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Pragmatic accuracy of in-house loop-mediated isothermal amplification (LAMP) for diagnosis of pulmonary tuberculosis in a Thai community hospital

--Manuscript Draft--

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Article Type:	Research Article
Full Title:	Pragmatic accuracy of in-house loop-mediated isothermal amplification (LAMP) for diagnosis of pulmonary tuberculosis in a Thai community hospital
Short Title:	Diagnostic accuracy of in-house LAMP for pulmonary TB
Corresponding Author:	Phichayut Phinyo Chiang Mai University Chiang Mai, Chiang Mai THAILAND
Keywords:	pulmonary tuberculosis; LAMP; Diagnosis; sensitivity; Specificity
Abstract:	<p>Background: To improve the quality of diagnosing pulmonary tuberculosis (TB), WHO recommends the use of rapid molecular testing as an alternative to conventional microscopic methods. Loop-mediated isothermal amplification assay (LAMP test) is a practical and cost-effective nucleic acid amplification technique. We evaluated the pragmatic accuracy of the in-house LAMP assay for the diagnosis of TB in a remote health care setting where an advanced rapid molecular test is not available.</p> <p>Methods: A prospective diagnostic accuracy study was conducted. Patients with clinical symptoms suggestive of TB were consecutively enrolled from April to August 2016. Sputum samples were collected from each patient and were sent for microscopic examination (both acid-fast stain and fluorescence stain), in-house LAMP test, and TB culture.</p> <p>Results: One hundred and seven patients with TB symptoms were used in the final analysis. This included 50 (46.7%) culture-positive TB patients and 57 (53.3%) culture-negative patients. The overall sensitivity of the in-house LAMP based on culture positivity was 88.8% (95%CI 81.2,94.1). The sensitivity was 90.9% (95%CI 78.3,97.5) for smear-positive, culture-positive patients, and was 16.7% (95%CI 0.4,64.1) for smear-negative, culture-positive patients. The overall sensitivity and accuracy of the in-house LAMP test compared to smear microscopy methods were not significantly different ($p=0.375$ and $p=1.000$, respectively). The specificity of the in-house LAMP based on non-TB patients (smear-negative, culture-negative) was 94.7% (95%CI 85.4,98.9).</p> <p>Conclusions: The diagnostic accuracy of the in-house LAMP test in a community hospital was comparable to other previous reports in terms of specificity. The sensitivity of the in-house assay could be improved with better sputum processing and DNA extraction method.</p>
Order of Authors:	<p>Sarawut Toonkomdang</p> <p>Phichayut Phinyo</p> <p>Benjawan Phetsuksiri</p> <p>Jayanton Patumanond</p> <p>Janisara Rudeeaneksin</p> <p>Wiphat Klayut</p>
Response to Reviewers:	<p>Responses to Reviewers' comments</p> <p>Pragmatic accuracy of loop-mediated isothermal amplification (LAMP) for diagnosis of pulmonary tuberculosis in a Thai community hospital</p> <p>Reviewer #1:</p> <p>1.The study aims to evaluate usefulness of a LAMP method in a practical setting in Thailand. The LAMP method is now available as an only commercial kit TB-LAMP assay (Loopamp™ MTBC Detection Kit, Eiken Chemical Company Ltd., Japan) as endorsed by WHO in 2016. It seems that the method used in this study is a unique</p>

system at least partially. So, it is important to state explicitly that the target to be evaluated was an in-house LAMP and not one commercially available LAMP recommended by WHO.

oThe LAMP test in our study was a non-commercial, in-house LAMP.

oWe re-wrote the manuscript and emphasized that the test used was in-house LAMP.

2. In evaluating the sensitivity of the method, the authors used culture negative (clinically defined) cases, as well as bacteriologically confirmed cases, as a gold standard of the cases of TB. It may be difficult to admit the clinical diagnosis as a diagnostic basis for such a study as this, apart from clinical practice. Vice versa, the definition of the gold (conventional) standard for specificity (non-cases) should be reconsidered. The following paper may be of use in revising the paper; Kaku et al: Accuracy of LAMP-TB Method for Diagnosing Tuberculosis in Haiti. *Jpn. J. Infect. Dis.*, 69, 488–492, 2016.

oWe modified the inclusion criteria for analysis as suggested by both reviewers.

oAs the analysis was done in a per-patient fashion, patients with smear-positive and culture-negative results would be excluded, as these patients were considered as probable TB cases. Therefore, the evaluation of sensitivity would include patients with both smear positive and smear negative with positive culture results. In contrast, the evaluation of specificity would include only patients with smear-negative and culture-negative results.

Reviewer #2:

1. Abstract/Background: “proven diagnostic performance” – this is both vague and too specific at the same time, “most of the results were validated” – the results aren’t validated, the assay is validated

oWe rewrote the abstract and introduction part as suggested.

2. The language surrounding people with possible TB needs to be updated throughout the paper - avoid the use of terms like “TB suspects” that increase the stigma surrounding this disease.

http://www.stoptb.org/assets/documents/resources/publications/acsm/LanguageGuide_ForWeb20131110.pdf

oWe rewrote the abstract and introduction part as suggested.

3. The paper states repeatedly that there is little work published from resource-challenged settings, but this claim is not supported. Even the references given cite studies in such decentralized settings. Maybe it just hasn’t been done in Thailand? A better summary of the literature needs to be included. How does this compare to other studies? How is the TB LAMP test performed in this study compare to the TB LAMP tests in other published literature? A better focus on properly relating the current study to the body of work in the literature rather than trying to claim it is quite novel would actually strengthen the paper. There is merit in replication or demonstrating an important diagnostic in a new geographical area.

oWe rewrote the abstract and introduction part as suggested.

4. In-house vs commercialized kit is mentioned but not explained. And the position of this paper (what LAMP testing approach is used) is not properly placed in the context of what other papers are using and the potential impact on sensitivity/specificity.

oWe rewrote the abstract and introduction part as suggested.

5. The sensitivity/specificity of LAMP in other papers, settings, etc needs to be stated with numbers and not just alluded to. A proper, specific summary of the literature is lacking.

oWe rewrote the abstract and introduction part as suggested.

6. “In 2016, WHO suggested the use of LAMP assay for the diagnosis of pulmonary tuberculosis” – this is not quite right, WHO recommendations are very specific and it is important to get that right. From the abstract of the citation provided: “WHO recommends that TB-LAMP can be used as a replacement for microscopy for the diagnosis of pulmonary TB in adults with signs and symptoms of TB”. This needs to be stated correctly. Also, given the paper has mentioned in-house vs commercialized kits, it needs to be clarified that the WHO guidance refers only to the Eiken LAMP kit.

oWe rewrote the abstract and introduction part as suggested.

7. “LAMP assay has a low cost per test, does not required advanced technological facilities, and can be routinely practiced in general hospital laboratories [3].” Reference 3 doesn’t support this statement – it doesn’t say anywhere that the LAMP assay has a low cost per test. It says “Costs can be kept to a minimum if testing is limited to specimens from the most high-risk patients based on proper clinical assessments and national testing algorithms based on public health policies.” There are other

publications on the cost of the LAMP assay for TB diagnosis. The authors might explain better the infrastructure/training needed for LAMP based on this reference and others.

oWe rewrote the abstract and introduction part as suggested.

oWe changed the references to the statement as follow: Sohn H. Cost, affordability, and cost-effectiveness of TB-LAMP assay. In: Report to WHO Guideline Development Group Meeting on TB-LAMP Assay. Edn. Geneva: World Health Organization; 2016 and Shete PB, Farr K, Strnad L, Gray CM, Cattamanchi A. Diagnostic accuracy of TB-LAMP for pulmonary tuberculosis: a systematic review and meta-analysis. BMC Infect Dis. 2019;19(1):268. Published 2019 Mar 19. doi:10.1186/s12879-019-3881-y

8. Reference 5 doesn't appear to really relate to the sentences it comes after.

Reference 3 would make a lot more sense as it is a detailed overview of TB diagnostics including many molecular diagnostics.

oWe rewrote the abstract and introduction part as suggested.

Setting

1. The paper needs to do more to state what sets this setting apart from (or ties it to) other studies. See the methods section describing setting in reference 22 for how attributes of the specific site can be expressed in the context of the needs of LAMP.

oWe elaborated the character of our setting as suggested:

oLevel of health system: rural

oDistance to reference laboratory: 0 km

oMedian LAMP test workload: 6 (4-10)

oElectricity and backup power: infrequent power outages, power generator (350 Kw) and UPS (2.7 Kw)

oBiosafety cabinet infrastructure: BSC class II

oLaboratory staff: 4 lab technicians, 1 lab assistant

2. Study Design: This is not a cross-sectional design; it is a prospective design. The plan was to prospectively enroll 120 patients.

oWe changed the type of design to prospective diagnostic accuracy study as suggested.

oWe would like to make a constructive argument on this point, as the diagnostic accuracy research is actually cross-sectional study in design. The cross-sectional design is only the type of membership condition, single component of study base, and cross-sectional design can therefore be collected prospectively or retrospectively. We would like to ask you to kindly refer to this reference: Assessment of the accuracy of diagnostic tests: the cross-sectional study by Knottnerus JA, 2003.

Link: <https://www.ncbi.nlm.nih.gov/pubmed/14615003>

3. "New patients who were clinically suspected of 109 pulmonary TB (coughing for more than two weeks with or without hemoptysis), aged more than 18 years old were consecutively invited into the study regardless of nation status." Suggest re-writing to something more like: 'Adults more than 18yrs of age with symptoms indicative of pulmonary TB (coughing...) and no history of TB were consecutively enrolled regardless of national status.' If patients were 'invited' but not enrolled, we need numbers on how many declined.

oWe re-wrote the sentence as suggested: Adult patients aged more than 15 years old with symptoms indicative of pulmonary TB (coughing for more than two weeks with or without hemoptysis) and no history of TB were consecutively enrolled regardless of national status.

4. "Samples with contaminated culture results or samples from patients who were previously documented as TB cases were excluded." Were the patients excluded or the samples?

oPatients with previously documented TB cases were excluded.

oPatients with two contaminated or missing culture results were excluded.

Methods

1. A map of which samples were used for what tests would be quite helpful. Highlight if any of the reference tests (smear, LJ culture, MGIT culture) were performed on the same sputum as LAMP.

oConventional macroscopy, LAMP test, and culture were conducted as routinely done.

oAll patients were given three sealed containers for the collection of morning sputum specimens. Of all containers sent to the laboratory, only the one with seemingly adequate sputum, containing both mucoid or mucopurulent characters with a sample volume more than 3 ml, was used for the whole investigation procedures as routinely done. Specimens were sent for smear microscopy with conventional acid-fast bacilli

(AFB) staining with Ziehl-Neelsen technique and fluorescence acid-fast staining with Auramine O solution.

2. Make it clear somewhere that smear-negative refers to AFB smear-negative.

- o We added detail on the smear-negative status as suggested.
- o According to WHO definitions, any patient with at least two AFB smears of scanty grade or one or more smears of 1+ or more was defined as smear-positive case. Smear-negative case was conversely defined.

3. Study size estimation

This has no purpose here – the study is done. Sample size estimation is for study planning purposes, for securing funding and making sure the plan has statistical validity.

- o The study size estimation part was removed as suggested.

4. Statistical analysis. The first four sentences are unnecessary.

- o The first four sentences were removed as suggested.

5. The authors need to state what method was used to obtain the 95% CI for the sens/spec/PPV/NPV/LR+. It is clear from my testing that the Clopper Pearson binomial exact test was used, the authors should include the reference (usually found in the software documentation).

- o The 95% confidence intervals were calculated using the Clopper Pearson binomial exact method.
- o We added this statement in the statistical section and added the citation as suggested.

6. Kappa statistics are for inter-reader reliability, not for comparison of correlations between tests. It includes the concept that agreement may happen by chance when two people are guessing. However, it is not appropriate for comparison of diagnostic results because there isn't guessing – the samples should not agree by chance but because they are or are not TB and the sensitivities of tests objectively vary. Spearman's correlation can be used, but I think what you actually want is McNemar's test. The desire is to compare the diagnostic performance (i.e. accuracy) between tests – McNemar's test will do that. Alternatively, Spearman's correlation can look at the [objective] agreement between tests.

- o Spearman's rank correlation was inserted into the manuscript to represent the objective agreement between tests as suggested.
- o The agreement of LAMP test with smear microscopy methods was analyzed with Kappa's statistics and Spearman's rank correlation.
- o We still presented the value of Kappa's statistic [redacted] many of the previous studies on LAMP assay and other diagnostic tests had done [redacted]-3].

Results

1. Table 1 is dedicated to showing the patient clinical characteristics by culture status. The p-values shown test whether these characteristics differ significantly dependent on culture status. It is expected that gender, nationality, and age should not differ. Whereas it is also expected that chest x-rays and sputum quality would differ. The baseline demographic data between culture 188 positive and negative patients were comparable except for the presence of cavitory lesions on 189 chest radiographs and the character of collected sputum (Table 1). Age, nationality, and gender are demographic data. Chest x-ray and sputum quality are clinical characteristics.

- o We reanalyzed all the data after exclusion of patients with probable TB (LAMP test positive and AFB smear positive patients with negative culture).
- o All the baseline demographic and clinical characteristics data were reanalyzed and presented in Table 1.
- o The statements in the results section were re-written as suggested.

2. Table 2 – re-check the NPV for parallel testing

- o We reanalyzed all the data after exclusion of patients with probable TB (LAMP test positive and AFB smear positive patients with negative culture).
- o All the data on Table 2 were checked for any error as suggested.

3. There are a lot of LAMP-positive and AFB smear-positive patients with negative culture. Especially given that the tests are done on different sputum samples, these should be considered patients with probable TB and not used in assessing sensitivity and specificity.

- o We reanalyzed all the data after exclusion of patients with probable TB (LAMP test positive and AFB smear positive patients with negative culture).
- o The final study size for analysis of LAMP test diagnostic accuracy was therefore 107 patients. (8 patients were excluded, 6 patients with both LAMP test and AFB smear-

positive and culture negative, 1 patient with AFB positive and culture negative, and 1 patient with fluorescence stain positive and culture negative)

4. There are too few smear-negative, culture-positive patients to assess sensitivity. Specificity should not be stratified by smear status, only sensitivity. For the reason above (that smear-positive, culture-negative patients shouldn't be included in estimations of sensitivity/specificity of LAMP), what the paper is calling 'smear-negative specificity' should in fact be reported as the actual specificity of LAMP.

- oWe exclude smear-positive, culture negative patients from the analysis as suggested.
- oWe reported the actual specificity of LAMP test without stratification.
- oWe acknowledged that our there are too few smear negative, culture positive patients to assess sensitivity in the discussion part.

5. Table 2 – the p-values shown have no real meaning! If you want to compare accuracy of tests, you cannot do a p-value over the final accuracy measures among a bunch of tests. You need to compare tests 1 against another by using 2x2 grids and McNemar's test. So, if you want to compare the accuracy of LAMP to the accuracy of AFB stain, you use the grid in Table 3 and McNemar's test:

- oThe comparison of diagnostic indices between LAMP test and AFB, fluorescence stain was re-analyzed using McNemar's exact probability test as suggested. We presented the result of the pairwise tests separately and reformatted Table 2.
- oPairwise testing was not performed to compare the specificity between the LAMP test and the smear microscopy methods as the specificity of the latter was affected by incorporation bias and would not be comparable to the in-house LAMP.
- oTable 3 was also reformatted.
- oSpearman's rank correlation was used as suggested.

Discussion

1. "This study had demonstrated the pragmatic performance of the LAMP test, which was comparable to that of the conventional smear microscopy and the fluorescence microscopy." Not true, the performance of LAMP as evaluated in this study was below that of smear microscopy.

oWe rewrote the discussion part as suggested.

o "This study had demonstrated the pragmatic diagnostic performance of the in-house LAMP assay in a remote hospital of a high TB burden country. It was revealed that the overall sensitivity of the in-house LAMP in our study was lower than the numbers reported in the majority of the previous in-house LAMP studies. Nonetheless, the specificity was comparable to other figures reported in literature. In comparison to microscopy methods, the AFB and fluorescence stain, the in-house LAMP was found to be inferior in terms of overall sensitivity (82.0% vs. 88.0%, $p=0.375$) and accuracy (88.8% vs. 94.4%, $p=1.000$); however, the comparative statistical test revealed non-significant results. Based on the result of our study, we suggest that the in-house LAMP should not be a substitute to conventional smear methods, but should be done in parallel, which would result in a higher sensitivity with fewer false-negative TB cases."

2. "Although the sensitivity and specificity of the LAMP test were lower than that of the acid-fast stain and the fluorescence stain, the comparative statistical test revealed non-significant results" This is still true when McNemar's test is performed, but the right statistical tests need to be used in the paper. Furthermore, a non-significant result doesn't mean no difference, it means the difference is likely smaller than the power of the study to detect.

oWe rewrote the discussion part as suggested.

oWe reanalyzed our data using McNemar's exact probability test as suggested.

3. Put PPV/NPV in the context of the local prevalence of disease! State from the literature or reliable source what the prevalence of TB is in the hospital's area of Thailand. I would suggest giving the readers an example: Given that prevalence and a group of 1000 patients, state how many would be true positives, false positive, true negatives, and false negatives. You can therefore assess what burden the different accuracies will place on the hospital. I.e. if the specificity is quite low and the sensitivity is higher, is that better? If the sensitivity is high and the specificity is lower, is that better? Relate this to the LR+.

oWe would like to make a constructive argument to this question as follow: The prevalence of culture-positive TB in this study was 46.7%. As this was a "consecutive recruitment of patients with sign and symptoms suggestive of pulmonary TB" or "patients with higher pre-test probability that the general prevalence" or the "person

that the in-house LAMP test was intended to be used”, the calculation of positive predictive values could be directly calculated and reported from the study data as in the other study [1]. Moreover, both the in-house LAMP assay and acid-fast stain were not intended to be used as screening tests in the general population. For this reason, we did not include this part in our manuscript; however, we provide the answer to the question in this response paper.

oThe latest Maesot’s population figures from the Health Data Center (HDC), the ministry of public health, Thailand, was 115,108 in 2019. The prevalence of pulmonary tuberculosis was 351 per 100,000 or 35 per 10,000.

TB caseNon-TB caseTotal

LAMP positive29528557PPV 29/557=5.2%

LAMP negative69,4379,443NPV 9437/9443=94.9%

Total359,96510,000Prevalence=0.0035

4. “In the clinical context of TB diagnosis, both the LAMP test and the smear microscopy are considered as a diagnostic test which would normally be done in TB suspects with high pre-test probability [14]” – this is not what the reference says.

oThe reference states “The TB LAMP assay is usually applied for TB-suspected patients and is rarely used for screening purpose. To rule-in the TB diagnosis, specificity is more important than sensitivity.”

oWhat we’re trying to imply from this statement was that the LAMP test was developed to be applied for patients who were suspicious of having TB with “higher pre-test probability than average person”. As the LAMP test was not for screening purpose, specificity is more important and should be more focused than sensitivity.

oAfter we re-analyzed the data with the exclusion of probable TB cases, our specificity increased to comparable level with previous studies. The parallel and serial testing was omitted from our analysis as the test accuracy of combination of the in-house LAMP with other smear microscopy methods would be seriously affected by incorporation bias (smear-positive, culture-negative patients were all excluded).

5. “Therefore, a serial test relying on both the result from the LAMP test and the acid-fast stain would be more appropriate for use as a rule-in test as it carried higher specificity and positive likelihood ratio than other methods.” Authors should define ‘rule-in’ test and what is generally expected of such a test. Should note the increased cost of such an approach.

oAfter we re-analyzed the data with the exclusion of probable TB cases, our specificity increased to comparable level with previous studies. The parallel and serial testing was omitted from our analysis as the test accuracy of combination of the in-house LAMP with other smear microscopy methods would be seriously affected by incorporation bias (smear-positive, culture-negative patients were all excluded).

6.The effect of a gold standard which is not itself perfect should be discussed. Also the variability between sputum samples should be discussed.

oThe use of routine TB culture as a reference standard might be inadequate, as some TB patients could be classified as not having TB [6]. Different culture media and techniques could be used in composite to achieve different performance characteristics[4]. With a higher quality reference standard, the sensitivity of the in-house LAMP should be increased when a portion of three remaining false-positive cases was re-classified as true-positive cases.

oThis study had a higher proportion of salivary sputum than mucous sputum. This could affect the diagnostic performance of both the index and the reference test[5]. The percentage of culture-positive TB cases was lower in salivary samples than in mucous samples (35.8% vs. 65.0%, p=0.005). Both the quality and quantity of sputum specimens were associated with positivity of smear, molecular testing methods (Xpert MTB/RIF and PCR), and TB culture [6,7]. Thus, it was possible that some patients with pulmonary TB might be classified as smear-negative, LAMP-negative, or even culture-negative cases. Interestingly, it was revealed from our data that the proportion of smear-positive, LAMP-positive results was also significantly lower in salivary sputum than in mucous sputum (31.3% vs 57.5%, p=0.009 and 29.9% vs. 60.0%, p=0.003, respectively). Therefore, the sensitivity and accuracy of all tests, including LAMP, might be underestimated. Previous studies reported that by improving the sputum quality, TB diagnostic yield increased[8,9]. Therefore, high-quality sputum collection must be encouraged both in practice and studies.

7.A better look at the differences between this study and others with better test performance needs to be done.

oIn this study, the sensitivity of the in-house LAMP test was 82.0% (95%CI 68.6-91.4) in culture-positive TB patients, respectively. In the past, several studies had reported a

	<p>higher sensitivity of the in-house LAMP test, which ranges from 90.0 to 100.0%. Most of these studies were either University hospital, TB-specialized centers or hospitals, or national TB-specialized laboratory, which were generally equipped with highly-trained personnel and adequate infrastructural supports. The overall sensitivity of our in-house LAMP was consistent with two previous studies from India and Zambia, which was 79.5% (95%CI 64.0-89.0) and 81.4% (95%CI 71.6-89.0), respectively. Although both studies were performed in University hospitals, the LAMP procedures were modified to suit local conditions, and sputum processing and DNA extraction was done with commercial kits. The higher sensitivity of the acid-fast stain and the fluorescence stain in our study could be explained by the high prevalence of TB, the absence of HIV patient or a smaller number of patients with paucibacillary sputum, and the availability of skilled technicians</p> <p>8. "Currently, the WHO only supported the use of two rapid molecular tests for the diagnosis of 294 pulmonary tuberculosis, which were Xpert MTB/RIF and the LAMP test" – as the concept of LAMP test from a kit and other LAMP tests has been raised, and the variability of accuracy depending, it needs to be clear that the WHO recommendation is only for the Eiken LAMP test kit!</p> <p>oWe edited the statement as follow: "Currently, the WHO only supported the use of two rapid molecular tests for the diagnosis of pulmonary tuberculosis, which were Xpert MTB/RIF and the commercialized TB-LAMP assay".</p> <p>References</p> <ol style="list-style-type: none"> 1. George G, Mony P, Kenneth J. Comparison of the Efficacies of Loop-Mediated Isothermal Amplification, Fluorescence Smear Microscopy and Culture for the Diagnosis of Tuberculosis. PLoS ONE. 2011;6. doi:10.1371/journal.pone.0021007 2. Phetsuksiri B, Rudeeaneksin J, Srisungngam S, Bunchoo S, Klayut W, Nakajima C, et al. Comparison of Loop-Mediated Isothermal Amplification, Microscopy, Culture, and PCR for Diagnosis of Pulmonary Tuberculosis. Jpn J Infect Dis. 2020;advpub. doi:10.7883/yoken.JJID.2019.335 3. Wang Z, Sun H, Ren Z, Xue B, Lu J, Zhang H. Feasibility and Performance of Loop-Mediated Isothermal Amplification Assay in the Diagnosis of Pulmonary Tuberculosis in Decentralized Settings in Eastern China. BioMed Res Int. 2019;2019. doi:10.1155/2019/6845756 4. Cudahy P, Shenoj S. Diagnostics for pulmonary tuberculosis. Postgrad Med J. 2016;92: 187–193. doi:10.1136/postgradmedj-2015-133278 5. Shi J, Dong W, Ma Y, Liang Q, Shang Y, Wang F, et al. GeneXpert MTB/RIF Outperforms Mycobacterial Culture in Detecting Mycobacterium tuberculosis from Salivary Sputum. In: BioMed Research International [Internet]. 2018 [cited 12 Dec 2019]. Available: https://www.hindawi.com/journals/bmri/2018/1514381/ 6. Ho J, Marks GB, Fox GJ. The impact of sputum quality on tuberculosis diagnosis: a systematic review. Int J Tuberc Lung Dis Off J Int Union Tuberc Lung Dis. 2015;19: 537–544. doi:10.5588/ijtld.14.0798 7. Yoon SH, Lee NK, Yim JJ. Impact of sputum gross appearance and volume on smear positivity of pulmonary tuberculosis: a prospective cohort study. BMC Infect Dis. 2012;12: 172. doi:10.1186/1471-2334-12-172 8. Hirooka T, Higuchi T, Tanaka N, Ogura T. [The value of proper sputum collection instruction in detection of acid-fast bacillus]. Kekkaku. 2004;79: 33–37. 9. Sicsú AN, Salem JI, Fujimoto LBM, Gonzales RIC, Cardoso M do S de L, Palha PF. Educational intervention for collecting sputum for tuberculosis: a quasi-experimental study 1. Rev Lat Am Enfermagem. 2016;24. doi:10.1590/1518-8345.0363.2703
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This study was approved by the Research Ethics Committee of Maesot General Hospital, The Ministry of Public Health (serial number 37/2015) and The Human Research Ethics Committee of Thammasat University, Faculty of Medicine (COA number 081/2016). The clinical samples used in this study were collected from all patients as routinely done. Informed consent was obtained from all patients prior to inclusion.

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The data underlying the results presented in the study are available from (include the name of the third party

All relevant data are within the manuscript and its Supporting Information files.

<p><i>and contact information or URL).</i></p> <ul style="list-style-type: none">• This text is appropriate if the data are owned by a third party and authors do not have permission to share the data. <p>* typeset</p>	
Additional data availability information:	

1 **Pragmatic accuracy of in-house loop-mediated isothermal amplification**
2 **(LAMP) for diagnosis of pulmonary tuberculosis in a Thai community**
3 **hospital**

4
5 **Short title:** Diagnostic accuracy of in-house LAMP for pulmonary TB

6
7 Sarawut Toonkomdang¹, Phichayut Phinyo^{2,3*}, Benjawan Phetsuksiri⁴,
8 Jayanton Patumanond³, Janisara Rudeeaneksin^{4¶}, Wiphat Klayut^{4¶}

9
10 ¹ Department of Medical Technology, Maesot General Hospital, Tak, Thailand.

11 ²Department of Family Medicine, Faculty of Medicine, Chiang Mai University, Chiang Mai,
12 Thailand.

13 ³ Center for Clinical Epidemiology and Clinical Statistics, Faculty of Medicine, Chiang Mai
14 University, Chiang Mai, Thailand.

15 ⁴National Institute of Health, Department of Medical Sciences, Ministry of Public Health,
16 Nonthaburi, Thailand.

17
18 *Corresponding author:

19 E-mail: phichayutphinyo@gmail.com (PP)

20 ¶These authors contributed equally to this work.

21

22 **Abstract**

23 **Background:** To improve the quality of diagnosing pulmonary tuberculosis (TB), WHO
24 recommends the use of rapid molecular testing as an alternative to conventional microscopic
25 methods. Loop-mediated isothermal amplification assay (LAMP test) is a practical and cost-
26 effective nucleic amplification technique. We evaluated the pragmatic accuracy of the in-
27 house LAMP assay for the diagnosis of TB in a remote health care setting where an advanced
28 rapid molecular test is not available.

29 **Methods:** A prospective diagnostic accuracy study was conducted. Patients with clinical
30 symptoms suggestive of TB were consecutively enrolled from April to August 2016. Sputum
31 samples were collected from each patient and were sent for microscopic examination (both
32 acid-fast stain and fluorescence stain), in-house LAMP test, and TB culture.

33 **Results:** One hundred and seven patients with TB symptoms were used in the final analysis.
34 This included 50 (46.7%) culture-positive TB patients and 57 (53.3%) culture-negative
35 patients. The overall sensitivity of the in-house LAMP based on culture positivity was 88.8%
36 (95%CI 81.2,94.1). The sensitivity was 90.9% (95%CI 78.3,97.5) for smear-positive, culture-
37 positive patients, and was 16.7% (95%CI 0.4,64.1) for smear-negative, culture-positive
38 patients. The overall sensitivity and accuracy of the in-house LAMP test compared to smear
39 microscopy methods were not significantly different ($p=0.375$ and $p=1.000$, respectively).
40 The specificity of the in-house LAMP based on non-TB patients (smear-negative, culture-
41 negative) was 94.7% (95%CI 85.4,98.9).

42 **Conclusions:** The diagnostic accuracy of the in-house LAMP test in a community hospital
43 was comparable to other previous reports in terms of specificity. The sensitivity of the in-
44 house assay could be improved with better sputum processing and DNA extraction method.

45 **Keywords:** Pulmonary Tuberculosis, in-house LAMP, Diagnosis, Sensitivity, Specificity

46

47 **Introduction**

48 Tuberculosis (TB), an airborne communicable disease, has long been considered a significant
49 threat to global public health. According to The World Health Organization (WHO), 10
50 million people were newly infected with TB in 2018. Although the incidence and prevalence
51 of TB vary greatly across the globe, 87% of total cases resided within 30 countries with high
52 TB burden, including Thailand, where the incidence rate was 153 cases per 100,000
53 population in 2018. Early diagnosis and timely treatment is an essential component of The
54 End TB Strategy endorsed by the WHO, aiming to end the global TB epidemic by the year
55 2035 [2]. However, TB is still underdiagnosed and undertreated, especially in resource-
56 limited countries due to the lack of highly sensitive and specific diagnostic tools which are
57 usually expensive and require adequate infrastructure [1,3]. Novel diagnostic methods with
58 enough simplicity and cost-effectiveness are therefore necessary to improve accurate
59 identification of TB patients in these particular settings [3,4].

60
61 Molecular testing methods such as polymerase chain reaction (PCR) or Xpert MTB/RIF have
62 been widely acknowledged as alternative tools for the diagnosis of TB patients [3,5]. These
63 nucleic amplification techniques were known for yielding rapid and accurate TB diagnosis,
64 which would overcome the limitations of classical methods, insensitivity for smear
65 microscopy, and lengthy incubation period for TB culture. However, several obstacles remain
66 for the application of these molecular tests as point-of-care testing in community settings
67 because of their complexity in execution and substantial requirements for financial and
68 personnel resources [3,6]. Loop-mediated isothermal amplification (LAMP) assay is another
69 recently developed nucleic acid amplification technique. Unlike PCR, where the
70 amplification of DNA fragment occurs in temperature-dependent steps, the reaction of LAMP
71 assay functions in isothermal or constant temperature conditions [7,8]. In 2016, WHO

72 suggest the use of commercial TB-LAMP assay (Eiken Chemical Co., Tokyo, Japan) as a
73 replacement for smear microscopy for the diagnosis of TB in patients with symptoms
74 suggestive of TB [9]. TB-LAMP assay has a low cost per test, does not required advanced
75 technological facilities, and can be routinely practiced in general hospital laboratories [6,10].
76
77 As financial resources are usually limited in countries with high TB prevalence, setting up an
78 infrastructure to support the commercial TB-LAMP could still be unattainable. A more
79 affordable in-house LAMP was developed in 2008 [11]. The main advantage of the in-house
80 assay was that it could be implemented on the readily-available infrastructure of any laboratory,
81 even in the decentralized. However, it did require extra-training and skill of technicians
82 to process the clinical specimens. In the past decades, several clinical studies and meta-
83 analyses had evaluated the diagnostic accuracy of the in-house LAMP for the diagnosis
84 of pulmonary TB [12–14] (S1 Table). From the latest meta-analysis, the overall sensitivity
85 and specificity of the in-house LAMP is 93.0% (95%CI 88.9-95.7) and 91.8% (95%CI
86 86.4-95.1), respectively [14]. One recent study in Thailand reported the sensitivity and the
87 specificity of the in-house LAMP at 94.4% (95%CI 88.9-97.7) and 94.3% (95%CI 87.2-
88 98.1), respectively [15]. However, the reported accuracy could be overestimated if being
89 assessed in qualified laboratories with highly skilled technicians and sufficient resources
90 where molecular tests usually are available [14]. Therefore, this study aimed to evaluate the
91 pragmatic accuracy of the in-house LAMP assay for the diagnosis of pulmonary TB in a
92 peripheral community hospital of a developing country with a high TB burden.

93

94 **Materials and Methods**

95

96 **Ethics Statement**

97 This study was approved by the Research Ethics Committee of Maesot General Hospital, The
98 Ministry of Public Health (serial number 37/2015) and The Human Research Ethics
99 Committee of Thammasat University, Faculty of Medicine (COA number 081/2016). The
100 clinical samples used in this study were collected from all patients as routinely done.
101 Informed consent was obtained from all patients prior to inclusion.

102

103 **Setting**

104 The study was settled in Maesot General Hospital, a large-sized community hospital with 365
105 in-patient beds. The hospital is located in Maesot district in Tak (province), which shares the
106 border with Myanmar and provides standard health care to both Thai and non-Thai patients
107 (Burmese immigrants and ethnic minorities). According to the Health Data Center, the
108 ministry of public health, Thailand, the incidence rate of pulmonary TB in Maesot was 351
109 per 100,000 in 2019. The level of health care system of the hospital is considered rural.
110 Maesot hospital has its own reference laboratory with biosafety cabinet infrastructure, BSC
111 class II. There are four lab technicians and one lab assistant within each working shift. Power
112 generator (350 kW) and UPS (2.7 kW) were available in case of power outages, which was
113 infrequent. Median LAMP test workload per day was 6 (range 4-10).

114



115 **Study Design**

116 This prospective diagnostic accuracy research was conducted from April to August 2016.
117 Adult patients aged more than 15 years old with symptoms indicative of pulmonary TB

118 (coughing for more than two weeks with or without hemoptysis) and no history of TB were
119 consecutively enrolled regardless of nationality status. Patients with previously documented
120 TB history or patients with two contaminated or missing cultures were excluded from the
121 study.

122

123 **Methods**

124 All patients were given three sealed containers for the collection of morning sputum
125 specimens. Of all containers sent to the laboratory, only the one th seemingly adequate
126 sputum containing both mucoid or mucopurulent characters with a sample volume of more
127 than 3 ml, was used for the whole investigation procedures as routinely done. Specimens
128 were sent for smear microscopy with conventional acid-fast bacilli (AFB) staining with
129 Ziehl-Neelsen technique and fluorescence acid-fast staining with Auramine O solution.
130 Smear-positive case was defined according to WHO definitions as the presence of at least
131 two smears of scanty grade or one or more smears of 1+ or more. A smear negative case or
132 AFB smear-negative was conversely defined 

133

134 **Sputum decontamination and culture examination**

135 For the sputum decontamination process, the collected samples and 2% N-Acetyl-L-cysteine
136 (NALC) NaOH were poured into a 50 ml sterile centrifuge tube in an equal proportion and
137 were subsequently mixed by vortexing for 30 seconds and left at room temperature (20-25°C)
138 for 15 minutes. Then, the test tubes were filled with phosphate buffer saline (pH 6.8) until the
139 volume reached the level of 50 ml. The samples were put in a high-speed refrigerated
140 centrifuge at 3,000 g for 20 minutes. Next, the supernatants were poured off, leaving the tube

141 with decontaminated sputum samples. Finally, a drop (1 ml) of phosphate buffer saline (pH
142 6.8) was used for resuspension of the specimen.

143

144 For TB culture, the reference test, we performed both conventional culture method on L-J
145 (Lowenstein-Jensen) medium and BBL MGIT 960 (mycobacterial growth indicator tube)
146 culture method. The culture media were inoculated with processed sputum specimens and
147 incubated at 35 to 37°C and monitored weekly for growth until 8 weeks. The sputum samples
148 were considered as “culture-positive” if growth was detected in either of L-J or MGIT
149 culture, regardless of the smear status. If growth was not detected in neither of the culture
150 methods and both microscopy results were negative, the samples were considered as “culture-
151 negative” or “non-TB patients”. Patients with smear-positive and culture-negative, which
152 were generally considered as probable TB, were excluded from the analysis. Both smear
153 microscopy and culture methods were performed according to the standard protocols [16].

154

155 **In-house LAMP test**

156 The LAMP test consists of three steps as follows: DNA extraction, isothermal amplification,
157 and visual interpretation with fluorescence. The National Institute of Health of Thailand had
158 developed the TB Fast Amp technique (a modified LAMP procedure) to suite local practice
159 since 2009. The procedures were described as follow. Flexi Gene[®] DNA Kit (Qiagen co.,
160 USA) and Protenase K Kit (Qiagen co., USA) were used for DNA extraction [17,18]. Four
161 primers (MTB primers, MAV primers, MIN primers, and Muniv primers) were used for the
162 recognition of six distinct regions on the 16S ribosomal RNA gene of *M. tuberculosis*. Each
163 single LAMP reaction includes 12 µl of TB-Fast AMP mixture (FastAMP master mix
164 includes 2 µl 10Xbuffer, 4 µl 2mM dNTPs, 3.2 µl 5M betaine, 1.2 µl 100 mM MgSO₄, 1.6 µl
165 primer mixture), 1 µl *Bst* DNA polymerase enzyme, 1 µl fluorescent detection reagent and 6

166 µl of extracted DNA samples. Amplification of reaction mixture was performed in the
167 heating blocks at 65 °C for 60 minutes, then examined directly by visual observation. The
168 LAMP assay was considered “positive” if the color of the reaction mixture changed from
169 orange to green or fluorescence was directly observed with the naked eyes. The test was
170 considered “negative” if the color of the mixture remained unchanged. For quality control,
171 positive control (test tube with *M. tuberculosis* genetic materials) and negative control (test
172 tube without *M. tuberculosis* genetic materials) were included in all runs.

173

174 **Statistical Analysis**

175 We used Fisher’s exact probability test for comparison of differences in independent
176 proportions and Student’s t-test for two independent means. The sensitivity, specificity,
177 positive predictive values (PPV), negative predictive values (NPV), and positive and negative
178 likelihood ratios were calculated and reported with its 95% confidence interval. The 95%
179 confidence interval were estimated using the Clopper Pearson binomial exact method. The
180 comparison of sensitivity, specificity, and overall test accuracy between the LAMP test and
181 smear microscopy methods was performed with McNemar’s exact probability test. Pairwise
182 testing to compare the specificity between the LAMP test and the smear microscopy methods
183 was not performed as the specificity of the latter was affected by incorporation bias and
184 would not be comparable to the in-house LAMP. The agreement of the LAMP test with
185 smear microscopy methods was analyzed with Kappa’s statistics and Spearman’s rank
186 correlation. P-values of less than 0.05 were considered statistically significant. All statistical
187 analyses were done using Stata version 16 (StataCorp, Texas).

188

189 **Results**

190 A total of 120 patients to be evaluated for TB were consecutively included from April to
191 August 2016. Three patients with two contaminated cultures, two patients who subsequently
192 were detected as previously documented TB cases, and eight patients who had smear-positive
193 and culture-positive results were excluded from the analysis; only 107 patients remained in
194 the study (Fig. 1). Most of the included patients were male (60% vs. 40%) with a mean age of
195 47 years old. Fifty (46.7%) were culture-positive TB patients and 57 (53.3%) were culture-
196 negative patients. The baseline demographic data between culture-positive and culture-
197 negative patients were comparable. For clinical characteristics, the presence of cavitary
198 lesions on chest radiographs and the character of collected sputum was found to be
199 significantly different (Table 1). Culture-positive TB patients had higher proportion of
200 cavitary lesions (14.0% vs. 1.8%, $p=0.024$) and mucous sputum specimen (52.0% vs 24.6%,
201 $p=0.005$) than patients with negative TB culture.

202

203

204 **Table 1. Demographic and clinical characteristics of the patients by TB culture status**

Characteristics	TB Culture Positive (S+ or S-, C+)	TB Culture Negative (S-, C-)	P-Value
	n=50 (46.7%)	n=57 (53.3%)	
Gender			
Male	30 (60.0)	36 (63.2)	0.842
Female	20 (40.0)	21 (36.8)	
Nationality			
Thai	28 (56.0)	21 (36.8)	0.054
Non-Thai	22 (44.0)	36 (63.2)	
Age (year, mean±SD)	48.7±17.4	45.8±18.7	0.408
Chest radiographs			
Without cavitary lesions	43 (86.0)	56 (98.2)	0.024
With cavitary lesions	7 (14.0)	1 (1.8)	
Character of sputum			
Salivary	24(48.0)	43 (75.4)	0.005
Mucous	26 (52.0)	14 (24.6)	

205 Abbreviations: TB, tuberculosis; C, culture (+ positive or – negative); S, smear microscopy (+ positive or – negative); SD,
206 standard deviation.

207

208 **Fig. 1. Study flow diagram of patient enrollment and results of index and reference test**
209 **based on culture result**

210

211

212 The overall sensitivity of the LAMP test was 82.0% (95%CI 68.6-91.4), whereas the
213 sensitivity in smear-positive, culture-positive patients and smear-negative, culture-positive
214 was 90.9% (95%CI 78.3-97.5) and 16.7% (95%CI 0.4-64.1), respectively. The overall
215 sensitivity of both the AFB and the fluorescence stain was slightly higher than that of the
216 LAMP test; however, the differences were non-significant (Table 2). The specificity, positive
217 predictive value, and negative predictive value of LAMP test was 94.7% (95%CI 85.4-98.9),
218 93.2% (95%CI 81.3-98.6), and 85.7% (95%CI 74.6-93.3), respectively. The positive and
219 negative likelihood ratios of the LAMP test was 15.6 (95%CI 4.47-82.12) and 0.19 (95%CI
220 0.08-0.44), respectively. Even though the accuracy measures for the diagnosis of TB cases
221 were shown to vary across different test methods (LAMP test, AFB stain, and fluorescence
222 stain), the differences were without statistical significance (Table 2).

223 LAMP test results were highly correlated with those of AFB and fluorescence stain
224 (Spearman's rho 0.85, $p < 0.001$) in the diagnosis of culture-positive TB cases (Table 3). The
225 in-house LAMP also showed substantial to almost perfect agreement with both microscopy
226 methods in the diagnosis of culture-positive cases (Kappa 0.85, 95%CI 0.74,0.95) (Table 3).

227

228 **Table 2. Diagnostic accuracy of the in-house LAMP test, AFB stain, and Fluorescence**

229 **stain.**

Method	Sensitivity% (95% CI), no. corrects			Specificity%	Accuracy	PPV% (95% CI)	NPV (95% CI)	LR+ (95% CI)	LR- (95% CI)
	S+, C+	S-, C+	Any S, C+	(95% CI), no. corrects	(95% CI), no. corrects				
	(n=44)	(n=6)	(n=50)	S-, C- (n=57)	(n=107)				
LAMP	90.9 (78.3,97.5), N=40	16.7 (0.4,64.1), n=1	82.0 (68.6,91.4), n=41	94.7 (85.4,98.9), n=54	88.8 (81.2,94.1), n=95	93.2 (81.3,98.6)	85.7 (74.6,93.3)	15.6 (4.5,82.1)	0.2 (0.1,0.4)
AFB stain	-	-	88.0 (75.7,95.5), n=44	100.0 (93.7,100.0), n=57	94.4 (88.2,97.9), n=101	100.0 (93.7,100.0)	90.5 (80.4,96.4)	-	-
Fluorescence stain	-	-	88.0 (75.7,95.5), n=44	100.0 (93.7,100.0), n=57	94.4 (88.2,97.9), n=101	100.0 (93.7,100.0)	90.5 (80.4,96.4)	-	-
LAMP test vs. AFB stain			P=0.375*	P=0.250*	P=1.000*				
LAMP test vs. Fluorescence stain			P=0.375*	P=0.250*	P=1.000*				

230 *P-values from McNemar's Exact probability test

231 Abbreviations: AFB, acid fast bacilli; C, culture (+ positive or – negative); CI, confidence interval; LAMP, loop-mediated

232 isothermal amplification; LR+, positive likelihood ratio; LR-, negative likelihood ratio; no. correct, number correctly

233 identified; NPV, negative predictive value; PPV, positive predictive value; S, smear microscopy (+ positive or – negative).

234

235 **Table 3. Diagnostic agreement and correlation between the in-house LAMP test and**
 236 **AFB stain-fluorescence stain.**

LAMP Test	AFB Stain & Fluorescence stain		
	Positive	Negative	Total
Positive	40	4	44
Negative	4	59	63
Total	44	63	107
Agreement (%)	92.5%		
Kappa (95%CI, p-value)	0.85 (0.74, 0.96) p<0.001		
Spearman's rho (p-value)	0.85 (0.74, 0.96) p<0.001		

237 Abbreviations: LAMP, loop-mediated isothermal amplification; CI, confidence interval.

238

239

240

241 Discussion

242 This study has demonstrated the pragmatic diagnostic performance of the in-house LAMP
243 assay in a remote hospital of a high TB burden country. It was revealed that the overall
244 sensitivity of the in-house LAMP in our study was lower than the numbers reported in the
245 majority of the previous in-house LAMP studies. Nonetheless, the specificity was
246 comparable to other figures reported in the literature. In comparison to microscopy methods,
247 the AFB and fluorescence stain, the in-house LAMP was found to be inferior in terms of
248 overall sensitivity (82.0% vs. 88.0%, $p=0.375$) and accuracy (88.8% vs. 94.4%, $p=1.000$);
249 however, the comparative statistical test revealed no significant results. Based on the result
250 of our study, we suggest that the in-house LAMP should not be a substitute to conventional
251 smear methods, but should be done in parallel, which would result in a higher sensitivity with
252 fewer false-negative TB cases.

253
254 In this study, the sensitivity of the in-house LAMP test was 82.0% (95%CI 68.6-91.4) in
255 culture-positive TB patients, respectively. In the past, several studies had reported a higher
256 sensitivity of the in-house LAMP test, which ranges from 90.0 to 100.0% [11,15,19–24].
257 Most of these studies were either University hospitals, TB-specialized centers or hospitals, or
258 national TB-specialized laboratories, which were generally equipped with highly-trained
259 personnel and adequate infrastructural supports. The overall sensitivity of our in-house
260 LAMP was consistent with two previous studies from India and Zambia, which was 79.5%
261 (95%CI 64.0-89.0) and 81.4% (95%CI 71.6-89.0), respectively [12,25]. Although both
262 studies were performed in University hospitals, the LAMP procedures were modified to suit
263 local conditions, and sputum processing and DNA extraction was done with commercial kits.
264 The higher sensitivity of the acid-fast stain and the fluorescence stain in our study could be
265 explained by the high prevalence of TB, the absence of HIV patients or less number of

266 patients with paucibacillary sputum, and the availability of skilled technicians [12,26–28].
267 Besides, specimen decontamination with concentrated NaOH decreases the amount of viable
268 genetic materials for amplification, which could reduce the sensitivity of both the LAMP test
269 and TB cultures. A lower concentration of NaOH (1-1.5%) or NaOH free methods during
270 sample decontamination may be suggested [12,29]. The sensitivity of the LAMP test in
271 smear-negative specimens could not be accurately estimated in this study as there were too
272 few smear-negative, culture-positive patients.

273

274 The overall specificity of the LAMP test was 94.7% (95%CI 85.4-98.9) for non-TB patients,
275 respectively. This was in concordance with a recent meta-analysis, which reported pooled
276 specificity of the in-house LAMP at 91.8% (95%CI 86.4-95.1) [14]. However, it was
277 concluded that the specificity of the in-house assays was lower than that of the Loopamp
278 commercial kit, which was reported at 96.5% (95%CI 94.7-97.7). A false positive LAMP
279 result in smear-positive cases was frequently encountered in routine practice, which could be
280 explained by multiple factors such as higher temperature, higher humidity, suboptimal
281 reagents volume, and crossover contamination [14,30]. For in-house LAMP, an extensive
282 laboratory technician training and continuous quality assessment should be conducted to
283 lessen the risk of false-positive results. However, other potential factors might still account
284 for the low specificity, such as temperature controls and volume of reaction used. For
285 temperature, only available water bath was applied for temperature controls during LAMP
286 procedures instead of a more stable dry heating block. A recent study suggested a high
287 reaction volume of 30-35 µl due to the risk of self-priming in concentrated reagents [30].

288

289 Currently, the WHO only supports the use of two rapid molecular tests for the diagnosis of
290 pulmonary TB, which were Xpert MTB/RIF and the commercialized TB-LAMP assay [9].

291 According to previous studies, both had shown comparable performance in smear-positive
292 samples, but higher sensitivity was shown in Xpert MTB/RIF than in the LAMP test [6,25].
293 Xpert MTB/RIF has been endorsed for use in the diagnosis of TB in many countries,
294 including Thailand [4,31]. Nonetheless, Xpert MTB/RIF might not be suitable in peripheral
295 regions with poor infrastructure as the instrument requires a stable electricity supply and an
296 appropriate environment. The device also requires high continuous maintenance costs leading
297 to a relatively high cost per test compared to the LAMP test. The LAMP test is readily
298 available and can be done in any resource-poor settings with regular infrastructure and
299 technicians with adequate training. In Thailand, only a portion of patients, not including
300 foreigners and ethnic minorities, could reimburse the cost for Xpert MTB/RIF due to the
301 regulation stated by The National Health Security Office (NHSO). To effectively prevent the
302 spread of TB, all patients to be evaluated for TB should have equal access to high-quality
303 diagnostic tools. Therefore, smear microscopy and the LAMP test may be more applicable in
304 terms of accessibility and affordability, especially in the distant areas and the borderlands.

305

306 However, there may be some limitations to this study. First, the study size might not be
307 powered enough to confirm the statistical insignificance of the between-test comparison.
308 Second, no patients with HIV infection were included during the study period, as HIV status
309 could be influential to the diagnostic performance of both the smear microscopy and the
310 LAMP test, especially in areas with a high prevalence of TB-HIV coinfection. Third, this
311 study had a higher proportion of salivary sputum than mucous sputum. This could affect the
312 diagnostic performance of both the index and the reference test [32]. The percentage of
313 culture-positive TB cases was lower in salivary samples than in mucous samples (35.8% vs.
314 65.0%, $p=0.005$). Both the quality and quantity of sputum specimens were associated with
315 the positivity of smear, molecular testing methods (Xpert MTB/RIF and PCR), and TB

316 culture [33,34]. Thus, it was possible that some patients with pulmonary TB might be
317 classified as smear-negative, LAMP-negative, or even culture-negative cases. No previous
318 study had officially addressed the effect of sputum quality on the LAMP test. Moreover, the
319 character of sputum specimens was rarely reported. Interestingly, it was revealed from our
320 data that the proportion of smear-positive, LAMP-positive results was also significantly
321 lower in salivary sputum than in mucous sputum (31.3% vs. 57.5%, $p=0.009$ and 29.9% vs.
322 60.0%, $p=0.003$, respectively). Therefore, the sensitivity and accuracy of all tests, including
323 LAMP, might be underestimated. Previous studies reported that by improving the sputum
324 quality, TB diagnostic yield increased [35,36]. Thus, high-quality sputum collection must be
325 encouraged both in practice and studies.

326

327 Finally, the use of routine TB culture as a reference standard might be inadequate, as some
328 TB patients could be classified as not having TB [6]. With a higher quality reference
329 standard, the sensitivity of the in-house LAMP should be increased when a portion of three
330 remaining false-positive cases was re-classified as true-positive cases. Different culture media
331 and techniques could be used in composite to achieve different performance characteristics
332 [37]. In our study, two different culture techniques, L-J and MGIT, were used to increase the
333 diagnostic rate of TB[38]. We also applied a strict diagnostic definition in calculating
334 specificity by considering only patients with smear-negative and culture-negative results[39].

335

336 **Conclusions**

337 In conclusion, the LAMP test is a practical and affordable nucleic amplification technique for
338 the diagnosis of pulmonary TB, which should be implemented in resource-limiting settings
339 where Xpert MTB/RIF is unavailable. The diagnostic accuracy of the in-hose LAMP was
340 similar to previous studies for specificity. Better sputum processing and DNA extraction

341 method should be identified to improve the test sensitivity. The overall accuracy of the in-
342 house LAMP test was comparable to that of conventional microscopy and fluorescence
343 microscopy with minimal inferiority in terms of sensitivity. Therefore, a parallel examination
344 of both smear microscopy and the in-house LAMP test is suggested to minimize the risk of
345 false-negative results, especially in an endemic area.

346

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352

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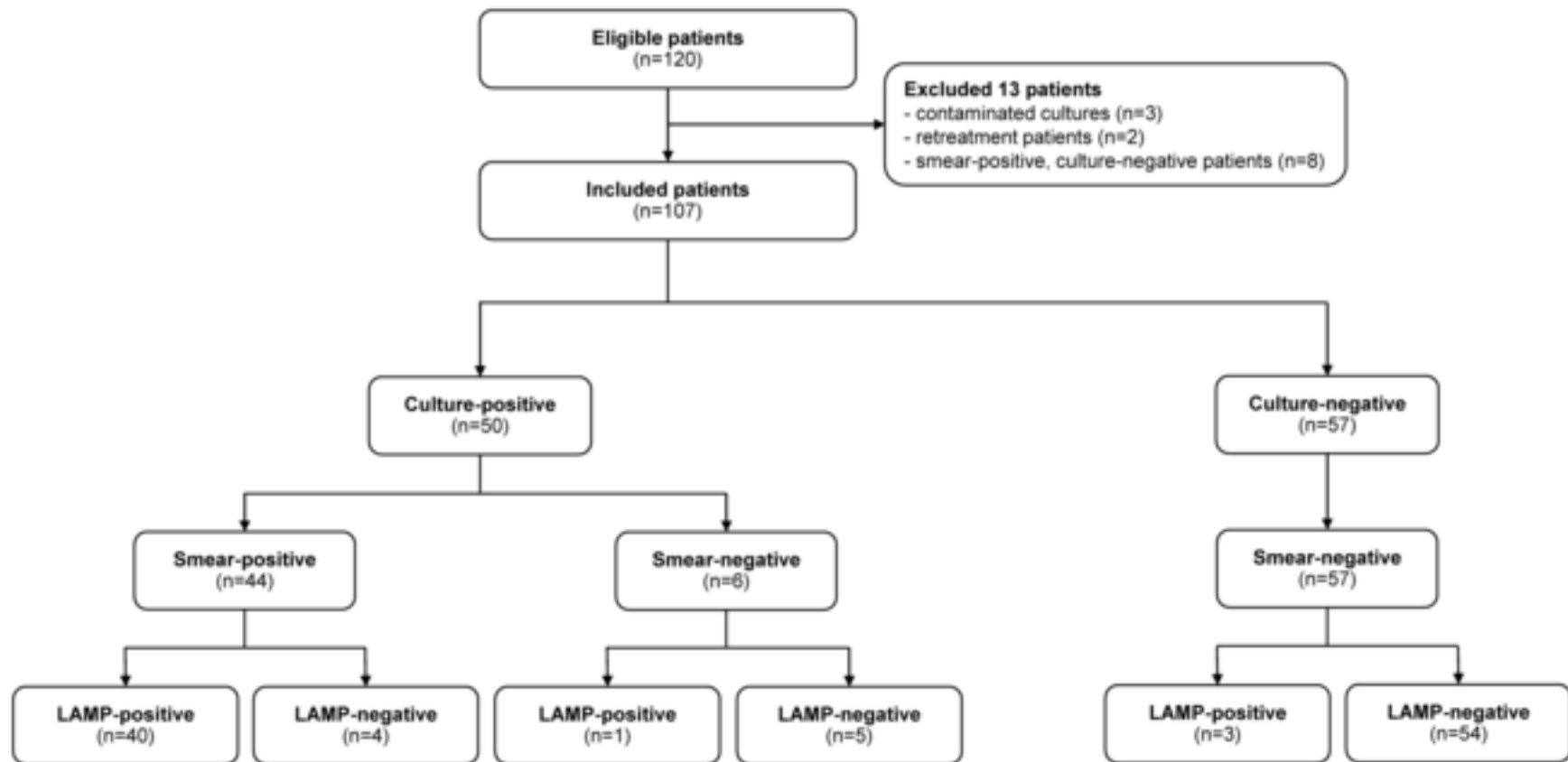
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477 **Supporting information**

478 **S1 Table. Review on diagnostic accuracy of in-house LAMP assays for diagnosis of**
479 **pulmonary tuberculosis (DOCX)**

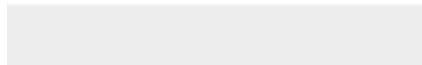
480 **S2 Table. LAMP minimal dataset (CSV)**

481





Click here to access/download
Supporting Information
S2 LAMP dataset.csv





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Supporting Information
Table S1.docx

1 **Pragmatic accuracy of in-house of loop-mediated isothermal amplification**
2 **(LAMP)**
3 **for diagnosis of pulmonary tuberculosis in a Thai community hospital**

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4
5 **Short title:** Diagnostic accuracy of in-house LAMP for pulmonary TB

6
7 Sarawut Toonkomdang¹, Phichayut Phinyo^{2,3*}, Benjawan Phetsuksiri⁴,
8 Jayanton Patumanond³, Janisara Rudeeaneksin^{4†}, Wiphat Klayut^{4†}

9
10 ¹ Department of Medical Technology, Maesot General Hospital, Tak, Thailand.

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11 ²Department of Family Medicine, Faculty of Medicine, Chiang Mai University, Chiang Mai,
12 Thailand.

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13 ³ Center for Clinical Epidemiology and Clinical Statistics, Faculty of Medicine, Chiang Mai
14 University, Chiang Mai, Thailand.

15 ⁴ National Institute of Health, Department of Medical Sciences, Ministry of Public Health,
16 Nonthaburi, Thailand.

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18 *Corresponding author:

19 E-mail: phichayutphinyo@gmail.com (PP)

20 †These authors contributed equally to this work.

21

Abstract

Background: To improve the quality of diagnosing pulmonary tuberculosis (TB), WHO recommends the use of rapid molecular testing as an alternative to conventional microscopic methods. Loop-mediated isothermal amplification assay (LAMP test) is a practical and recently developed cost-effective nucleic amplification technique, with proven diagnostic performance over the past decades. However, most of the results were validated within large centers with highly skilled personnel and adequate infrastructure. We evaluated the pragmatic accuracy of the in-house LAMP assay for the diagnosis of TB in a remote health care setting where an advanced rapid molecular test is not available.

Methods: ~~Diagnostic accuracy research using a cross-sectional design~~ A prospective diagnostic accuracy study was conducted. ~~Clinically suspected TB patients~~ Patients with clinical symptoms suggestive of TB were consecutively included/enrolled from April to August 2016. Sputum samples were collected from each patient and were sent for microscopic examination (both acid-fast stain and fluorescence stain), in-house LAMP test, and TB culture, and LAMP test.

Results: One hundred and ~~fifteen~~ seven TB suspects/patients with TB symptoms were used in the final analysis. This included 50 (43.5/46.7%) culture-positive TB patients and 57/65 (53.3/36.5%) culture-negative patients. ~~The sensitivity, specificity, positive predictive value, and negative predictive value of the LAMP test compared to the reference TB culture were~~ 82.0% (68.6-91.4), 84.6% (73.5-92.4), 80.4% (66.9-90.2), and 85.9% (75.0-93.4), respectively. ~~The overall sensitivity of the in-house LAMP based on culture positivity was~~ 88.8% (95%CI 81.2-94.1). ~~The sensitivity was 90.9% (95%CI 78.3-97.5) for smear-positive, culture-positive patients, and was 16.7% (95%CI 0.4-64.1) for smear-negative, culture-~~

46 positive patients. -The overall diagnostic performance sensitivity and accuracy of the in-house
47 LAMP test compared to direct microscopic examination and fluorescence microscopy smear
48 microscopy methods were not significantly different (p=0.375 and p=1.000, respectively).
49 The specificity of the in-house LAMP based on non-TB patients (smear-negative, culture-
50 negative) was 94.7% (95%CI 85.4,98.9).-

51 **Conclusions:** The diagnostic accuracy of ~~the~~ the in-house LAMP test in a community
52 hospital was comparable to other previous reports in terms of specificity. The sensitivity of
53 the in-house assay could be improved with better sputum processing and DNA extraction
54 method, comparable to the conventional smear microscopy examination for the diagnosis of
55 TB in a remote hospital of high TB burden country. Serial testing of both tests may be
56 suggested to improve the overall accuracy of TB diagnosis.

57
58 **Keywords:** Pulmonary Tuberculosis, in-house LAMP, Diagnosis, Sensitivity, Specificity

59

60 Introduction

61 Tuberculosis (TB), an airborne communicable disease, has long been considered ~~as a~~
62 significant threat to global public health. According to The World Health Organization
63 (WHO), 10 million people were newly infected with TB in 2018. Although the incidence and
64 prevalence of TB vary greatly across the globe, 87% of total cases resided within 30 countries
65 with high TB burden, including Thailand, where the incidence rate was 153 cases per 100,000
66 population in 2018 [1]. Early diagnosis and timely treatment is an essential component of The
67 End TB Strategy endorsed by the WHO, aiming to end the global TB epidemic by the year
68 2035 [2]. However, tuberculosis is still underdiagnosed and undertreated, especially in
69 resource-limiting countries due to the lack of highly sensitive and specific diagnostic tools
70 which are usually expensive and require adequate infrastructure [1,3]. Novel diagnostic
71 methods with enough simplicity and cost-effectiveness are therefore necessary to improve
72 accurate identification of tuberculosis patients in these particular settings [3,4][4,5].
73
74 Molecular testing methods such as polymerase chain reaction (PCR) or Xpert MTB/RIF have
75 been widely acknowledged as alternative tools for the diagnosis of tuberculosis patients
76 [3,5][5,6]. These nucleic amplification techniques were known for yielding rapid and accurate
77 TB diagnosis, which would ~~clearly~~ overcome the limitations of classical methods,
78 insensitivity for smear microscopy, and lengthy incubation period for TB culture. However,
79 several obstacles remain for the application of these molecular tests as point-of-care testing in
80 community settings because of their complexity in executions and substantial requirements
81 for financial and personnel resources [3,6][3,7]. Loop-mediated isothermal amplification
82 (LAMP) assay is another recently developed nucleic acid amplification technique. Unlike
83 PCR, where the amplification of DNA fragment occurs in temperature-dependent steps, the
84 reaction of LAMP assay functions in isothermal, or constant temperature, conditions

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85 ~~[7,8][8,9]. LAMP assay has a low cost per test, does not required advanced technological~~
86 ~~facilities, and can be routinely practiced in general hospital laboratories [3].~~In 2016, WHO
87 suggested the use of commercial TB-LAMP assay (Eiken Chemical Co., Tokyo, Japan) ~~for~~
88 ~~the~~ as a replacement for smear microscopy for the diagnosis of pulmonary tuberculosis ~~TB in~~
89 patients with symptoms suggestive of TB [9][40]. TB-LAMP assay has a low cost per test,
90 does not required advanced technological facilities, and can be routinely practiced in general
91 hospital laboratories [6,10].

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92
93 As ~~health care~~ financial resources are usually limited in countries with high TB prevalence,
94 setting up an infrastructure to support the commercial TB-LAMP could still be unattainable.
95 A more affordable in-house LAMP was developed in 2008 [11]. The main advantage of the
96 in-house assay was that it could be implicated on the readily-available infrastructure of any
97 laboratory, even in the decentralized one. However, it did require extra-training and skill of
98 technicians to process the clinical specimens. a simple and affordable molecular test would be
99 ~~suitable for achieving accurate TB diagnosis.~~In the past decades, several clinical studies and
100 meta-analyses had evaluated the diagnostic accuracy of the in-house LAMP test for the
101 diagnosis of pulmonary tuberculosis [12–14][7,11–14] (S1 Table). Overall, the LAMPFrom
102 the latest meta-analysis, the overall sensitivity and specificity of the in-house LAMP was
103 93.0% (95%CI 88.9-95.7) and 91.8% (95%CI 86.4-95.1), respectively [14]. One recent study
104 in Thailand reported the sensitivity and the specificity of the in-house LAMP at 94.4%
105 (95%CI 88.9-97.7) and 94.3% (95%CI 87.2-98.1), respectively [15]. ~~assay revealed high~~
106 ~~diagnostic performance especially in smear positive TB patients and had been suggested as~~
107 ~~an alternative test for TB diagnosis, especially in resource limiting areas where advanced~~
108 ~~molecular tests (e.g. PCR and Xpert MTB/RIF) are inaccessible [1,7]. However, the LAMP~~
109 ~~procedures and types of assay used (in house or commercialized kit) varied across studies~~

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110 ~~and yielded some discrepancies in results. Moreover~~However, the reported accuracy could be
111 overestimated if being assessed in qualified laboratories with highly skilled technicians and
112 sufficient resources where molecular tests usually are available ~~[14][14]~~. Therefore, this study
113 aimed to evaluate the pragmatic accuracy of the in-house LAMP assay for the diagnosis of
114 pulmonary tuberculosis in a peripheral community hospital of a developing country with a
115 high TB burden.

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117 **Materials and Methods**

118

119 **Ethics Statement**

120 This study was approved by the Research Ethics Committee of Maesot General Hospital, The
121 Ministry of Public Health (serial number 37/2015) and The Human Research Ethics
122 Committee of Thammasat University, Faculty of Medicine (COA number 081/2016). The
123 clinical samples used in this study were collected from all patients as routinely done.
124 Informed consent was obtained from all patients prior to inclusion.

125

126 **Setting**

127 The study was settled in Maesot General Hospital, a ~~large-large~~-sized community hospital
128 with 365 in-patient beds. The hospital is located in Maesot district in Tak (province), which
129 shares the border with Myanmar and provides standard health care to both Thai and non-Thai
130 patients (Burmese immigrants and ethnic minorities). According to the Health Data Center,
131 the ministry of public health, Thailand, the incidence rate of pulmonary TB in Maesot was
132 351 per 100,000 in 2019. The level of health care system of the hospital is considered rural.
133 Maesot hospital has its own reference laboratory with biosafety cabinet infrastructure, BSC
134 class II. There are four lab technicians and one lab assistant within each working shift. Power
135 generator (350 kW) and UPS (2.7 kW) were available in case of power outages, which was
136 infrequent.

137

138 **Study Design**

139 This prospective diagnostic accuracy research ~~with a population analog cross-sectional~~
140 ~~design~~ was conducted from April to August 2016. ~~New patients who were clinically~~

141 ~~suspected of pulmonary TB (coughing for more than two weeks with or without hemoptysis);~~
142 ~~aged more than 18 years old were consecutively invited into the study regardless of nation~~
143 ~~status. Adult patients aged more than 15 years old with symptoms indicative of pulmonary TB~~
144 ~~(coughing for more than two weeks with or without hemoptysis) and no history of TB were~~
145 ~~consecutively enrolled regardless of nationality status. Samples with contaminated culture~~
146 ~~results or samples from patients who were previously documented as TB cases were~~
147 ~~excluded. Patients with previously documented TB history or patients with two contaminated~~
148 ~~or missing cultures were excluded from the study.~~

149

150

151 **Methods**

152 All patients were given three sealed containers for the collection of morning sputum
153 specimens. Of all containers sent to the laboratory, only the one with seemingly adequate
154 sputum containing both mucoïd or mucopurulent characters with a sample volume of more
155 than 3 ml, was used for the whole investigation procedures as routinely done. Specimens
156 were sent for smear microscopy with conventional AFB-acid-fast bacilli (AFB) staining with
157 Ziehl-Neelsen technique and fluorescence acid-fast staining with Auramine O solution.
158 Smear-positive case was defined according to WHO definitions as the presence of at least
159 two smears of scanty grade or one or more smears of 1+ or more. A smear negative case or
160 AFB smear-negative was conversely defined. ~~For TB culture, the reference test, we~~
161 ~~performed both conventional culture method on L-J (Lowenstein-Jensen) medium and BBL~~
162 ~~MGIT (mycobacterial growth indicator tube) culture method.~~

163

164 **Sputum decontamination and culture examination**

165 For the sputum decontamination process, the collected samples and 2% N-Acetyl-L-cysteine
166 (NALC) NaOH were poured into a 50 ml sterile centrifuge tube in an equal proportion and
167 were subsequently mixed by vortexing for 30 seconds and left at room temperature (20-25°C)
168 for 15 minutes. Then, the test tubes were filled with phosphate buffer saline (pH 6.8) until the
169 volume reached the level of 50 ml. The samples were put in a high-speed refrigerated
170 centrifuge at 3,000 g for 20 minutes. Next, the supernatants were poured off, leaving the tube
171 with decontaminated sputum samples. Finally, a drop (1 ml) of phosphate buffer saline (pH
172 6.8) was used for resuspension of the specimen.

173

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174 For TB culture, the reference test, we performed both conventional culture method on L-J
175 (Lowenstein-Jensen) medium and BBL MGIT 960 (mycobacterial growth indicator tube)
176 culture method. The cCulture media were inoculated with processed sputum specimens and
177 incubated at 35 to 37°C and monitored weekly for growth until 8 weeks. The sputum samples
178 were considered as “culture-positive” if growth was detected in either of L-J or MGIT
179 culture, regardless of the smear status. If growth was not detected in neither of the culture
180 methods and both microscopy results were negative, the samples were considered as “culture-
181 negative” or “non-TB patients”. Patients with smear-positive and culture-negative, which
182 were generally considered as probable TB, were excluded from the analysis. Both smear
183 microscopy and culture methods were performed according to the standard protocols [16][15].

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185 **In-house LAMP test**

186 The LAMP test consists of three steps as follows: DNA extraction, isothermal amplification,
187 and visual interpretation with fluorescence. The National Institute of Health of Thailand had
188 developed the TB Fast Amp technique (a modified LAMP procedure) to suite local practice
189 since 2009. The procedures were described as follow. Flexi Gene® DNA Kit (Qiagen co.,
190 USA) and Protenase K Kit (Qiagen co., USA) were used for DNA extraction [17,18][16,17].
191 Four primers (MTB primers, MAV primers, MIN primers, and Muniv primers) were used for
192 the recognition of six distinct regions on the 16S ribosomal RNA gene of *M. tuberculosis*.
193 Each single LAMP reaction includes 12 µl of TB-Fast AMP mixture (FastAMP master mix
194 includes 2 µl 10Xbuffer, 4 µl 2mM dNTPs, 3.2 µl 5M betaine, 1.2 µl 100 mM MgSO₄, 1.6 µl
195 primer mixture), 1 µl *Bst* DNA polymerase enzyme, 1 µl fluorescent detection reagent and 6
196 µl of extracted DNA samples. Amplification of reaction mixture was performed in the
197 heating blocks at 65°C for 60 minutes, then examined directly by visual observation. The
198 LAMP assay was considered “positive” if the color of the reaction mixture changed from

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199 orange to green or fluorescence was directly observed with the naked eyes. The test was
200 considered “negative” if the color of the mixture remained unchanged. For quality control,
201 positive control (test tube with *M. tuberculosis* genetic materials) and negative control (test
202 tube without *M. tuberculosis* genetic materials) were included in all runs.

203
204

205 **Study size estimation**

206 ~~Pandey et al. reported the sensitivity and specificity of in-house LAMP assay for MTB
207 detection at 97% and 94%, respectively [18]. Based on the hypothesis that the sensitivity of
208 the LAMP test in this study would not differ from that previously reported by more than
209 10%, the study size was estimated (using one-sample comparison of proportion to
210 hypothesized value), yielding a total number of 60 culture-positive TB cases. From a
211 retrospective review of Maesot General Hospital data, the prevalence of culture-positive TB
212 cases was 50% of all patients who were TB suspects. A total of 120 patients were therefore
213 planned to be included in our study.~~

214

215 **Statistical Analysis**

216 ~~Frequency and percentage were used for the description of categorical data. For continuous
217 data, visualization of data distribution was done with histogram. For normally distributed
218 data, mean and standard deviation was reported. For non-normally distributed data, median
219 and interquartile range was reported.~~ We used Fisher’s exact probability test for comparison
220 of differences in independent proportions and Student’s t-test for two independent means.
221 The sensitivity, specificity, positive predictive values (PPV), negative predictive values
222 (NPV), and positive and negative likelihood ratios (LHR+) ~~of all testing methods~~ were
223 calculated and reported with its 95% confidence interval. The 95% confidence interval were

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224 estimated using the Clopper Pearson binomial exact method. The comparison of sensitivity,
225 specificity, and overall test accuracy between the LAMP test and smear microscopy methods
226 was performed with McNemar's exact probability test. Pairwise testing to compare the
227 specificity between the LAMP test and the smear microscopy methods was not performed as
228 the specificity of the latter was affected by incorporation bias and would not be comparable
229 to the in-house LAMP. The agreement of the LAMP test with smear microscopy methods
230 was analyzed with Kappa's statistics and ~~Kappa statistics~~ Spearman's rank correlation. ~~The~~
231 ~~subgroup analysis of LAMP test accuracy in smear negative, culture positive TB patients was~~
232 ~~pre-specified.~~ P-values of less than 0.05 were considered statistically significant. All
233 statistical analyses were done using Stata version 16 (StataCorp, Texas).

234

Results

A total of 120 ~~clinically suspected cases of TB patients to be evaluated for TB~~ were consecutively included from April to August 2016. Three patients with ~~two~~ contaminated cultures, ~~and two~~ ~~patients~~ who subsequently were detected as previously documented TB cases, ~~and eight patients who had smear-positive and culture-positive results~~ were excluded from the analysis; only ~~115-107 samples-patients~~ remained in the study (Fig. 1). Most of the included patients were male (60% vs. 40%) with a mean age of 47 years old. Fifty ~~(43-46.75%)~~ were culture-positive TB patients and ~~65-57 (56.553.3%)~~ were culture-negative patients. The baseline demographic data between culture-positive and ~~culture~~-negative patients were comparable. ~~-For clinical characteristics, except for~~ the presence of cavitary lesions on chest radiographs and the character of collected sputum ~~was found to be significantly different~~ (Table 1). Culture-positive TB patients had higher proportion of cavitary lesions (14.0% vs. 1.58%, $p=0.020024$) and mucous sputum specimen (52.0% vs 24.6%, $p=0.003005$) than patients with negative TB culture.

250

251

Table 1. Baseline clinical characteristics of the patients by culture status

Characteristics	TB Culture Positive	TB Culture Negative	P-Value
	n=50 (43.5%)	n=65 (56.5%)	
Gender			
Male	30(60.0)	39(60.0)	1.000
Female	20(40.0)	26(40.0)	
Nationality			
Thai	22(44.0)	38(58.5)	0.136
Non-Thai	28(56.0)	27(41.5)	
Age (year, mean±SD)	48.7±17.4	45.6±18.5	0.362
Chest radiographs			
without cavitary lesions	43(86.0)	64(98.5)	0.020
with cavitary lesions	7(14.0)	1(1.5)	
Character of sputum			
Salivary	24(48.0)	49(75.4)	0.003
Mucous	26(52.0)	16(24.6)	

252

Table 1. Demographic and clinical characteristics of the patients by TB culture status

Characteristics	TB Culture Positive	TB Culture Negative	P-Value
	(S+ or S-, C+)	(S-, C-)	
	n=50 (46.7%)	n=57 (53.3%)	
Gender			
Male	30 (60.0)	36 (63.2)	0.842
Female	20 (40.0)	21 (36.8)	
Nationality			
Thai	28 (56.0)	21 (36.8)	0.054
Non-Thai	22 (44.0)	36 (63.2)	
Age (year, mean±SD)	48.7±17.4	45.8±18.7	0.408

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Chest radiographs

<u>W</u> ithout cavitary lesions	43 (86.0)	56 (98.2)
<u>W</u> ith cavitary lesions	7 (14.0)	1 (1.8)

$p=0.024$

Character of sputum

<u>Salivary</u>	24(48.0)	43 (75.4)
<u>Mucous</u>	26 (52.0)	14 (24.6)

0.005

253 Abbreviations: TB, tuberculosis; C, culture (+ positive or – negative); S, smear microscopy (+ positive or – negative); SD,
254 standard deviation.

255 ~~Abbreviations: TB, tuberculosis; SD, standard deviation.~~

256

257 **Fig. 1. Study flow diagram of patient enrollment and results of index and reference test**
258 **based on ~~conventional smear microscopy~~ culture result**

259

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261 The overall sensitivity of the LAMP test was 82.0% (95%CI 68.6-91.4), whereas the
262 sensitivity in smear-positive, culture-positive patients and smear-negative, culture-positive
263 was 90.9% (95%CI 78.3-97.5) and 16.7% (95%CI 0.4-64.1), respectively. The overall
264 sensitivity of both the AFB and the fluorescence stain was slightly higher than that of the
265 LAMP test; however, the differences were non-significant (Table 2). The sensitivity,
266 specificity, positive predictive value, and negative predictive value of LAMP test compared
267 to the reference TB culture were 82.0% (68.6-91.4), 84.694.7% (95%CI 73.5-92.485.4-
268 98.9), 80.493.2% (95%CI 66.9-90.281.3-98.6), and 85.985.7% (95%CI 75.0-93.474.6-93.3),
269 respectively. The diagnostic accuracy of both the AFB and the fluorescence stain was slightly
270 higher than that of the LAMP test; however, the differences were non-significant (Table 2).
271 The positive and negative likelihood ratios of the LAMP test was 15.6 (95%CI 4.47-82.12)
272 and 0.19 (95%CI 0.08-0.44), respectively all tests were depicted in table 2. Even though the
273 accuracy measures for the diagnosis of tuberculosis cases were shown to vary across different
274 test methods (LAMP test, AFB stain, and fluorescence stain), the differences were without
275 statistical significance (Table 2).
276 LAMP test results showed substantial to almost perfect agreement were highly correlated with
277 both those of AFB (Kappa 0.82, 95%CI: 0.64-1.01, p<0.001) and fluorescence stain (Kappa 0.84;
278 95%CI: 0.66-1.03, p<0.001) Spearman's rho 0.85, p<0.001) in the diagnosis of culture-positive TB
279 cases (Table 3). The in-house LAMP also showed substantial to almost perfect agreement
280 with both microscopy methods in the diagnosis of culture-positive cases (Kappa 0.85, 95%CI
281 0.74,0.95) (Table 3).

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283 **Table 2. Diagnostic accuracy of LAMP test, AFB stain, Fluorescence stain, parallel and**
 284 **serial testing of LAMP test and AFB stain.**

	TB-Culture			Sensitivity %	Specificity %	PPV % (95%CI)	NPV % (95%CI)	LHR+ (95%CI)
	Positive	Negative	Total					
	(n=50)	(n=65)	(n=115)					
LAMP-Test								
Positive	41	10	51	82.0	84.6	80.4	85.9	5.3
Negative	9	55	64	(68.6-91.4)	(73.5-92.4)	(66.9-90.2)	(75.0-93.4)	(3.0-9.6)
AFB-stain								
Positive	44	7	51	88.0	89.2	86.3	90.6	8.2
Negative	6	58	64	(75.7-95.5)	(79.1-95.6)	(73.7-94.3)	(80.7-96.5)	(4.0-16.6)
Fluorescence-stain								
Positive	44	8	52	88.0	87.7	84.6	90.5	7.2
Negative	6	57	63	(75.7-95.5)	(77.2-94.5)	(71.9-93.1)	(80.4-96.4)	(3.7-13.8)
Parallel testing (LAMP or AFB)								
Positive	45	11	56	90.0	83.1	80.4	91.5	5.3
Negative	5	55	59	(78.2-96.7)	(71.7-91.2)	(67.6-89.9)	(81.3-97.2)	(3.1-9.2)
Serial testing (LAMP and AFB)								
Positive	40	6	46	80.0	90.8	87.0	85.5	8.67
Negative	10	59	69	(66.3-90.0)	(81.0-96.5)	(73.7-95.1)	(75.0-92.8)	(3.99-18.8)
P-value				0.594	0.702	0.840	0.738	

285 **Table 2. Diagnostic accuracy of the in-house LAMP test, AFB stain, and Fluorescence**
 286 **stain.**

Method	Sensitivity% (95% CI), no. corrects	Specificity% (95%CI), no. corrects	Accuracy% (95%CI), no. corrects	PPV% (95%CI)	NPV (95%CI)	LR+ (95%CI)	LR- (95%CI)

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	S+, C+ (n=44)	S-, C+ (n=6)	Any S, C+ (n=50)	S-, C- (n=57)	(n=107)				
LAMP	90.9 (78.3,97.5)	16.7 (0.4,64.1)	82.0 (68.6,91.4)	94.7 (85.4,98.9)	88.8 (81.2,94.1)	93.2 (81.3,98.6)	85.7 (74.6,93.3)	15.6 (4.5,82.1)	0.2 (0.1,0.4)
	N=40	n=1	n=41	n=54	n=95				
AFB stain	-	-	88.0 (75.7,95.5)	100.0 (93.7,100.0)	94.4 (88.2,97.9)	100.0 (93.7,100.0)	90.5 (80.4,96.4)	-	
			n=44	n=57	n=101				
Fluorescence stain	-	-	88.0 (75.7,95.5)	100.0 (93.7,100.0)	94.4 (88.2,97.9)	100.0 (93.7,100.0)	90.5 (80.4,96.4)	-	
			n=44	n=57	n=101				
LAMP test vs. AFB stain			P=0.375*	P=0.250*	P=1.000*				
LAMP test vs. Fluorescence stain			P=0.375*	P=0.250*	P=1.000*				

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287 *P-values from McNemar's Exact probability test

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288 Abbreviations: AFB, acid fast bacilli; C, culture (+ positive or - negative); CI, confidence interval; LAMP, loop-mediated

289 isothermal amplification; LR+, positive likelihood ratio; LR-, negative likelihood ratio; no, correct, number correctly

290 identified; NPV, negative predictive value; PPV, positive predictive value; S, smear microscopy (+ positive or - negative).

291

292 **Table 3. Diagnostic agreement and correlation between the in-house LAMP test and**
 293 **AFB stain-fluorescence stain.**

LAMP Test	AFB Stain & Fluorescence stain		
	Positive	Negative	Total
Positive	40	4	44
Negative	4	59	63
Total	44	63	107
Agreement (%)	92.5%		
Kappa (95%CI, p-value)	0.85 (0.74,0.95, p<0.001)		
Spearman's rho (p-value)	0.85 (p<0.001)		

294 Abbreviations: LAMP, loop-mediated isothermal amplification; CI, confidence interval.

295
 296 Abbreviations: TB, tuberculosis; PPV, positive predictive value; NPV, negative predictive
 297 value; LHR+, positive likelihood ratio; CI, confidence interval; LAMP, loop-mediated
 298 isothermal amplification; AFB, acid fast bacilli.

300 **Table 3. Diagnostic agreement between LAMP test and AFB stain-fluorescence stain.**

LAMP Test	AFB Stain			Fluorescence stain		
	Positive	Negative	Total	Positive	Negative	Total
Positive	46	5	51	47	4	51
Negative	5	59	64	5	59	64
Total	51	64	115	52	63	115
Agreement (%)	91.3			92.2		
Kappa (95%CI)	0.82 (0.72-0.93)			0.84 (0.74-0.94)		
P-value	<0.001			<0.001		

301 Abbreviations: LAMP, loop-mediated isothermal amplification; CI, confidence interval.

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303 ~~When parallel testing of LAMP and AFB stain was done, the sensitivity raised to 90.0%~~
304 ~~(78.2-96.7) while the specificity dropped to 83.1% (71.7-91.2). Serial testing of LAMP and~~
305 ~~AFB stain yielded higher specificity at 90.8% (81.0-96.5) with relatively lower sensitivity at~~
306 ~~80.0% (66.3-90.0). Even though the accuracy measures for the diagnosis of tuberculosis cases~~
307 ~~were shown to vary across different test methods (LAMP test, AFB stain, fluorescence stain,~~
308 ~~parallel testing and serial testing of both LAMP and AFB stain), the differences were without~~
309 ~~statistical significance (Table 2).~~

310

311 Of 50 culture positive TB cases, six were smear negative. The sensitivity, specificity,
312 positive predictive value, and negative predictive value of LAMP test in smear negative,
313 culture positive TB patients was 16.7% (0.4-64.1), 93.1% (83.3-98.1), 20.0% (0.5-71.6), and
314 91.5% (81.3-97.2), respectively. In smear positive, culture positive TB patients, the
315 sensitivity, specificity, positive predictive value, and negative predictive value of LAMP test
316 was 90.9% (78.3-97.5), 84.5% (74.0-92.0), 78.4% (64.7-88.7), and 93.8% (84.8-98.3),
317 respectively.

319 Discussion

320 This study had demonstrated the pragmatic ~~diagnostic performance~~ performance of the in-
321 house LAMP test assay in a remote hospital of a high TB burden country. It was revealed that
322 ~~the overall sensitivity of the in-house LAMP in our study was lower than the numbers~~
323 ~~reported in the majority of the previous in-house LAMP studies. Nonetheless, the specificity~~
324 ~~was comparable to other figures reported in the literature. In comparison to microscopy~~
325 ~~methods, the AFB and fluorescence stain, the in-house LAMP, which was found to be~~
326 ~~comparable-inferior to that of the conventional smear microscopy and the fluorescence~~
327 ~~microscopy in terms of overall sensitivity (82.0% vs. 88.0%, p=0.375) and accuracy (88.8%~~
328 ~~vs. 94.4%, p=1.000-); however, Although the sensitivity and specificity of the LAMP test~~
329 ~~were lower than that of the acid fast stain and the fluorescence stain, the comparative~~
330 statistical test revealed non-significant results. ~~Based on the result of our study, we suggest~~
331 ~~that the in-house LAMP should not be a substitute to conventional smear methods, but should~~
332 ~~be done in parallel, which Using the LAMP test and the acid fast stain in parallel might~~
333 ~~increase the sensitivity but lower the specificity in the diagnosis of tuberculosis patients. For~~
334 ~~screening purposes, parallel testing with high sensitivity would result in a higher sensitivity~~

335 ~~with fewer false-negative TB cases. However, the relative reduction in specificity would~~
336 ~~increase the number of false positives where some patients might be subject to unnecessary~~
337 ~~treatment with serious side effects and risk of drug resistance. In the clinical context of TB~~
338 ~~diagnosis, both the LAMP test and the smear microscopy are considered as a diagnostic test~~
339 ~~which would normally be done in TB suspects with high pre-test probability [14]. Therefore,~~
340 ~~a serial test relying on both the result from the LAMP test and the acid-fast stain would be~~
341 ~~more appropriate for use as a rule-in test as it carried higher specificity and positive~~
342 ~~likelihood ratio than other methods.~~

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344
345 In this study, the sensitivity of the in-house LAMP test was 82.0% (95%CI 68.6-91.4) in
346 culture-positive and 16.7% in smear-positive and smear-negative TB patients, respectively.

347 ~~Unlike most of the previous studies which reported higher sensitivity of the LAMP test~~
348 ~~compared to conventional microscopic examination [7,14], the sensitivity of the LAMP test in~~
349 ~~our study was just comparable to lower than the smear microscopy. In the past, several~~
350 ~~studies had reported a higher sensitivity of the in-house LAMP test, which ranges from 90.0~~
351 ~~to 100.0% [11,15,19-24]. Most of these studies were either University hospitals, TB-~~

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352 ~~specialized centers or hospitals, or national TB-specialized laboratories, which were generally~~
353 ~~equipped with highly-trained personnel and adequate infrastructural supports. The overall~~
354 ~~sensitivity of our in-house LAMP was consistent with two previous studies from India and~~
355 ~~Zambia, which was 79.5% (95%CI 64.0-89.0) and 81.4% (95%CI 71.6-89.0), respectively~~
356 ~~[12,25]. Although both studies were performed in University hospitals, the LAMP procedures~~
357 ~~were modified to suit local conditions, and sputum processing and DNA extraction was done~~
358 ~~with commercial kits. The higher sensitivity of the acid-fast stain and the fluorescence stain~~
359 ~~in our study could be explained by the high prevalence of TB, the absence of HIV patients or~~

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360 less number of patients with paucibacillary sputum, and the availability of skilled technicians
361 ~~[12,26–28][11,18–20]~~. Besides, specimen decontamination with concentrated NaOH
362 decreases the amount of viable genetic materials for amplification, which could reduce the
363 sensitivity of both the LAMP test and TB cultures. A lower concentration of NaOH (1-1.5%)
364 or NaOH free methods during sample decontamination may be suggested ~~[12,29][11,21]~~. ~~The~~
365 ~~sensitivity of the LAMP test in smear-negative specimens could not be accurately estimated~~
366 ~~in this study as there were too few smear-negative, culture-positive patients.~~
367
368 The overall specificity of the LAMP test was ~~84.6% and 93.1% in smear positive and smear-~~
369 ~~negative~~ 94.7% (95% CI 85.4-98.9) for non--TB patients, respectively. ~~A positive LAMP~~
370 ~~result in a smear positive patient is ,therefore, at high risk of false positive, whereas a~~
371 ~~positive result in a smear negative patient would significantly increase the probability of TB~~
372 ~~diagnosis [14].~~ This was ~~discordant in concordance~~ with a recent meta-analysesanalysis,
373 which reported ~~higher~~ pooled specificity ~~ranging from 94.0–98.1% of the in-house LAMP at~~
374 91.8% (95% CI 86.4-95.1) for smear positive patients and 97.7–98.6% for smear negative
375 patients [14][7,14]. However, it was concluded that the specificity of the in-house assays was
376 lower than that of the Loopamp commercial kit, which was reported at 96.5% (95% CI 94.7-
377 97.7). ~~This was due to the higher occurrence of false positive cases in this study.~~ A false
378 positive LAMP result in smear-positive cases was frequently encountered in routine practice,
379 which could ~~usually~~ be explained by multiple factors such as higher temperature, higher
380 humidity, suboptimal reagents volume, and crossover contamination ~~[14,30][14,22]~~. ~~For in-~~
381 ~~house LAMP, a~~ An extensive laboratory technician training and continuous quality
382 assessment should be conducted to lessen the risk of false-positive results. However, other
383 potential factors might still account for the low specificity, such as temperature controls and
384 volume of reaction used. For temperature, only available water bath was applied for

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385 temperature controls during LAMP procedures instead of a more stable dry heating block. A
386 recent study suggested a high reaction volume of 30-35 µl due to the risk of self-priming in

387 concentrated reagents [30][22]. The volume of reaction in our study was lower at 20 µl which
388 was based on the previous study of the in-house LAMP by The National Institute of Health,
389 The Ministry of Public Health of Thailand [16].

390
391 The diagnostic accuracy of the LAMP test in smear-negative specimens was consistent with
392 previous literature. However, the sensitivity was much lower in our study, which could result
393 from the low number of TB cases in smear-negative samples. This information supports the
394 use of LAMP as a rule-in test in smear-negative adult patients. In smear-positive samples, a
395 serial test of both acid-fast stain and LAMP test would likely result in a more accurate
396 diagnosis of TB than each in isolation. The WHO had made a conditional recommendation
397 based on a piece of very low-quality evidence that the LAMP test may be used as an
398 alternative test for sputum direct microscopic examination to diagnose TB suspects [10].

399 Based on the result of this study, we suggest that both the smear microscopic method and the
400 LAMP test should be tested in serial to maximize the diagnostic specificity. As the LAMP
401 test had shown different diagnostic abilities on different smear status [23], the interpretation
402 of the LAMP test in practice should also rely on the result of smear microscopy and thus
403 should not be done independently.

404
405 Currently, the WHO only supported the use of two rapid molecular tests for the diagnosis of
406 pulmonary tuberculosis, which were Xpert MTB/RIF and the commercialized TB-LAMP test
407 assay [9][10]. According to previous studies, both had shown comparable performance in
408 smear-positive samples, but higher sensitivity was shown in Xpert MTB/RIF than in the
409 LAMP test [6,25][7,24]. Xpert MTB/RIF has been endorsed for use in the diagnosis of TB in

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410 many countries, including Thailand [4,31][4,5]. Nonetheless, Xpert MTB/RIF might not be
411 suitable in peripheral regions with poor infrastructure as the instrument requires a stable
412 electricity supply and an appropriate environment. The device also requires high continuous
413 maintenance costs leading to a relatively high cost per test compared to the LAMP test. ~~In~~
414 ~~contrast, the~~The LAMP test is readily available and can be done in any resource-poor settings
415 with regular infrastructure and technicians with adequate training. In Thailand, only a portion
416 of patients, not including foreigners and ethnic minorities, could reimburse the cost for Xpert
417 MTB/RIF due to the regulation stated by The National Health Security Office (NHSO). To
418 effectively prevent the spread of TB, all ~~suspected~~ patients to be evaluated for TB should
419 have equal access to high-quality diagnostic tools. Therefore, smear microscopy and the
420 LAMP test may be more applicable in terms of accessibility and affordability, especially in
421 the distant areas and the borderlands.

422
423 However, there may be some limitations to this study. First, the study size ~~was~~ might not be
424 powered enough to confirm the statistical insignificance of the between-test comparison.
425 Second, ~~there were no new suspected TB cases with HIV infection during study~~
426 ~~recruitment~~ no patients with HIV infection were included during the study period, as HIV
427 status could be influential to the diagnostic performance of both the smear microscopy and
428 the LAMP test, especially in areas with a high prevalence of TB-HIV coinfection. Third, this
429 study had a higher proportion of salivary sputum than mucous sputum. This could affect the
430 diagnostic performance of both the index and the reference test [32]. The percentage of
431 culture-positive TB cases was lower in salivary samples than in mucous samples (35.8% vs.
432 65.0%, p=0.005). Both the quality and quantity of sputum specimens were associated with
433 the positivity of smear, molecular testing methods (Xpert MTB/RIF and PCR), and TB
434 culture [33,34]. Thus, it was possible that some patients with pulmonary TB might be

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435 classified as smear-negative, LAMP-negative, or even culture-negative cases. No previous
436 study had officially addressed the effect of sputum quality on the LAMP test. Moreover, the
437 character of sputum specimens was rarely reported. Interestingly, it was revealed from our
438 data that the proportion of smear-positive, LAMP-positive results was also significantly
439 lower in salivary sputum than in mucous sputum (31.3% vs. 57.5%, p=0.009 and 29.9% vs.
440 60.0%, p=0.003, respectively). Therefore, the sensitivity and accuracy of all tests, including
441 LAMP, might be underestimated. Previous studies reported that by improving the sputum
442 quality, TB diagnostic yield increased [35,36]. Thus, high-quality sputum collection must be
443 encouraged both in practice and studies.

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445 ~~Third, this study had a higher proportion of salivary sputum than mucous sputum. This could~~
446 ~~affect the diagnostic performance of both the index and the reference test [25]. The~~
447 ~~percentage of culture positive TB cases was lower in salivary samples than in mucous~~
448 ~~samples (32.9% vs. 61.9%, p=0.003). Thus, it was possible that some TB patients might be~~
449 ~~classified as culture negative or false negative cases.~~ Finally, the use of routine TB culture as
450 a reference standard might be inadequate, as some TB patients could be classified as not

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451 having TB [6][7]. With a higher quality reference standard, the sensitivity of ~~TB~~ the in-house
452 LAMP should be increased when a portion of ~~40~~ three remaining false-positive cases was re-
453 classified as true-positive cases. Different culture media and techniques could be used in
454 composite to achieve different performance characteristics [37]. In our study, two different
455 culture techniques, L-J and MGIT, were used to increase the diagnostic rate of TB[38]. We
456 also applied a strict diagnostic definition in calculating specificity by considering only
457 patients with smear-negative and culture-negative results[39].

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459 Conclusions

460 In conclusion, the LAMP test is a practical and affordable nucleic amplification technique for
461 the diagnosis of pulmonary tuberculosis, which should be implemented in resource-limiting
462 settings where Xpert MTB/RIF is unavailable. The diagnostic accuracy of the in-hose LAMP
463 was similar to previous studies for specificity. Better sputum processing and DNA extraction
464 method should be identified to improve the test sensitivity. -The ~~pragmatic diagnostic~~ overall
465 accuracy of the in-house LAMP test was comparable to that of conventional microscopy and
466 fluorescence microscopy with minimal inferiority in terms of sensitivity. ~~To rule in TB~~
467 ~~diagnosis~~ Therefore, a serial-parallel examination of both smear microscopy and the in-house
468 LAMP test is suggested to minimize the risk of false ~~positive-negative~~ results, especially in
469 an endemic area.

470

471 **Acknowledgements**

472 The authors wish to acknowledge the contributions of all the medical and nursing staff of the
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683 **Supporting information**

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684 **S1 Table. Review on diagnostic accuracy of in-house LAMP assays for diagnosis of**
685 **pulmonary tuberculosis (DOCX)**

686 **S2 Table. LAMP minimal dataset (CSV)**

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Responses to Reviewers' comments

Pragmatic accuracy of loop-mediated isothermal amplification (LAMP) for diagnosis of pulmonary tuberculosis in a Thai community hospital

Reviewer #1:

1. The study aims to evaluate usefulness of a LAMP method in a practical setting in Thailand. The LAMP method is now available as an only commercial kit TB-LAMP assay (LoopampTMMTBC Detection Kit, Eiken Chemical Company Ltd., Japan) as endorsed by WHO in 2016. It seems that the method used in this study is a unique system at least partially. So, it is important to state explicitly that the target to be evaluated was an in-house LAMP and not one commercially available LAMP recommended by WHO.
 - The LAMP test in our study was a non-commercial, in-house LAMP.
 - We re-wrote the manuscript and emphasized that the test used was in-house LAMP.
2. In evaluating the sensitivity of the method, the authors used culture negative (clinically defined) cases, as well as bacteriologically confirmed cases, as a gold standard of the cases of TB. It may be difficult to admit the clinical diagnosis as a diagnostic basis for such a study as this, apart from clinical practice. Vice versa, the definition of the gold (conventional) standard for specificity (non-cases) should be reconsidered. The following paper may be of use in revising the paper; Kaku et al: Accuracy of LAMP-TB Method for Diagnosing Tuberculosis in Haiti. *Jpn. J. Infect. Dis.*, 69, 488–492, 2016.
 - We modified the inclusion criteria for analysis as suggested by both reviewers.
 - As the analysis was done in a per-patient fashion, patients with smear-positive and culture-negative results would be excluded, as these patients were considered as probable TB cases. Therefore, the evaluation of sensitivity would include patients with both smear positive and smear negative with positive culture results. In contrast, the evaluation of specificity would include only patients with smear-negative and culture-negative results.

Reviewer #2:

1. Abstract/Background: “proven diagnostic performance” – this is both vague and too specific at the same time, “most of the results were validated” – the results aren’t validated, the assay is validated
 - We rewrote the abstract and introduction part as suggested.
2. The language surrounding people with possible TB needs to be updated throughout the paper - avoid the use of terms like "TB suspects" that increase the stigma surrounding this disease. http://www.stoptb.org/assets/documents/resources/publications/acsm/LanguageGuide_ForWeb20131110.pdf
 - We rewrote the abstract and introduction part as suggested.
3. The paper states repeatedly that there is little work published from resource-challenged settings, but this claim is not supported. Even the references given cite studies in such decentralized settings. Maybe it just hasn’t been done in Thailand? A better summary of the literature needs to be included. How does this compare to other studies? How is the TB LAMP test performed in this study compare to the TB LAMP tests in other published literature? A better focus on properly relating the current study to the body of work in the literature rather than trying to claim it is quite novel would actually strengthen the paper. There is merit in replication or demonstrating an important diagnostic in a new geographical area.
 - We rewrote the abstract and introduction part as suggested.
4. In-house vs commercialized kit is mentioned but not explained. And the position of this paper (what LAMP testing approach is used) is not properly placed in the context of what other papers are using and the potential impact on sensitivity/specificity.
 - We rewrote the abstract and introduction part as suggested.
5. The sensitivity/specificity of LAMP in other papers, settings, etc needs to be stated with numbers and not just alluded to. A proper, specific summary of the literature is lacking.
 - We rewrote the abstract and introduction part as suggested.
6. “In 2016, WHO suggested the use of LAMP assay for the diagnosis of pulmonary tuberculosis” – this is not quite right, WHO recommendations are very specific and it is important to get that right. From the abstract of the citation provided: “WHO recommends that TB-LAMP can be used as a replacement for microscopy for the diagnosis of pulmonary TB in adults with signs and symptoms of TB”. This needs to be stated correctly. Also, given the paper has mentioned in-house vs commercialized kits, it needs to be clarified that the WHO guidance refers only to the Eiken LAMP kit.
 - We rewrote the abstract and introduction part as suggested.
7. “LAMP assay has a low cost per test, does not required advanced technological facilities, and can be routinely practiced in general hospital laboratories [3].” Reference 3 doesn’t support this statement – it doesn’t say anywhere that the LAMP assay has a low cost per test. It says “Costs can be kept to a minimum if testing is limited to specimens from the most high-risk patients based on proper clinical assessments and national testing algorithms based on public health policies.” There are other publications on the cost of the LAMP assay for TB diagnosis. The authors might explain better the infrastructure/training needed for LAMP based on this reference and others.
 - We rewrote the abstract and introduction part as suggested.
 - We changed the references to the statement as follow: Sohn H. Cost, affordability, and cost-effectiveness of TB-LAMP assay. In: Report to WHO Guideline Development Group Meeting on TB-LAMP Assay. Edn. Geneva: World Health Organization; 2016 and Shete PB, Farr K, Strnad L, Gray CM, Cattamanchi A. Diagnostic accuracy of TB-LAMP for pulmonary tuberculosis: a systematic review

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8. Reference 5 doesn't appear to really relate to the sentences it comes after. Reference 3 would make a lot more sense as it is a detailed overview of TB diagnostics including many molecular diagnostics.
 - We rewrote the abstract and introduction part as suggested.

Setting

1. The paper needs to do more to state what sets this setting apart from (or ties it to) other studies. See the methods section describing setting in reference 22 for how attributes of the specific site can be expressed in the context of the needs of LAMP.
 - We elaborated the character of our setting as suggested:
 - Level of health system: rural
 - Distance to reference laboratory: 0 km
 - Median LAMP test workload: 6 (4-10)
 - Electricity and backup power: infrequent power outages, power generator (350 Kw) and UPS (2.7 Kw)
 - Biosafety cabinet infrastructure: BSC class II
 - Laboratory staff: 4 lab technicians, 1 lab assistant
2. Study Design: This is not a cross-sectional design; it is a prospective design. The plan was to prospectively enroll 120 patients.
 - We changed the type of design to prospective diagnostic accuracy study as suggested.
 - We would like to make a constructive argument on this point, as the diagnostic accuracy research is actually cross-sectional study in design. The cross-sectional design is only the type of membership condition, single component of study base, and cross-sectional design can therefore be collected prospectively or retrospectively. We would like to ask you to kindly refer to this reference: Assessment of the accuracy of diagnostic tests: the cross-sectional study by Knottnerus JA, 2003.
Link: <https://www.ncbi.nlm.nih.gov/pubmed/14615003>
3. "New patients who were clinically suspected of 109 pulmonary TB (coughing for more than two weeks with or without hemoptysis), aged more than 18 years old were consecutively invited into the study regardless of nation status." Suggest re-writing to something more like: 'Adults more than 18yrs of age with symptoms indicative of pulmonary TB (coughing...) and no history of TB were consecutively enrolled regardless of national status.' If patients were 'invited' but not enrolled, we need numbers on how many declined.
 - We re-wrote the sentence as suggested: Adult patients aged more than 15 years old with symptoms indicative of pulmonary TB (coughing for more than two weeks with or without hemoptysis) and no history of TB were consecutively enrolled regardless of national status.
4. "Samples with contaminated culture results or samples from patients who were previously documented as TB cases were excluded." Were the patients excluded or the samples?
 - Patients with previously documented TB cases were excluded.
 - Patients with two contaminated or missing culture results were excluded.

Methods

1. A map of which samples were used for what tests would be quite helpful. Highlight if any of the reference tests (smear, LJ culture, MGIT culture) were performed on the same sputum as LAMP.
 - Conventional macroscopy, LAMP test, and culture were conducted as routinely done.

- All patients were given three sealed containers for the collection of morning sputum specimens. Of all containers sent to the laboratory, only the one with seemingly adequate sputum, containing both mucoid or mucopurulent characters with a sample volume more than 3 ml, was used for the whole investigation procedures as routinely done. Specimens were sent for smear microscopy with conventional acid-fast bacilli (AFB) staining with Ziehl-Neelsen technique and fluorescence acid-fast staining with Auramine O solution.
2. Make it clear somewhere that smear-negative refers to AFB smear-negative.
 - We added detail on the smear-negative status as suggested.
 - According to WHO definitions, any patient with at least two AFB smears of scanty grade or one or more smears of 1+ or more was defined as smear-positive case. Smear-negative case was conversely defined.
 3. Study size estimation

This has no purpose here – the study is done. Sample size estimation is for study planning purposes, for securing funding and making sure the plan has statistical validity.

 - The study size estimation part was removed as suggested.
 4. Statistical analysis. The first four sentences are unnecessary.
 - The first four sentences were removed as suggested.
 5. The authors need to state what method was used to obtain the 95% CI for the sens/spec/PPV/NPV/LR+. It is clear from my testing that the Clopper Pearson binomial exact test was used, the authors should include the reference (usually found in the software documentation).
 - The 95% confidence intervals were calculated using the Clopper Pearson binomial exact method.
 - We added this statement in the statistical section and added the citation as suggested.
 6. Kappa statistics are for inter-reader reliability, not for comparison of correlations between tests. It includes the concept that agreement may happen by chance when two people are guessing. However, it is not appropriate for comparison of diagnostic results because there isn't guessing – the samples should not agree by chance but because they are or are not TB and the sensitivities of tests objectively vary. Spearman's correlation can be used, but I think what you actually want is McNemar's test. The desire is to compare the diagnostic performance (i.e. accuracy) between tests – McNemar's test will do that. Alternatively, Spearman's correlation can look at the [objective] agreement between tests.
 - Spearman's rank correlation was inserted into the manuscript to represent the objective agreement between tests as suggested.
 - The agreement of LAMP test with smear microscopy methods was analyzed with Kappa's statistics and Spearman's rank correlation.
 - We still presented the value of Kappa's statistics as many of the previous studies on LAMP assay and other diagnostic tests had done [1–3].

Results

1. Table 1 is dedicated to showing the patient clinical characteristics by culture status. The p-values shown test whether these characteristics differ significantly dependent on culture status. It is expected that gender, nationality, and age should not differ. Whereas it is also expected that chest x-rays and sputum quality would differ. The baseline demographic data between culture 188 positive and negative patients were comparable except for the presence of cavitory lesions on 189 chest radiographs and the character of collected sputum (Table 1). Age, nationality, and gender are demographic data. Chest x-ray and sputum quality are clinical characteristics.
 - We reanalyzed all the data after exclusion of patients with probable TB (LAMP test positive and AFB smear positive patients with negative culture).
 - All the baseline demographic and clinical characteristics data were reanalyzed and presented in Table 1.
 - The statements in the results section were re-written as suggested.
2. Table 2 – re-check the NPV for parallel testing
 - We reanalyzed all the data after exclusion of patients with probable TB (LAMP test positive and AFB smear positive patients with negative culture).
 - All the data on Table 2 were checked for any error as suggested.
3. There are a lot of LAMP-positive and AFB smear-positive patients with negative culture. Especially given that the tests are done on different sputum samples, these should be considered patients with probable TB and not used in assessing sensitivity and specificity.
 - We reanalyzed all the data after exclusion of patients with probable TB (LAMP test positive and AFB smear positive patients with negative culture).
 - The final study size for analysis of LAMP test diagnostic accuracy was therefore 107 patients. (8 patients were excluded, 6 patients with both LAMP test and AFB smear-positive and culture negative, 1 patient with AFB positive and culture negative, and 1 patient with fluorescence stain positive and culture negative)
4. There are too few smear-negative, culture-positive patients to assess sensitivity. Specificity should not be stratified by smear status, only sensitivity. For the reason above (that smear-positive, culture-negative patients shouldn't be included in estimations of sensitivity/specificity of LAMP), what the paper is calling 'smear-negative specificity' should in fact be reported as the actual specificity of LAMP.
 - We exclude smear-positive, culture negative patients from the analysis as suggested.
 - We reported the actual specificity of LAMP test without stratification.
 - We acknowledged that our there are too few smear negative, culture positive patients to assess sensitivity in the discussion part.
5. Table 2 – the p-values shown have no real meaning! If you want to compare accuracy of tests, you cannot do a p-value over the final accuracy measures among a bunch of tests. You need to compare tests 1 against another by using 2x2 grids and McNemar's test. So, if you want to compare the accuracy of LAMP to the accuracy of AFB stain, you use the grid in Table 3 and McNemar's test:
 - The comparison of diagnostic indices between LAMP test and AFB, fluorescence stain was re-analyzed using McNemar's exact probability test as suggested. We presented the result of the pairwise tests separately and reformatted Table 2.
 - Pairwise testing was not performed to compare the specificity between the LAMP test and the smear microscopy methods as the specificity of the latter was affected by incorporation bias and would not be comparable to the in-house LAMP.
 - Table 3 was also reformatted.
 - Spearman's rank correlation was used as suggested.

Discussion

1. “This study had demonstrated the pragmatic performance of the LAMP test, which was comparable to that of the conventional smear microscopy and the fluorescence microscopy.” Not true, the performance of LAMP as evaluated in this study was below that of smear microscopy.
 - We rewrote the discussion part as suggested.
 - “This study had demonstrated the pragmatic diagnostic performance of the in-house LAMP assay in a remote hospital of a high TB burden country. It was revealed that the overall sensitivity of the in-house LAMP in our study was lower than the numbers reported in the majority of the previous in-house LAMP studies. Nonetheless, the specificity was comparable to other figures reported in literature. In comparison to microscopy methods, the AFB and fluorescence stain, the in-house LAMP was found to be inferior in terms of overall sensitivity (82.0% vs. 88.0%, $p=0.375$) and accuracy (88.8% vs. 94.4%, $p=1.000$); however, the comparative statistical test revealed non-significant results. Based on the result of our study, we suggest that the in-house LAMP should not be a substitute to conventional smear methods, but should be done in parallel, which would result in a higher sensitivity with fewer false-negative TB cases.”
2. “Although the sensitivity and specificity of the LAMP test were lower than that of the acid-fast stain and the fluorescence stain, the comparative statistical test revealed non-significant results” This is still true when McNemar’s test is performed, but the right statistical tests need to be used in the paper. Furthermore, a non-significant result doesn’t mean no difference, it means the difference is likely smaller than the power of the study to detect.
 - We rewrote the discussion part as suggested.
 - We reanalyzed our data using McNemar’s exact probability test as suggested.
3. Put PPV/NPV in the context of the local prevalence of disease! State from the literature or reliable source what the prevalence of TB is in the hospital’s area of Thailand. I would suggest giving the readers an example: Given that prevalence and a group of 1000 patients, state how many would be true positives, false positive, true negatives, and false negatives. You can therefore assess what burden the different accuracies will place on the hospital. I.e. if the specificity is quite low and the sensitivity is higher, is that better? If the sensitivity is high and the specificity is lower, is that better? Relate this to the LR+.
 - We would like to make a constructive argument to this question as follow: The prevalence of culture-positive TB in this study was 46.7%. As this was a “consecutive recruitment of patients with sign and symptoms suggestive of pulmonary TB” or “patients with higher pre-test probability that the general prevalence” or the “person that the in-house LAMP test was intended to be used”, the calculation of positive predictive values could be directly calculated and reported from the study data as in the other study [1]. Moreover, both the in-house LAMP assay and acid-fast stain were not intended to be used as screening tests in the general population. For this reason, we did not include this part in our manuscript; however, we provide the answer to the question in this response paper.
 - The latest Maesot’s population figures from the Health Data Center (HDC), the ministry of public health, Thailand, was 115,108 in 2019. The prevalence of pulmonary tuberculosis was 351 per 100,000 or 35 per 10,000.

	TB case	Non-TB case	Total	
LAMP positive	29	528	557	PPV 29/557=5.2%
LAMP negative	6	9,437	9,443	NPV 9437/9443=94.9%

Total	35	9,965	10,000	Prevalence=0.0035
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4. “In the clinical context of TB diagnosis, both the LAMP test and the smear microscopy are considered as a diagnostic test which would normally be done in TB suspects with high pre-test probability [14]” – this is not what the reference says.
 - The reference states “The TB LAMP assay is usually applied for TB-suspected patients and is rarely used for screening purpose. To rule-in the TB diagnosis, specificity is more important than sensitivity.”
 - What we’re trying to imply from this statement was that the LAMP test was developed to be applied for patients who were suspicious of having TB with “higher pre-test probability than average person”. As the LAMP test was not for screening purpose, specificity is more important and should be more focused than sensitivity.
 - After we re-analyzed the data with the exclusion of probable TB cases, our specificity increased to comparable level with previous studies. The parallel and serial testing was omitted from our analysis as the test accuracy of combination of the in-house LAMP with other smear microscopy methods would be seriously affected by incorporation bias (smear-positive, culture-negative patients were all excluded.
5. “Therefore, a serial test relying on both the result from the LAMP test and the acid-fast stain would be more appropriate for use as a rule-in test as it carried higher specificity and positive likelihood ratio than other methods.” Authors should define ‘rule-in’ test and what is generally expected of such a test. Should note the increased cost of such an approach.
 - After we re-analyzed the data with the exclusion of probable TB cases, our specificity increased to comparable level with previous studies. The parallel and serial testing was omitted from our analysis as the test accuracy of combination of the in-house LAMP with other smear microscopy methods would be seriously affected by incorporation bias (smear-positive, culture-negative patients were all excluded.
6. The effect of a gold standard which is not itself perfect should be discussed. Also the variability between sputum samples should be discussed.
 - The use of routine TB culture as a reference standard might be inadequate, as some TB patients could be classified as not having TB [6]. Different culture media and techniques could be used in composite to achieve different performance characteristics[4]. With a higher quality reference standard, the sensitivity of the in-house LAMP should be increased when a portion of three remaining false-positive cases was re-classified as true-positive cases.
 - This study had a higher proportion of salivary sputum than mucous sputum. This could affect the diagnostic performance of both the index and the reference test[5]. The percentage of culture-positive TB cases was lower in salivary samples than in mucous samples (35.8% vs. 65.0%, $p=0.005$). Both the quality and quantity of sputum specimens were associated with positivity of smear, molecular testing methods (Xpert MTB/RIF and PCR), and TB culture [6,7]. Thus, it was possible that some patients with pulmonary TB might be classified as smear-negative, LAMP-negative, or even culture-negative cases. Interestingly, it was revealed from our data that the proportion of smear-positive, LAMP-positive results was also significantly lower in salivary sputum than in mucous sputum (31.3% vs 57.5%, $p=0.009$ and 29.9% vs. 60.0%, $p=0.003$, respectively). Therefore, the sensitivity and accuracy of all tests, including LAMP, might be underestimated. Previous studies reported that by improving the sputum quality, TB diagnostic yield increased[8,9]. Therefore, high-quality sputum collection must be encouraged both in practice and studies.
7. A better look at the differences between this study and others with better test performance needs to be done.
 - In this study, the sensitivity of the in-house LAMP test was 82.0% (95% CI 68.6-91.4) in culture-positive TB patients, respectively. In the past, several studies had reported

a higher sensitivity of the in-house LAMP test, which ranges from 90.0 to 100.0%. Most of these studies were either University hospital, TB-specialized centers or hospitals, or national TB-specialized laboratory, which were generally equipped with highly-trained personnel and adequate infrastructural supports. The overall sensitivity of our in-house LAMP was consistent with two previous studies from India and Zambia, which was 79.5% (95%CI 64.0-89.0) and 81.4% (95%CI 71.6-89.0), respectively. Although both studies were performed in University hospitals, the LAMP procedures were modified to suit local conditions, and sputum processing and DNA extraction was done with commercial kits. The higher sensitivity of the acid-fast stain and the fluorescence stain in our study could be explained by the high prevalence of TB, the absence of HIV patient or a smaller number of patients with paucibacillary sputum, and the availability of skilled technicians

8. “Currently, the WHO only supported the use of two rapid molecular tests for the diagnosis of 294 pulmonary tuberculosis, which were Xpert MTB/RIF and the LAMP test” – as the concept of LAMP test from a kit and other LAMP tests has been raised, and the variability of accuracy depending, it needs to be clear that the WHO recommendation is only for the Eiken LAMP test kit!
 - We edited the statement as follow: “Currently, the WHO only supported the use of two rapid molecular tests for the diagnosis of pulmonary tuberculosis, which were Xpert MTB/RIF and the commercialized TB-LAMP assay”.

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