will be applied to ensure normality of the model residuals.

- Complications, like post-operative ileus (*n* of days), nausea/vomiting (*n* of days and also anti-emetics used), pruritus:

The duration of post-operative ileus, nausea, and vomiting will be compared between groups using a Mann-Whitney test, stratified by centre (i.e. a Van Elteren test). The percentage of patients with pruritus will be compared between groups using a stratified chi-square test.

- Length of hospital stay (*n* of days):

The length of hospital stay will be compared between groups using a Mann-Whitney test, stratified by centre (i.e. a Van Elteren test).

Economic evaluation

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3 We will analyse the cost-effectiveness of the music intervention versus 'standard care'
4 from a health care perspective, using the techniques of cost-effectiveness analysis and
5 cost-utility analysis and following recommended methods for economic evaluations.
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8 (33)
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11 Medical costs (i.e., costs within the health care sector) will be analysed, including costs
12 of surgeries, hospital days (on the ward or ICU), medications (such as analgesics),
13 diagnostic radiography, and intercollegiate consultations. For the intervention group,
14 costs of the music intervention will be added, mainly consisting of a Spotify™
15 subscription. In addition, costs of health care use after the initial hospitalization will be
16 calculated (e.g., outpatient visits, consultations by telephone, (pain) medication, and
17 rehabilitation). Resource consumption for all these items will be derived from electronic
18 databases at the participating centres and from a questionnaire (based on the iMTA
19 Medical Consumption Questionnaire). (34) Unit prices (calculated using economic cost
20 prices or standard prices) will be multiplied by the quantities for each resource used,
21 and then summed over the separate types of resource to give a total cost per patient.
22 Non-medical costs (e.g., out-of-pocket costs and costs of productivity losses incurred by
23 the parents) will be ignored in this study, as these are expected to be relatively minor
24 and not to differ notably between the study groups.
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38 Regarding the patient outcomes, the economic evaluation will look at pain (as measured
39 by the VAS) and HRQoL measured by the CHU9D. The CHU9D is a preference-based
40 measure of HRQoL allowing for the calculation of QALYs, which is a commonly used
41 health outcome measure to calculate the benefits of new interventions within cost-
42 utility analyses for economic evaluation. QALYs will be calculated based on the CHU9D
43 and using linear interpolation between measurement points.
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50 Building on these data on costs and patient outcomes, incremental cost-effectiveness
51 ratios (ICERs) will be calculated, expressed as incremental costs to obtain a reduction of
52 1 additional unit (10 mm or 1 cm) in the VAS score and as incremental costs per QALY
53 gained. Otherwise, the economic evaluation will focus on dominance of one treatment
54 over the other with respect to lower cost and greater effect. The time horizon of the
55 analysis will be the 3-months follow-up period (starting at the beginning of the hospital
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3 admission for the PE repair). As a consequence, discounting will not be necessary.
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5 Analysis of uncertainty is illustrated through cost-effectiveness planes (via
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7 bootstrapping). Sensitivity analysis will be performed to assess the robustness of the
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9 analysis to certain assumptions.
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11 **Trial monitoring**

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13 An independent trial monitor has been appointed to oversee all aspects of design,
14
15 delivery, quality assurance and data analysis. The trial will be monitored at least once
16
17 per year.
18

19 **Data management**

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21 Participant data are stored on a secure database in accordance with the General Data
22
23 Protection Regulations (2018). Data are handled confidentially, de-identified and coded
24
25 with a unique study number. Published data from this study cannot be traced to a
26
27 specific subject. Data management for the study was done through OpenClinica and
28
29 LimeSurvey. Study staff assigned to manage data has access to the OpenClinica and
30
31 LimeSurvey application and is required to login via an individualized username and
32
33 password combination. Study staff located at other institutions only has access to the
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35 data collected at their sites. The local investigators will safeguard the key that links the
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37 unique study number to the patients name at a separate server.

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39 Trial documentation and data will be archived for at least 10 years after completion of
40
41 the trial.
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43 **Patient and public involvement statement**

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45 Patients undergoing PE repair prior to the start of this study evaluated and helped us
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47 composing our preselected music playlists.
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Ethics and dissemination

Ethics

This study protocol has been reviewed and approved by the medical ethics review board at the Erasmus Medical Centre, in Rotterdam on 5 September 2018. This study is being conducted according to the principles of the Declaration of Helsinki (64th WMA General Assembly, Fortaleza, Brazil, October 2013) and in accordance with the Medical Research Involving Human Subject Act (Dutch: WMO). The trial is registered with the Netherlands Trial Register NL6863. To prohibit playing music on the operating room and testing the epidural sensory block daily were approved and implemented as a minor amendment on 9 October 2019.

Benefits and risks assessment, group relatedness

There are no risks associated with listening to music, except potential hearing damage. To prevent hearing damage, the music administered on the headphones will be adjusted to a maximum of 60 dB, which is the advised loudness of a music intervention in medical care.⁽³⁵⁾ The maximum dB advised to be exposed to for forty hours a week is 80 dB. ⁽³⁶⁾ Therefore, risk of participation can be considered negligible and the burden minimal. During the informed consent process, it will be made clear that participation in this study has no direct benefits to the patient, and that refusal to participate will not have impact on the care received by any of the medical staff. PE is preferably corrected at age 12-18. This study therefore cannot be conducted without the participation of this group.

Music intervention itself is considered harmless and safe. Therefore, we expect no intervention-related serious adverse events or any other disadvantages for participants in this study.

Dissemination

The research team is committed to full disclosure of the results of the trial. Findings will be reported in accordance with CONSORT guidelines and we aim to publish in high impact journals. Given the multitude of outcome parameters, results will be divided over several papers. The funder will take no role in the analysis or interpretation of trial results.

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3 **Authors' contribution:** Each author has contributed significantly to, and is willing to
4 take public responsibility for, one or more aspects of the study. All authors have seen
5 and approved the final version of the manuscript being submitted. The article is the
6 authors' original work, has not received prior publication and is not under consideration
7 for publication elsewhere.
8
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11
12 **Conflicts of interest:** none declared.

13
14 **Funding:** This research is funded by the Erasmus Medical Centre, Rotterdam, the
15 Netherlands.
16

17 **Patient consent:** Not required

18
19 **Ethical approval:** The study protocol has received ethical approval by the medical
20 ethical review committee at the Erasmus Medical Centre, in Rotterdam prior to the
21 beginning of the study.
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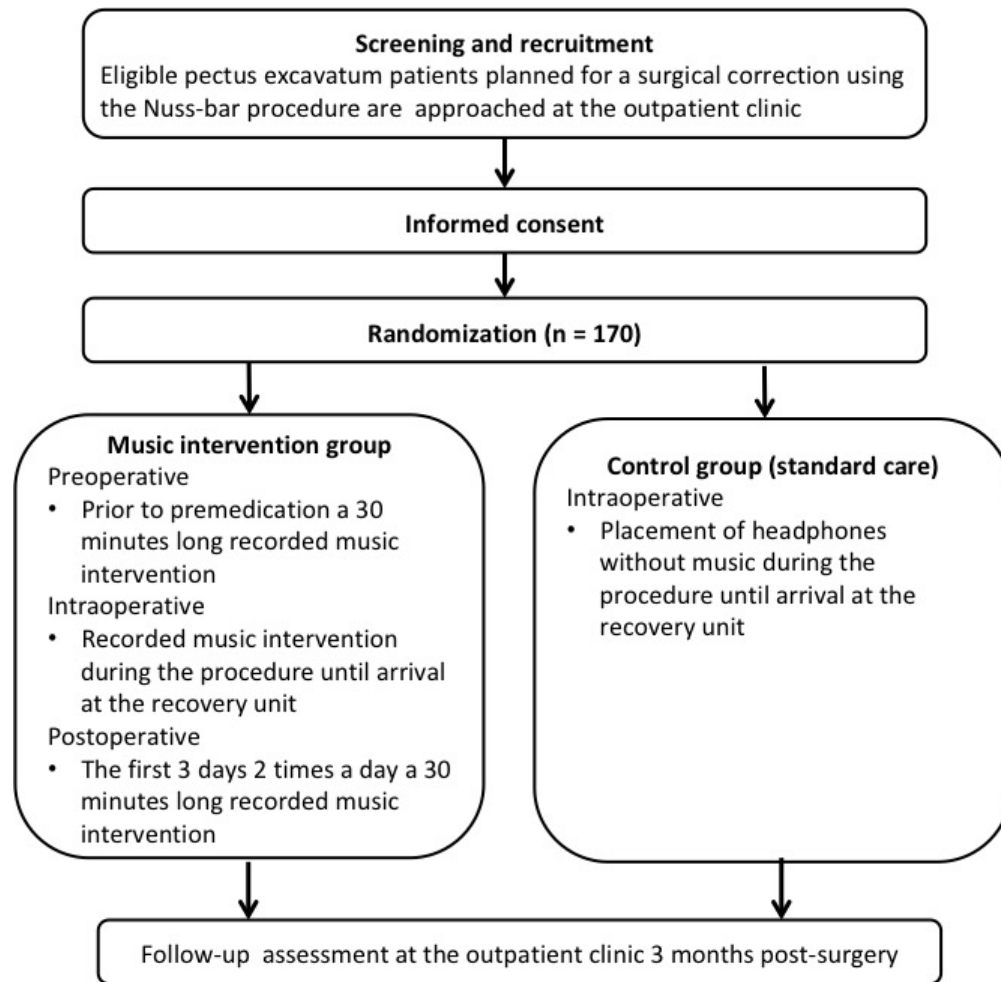
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3 **Figure legends**
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5 Figure 1 Flow chart of study interventions and assessments
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Figure 1 Flowchart of study interventions and assessments

Figure 1 Flowchart of study interventions and assessments.

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SPIRIT 2013 Checklist for the IMPECT trial (Interventions with Music in Pectus Excavatum Treatment): Recommended items to address in a clinical trial protocol and related documents*

Section/item	Item No	Description	Addressed on page number in original protocol
Administrative information			
Title	1	Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym	1
Trial registration	2a	Trial identifier and registry name. If not yet registered, name of intended registry	41
	2b	All items from the World Health Organization Trial Registration Data Set	Yes, www.trialregister.nl
Protocol version	3	Date and version identifier	1
Funding	4	Sources and types of financial, material, and other support	5
Roles and responsibilities	5a	Names, affiliations, and roles of protocol contributors	2, 3, 4
	5b	Name and contact information for the trial sponsor	5
	5c	Role of study sponsor and funders, if any, in study design; collection, management, analysis, and interpretation of data; writing of the report; and the decision to submit the report for publication, including whether they will have ultimate authority over any of these activities	33, 40, 41

1		5d	Composition, roles, and responsibilities of the coordinating centre, steering committee, endpoint adjudication committee, data management team, and other individuals or groups overseeing the trial, if applicable (see Item 21a for data monitoring committee)	40
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9	Introduction			
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11	Background and	6a	Description of research question and justification for undertaking the trial, including summary of relevant studies (published and unpublished) examining benefits and harms for each intervention	12, 13, 14
12	rationale			
13				
14		6b	Explanation for choice of comparators	13, 15, 18
15				
16	Objectives	7	Specific objectives or hypotheses	14
17				
18	Trial design	8	Description of trial design including type of trial (eg, parallel group, crossover, factorial, single group), allocation ratio, and framework (eg, superiority, equivalence, noninferiority, exploratory)	15
19				
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21				
22	Methods: Participants, interventions, and outcomes			
23				
24	Study setting	9	Description of study settings (eg, community clinic, academic hospital) and list of countries where data will be collected. Reference to where list of study sites can be obtained	15, 18, 19
25				
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27	Eligibility criteria	10	Inclusion and exclusion criteria for participants. If applicable, eligibility criteria for study centres and individuals who will perform the interventions (eg, surgeons, psychotherapists)	16, 17
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30	Interventions	11a	Interventions for each group with sufficient detail to allow replication, including how and when they will be administered	18, 19
31				
32		11b	Criteria for discontinuing or modifying allocated interventions for a given trial participant (eg, drug dose change in response to harms, participant request, or improving/worsening disease)	30, 31
33				
34		11c	Strategies to improve adherence to intervention protocols, and any procedures for monitoring adherence (eg, drug tablet return, laboratory tests)	18, 19
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37		11d	Relevant concomitant care and interventions that are permitted or prohibited during the trial	18, 19
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1	Outcomes	12	Primary, secondary, and other outcomes, including the specific measurement variable (eg, systolic blood pressure), analysis metric (eg, change from baseline, final value, time to event), method of aggregation (eg, median, proportion), and time point for each outcome. Explanation of the clinical relevance of chosen efficacy and harm outcomes is strongly recommended	23, 24, 25, 26, 27, 28, 29, 30 and Table 1
6	Participant timeline	13	Time schedule of enrolment, interventions (including any run-ins and washouts), assessments, and visits for participants. A schematic diagram is highly recommended (see Figure)	24, 25, 26, 27, 28, 29, 30, Table 1
10	Sample size	14	Estimated number of participants needed to achieve study objectives and how it was determined, including clinical and statistical assumptions supporting any sample size calculations	17
13	Recruitment	15	Strategies for achieving adequate participant enrolment to reach target sample size	18

Methods: Assignment of interventions (for controlled trials)

Allocation:

19	Sequence generation	16a	Method of generating the allocation sequence (eg, computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that is unavailable to those who enrol participants or assign interventions	24
25	Allocation concealment mechanism	16b	Mechanism of implementing the allocation sequence (eg, central telephone; sequentially numbered, opaque, sealed envelopes), describing any steps to conceal the sequence until interventions are assigned	24
30	Implementation	16c	Who will generate the allocation sequence, who will enrol participants, and who will assign participants to interventions	24
33	Blinding (masking)	17a	Who will be blinded after assignment to interventions (eg, trial participants, care providers, outcome assessors, data analysts), and how	19, 24
36		17b	If blinded, circumstances under which unblinding is permissible, and procedure for revealing a participant's allocated intervention during the trial	NA

Methods: Data collection, management, and analysis

1	Data collection	18a	Plans for assessment and collection of outcome, baseline, and other trial data, including any related	18, 19, 24, 25, 26,
2	methods		processes to promote data quality (eg, duplicate measurements, training of assessors) and a description of	27, 28, 29, 30 and
3			study instruments (eg, questionnaires, laboratory tests) along with their reliability and validity, if known.	Table 1
4			Reference to where data collection forms can be found, if not in the protocol	
5				
6		18b	Plans to promote participant retention and complete follow-up, including list of any outcome data to be	19, 30, 31
7			collected for participants who discontinue or deviate from intervention protocols	
8				
9	Data management	19	Plans for data entry, coding, security, and storage, including any related processes to promote data quality	40
10			(eg, double data entry; range checks for data values). Reference to where details of data management	
11			procedures can be found, if not in the protocol	
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14	Statistical methods	20a	Statistical methods for analysing primary and secondary outcomes. Reference to where other details of the	34, 35, 36, 37
15			statistical analysis plan can be found, if not in the protocol	
16				
17		20b	Methods for any additional analyses (eg, subgroup and adjusted analyses)	34, 35, 36
18				
19		20c	Definition of analysis population relating to protocol non-adherence (eg, as randomised analysis), and any	15
20			statistical methods to handle missing data (eg, multiple imputation)	
21				
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23	Methods: Monitoring			
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25	Data monitoring	21a	Composition of data monitoring committee (DMC); summary of its role and reporting structure; statement of	17, 18
26			whether it is independent from the sponsor and competing interests; and reference to where further details	
27			about its charter can be found, if not in the protocol. Alternatively, an explanation of why a DMC is not	
28			needed	
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31		21b	Description of any interim analyses and stopping guidelines, including who will have access to these interim	NA
32			results and make the final decision to terminate the trial	
33				
34	Harms	22	Plans for collecting, assessing, reporting, and managing solicited and spontaneously reported adverse	32, 33, 40
35			events and other unintended effects of trial interventions or trial conduct	
36				
37	Auditing	23	Frequency and procedures for auditing trial conduct, if any, and whether the process will be independent	40
38			from investigators and the sponsor	
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Ethics and dissemination

1	Research ethics approval	24	Plans for seeking research ethics committee/institutional review board (REC/IRB) approval	Is stated in cover letter to REC as required in the Netherlands
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6	Protocol amendments	25	Plans for communicating important protocol modifications (eg, changes to eligibility criteria, outcomes, analyses) to relevant parties (eg, investigators, REC/IRBs, trial participants, trial registries, journals, regulators)	40, 41
7				
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11	Consent or assent	26a	Who will obtain informed consent or assent from potential trial participants or authorised surrogates, and how (see Item 32)	15, 38
12				
13				
14		26b	Additional consent provisions for collection and use of participant data and biological specimens in ancillary studies, if applicable	NA
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16				
17	Confidentiality	27	How personal information about potential and enrolled participants will be collected, shared, and maintained in order to protect confidentiality before, during, and after the trial	24, 40
18				
19				
20	Declaration of interests	28	Financial and other competing interests for principal investigators for the overall trial and each study site	39
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24	Access to data	29	Statement of who will have access to the final trial dataset, and disclosure of contractual agreements that limit such access for investigators	40, 41, disclosure of contractual agreements at request
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29	Ancillary and post-trial care	30	Provisions, if any, for ancillary and post-trial care, and for compensation to those who suffer harm from trial participation	39
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33	Dissemination policy	31a	Plans for investigators and sponsor to communicate trial results to participants, healthcare professionals, the public, and other relevant groups (eg, via publication, reporting in results databases, or other data sharing arrangements), including any publication restrictions	41
34				
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37		31b	Authorship eligibility guidelines and any intended use of professional writers	40, 41, In contractual agreements
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1 31c Plans, if any, for granting public access to the full protocol, participant-level dataset, and statistical code NA

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3 **Appendices**

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5 Informed consent 32 Model consent form and other related documentation given to participants and authorised surrogates Available on
6 materials request

7
8 Biological 33 Plans for collection, laboratory evaluation, and storage of biological specimens for genetic or molecular NA
9 specimens analysis in the current trial and for future use in ancillary studies, if applicable

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12 *It is strongly recommended that this checklist be read in conjunction with the SPIRIT 2013 Explanation & Elaboration for important clarification on the items.
13 Amendments to the protocol should be tracked and dated. The SPIRIT checklist is copyrighted by the SPIRIT Group under the Creative Commons
14 "[Attribution-NonCommercial-NoDerivs 3.0 Unported](#)" license.
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Data category	Information
Primary registry and trial identifying number	Dutch Trial Registry, NL6863
Date of registration in primary registry	22 February, 2018
Secondary identifying numbers	NTR7041
Source(s) of monetary or material support	Erasmus University Medical Centre
Primary sponsor	Erasmus University Medical Centre
Secondary sponsor(s)	NA
Contact for public queries	RJ Billar, MD, (phone: 0031646794742, e-mail: r.billar@erasmusmc.nl)
Contact for scientific queries	RJ Billar, MD, (phone: 0031646794742, e-mail: r.billar@erasmusmc.nl) Erasmus University Medical Centre, the Netherlands
Public title	Music interventions in operative treatment of funnel chest
Scientific title	Music interventions in pectus excavatum treatment (IMPECT trial)
Countries of recruitment	The Netherlands
Health condition(s) or problem(s) studied	Pectus Excavatum
Intervention(s)	Active comparator: recorded music interventions before, during and the first 3 days after operative repair of pectus excavatum Placebo comparator: No music
Key inclusion and exclusion criteria	Inclusion criteria ▪ Age 12 – 18 years

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- Scheduled for primary pectus excavatum repair
 - Surgery according to the Nuss-procedure
 - Repair with either one or multiple bars
 - General anaesthesia during surgery
 - Placement of thoracic epidural or both thoracic epidural and patient controlled analgesia system
 - Good knowledge of Dutch or English language by patient and parents
 - Written informed consent
- Exclusion criteria**
Hearing impairments
- Secondary pectus excavatum surgery
 - Other prior thoracic surgery
 - No thoracic epidural
 - Severe mental or psychiatric disorder
 - Impaired communication with patient and parents
 - Missing informed consent
 - Presence of chronic pain syndrome (defined as ongoing pain lasting longer than 3 months or ongoing pain lasting longer than the reasonable expected healing time for the involved tissues)

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Study type

Interventional
Allocation: randomized
Intervention model: two arms, parallel assignment
Masking: single blind (anaesthesiologists, statistician)

	Purpose: prevention
Date of first enrolment	January 2019
Target sample size	170
Recruitment status	Recruiting
Primary outcome(s)	Pain measured by the Visual Analogue scale
Key secondary outcomes	Anxiety (measured by the state-trait anxiety inventory), vital parameters, (health related) quality of life, rehabilitation, medication use, complication, patient satisfaction, length of hospital stay, costs

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BMJ Open

Interventions with Music in Pectus Excavatum Treatment (IMPECT trial): A study protocol for a randomized controlled trial investigating the clinical effects of perioperative music interventions

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2019-036380.R1
Article Type:	Protocol
Date Submitted by the Author:	16-Mar-2020
Complete List of Authors:	Billar, Ryan; Erasmus MC Sophia Children Hospital, Pediatric Surgery Kühlmann, Rosalie; Saint Antonius Hospital, Anaesthesiology Schnater, Marco; Erasmus MC Sophia Children Hospital, Paediatric Surgery Vlot, John; Erasmus MC Sophia Children Hospital, Paediatric Surgery Tomas, Jeremy; Erasmus MC Sophia Children Hospital, Anaesthesiology Zijp, Gerda; Haga Hospital Juliana Childrens Hospital, Paediatric Surgery Rad, Mandana; Haga Hospital Juliana Childrens Hospital, Anaesthesiology de Beer, Sjoerd; Emma Childrens Hospital AMC, Paediatric Surgery Stevens, Markus; Emma Childrens Hospital AMC, Anaesthesiology Poley, Marten; Erasmus University Rotterdam, Institute for Medical Technology Assessment; Erasmus MC Sophia Children Hospital, van Rosmalen, Joost; Erasmus MC, Biostatistics Jeekel, Johannes; Erasmus MC, Wijnen, Rene; Erasmus MC Sophia Children Hospital, Paediatric Surgery
Primary Subject Heading:	Surgery
Secondary Subject Heading:	Anaesthesia, Paediatrics
Keywords:	Paediatric thoracic surgery < PAEDIATRIC SURGERY, Paediatric anaesthesia < PAEDIATRICS, PAIN MANAGEMENT

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3 **Interventions with Music in PECTus excavatum treatment (IMPECT trial): A study**
4 **protocol for a randomized controlled trial investigating the clinical effects of**
5 **perioperative music interventions**
6
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Abstract

Introduction: Pectus excavatum repair is associated with substantial postoperative pain, despite the use of epidural analgesia and other analgesic regimens. Perioperative recorded music interventions have been shown to alleviate pain and anxiety in adults, but evidence for children and adolescents is still lacking. This study protocol describes a randomized controlled trial that evaluates effects of recorded music interventions on postoperative pain relief in children and adolescents after pectus excavatum repair.

Methods:

A multicentre, randomized controlled trial was set up comparing the effects of perioperative recorded music interventions in addition to standard care with those of standard care only in patients undergoing a Nuss-procedure for pectus excavatum repair. One hundred and seventy subjects (12-18 years of age) will be included in three centres in the Netherlands. Patient inclusion has started in November 2018, and is ongoing. The primary outcome is self-reported perceived pain measured on the Visual Analogue Scale. Secondary outcomes are anxiety level, analgesics consumption, vital parameters such as heart rate, blood pressure and respiratory rate, length of hospital stay, postoperative complications, quality of life, and cost-effectiveness.

Ethics and dissemination

This study is being conducted in accordance with the Declaration of Helsinki. The medical ethics review board of Erasmus University Medical Centre Rotterdam, the Netherlands, has approved this protocol. Results will be disseminated via peer-reviewed scientific journals and conference presentations.

Trial registration number in the Dutch Trial Registration NL6863

Strengths and limitations

- This study is the first multicentre randomized controlled trial evaluating the effects of recorded music interventions on pain experience in older children before, during and after pectus excavatum repair with the Nuss-procedure.
- Data will be collected during hospitalization and up until 3 months postoperatively to shed light on the effect of perioperative recorded music interventions during hospitalization and after hospitalization evaluating both short-term and potentially long-term effects.
- The study participants and participating surgeons are not blinded to the interventions, which is a limitation; however, the anaesthesiologists and pain specialists will be blinded to the study arm allocation.

Introduction

Pectus excavatum (PE) is the most common congenital chest wall deformity affecting 0.1-0.8% of live births, affecting boys more than girls. Operative repair is indicated when symptoms or signs of heart and/or lung dysfunction are present,(1) or when the patient is much concerned about the cosmetic appearance and psychosocial problems occur.(2, 3) The optimal age for repair is between 12 and 16 years.(4) Numerous surgical techniques have been developed to correct PE, of which the Nuss-procedure is now among the most commonly employed techniques. (5, 6) The Nuss-procedure involves inserting a convex steel bar beneath the sternum, to reposition the sternum anteriorly and thereby effectively correcting the deformity.(7) It is associated, however, with substantial postoperative pain, despite the use of epidural analgesia or patient-controlled intravenous opioid administration.(8, 9) Pain management is the critical component of postoperative care as postoperative pain has implications for activity and quality of life (10) and is the primary factor determining the length of hospital stay.(11) Therefore, interest is growing in finding new ways to alleviate postoperative pain, such as perioperative music interventions. In previous studies in adult surgical patients, recorded music interventions reduce pain medication consumption and improve the management of pain and anxiety.(12-20) However in children and adolescents undergoing surgery, a definite conclusion about the effect of recorded music interventions has yet to be drawn.(21) Especially in paediatric surgical procedures associated with substantial postoperative pain, such as the Nuss-procedure, music

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3 interventions might be effective in reducing children's pain and anxiety. We designed a
4 multicentre, randomized controlled trial (IMPECT trial) to evaluate whether adjuvant
5 recorded music interventions are indeed associated with less postoperative pain in
6 children and adolescents undergoing the Nuss-procedure for PE repair.
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10 11 **Methods and analysis**

12 13 **Study design**

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15 The IMPECT trial is a randomized controlled trial with two study arms, designed to
16 compare the effects on postoperative pain of perioperative recorded music
17 interventions in addition to standard care (intervention group) versus standard care
18 (control group) – prior, during and after the Nuss-procedure for PE repair. We will
19 include one hundred and seventy subjects children and adolescents (12-18 year of age)
20 operated on in three centres in the Netherlands: the Erasmus University Medical Centre-
21 Sophia Children's Hospital, Rotterdam; Haga Hospital-Juliana Children's Hospital, The
22 Hague; Academic Medical Centre-Emma Children's Hospital, Amsterdam. We started
23 enrolment in November 2018. The first patient included was in January 2019.

24
25 This study protocol follows the Standard Protocol Items: Recommendations for
26 Interventional Trials (SPIRIT) guidelines (see SPIRIT checklist in online supplemental
27 files). The underlying protocol follows the Consolidated Standards of Reporting Trials
28 (CONSORT) guidelines for non-pharmacological treatments. This trial was registered on
29 trialregister.nl (NL6863).
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43 **Randomization, blinding and treatment allocation**

44 A parallel randomization with equal allocation ratio is being carried out to individually
45 allocate subjects to either the intervention or the control group. An online web-based
46 randomization program (ALEA; FormVision, Abcoude, the Netherlands) generates the
47 random allocation sequence by the use of random block size randomization and is
48 stratified by centre with an equal allocation-ratio per centre in both study arms.
49 Allocation concealment will be ensured, as the service will not release the
50 randomization code until the patient has been recruited into the trial. The
51 anaesthesiologists and pain specialists involved do not have access to the randomization
52 program and are blinded to the subject's study arm allocation, as well as the person
53 analysing the data.
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Interventions

Subjects in the intervention arm receive a recorded music intervention prior to and during surgery and postoperatively the first three days (see figure 1). The music intervention prior to surgery is 30 minutes long and takes place before the administration of premedication. After induction of general anaesthesia and after final positioning of the patient a headphone with music is applied and will remain during surgery. The headphones will be removed at the recovery unit, when patients are fully awake. The music interventions after surgery are each 30 minutes long and takes place twice a day, in the morning and evening. In each hospital, the best times to start the intervention will be established to assure blinding of both the anaesthesiologists and pain specialists. Subjects in the control arm rest for 30 minutes prior to surgery and the administration of premedication, and wear a headphone without music during surgery. After surgery, they receive regular postoperative care without music interventions. Subjects in the control group are instructed to refrain from much listening to music during the hospital stay. The subjects in both control group and intervention group are requested to self-document all activities performed, such as listening to music, playing video games, using the computer and watching movies and television. Participation ends at the scheduled postoperative check up at the outpatient clinic (see figure 1). All study measurements take place during hospitalization and at the outpatient clinic. No extra visits to the hospital are required.

Music selection

It has been suggested that individual music preference is important to the effect of a music intervention.⁽²²⁾ Nevertheless, a study has shown that playing music from a preselected playlist by the researcher has the largest beneficial effect on postoperative pain, compared to the subject's own favourite music or preselected music without taking the music preference of the subject into account.⁽¹⁹⁾ However, definite conclusions in this regard cannot be drawn. Furthermore, research in rodents suggests that loud rock music may have a negative effect and may act as a stressor.⁽²³⁾ Therefore, in collaboration with a specialized music therapist we have composed three music playlists without loud rock music, which the subject can choose from. The playlists are categorized into three different genres of music: pop, lounge, and classical

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3 music. Subjects can choose from either of these playlists. Subjects may choose a different
4 playlist during surgery. Music will be heard through an on-ear headphone connected to
5 a digital music player. After surgery, subjects in the intervention group may listen to
6 their own preferred music. Approval from Buma/Stemra, the Dutch collecting society
7 for composers and music publishers, has been received to use any licensed music.
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13 **Anaesthetic treatment**

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15 There is no nationwide standard anaesthesia protocol for the Nuss-procedure in the
16 Netherlands. Therefore anaesthesia protocols differ between centres. Randomization
17 should control for such variation between centres. However it will be analysed
18 statistically.
19

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21 All centres apply EMLA cream® at the intravenous line insertion site. Furthermore, all
22 patients receive epidural analgesia, which are preferably placed at 5th thoracic level. All
23 centres used long-acting local anaesthetics with an adjuvant epidurally. General
24 anaesthesia was induced and maintained by propofol combined with opioids and
25 neuromuscular relaxation induced by rocuronium. Postoperative analgesia was
26 maintained with a continuous epidural infusion of a long-acting local aesthetic
27 (ropivacaine 0.2% or bupivacaine 0.125%) with an adjuvant. All patients received
28 weight-based doses of paracetamol and an NSAID postoperatively. After epidural
29 removal pain was treated by oral opioids as required.
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33 However, there are some major differences between hospitals: The Emma Children's
34 hospital gives standard premedication with clonidine 150 microgr and 300 mg
35 gabapentine, while the other two hospitals do not give any pharmacological
36 premedication. Furthermore, in Emma Children's hospital patients receive gabapentin
37 300 mg twice daily for 5 days and receive patient-controlled analgesia with morphine in
38 addition to the epidural catheters. Finally, while Juliana and Sophia Children's hospitals
39 use sufentanil 0.5 microgr/ml as an epidural adjuvant, Emma Children's hospital uses
40 clonidine 1 microgr/ml.
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54 **Outcome parameters**

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56 The primary outcome parameter is pain, defined as the average pain score, as measured
57 by a Visual Analogue Scale (VAS-pain), that patients will report at the third day
58 postoperatively. The scale of the VAS-pain varies from 0 to 100, whereas 0 is defined as
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3 no pain and 100 as the worst pain imaginable. This scale has been recommended and
4 validated for the measurement of acute pain in children 8 years of age and above and is
5 also sensitive to changes in pain levels postoperatively. (24-26)
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10 Secondary outcome parameters include:

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12 ■ The morphine consumption in the first three days postoperative as calculated by
13 the Morphine Equivalent Dose Daily/ kilogram (MEDD/kg) and the consumption
14 of other analgesics in milligrams.
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- 17 ■ Physiological variables such as heart rate, blood pressure and respiratory rate
18 will be measured throughout their hospital stay.
19
- 20 ■ Levels of anxiety and distress will be measured before surgery through the State-
21 Trait-Anxiety Inventory for children. This questionnaire consists of two separate,
22 20-item, self-report rating scales for measuring trait and state anxiety. The trait
23 anxiety is a relatively stable personality disposition, while state anxiety is the
24 situation-related anxiety and this may differ depending on the stress of a
25 particular moment. (27) The questionnaire has been translated into Dutch and
26 has been validated. (28)
27
- 28 ■ Quality of life will be measured before surgery and at their first check-up at the
29 outpatient clinic through the Child Health Utility Questionnaire (CHU9D). This
30 validated questionnaire consists of 9 items that assess the child's functioning
31 across domains of worry, sadness, pain, tiredness, annoyance,
32 schoolwork/homework, sleep, problems with daily routine, and ability to join in
33 activities. (29-31)
34
- 35 ■ Postoperative complications and length of hospital stay are recorded.
36
- 37 ■ The subject's post-procedural pain after three months will be evaluated with the
38 VAS, the CHU9D and the 'TNO questionnaire for sport and physical activity'. This
39 validated Dutch questionnaire assessed a person's daily activities. (32) This
40 questionnaire serves to measure rehabilitation as a derivative of the post-
41 procedural pain. Baseline measurements for the 'TNO questionnaire for sport
42 and physical activity' will also be performed before surgery.
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- 44 ■ Considering the potential influence of pain and the use of analgesics on length of
45 stay, cost-effectiveness of the intervention will be determined through a cost-
46 utility analysis.
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Eligibility criteria

Potential subjects visiting the outpatient clinic of the three paediatric surgery departments involved will be informed about our study. A member of the research team undertakes the initial screening for eligibility. The following inclusion criteria apply:

- Age 12 – 18 years
- Scheduled for primary PE repair according to the Nuss-procedure with either one or multiple bars
- Postoperatively, initial placement of a thoracic epidural or both thoracic epidural and patient-controlled analgesia system
- Good knowledge of the Dutch language, by both patients and parents
- Written informed consent. Additional written informed consent by parents or legal guardian is only necessary for children under the age of 16 years.

The following exclusion criteria apply:

- Hearing impairment
- Secondary PE surgery or other prior thoracic surgery
- Known severe mental or psychiatric disorder
- Known impaired communication with patient and parents as collected
- Presence of chronic pain syndrome: ongoing pain lasting longer than 3 months or ongoing pain lasting longer than the reasonably expected healing time for the involved tissues)

One week after being informed about the study, eligible subjects will be called by telephone to inquire if they wish to participate.

Sample size

A power calculation was performed by department of Biostatistics of the Erasmus Medical Centre for the primary outcome parameter: pain, defined as the average pain score, as measured by a Visual Analogue Scale (VAS), that patients will report at the third day postoperatively. Evidence on the effects of recorded music interventions prior, during and after surgery in PE repair is lacking. However, a recent meta-analysis, which investigated music interventions on pain in surgical patients, found an overall effect size measured as the Cohen's delta of -0.50 (CI -0.66;-0.34).(19)

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3 We assumed a low correlation between the VAS score preoperatively and
4 postoperatively of 0.3. Thus, to obtain a power of 90% using a two-sided significance
5 level of $p < 0.05$, each study arm requires 77 subjects. To account for dropouts, we will
6 include 85 subjects per study arm, resulting in a total sample size of 170.
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10 11 12 **Statistical analysis**

13 The main study endpoint will be the VAS-pain score reported by the subject during the
14 length of hospital stay, three times a day. The mean VAS-pain score of each day will be
15 calculated per subject. The mean VAS-pain scores between the music and control group
16 on the third day will be compared with an ANCOVA test, with adjustment for the effects
17 of centre and baseline VAS-pain score. The main analysis will be based on the intention-
18 to-treat principle. In case of non-compliance, a sensitivity analysis will be performed
19 using per-protocol analyses. A two sided p-value of < 0.05 will be considered to be
20 statistically significant. For the primary outcome parameter, only the available data will
21 be analysed (no imputation of missing data).
22
23

24 In a sensitivity analysis, we will also adjust for possible confounder variables in the
25 linear regression model for the following variables: age, gender, Body Mass Index, and
26 epidural use. Finally, we will also perform a second sensitivity analysis to determine if
27 the effectiveness of the intervention depends on the type of music chosen, by adding
28 these genres as categories to the linear regression model.
29
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31 The VAS score of each time point will be analysed using a linear
32 mixed model, with the baseline value (observed before surgery), group (control arm or
33 intervention arm), centre, and time point, and the interaction between group and time
34 point as independent variables. Total consumption of analgesics and type of analgesics
35 in milligrams will be added to the analyses. Also an interaction effect of centre and
36 group will be examined, due to variation in anaesthesia protocols in the participating
37 centres. Using information criteria, it will be determined if it is necessary to add a
38 random intercept and/or random slope of time point to this model, to account for the
39 within-subject correlations. If required, a transformation of the outcome will be applied
40 to ensure normality of the model residuals.
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57 The secondary outcome parameters will be analysed as follows.
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- Morphine equivalence daily dose/kilogram (MEDD/kg) and total dosage of other analgesia:

There may be differences between centres in usage of patient controlled analgesia and epidural anaesthesia. Therefore, the difference between the intervention group and the control group will be tested using multiple linear regression, with adjustment for the effects of centre. When necessary, an appropriate transformation of the outcome (MEDD/kg) or total dosage of other analgesia in milligrams will be performed to achieve a normal distribution of the residuals.

- Score on State-Trait-Anxiety-Inventory questionnaire (STAI) and Health related quality of life (HRQoL):

The scores of the STAI and HRQoL questionnaires will be compared between groups using analysis of covariance, with group, centre, and the baseline STAI score and HRQoL score before the intervention or the resting period as independent variables.

- Physiologic measurements, including blood pressure, heart rate and respiratory rate:

These variables will be analysed using a linear mixed model, with the baseline value (observed before surgery), group (control arm or intervention arm), centre, time point, and the interaction between group and time point as independent variables.

Using information criteria, it will be determined if it is necessary to add to a random intercept and/or random slope of time point to this model, to account for the within subject correlations. If necessary, a transformation of the outcome will be applied to ensure normality of the model residuals.

- Complications, like post-operative ileus (*n* of days), nausea/vomiting (*n* of days and also anti-emetics used), pruritus:

The duration of post-operative ileus, nausea, and vomiting will be compared between groups using a Mann-Whitney test, stratified by centre (i.e. a Van Elteren test). The percentage of patients with pruritus will be compared between groups using a stratified chi-square test.

- Length of hospital stay (*n* of days):

The length of hospital stay will be compared between groups using a Mann-Whitney test, stratified by centre (i.e. a Van Elteren test).

Economic evaluation

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3 We will analyse the cost-effectiveness of the music intervention versus 'standard care'
4 from a health care perspective, using the techniques of cost-effectiveness analysis and
5 cost-utility analysis and following recommended methods for economic evaluations.
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8 (33)
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11 Medical costs (i.e., costs within the health care sector) will be analysed, including costs
12 of surgeries, hospital days (on the ward or ICU), medications (such as analgesics),
13 diagnostic radiography, and intercollegiate consultations. For the intervention group,
14 costs of the music intervention will be added, mainly consisting of a Spotify™
15 subscription. In addition, costs of health care use after the initial hospitalization will be
16 calculated (e.g., outpatient visits, consultations by telephone, (pain) medication, and
17 rehabilitation). Resource consumption for all these items will be derived from electronic
18 databases at the participating centres and from a questionnaire (based on the iMTA
19 Medical Consumption Questionnaire). (34) Unit prices (calculated using economic cost
20 prices or standard prices) will be multiplied by the quantities for each resource used,
21 and then summed over the separate types of resource to give a total cost per patient.
22 Non-medical costs (e.g., out-of-pocket costs and costs of productivity losses incurred by
23 the parents) will be ignored in this study, as these are expected to be relatively minor
24 and not to differ notably between the study groups.
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38 Regarding the patient outcomes, the economic evaluation will look at pain (as measured
39 by the VAS) and HRQoL measured by the CHU9D. The CHU9D is a preference-based
40 measure of HRQoL allowing for the calculation of QALYs, which is a commonly used
41 health outcome measure to calculate the benefits of new interventions within cost-
42 utility analyses for economic evaluation. QALYs will be calculated based on the CHU9D
43 and using linear interpolation between measurement points.
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50 Building on these data on costs and patient outcomes, incremental cost-effectiveness
51 ratios (ICERs) will be calculated, expressed as incremental costs to obtain a reduction of
52 1 additional unit (10 mm or 1 cm) in the VAS score and as incremental costs per QALY
53 gained. Otherwise, the economic evaluation will focus on dominance of one treatment
54 over the other with respect to lower cost and greater effect. The time horizon of the
55 analysis will be the 3-months follow-up period (starting at the beginning of the hospital
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3 admission for the PE repair). As a consequence, discounting will not be necessary.
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5 Analysis of uncertainty is illustrated through cost-effectiveness planes (via
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7 bootstrapping). Sensitivity analysis will be performed to assess the robustness of the
8
9 analysis to certain assumptions.
10

11 **Trial monitoring**

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13 An independent trial monitor overseeing all aspects of design, delivery and quality
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15 assurance has been appointed by the sponsor, the head of the department of paediatric
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17 surgery of the Erasmus Medical Centre. The trial will be monitored at least once per year
18
19 and a written monitor report will be submitted to the sponsor after each trial-site visit
20
21 or trial-related communication.
22

23 **Data management**

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25 Participant data are stored on a secure database in accordance with the General Data
26
27 Protection Regulations (2018). Data are handled confidentially, de-identified and coded
28
29 with a unique study number. Published data from this study cannot be traced to a
30
31 specific subject. Data management for the study was done through OpenClinica and
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33 LimeSurvey. Study staff assigned to manage data has access to the OpenClinica and
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35 LimeSurvey application and is required to login via an individualized username and
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37 password combination. Study staff located at other institutions only has access to the
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39 data collected at their sites. The local investigators will safeguard the key that links the
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41 unique study number to the patients name at a separate server.

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43 Trial documentation and data will be archived for at least 10 years after completion of
44
45 the trial.
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47 **Patient and public involvement statement**

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49 Patients undergoing PE repair prior to the start of this study evaluated and helped us
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51 composing our preselected music playlists.
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Ethics and dissemination

Ethics

This study protocol has been reviewed and approved by the medical ethics review board at the Erasmus Medical Centre, in Rotterdam on 5 September 2018. This study is being conducted according to the principles of the Declaration of Helsinki (64th WMA General Assembly, Fortaleza, Brazil, October 2013) and in accordance with the Medical Research Involving Human Subject Act (Dutch: WMO). The trial is registered with the Netherlands Trial Register NL6863. To prohibit playing music on the operating room and testing the epidural sensory block daily were approved and implemented as a minor amendment on 9 October 2019.

Benefits and risks assessment, group relatedness

There are no risks associated with listening to music, except potential hearing damage. To prevent hearing damage, the music administered on the headphones will be adjusted to a maximum of 60 dB, which is the advised loudness of a music intervention in medical care.⁽³⁵⁾ The maximum dB advised to be exposed to for forty hours a week is 80 dB. ⁽³⁶⁾ Therefore, risk of participation can be considered negligible and the burden minimal. During the informed consent process, it will be made clear that participation in this study has no direct benefits to the patient, and that refusal to participate will not have impact on the care received by any of the medical staff. PE is preferably corrected at age 12-18. This study therefore cannot be conducted without the participation of this group.

All adverse events will be documented. Music intervention itself however, is considered harmless and safe. Therefore, we expect no intervention-related serious adverse events or any other disadvantages for participants in this study.

Dissemination

The research team is committed to full disclosure of the results of the trial. Findings will be reported in accordance with CONSORT guidelines and we aim to publish in high impact journals. Given the multitude of outcome parameters, results will be divided over several papers. The funder will take no role in the analysis or interpretation of trial results.

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3 **Authors' contribution:** Each author has contributed significantly to, and is willing to
4 take public responsibility for, one or more aspects of the study. JF Jeekel and RMH
5 Wijnen conceived the study idea. RJ Billar coordinated the research protocol and wrote
6 the first draft of the manuscript. RJ Billar, AYR, Kühlmann, JM Schnater, J Vlot, JJP Tomas,
7 GW Zijp, M Rad, SA de Beer, MF Stevens, MJ Poley, J van Rosmalen, JF Jeekel, and RMH
8 Wijnen critically revised the manuscript. All authors have seen and approved the final
9 version of the manuscript being submitted. The article is the authors' original work, has
10 not received prior publication and is not under consideration for publication elsewhere.

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17 **Conflicts of interest:** none declared.

18
19 **Funding:** This research is funded by the Erasmus Medical Centre, Rotterdam, the
20 Netherlands.

21
22 **Patient consent:** Not required

23
24 **Ethical approval:** The study protocol has received ethical approval by the medical
25 ethical review committee at the Erasmus Medical Centre, in Rotterdam prior to the
26 beginning of the study.
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3 **Figure legends**
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5 Figure 1 Flow chart of study interventions and assessments
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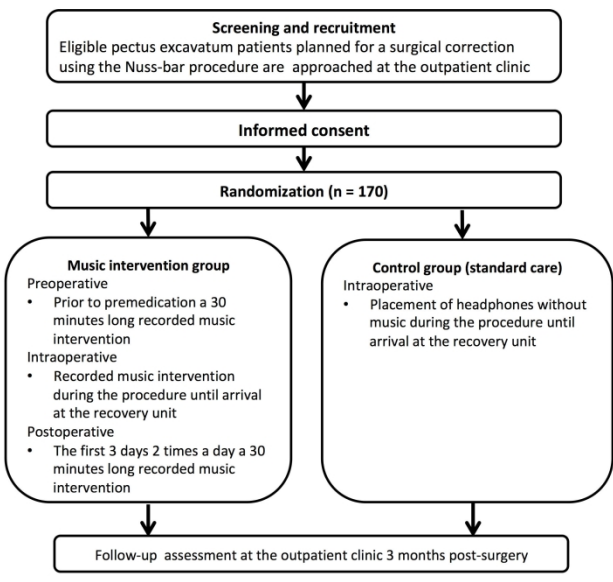


Figure 1 Flowchart of study interventions and assessments

Figure 1 Flow chart of study interventions and assessments



STANDARD PROTOCOL ITEMS: RECOMMENDATIONS FOR INTERVENTIONAL TRIALS

SPIRIT 2013 Checklist for the IMPECT trial (Interventions with Music in Pectus Excavatum Treatment): Recommended items to address in a clinical trial protocol and related documents*

Section/item	Item No	Description	Addressed on page number in original protocol
Administrative information			
Title	1	Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym	1
Trial registration	2a	Trial identifier and registry name. If not yet registered, name of intended registry	41
	2b	All items from the World Health Organization Trial Registration Data Set	Yes, www.trialregister.nl
Protocol version	3	Date and version identifier	1
Funding	4	Sources and types of financial, material, and other support	5
Roles and responsibilities	5a	Names, affiliations, and roles of protocol contributors	2, 3, 4
	5b	Name and contact information for the trial sponsor	5
	5c	Role of study sponsor and funders, if any, in study design; collection, management, analysis, and interpretation of data; writing of the report; and the decision to submit the report for publication, including whether they will have ultimate authority over any of these activities	33, 40, 41

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5d	Composition, roles, and responsibilities of the coordinating centre, steering committee, endpoint adjudication committee, data management team, and other individuals or groups overseeing the trial, if applicable (see Item 21a for data monitoring committee)	40
Introduction		
Background and rationale	6a Description of research question and justification for undertaking the trial, including summary of relevant studies (published and unpublished) examining benefits and harms for each intervention	12, 13, 14
	6b Explanation for choice of comparators	13, 15, 18
Objectives	7 Specific objectives or hypotheses	14
Trial design	8 Description of trial design including type of trial (eg, parallel group, crossover, factorial, single group), allocation ratio, and framework (eg, superiority, equivalence, noninferiority, exploratory)	15
Methods: Participants, interventions, and outcomes		
Study setting	9 Description of study settings (eg, community clinic, academic hospital) and list of countries where data will be collected. Reference to where list of study sites can be obtained	15, 18, 19
Eligibility criteria	10 Inclusion and exclusion criteria for participants. If applicable, eligibility criteria for study centres and individuals who will perform the interventions (eg, surgeons, psychotherapists)	16, 17
Interventions	11a Interventions for each group with sufficient detail to allow replication, including how and when they will be administered	18, 19
	11b Criteria for discontinuing or modifying allocated interventions for a given trial participant (eg, drug dose change in response to harms, participant request, or improving/worsening disease)	30, 31
	11c Strategies to improve adherence to intervention protocols, and any procedures for monitoring adherence (eg, drug tablet return, laboratory tests)	18, 19
	11d Relevant concomitant care and interventions that are permitted or prohibited during the trial	18, 19

1	Outcomes	12	Primary, secondary, and other outcomes, including the specific measurement variable (eg, systolic blood pressure), analysis metric (eg, change from baseline, final value, time to event), method of aggregation (eg, median, proportion), and time point for each outcome. Explanation of the clinical relevance of chosen efficacy and harm outcomes is strongly recommended	23, 24, 25, 26, 27, 28, 29, 30 and Table 1
6	Participant timeline	13	Time schedule of enrolment, interventions (including any run-ins and washouts), assessments, and visits for participants. A schematic diagram is highly recommended (see Figure)	24, 25, 26, 27, 28, 29, 30, Table 1
10	Sample size	14	Estimated number of participants needed to achieve study objectives and how it was determined, including clinical and statistical assumptions supporting any sample size calculations	17
13	Recruitment	15	Strategies for achieving adequate participant enrolment to reach target sample size	18

Methods: Assignment of interventions (for controlled trials)

Allocation:

19	Sequence generation	16a	Method of generating the allocation sequence (eg, computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that is unavailable to those who enrol participants or assign interventions	24
25	Allocation concealment mechanism	16b	Mechanism of implementing the allocation sequence (eg, central telephone; sequentially numbered, opaque, sealed envelopes), describing any steps to conceal the sequence until interventions are assigned	24
30	Implementation	16c	Who will generate the allocation sequence, who will enrol participants, and who will assign participants to interventions	24
33	Blinding (masking)	17a	Who will be blinded after assignment to interventions (eg, trial participants, care providers, outcome assessors, data analysts), and how	19, 24
36		17b	If blinded, circumstances under which unblinding is permissible, and procedure for revealing a participant's allocated intervention during the trial	NA

Methods: Data collection, management, and analysis

1	Data collection	18a	Plans for assessment and collection of outcome, baseline, and other trial data, including any related	18, 19, 24, 25, 26,
2	methods		processes to promote data quality (eg, duplicate measurements, training of assessors) and a description of	27, 28, 29, 30 and
3			study instruments (eg, questionnaires, laboratory tests) along with their reliability and validity, if known.	Table 1
4			Reference to where data collection forms can be found, if not in the protocol	
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6		18b	Plans to promote participant retention and complete follow-up, including list of any outcome data to be	19, 30, 31
7			collected for participants who discontinue or deviate from intervention protocols	
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9	Data management	19	Plans for data entry, coding, security, and storage, including any related processes to promote data quality	40
10			(eg, double data entry; range checks for data values). Reference to where details of data management	
11			procedures can be found, if not in the protocol	
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14	Statistical methods	20a	Statistical methods for analysing primary and secondary outcomes. Reference to where other details of the	34, 35, 36, 37
15			statistical analysis plan can be found, if not in the protocol	
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17		20b	Methods for any additional analyses (eg, subgroup and adjusted analyses)	34, 35, 36
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19		20c	Definition of analysis population relating to protocol non-adherence (eg, as randomised analysis), and any	15
20			statistical methods to handle missing data (eg, multiple imputation)	
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23	Methods: Monitoring			
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25	Data monitoring	21a	Composition of data monitoring committee (DMC); summary of its role and reporting structure; statement of	17, 18
26			whether it is independent from the sponsor and competing interests; and reference to where further details	
27			about its charter can be found, if not in the protocol. Alternatively, an explanation of why a DMC is not	
28			needed	
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31		21b	Description of any interim analyses and stopping guidelines, including who will have access to these interim	NA
32			results and make the final decision to terminate the trial	
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34	Harms	22	Plans for collecting, assessing, reporting, and managing solicited and spontaneously reported adverse	32, 33, 40
35			events and other unintended effects of trial interventions or trial conduct	
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37	Auditing	23	Frequency and procedures for auditing trial conduct, if any, and whether the process will be independent	40
38			from investigators and the sponsor	
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41 **Ethics and dissemination**

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1	Research ethics approval	24	Plans for seeking research ethics committee/institutional review board (REC/IRB) approval	Is stated in cover letter to REC as required in the Netherlands
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6	Protocol amendments	25	Plans for communicating important protocol modifications (eg, changes to eligibility criteria, outcomes, analyses) to relevant parties (eg, investigators, REC/IRBs, trial participants, trial registries, journals, regulators)	40, 41
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11	Consent or assent	26a	Who will obtain informed consent or assent from potential trial participants or authorised surrogates, and how (see Item 32)	15, 38
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14		26b	Additional consent provisions for collection and use of participant data and biological specimens in ancillary studies, if applicable	NA
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17	Confidentiality	27	How personal information about potential and enrolled participants will be collected, shared, and maintained in order to protect confidentiality before, during, and after the trial	24, 40
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20	Declaration of interests	28	Financial and other competing interests for principal investigators for the overall trial and each study site	39
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24	Access to data	29	Statement of who will have access to the final trial dataset, and disclosure of contractual agreements that limit such access for investigators	40, 41, disclosure of contractual agreements at request
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29	Ancillary and post-trial care	30	Provisions, if any, for ancillary and post-trial care, and for compensation to those who suffer harm from trial participation	39
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33	Dissemination policy	31a	Plans for investigators and sponsor to communicate trial results to participants, healthcare professionals, the public, and other relevant groups (eg, via publication, reporting in results databases, or other data sharing arrangements), including any publication restrictions	41
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37		31b	Authorship eligibility guidelines and any intended use of professional writers	40, 41, In contractual agreements
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1 31c Plans, if any, for granting public access to the full protocol, participant-level dataset, and statistical code NA

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3 **Appendices**

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5 Informed consent materials 32 Model consent form and other related documentation given to participants and authorised surrogates Available on request

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8 Biological specimens 33 Plans for collection, laboratory evaluation, and storage of biological specimens for genetic or molecular analysis in the current trial and for future use in ancillary studies, if applicable NA

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12 *It is strongly recommended that this checklist be read in conjunction with the SPIRIT 2013 Explanation & Elaboration for important clarification on the items.
13 Amendments to the protocol should be tracked and dated. The SPIRIT checklist is copyrighted by the SPIRIT Group under the Creative Commons
14 "[Attribution-NonCommercial-NoDerivs 3.0 Unported](#)" license.
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Data category	Information
Primary registry and trial identifying number	Dutch Trial Registry, NL6863
Date of registration in primary registry	22 February, 2018
Secondary identifying numbers	NTR7041
Source(s) of monetary or material support	Erasmus University Medical Centre
Primary sponsor	Erasmus University Medical Centre
Secondary sponsor(s)	NA
Contact for public queries	RJ Billar, MD, (phone: 0031646794742, e-mail: r.billar@erasmusmc.nl)
Contact for scientific queries	RJ Billar, MD, (phone: 0031646794742, e-mail: r.billar@erasmusmc.nl) Erasmus University Medical Centre, the Netherlands
Public title	Music interventions in operative treatment of funnel chest
Scientific title	Music interventions in pectus excavatum treatment (IMPECT trial)
Countries of recruitment	The Netherlands
Health condition(s) or problem(s) studied	Pectus Excavatum
Intervention(s)	Active comparator: recorded music interventions before, during and the first 3 days after operative repair of pectus excavatum Placebo comparator: No music
Key inclusion and exclusion criteria	Inclusion criteria ▪ Age 12 – 18 years

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- Scheduled for primary pectus excavatum repair
 - Surgery according to the Nuss-procedure
 - Repair with either one or multiple bars
 - General anaesthesia during surgery
 - Placement of thoracic epidural or both thoracic epidural and patient controlled analgesia system
 - Good knowledge of Dutch or English language by patient and parents
 - Written informed consent
- Exclusion criteria**
Hearing impairments
- Secondary pectus excavatum surgery
 - Other prior thoracic surgery
 - No thoracic epidural
 - Severe mental or psychiatric disorder
 - Impaired communication with patient and parents
 - Missing informed consent
 - Presence of chronic pain syndrome (defined as ongoing pain lasting longer than 3 months or ongoing pain lasting longer than the reasonable expected healing time for the involved tissues)

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Study type

Interventional
Allocation: randomized
Intervention model: two arms, parallel assignment
Masking: single blind (anaesthesiologists, statistician)

	Purpose: prevention
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2	Date of first enrolment
3	January 2019
4	Target sample size
5	170
6	Recruitment status
7	Recruiting
8	Primary outcome(s)
9	Pain measured by the Visual Analogue scale
10	Key secondary outcomes
11	Anxiety (measured by the state-trait anxiety inventory), vital parameters, (health related) quality of life, rehabilitation, medication use, complication, patient satisfaction, length of hospital stay, costs
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For peer review only