

Supplementary Information

Table S1. OVID Medline Search Strategy

<input type="checkbox"/>	# ▲	Searches	Results	Type
<input type="checkbox"/>	1	breast neoplasms/ or unilateral breast neoplasms/	262225	Advanced
<input type="checkbox"/>	2	receptors, estrogen/ or receptors, progesterone/	42029	Advanced
<input type="checkbox"/>	3	Neoplasms, Hormone-Dependent/	5790	Advanced
<input type="checkbox"/>	4	hormone receptor positive breast cancer.tw.	676	Advanced
<input type="checkbox"/>	5	ER+ breast cancer.tw.	773	Advanced
<input type="checkbox"/>	6	ER positive.tw.	4722	Advanced
<input type="checkbox"/>	7	estrogen receptor positive breast cancer.tw.	902	Advanced
<input type="checkbox"/>	8	ER+.tw.	64855	Advanced
<input type="checkbox"/>	9	(ER adj3 breast cancer).tw.	3461	Advanced
<input type="checkbox"/>	10	2 or 3 or 4 or 5 or 6 or 7 or 8 or 9	96542	Advanced
<input type="checkbox"/>	11	postmenopaus*.tw.	46148	Advanced
<input type="checkbox"/>	12	Postmenopause/	22899	Advanced
<input type="checkbox"/>	13	11 or 12	51022	Advanced
<input type="checkbox"/>	14	neoadjuvant endocrine therapy.tw.	155	Advanced
<input type="checkbox"/>	15	neoadjuvant hormonal therapy.tw.	327	Advanced
<input type="checkbox"/>	16	neoadjuvant.tw.	22113	Advanced
<input type="checkbox"/>	17	preoperative endocrine therapy.tw.	27	Advanced
<input type="checkbox"/>	18	preoperative.tw.	194645	Advanced
<input type="checkbox"/>	19	14 or 15 or 16 or 17 or 18	212835	Advanced
<input type="checkbox"/>	20	letrozole.tw.	2098	Advanced
<input type="checkbox"/>	21	anastrozole.tw.	1467	Advanced
<input type="checkbox"/>	22	exemestane.tw.	1001	Advanced
<input type="checkbox"/>	23	fulvestrant.tw.	1003	Advanced
<input type="checkbox"/>	24	tamoxifen.tw.	19680	Advanced
<input type="checkbox"/>	25	Aromatase Inhibitors/	5732	Advanced
<input type="checkbox"/>	26	Antineoplastic Agents, Hormonal/	15363	Advanced
<input type="checkbox"/>	27	20 or 21 or 22 or 23 or 24 or 25 or 26	35159	Advanced
<input type="checkbox"/>	28	1 and 10 and 13 and 19 and 27	170	Advanced

Table S2 Glossary of Terms and Definitions

Glossary of Terms and Definitions	
BCS	Breast conserving surgery. Also referred to as lumpectomy or partial mastectomy.
ER	Estrogen receptor.
LABC	Locally advanced breast cancer. Locally advanced breast cancer is defined as tumors that are >50mm in size or involve the skin of the breast/chest wall, multiple axillary lymph nodes or the supra/infraclavicular lymph nodes [1].
NCT	Neoadjuvant chemotherapy.
NET	Neoadjuvant endocrine therapy. This includes tamoxifen, fulvestrant and aromatase inhibitors (letrozole, anastrozole, exemestane).
ORR	Objective response rate. This is usually calculated according to the Response Evaluation Criteria in Solid Tumors (RECIST) [3]. The 4 RECIST categories are complete response (CR), partial response (PR), progressive disease (PD) and stable disease (SD) [4]. CR is defined as complete disappearance of the tumor and reduction in pathological lymph nodes to <10mm [4]. PR is defined as $\geq 30\%$ reduction in the tumor [4].
pCR	Pathological complete response. This is defined as complete absence of the cancer from the breast and the regional lymph nodes.
PEPI	Preoperative endocrine prognostic index. Calculation and components are discussed in <i>Appendix 3</i> .
PgR	Progesterone receptor.
RFS	Relapse free survival. This refers to the length of time following treatment that the patient remains free of signs and symptoms of the disease.

Table S3: Allred Score

The Allred Score is used to determine the ER status of a tumor and has a sensitivity of 99.4% and a specificity of 99.5% [2].

A table showing the calculation of the ER Allred Score is included for your reference:

Proportion Score (PS)	Observation Estimate	Intensity Score (IS)	Observation Estimate
0	No staining	0	No staining
1	1%	1	Weak
2	1-10%	2	Intermediate
3	10-33%	3	Strong
4	33-36%	-	-
5	66-100%	-	-

ER Allred Score = Sum of Proportion Score (PS) and Intensity Score (IS)

Total Score	ER Status of the Tumor
0-2	Negative
3-8	Positive

Table adapted from Qureshi and Pervez, 2010 [2].

Table S4: Preoperative Endocrine Prognostic Index (PEPI) Calculation

The PEPI is calculated using tumor size, nodal status, Ki67 level and ER status (Allred score) of the post-neoadjuvant therapy surgical specimen [4, 5].

The 3 possible PEPI score categories are 0, 1-3 and ≥ 4 [5]. A table summarizing the PEPI calculation is included below for your reference:

Tumor Characteristics at Surgery	RFS HR	PEPI Score Allocation
Tumor Size		
T1/2	-	0
T3/4	2.8	3
Nodal Status		
Negative	-	0
Positive	3.2	3
Ki67 Level		
0 to 2.7%	-	0
>2.7% to 7.3%	1.3	1
>7.3% to 19.7%	1.7	1
>19.7% to 53.1%	2.2	2
>53.1%	2.9	3
ER Status		
Negative	2.8	3
Positive	0	0

RFS = Relapse Free Survival

HR = Hazard Ratio

Table adapted from Ma et. al, 2015 [4].

The scores from the 4 categories are added to give the total PEPI score and hence determine relapse risk.

Note: The ER status (negative or positive) is determined based on the Allred scoring system which is described in Table S3.

References

1. Yalcin B: Overview on locally advanced breast cancer: defining, epidemiology, and overview on neoadjuvant therapy. *Exp Oncol* 2013, 35(4):250-252.
2. Qureshi A, Pervez S: Allred scoring for ER reporting and it's impact in clearly distinguishing ER negative from ER positive breast cancers. *J Pak Med Assoc* 2010, 60(5):350-353.
3. Carpenter R, Doughty JC, Cordiner C, Moss N, Gandhi A, Wilson C, Andrews C, Ellis G, Gui G, Skene AI: Optimum duration of neoadjuvant letrozole to permit breast conserving surgery. *Breast Cancer Res Treat* 2014, 144(3):569-576.
4. Ma CX, Reinert T, Chmielewska I, Ellis MJ: Mechanisms of aromatase inhibitor resistance. *Nat Rev Cancer* 2015, 15(5):261-275.
5. Ellis MJ, Tao Y, Luo J, A'Hern R, Evans DB, Bhatnagar AS, Chaudri Ross HA, von Kameke A, Miller WR, Smith I, Eiermann W, Dowsett M: Outcome prediction for estrogen receptor-positive breast cancer based on postneoadjuvant endocrine therapy tumor characteristics. *J Natl Cancer Inst* 2008, 100(19):1380-1388.