

1 Trial protocol

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3 **A Multicenter Randomized Controlled Trial Comparing Chemotherapy plus**
4 **Locoregional Radiotherapy with Chemotherapy Alone for Primary Metastatic**
5 **Nasopharyngeal Carcinoma**

6

7

FINAL PROTOCOL

8

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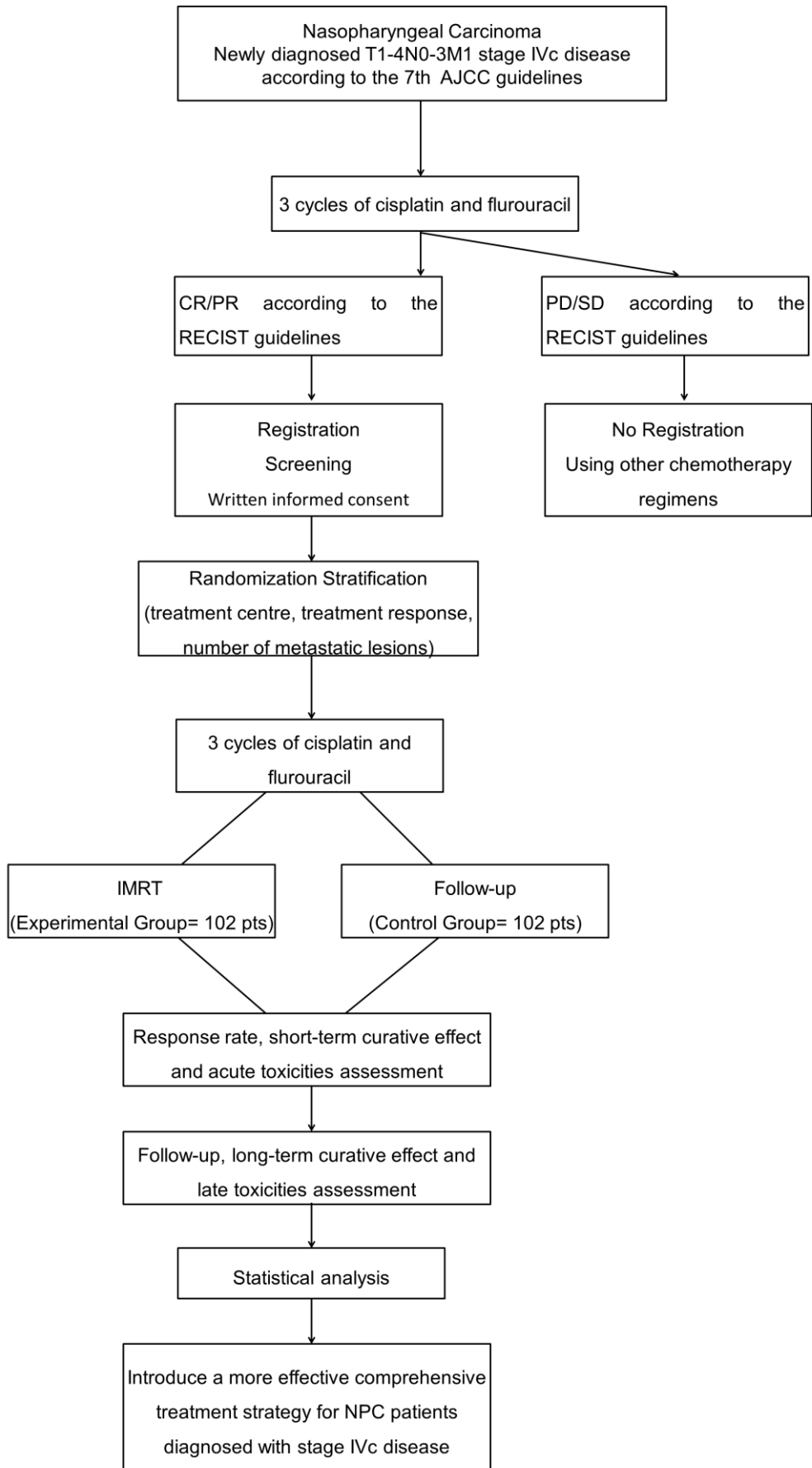
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Contents

| | |
|----|---|
| 19 | |
| 20 | SCHEMA |
| 21 | 1.0 Background |
| 22 | 2.0 Objectives |
| 23 | 3.0 Subject Enrollment |
| 24 | 4.0 Treatment Plan |
| 25 | 5.0 Observation and Assessment |
| 26 | 6.0 Follow-Up and Recording of Events |
| 27 | 7.0 Security Measures and Quality Control |
| 28 | 8.0 Statistical Analysis |
| 29 | 9.0 Ethical Considerations |
| 30 | 10.0 References |
| 31 | Appendix I |
| 32 | Appendix II |



35 **1.0 Background**

36 Nasopharyngeal carcinoma (NPC) is one of the most common malignant tumors in
37 China and has the highest incidence rate in South China and Hong Kong. The
38 incidence exceeds 20/100,000 people worldwide. According to the GLOBOCAN
39 2008 data published by the International Cancer Institute, there were 33,101 newly
40 diagnosed NPC patients and 20,899 deaths related to NPC in China in 2008,
41 accounting for 40% of newly diagnosed NPC patients worldwide. Among other
42 malignant tumors, NPC has an incidence rate that ranks 10th and a mortality rate that
43 ranks 9th in China. The age of onset for NPC is approximately 40 to 50 years, and this
44 disease has major impacts on society, the economy, and family members, and NPC
45 also affects the ability to work. Radiation therapy is the main treatment for NPC. The
46 5-year overall survival (OS) rate of early-stage patients treated with
47 intensity-modulated radiotherapy (IMRT) alone is over 90% (1). For advanced
48 locoregional NPC patients without distant metastasis treated with radiotherapy (RT)
49 and chemotherapy, the 5-year OS rate can reach 68-74.5% (2-3). Although NPC is a
50 special type of head and neck squamous cell carcinoma (HNSCC), NPC differs from
51 other HNSCCs in terms of epidemiology, pathology, clinical manifestations and
52 treatment response, and NPC is the most invasive and most likely to metastasize of all
53 HNSCCs. Approximately 6-10% of patients with NPC were diagnosed with distant
54 metastases at the initial visit (4-6). Metastatic NPC (mNPC) is considered to be
55 incurable, and palliative systemic chemotherapy is the primary treatment; these
56 patients have a median OS of only 10-15 months (7). Therefore, exploring a
57 comprehensive treatment model to improve the survival time of mNPC has become a
58 hot topic for current research.

59 In the past, active primary tumor treatment for epithelial cancer with distant

60 dissemination was not considered beneficial for patient survival and was not routinely
61 used. Furthermore, studies have shown that surgically excising primary tumors may
62 interrupt the dormancy of micrometastases, thereby accelerating the progression of
63 metastases (8). However, there is increasing evidence that radical surgical resection or
64 RT for primary tumors can significantly improve OS in patients with distant
65 metastases. In 2001, the New England Journal of Medicine and The Lancet published
66 two prospective studies that evaluated the value of nephrectomy in distant metastatic
67 renal-cell carcinoma. Both studies found that radical nephrectomy combined with
68 postoperative interferon alpha-2b systemic drug therapy could significantly prolong
69 the median survival time of patients compared with interferon alpha-2b therapy alone.
70 The median survival time increased from 8.1 to 11.1 months and from 7 months to 17
71 months, with P values of 0.05 and 0.03, respectively (9-10). In addition, the
72 combination treatment regimen was associated with a longer disease-free survival
73 time than with interferon alpha-2b therapy alone (from 3 months to 5 months, P =
74 0.04) (10). To date, only two prospective studies have evaluated the role of
75 locoregional treatment in patients with distant metastatic cancer. Elisabetta Rapiti and
76 colleagues evaluated 300 patients with newly diagnosed metastatic breast cancer and
77 found that patients who underwent radical mastectomy with negative margins had a
78 40% reduction in the risk of death compared with those who did not undergo surgery
79 (P=0.049). Interestingly, the study also found that patients who underwent radical
80 mastectomy but had positive margins did not experience this survival benefit (11),
81 suggesting that completely removing the primary lesion is beneficial for the survival
82 outcomes of newly diagnosed patients with metastatic breast cancer. Ruiterkamp
83 conducted a meta-analysis of 10 retrospective studies that investigated local surgical
84 treatment for metastatic breast cancer and found a combined-effects hazard ratio (HR)

85 of 0.65 (95% CI, 0.59-0.72) (12). Similarly, studies have shown that RT for primary
86 lesions is also beneficial for the survival outcomes of metastatic breast cancer patients.
87 Several retrospective studies have also found that radical prostatectomy or local RT to
88 prostate lesions is also beneficial in prolonging the survival time of patients with
89 distant metastases (14-18).

90 As early as January 1889, Stephen Paget first proposed and interpreted the "seed and
91 soil" hypothesis of tumor metastasis. He believed that the distant organs are either not
92 completely passive or that they have no choice but to develop metastatic lesions. Now,
93 120 years later, Paget's theory has been extended to the fact that radical treatment for
94 primary tumors can interfere with the "soil" in addition to killing the "seeds", thus
95 improving the survival outcomes of patients with distant metastases. In August 2011,
96 Nature Review Clinical Oncology published a review article by Scott C. Morgan and
97 Chris C. Parker, which systematically reviewed the role of local treatment on primary
98 tumors and boldly speculated that local radical treatment can block the progression of
99 distant metastatic lesions and prolong the OS time of patients with distant metastases.
100 The article also advocates for a prospective study similar to the aforementioned
101 clinical trials for kidney cancer, with OS as the primary end point (19).

102 According to the NCCN guidelines (version 2.2011), platinum-based systemic
103 chemotherapy is the main treatment for mNPC. RT is only suitable for
104 nasopharyngeal tumors and regional metastatic lymph nodes to control local
105 symptoms, such as headaches or nosebleeds, or for a small number of patients with
106 isolated metastatic lesions or small lesions (20-21). However, a recent case report
107 indicated that a small number of patients who received systemic cisplatin-based
108 chemotherapy and local high-dose RT could achieve tumor-free survival between 29
109 and 91 months (22). Another report retrospectively analyzed 105 mNPC patients who

110 received more than 30 Gy of locoregional RT. Of these patients, 96 patients (91%)
111 received systemic chemotherapy, and 71 patients (68%) received more than 65 Gy of
112 primary RT. The median OS time was 25 months, and the 2- and 5-year OS rates were
113 50% and 17%, respectively (23), which were significantly higher than the previously
114 reported 2- and 3-year OS rates of 35% and 18% (24). Further univariable analysis
115 also showed that patients who received more than 65 Gy during primary RT had a
116 median OS time of 27 months, which was significantly longer than that of patients
117 who received less than 65 Gy of locoregional RT (median survival: 12 months, P
118 $=0.05$) (23). However, these results were based on retrospective studies, and the
119 evidence was still not convincing. For example, the finding that patients who received
120 comprehensive therapy had better prognoses than those who did not receive
121 comprehensive therapy might be attributed to the patient selection bias. Therefore, the
122 value of locoregional RT for mNPC need to be further validated in rigorous
123 prospective clinical trials.

124 Previous studies have shown that the number of chemotherapy cycles is also an
125 independent prognostic factor. The 3-year OS rate of patients who received more than
126 6 cycles of chemotherapy is significantly higher than those who received fewer than 6
127 cycles of chemotherapy (32% vs. 14.0%, $P < 0.001$) (24). However, several studies
128 have shown that there is no correlation between the number of chemotherapy cycles
129 and survival outcomes in patients who received locoregional RT. Lin Shaojun and
130 colleagues showed that patients treated with more than 4 cycles of chemotherapy had
131 a median OS time of 26 months, and those who received fewer than 4 cycles had a
132 median survival time of 22 months ($P=0.16$). However, additional studies showed that
133 induction chemotherapy was an independent prognostic factor in patients treated with
134 comprehensive treatment, and the risk of death for patients who received induction

135 chemotherapy was significantly lower than that for patients who did not receive
136 induction chemotherapy (HR, 0.5; P = 0.007) (25). These findings suggest that
137 induction chemotherapy might help improve survival for mNPC patients, but the
138 survival outcomes might not be further improved with excessive cycles of systemic
139 chemotherapy (>6).

140 In addition to the number of chemotherapy cycles, the dose of locoregional RT is also
141 closely related to the OS of mNPC patients. As systemic chemotherapy is the main
142 treatment for mNPC patients and locoregional RT is often used to control the
143 symptoms of primary tumors, radical doses of locoregional RT are rarely used.
144 Approximately 18.2-30.8% of patients were only treated with less than 66 Gy of
145 locoregional RT (23,25). Lin Shaojun and colleagues reported that the median OS
146 time of patients who received more than 65 Gy of radical RT was 27 months, which is
147 significantly longer than that of patients who received less than 65 Gy of RT (median
148 OS time: 12 months, P=0.05) (23). Our study also showed that in patients who
149 received combined locoregional RT and systemic chemotherapy (n=176), radical
150 locoregional RT (≥ 66 Gy) was associated with favorable survival outcomes (median
151 survival time: 51.0 vs. 23.3, P=0.001). Moreover, according to the multivariable Cox
152 model, more than 66Gy of radical RT is an independent prognostic factor (HR, 0.4, P
153 = 0.001) (25). Therefore, according to the previous findings, moderate induction
154 chemotherapy (approximately 6 cycles) and radical locoregional RT (≥ 66 Gy) should
155 lead to significant survival benefits for mNPC patients.

156 Therefore, we designed this open-label multicenter randomized controlled clinical
157 trial to compare the efficacy, therapeutic toxicity, and resulting quality of life of
158 induction chemotherapy combined with radically dosed primary RT with those of
159 systemic chemotherapy alone for the treatment of chemotherapy-sensitive mNPC

160 patients. The difference between therapies is intended to clearly answer the following
161 clinical scientific question that currently needs to be addressed: "Can primary radical
162 RT extend the survival time of NPC patients with distant metastasis?"

163 **2.0 Objectives**

164 **2.1 The Primary Objectives**

165 To evaluate and compare the short-term and long-term effects on OS between the
166 chemotherapy plus RT group and chemotherapy alone group in
167 chemotherapy-sensitive mNPC patients

168 **2.2 The Secondary Objectives**

169 To evaluate the tumor remission rates and progression-free survival between the
170 chemotherapy plus RT group and the chemotherapy alone group in
171 chemotherapy-sensitive mNPC patients and to evaluate and compare the acute
172 toxicities including oral mucosa, dermatologic, hematologic, hepatic, and renal
173 toxicities and long-term toxicities including neurotoxicity, ototoxicity, radiation
174 damage, etc. between the chemotherapy plus RT group and the chemotherapy
175 alone group in chemotherapy-sensitive mNPC patients.

176 **3.0 Subject Enrollment**

177 **3.1 Eligibility Criteria**

- 178 a. Histologically confirmed NPC
- 179 b. Clinically staged as T1-4N0-3M1, stage IVc at diagnosis (according to the 7th
180 AJCC edition)
- 181 c. No previous systemic chemotherapy
- 182 d. Age between 18-65 years
- 183 e. Adequate organ function (white blood cell count of at least 4.0×10^9 per L;
184 absolute neutrophil of at least 2.0×10^9 per L; hemoglobin concentrations of at

- 185 least 90 g/L; platelet cell count of at least 100×10^9 per L; aspartate
186 transaminase and alanine transaminase levels less than 2.5 times the upper
187 normal limit; and creatinine clearance rate at least 60 mL/min)
- 188 f. Satisfactory performance status: Karnofsky scale (KPS) ≥ 70
- 189 g. Achieve a partial response (PR)/complete response (CR) after 3 cycles of
190 chemotherapy
- 191 h. Properly informed about the investigational nature of this study and give
192 written informed consent.

193 **3.2 Exclusion Criteria**

- 194 a. Recurrent metastatic nasopharyngeal carcinoma, which developed distant
195 metastases after primary treatment.
- 196 b. Age > 65 or < 18 years
- 197 c. Prior RT, chemotherapy or surgery for the primary tumor or nodes
- 198 d. Progressive disease (PD)/stable disease (SD) after 3 cycles of chemotherapy
- 199 e. Pregnancy or lactation
- 200 f. Any severe intercurrent disease, which may cause unacceptable risk factors or
201 affect compliance with the trial, for example, severe heart diseases (cardiac
202 functional grade of 3 or lower), severe pulmonary dysfunction (pulmonary
203 function grade of 3 or lower), renal diseases, severe metal diseases.
- 204 g. Other invasive malignant diseases within the past 5 years, other than excised
205 basal cell skin carcinoma, cervical carcinoma in situ, and superficial bladder
206 tumors (Ta, Tis, and T1)

207 **3.3 Criteria for Removal from Protocol Treatment**

- 208 a. More than a 2-week delay in treatment due to prolonged drug toxicity
- 209 b. Unacceptable toxicity

- 210 c. Disease progression
- 211 d. Intercurrent diseases that affect the assessments of clinical status to a
- 212 significant degree or require discontinuation of the drugs, or both
- 213 e. Withdrawal from the study at any time for any reason

214 **4.0 Treatment Plan**

215 **4.1 Chemotherapy**

216 **4.1.1 Cisplatin Plus Fluorouracil Regimen (Experimental and Control Arm)**

217 Cisplatin is intravenously given at a dose of 100 mg/m^2 on day 1 and once every
218 3 weeks for a maximum of six cycles

219 Fluorouracil is given at a dose of 5 g/m^2 via a continuous intravenous infusion
220 over 120 h once every 3 weeks for a maximum of six cycles.

221 *Note:*

222 * If severe tumor compression and destruction symptoms occur during follow-up,
223 including severe pain, pathological fracture, etc., then according to the clinical
224 needs to control local symptoms and improve quality of life, the appropriate
225 treatment will be provided, including surgery, RT and local chemotherapy (e.g.,
226 TACE for the treatment of liver metastases).

227 **4.1.2 Administration**

228 To prevent the nephrotoxic effects of cisplatin, we apply a 4-day hydration
229 protocol before and during the administration of cisplatin (D0-D3) and used
230 furosemide (D1) and mannitol (D1-D2). We use antiemetic drugs, such as the
231 5-HT_3 receptor antagonist dexamethasone, to prevent chemotherapy-induced
232 nausea and vomiting.

233 **4.1.3 Dosage Adjustments**

234 4.1.3.1 Patients will be examined and graded each day when chemotherapy is

235 administered and weekly while receiving RT for subjective/objective evidence of
 236 developing toxicity according to the CTCAE, v.3.0.

237 4.1.3.2 There is no dose escalation for cisplatin and fluorouracil.

| Cisplatin Dose Levels | | |
|-----------------------|----------------------|-----------------------|
| -2 | -1 | Starting Dose |
| 60 mg/m ² | 80 mg/m ² | 100 mg/m ² |

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| Fluorouracil Dose Levels | | |
|--------------------------|------------------------|------------------------|
| -2 | -1 | Starting Dose |
| 3000 mg/m ² | 4000 mg/m ² | 5000 mg/m ² |

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| Dose Adjustment for Hematologic Toxicity | | | | |
|--|--------|---------------------------------|---------------------------|------------------------------|
| Absolute Neutrophil Count | | Platelet Count | Cisplatin Dose Adjustment | Fluorouracil Dose Adjustment |
| >1.50x10 ⁹ /L | and | >75.00x10 ⁹ /L | Full dose | Full dose |
| 1.00-1.49 x10 ⁹ /L | and/or | 50.00-74.99 x10 ⁹ /L | Decrease 1 Level | Full dose |
| <1.00 x10 ⁹ /L | and/or | <50.00 x10 ⁹ /L | Decrease 2 Level | Decrease 1 Level |

240

| Dose Adjustment for Renal Toxicity | | | | |
|------------------------------------|--------|--|---------------------------|------------------------------|
| Absolute Creatinine | | Creatinine Clearance Rate ¹ | Cisplatin Dose Adjustment | Fluorouracil Dose Adjustment |
| ≤ 1.5x upper normal value | and/or | ≥ 50 mL/min | Full dose | Full dose |
| > 1.5x upper normal value | and | 40-50 mL/min | Decrease 1 Level | Full dose |

| | | | |
|---------------------------|-----|------------|----------------------------|
| > 1.5x upper normal value | and | <40 mL/min | Withhold drug ² |
|---------------------------|-----|------------|----------------------------|

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| Dose Adjustment for Mucosal Toxicity | | | |
|--------------------------------------|----|-----------|------------------------------|
| Oral Mucositis | | Diarrhea | Fluorouracil Dose Adjustment |
| ≤ Level 2 | or | ≤ Level 2 | Full dose |
| Level 3 | or | Level 3 | Decrease 1 Level |
| Level 4 | or | Level 4 | Decrease 2 Level |

243 ¹. The creatinine clearance is calculated with the Cockcroft formula.

244 ². If the creatinine clearance rate remains <40 mL/min, and/or the bilirubin level is
 245 more than 2 times the upper normal limit, then the patient will not receive additional
 246 cisplatin or fluorouracil.

247 **4.2 Radiotherapy**

248 Patients are examined and graded for subjective/objective evidence of acute
 249 toxicities according to the CTCAE toxicity criteria.

250 **4.2.1 Radiotherapy Adjustments for Nonhematologic Toxicity:**

251 The side effects of RT may include mucositis and skin reactions. The
 252 investigators manage these conditions according to the clinical practice at the
 253 institution. We do not allow RT dose modifications. Treatment interruptions are
 254 allowed if the symptomatic mucositis or skin reactions that occur warranted a
 255 break in treatment, as judged by the attending clinician. The treatment is
 256 completed according to the protocol and allowed for treatment breaks up to and
 257 including 14 days. If the break exceeds 14 days, the patient will be removed from
 258 the protocol treatment, the completion of treatment is at the discretion of his or
 259 her physician, but the patient will be still followed up and included in the

260 analysis.

261 **4.2.2 Radiotherapy Adjustments for Hematologic Toxicity:**

262 RT will be withheld until the absolute neutrophil count is >500 and platelet count
263 is >25000.

264 **4.2.3 Target Volume Determination for IMRT:**

265 RT is required to be given through IMRT techniques. The target volumes are
266 delineated using pre-chemotherapy imaging data according to a previously
267 described institutional treatment protocol and in accordance with the International
268 Commission on Radiation Units and Measurements reports 50 and 62. The
269 principles of target volume determination for IMRT and prescribed dose and
270 fractionation are as follows:

| Term | Definition | Note |
|--------------------------|--|--|
| Gross tumor volume (GTV) | The gross tumor determined by physical examination, imaging (including MRI and PET/CT) and endoscopic findings, including GTVnx and GTVnd | |
| GTVnx | The sum of the primary tumor volume and enlarged retropharyngeal nodes | |
| GTVnd | Volume of the clinically involved gross lymph nodes | |
| CTV1 | GTVnx plus an additional anterior, superior, inferior and lateral margin of 5 mm to 10 mm and additional posterior margins of 2 mm to 3 mm (the range of extension was determined by the | The volume also included the entire mucosal stratum and 5 mm of the nasopharyngeal |

| | | |
|----------------|--|--|
| | characteristics of the adjacent structures) | submucosal stratum |
| CTV2* | CTV1 plus an additional anterior, superior, inferior and lateral margin of 5 mm to 10 mm and an additional posterior margin of 2 mm to 3 mm (the range of extension was determined by the characteristics of the adjacent structures), as well as the GTVnd plus possible tumor-draining lymph node groups that were at risk for potential microscopic spread of disease | The range for prophylactic neck radiation extended from the involved lymph node groups to 1 or 2 adjacent groups |
| PTV | Generally, PTVnx, PTVnd, PTV1, and PTV2 refers to GTVnx, GTVnd, CTV1, and CTV2 plus an additional margin, an anterior, superior, inferior, lateral extension of 5 mm, and a posterior extension of 3 mm, respectively | |
| Organs at risk | Brainstem, temporal lobe, lens, eyeballs, optic nerves, optic chiasm, pituitary, parotid gland, temporomandibular joint, mandible, larynx, oral cavity, salivary gland, and inner and middle ear | Organs could be added or removed according to the actual situation |

271 *Level Ib was electively irradiated if the following conditions were met: (1) the level
272 Ib lymph nodes (LNs) were involved; (2) the level Ila LNs had extracapsular
273 extension or a diameter ≥ 3 cm; (3) there was extensive nodal disease on the
274 ipsilateral neck; or (4) the soft or hard palate, oral cavity, or ipsilateral nasal cavity
275 was grossly involved.

276 **4.2.4 Prescribed Dose and Fractionation:**

277 All patients are treated with IMRT using a simultaneously integrated boost with 5
 278 fractions per week. The prescribed doses are 66–70 Gy to the planning target
 279 volume (PTV), 56-66 Gy to PTV1, 50-60 Gy to PTV2, and 60–66 Gy to the PTV
 280 of the involved cervical LNs in 28 to 33 fractions.

281 **4.2.5 Normal Tissue Dose Constraints:**

282 Normal Tissue Dose Constraint Structure

| Structure | Dose constraints |
|-------------------------|-----------------------------------|
| Spinal cord | $D_{max}^* \leq 45 \text{ Gy}$ |
| Spinal cord_PRV | $D1^{\approx} \leq 54 \text{ Gy}$ |
| Brain stem | $D_{max} \leq 54 \text{ Gy}$ |
| Brain stem_PRV | $D1 \leq 60 \text{ Gy}$ |
| Optic nerves | $D_{max} \leq 54 \text{ Gy}$ |
| Optic nerves_PRV | $D1 \leq 60 \text{ Gy}$ |
| Optic chiasm | $D_{max} \leq 54 \text{ Gy}$ |
| Optic chiasm_PRV | $D1 \leq 60 \text{ Gy}$ |
| Temporal lobe | $D_{max} \leq 60 \text{ Gy}$ |
| Temporal lobe_PRV | $D1 \leq 65 \text{ Gy}$ |
| Lens | $D_{mean}^{\circ} < 8 \text{ Gy}$ |
| Pituitary | $D_{max} \leq 60 \text{ Gy}$ |
| Eyes | $D_{mean} < 35 \text{ Gy}$ |
| Mandible | $D_{max} \leq 70 \text{ Gy}$ |
| Temporomandibular joint | $D_{max} \leq 70 \text{ Gy}$ |
| Parotid | $D_{mean} < 26 \text{ Gy}$ |

| | |
|---------|----------------------------|
| Parotid | V30 ^{&} < 50% |
| Cochlea | Dmean < 50 Gy |
| Larynx | Dmean < 45 Gy |

283 PRV=planning organ-at-risk volume.

284 *Maximum point dose to the target volume.

285 [~]Dose received by 1% of the target volume.

286 ^oMean dose to the target volume.

287 [&]At least 50% of the gland received <30 Gy (was achieved in at least gland)

288 **4.3 Salvage Therapy**

289 Second- or third-line chemotherapy will be provided for patients with disease
 290 progression. Local treatment for metastatic lesions, including definitive RT, surgical
 291 resection, ablation, or other treatments are used for some patients to control local
 292 symptoms and eliminate metastases in the bone, liver, lungs, or other organs.
 293 Additionally, locoregional RT are also offered in the chemotherapy alone group.

294 **Observation and Assessment**

295 During the initial screening period, eligible mNPC patients are treated with a cisplatin
 296 plus fluorouracil regimen. After 3 cycles of chemotherapy, an efficacy evaluation will
 297 be performed, and a second screening process (screening confirmation period) will be
 298 also performed. The patients who are evaluated to achieve CR or PR after 3 cycles of
 299 chemotherapy will be officially registered and enrolled. Patients who are not sensitive
 300 to chemotherapy (evaluated as having SD or PD after 3 cycles of chemotherapy) will
 301 be excluded.

302 **5.1 Initial Screening Period**

303 All patients are under standardized management for NPC, and they need to
 304 undergo a series of examinations as well as provide relevant information to
 305 confirm their pathologic diagnosis and clinical stage before being admitted into

- 306 the trial, including the following:
- 307 a. Medical history review
 - 308 b. Personal data collection
 - 309 c. Review of present medications and treatment
 - 310 d. Body examinations, including height, weight, and vital signs
 - 311 e. Physical examination of the head and neck region, including the
 - 312 nasopharyngeal and cervical LNs
 - 313 f. Physical examination of the nervous system
 - 314 g. Nasal endoscopy and lesion biopsy
 - 315 h. Biopsy or needle aspiration of distant metastases
 - 316 i. Blood routine
 - 317 j. Urine routine
 - 318 k. Blood biochemistry
 - 319 l. Imaging test of the tumor (enhanced MR or enhanced CT of the head and
 - 320 neck (CT was indicated only in patients with contraindications to MRI))
 - 321 m. PET/CT is compulsorily required during the initial screening period*
- 322 *Patients who underwent PET/CT examinations did not need chest X-rays,
- 323 abdominal ultrasonography, or ECT bone scans.

324 **5.2 Screening Confirmation Period**

- 325 a. PET-CT, MRI and/or CT of the primary tumor and distant metastases, which is
- 326 performed after treatment, and CR, PR, SD, or PD is evaluated according to the
- 327 RECIST version 1.1 criteria.
- 328 b. Physical examinations of the head and neck region, including the
- 329 nasopharyngeal and cervical LNs

330 **5.3 During Treatment**

- 331 The following aspects need to be assessed from the start to the end of treatment.
- 332 a. MRI and/or CT of the primary tumor and distant metastases, which is
333 performed after treatment, and CR, PR, SD, or PD is evaluated according to the
334 RECIST version 1.1 criteria. The chest films and abdominal ultrasonography are
335 reexamined after treatment. PET-CT and ECT bone scans are performed as
336 clinically indicated.
- 337 b. General conditions
- 338 c. Acute and late toxicities assessment (NCI-CTC, version 3.0), including for
339 hematological toxicity, gastrointestinal reactions, hepatotoxicity, nephrotoxicity,
340 mucositis, neurotoxicity, ototoxicity, etc.
- 341 d. Peripheral neuropathy
- 342 e. Laboratory tests: blood routine and blood biochemistry are required within 1
343 week prior to each cycle of chemotherapy and once per week during treatment.

344 **6.0 Follow-Up and Recording of Events**

345 After completing treatment, the patients are followed up every 2 to 3 months until
346 death to evaluate the patients' recent and long-term efficacy and safety profiles.

347 Follow-up method: Record of the patient's examination data, a doctor's letter with
348 signature to document the visit, or a doctor's follow-up records collected by telephone

349 Follow-up content: Routine examination of the nasopharyngeal lesions and LNs, and
350 B-mode ultrasound, chest X-ray, and CT or MR examinations of the distant
351 metastases every 3 months. PET/CT or bone scintigraphy are performed when
352 clinically indicated. The treatment responses are also evaluated according to the
353 RECIST criteria. The earliest date of detecting symptomatic late toxicities and the
354 eventual maximum grade according to the Late Radiation Morbidity Scoring Criteria
355 of the Radiation Therapy Oncology Group (RTOG) and European Organization for

356 Research and Treatment of Cancer (EORTC) are recorded.

357 **7.0 Security Measures and Quality Control**

- 358 a. Provide a systemic learning program for every member in the research group
359 Assigned one doctor in each center to lead tumor staging, which must be in
360 accordance with the 7th edition AJCC guidelines and to ensure that every patient
361 enrolled is eligible. Patients are assigned to their groups based on random numbers
- 362 b. Make a monitoring plan for adverse effects and an emergency plan
- 363 c. Research plan is made by all participating centers and approved by the ethics
364 committee
- 365 d. Develop various standard operation procedures related to this study
- 366 e. Establish a standardized evaluation system to unify the diagnostic criteria, curative
367 effect judging criteria, etc.
- 368 f. Establish professional statistical plans
- 369 g. Research staff members are trained before the study
- 370 h. Ensure that every participating center conducts the study at the same pace
- 371 i. Arrange a quality controller to create a quality control plan and regularly check on
372 the study
- 373 j. Set up a coordination committee, curative effect judging group and follow-up
374 team

375 **8.0 Statistical Analysis**

376 **8.1 Endpoint Definitions**

377 **8.1.1 Primary Endpoint**

378 OS is defined as the time from random assignment to death from any cause, or
379 the patient was censored at the date of the last follow-up.

380 **8.1.2 Secondary Endpoints**

381 8.1.2.1 Progression-free survival (PFS): PFS is defined as the time from random
382 assignment to the date of documented local or regional relapse, distant metastasis,
383 or death from any cause, whichever occurs first.

384 8.1.2.2 Short-term response: Treatment response is assessed by imaging by
385 independent image committee every three cycles until disease progression. The
386 nasopharyngeal tumor, cervical LN, and distant metastasis responses are observed
387 and evaluated by physical examinations, nasopharyngoscopy, and MRI/CT
388 imaging. Tumor response is classified according to the RECIST criteria, version
389 1.1. CR is defined as the disappearance of all target lesions. Any pathological
390 LNs (whether target or nontarget) must have been reduced in the short axis to <10
391 mm. PR is defined as an at least 30% decrease in the sum of diameters of the
392 target lesions, with the baseline diameter sum serving as the reference. PD is
393 defined as an at least 20% increase in the sum of diameters of the target lesions,
394 with the smallest sum during study serving as the reference (including the
395 baseline sum). In addition to a relative increase of 20%, the sum must also
396 demonstrate an absolute increase of at least 5 mm. (Note: the appearance of one
397 or more new lesions is also considered disease progression). SD is defined as
398 both insufficient size reduction to qualify as PR and an insufficient increase to be
399 considered PD, with the smallest diameter sum during the study serving as the
400 reference. Proportion of patients who have a confirmed objective response are
401 defined as a CR or PR from the first evaluation after 3 cycles of chemotherapy
402 with PF until disease progression or death according to the RECIST 1.1 criteria).
403 Proportion of patients who achieve disease control are defined as an objective
404 response and stable disease.

405 8.1.2.3 Safety indicators: Acute toxicities are assessed according to the

406 NCI-CTC version 3.0. The acute toxicities include hematologic toxicity,
407 mucositis, allergic reactions and other adverse events and serious adverse events.
408 Late radiation toxicities are assessed using the RTOG and EORTC late radiation
409 morbidity scoring scheme. The late toxicities include neurotoxicity, ototoxicity
410 and other complications and sequelae.

411 **8.2 Sample Size Estimate**

412 To confirm the superiority of systemic chemotherapy plus RT over systemic
413 chemotherapy alone regarding OS. On the basis of previous reports^{22,23,24}, we
414 assume that 2-year OS rate is 51.0% for patients treated with systemic
415 chemotherapy alone, and 70.0% for patients treated with systemic chemotherapy
416 plus radiotherapy, with a target hazard ratio (HR) of 0.530. The log-rank test is
417 used to calculate the sample size. The expected length of the accrual period is 3
418 years, and the expected maximum length of follow-up is 5 years. The two-sided
419 type I error is 0.05 ($\alpha = 0.05$), and the power is 0.90 ($1-\beta$). After accounting for a
420 10% dropout rate, we estimate that a total of 204 participants are needed, (102
421 participants in each group), in order for 104 events to be observed for the primary
422 analysis of overall survival.

423

424 **8.3 Independent Trial Data Monitoring Committee (IDMC)**

425 The PI hired Professor Yi-Min Liu as the IDMC for this study. After the enrolled
426 patients are registered, all patient information will be sent to the IDMC, who
427 manage the database, and is involved in the statistical analysis, data interpretation,
428 and toxicity data review. As per institutional (SYSUCC) practice, the data is to be
429 reviewed once a year to evaluate safety. Futility is also assessed to avoid
430 over-treatment of patients with metastatic disease. For the final analysis, this is to

431 be performed by the investigators (Rui You and Sze Huey Tan).

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440 **Members of the Independent Data Monitoring Committee (IDMC).**

| Position | Name | Job Title | Specialty |
|-----------------|-----------------|--|--------------------------|
| Chairman | Yi-Min Liu | Department of Radiotherapy Oncology, Sun Yat-sen Memorial Hospital, Sun Yat-sen University/Professor | Radiotherapy Oncology |
| Member | Wen Chen | School of Public Health, Sun Yat-sen University/Professor | Health Statistics |
| Member | Gang Li | Department of Otorhinolaryngology, Southern Medical University Nanfang Hospital/Professor | Otorhinolaryngology |
| Member | Yi Pan | Department of Radiotherapy Oncology, Guangdong Provincial People's Hospital/Professor | Radiotherapy Oncology |
| Member | Jing-Qi Chen | The Ethics Committee and Department of Medical Oncology of the Second Affiliated Hospital of Guangzhou Medical University Chairman/Professor | Oncology |

441

442 **8.4 Stratification/Randomization Scheme**

443 **8.4.1 Stratification**

444 Patients are stratified according to treatment centers (Sun Yat-sen University
445 Cancer Center, Guangdong Provincial People's Hospital, and The First Affiliated
446 Hospital, Sun Yat-sen University), the number of metastatic lesions (1-2 vs ≥ 3)
447 and treatment response (complete response vs partial response).

448 **8.4.2 Randomization**

449 The patients who are evaluated achieving CR or PR after 3 cycles of
450 chemotherapy are officially registered, enrolled and randomized. The eligible
451 patients are randomized using a 1:1 allocation to a chemotherapy plus RT arm
452 and a chemotherapy alone arm. Stratified randomization is performed within each
453 stratum based on the number of metastatic lesions, the treatment centers and
454 treatment response. The randomized block design is conducted by the data
455 management team, and the data management team choose the block size so that
456 each block contains an equal proportion of patients. This procedure helps to
457 ensure both randomness and investigator blinding (the block sizes are known only
458 by the data management treatment), as recommended by Friedman et al
459 (Friedman J, Furberg, C, DeMets D. Fundamentals of clinical trials. New York:
460 Springer-Verlag; 1998). Randomization is performed by the data management
461 team, and the results are placed in opaque, sealed envelopes labeled by stratum,
462 which are only unsealed after patient registration. The patients are identified by a
463 unique subject number that remains consistent for the duration of the study.

464 **8.5 Case Report Form (CRF)**

465 The required CRF is designed before the study to record the detailed medical
466 history, treatment outcomes and follow-up information; the CRF is designed to be

467 easy to fill in and to save in the database.

468 **8.6 Analytical Approach**

469 The results of our study are analyzed by the intention-to-treat (ITT) approach, and
470 all eligible patients are analyzed according to the randomization scheme,
471 including the patients whose treatment plan is changed from chemotherapy plus
472 RT to chemotherapy alone. The Kaplan-Meier estimator is used to estimate the
473 survival function from lifetime data, and the log-rank test is used to compare the
474 differences in survivals between the two groups. The response rates and incidence
475 of toxicities are compared by the chi-square test. The Cox proportional-hazards
476 model is used to calculate the hazard ratios and 95% confidence intervals. The
477 statistical tests are two-sided, and a P value < 0.05 is considered statistically
478 significant.

479 The analyses included the following:

480 General information: The distribution and equilibrium of general factors, such as
481 age, sex, and disease stage, are assessed.

482 Adverse effects:

483 Acute and late toxicities, sequelae and complications in each arm are assessed
484 according to NCI-CTC version 3.0

485 Short-term effects:

486 CR, PR, SD, PD, overall response rate, and disease control rate are evaluated
487 with the RECIST criteria.

488 Long-term curative effects:

489 24-months OS and PFS rates, median OS, median PFS are calculated according
490 to follow-up data.

491 **9.0 Ethical Considerations**

492 9.1 This study was approved by an appropriate institutional ethics committee.

493 9.2 Informed consent is obtained from the individual patients. A copy of the
494 consent form and contact number for the investigators and ethics committee
495 are available to the patients upon request.

496 9.3 The advantages and disadvantages of chemotherapy plus RT in treating
497 mNPC patients are mentioned below:

498 9.3.1 Advantages:

499 a. Chemotherapy plus RT is expected to bring significant survival benefits to
500 mNPC patients.

501 b. Locoregional RT is expected to significantly improve the compressive and
502 destructive symptoms caused by nasopharyngeal and cervical tumors, such as
503 headache and bleeding.

504 c. Locoregional RT might help minimize the metastatic spread of NPC cells.

505 d. Active locoregional RT is beneficial for the patients' determination to fight
506 cancer and improve their mental state.

507 9.3.2 Disadvantages:

508 a. Chemotherapy plus RT increases the financial burden for mNPC patients.

509 b. Locoregional RT causes radiation injuries such as dry mouth and sore throat,
510 thereby affecting the quality of life of some patients.

511 9.4 Chemotherapy plus RT is expected to bring significant survival benefits to
512 patients [22-25], to control local symptoms, to reduce further distant metastasis
513 and to improve the patient's mental state, thus greatly benefiting patients, their
514 family and society. Moreover, previous studies have shown that most NPC
515 patients could tolerate RT well and that the complications from radiation were
516 acceptable, especially in the IMRT era. Although RT could increase the economic

517 burden of patients to a certain extent, distant metastases of NPC are incurable,
518 and proportionally, the RT costs are relatively low over the course of long-term
519 treatment. More importantly, if the use of locoregional RT can help patients
520 achieve a longer tumor remission time and a better survival outcome, then the use
521 of RT will lower the cost of treatment and thus the overall cost. Therefore, the
522 advantages of using locoregional RT for mNPC will outweigh the disadvantages.

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595

596 Appendix I

597 STAGING CRITERIA – the 7th AJCC edition

598 Tumor

599 • T1 - confined to the nasopharynx, or the tumor extends to the oropharynx
600 and/or nasal cavity without parapharyngeal extension

601 • T2 - tumor with parapharyngeal extension (posterolateral infiltration, i.e.,
602 beyond the pharyngobasilar fascia)

603 • T3 - involves the bony structures and/or paranasal sinuses

604 • T4 - intracranial extension and/or involvement of the cranial nerves,
605 infratemporal fossa, hypopharynx, orbit, or masticator space

606 Nodes

607 • N1 - unilateral nodes (6 cm or less) above the supraclavicular fossa, and/or
608 retropharyngeal LNs 6 cm or less (unilateral or bilateral)

609 • N2 - bilateral nodes (6 cm or less) above the supraclavicular fossa

610 • N3a - lymph nodes greater than 6 cm

611 • N3b - extension to the supraclavicular fossa (defined as the triangular region
612 described by Ho and bounded by the superior margin of the sternal head of the
613 clavicle, the superior margin of the lateral end of the clavicle, and the point
614 where the neck meets the shoulder. These features are present in some level IV as
615 well as level V tumors.)

616 Overall stage

617 • I - T1 N0

618 • II - T1-T2 N1, T2 N0 (i.e., T2 or N1)

619 • III - T3 N0-2, or T1-3 N2 (i.e., T3 or N2)

620 • IVA - T4 N0-2

621 • IVB - N3

622 • IVC - M1

623

625 Performance status (Karnofsky scale)

| | |
|-----|--|
| 100 | No complaints; no evidence of disease |
| 90 | Able to carry on normal activity; minor signs or symptoms of disease |
| 80 | Able to normal activity; some signs or symptoms of disease |
| 70 | Cares for self; unable to normal activity or to do active work |
| 60 | Requires occasional assistance but is able to care for most of his or her personal needs |
| 50 | Requires considerable assistance and frequent medical care |
| 40 | Disabled; requires special care and assistance |
| 30 | Severely disabled; hospitalization indicated, although death not imminent |
| 20 | Very sick; hospitalization necessary; requires active supportive treatment |
| 10 | Moribund; fatal processes progressing rapidly |
| 0 | Dead |