# AMERICAN THORACIC SOCIETY DOCUMENTS

# Initiating Pharmacologic Treatment in Tobacco-Dependent Adults

An Official American Thoracic Society Clinical Practice Guideline: Executive Summary

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**Background:** Current tobacco treatment guidelines have established the efficacy of available interventions, but they do not provide detailed guidance for common implementation questions frequently faced in the clinic. An evidence-based guideline was created that addresses several pharmacotherapyinitiation questions that routinely confront treatment teams.

**Methods:** Individuals with diverse expertise related to smoking cessation were empaneled to prioritize questions and outcomes important to clinicians. An evidence-synthesis team conducted systematic reviews, which informed recommendations to answer the questions. The GRADE (Grading of Recommendations, Assessment, Development, and Evaluation) approach was used to rate the certainty in the estimated effects and the strength of recommendations. **Results:** The guideline panel formulated five strong recommendations and two conditional recommendations regarding pharmacotherapy choices. Strong recommendations include using varenicline rather than a nicotine patch, using varenicline rather than bupropion, using varenicline rather than a nicotine patch in adults with a comorbid psychiatric condition, initiating varenicline in adults even if they are unready to quit, and using controller therapy for an extended treatment duration greater than 12 weeks. Conditional recommendations include combining a nicotine patch with varenicline rather than using varenicline alone and using varenicline rather than electronic cigarettes.

**Conclusions:** Seven recommendations are provided, which represent simple practice changes that are likely to increase the effectiveness of tobacco-dependence pharmacotherapy.

**Keywords:** dependence; pharmacotherapy; smoking; tobacco; treatment

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Am J Respir Crit Care Med Vol 202, Iss 2, pp 173–183, Jul 15, 2020 Copyright © 2020 by the American Thoracic Society DOI: 10.1164/rccm.202005-1982ST Internet address: www.atsjournals.org PICO 3: Varenicline plus Patch or Varenicline Alone PICO 4: Varenicline or Electronic Cigarette

# Summary of Recommendations

- 1. For tobacco-dependent adults in whom treatment is being initiated, we recommend varenicline over a nicotine patch (strong recommendation, moderate certainty in the estimated effects). *Remarks: To promote adherence* to pharmacologic therapy, providers should be prepared to counsel patients about the relative safety and efficacy of varenicline treatment compared with a nicotine patch.
- 2. For tobacco-dependent adults in whom treatment is being initiated, we recommend varenicline over bupropion (strong recommendation, moderate certainty in the estimated effects).
- 3. For tobacco-dependent adults in whom treatment is being initiated, we suggest varenicline plus a nicotine patch over varenicline alone (conditional recommendation, low certainty in the estimated effects).
- 4. For tobacco-dependent adults in whom treatment is being initiated, we suggest varenicline over electronic cigarettes (conditional recommendation, very low certainty in the estimated effects). Remarks: The recommendation's strength reflects very low certainty in the effects used to derive the recommendation. After our evidence synthesis, new evidence emerged regarding serious adverse effects of electronic cigarettes. If these serious adverse effects continue to be reported, the strength of the recommendation should be reevaluated. Note that this recommendation is intended for treatment of tobacco dependence under the supervision of a clinician; it should not be extrapolated to unsupervised treatment or recreational use.
- 5. In tobacco-dependent adults who are not ready to discontinue tobacco use, we recommend that clinicians begin treatment with varenicline rather than waiting until patients are ready to stop tobacco use (strong recommendation,

PICO 5: Pretreat or Wait for "Ready" PICO 6: Varenicline or Patch in Behavioral Health Patients

moderate certainty in the estimated effects).

- 6. For tobacco-dependent adults with comorbid psychiatric conditions, including substance-use disorder, depression, anxiety, schizophrenia, and/or bipolar disorder, for whom treatment is being initiated, we recommend varenicline over a nicotine patch (strong recommendation, moderate certainty in the estimated effects).
- 7. For tobacco-dependent adults for whom treatment is being initiated with a controller, we recommend using extended-duration (>12 wk) over standard-duration (6-12 wk) (strong recommendation, moderate certainty in the estimated effects).

### Introduction

In 1988, the U.S. Surgeon General described tobacco use as the cardinal sign of addiction to nicotine (1). Eight years later, the USPHS published the first comprehensive tobacco-dependence treatment guideline, establishing a new paradigm for clinical care (2, 3). As a result, a first principle of clinical practice was established: all patients who use tobacco should receive treatment for their dependence, rather than simply being encouraged to stop.

This guideline expands on the USPHS foundation. It answers pressing clinical questions regarding the initiation of tobacco-dependence pharmacotherapy. The goal is to improve patient-centered care for tobacco dependence by identifying a single evidence-based pathway that balances important outcomes, including short- and long-term tobacco abstinence and serious adverse events (SAEs), while accounting for important clinical variability. (Figure 1) It was not possible to include all possible pharmacotherapy combination choices nor was it feasible to account for all possible variations encountered in practice. This guideline was created with the assumption that accepted foundations of tobaccodependence treatment are already in

PICO 7: Extended or Standard Duration Discussion Patient Perspective

practice (Box 1). The target audience for the recommendations in our guideline includes patients, physicians, other clinicians, nurses, and policy makers.

### Disclaimer

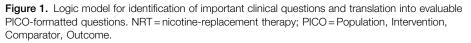
It is important to realize that guidelines cannot account for all potential clinical circumstances. This guideline is not intended to supplant clinician judgment, and its recommendations should not be considered mandates. For all recommendations, we have considered the balance of desirable and undesirable effects, certainty of evidence, patients' values and preferences, resources required, equity, acceptability, and feasibility. Clinicians are encouraged to apply the recommendations in the clinical context of each individual patient, particularly regarding the patient's values and preferences.

## Methods

Guideline recommendations were developed in accordance with principles outlined by the Institute of Medicine (now the National Academy of Medicine) (4). The GRADE (Grading of Recommendations, Assessment, Development, and Evaluation) approach was used to assess the certainty of evidence and to rate the strength of the recommendations (5-9). Panel composition, conflict-of-interest management, external review, and organizational approval all proceeded in accordance with American Thoracic Society (ATS) policies and procedures (10). The final panel included individuals with documented expertise in guideline methodology, behavioral health, health equity, nursing, pharmacy, and/or pediatrics. One member-in-training and one patient representative were included. Two committee members represented countries outside of North America. All panelists disclosed their potential conflicts of interest to the ATS. Most panelists were determined to have no substantial conflicts of interest and were approved to participate

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C	linical Question(s)	PICO Question(s)
Initial co Medication → cl	hich is the optimal ntroller medication hoice for initiating bacco dependence treatment?	<ul> <li>PICO 1: For tobacco-dependent adults in whom treatment is being initiated, should treatment be started with varenicline or nicotine patch?</li> <li>PICO 2: For tobacco-dependent adults in whom treatment is being initiated, should treatment be started with bupropion or varenicline?</li> </ul>
Modifications me	IId combining multiple echanisms of action nprove outcomes?	PICO 3: For tobacco-dependent adults in whom treatment is being initiated, should treatment be started with the optimal controller medication (varenicline) plus nicotine replacement therapy or the optimum controller (varenicline) alone?
Important Patient-Level Moderators	What if patients ren't interested in oproved therapies? ave a mental health r substance use sorder? emain ambivalent pout not smoking?	<ul> <li>PICO 4: For tobacco-dependent adults in whom treatment is being initiated, should treatment be started with an electronic cigarette or the optimal controller medication?</li> <li>PICO 5: In tobacco-dependent adults who are not ready to discontinue tobacco use, should clinicians begin treatment with the optimal cotroller or wait until they are ready to stop tobacco use?</li> <li>PICO 6: In tobacco-dependent adults with co-morbid psychiatric conditions, including substance use disorder, depression, anxiety, schizophrenia, and/or bipolar disorder, in whom treatment is being initiated, should clinicians start with the optimal controller medication identified for patients without psychiatric conditions or use NRT patch?</li> </ul>
Maintenance	/hat is the optimal duration of pharmacologic treatment?	PICO 7: In tobacco-dependent adults for whom treatment is being initiated with a controller, should they be treated with standard duration (6 to 12 weeks) or extended duration (greater than 12 weeks)?



without limitation. One panelist with a relevant industry relationship participated in discussions but was recused from formulating, grading, writing, or editing recommendations. The Guidelines in Intensive Care, Development, and Evaluation (GUIDE) Group provided methods support for this guideline.

#### **Questions and Outcomes of Interest**

Seven question in the PICO (Population, Intervention, Comparator, and Outcome) format were chosen for inclusion in the guideline (Figure 1). One question was discarded in May 2019 because of an absence of evidence and was replaced with an alternative question (PICO 3), leading to a recommendation based on available evidence. After comparing varenicline, nicotine patches, and bupropion in questions 1 and 2, varenicline was shown to be the best controller of the three; therefore, varenicline replaced the "optimal controller" in questions 3 through 6 when formulating recommendations.

The panel selected and defined outcomes for each question *a priori* and then rated the importance of each using a 9-point scale (11). The panel identified two critically important outcomes relevant to all questions: 1) abstinence, measured by biomarkers or self-report, for the 7 days before follow-up, performed at least 6 months after the target stop date, and 2) incidence of SAEs. Important outcomes also informed decision-making, including 1) abstinence during the treatment period, 2) tobacco-use relapse, 3) increase or decrease in other substance use, 4) quality of life, 5) severity of withdrawal, and 6) change in tobacco-use patterns.

#### Literature Search

A medical librarian worked with methodologists to identify available evidence without limits on publication date or language. The initial search was completed in January of 2019 and updated through October of 2019. For detailed search strategies, *see* online supplement.

#### **Evidence Synthesis**

The methodology team followed principles outlined in the *Cochrane Handbook for Systematic Reviews of Interventions*, using title and abstract screening, full-text screening, and data extractions performed independently and in duplicate (12). For each question, the GRADE "Evidence-to-Decision" framework was used to construct tables summarizing the results of systematic reviews (5, 6, 9).

Relative risks (RRs) were used to report analysis of dichotomous outcomes, mean differences were used for continuous outcomes, and hazard ratios were used for time-to-event outcomes. The absolute risk reduction (ARR) presents the result in terms of the anticipated increase or decrease in patients experiencing the effect per 1,000 patients treated (13). The lead methodologists categorized the certainty in the estimated effects into four degrees ranging from very low to high, as determined by considering the risk of bias, precision, consistency, directness, likelihood of publication bias, presence of a dose-effect relationship, and potential effect of residual and opposing confounding (7, 8, 14). Panel members reviewed the evidence profiles and Evidence-to-Decision tables (see online supplement) and provided feedback on the completeness of the data set.

Recommendations were formulated after panel members evaluated the benefits and harms, certainty in the estimated effects, assumptions about values and preferences, resource use, feasibility, acceptability, and

### Box 1. Foundations of Tobacco Dependence Treatment:

- 1. All patients should be screened for tobacco use, and the potential diagnosis of tobacco dependence should be assessed.
- 2. The diagnosis of tobacco dependence, as well as the toxic effects of tobacco exposure, should be incorporated into the patient's problem list.
- 3. Simply encouraging patients to stop smoking is insufficient. All patients who use tobacco should be provided with evidence-based treatment, including pharmacotherapy, to help them stop.
- 4. Tobacco-dependence interventions require longitudinal follow-up, akin to the longitudinal evaluation and management of other chronic illnesses.

Based on Reference 3.

equity impact of various courses of action. The strengths of the recommendations were rated using established GRADE criteria (15). Consensus on the direction and strength of recommendations, and on associated remarks, was achieved through discussion and iterative voting. Panelists with dissenting opinions were given the opportunity to record the rationale for their dissent. A summary of certainty assessments, selected relative and absolute effects, and resulting recommendations for each PICO question are presented in Table 1. Detailed evidence tables, including all outcomes of interest, are available in the full, online version of the guideline, available at http:// www.thoracic.org/statements/ and summarized below.

### **Recommendations**

#### **PICO 1: Varenicline or Patch**

To begin establishing an "optimal controller" medication, the panel first evaluated the relative effectiveness of a nicotine patch and varenicline. Our systematic review identified 14 randomized controlled trials (RCTs) directly comparing the efficacy of varenicline with that of a nicotine patch. Eleven RCTs reported exhaled carbon monoxide-verified abstinence at 6 months after treatment, and nine reported abstinence during the 10- to 12-week treatment period (11, 16-22). Compared with a nicotine patch, varenicline increased both long-term abstinence (RR, 1.20; 95% confidence interval [95% CI], 1.09-1.32; ARR, 40 more per 1,000 patients) and abstinence during the treatment period (RR, 1.40; 95% CI,

1.31–1.49; ARR, 101 more per 1,000 patients) (11, 16–24). Varenicline also likely reduced the risk of SAEs compared with the patch (RR, 0.72; 95% CI, 0.52–1.00; ARR, 3 fewer per 1,000 patients) (11, 16, 18, 19, 21–23, 25, 26). Certainty in the estimated effects was high for both 6-month and treatment-period abstinence, whereas it was moderate for SAE estimated effects because of serious imprecision.

The panel concluded 1) that varenicline is superior in achieving longterm abstinence when compared with a nicotine patch and 2) that varenicline is associated with fewer SAEs than a nicotine patch. On balance, the panel concluded that the clinical superiority of varenicline (balance of effect) outweighs its higher price and the possibly important uncertainty or variability of patients' values and preferences. The panel made a strong recommendation to use varenicline over a nicotine patch. Remarks: To promote adherence to pharmacologic therapy, providers should be prepared to counsel patients about the relative safety and efficacy of varenicline treatment compared with a nicotine patch.

#### **PICO 2: Varenicline or Bupropion**

Identifying an optimal controller next required evaluating the relative effectiveness of varenicline and bupropion. Our systematic review identified seven RCTs comparing varenicline with bupropion; four (n = 5,626) evaluated abstinence at 6-month follow-up (16, 27–29) and five (n = 5,655) evaluated abstinence during treatment (16, 27–30). Varenicline increased abstinence at 6-month follow-up (RR, 1.30; 95% CI, 1.19–1.42; ARR, 77 more per 1,000 patients) and during active treatment (RR, 1.41; 95% CI, 1.32–1.52; ARR, 147 more per 1,000 patients) while probably reducing the risk of SAEs compared with bupropion (RR, 0.81; 95% CI, 0.57–1.16; ARR, 3 fewer per 1,000 patients). Certainty in the estimated effects was high for 6-month and active treatment abstinence but was moderate for SAEs because of imprecision.

The panel concluded 1) that varenicline showed a large, desirable effect in achieving abstinence compared with bupropion, with high-certainty evidence, and 2) that varenicline treatment likely results in little to no difference in SAEs compared with bupropion. On balance, the panel concluded that the clinical superiority (balance of effect) of varenicline outweighs its higher price and the possibly important uncertainty or variability of patients' values and preferences. As a result, the panel chose to make a strong recommendation to use varenicline over bupropion.

# PICO 3: Varenicline plus Patch or Varenicline Alone

Given varenicline's agonist-antagonist properties, it is commonly held that combining varenicline with nicotine pharmacotherapy should be of limited utility (31). However, nicotine's effects on the brain are complex and extend beyond the nicotinic receptor system. With varenicline being the optimal controller for initiation, it became important to evaluate whether supplementing varenicline with nicotine-replacement therapy would be better than using varenicline alone. Our review identified three treatment trials that directly compared varenicline combined with a nicotine patch to varenicline alone, two of which (n = 776) reported on smoking abstinence at 6 months (32, 33) and three (n = 893) of which compared adverse events (32-34). Varenicline plus a nicotine patch significantly increased 6month (RR, 1.36; 95% CI, 1.07-1.72; ARR, 105 more per 1,000 patients) and duringtreatment (RR, 1.31; 95% CI, 1.11-1.54; ARR, 112 more per 1,000 patients) abstinence compared with varenicline alone. The combination trivially increased SAEs (RR, 1.06; 95% CI, 0.27-4.05; ARR, 1

Table 1. Summary of Estimated Effects of Intervention versus Comparator, with Consequent Recommendation for Each of the Seven PICO Questions Assessed

Intervention	Comparator	Point-Prevalence Abstinence*	Absolute Effect <sup>†</sup>	Treatment Abstinence <sup>‡</sup>	Absolute Effect <sup>†</sup>	Adverse Events	Absolute Effect <sup>†</sup>	Evidence Certainty	Recommendation
PICO 1 Varenicline	Patch	1.20 (1.09–1.32)	40 more/1,000	1.40 (1.31–1.49) 101 more/1,000	101 more/1,000	0.72 (0.52–1.00) 3 fewer/1,000	3 fewer/1,000	High	Strong: favors
PICO 2 Varenicline	Bupropion	1.30 (1.19–1.42) 77 more/1,000		1.41 (1.32–1.52) 147 more/1,000	147 more/1,000	0.81 (0.57-1.16) 3 fewer/1,000	3 fewer/1,000	High	Strong: favors
PICO 3 Varenicline + patch	Varenicline alone	1.36 (1.07–1.72)	105 more/1,000	1.36 (1.07-1.72) 105 more/1,000 1.31 (1.11-1.54) 112 more/1,000	112 more/1,000	1.06 (0.27-4.05) 1 more/1,000	1 more/1,000	Low	Conditional: favors intervention
PICO 4 Varenicline	Electronic	1.44 (0.75–2.80)	143 more/1,000 No estimate	No estimate	No estimate	No estimate	No estimate	Very low	Conditional: favors
PICO 5 Pretreat	cigarette Wait	2.00 (1.70–2.35)	173 more/1,000	2.00 (1.70–2.35) 173 more/1,000 2.49 (2.09–2.98) 308 more/1,000	308 more/1,000	1.75 (0.98-3.13) 12 more/1,000 High	12 more/1,000	High	Strong: favors
PICO 6 Varenicline	Patch	1.31 (1.12–1.53)	36 more/1,000	36 more/1,000 1.78 (0.78-4.08) 108 more/1,000	108 more/1,000	0.95 (0.54-1.67) 1 fewer/1,000	1 fewer/1,000	Moderate	Strong: favors
PICO 7 >12 wk	≤12 wk	1.22 <sup>§</sup> (1.07–1.39)	53 more/1,000	N/A	N/A	1.37 (0.79–2.36) 3 more/1,000	3 more/1,000	Moderate	Strong: favors intervention

Numerical data are shown as the relative risk (95% confidence interval) or patients/patients treated. For complete evidence tables, together with results of the Evidence-to-Decision process, see online supplement.

\*Seven-day point-prevalence abstinence assessed at 6-month follow-up. <sup>†</sup>Estimated number of additional (or fewer) patients achieving outcome with intervention. <sup>‡</sup>Seven-day point-prevalence abstinence achieved during treatment period. <sup>§</sup>PICO 7 long-term abstinence assessed at 12-month follow-up.

more per 1,000 patients) (32–34). Certainty was high for abstinence during 6-month follow-up and active treatment, whereas it was low for SAE estimates because of very serious imprecision.

The panel concluded 1) that varenicline plus a nicotine patch showed a large desirable effect compared with varenicline alone in smoking abstinence and 2) that varenicline plus a nicotine patch may increase the risk of SAEs only slightly compared with varenicline alone. As a result, the panel chose to make a conditional recommendation in favor of varenicline plus a nicotine patch over varenicline alone.

# PICO 4: Varenicline or Electronic Cigarette

Despite the established efficacy of U.S. Food and Drug Administration-approved pharmacologic agents for tobacco dependence, a significant number of clinicians have recommended electronic cigarettes (e-cigarettes) to help their patients stop smoking (35-37). With varenicline as the optimum controller, the panel believed it important to evaluate whether varenicline or e-cigarettes should be used to treat tobacco-dependent adults. Our systematic review identified one conference abstract reporting an RCT and one observational study directly comparing varenicline with e-cigarettes. The trial recruited 54 smokers with a history of acute coronary syndrome and provided insufficient methodologic information to assess certainty (38). The observational study reported 1-year (mean) follow-up of 3,093 individuals attempting to quit smoking, including 156 using varenicline and 200 using e-cigarettes (39). Because of the paucity of direct evidence, the panel also considered indirect evidence. Eleven randomized trials comparing varenicline with nicotine replacement (11, 16-24) and two randomized trials comparing e-cigarettes with nicotine replacement (40, 41) were selected, and a network meta-analysis including 8,830 individuals was performed. The RCT suggested an increase in self-reported abstinence of 14.8% (95% CI, 3.9% to 25.8%), supported by the observational data suggesting a non-statistically significant increase in continuous abstinence at 6-month follow-up (mean difference, +4.6%; 95%

CI -1.8% to +11%) compared with e-cigarettes. The indirect evidence suggested varenicline might lead to a non-statistically significant decrease in abstinence at 6-month assessment (RR, 0.85; 95% CI, 0.65 to 1.10; ARR, 42 fewer per 1,000 patients) but might lead to increased abstinence at 3-month assessment (RR, 1.10; 95% CI, 0.73 to 1.60; ARR, 22 more per 1,000 patients). Varenicline might decrease the risk of SAEs compared with e-cigarettes (RR, 0.32; 95% CI, 0.071 to 0.82; ARR, 52 fewer per 1,000 patients). Certainty in direct evidence was very low because of inconsistency and a serious risk of bias, and indirect-evidence certainty was very low because of indirectness, imprecision, and risk of bias.

The panel concluded 1) that varenicline showed an uncertain benefit compared with e-cigarettes in abstinence or relapse and 2) that varenicline might have fewer adverse events than e-cigarettes. As a result, the panel made a conditional recommendation favoring varenicline over e-cigarettes. Remarks: The recommendation's strength reflects very low certainty in the effects used to derive the recommendation. After our evidence synthesis, new evidence emerged regarding serious adverse effects of e-cigarettes. If these serious adverse effects continue to be reported, the strength of the recommendation should be reevaluated. Note that this recommendation is intended for treatment of tobacco dependence under the supervision of a clinician; it should not be extrapolated to unsupervised treatment or recreational use.

The panel made several important observations related to the generalizability of the indirect comparison of varenicline with e-cigarettes. Significant differences in the way nicotine is used (i.e., common comparator) in studies evaluating varenicline or e-cigarettes likely impact effect estimates. In addition, target outcomes are different, with varenicline outcomes reflecting discontinuation of smoking and e-cigarette outcomes reflecting a delivery substitution. E-cigarettes appear to carry their own unique risk profile, with wide variability in effects across product categories, aerosol constituents, ages of initiation, and consumer use patterns (42). The panel, aware of large epidemiologic studies of the respiratory and cardiovascular impact of e-cigarette use,

emphasized that the overall health consequences of e-cigarette use have become increasingly suspect (43–45); conversely, the initial safety concerns over varenicline have diminished (46, 47).

Although there was unanimity among the panel regarding the preferred intervention, four panelists (H.J.F., P.G., S. Pakhale, and M.C.P.) advocated for a strong, rather than conditional, recommendation. They were concerned about the safety and effectiveness of e-cigarettes because of case reports that were not included in the evidence synthesis. They cited reports of deaths or disability due to e-cigarette- or vaping-associated lung injury (48-51), burns due to product explosion, acute nicotine poisoning, and seizures, as well as histopathologic injuries in laboratory studies. They noted that such concerns have prompted warnings about e-cigarettes from numerous organizations, each recommending that clinicians rely on medications approved by the U.S. Food and Drug Administration or other regulatory agencies instead of relying on alternative modalities that lack an established evidentiary base (48, 52-55). Two nonvoting panelists later joined the dissent (P.F. and T.L.), but these panelists were unavailable to participate in the panel discussions of the evidence or the formulation and grading of the recommendation.

In August 2019, the CDC issued a Health Advisory based on a collection of cases of severe lung injury related to the use of e-cigarettes (48). Since then, the number of reported cases of vaping-associated pulmonary injury has risen precipitously, and these cases have resulted in a number of deaths (49-51). The panel carefully reviewed the recognized GRADE circumstances in which low-certainty evidence could be used to inform a strong recommendation and concluded that although the syndrome is dramatic in its presentation and tragic in its consequences, the nature of the evidence precluded upgrading the recommendation to strong at this time (15). This recommendation is based on an effort to compare use of varenicline with use of e-cigarettes exclusively within the context of tobacco-dependence treatment and should not be interpreted as an implicit statement of the relative value of e-cigarettes for public health.

#### PICO 5: Pretreat or Wait for "Ready"

The idea that "readiness to quit" should be assessed has been prominent in tobacco

treatment strategies because of nearuniversal initial acceptance of the transtheoretical model of behavior change (56). More recently, the relevance of the model has come into question on the basis of observations of the dynamic nature of behavior change (57). Although patients may not be ready to abstain, they may be willing to try tobacco-dependence treatment (58). The panel posed a question evaluating the relative effect of initiating optimal controller therapy before patients express a readiness to abstain. Our systematic review identified four RCTs addressing efficacy of treatment initiation in smokers unready to abstain (i.e., "pretreatment") (59-62) and identified a fifth evaluating the 15-day experimental effect of varenicline in non-treatmentseeking smokers (63). Self-reported abstinence was biochemically confirmed with exhaled carbon monoxide.

More smokers were able to stop smoking when treated with varenicline, despite initial reluctance. Abstinence at 6-month follow-up (RR, 2.00; 95% CI, 1.70-2.35; ARR, 173 more per 1,000 patients) and 24-week follow-up (RR, 2.49; 95% CI, 2.09-2.98; ARR, 308 more per 1,000 patients) increased with varenicline compared with waiting for affirmation of readiness. Varenicline likely increased SAEs (RR, 1.75; 95% CI, 0.98-3.13; ARR, 12 more per 1,000 patients). Certainty in estimates was high for abstinence at both points and was moderate for SAEs because of imprecision. The evidence suggests varenicline pretreatment would be acceptable to stakeholders (64-67). In addition, the panel considered varenicline pretreatment to be more clinically feasible than asking patients to quit immediately.

The panel concluded 1) that the initiation of varenicline treatment in smokers not ready to abstain showed a large effect on abstinence, with highcertainty evidence, and 2) that initiation of pretreatment showed a small increase in SAEs, with moderate-certainty evidence. As a result, the panel concluded that the clinical superiority (balance of effect) of varenicline in smokers not ready to abstain outweighs its higher price and the possibly important uncertainty or variability of patients' values and preferences. The panel chose to make a strong recommendation in favor of beginning varenicline treatment in patients who are not ready to quit, rather than waiting for affirmation of readiness. Of note, the panel

recognized a potential threat to patient autonomy if the proactive approach is misapplied, whereas autonomy is preserved when patients are engaged in discussion regarding initiating pharmacotherapy with continued smoking and their right to decline treatment is respected.

# PICO 6: Varenicline or Patch in Behavioral Health Patients

Early black-boxed warnings regarding possible neuropsychiatric adverse effects of varenicline and bupropion limited uptake, despite stemming from case reports and postmarketing surveillance. No early RCT found evidence for these events. In light of persistent stigma assigned to varenicline within the behavioral health community, the panel believed it important to evaluate the evidence guiding the clinical question of whether varenicline or nicotine should be used in adults with comorbid psychiatric conditions (68). Our systematic review identified two RCTs (n = 2,194) directly comparing varenicline with the nicotine patch in a cohort of participants with mental illness (16, 23). Both studies assessed abstinence at 6-month follow-up, abstinence during treatment, and SAE rates. Compared with a nicotine patch, varenicline increased abstinence at 6-month follow-up (RR, 1.31; 95% CI; 1.12-1.53; ARR; 36 more per 1,000 patients) and likely increased abstinence at the end of 12-week treatment (RR, 1.78; 95% CI, 0.78-4.08; ARR, 108 more per 1,000 patients) while probably also decreasing the risk of SAEs (RR, 0.95; 95% CI, 0.54-1.67; ARR, 1 fewer per 1,000 patients). There was one RCT that evaluated the impact of varenicline on use of other substances, which was judged to be unclear because of very low certainty in the evidence (alcohol: RR, 0.56; 95% CI, 0.24-1.3; ARR, 128 fewer per 1,000 patients; other substances: RR, 1.42; 95% CI, 0.71-2.87; ARR, 108 more per 1,000 patients). Certainty in SAE effects was moderate because of serious imprecision, with 95% CIs that could lead to opposing conclusions. The impact of varenicline on risks of other substance use was judged to be of very low certainty because of a serious risk of bias and very serious imprecision due to the small number of events. The panel considered both interventions to be acceptable to stakeholders and to be increasingly feasible to implement with the boxed warning removed.

Compared with nicotine, the panel concluded that varenicline 1) may result in a large benefit for abstinence and 2) would likely result in little to no difference in SAEs, with both results having moderate certainty in the estimated effects, in patients with substance-use or psychiatric disorders. As a result, the panel concluded that the clinical superiority (balance of effect) of varenicline in in patients with substanceuse or psychiatric disorders outweighs its higher price and the possibly important uncertainty or variability of patients' values and preferences. The panel chose to make a strong recommendation in favor of initiating varenicline over the patch in patients with comorbid psychiatric conditions, including substance-use disorder, depression, anxiety, schizophrenia, and/or bipolar disorder.

### PICO 7: Extended or Standard Duration

Relapse after pharmacologic discontinuation is common (69). Among the various strategies aimed at preventing relapse, an extended duration of treatment has been effective at modifying sustained abstinence rates in some contexts (70). The panel found guidance on treatment duration to be of critical importance, comparing extended therapy (i.e., >12 wk) with standard-duration therapy (8-12 wk). Our systematic review identified 12 studies that directly compared extended with standard-duration controller therapy with varenicline, bupropion, or nicotine (71–81). Eight studies (n = 3,711) provided data for the primary abstinence at 12-month analysis, and five reported SAE data (76, 78, 79, 81). Compared with standard-duration controller therapy, extended-duration therapy probably increased abstinence at 1-year follow-up (RR, 1.22; 95% CI, 1.07-1.39; ARR, 53 more per 1,000 patients) and probably reduced relapse assessed at 12-18 months after initiation of therapy (hazard ratio, 0.43; 95% CI, 0.29-0.64). Compared with standard-duration controller therapy, extended-duration therapy probably increased SAEs slightly (RR, 1.37; 95% CI, 0.79-2.36; ARR, 3 more per 1,000 patients). Certainty in the estimated abstinence at 12 months was moderate because of a serious risk of bias. Certainty in estimated SAE effects was moderate because of serious imprecision. The panel considered extended-duration therapy to be acceptable to stakeholders and feasible to implement.

### Box 2. Evidence-based Tobacco Dependence Treatment Recommendations:

- 1. In tobacco-dependent adults for whom treatment is being initiated, we recommend using varenicline over a nicotine patch.
- 2. For tobacco-dependent adults for whom treatment is being initiated, we recommend using varenicline over bupropion.
- 3. In tobacco-dependent adults for whom treatment is being initiated, we suggest offering varenicline plus a nicotine patch over using varenicline alone.
- 4. For tobacco-dependent adults for whom treatment is being initiated, we suggest using varenicline over electronic cigarettes.
- 5. In tobacco-dependent adults who are not ready to discontinue tobacco use, clinicians should begin treatment with varenicline rather than waiting until patients are ready to stop tobacco use.
- 6. For tobacco-dependent adults with comorbid psychiatric conditions, including substance-use disorder, depression, anxiety, schizophrenia, and/or bipolar disorder, for whom treatment is being initiated, we recommend using varenicline over a nicotine patch.
- 7. For tobacco-dependent adults for whom treatment is being initiated, we recommend using extended-duration (>12 wk) controller therapy.

Development details and the evidence base are available at http://www.thoracic.org/statements/.

The panel concluded 1) that more than 12 weeks of pharmacotherapy provides a large benefit compared with standard treatment courses of fewer than 12 weeks, with increased abstinence and decreased relapse rates having moderate-certainty evidence, and 2) that extended-duration therapy probably does not increase or decrease SAEs compared with standardduration therapy, with outcomes showing moderate-certainty evidence. As a result, the panel chose to make a strong recommendation for extended-duration treatment of tobacco dependence beyond 3 months, including regimens of up to 12 months in duration, considering that the clinical superiority (balance of effect) of extended-duration treatment outweighs its higher price and the possibly important uncertainty or variability of patients' values and preferences.

### Discussion

From our 21st-century perspective, clinicians engage tobacco use as the cardinal manifestation of a disturbance in the brain's molecular-learning mechanisms, extending

the treatment team's responsibility beyond encouraging quitting to include maximizing longitudinal control over the compulsion to smoke. The guideline brings clarity to complex clinical decision-making and addresses several limiting misconceptions, including the value of combination pharmacotherapy, the approach to patients reluctant to stop smoking, and the safety and efficacy of treating vulnerable behavioral health populations. Pragmatically, these 7 recommendations (Box 2) provide straightforward suggestions for individual practice change, amplifying the clinician's ability to check preventable illness. Ideally, they also represent a new set of practice standards for treatment teams, health systems, and payers.

The main limitation of our guideline is the limited number of recommendations included. Because our objective was to identify a functional, evidence-based pharmacotherapy pathway, we began the process by identifying an optimal controller medication on which to build additional clinical recommendations. By necessity, our guideline could not address all possible pharmacotherapy options.

## **Patient Perspective**

In 1967, as a young college freshman, I made the decision to smoke—the worst I ever made. I can tell you from experience that the addiction to smoking is real. Every day is going to be the day to quit, but every moment brings reminders that addiction to cigarettes is stronger than the will to quit. Days, months, and years go by, but the quit date keeps getting pushed further into the future.

All smokers face the possibility of lung cancer, heart disease, and other debilitating illnesses, in addition to the societal stigma that tobacco use carries. It's not surprising that most smokers really do want to quit. Healthcare professionals have a prominent role to play in tobacco dependence. They have the trust of their patients, and their voices are heard across a vast range of social, economic, and political arenas. By developing effective pathways for treating tobacco dependence, the ATS is taking important steps toward changing the impact tobacco dependence will have on future generations.

This official clinical practice guideline was prepared by an ad hoc subcommittee of the ATS Assembly on Clinical Problems.

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