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# **BMJ Open**

# **`Barrier dysfunction in Atopic newBorns studY' (BABY): a** birth cohort study protocol

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Complete List of Authors:	Gerner, Trine; Herlev and Gentofte Hospital, University of Copenhagen , Department of Dermatology and Allergy; 2. Copenhagen Research Group for Inflammatory Skin (CORGIS) Thyssen, JP; Herlev and Gentofte Hospital, University of Copenhagen , Department of Dermatology and Allergy; 2. Copenhagen Research Group for Inflammatory Skin (CORGIS) Skov, Lone; Herlev and Gentofte Hospital, University of Copenhagen , Department og Dermatology and Allergy; 2. Copenhagen Research Group for Inflammatory Skin (CORGIS) Halling-Sønderby, Anne-Sofie; Herlev and Gentofte Hospital, University of Copenhagen , Department of Dermatology and Allergy; 2. Copenhagen Research Group for Inflammatory Skin (CORGIS) Rasmussen Rinnov, Maria; Herlev and Gentofte Hospital, University of Copenhagen, Department of Dermatology and Allergy; 2. Copenhagen Research Group for Inflammatory Skin (CORGIS) Haarup Ravn, Nina; Herlev and Gentofte Hospital, University of Copenhagen, Department of Dermatology and Allergy; 2. Copenhagen Research Group for Inflammatory Skin (CORGIS) Haarup Ravn, Nina; Herlev and Gentofte Hospital, University of Copenhagen, Department of Dermatology and Allergy; 2. Copenhagen Research Group for Inflammatory Skin (CORGIS) Hjorslev Knudgaard, Mette; Herlev and Gentofte Hospital, University of Copenhagen, Department of Dermatology and Allergy; 2. Copenhagen Research Group for Inflammatory Skin (CORGIS) Menné Bonefeld, Charlotte; University of Copenhagen, Department of Immunology and Microbiology, Skin Immunology Research Center Ewertsen, Caroline; Rigshospitalet, University of Copenhagen, Department of Immunology and Microbiology, Skin Immunology Research Center Ewertsen, Caroline; Rigshospitalet, University of Copenhagen, Department of Neonatology Kezic, Sanja; Amsterdam Public Health Research Institute, University of Amsterdam, Coronel Institute of Occupational Health, Amsterdam UMC Jakaša, Ivone; University of Zagreb, Laboratory for Analytical Chemistry, Department of Chemistry and Biochemistry, Faculty of Food Technology and Bio
Keywords:	atopic dermatitis, birth cohort, Raman, skin barrier, preterm, TEWL
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**BMJ** Open

# '<u>Barrier dysfunction in Atopic newBorns studY</u>' (BABY): a birth cohort study protocol

Trine Gerner<sup>1,2</sup>, Anne-Sofie Halling-Sønderby<sup>1,2</sup>, Maria Rasmussen Rinnov<sup>1,2</sup>, Nina Haarup Ravn<sup>1,2</sup>, Mette Hjorslev Knudgaard<sup>1,2</sup>, Charlotte Menné Bonefeld<sup>3</sup>, Caroline Ewertsen<sup>4</sup>, Simon Trautner<sup>5</sup>, Ivone Jakaša<sup>6</sup>, Sanja Kezic<sup>7</sup>, Lone Skov<sup>1,2</sup>, Jacob P. Thyssen<sup>1,2</sup>.

<sup>1</sup> Department of Dermatology and Allergy, Herlev and Gentofte Hospital, University of Copenhagen,
Hellerup, Denmark

10 <sup>2.</sup>Copenhagen Research Group for Inflammatory Skin (CORGIS), Hellerup, Denmark

<sup>3</sup>. University of Copenhagen, Department of Immunology and Microbiology, LEO Foundation Skin

12 Immunology Research Center, Maersk Tower, Copenhagen N, Denmark

<sup>4</sup> Department of Radiology, Rigshospitalet, University of Copenhagen, Copenhagen Ø, Denmark

<sup>5</sup> Department of Neonatology, Rigshospitalet, University of Copenhagen, Copenhagen Ø, Denmark

<sup>6</sup> Laboratory for Analytical Chemistry, Department of Chemistry and Biochemistry, Faculty of

16 Food Technology and Biotechnology, University of Zagreb, Zagreb, Croatia

<sup>7.</sup>Coronel Institute of Occupational Health, Amsterdam UMC, Amsterdam Public Health Research

Institute, University of Amsterdam, Amsterdam, Netherlands

# 20 Correspondence:

<sup>2</sup> 21 Jacob P. Thyssen, Department of Dermatology and Allergy, Herlev and Gentofte Hospital, Gentofte

<sup>4</sup> 22 Hospitalsvej 15, DK-2900 Hellerup, Denmark

 $\frac{6}{7}$  23 Telephone: (0045) 3867 3150

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5 Word count: 2198 Number of figures: 2 5 7 Number of references: 25 8 Key words 9 Atopic dermatitis, birth cohort, filaggrin, infant, preterm, Raman, skin barrier, skin microbiome, ) TEWL, thymus. 2 **Conflicts of interest** 3 None declared 4 5 **Author contributions** 5 7 TG, LS and JPT designed the study, created the study protocol, and obtained approval of the study design. ST, CBM and CE contributed to revision and refinement of the study design. TG, AH, 8 9 MRR, NHR, MHK and JPT were responsible for data collection.TG, AH, MRR, LS and JPT drafted the manuscript. All authors critically revised the manuscript. All authors supervised the ) study. 2 Acknowledgements 3 4 We thank all families for their participation in the BABY cohort. We thank all staff members at Rigshospitalet and Nordsjællands Hospital who have contributed. 5 5 8

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# 55 ABSTRACT

56 Introduction:

57 The skin barrier development in premature and mature newborns has been scarcely studied but may

58 be important for the risk of atopic dermatitis (AD).

59 Methods and analysis:

The BABY Cohort is a prospective birth cohort study of 150 preterm and 300 term children. Skin barrier function is assessed by transepidermal water loss. Biomolecules important for skin barrier function and immune response are investigated by Raman-spectroscopy and stratum corneum (SC) and microbiome sampling. Clinical examinations are done and DNA from buccal swabs is collected for genetic analyses. Thymus size is assessed by ultrasound examination. Information on pregnancy, delivery and parental exposures and diseases are collected and structured telephone interviews are conducted at 18 and 24 months to assess exogenous exposures in the child and onset of AD. Hanifin and Rajka criteria as well as The U.K. Working Party's Diagnostic Criteria for Atopic Dermatitis are used to diagnose AD. Severity of AD is assessed using the Eczema Area and Severity Index (EASI) and Patient Oriented Eczema Measure (POEM). Ethics and dissemination: The study is approved by the local medical ethics committee (H-16042289 and H-16042294). Outcomes will be presented at national and international conferences and in peer-reviewed publications. 55 77 

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# HS AND LIMITATIONS OF THIS STUDY

BY Cohort' is a Danish prospective birth cohort study that examines skin barrier tions and risk factors for atopic dermatitis.

prehensive and repeated measurements of skin barrier function and factors affecting une and antimicrobial barrier in preterm and term newborns from the general lation.

g strictly non-invasive, no blood measurements are done.

# CTION

natitis (AD) is a chronic and relapsing, inflammatory skin disease, characterized by dry in that affects up to 20% of children in Northern Europe.[1] About 60-80% develop the heir first two years of life, and children with early onset are at increased risk of having persistent disease.[2, 3] The risk of AD is increased in children of parents with atopic ch as AD, asthma and allergic rhinitis.[4-6]

environmental risk factors contribute to the development of AD through skin barrier and immune dysregulation.[2] While loss-of-function mutations in the filaggrin gene been identified as the strongest genetic risk factor for AD,[7] genome wide association only identified a relatively small proportion of genetic risk variants.[8] The inflammation racterized by overexpression of Th2 cytokines, including IL-4 and IL-13.[9] that together nay lead to increased secretion of thymic stromal lymphopoietin (TSLP), decreased ntimicrobial peptides and filaggrin levels, in turn worsening skin inflammation and arrier functions.[10] Changes in the skin microbiome is also associated with worsening

2 3		
4 5 1	02	of AD, showing reduced bacterial diversity[11] and increased colonization with Staphylococcus
6 7 1	03	aureus (S. aureus).[12]
8 9 1	04	
10 11 12	05	While several environmental risk factors have been identified, e.g. winter birth and exposure to hard
13 14 1	06	domestic water, this has not yet led to prophylactic solutions.[13] Interestingly, the risk of AD is
15 16 l 17	07	decreased in premature newborns and infants undergoing heart surgery, which often includes partial
18 1 19	08	or total thymectomy, perhaps due to a lower number of circulating T cells and an inappropriate
20 21 1	09	immune response to antigens encountered in the skin.[14, 15]
22 23 1	10	
24 25 1 26	11	There is a need for birth cohort studies that closely examines the skin of newborns at several time
27 28 1	12	points to identify infants at risk of developing AD early in life. The BABY Cohort is a prospective
29 30 1 31	13	birth cohort study that investigates early skin barrier development in preterm and term newborns to
32 1 33	14	identify early prognostic skin barrier changes for development of AD.
34 1 35	15	
36 37 1	16	OBJECTIVES
<sup>38</sup> 1 39	17	Primary objective:
40 41 1	18	To identify predictors of AD in early childhood.
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45 <sub>1</sub> 46	20	Secondary objectives:
47 48 1	21	To closely describe the normal skin barrier development including immune activity and skin
49 50 1	22	microbiome in preterm and term newborns during the first years of life.
51 52 1	23	
53 54 55	24	
56 57 1	25	METHODS AND ANALYSIS
58 59 1	26	Study population and setting
60		

The BABY Cohort is an ongoing prospective birth cohort study recruiting 150 preterm and 300 term newborn infants. Recruitment began in August 2017. Parents of eligible children are recruited at the maternity and neonatal wards at Rigshospitalet, Copenhagen, and Nordsjællands Hospital, Hillerød, in Denmark. Children eligible for enrolment are preterm newborns (GA below 37+0) excluding preterm newborns with severe congenital abnormality and healthy term singleton newborns (GA 37+0 to 41+6) excluding mature newborns receiving antenatal steroids for fetal lung maturation. Children with parents unable to communicate in Danish are excluded.

#### 135 Cohort design

All study procedures are summarised in Figure 1 and 2, and each component of the visit is detailed below. Preterm children are scheduled for two study visits: during the first 31 days of life and approximately two months after their scheduled due date (Figure 1). Term children are scheduled for four study visits: during the first 3 days of life and approximately at 2, 6 and 12 months of age (Figure 2). If a child develops AD during the first 2 years of life, an additional follow-up visit is performed. All parents participate in a structured telephone interview when the child is 18 and 24 months old.

#### **Baseline interview**

During the first study visit, parents are interviewed to obtain information about the pregnancy and birth, including the type of delivery and maternal intrapartum antibiotic treatment. Furthermore, information about gestational age at birth, weight, height and head circumference, 1- and 5- minutes APGAR scores and medical treatment at the neonatal ward is obtained.

149 Study interview

1 2	
3 4 5	At every study visit, we obtain detailed information about the child's health, vaccination status,
6 7 151	method of feeding, admittance to hospital, medical treatments, bathing habits and skin care.
8 9 152	
<sup>11</sup> 153	Parental questionnaires
13 14 154	Parents complete an online questionnaire on family structure, residential situation, pet exposure,
15 16 155 17	occupation, maternal exposures during pregnancy, smoking and drinking habits, history about current
<sup>18</sup> 156 19	and previous skin diseases and atopic diseases in the family.
20 21 157	
22 23 158	Telephone interviews
24 25 159 26	At 18 and 24 months, parents participates in a structured telephone interview about the child's health,
<sup>27</sup> 160 28	vaccination status, method of feeding, admittance to hospital, medical treatments, bathing habits, skin
29 30 161	care, ultraviolet exposures and AD assessment according to the U.K. Working Party's Diagnostic
31 32 162 33	Criteria for Atopic Dermatitis.[16, 17] If AD is diagnosed during the telephone interview an extra
<sup>34</sup> 163 35	study visit in the clinic is scheduled.
36 37 164	
38 39 165 40	Anthropometric measures
41 41 42	At the first visit, birth information on height, weight and head circumference is retrieved from the
43 44 167	birth record. At all follow-up visits, anthropometric measurements are made. A digital weight scale
45 46 168 47	is used to record weight in kg without clothing and diaper. Height and head circumference are
48 169 49	measured in cm using a flexible non-elastic measuring tape.
<sup>50</sup> 170 51	
52 53 171	Skin barrier measurements
55 172 56	Transepidermal water loss
57 58	
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During all study visits, transepidermal water loss (TEWL) is assessed using a portable closed condenser-chamber device (Aquaflux model AF200, Biox Systems Ltd, UK).[18] TEWL is measured three times on the same skin area located on the central part of the volar forearm. No preference is given to the left or right arm but depends on how the baby is positioned.

#### Natural moisturizing factors

Using a custom build device, the level of natural moisturizing factors (NMF) is measured on the thenar region using confocal Raman spectroscopy (RiverD International B.V., Rotterdam, The Netherlands).[19] Three values are recorded at all study visits, except the first study visit for the premature children. The thenar region of the child's hand is placed on the device for approximately 60 seconds. Scattered light is sent towards the skin surface, exiting the molecules in the skin. Each molecule represents a specific spectrum of light, and the specific composition of molecules is thereby represented in the returned spectrum of light.[20] Again, the most accessible hand is measured, in turn depending on the child's posture at the time of examination.

# Superficial stratum corneum (SC) sampling

During all study visits, SC is collected by tape stripping. Eight consecutive tape stripping discs (22) 190 mm) D-squame, CuDerm, Dallas, Texas) are applied on the skin followed by standardized pressure applied by a D-squame pressure application pen for 5 seconds. Tapes are stored at -80° C immediately after sampling. Preterm infants have SC collected from the skin between the shoulder blades, and at two months of age from the cheek as well. Term infants have SC collected from cheek skin and the dorsal surface of the hand. No preference is given to the left or right sides but depends on the positioning of the child. If a child develops AD, SC is collected from the dorsal surface of the hand

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2 3 4 196 and from a lesional skin site, preferably from the cheek, otherwise from a skin site with the most 5 6 197 severe AD. SC will be examined for NMF, proteins, cytokines, lipids and morphology. 7 8 9 198 10 <sup>11</sup> 199 **Clinical skin assessment** 12 13 200 A complete examination of the skin is performed at each study visit. Size, number and location of 14 15 both congenital and acquired naevi are registered. The palm of the hand is photographed to assess 16 201 17 18 202 skin hyperlinearity at 2 months of age and in case the child develops AD. 19 <sup>20</sup> 203 21 22 Atopic dermatitis assessment 23 204 24 25 205 The skin is evaluated for signs of AD at each study visit. A diagnosis of AD is initially given by a 26 <sup>27</sup> 206 physician and is subsequently diagnosed clinically using to the diagnostic criteria of Hanifin and 29 <sub>30</sub> 207 Rajka except for IgE-levels and subcapsular cataract.[21] AD severity is assessed using the Eczema 31 Area and Severity Index (EASI).[22] During all following visits, AD severity is assessed using EASI 32 208 33 <sup>34</sup> 209 and Patient Oriented Eczema Measure (POEM) tool[17] and treatment for AD is recorded. As 35 <sup>36</sup> 37 210 mentioned, during the structured telephone interviews, AD is diagnosed using The U.K. Working 38 39 211 Party's Diagnostic Criteria for Atopic Dermatitis.[16] 40 41 212 42 <sup>43</sup><sub>44</sub>213 Genetics 45 46 214 Buccal swabs (Isohelix, Harrietsham, U.K.) are used to collect DNA to screen for the most common 47 FLG mutations in Northern European populations (R501X, 2282del4 and R2447X)[23] and for single 48 2 1 5 49 <sup>50</sup> 216 nucleotide polymorphisms. For both analyses, cheek mucosa is rubbed for 60 seconds with a swab 51 52 53 217 and stored at -80° C until analysis.

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- <sup>57</sup> 219 **Skin swaps**
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During all study visits, a bacterial swab is collected from the cheek skin (ESwab Collection and Transport System Copan Italia, Brescia, Italy) and cultured for bacterial growth by routine methodology at the Department of Microbiology, Herlev and Gentofte Hospital, Denmark. Only samples positive for β-Hemolytic Streptococci isolates (groups A, B, C, G) or S. aureus have antimicrobial susceptibility testing performed and are subsequently stored at -80° C for future analyses. In preterm children, skin microbiome is collected from the lumbar area of the back at first visit and from cheek and lumbar area at two months of age. Skin microbiome samples (Isohelix, Harrietsham, U.K.) are collected from cheek and dorsal surface of the hand in term children. If a child develops AD, skin microbiome is also collected from a lesional skin site, preferably from the cheek otherwise from the most severe AD lesion. All samples are immediately stored at -80° C until analysis.

#### Ultrasound 32 2 3 2

During all study visits, ultrasound examination is performed to visualize the thymus gland and <sup>36</sup> 37 234 measure its size. The thymus index is defined as the multiplication of the two measurements and represents an estimate of the thymic volume.[24] The largest transverse diameter of the thymus is 41 236 measured in a horizontal scan plane and the area of the largest lobe is measured in a sagittal scan <sup>43</sup> 237 plane. Both measurements are performed twice. The best measurement in both planes is selected. 46 238 Measurements are performed with a transportable LOGIQ V2 ultrasound system with a 2-5.5 MHz 48 2 3 9 C4-RS transducer (GE Healthcare, Milwaukee, WI).

### **Study settings**

At each visit, air humidity, outdoor and indoor temperature is registered.

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# 4 Sample size estimation

The sample size calculation was based on a Dutch study showing a decrease in the filaggrin breakdown product 2-pyrrolidone-5-carboxylic acid (PCA) as a biomarker for the *FLG* genotype.[25] The lowest value of PCA was found in homozygote and compound *FLG* heterozygote mutation carriers (mean  $\pm$  SEM 0.18  $\pm$  0.04 mmol g<sup>-1</sup>), increasing to 0.50  $\pm$ 0.07 in heterozygote mutation carriers and 1.64  $\pm$  0.11 mmol g<sup>-1</sup> protein in wild type.[25] In our cohort, we hypothesized a 5% change of NMF in children developing AD compared to children without AD. With a 5% two-sided significance level and a power of 80%, we calculated a sample size of 112 premature children and 223 in term children. Because of the high risk of loss-to-follow up during the two-year follow-up period, we estimated a sample size of 150 premature children and 300 term children would be needed.

#### 255 Data management

Study data are collected and entered directly into an online REDCap (Research Electronic Data
Capture) database hosted at the Capital Region of Denmark.

### 59 Patient and public involvement

Patients and the public were not involved in the design of the study. All participants will beacknowledged and thanked for their contribution in future publications.

48 263 STRENGHTS AND LIMITATIONS

The major strength of this birth cohort study is the extensive and repeated skin barrier measurements beginning right after birth. We will examine the skin barrier with multiple methodologies including Raman spectroscopy, TEWL and SC biomarkers. We will collect DNA and bacteria for genetic and skin microbiome analyses at several time points increasing the chance of finding a pathogenic role.

We will include both preterm and term newborns allowing us to study the immature skin barrier and thymus in a large subset of children. We will use internationally accepted definitions to diagnose AD and assess severity.[21, 22] Collectively, the BABY cohort will cover a wide range of parameters with potential importance for the development of AD. Furthermore, we already now plan for future follow-up studies on skin barrier functions, AD and allergic diseases in this birth cohort.

A potential limitation of the BABY Cohort is that all term children are recruited from Copenhagen only, possibly limiting the generalizability of the study to more rural areas. While we will register ambient room conditions including air humidity and indoor and outside temperature, seasonal and climatic variations will affect TEWL measurements. Children receiving incubator therapy have all measurements made directly in the incubator and the ambient conditions are recorded. As the study is strictly non-invasive, we will not make any blood measurements, and can therefore not assess the possible role of systemic inflammation. A concern in cohort studies is that participants may be lost to follow up. This is especially a concern for the premature children with many potential comorbidities who are recruited from Rigshospitalet; a highly specialized department responsible for treatment of all extremely premature children in eastern Denmark. To keep track of the included families, we gather contact information of both parents and contact them prior to follow-up visits. However, in case a family withdraws from the study, the date and reason for withdrawal will be recorded.

## 88 ETHICS AND DISSEMINATION

The study is approved by the local ethics committee (H-16042289 and H-16042294) and the local data protection agency (ID-no.: HGH-3017-040, I-suite no.:05578). The BABY Cohort is conducted data protection agency (ID-no.: HGH-3017-040, I-suite no.:05578). The BABY Cohort is conducted

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in accordance with the Declaration of Helsinki. All relevant study results will be presented in peer-

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reviewed publications and presented at national and international conferences.

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Figure 1 - Scheduled investigations for preterm children in the BABY Cohort



#### BMJ Open Figure 2 - Scheduled investigations for term children in the BABY Cohort



# **BMJ Open**

# **`Barrier dysfunction in Atopic newBorns studY' (BABY):** protocol of a Danish prospective birth cohort study

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Complete List of Authors:	Gerner, Trine; Herlev and Gentofte Hospital, University of Copenhagen , Department of Dermatology and Allergy; 2. Copenhagen Research Group for Inflammatory Skin (CORGIS) Halling-Sønderby, Anne-Sofie; Herlev and Gentofte Hospital, University of Copenhagen , Department of Dermatology and Allergy; 2. Copenhagen Research Group for Inflammatory Skin (CORGIS) Rasmussen Rinnov, Maria; Herlev and Gentofte Hospital, University of Copenhagen, Department of Dermatology and Allergy; 2. Copenhagen Research Group for Inflammatory Skin (CORGIS) Haarup Ravn, Nina; Herlev and Gentofte Hospital, University of Copenhagen, Department of Dermatology and Allergy; 2. Copenhagen Research Group for Inflammatory Skin (CORGIS) Hjorslev Knudgaard, Mette; Herlev and Gentofte Hospital, University of Copenhagen, Department of Dermatology and Allergy; 2. Copenhagen Research Group for Inflammatory Skin (CORGIS) Hjorslev Knudgaard, Mette; Herlev and Gentofte Hospital, University of Copenhagen, Department of Dermatology and Allergy; 2. Copenhagen Research Group for Inflammatory Skin (CORGIS) Menné Bonefeld, Charlotte; University of Copenhagen, Department of Immunology and Microbiology, Skin Immunology Research Center Ewertsen, Caroline; Rigshospitalet, University of Copenhagen, Department of Neonatology Jakaša, Ivone; University of Zagreb, Laboratory for Analytical Chemistry, Department of Chemistry and Biochemistry, Faculty of Food Technology and Biotechnology Kezic, Sanja; Amsterdam Public Health Research Institute, University of Amsterdam, Coronel Institute of Occupational Health, Amsterdam UMC Skov, Lone; Herlev and Gentofte Hospital, University of Copenhagen , Department og Dermatology and Allergy; 2. Copenhagen Research Group for Inflammatory Skin (CORGIS) Thyssen, JP; Herlev and Gentofte Hospital, University of Copenhagen , Department of Dermatology and Allergy; 2. Copenhagen Research Group for Inflammatory Skin (CORGIS)
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Keywords:	atopic dermatitis, birth cohort, Raman, skin barrier, preterm, TEWL

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# **<u>'Barrier dysfunction in Atopic newBorns studY'</u> (BABY): protocol of a Danish prospective birth cohort study**

Trine Gerner<sup>1,2</sup>, Anne-Sofie Halling-Sønderby<sup>1,2</sup>, Maria Rasmussen Rinnov<sup>1,2</sup>, Nina Haarup Ravn<sup>1,2</sup>, Mette Hjorslev Knudgaard<sup>1,2</sup>, Charlotte Menné Bonefeld<sup>3</sup>, Caroline Ewertsen<sup>4</sup>, Simon Trautner<sup>5</sup>, Ivone Jakaša<sup>6</sup>, Sanja Kezic<sup>7</sup>, Lone Skov<sup>1,2</sup>, Jacob P. Thyssen<sup>1,2</sup>.

<sup>1</sup> Department of Dermatology and Allergy, Herlev and Gentofte Hospital, University of Copenhagen,
Hellerup, Denmark

10 <sup>2</sup>. Copenhagen Research Group for Inflammatory Skin (CORGIS), Hellerup, Denmark

11 <sup>3</sup>. University of Copenhagen, Department of Immunology and Microbiology, LEO Foundation Skin

12 Immunology Research Center, Maersk Tower, Copenhagen N, Denmark

<sup>4</sup> Department of Radiology, Rigshospitalet, University of Copenhagen, Copenhagen Ø, Denmark

<sup>5</sup> Department of Neonatology, Rigshospitalet, University of Copenhagen, Copenhagen Ø, Denmark

15<sup>6</sup> Laboratory for Analytical Chemistry, Department of Chemistry and Biochemistry, Faculty of

16 Food Technology and Biotechnology, University of Zagreb, Zagreb, Croatia

<sup>17</sup> <sup>7</sup> Coronel Institute of Occupational Health, Amsterdam UMC, Amsterdam Public Health Research

8 Institute, University of Amsterdam, Amsterdam, Netherlands

# 20 Correspondence:

<sup>2</sup> 21 Jacob P. Thyssen, Department of Dermatology and Allergy, Herlev and Gentofte Hospital, Gentofte

<sup>4</sup> 22 Hospitalsvej 15, DK-2900 Hellerup, Denmark

 $\frac{6}{7}$  23 Telephone: (0045) 3867 3150

59 24 E-mail: jacob.p.thyssen@regionh.dk

TG, LS and JPT designed the study, created the study protocol, and obtained approval of the study

design. ST, CBM, CE, IJ and SK contributed to revision and refinement of the study design. TG,

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**Author contributions** 

None declared

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Atopic dermatitis, birth cohort, preterm, Raman, skin barrier, TEWL.

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55	ABSTRACT

56 Introduction:

57 Skin barrier development and dysfunction in premature and mature newborns is important for the 58 risk of atopic dermatitis (AD).

59 Methods and analysis:

60 BABY Cohort is a prospective birth cohort study of 150 preterm children (gestational age (GA)

61 below 37+0) and 300 term children (GA 37+0 to 41+6). Skin barrier is assessed through

62 transepidermal water loss, tape stripping, Raman-spectroscopy and microbiome sampling. Clinical

64 is assessed by ultrasound examination. Information on pregnancy, delivery and parental exposures

examinations are done and DNA from buccal swabs is collected for genetic analyses. Thymus size

65 and diseases are collected and structured telephone interviews are conducted at 18 and 24 months to

66 assess exogenous exposures in the child and onset of AD. Hanifin and Rajka criteria as well as The

2 67 U.K. Working Party's Diagnostic Criteria for Atopic Dermatitis are used to diagnose AD. Severity

68 of AD is assessed using the Eczema Area and Severity Index (EASI) and Patient Oriented Eczema

69 Measure (POEM).

70 Ethics and dissemination:

71 The study is approved by the scientific Ethical Committee of the Capital Region (H-16042289 and
72 H-16042294).

5 73 Outcomes will be presented at national and international conferences and in peer-reviewed

<sup>3</sup> 74 publications.

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### 8 STRENGTHS AND LIMITATIONS OF THIS STUDY

- This is a Danish prospective birth cohort study assessing skin barrier functions and risk factors for atopic dermatitis.
- The study includes both preterm and term newborns from the general population.
- Repeated and comprehensive measurements of skin barrier will be performed at several time points.
- A limitation is the lack of blood measurements as this study is strictly non-invasive.

## 86 INTRODUCTION

A topic dermatitis (AD) is a chronic and relapsing, inflammatory skin disease, characterized by dry and itchy skin that affects up to 20% of children in Northern Europe.[1] About 60-80% develop the disease in their first two years of life, and children with early onset are at increased risk of having severe and persistent disease.[2, 3] The risk of AD is increased in children of parents with atopic disorders such as AD, asthma and allergic rhinitis.[4-6]

3 Genetic and environmental risk factors contribute to the development of AD through skin barrier dysfunction and immune dysregulation.[2] While loss-of-function mutations in the filaggrin gene 4 5 (*FLG*) have been identified as the strongest genetic risk factor for AD,[7] genome wide association 6 studies have only identified a relatively small proportion of the genetic risk effect.[8] The 7 inflammation in AD is characterized by overexpression of Th2 cytokines, including IL-4 and IL-8 13.[9] that together with IL-1 may lead to increased secretion of thymic stromal lymphopoietin 9 (TSLP), decreased epidermal antimicrobial peptides and filaggrin levels, in turn worsening skin 0 inflammation and epidermal barrier functions.[10] Changes in the skin microbiome is also associated

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4 101 5	with worsening of AD, showing reduced bacterial diversity[11] and increased colonization with
6 7 102	Staphylococcus aureus (S. aureus).[12]
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<sup>11</sup> 104 12	While several environmental risk factors have been identified, e.g. winter birth and exposure to hard
13 14 105	domestic water, this has not yet led to prophylactic solutions.[13] Interestingly, the risk of AD is
15 16 106 17	decreased in premature newborns and infants undergoing heart surgery, which often includes partial
<sup>18</sup> 107 19	or total thymectomy, perhaps due their reduced number of total lymphocytes and circulation T-cells
<sup>20</sup> 21 108	resulting in an inappropriate immune response to antigens encountered in the skin.[14-16]
22 23 109	
24 25 110 26	There is a need for birth cohort studies that closely examine the skin of newborns at several time
27 28 111	points to identify infants at risk of developing AD early in life. The BABY Cohort is a prospective
29 30 112	birth cohort study that investigates early skin barrier development in preterm and term newborns to
31 32 113 22	identify early prognostic skin barrier changes for development of AD.
<sup>34</sup> 114 35	
36 37 115	OBJECTIVES
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39 116	Primary objective:
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41 117	To identify early predictors of AD during the first two years of life.
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46 1 1 9	Secondary objectives:
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<sup>50</sup> 121 51	microbiome in preterm and term newborns during the first two years of life.
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## **METHODS AND ANALYSIS**

#### Study population and setting 126

The BABY Cohort is an ongoing prospective and observational birth cohort study recruiting 150 128 preterm and 300 term newborn infants. Recruitment began in August 2017. Parents of eligible children are recruited at the maternity and neonatal wards at Rigshospitalet, Copenhagen, and Nordsjællands Hospital, Hillerød, in Denmark. Children eligible for enrolment are preterm newborns (GA below 37+0) excluding preterm newborns with severe congenital abnormality and healthy term singleton newborns (GA 37+0 to 41+6) excluding mature newborns receivng antenatal steroids for fetal lung maturation. Children with parents unable to communicate in Danish are excluded. Children are included independently of their hereditary risk for AD

#### **Cohort design**

All study procedures are summarised in Figure 1 and 2, and each component of the visit is detailed 138 below. Preterm children are scheduled for two study visits: during the first 31 days of life and approximately two months after their scheduled due date (Figure 1). Term children are scheduled for four study visits: during the first 3 days of life and approximately at 2, 6 and 12 months of age (Figure 2). If a child develops AD during the first 2 years of life, an additional follow-up visit is performed. 142 Overall, all children are recruited and examined as soon as possible after their delivery. Very immature born children often receive intensive medical care, and we wait until the child is stable until we perform the examinations. For all study visits the time of the study visit is registered, to be able 145 to adjust for any effects that occur due to age differences. All parents participate in a structured telephone interview when the child is 18 and 24 months old. All study visits are conducted by trained medical doctors.

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# **Baseline interview**

50 During the first study visit, parents are interviewed to obtain information about the pregnancy and 51 birth, including the type of delivery and maternal intrapartum antibiotic treatment. Furthermore, 52 information about gestational age at birth, weight, height and head circumference, 1- and 5- minutes 53 APGAR scores and medical treatment at the neonatal ward is obtained.

# 5 Study interview

At every study visit, we obtain detailed information about the child's health, vaccination status, method of feeding, admittance to hospital, medical treatments, bathing habits and skin care.

## 59 Parental questionnaires

Parents complete an online questionnaire on family structure, residential situation, pet exposure, occupation, maternal exposures during pregnancy, smoking and drinking habits, history about current and previous skin diseases and atopic diseases in the family.

## **Telephone interviews**

At 18 and 24 months, parents participate in a structured telephone interview about the child's health, vaccination status, method of feeding, admittance to hospital, medical treatments, bathing habits, skin care, ultraviolet exposures and AD assessment according to the U.K. Working Party's Diagnostic Criteria for Atopic Dermatitis, with parental assessment of visible flexural dermatitis in the elbows or knees. [17] If AD is diagnosed during the telephone interview an extra study visit in the clinic is scheduled.

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#### 3 **Anthropometric measures**

4 At the first visit, birth information on height, weight and head circumference is retrieved from the 5 birth record. At all follow-up visits, anthropometric measurements are made. A digital weight scale is used to record weight in kg without clothing and diaper. Height and head circumference are 6 7 measured in cm using a flexible non-elastic measuring tape.

#### 9 Skin barrier measurements

0 Transepidermal water loss

During all study visits, transepidermal water loss (TEWL) is assessed using a portable closed 1 condenser-chamber device (Aquaflux model AF200, Biox Systems Ltd, UK).[18] TEWL is measured 2 3 three times on the same skin area located on the central part of the volar forearm. No preference is given to the left or right arm but depends on how the baby is positioned. 4

#### Natural moisturizing factors 6

7 Using a custom build device, the level of natural moisturizing factors (NMF) is measured on the 8 thenar region using confocal Raman spectroscopy (RiverD International B.V., Rotterdam, The 9 Netherlands).[19-21] Three values are recorded at all study visits, except the first study visit for the premature children. The thenar region of the child's hand is placed on the device for approximately 0 1 60 seconds. Scattered light is sent towards the skin surface, exiting the molecules in the skin. Each 2 molecule represents a specific spectrum of light, and the specific composition of molecules is thereby 3 represented in the returned spectrum of light.[20] Again, the most accessible hand is measured, in 4 turn depending on the child's posture at the time of examination.

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# Superficial stratum corneum (SC) sampling

198 During all study visits, SC is collected by tape stripping as previously described. [22, 23] Eight 199 consecutive tape stripping discs (22 mm) D-squame, CuDerm, Dallas, Texas) are applied on the skin followed by standardized pressure applied by a D-squame pressure application pen for 5 seconds and gently removed with tweezers. Tapes are stored at -80° C immediately after sampling. Preterm infants have SC collected from the skin between the shoulder blades, and at two months of age from the cheek as well. Term infants have SC collected from cheek skin and the dorsal surface of the hand. No 204 preference is given to the left or right sides but depends on the positioning of the child. If a child develops AD, SC is collected from the dorsal surface of the hand and from a lesional skin site, preferably from the cheek, otherwise from a skin site with the most severe AD. SC samples will be analyzed for biomarkers of the immune response by multiplex immuno-assays, NMF using a liquid chromatography previously described by Kezic et al. [22] and corneocyte surface morphology by -Ziez atomic force microscopy. [24]

# **Clinical skin assessment**

A complete examination of the skin is performed at each study visit. Size, number and location of both congenital and acquired naevi are registered. The palm of the hand is photographed to assess skin hyperlinearity at 2 months of age and in case the child develops AD.

#### Atopic dermatitis assessment

The skin is evaluated for signs of AD at each study visit. A diagnosis of AD is initially given by a physician and is subsequently diagnosed clinically using to the diagnostic criteria of Hanifin and Rajka except for IgE-levels and subcapsular cataract. [25] AD severity is assessed using the Eczema Area and Severity Index (EASI).[26] During all following visits, AD severity is assessed using EASI
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and Patient Oriented Eczema Measure (POEM) tool[27] and treatment for AD is recorded. As
mentioned, during the structured telephone interviews, AD is diagnosed using The U.K. Working
Party's Diagnostic Criteria for Atopic Dermatitis.[17]

225 Genetics

Buccal swabs (Isohelix, Harrietsham, U.K.) are used to collect DNA to screen for the most common *FLG* mutations in Northern European populations (R501X, 2282del4 and R2447X)[28] and for single nucleotide polymorphisms. For both analyses, cheek mucosa is rubbed for 60 seconds with a swab and stored at -80° C until analysis.

### 231 Skin swabs

During all study visits, a bacterial swab is collected from the cheek skin (ESwab Collection and Transport System Copan Italia, Brescia, Italy) and cultured for bacterial growth by routine methodology at the Department of Microbiology, Herlev and Gentofte Hospital, Denmark. Only samples positive for  $\beta$ -Hemolytic Streptococci isolates (groups A, B, C, G) or *S. aureus* have antimicrobial susceptibility testing performed and are subsequently stored at -80° C for future analyses. In preterm children, skin microbiome is collected from the lumbar area of the back at first visit and from cheek and lumbar area at two months of age. Skin microbiome samples (Isohelix, Harrietsham, U.K.) are collected from cheek and dorsal surface of the hand in term children. If a child develops AD, skin microbiome is also collected from a lesional skin site, preferably from the cheek otherwise from the most severe AD lesion. Skin swabs are rubbed on the skin for 60 seconds and are immediately stored at -80° C until analysis.

#### Ultrasound 245

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During all study visits, ultrasound examination is performed to visualize the thymus gland and 246 247 measure its size. The thymus index is defined as the multiplication of the two measurements and <sup>11</sup> 248 represents an estimate of the thymic volume.[29] The largest transverse diameter of the thymus is 249 measured in a horizontal scan plane and the area of the largest lobe is measured in a sagittal scan plane. Both measurements are performed twice. The best measurement in both planes is selected. 16 2 50 18 251 Measurements are performed with a transportable LOGIQ V2 ultrasound system with a 2-5.5 MHz 252 C4-RS transducer (GE Healthcare, Milwaukee, WI).

25 2 5 4 **Study settings** 

At each visit, air humidity, outdoor and indoor temperature is registered.

#### 32 2 57 **Data management** 33

<sup>34</sup> 258 Study data are collected and entered directly into an online REDCap (Research Electronic Data 37 259 Capture) database hosted at the Capital Region of Denmark.

#### <sup>41</sup> 261 Patient and public involvement 42

<sup>43</sup> 262 Patients and the public were not involved in the design of the study. All participants will be

46 263 acknowledged and thanked for their contribution in future publications.

48 264

#### <sup>50</sup> 265 **STRENGHTS AND LIMITATIONS**

52 53 266 The major strength of this birth cohort study is the extensive and repeated skin barrier measurements 54 55 267 beginning shortly after birth. We will examine the skin barrier with multiple methodologies including 56 <sup>57</sup> 268 Raman spectroscopy, TEWL and SC biomarkers. We will collect DNA and bacteria for genetic and 58 59

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skin microbiome analyses at several time points increasing the chance of finding a pathogenic role. We will include both preterm and term newborns allowing us to study the immature skin barrier and thymus in a large subset of children. We will use internationally accepted definitions to diagnose AD and assess severity.[25, 26] Collectively, the BABY cohort will cover a wide range of parameters with potential importance for the development of AD. Furthermore, we already now plan for future follow-up studies on skin barrier functions, AD and allergic diseases in this birth cohort.

A potential limitation of the BABY Cohort is that all term children are recruited from Copenhagen only, possibly limiting the generalizability of the study to more rural areas. While we will register ambient room conditions including air humidity and indoor and outside temperature, seasonal and climatic variations will affect TEWL measurements. Children receiving incubator therapy have all measurements made directly in the incubator and the ambient conditions are recorded. As the study is strictly non-invasive, we will not make any blood measurements, and can therefore not assess the possible role of systemic inflammation. A concern in cohort studies is that participants may be lost to follow up. This is especially a concern for the premature children with many potential comorbidities who are recruited from Rigshospitalet; a highly specialized department responsible for treatment of all extremely premature children in eastern Denmark. To keep track of the included families, we gather contact information of both parents and contact them prior to follow-up visits. However, in case a family withdraws from the study, the date and reason for withdrawal will be recorded.

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# **ETHICS AND DISSEMINATION**

The study is approved by the scientific Ethical Committee of the Capital Region (H-16042289 and H-16042294) and the local data protection agency (ID-no.: HGH-3017-040, I-suite no.:05578). Both

parents or guardians will give written informed consent prior to entry to the study.

The BABY Cohort is conducted in accordance with the Declaration of Helsinki. All relevant study

results will be presented in peer-reviewed publications and presented at national and international

et-tevi. conferences.

Page 17 of 22

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Page 23 of 22 **BMJ** Open Figure 2 - Scheduled investigations for term children in the BABY Cohort



# **BMJ Open**

# **`Barrier dysfunction in Atopic newBorns studY' (BABY):** protocol of a Danish prospective birth cohort study

Journal:	BMJ Open
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Complete List of Authors:	Gerner, Trine; Herlev and Gentofte Hospital, University of Copenhagen , Department of Dermatology and Allergy; 2. Copenhagen Research Group for Inflammatory Skin (CORGIS) Halling-Sønderby, Anne-Sofie; Herlev and Gentofte Hospital, University of Copenhagen , Department of Dermatology and Allergy; 2. Copenhagen Research Group for Inflammatory Skin (CORGIS) Rasmussen Rinnov, Maria; Herlev and Gentofte Hospital, University of Copenhagen, Department of Dermatology and Allergy; 2. Copenhagen Research Group for Inflammatory Skin (CORGIS) Haarup Ravn, Nina; Herlev and Gentofte Hospital, University of Copenhagen, Department of Dermatology and Allergy; 2. Copenhagen Research Group for Inflammatory Skin (CORGIS) Haarup Ravn, Nina; Herlev and Gentofte Hospital, University of Copenhagen, Department of Dermatology and Allergy; 2. Copenhagen Research Group for Inflammatory Skin (CORGIS) Hjorslev Knudgaard, Mette; Herlev and Gentofte Hospital, University of Copenhagen, Department of Dermatology and Allergy; 2. Copenhagen Research Group for Inflammatory Skin (CORGIS) Menné Bonefeld, Charlotte; University of Copenhagen, Department of Immunology and Microbiology, Skin Immunology Research Center Ewertsen, Caroline; Rigshospitalet, University of Copenhagen, Department of Neonatology Jakaša, Ivone; University of Zagreb, Laboratory for Analytical Chemistry, Department of Chemistry and Biochemistry, Faculty of Food Technology and Biotechnology Kezic, Sanja; Amsterdam Public Health Research Institute, University of Amsterdam, Coronel Institute of Occupational Health, Amsterdam UMC Skov, Lone; Herlev and Gentofte Hospital, University of Copenhagen , Department og Dermatology and Allergy; 2. Copenhagen Research Group for Inflammatory Skin (CORGIS) Thyssen, JP; Herlev and Gentofte Hospital, University of Copenhagen , Department of Dermatology and Allergy; 2. Copenhagen Research Group for Inflammatory Skin (CORGIS)
<pre> <b>Primary Subject    Heading</b>:</pre>	Dermatology
Secondary Subject Heading:	Paediatrics, Dermatology, Immunology (including allergy)
Keywords:	atopic dermatitis, birth cohort, Raman, skin barrier, preterm, TEWL

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# **<u>'Barrier dysfunction in Atopic newBorns studY'</u> (BABY): protocol of a Danish prospective birth cohort study**

Trine Gerner<sup>1,2</sup>, Anne-Sofie Halling-Sønderby<sup>1,2</sup>, Maria Rasmussen Rinnov<sup>1,2</sup>, Nina Haarup Ravn<sup>1,2</sup>, Mette Hjorslev Knudgaard<sup>1,2</sup>, Charlotte Menné Bonefeld<sup>3</sup>, Caroline Ewertsen<sup>4</sup>, Simon Trautner<sup>5</sup>, Ivone Jakaša<sup>6</sup>, Sanja Kezic<sup>7</sup>, Lone Skov<sup>1,2</sup>, Jacob P. Thyssen<sup>1,2</sup>.

<sup>1.</sup> Department of Dermatology and Allergy, Herlev and Gentofte Hospital, University of Copenhagen,
Hellerup, Denmark

10 <sup>2</sup>. Copenhagen Research Group for Inflammatory Skin (CORGIS), Hellerup, Denmark

<sup>3</sup> University of Copenhagen, Department of Immunology and Microbiology, LEO Foundation Skin

12 Immunology Research Center, Maersk Tower, Copenhagen N, Denmark

<sup>4</sup> Department of Radiology, Rigshospitalet, University of Copenhagen, Copenhagen Ø, Denmark

<sup>5</sup> Department of Neonatology, Rigshospitalet, University of Copenhagen, Copenhagen Ø, Denmark

<sup>6</sup> Laboratory for Analytical Chemistry, Department of Chemistry and Biochemistry, Faculty of

16 Food Technology and Biotechnology, University of Zagreb, Zagreb, Croatia

<sup>17</sup> <sup>7</sup> Coronel Institute of Occupational Health, Amsterdam UMC, Amsterdam Public Health Research

8 Institute, University of Amsterdam, Amsterdam, Netherlands

# 20 Correspondence:

<sup>2</sup> 21 Jacob P. Thyssen, Department of Dermatology and Allergy, Herlev and Gentofte Hospital, Gentofte

<sup>4</sup> 22 Hospitalsvej 15, DK-2900 Hellerup, Denmark

 $\frac{6}{7}$  23 Telephone: (0045) 3867 3150

59 24 E-mail: jacob.p.thyssen@regionh.dk

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Number of figures: 2
Number of references: 29
Key words
Atopic dermatitis, birth cohort, preterm, Raman, skin barrier, TEWL.
Conflicts of interest
JPT reports grants from The Leo Foundation. The Novo Nordisk Four

Word count: 2538

33 JPT reports grants from The Leo Foundation, The Novo Nordisk Foundation, Pfizer, The Lundbeck

34 Foundation and grants from Savværksejer Jeppe Juhl og hustru Ovita Juhls Mindelegat, during the

35 conduct of the study. JPT has been an advisor, investigator and speaker for Abbvie, Regeneron,

36 Pfizer, Sanofi-Genzyme, LEO Pharma, and Eli Lilly & Co.

37 LS reports personal fees from Abbvie, Eli Lilly, Novatis, Sanofi, Celegen Leo pharma, and

Almirall, outside the submitted work. LS reports non-financial support from Abbvie, Sanofi,

39 Janssen and grants from Novatis, Janssen and Sanofi.

40 TG, AH, MRR, NHR and MHK reports grants from Herlev and Gentofte Hospital Research

Foundation, during the conduct of the study.

# 43 Author contributions

TG, LS and JPT designed the study, created the study protocol, and obtained approval of the study
design. ST, CBM, CE, IJ and SK contributed to revision and refinement of the study design. TG,
AH, MRR, NHR, MHK and JPT were responsible for data collection.TG, AH, MRR, LS and JPT
drafted the manuscript. All authors critically revised the manuscript. All authors supervised the
study.

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4 5	49	
6 7	50	Acknowledgements
8 9 10	51	We thank all families for their participation in the BABY cohort. We thank all staff members at
10 11 12	52	Rigshospitalet and Nordsjællands Hospital who have contributed.
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4 5	63	ABSTRACT
6 7	64	Introduction:
8 9 10	65	Skin barrier development and dysfunction in premature and mature newborns is important for the
11 12	66	risk of atopic dermatitis (AD).
13 14	67	Methods and analysis:
15 16 17	68	BABY Cohort is a prospective birth cohort study of 150 preterm children (gestational age (GA)
18 19 20 21	69	below 37+0) and 300 term children (GA 37+0 to 41+6). Skin barrier is assessed through
	70	transepidermal water loss, tape stripping, Raman-spectroscopy and microbiome sampling. Clinical
22 23	71	examinations are done and DNA from buccal swabs is collected for genetic analyses. Thymus size
24 25 26	72	is assessed by ultrasound examination. Information on pregnancy, delivery and parental exposures
20 27 28 29 30 31 32	73	and diseases are collected and structured telephone interviews are conducted at 18 and 24 months to
	74	assess exogenous exposures in the child and onset of AD. Hanifin and Rajka criteria as well as The
	75	U.K. Working Party's Diagnostic Criteria for Atopic Dermatitis are used to diagnose AD. Severity
33 34 35	76	of AD is assessed using the Eczema Area and Severity Index (EASI) and Patient Oriented Eczema
36 37	77	Measure (POEM).
38 39	78	Ethics and dissemination:
40 41 42	79	The study is approved by the scientific Ethical Committee of the Capital Region (H-16042289 and
43 44	80	H-16042294).
45 46	81	Outcomes will be presented at national and international conferences and in peer-reviewed
47 48 40	82	publications.
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# STRENGTHS AND LIMITATIONS OF THIS STUDY

- This is a Danish prospective birth cohort study assessing skin barrier functions and risk factors for atopic dermatitis.
- The study includes both preterm and term newborns from the general population.
- Repeated and comprehensive measurements of skin barrier will be performed at several time points.
- A limitation is the lack of blood measurements as this study is strictly non-invasive.

# 94 INTRODUCTION

Atopic dermatitis (AD) is a chronic and relapsing, inflammatory skin disease, characterized by dry and itchy skin that affects up to 20% of children in Northern Europe.[1] About 60-80% develop the disease in their first two years of life, and children with early onset are at increased risk of having severe and persistent disease.[2, 3] The risk of AD is increased in children of parents with atopic disorders such as AD, asthma and allergic rhinitis.[4-6]

Genetic and environmental risk factors contribute to the development of AD through skin barrier dysfunction and immune dysregulation.[2] While loss-of-function mutations in the filaggrin gene (*FLG*) have been identified as the strongest genetic risk factor for AD,[7] genome wide association studies have only identified a relatively small proportion of the genetic risk effect.[8] The inflammation in AD is characterized by overexpression of Th2 cytokines, including IL-4 and IL-13.[9] that together with IL-1 may lead to increased secretion of thymic stromal lymphopoietin (TSLP), decreased epidermal antimicrobial peptides and filaggrin levels, in turn worsening skin inflammation and epidermal barrier functions.[10] Changes in the skin microbiome is also associated

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with worsening of AD, showing reduced bacterial diversity[11] and increased colonization with 0 Staphylococcus aureus (S. aureus).[12] 1 While several environmental risk factors have been identified, e.g. winter birth and exposure to hard 2 3 domestic water, this has not yet led to prophylactic solutions.[13] Interestingly, the risk of AD is 4 decreased in premature newborns and infants undergoing heart surgery, which often includes partial 5 or total thymectomy, perhaps due their reduced number of total lymphocytes and circulation T-cells 6 resulting in an inappropriate immune response to antigens encountered in the skin.[14-16] 7 8 There is a need for birth cohort studies that closely examine the skin of newborns at several time 9 points to identify infants at risk of developing AD early in life. The BABY Cohort is a prospective birth cohort study that investigates early skin barrier development in preterm and term newborns to 0 1 identify early prognostic skin barrier changes for development of AD. 2 **OBJECTIVES** 3 4 Primary objective: To identify early predictors of AD during the first two years of life. 5 6 7 Secondary objectives: 8 To closely describe the normal skin barrier development including immune activity and skin

9 microbiome in preterm and term newborns during the first two years of life.

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# 3 METHODS AND ANALYSIS

# 34 Study population and setting

The BABY Cohort is an ongoing prospective and observational birth cohort study recruiting 150 preterm and 300 term newborn infants. Recruitment began in August 2017. Parents of eligible children are recruited at the maternity and neonatal wards at Rigshospitalet, Copenhagen, and Nordsjællands Hospital, Hillerød, in Denmark. Children eligible for enrolment are preterm newborns (GA below 37+0) excluding preterm newborns with severe congenital abnormality or conditions affecting their life expectancy and fullterm healthy term singleton newborns (GA 37+0 to 41+6) excluding mature newborns receiving antenatal steroids for fetal lung maturation. Children with parents unable to communicate in Danish are excluded, since it is not possible to use (for practical and financial reasons) interpreters right after birth given that we have to be very flexible and recruit at odd hours. Children are included independently of their hereditary risk for AD

# 146 Cohort design

All study procedures are summarised in Figure 1 and 2, and each component of the visit is detailed below. Preterm children are scheduled for two study visits: during the first 31 days of life and approximately two months after their scheduled due date (Figure 1). Term children are scheduled for four study visits: during the first 3 days of life and approximately at 2, 6 and 12 months of age (Figure 2). Many premature born children continue to have many hospital visits after their discharge, and many of the families lives far away from the hospital, i.e. other parts of Denmark. Therefore, preterm children are only scheduled to participate in one follow-up visit. We can therefore only make certain comparisons across the two groups.

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If a child develops AD during the first 2 years of life, an additional follow-up visit is performed. Overall, all children are recruited and examined as soon as possible after their delivery. Very immature born children often receive intensive medical care, and we wait until the child is stable until we perform the examinations.

For all study visits the time of the study visit is registered, to be able to adjust for any effects that occur due to age differences. All parents participate in a structured telephone interview when the child is 18 and 24 months old. All study visits are conducted by trained medical doctors.

#### **Baseline interview**

During the first study visit, parents are interviewed to obtain information about the pregnancy and birth, including the type of delivery and maternal intrapartum antibiotic treatment. Furthermore, information about gestational age at birth, weight, height and head circumference, 1- and 5- minutes APGAR scores and medical treatment at the neonatal ward is obtained.

#### **Study interview**

At every study visit, we obtain detailed information about the child's health, vaccination status, method of feeding, admittance to hospital, medical treatments, bathing habits and skin care.

#### **Parental questionnaires**

Parents complete an online questionnaire on family structure, residential situation, pet exposure, occupation, maternal exposures during pregnancy, smoking and drinking habits, history about current and previous skin diseases and atopic diseases in the family.

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# Telephone interviews

At 18 and 24 months, parents participate in a structured telephone interview about the child's health, vaccination status, method of feeding, admittance to hospital, medical treatments, bathing habits, skin care, ultraviolet exposures and AD assessment using a modification to the U.K. Working Party's Diagnostic Criteria for Atopic Dermatitis, with parental assessment of visible flexural dermatitis in the elbows or knees.[17] If AD is diagnosed during the telephone interview an extra study visit in the clinic is scheduled.

# 88 Anthropometric measures

At the first visit, birth information on height, weight and head circumference is retrieved from the birth record. At all follow-up visits, anthropometric measurements are made. A digital weight scale is used to record weight in kg without clothing and diaper. Height and head circumference are measured in cm using a flexible non-elastic measuring tape.

# 194 Skin barrier measurements

95 Transepidermal water loss

During all study visits, transepidermal water loss (TEWL) is assessed using a portable closed condenser-chamber device (Aquaflux model AF200, Biox Systems Ltd, UK).[18] TEWL is measured three times on the same skin area located on the central part of the volar forearm. No preference is given to the left or right arm but depends on how the baby is positioned.

201 Natural moisturizing factors

Using a custom build device, the level of natural moisturizing factors (NMF) is measured on the thenar region using confocal Raman spectroscopy (RiverD International B.V., Rotterdam, The thenar region using confocal Raman spectroscopy (RiverD International B.V., Rotterdam, The

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Netherlands).[19-21] Three values are recorded at all study visits, except the first study visit for the premature children. The thenar region of the child's hand is placed on the device for approximately 60 seconds. Scattered light is sent towards the skin surface, exiting the molecules in the skin. Each molecule represents a specific spectrum of light, and the specific composition of molecules is thereby represented in the returned spectrum of light.[20] Again, the most accessible hand is measured, in turn depending on the child's posture at the time of examination.

Superficial stratum corneum (SC) sampling

During all study visits, SC is collected by tape stripping as previously described. [22, 23] Eight 23 212 25 213 consecutive tape stripping discs (22 mm) D-squame, CuDerm, Dallas, Texas) are applied on the skin <sup>27</sup> 214 followed by standardized pressure applied by a D-squame pressure application pen for 5 seconds and <sup>2</sup><sub>30</sub>215 gently removed with tweezers. Tapes are stored at -80° C immediately after sampling. Preterm infants 32 2 1 6 have SC collected from the skin between the shoulder blades, and at two months of age from the <sup>34</sup> 217 35 cheek as well. Term infants have SC collected from cheek skin and the dorsal surface of the hand. No <sup>36</sup> 37 218 preference is given to the left or right sides but depends on the positioning of the child. If a child 39 219 develops AD, SC is collected from the dorsal surface of the hand and from a lesional skin site, 41 220 preferably from the cheek, otherwise from a skin site with the most severe AD. SC samples will be 43 44 221 analyzed for biomarkers of the immune response by multiplex immuno-assays, NMF using a liquid 46 222 chromatography previously described by Kezic et al. [22] and corneocyte surface morphology by 48 223 atomic force microscopy. [24]

Clinical skin assessment

55 226 A complete examination of the skin is performed at each study visit to describe the normal skin barrier <sup>57</sup> 227 development.

228 Size, number and location of both congenital and acquired naevi are registered. Studies and meta-229 analysis have shown that the number of nevi is inverse with AD. However, we are not aware of 230 prospective data collection. The palm of the hand is photographed to assess skin hyperlinearity at 2 <sup>11</sup> 231 months of age and in case the child develops AD.

15 16 2 3 3 Atopic dermatitis assessment

<sup>18</sup>234 The skin is evaluated for signs of AD at each study visit. A diagnosis of AD is initially given by a <sup>20</sup> 235 physician and is subsequently diagnosed clinically using to the diagnostic criteria of Hanifin and 23 2 3 6 Rajka except for IgE-levels and subcapsular cataract. [25] AD severity is assessed using the Eczema 25 2 37 Area and Severity Index (EASI).[26] During all following visits, AD severity is assessed using EASI <sup>27</sup> 238 and Patient Oriented Eczema Measure (POEM) tool[27] and treatment for AD is recorded. As <sup>2</sup><sub>30</sub>239 mentioned, during the structured telephone interviews, AD is diagnosed using The U.K. Working Party's Diagnostic Criteria for Atopic Dermatitis.[17] 32 2 4 0

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#### <sup>36</sup> 37 242 Genetics

39 243 Buccal swabs (Isohelix, Harrietsham, U.K.) are used to collect DNA to screen for the most common 40 <sup>41</sup> 244 FLG mutations in Northern European populations (R501X, 2282del4 and R2447X)[28] by TaqMan 42 <sup>43</sup> 245 genotyping assay, a routine analysis in our Biochemical department, and for single nucleotide 44 45 46 2 46 polymorphisms. For both analyses, cheek mucosa is rubbed for 60 seconds with a swab and stored at 47 48 2 47 -80° C until analysis.

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#### 53 249 Skin swabs

During all study visits, a bacterial swab is collected from the cheek skin (ESwab Collection and 55 2 50 56 <sup>57</sup> 251 Transport System Copan Italia, Brescia, Italy) and cultured for bacterial growth by routine 58 59 60

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methodology at the Department of Microbiology, Herlev and Gentofte Hospital, Denmark. Only samples positive for β-Hemolytic Streptococci isolates (groups A, B, C, G) or S. aureus have antimicrobial susceptibility testing performed and are subsequently stored at -80° C for future analyses. In preterm children, skin microbiome is collected from the lumbar area of the back at first visit and from cheek and lumbar area at two months of age. Skin microbiome samples (Isohelix, Harrietsham, U.K.) are collected from cheek and dorsal surface of the hand in term children. If a child develops AD, skin microbiome is also collected from a lesional skin site, preferably from the cheek otherwise from the most severe AD lesion. Skin swabs are rubbed on the skin for 60 seconds and are immediately stored at -80° C until analysis.

# Ultrasound

During all study visits, ultrasound examination is performed to visualize the thymus gland and measure its size. The thymus index is defined as the multiplication of the two measurements and represents an estimate of the thymic volume.[29] The largest transverse diameter of the thymus is measured in a horizontal scan plane and the area of the largest lobe is measured in a sagittal scan plane. Both measurements are performed twice. The best images with a full visualization of the gland are selected by a trained radiologist. Measurements are performed with a transportable LOGIQ V2 ultrasound system with a 2-5.5 MHz C4-RS transducer (GE Healthcare, Milwaukee, WI).

# **Study settings**

At each visit, air humidity, outdoor and indoor temperature is registered.

Sample size estimation

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The sample size calculation is based on including preterm and mature children in a 1:2 ratio. The power calculation was based on an expected prevalence of AD in 20 % of the cohort population. Based on previous knowledge, where adult controls have and NMF of 0.095 +/-0.029,[30] we hypothesized a 12% change in NMF in children developing AD compared with children without AD. With a 5% two-sided significance level and a power of 80%. AD, as we calculated at sample size of 366 children. In order to account for possible drop-outs we decided on a study population of 450 participants in total, whereas 150 were preterm and 300 mature children.

#### **Data management**

Study data are collected and entered directly into an online REDCap (Research Electronic Data
Capture) database hosted at the Capital Region of Denmark.

# 287 **Patient and public involvement**

Patients and the public were not involved in the design of the study. All participants will be
acknowledged and thanked for their contribution in future publications.

# 291 STRENGHTS AND LIMITATIONS

The major strength of this birth cohort study is the extensive and repeated skin barrier measurements. We will examine the skin barrier with multiple methodologies including Raman spectroscopy, TEWL and SC biomarkers. We will collect DNA and bacteria for genetic and skin microbiome analyses at several time points increasing the chance of finding a pathogenic role. We will include both preterm and term newborns allowing us to study the immature skin barrier and thymus in a large subset of children. We will use internationally accepted definitions to diagnose AD and assess severity.[25, 26] Collectively, the BABY cohort will cover a wide range of parameters with potential importance for

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the development of AD. Since approximately 80% of AD patients develop their disease within the first two years of life, we expect to identify children with both transient and more established AD. as well as being able to differentiate between early features and predictors. Furthermore, we already now plan for future follow-up studies on skin barrier functions, AD and allergic diseases in this birth cohort.

A potential limitation of the BABY Cohort is that all term children are recruited from Copenhagen only, possibly limiting the generalizability of the study to more rural areas. While we will register ambient room conditions including air humidity and indoor and outside temperature, seasonal and climatic variations will affect TEWL measurements. Since bathing habits prior to study visits are not standardized, but only registered, this might impact our skin barrier assessments. Children receiving incubator therapy have all measurements made directly in the incubator and the ambient conditions are recorded. As the study is strictly non-invasive, we will not make any blood measurements, and can therefore not assess the possible role of systemic inflammation. Due to our study design, we cannot discriminate clearly between early features and predictors. A concern in cohort studies is that participants may be lost to follow up. This is especially a concern for the premature children with many potential comorbidities who are recruited from Rigshospitalet; a highly specialized department responsible for treatment of all extremely premature children in eastern Denmark. To keep track of the included families, we gather contact information of both parents and contact them prior to followup visits. However, in case a family withdraws from the study, the date and reason for withdrawal will be recorded.

ETHICS AND DISSEMINATION

The study is approved by the scientific Ethical Committee of the Capital Region (H-16042289 and H-16042294) and the local data protection agency (ID-no.: HGH-3017-040, I-suite no.:05578). Both 9 324 parents or guardians will give written informed consent prior to entry to the study. <sup>11</sup> 325 The BABY Cohort is conducted in accordance with the Declaration of Helsinki. All relevant study 14 326 results will be presented in peer-reviewed publications and presented at national and international 16 3 27 conferences. 18 328 23 3 3 0 

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Page 25 of 24 BMJ Open Figure 2 - Scheduled investigations for term children in the BABY Cohort



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# **BMJ Open**

## **`Barrier dysfunction in Atopic newBorns studY' (BABY):** protocol of a Danish prospective birth cohort study

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Complete List of Authors:	Gerner, Trine; Herlev and Gentofte Hospital, University of Copenhagen , Department of Dermatology and Allergy; 2. Copenhagen Research Group for Inflammatory Skin (CORGIS) Halling-Sønderby, Anne-Sofie; Herlev and Gentofte Hospital, University of Copenhagen , Department of Dermatology and Allergy; 2. Copenhagen Research Group for Inflammatory Skin (CORGIS) Rasmussen Rinnov, Maria; Herlev and Gentofte Hospital, University of Copenhagen, Department of Dermatology and Allergy; 2. Copenhagen Research Group for Inflammatory Skin (CORGIS) Haarup Ravn, Nina; Herlev and Gentofte Hospital, University of Copenhagen, Department of Dermatology and Allergy; 2. Copenhagen Research Group for Inflammatory Skin (CORGIS) Haarup Ravn, Nina; Herlev and Gentofte Hospital, University of Copenhagen, Department of Dermatology and Allergy; 2. Copenhagen Research Group for Inflammatory Skin (CORGIS) Hjorslev Knudgaard, Mette; Herlev and Gentofte Hospital, University of Copenhagen, Department of Dermatology and Allergy; 2. Copenhagen Research Group for Inflammatory Skin (CORGIS) Menné Bonefeld, Charlotte; University of Copenhagen, Department of Immunology and Microbiology, Skin Immunology Research Center Ewertsen, Caroline; Rigshospitalet, University of Copenhagen, Department of Neonatology Jakaša, Ivone; University of Zagreb, Laboratory for Analytical Chemistry, Department of Chemistry and Biochemistry, Faculty of Food Technology and Biotechnology Kezic, Sanja; Amsterdam Public Health Research Institute, University of Amsterdam, Coronel Institute of Occupational Health, Amsterdam UMC Skov, Lone; Herlev and Gentofte Hospital, University of Copenhagen , Department og Dermatology and Allergy; 2. Copenhagen Research Group for Inflammatory Skin (CORGIS) Thyssen, JP; Herlev and Gentofte Hospital, University of Copenhagen , Department of Dermatology and Allergy; 2. Copenhagen Research Group for Inflammatory Skin (CORGIS)
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## **<u>'Barrier dysfunction in Atopic newBorns studY'</u> (BABY): protocol of a Danish prospective birth cohort study**

Trine Gerner<sup>1,2</sup>, Anne-Sofie Halling-Sønderby<sup>1,2</sup>, Maria Rasmussen Rinnov<sup>1,2</sup>, Nina Haarup Ravn<sup>1,2</sup>, Mette Hjorslev Knudgaard<sup>1,2</sup>, Charlotte Menné Bonefeld<sup>3</sup>, Caroline Ewertsen<sup>4</sup>, Simon Trautner<sup>5</sup>, Ivone Jakaša<sup>6</sup>, Sanja Kezic<sup>7</sup>, Lone Skov<sup>1,2</sup>, Jacob P. Thyssen<sup>1,2</sup>.

<sup>1</sup> Department of Dermatology and Allergy, Herlev and Gentofte Hospital, University of Copenhagen,
Hellerup, Denmark

10 <sup>2</sup>. Copenhagen Research Group for Inflammatory Skin (CORGIS), Hellerup, Denmark

11 <sup>3</sup>. University of Copenhagen, Department of Immunology and Microbiology, LEO Foundation Skin

12 Immunology Research Center, Maersk Tower, Copenhagen N, Denmark

<sup>4</sup> Department of Radiology, Rigshospitalet, University of Copenhagen, Copenhagen Ø, Denmark

<sup>5</sup> Department of Neonatology, Rigshospitalet, University of Copenhagen, Copenhagen Ø, Denmark

15<sup>6</sup> Laboratory for Analytical Chemistry, Department of Chemistry and Biochemistry, Faculty of

16 Food Technology and Biotechnology, University of Zagreb, Zagreb, Croatia

<sup>17</sup> <sup>7</sup> Coronel Institute of Occupational Health, Amsterdam UMC, Amsterdam Public Health Research

8 Institute, University of Amsterdam, Amsterdam, Netherlands

## 20 Correspondence:

<sup>2</sup> 21 Jacob P. Thyssen, Department of Dermatology and Allergy, Herlev and Gentofte Hospital, Gentofte

<sup>4</sup> 22 Hospitalsvej 15, DK-2900 Hellerup, Denmark

 $\frac{6}{7}$  23 Telephone: (0045) 3867 3150

59 24 E-mail: jacob.p.thyssen@regionh.dk

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Atopic dermatitis, birth cohort, preterm, Raman, skin barrier, TEWL.

## 32 Conflicts of interest

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## 43 Author contributions

TG, LS and JPT designed the study, created the study protocol, and obtained approval of the study
design. ST, CMB, CE, IJ and SK contributed to revision and refinement of the study design. TG,
AH, MRR, NHR, MHK and JPT were responsible for data collection.TG, AH, MRR, LS and JPT
drafted the manuscript. All authors critically revised the manuscript. All authors supervised the

48 study.

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11 12	52	Rigshospitalet and Nordsjællands Hospital who have contributed.
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3 4 5	63	ABSTRACT
6 7	64	Introduction:
8 9 10	65	Skin barrier development and dysfunction in premature and mature newborns is important for the
11 12	66	risk of atopic dermatitis (AD).
13 14	67	Methods and analysis:
15 16 17	68	BABY Cohort is a prospective birth cohort study of 150 preterm children (gestational age (GA)
18 19	69	below 37+0) and 300 term children (GA 37+0 to 41+6). Skin barrier is assessed through
20 21	70	transepidermal water loss, tape stripping, Raman-spectroscopy and microbiome sampling. Clinical
22 23 24	71	examinations are done and DNA from buccal swabs is collected for genetic analyses. Thymus size
24 25 26	72	is assessed by ultrasound examination. Information on pregnancy, delivery and parental exposures
27 28	73	and diseases are collected and structured telephone interviews are conducted at 18 and 24 months to
29 30 31	74	assess exogenous exposures in the child and onset of AD. Hanifin and Rajka criteria as well as The
32 33	75	U.K. Working Party's Diagnostic Criteria for Atopic Dermatitis are used to diagnose AD. Severity
34 35	76	of AD is assessed using the Eczema Area and Severity Index (EASI) and Patient Oriented Eczema
36 37	77	Measure (POEM).
30 39 40	78	Ethics and dissemination:
41 42	79	The study is approved by the scientific Ethical Committee of the Capital Region (H-16042289 and
43 44	80	H-16042294).
45 46 47	81	Outcomes will be presented at national and international conferences and in peer-reviewed
48 49	82	publications.
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## STRENGTHS AND LIMITATIONS OF THIS STUDY

- This is a Danish prospective birth cohort study assessing skin barrier functions and risk factors for atopic dermatitis.
- The study includes both preterm and term newborns from the general population.
- Repeated and comprehensive measurements of skin barrier will be performed at several time points.
- A limitation is the lack of blood measurements as this study is strictly non-invasive.

## 94 INTRODUCTION

Atopic dermatitis (AD) is a chronic and relapsing, inflammatory skin disease, characterized by dry and itchy skin that affects up to 20% of children in Northern Europe.[1] About 60-80% develop the disease in their first two years of life, and children with early onset are at increased risk of having severe and persistent disease.[2, 3] The risk of AD is increased in children of parents with atopic disorders such as AD, asthma and allergic rhinitis.[4-6]

Genetic and environmental risk factors contribute to the development of AD through skin barrier dysfunction and immune dysregulation.[2] While loss-of-function mutations in the filaggrin gene (*FLG*) have been identified as the strongest genetic risk factor for AD,[7] genome wide association studies have only identified a relatively small proportion of the genetic risk effect.[8] The inflammation in AD is characterized by overexpression of Th2 cytokines, including IL-4 and IL-13.[9] that together with IL-1 may lead to increased secretion of thymic stromal lymphopoietin (TSLP), decreased epidermal antimicrobial peptides and filaggrin levels, in turn worsening skin inflammation and epidermal barrier functions.[10] Changes in the skin microbiome is also associated

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with worsening of AD, showing reduced bacterial diversity[11] and increased colonization with 09 10 Staphylococcus aureus (S. aureus).[12] 11 12 While several environmental risk factors have been identified, e.g. winter birth and exposure to hard 13 domestic water, this has not yet led to prophylactic solutions.[13] Interestingly, the risk of AD is 14 decreased in premature newborns and infants undergoing heart surgery, which often includes partial 15 or total thymectomy, perhaps due their reduced number of total lymphocytes and circulation T-cells 16 resulting in an inappropriate immune response to antigens encountered in the skin.[14-16] 17 18 There is a need for birth cohort studies that closely examine the skin of newborns at several time 19 points to identify infants at risk of developing AD early in life. The BABY Cohort is a prospective 20 birth cohort study that investigates early skin barrier development in preterm and term newborns to 21 identify early prognostic skin barrier changes for development of AD. 22 23 **OBJECTIVES** 24 Primary objective: To identify early predictors of AD during the first two years of life, including skin barrier dysfunction 25 26 and exogenous exposures during pregnancy and in infancy. The study will assess patient and parental 27 characteristics, family history of atopic comorbidities, exposures during pregnancy and in infancy 28 and skin barrier function and development. 29

<sup>2</sup> 130 Secondary objectives:

To closely describe the normal skin barrier development including immune activity and skin microbiome in preterm and term newborns during the first two years of life.

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## METHODS AND ANALYSIS

## 7 Study population and setting

The BABY Cohort is an ongoing prospective and observational birth cohort study recruiting 150 preterm and 300 term newborn infants. Recruitment began in August 2017. Parents of eligible children are recruited at the maternity and neonatal wards at Rigshospitalet, Copenhagen, and Nordsjællands Hospital, Hillerød, in Denmark. Children eligible for enrolment are preterm newborns (GA below 37+0) excluding preterm newborns with severe congenital abnormality or conditions affecting their life expectancy and fullterm healthy term singleton newborns (GA 37+0 to 41+6) excluding mature newborns receving antenatal steroids for fetal lung maturation. Children with parents unable to communicate in Danish are excluded, since it is not possible to use (for practical and financial reasons) interpreters right after birth given that we have to be very flexible and recruit at odd hours. Children are included independently of their hereditary risk for AD

## 149 Cohort design

All study procedures are summarised in Figure 1 and 2, and each component of the visit is detailed below. Preterm children are scheduled for two study visits: during the first 31 days of life and approximately two months after their scheduled due date (Figure 1). Term children are scheduled for four study visits: during the first 3 days of life and approximately at 2, 6 and 12 months of age (Figure 2). Many premature born children continue to have many hospital visits after their discharge, and many of the families lives far away from the hospital, i.e. other parts of Denmark. Therefore, preterm

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children are only scheduled to participate in one follow-up visit. We can therefore only make certain
comparisons across the two groups.

If a child develops AD during the first 2 years of life, an additional follow-up visit is performed. Overall, all children are recruited and examined as soon as possible after their delivery. Very immature born children often receive intensive medical care, and we wait until the child is stable until we perform the examinations.

For all study visits the time of the study visit is registered, to be able to adjust for any effects that occur due to age differences. All parents participate in a structured telephone interview when the child is 18 and 24 months old. All study visits are conducted by trained medical doctors.

## 168 **Baseline interview**

During the first study visit, parents are interviewed to obtain information about the pregnancy and birth, including the type of delivery and maternal intrapartum antibiotic treatment. Furthermore, information about gestational age at birth, weight, height and head circumference, 1- and 5- minutes APGAR scores and medical treatment at the neonatal ward is obtained.

## 174 Study interview

At every study visit, we obtain detailed information about the child's health, vaccination status, method of feeding, admittance to hospital, medical treatments, bathing habits and skin care.

78 Parental questionnaires

179 Parents complete an online questionnaire on family structure, residential situation, pet exposure, occupation, maternal exposures during pregnancy, smoking and drinking habits, history about current 180 181 and previous skin diseases and atopic diseases in the family.

183 **Telephone interviews** 

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At 18 and 24 months, parents participate in a structured telephone interview about the child's health, vaccination status, method of feeding, admittance to hospital, medical treatments, bathing habits, skin care, ultraviolet exposures and AD assessment using a modification to the U.K. Working Party's Diagnostic Criteria for Atopic Dermatitis, with parental assessment of visible flexural dermatitis in the elbows or knees.[17] If AD is diagnosed during the telephone interview an extra study visit in the clinic is scheduled.

32 191 Anthropometric measures

192 At the first visit, birth information on height, weight and head circumference is retrieved from the 37<sup>193</sup> birth record. At all follow-up visits, anthropometric measurements are made. A digital weight scale 39 194 is used to record weight in kg without clothing and diaper. Height and head circumference are 41 195 measured in cm using a flexible non-elastic measuring tape.

- 46 197 **Skin barrier measurements**
- Transepidermal water loss 48 198

<sup>50</sup> 199 During all study visits, transepidermal water loss (TEWL) is assessed using a portable closed <sub>53</sub> 200 condenser-chamber device (Aquaflux model AF200, Biox Systems Ltd, UK).[18] TEWL is measured 55 201 three times on the same skin area located on the central part of the volar forearm. No preference is <sup>57</sup> 202 given to the left or right arm but depends on how the baby is positioned.

Natural moisturizing factors
Using a custom build device, the level of natural moisturizing factors (NMF) is measured on the
thenar region using confocal Raman spectroscopy (RiverD International B.V., Rotterdam, The
Netherlands).[19-21] Three values are recorded at all study visits, except the first study visit for the
premature children. The thenar region of the child's hand is placed on the device for approximately
60 seconds. Scattered light is sent towards the skin surface, exiting the molecules in the skin. Each
molecule represents a specific spectrum of light, and the specific composition of molecules is thereby
represented in the returned spectrum of light.[20] Again, the most accessible hand is measured, in
turn depending on the child's posture at the time of examination.
Superficial stratum corneum (SC) sampling
During all study visits, SC is collected by tape stripping as previously described.[22, 23] Eight
consecutive tape stripping discs (22 mm) D-squame, CuDerm, Dallas, Texas) are applied on the skin
followed by standardized pressure applied by a D-squame pressure application pen for 5 seconds and
gently removed with tweezers. Tapes are stored at -80° C immediately after sampling. Preterm infants
have SC collected from the skin between the shoulder blades, and at two months of age from the
cheek as well. Term infants have SC collected from cheek skin and the dorsal surface of the hand. No
preference is given to the left or right sides but depends on the positioning of the child. If a child
develops AD, SC is collected from the dorsal surface of the hand and from a lesional skin site,
preferably from the cheek, otherwise from a skin site with the most severe AD. SC samples will be
analyzed for biomarkers of the immune response by multiplex immuno-assays, NMF using a liquid
chromatography previously described by Kezic et al. [22] and corneocyte surface morphology by
atomic force microscopy. [24]

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6 7 228	Clinical skin assessment
8 9 229 10	A complete examination of the skin is performed at each study visit to describe the normal skin barrier
$^{11}_{12}230$	development.
$^{13}_{14}231$	Size, number and location of both congenital and acquired naevi are registered. Studies and meta-
16 232 17	analysis have shown that the number of nevi is inverse with AD. However, we are not aware of
<sup>18</sup> 233 19	prospective data collection. The palm of the hand is photographed to assess skin hyperlinearity at 2
<sup>20</sup> 234	months of age and in case the child develops AD.
22 23 235	
25 236 26	Atopic dermatitis assessment
<sup>27</sup> 237 28	The skin is evaluated for signs of AD at each study visit. A diagnosis of AD is initially given by a
<sup>29</sup> 30 238	physician and is subsequently diagnosed clinically using to the diagnostic criteria of Hanifin and
31 32 239 33	Rajka except for IgE-levels and subcapsular cataract.[25] AD severity is assessed using the Eczema
$34_{35}^{34}$ 240	Area and Severity Index (EASI).[26] During all following visits, AD severity is assessed using EASI
<sup>36</sup> 37 241	and Patient Oriented Eczema Measure (POEM) tool[27] and treatment for AD is recorded. As
38 39 242 40	mentioned, during the structured telephone interviews, AD is diagnosed using The U.K. Working
41 243 42	Party's Diagnostic Criteria for Atopic Dermatitis.[17]
43 44 244	
45 46 245	Genetics
47 48 246 49	Buccal swabs (Isohelix, Harrietsham, U.K.) are used to collect DNA to screen for the most common
<sup>50</sup> 247 51	FLG mutations in Northern European populations (R501X, 2282del4 and R2447X)[28] by TaqMan
52 53 248	genotyping assay, a routine analysis in our Biochemical department, and for single nucleotide
55 249 56	polymorphisms. For both analyses, cheek mucosa is rubbed for 60 seconds with a swab and stored at
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#### 2 Skin swabs

3 During all study visits, a bacterial swab is collected from the cheek skin (ESwab Collection and 4 Transport System Copan Italia, Brescia, Italy) and cultured for bacterial growth by routine 5 methodology at the Department of Microbiology, Herlev and Gentofte Hospital, Denmark. Only 6 samples positive for β-Hemolytic Streptococci isolates (groups A, B, C, G) or S. aureus have antimicrobial susceptibility testing performed and are subsequently stored at -80° C for future 7 8 analyses. In preterm children, skin microbiome is collected from the lumbar area of the back at first 9 visit and from cheek and lumbar area at two months of age. Skin microbiome samples (Isohelix, 0 Harrietsham, U.K.) are collected from cheek and dorsal surface of the hand in term children. If a child 1 develops AD, skin microbiome is also collected from a lesional skin site, preferably from the cheek 2 otherwise from the most severe AD lesion. Skin swabs are rubbed on the skin for 60 seconds and are 1.0 immediately stored at -80° C until analysis. 3

#### 5 Ultrasound

6 During all study visits, ultrasound examination is performed to visualize the thymus gland and 7 measure its size. The thymus index is defined as the multiplication of the two measurements and 8 represents an estimate of the thymic volume.[29] The largest transverse diameter of the thymus is 9 measured in a horizontal scan plane and the area of the largest lobe is measured in a sagittal scan 0 plane. Both measurements are performed twice. The best images with a full visualization of the gland 1 are selected by a trained radiologist. Measurements are performed with a transportable LOGIQ V2 2 ultrasound system with a 2-5.5 MHz C4-RS transducer (GE Healthcare, Milwaukee, WI).

#### **Study settings** 4

275 At each visit, air humidity, outdoor and indoor temperature is registered.

#### 277 Sample size estimation

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<sup>11</sup> 278 The sample size calculation was based on including preterm and mature children in a 1:2 ratio. The 279 power calculation was based on an expected prevalence of AD in 20% of the cohort population, 16 280 assessing changes in NMF, which is one of multiple important endpoints in our study. Based on a 18 281 previous study, where adult controls had an NMF of 0.095 +/-0.029,[30] we hypothesized a 12% 282 change in NMF in-newborns developing AD compared with children without developing AD. Using a two-sided parametric test with an alpha of 5% and a power of 80%, we calculated at sample size of 23 283 25 284 366 children. In order to account for possible drop-outs, and the intention to study many other <sup>27</sup> 285 predictors for AD and skin barrier function in general, we decided on a study population of 450 <sup>2</sup><sub>30</sub>286 participants in total, i.e. 150 preterm and 300 mature children.

#### <sup>34</sup> 288 **Data management** 35

37 289 Study data are collected and entered directly into an online REDCap (Research Electronic Data 39 290 Capture) database hosted at the Capital Region of Denmark.

<sup>43</sup>.292 Patient and public involvement 44

46 293 Patients and the public were not involved in the design of the study. All participants will be 48 2 9 4 acknowledged and thanked for their contribution in future publications.

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#### 53 296 **STRENGHTS AND LIMITATIONS**

The major strength of this birth cohort study is the extensive and repeated skin barrier measurements. 55 297 56 <sup>57</sup> 298 We will examine the skin barrier with multiple methodologies including Raman spectroscopy, TEWL 58 59 60

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and SC biomarkers. We will collect DNA and bacteria for genetic and skin microbiome analyses at several time points increasing the chance of finding a pathogenic role. We will include both preterm and term newborns allowing us to study the immature skin barrier and thymus in a large subset of children. We will use internationally accepted definitions to diagnose AD and assess severity. [25, 26] Collectively, the BABY cohort will cover a wide range of parameters with potential importance for the development of AD. Since approximately 80% of AD patients develop their disease within the first two years of life, we expect to identify children with both transient and more established AD. as well as being able to differentiate between early features and predictors. Furthermore, we already now plan for future follow-up studies on skin barrier functions, AD and allergic diseases in this birth cohort.

A potential limitation of the BABY Cohort is that all term children are recruited from Copenhagen only, possibly limiting the generalizability of the study to more rural areas. While we will register ambient room conditions including air humidity and indoor and outside temperature, seasonal and climatic variations will affect TEWL measurements. Since bathing habits prior to study visits are not standardized, but only registered, this might impact our skin barrier assessments. Children receiving incubator therapy have all measurements made directly in the incubator and the ambient conditions are recorded. As the study is strictly non-invasive, we will not make any blood measurements, and can therefore not assess the possible role of systemic inflammation. Due to our study design, we cannot discriminate clearly between early features and predictors. A concern in cohort studies is that participants may be lost to follow up. This is especially a concern for the premature children with many potential comorbidities who are recruited from Rigshospitalet; a highly specialized department responsible for treatment of all extremely premature children in eastern Denmark. To keep track of the included families, we gather contact information of both parents and contact them prior to follow-

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3 4 5 323	up visits. However, in case a family withdraws from the study, the date and reason for withdrawal
6 7 324	will be recorded.
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11 326 12	ETHICS AND DISSEMINATION
$^{13}_{14}327$	The study is approved by the scientific Ethical Committee of the Capital Region (H-16042289 and
16 328 17	H-16042294) and the local data protection agency (ID-no.: HGH-3017-040, I-suite no.:05578). Both
18 329 19	parents or guardians will give written informed consent prior to entry to the study.
<sup>20</sup> <sub>21</sub> 330	The BABY Cohort is conducted in accordance with the Declaration of Helsinki. All relevant study
22 23 331	results will be presented in peer-reviewed publications and presented at national and international
24 25 332	conferences.
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<sup>4</sup> 414	Figure legend 1:
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14 <sup>41 /</sup>	Figure legend 2:
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Page 23 of 22 BMJ Open Figure 2 - Scheduled investigations for term children in the BABY Cohort

