

BMJ Open is committed to open peer review. As part of this commitment we make the peer review history of every article we publish publicly available.

When an article is published we post the peer reviewers' comments and the authors' responses online. We also post the versions of the paper that were used during peer review. These are the versions that the peer review comments apply to.

The versions of the paper that follow are the versions that were submitted during the peer review process. They are not the versions of record or the final published versions. They should not be cited or distributed as the published version of this manuscript.

BMJ Open is an open access journal and the full, final, typeset and author-corrected version of record of the manuscript is available on our site with no access controls, subscription charges or pay-per-view fees (http://bmjopen.bmj.com).

If you have any questions on BMJ Open's open peer review process please email info.bmjopen@bmj.com

# **BMJ Open**

# Multimedia for delivering participant informed consent

Journal:	BMJ Open			
Manuscript ID	bmjopen-2020-036977			
Article Type:	Original research			
Date Submitted by the Author:	15-Jan-2020			
Complete List of Authors:	Chapman, Niamh; Menzies Research Institute Tasmania McWhirter, Rebekah; University of Tasmania, Faculty of Law; Menzies Research Institute Tasmania Armstrong, Matthew; Menzies Research Institute Tasmania Fonseca, Ricardo; Menzies Research Institute Tasmania Campbell, Julie; Menzies Research Institute Tasmania Nelson, Mark; Menzies Research Institute Tasmania Schultz, Martin; Menzies Research Institute Tasmania Sharman, James; Menzies Research Institute Tasmania, University of Tasmania			
Keywords:	ETHICS (see Medical Ethics), Clinical trials < THERAPEUTICS, Protocols & guidelines < HEALTH SERVICES ADMINISTRATION & MANAGEMENT			
Note: The following files were submitted by the author for peer review, but cannot be converted to PDF. You must view these files (e.g. movies) online.				
Supp2_StudyVideo.mp4				

SCHOLARONE™ Manuscripts



I, the Submitting Author has the right to grant and does grant on behalf of all authors of the Work (as defined in the below author licence), an exclusive licence and/or a non-exclusive licence for contributions from authors who are: i) UK Crown employees; ii) where BMJ has agreed a CC-BY licence shall apply, and/or iii) in accordance with the terms applicable for US Federal Government officers or employees acting as part of their official duties; on a worldwide, perpetual, irrevocable, royalty-free basis to BMJ Publishing Group Ltd ("BMJ") its licensees and where the relevant Journal is co-owned by BMJ to the co-owners of the Journal, to publish the Work in this journal and any other BMJ products and to exploit all rights, as set out in our licence.

The Submitting Author accepts and understands that any supply made under these terms is made by BMJ to the Submitting Author unless you are acting as an employee on behalf of your employer or a postgraduate student of an affiliated institution which is paying any applicable article publishing charge ("APC") for Open Access articles. Where the Submitting Author wishes to make the Work available on an Open Access basis (and intends to pay the relevant APC), the terms of reuse of such Open Access shall be governed by a Creative Commons licence – details of these licences and which Creative Commons licence will apply to this Work are set out in our licence referred to above.

Other than as permitted in any relevant BMJ Author's Self Archiving Policies, I confirm this Work has not been accepted for publication elsewhere, is not being considered for publication elsewhere and does not duplicate material already published. I confirm all authors consent to publication of this Work and authorise the granting of this licence.

# 1 Multimedia for delivering participant informed consent

- 2 Niamh Chapman<sup>1</sup>,
- 3 Rebekah McWhirter <sup>1,2</sup>,
- 4 Matthew K. Armstrong<sup>1</sup>,
- 5 Ricardo Fonseca <sup>1</sup>,
- 6 Julie A. Campbell<sup>1</sup>,
- 7 Mark R. Nelson<sup>1</sup>,
- 8 Martin G. Schultz<sup>1</sup>,
- 9 James E. Sharman<sup>1</sup>.
- <sup>1</sup>Menzies Institute for Medical Research, College of Health and Medicine, University of
- 11 Tasmania, Australia.
- <sup>2</sup>Centre for Law and Genetics, Faculty of Law, University of Tasmania, Hobart, Australia.
- 14 Corresponding author: Prof. James E. Sharman, Menzies Institute for Medical Research, 17
- Liverpool Street, Hobart, Tasmania 7000, Australia.
- 16 Tel: +61 3 6226 4709
- Email: James.Sharman@utas.edu.au
- **Word count:** abstract: 274 text: 2,699
- **References:** 33
- Figures: 2
- **Tables:** 3

- 22 Supplementary files: 2
- **Key words:** personal autonomy, bioethics, research conduct, participant decision-making,
- 25 participant comprehension.

# Abstract

- **Objective**. Obtaining informed consent is a cornerstone requirement of conducting ethical
- research. Traditional paper-based consent is often excessively lengthy, legalistic in character,
- and may fail to achieve desired participant understanding of study requirements. Multimedia
- 30 tools including video and audio may be a useful alternative. This study aimed to determine
- 31 the efficacy, usability and acceptability of stand-alone multimedia delivery of participant
- 32 consent.
- **Design.** A single-centre, randomised, prospective study to determine the efficacy, usability
- and acceptability of a multimedia consent process (intervention) compared with the
- traditional paper-based approach (control). Intervention was free of research staff and
- included short audio-visual explanations, with computer-based finger-signed consent.
- **Setting.** Pathology blood collection services in Hobart, Tasmania, Australia.
- Participants. 298 participants (63±8 years: 51% female) referred from general practice to
- 39 pathology services were randomised to intervention (n=146) and control (n=152). Outcome
- 40 measures.
- Outcome measures. Efficacy, usability and acceptability of the allocated consent process
- were assessed by questionnaire.
- **Results.** All participants successfully completed allocated interventions. Efficacy parameters
- 44 were significantly higher among intervention participants, including better understanding of
- 45 study requirements compared with controls (P<0.05 all). Intervention participants were also
- significantly more likely to engage with the study information and spend more time on the
- 47 consent process (P=0.038 and P=0.007, respectively). Both groups reported similar levels of
- acceptability of the consent process, although more control participants reported that the
- study information was too long (24% versus 14%; P=0.020).
- **Conclusion.** A standalone multimedia consent process is effective for achieving participant
- 51 understanding and obtaining consent in a clinical research setting free of research staff. Thus,
- multimedia represents a viable method to reduce the burden on researchers, meet participant
- needs, and achieve informed consent in clinical research.

# **Article summary**

- Strengths and limitations of this study:
- This is the largest randomised evaluation of a standalone multimedia consent process.
- Multimedia consent tools were developed in collaboration with community members.
- Multimedia was an acceptable, efficient and effective alternative to traditional consent
   processes in medical research.
- Generalisability of the findings will need to be confirmed in further studies.

# Introduction

Informed consent is a cornerstone procedure of ethically conducted medical research. Consent processes aim to ensure potential participants are fully informed prior to deciding to take part in research. Guidelines emphasise the need for full disclosure of study information including the aims, requirements, risks, benefits, funding and conflicts of interest, with the view that more information facilitates better informed decision-making. [1–3] However, this has resulted in lengthy consent processes that are burdensome for both researchers and participants, while often failing to achieve the desired level of participant understanding. [4– 11] Indeed, as few as 50% of participants understand study information, including associated risks and that participation is voluntary.[5] These shortcomings, as well as the emergence of complex contemporary methods, including biobanking, gene sequencing, linked data, remote research and large-scale trials often spanning multiple countries, have led to calls to update consent guidelines to more appropriately reflect the modern research landscape.[12–15] Multimedia delivery of information via video and audio platforms may offer an effective alternative or complementary tool to traditional consent processes. Previous reviews evaluating the efficacy of multimedia tools in the consent process have been inconclusive. [4,16,17] This ambiguity may be due to heterogeneous study designs and population characteristics. Moreover, previous research focused on using multimedia to augment traditional research consent processes rather than multimedia as a standalone process making it difficult to discern the generalisability and utility of multimedia for consent. In any case, there appears to be good acceptability and usability of multimedia tools used within the consent process with respect to participant satisfaction and facilitating recruitment, but also for understanding information in a non-research (clinical) setting.[16– 18] As far as we are aware, there has never been a study to determine if consent for participation in research can be appropriately delivered in the absence of research staff using a standalone multimedia process compared to the traditional paper-based approach in the presence of research staff. This study sought to determine this during the consent process for people being recruited to participate in a clinical research project that focused on cardiovascular risk assessment.

# Methods

STUDY PROTOCOL

This research was undertaken in the context of a study testing the use of a computer-based application (app) to gather information for the assessment of absolute cardiovascular disease risk within a clinical setting. The study was performed in concordance with ethical approval obtained from Tasmanian Human Research Ethics Committee [H0015648]. Participants referred by a general practitioner to pathology services were approached for involvement in the cardiovascular risk assessment study by the pathology services receptionist. Inclusion criteria for participation included those with a referral for a full lipid profile aged between 45 and 74 in accordance with absolute cardiovascular risk assessment guidelines. [19] Participants who were interested in involvement in the cardiovascular risk assessment study were randomised to receive standalone multimedia consent (intervention) or traditional paper-based consent with a researcher (control) (Figure 1). Due to the setting of the study field notes were used to collect data on why participants did not take part after initial eligibility screening.

Both groups received a short demonstration on how to use the app. The demonstration was quick with rudimentary instructions provided as it was intended to be delivered by pathology staff in under a minute who would then resume normal clinical duties. The intervention group were shown how to play the study video and audio and advised to engage with the information until they had decided if they wanted to take part, at which point they could provide their consent or leave without taking part. The control group were provided with the paper-based information sheet by a researcher, advised to read and asked if they needed assistance or had any questions as per conventional consent processes. Both groups provided signed consent using their finger on a touchscreen monitor via the app to proceed to the cardiovascular assessment. Immediately after the app cardiovascular risk assessment, each participant was asked to complete a questionnaire to evaluate the efficacy, usability and acceptability of the consent process they had undertaken.

# RANDOMISATION

Referred patients that met the criteria for participation received a postcard that contained basic information about the study (Supp 1, Study Postcard). A total of 831 participants were identified as eligible for participation in the cardiovascular risk assessment study, from these, 303 were randomised to participate (Figure 2). Randomisation was determined by computer program on a 1:1 ratio prior to recruitment. It was not possible to blind participants to their allocated interventions because multimedia was obviously different to paper-based consent.

DELIVERY OF PAPER-BASED CONSENT PROCESS AS THE STUDY CONTROL
Control participants received a two-page paper-based study participant information sheet compliant with the requirements of the National Health and Medical Research Council and Australian Research Council, National Statement on Ethical Conduct in Human Research.[1] The first page provided information on the aims, participation requirements and why participants were invited to take part. The second page detailed the risks, benefits, funding sources, ethical approval and privacy protections. The control consent process involved the participant being asked to read the information sheet in the presence of a researcher who provided further information and answered questions as requeted (as per usual practice).
DELIVERY OF MULTIMEDIA CONSENT PROCESS AS THE STUDY INTERVENTION
Intervention participants received study participation information via multimedia approach using a three-minute animated video and additional audio content using the same terminology and content as the paper-based study participant information sheet. The study video was congruent with the first page of the information sheet and focused on the aims and requirements of the study (Supp 2. Study Video). The additional audio content, which was optional for participants to engage with, was congruent with the second page of the information sheet and provided information on study funding, ethical approval, risks and benefits associated with participation and privacy protection.
A multidisciplinary team of research staff, graphic designers and communications staff developed the study video through an iterative approach including feedback from community members typical of the target demographic.
PATIENT AND PUBLIC INVOLVEMENT
Community members reviewed and contributed to all aspects of study materials including the questionnaires, multimedia and paper-based study information and advised on the content that was included in the final version.
SETTING AND CONSENT ENVIRONMENT
All study procedures took place on the premises of pathology services. A purpose-built booth was designed for the study (Figure 1). The study booth provided a private environment for

156	the consent process and clinical data collection. The booth contained a bench with the
157	computer that delivered the study app, a chair and a curtain for privacy.
158	ASSESSMENT OF CONSENT PROCESS
159	The evaluation questionnaire was delivered by a researcher at a separate work station after
160	participants completed all study processes in the booth. A 12-item questionnaire was used to
161	assess efficacy, usability and acceptability of the consent process. The questionnaire was
162	mixed methods with dichotomous and multiple-choice questions, each with a comment box
163	for open-ended responses.
164	Efficacy and usability of the consent process.
165	The effectiveness of the allocated consent processes to inform participants about the study
166	was assessed via two measures: 1) the extent to which participants understood participation
167	was voluntary and 2) participant understanding of specific aspects of study participation by
168	true or false questions. Four measures denoting user-friendliness of the allocated consent
169	processes were used to indicate usability: 1) participant engagement with the study
170	information, 2) participant perceived understanding of the study, 3) successful completion of
171	the consent process and 4) the time taken to complete the consent process. The app
172	automatically recorded the time for both groups as the app set-up and demonstration took
173	place before the consent process. The time included the set-up, the consent process and the
174	cardiovascular assessment questionnaire.

- 175 Acceptability of the consent process.
- Three indicators of acceptability of the consent process were used: 1) was there sufficient information available to give consent 2) were participants satisfied with the length of the study information; and 3) what was the preferred method of information delivery for deciding to take part in research.
- 180 DATA ANALYSIS
- Data are presented as mean and standard deviation or percentage of the total sample. For comparison of categorical variables, percentage differences were tested using Chi² tests; *t* test was used for continuous variables. For all statistical tests, a *P* value of <0.05 was considered significant. Analysis was conducted by a researcher blinded to allocation. Analyses were

performed using R statistical software version 3.5.3 (R Foundation for Statistical Computing, Vienna, Austria). 

Results 

# PARTICIPANT CHARACTERISTICS

There were no differences in sociodemographic characteristics between the intervention and control groups (Table 1). Participants were predominantly white and middle-older aged. Half of participants had completed an undergraduate degree or higher, and a quarter were in fulltime employment. From field notes, the main reason participants did not progress from eligibility screening to study participation was due to time constraints as many were attending pathology services before going to work.

# EFFICACY AND USABILITY OF MULTIMEDIA INTERVENTION VERSUS

#### CONTROL

Intervention participants demonstrated better understanding of the follow-up requirements and data sharing practices of the study compared with control participants (Table 2, P<0.001 and 0.025, respectively). Intervention participants were more likely to engage with the study information and spend more time on the consent process and study questionnaire (Table 2, P = 0.038 and 0.006, respectively)).

Thirty-seven participants (15 intervention, 22 control) commented on ways to improve their understanding of the study. The themes of these comments focused on simplifying the study information sheet, adding more information to the study postcard, providing a variety of information delivery options for participants to choose from and providing participants with updates on the research outcomes of the study. Four participants in the control group requested assistance with the consent process as they did not have their reading glasses to read the information sheet. No participants in the intervention group requested assistance.

# ACCEPTABILITY OF MULTIMEDIA INTERVENTION VERSUS CONTROL

Both groups reported similar levels of acceptability (Table 3), although more control participants reported that the study information was too long and had a greater preference for paper-based information delivery (P = 0.020). On average only 4% of participants reported a preference for a researcher to be present and there was no difference between groups.

# **Discussion**

The key finding from this study is that a multimedia consent process free from research staff was a suitable mode for delivering study information and obtaining informed consent for a clinical research study. Additionally, multimedia delivery of study information improved participant understanding of aspects of study involvement. High acceptability of both consent processes was reported in this population of middle-to-older aged, community dwelling adults. These findings suggest that multimedia is an acceptable, efficient and effective alternative to traditional consent processes in medical research.

The evidence on using multimedia to enhance the traditional consent process has concentrated on participants with special needs such as low literacy, mental health issues or children.[20–23] Moreover, previous work focused on augmenting the traditional consent approach with multimedia tools, rather than comparing a truly standalone multimedia consent process, as we have done in this current study. Our study design fulfils an identified research gap on the need for high-quality comparisons of multimedia delivery of consent compared with the traditional approach for research.[17] One small study assessed the effectiveness of standalone multimedia information delivery, but this was in the setting of consent for surgery rather than research participation. In that study, they found that 98% of multimedia participants understood the information provided compared to 88% that received conventional verbal consent. [18] Our findings further develop this knowledge beyond a special population and in a much larger sample to confirm that a standalone multimedia platform should be generalisable for use among populations without special needs, such as community dwelling, older adults (i.e. average age 63 years). With the potential to enhance current consent processes, further work is needed in diverse populations from other institutions to investigate the generalisability of multimedia consent processes.

Ethical conduct is paramount in medical research, and consent processes need to adapt to adequately reflect modern attitudes and contemporary research practices.[13–15] This current study is relevant to the calls to update consent guidelines to better support participant autonomy and move away from an unwieldy approach of full-disclosure, to one that supports values-based decision making for participants.[13,24,25] Our findings indicate as few as 4% of participants would prefer research staff to be present during the consent process Importantly, we observed starkly different levels of participant engagement with study information, with only 9% of participants in the multimedia group choosing to listen to additional audio segments on the more technical aspects of research governance. This indicates that participant engagement with study information is highly individual and

engaging with all study information is not necessarily a priority for making an autonomous choice for most participants. Accordingly, consent processes, such as we have provided using multimedia, should support participant autonomy by providing options to engage with study information relevant to their values to aid decision-making processes.

# IMPLICATIONS FOR RESEARCH AND PRACTICE

A key benefit of the standalone consent process evaluated in this research is its potential to improve participant understanding of study information while reducing the burden of consent for research staff. Another key advantage is the possible health economic benefit. Current healthcare consumers are highly 'information-savvy' and may seek the delivery of information from different platforms, including consumer-informatics platforms.[26] We suggest that the societal health economics benefits that are realised through better delivery of consent information will drive cost savings both in the short and longer terms. Short term health economics savings include the cost of time and the uptake of information that is more beneficial (and better understood) by the consumer. Longer term health economics savings could include cost savings to the healthcare system through improved understanding of both benefits and risks to participation, improved health literacy, and perhaps positive healthy ageing lifestyle modifications during and after participation.

Although attempts at standardisation of conventional paper-based consent processes have been made, achieving standardised consent delivery by study personnel is challenging.[1,2,27] Multimedia tools offer an inherently standardised method of information delivery, as the delivery is predetermined, that would otherwise be difficult to achieve in standard consent processes undertaken in multi-site research projects with large staff teams. Several software packages that support the development and/or delivery of multimedia consent processes are publicly available, and many can also be used to collect data as we did in this study (e.g. Research Electronic Data Capture; REDCap). This is an attractive alternative to current consent processes.[28]. The findings of this present study highlight that multimedia information delivery achieves desired levels of participant understanding and is as appropriate as the traditional paper-based approach for obtaining participant consent. Indeed, in a number of settings it may be more desirable, such as large-scale multisite clinical

STRENGTHS AND LIMITATIONS

trials.[29,30]

A key strength of this work is the randomised evaluation design among a sizeable study sample, conducted in a real clinical setting, and demonstrates the value of this approach in a minimal or low risk research protocol. Further work is needed to explore the acceptability and appropriateness of consent processes independent of research staff before it is implemented for more complex research with higher levels of participant risk. Potential limitations include the possibility of selection bias as participation was by self-selection after the initial eligibility screening in people attending a pathology service with a cholesterol referral. We cannot be sure whether the findings will be generalisable beyond our study population and this will need to be tested in future. Additionally, it was not possible to use validated evaluation tools to assess the efficacy, usability and acceptability of the consent process due to time constraints of undertaking a research protocol within a pathology services setting. It was not feasible to notify participants about the research prior to presenting at pathology services and all participants had to take part on the same day their blood sample was collected. For this reason, the entire process had to be shorter than 20 minutes to minimise disruption to participants and pathology services.

# CONCLUSION

A standalone, multimedia consent process free from research staff is an effective and acceptable approach to appropriately deliver participant information and receive informed consent for minimal and low risk research. Our findings imply that multimedia consent processes are suitable for reducing the burden on research staff and improving the delivery of consent for research.

Acknowledgements: We would like to thank the Diagnostic Service Pty Ltd staff for their
expertise and assistance throughout all aspects of this study, with specific thanks to Carol
Batt for recruiting participants. Thanks to David J. Lipscombe, Michelle L Davis Patman and
Belinda Kendall-White for providing their time as community research advisors.

**Contributors**: NC, RM, MA, MS and JS made substantial contributions to the conception of the study. NC and MA analysed the data for the study. NC and RF contributed to the acquisition of data. NC, RM, and JS interpreted the data, drafted the article and revised it critically for intellectual content. All authors contributed to drafts of the article and provided final approval of the version to be published and accept accountability for article integrity.

Competing interests: none declared.

- **Funding**: This work was supported by a grant from the Royal Hobart Hospital Research Foundation [reference 16-006].
- Data sharing statement: data are available upon reasonable request to the corresponding author.

# References:

- 316 1 NHMRC. National Statement on Ethical Conduct in Human Research (Updated 2018).
- 317 2007. https://www.nhmrc.gov.au/about-us/publications/national-statement-ethical-
- 318 conduct-human-research-2007-updated-2018#block-views-block-file-attachments-
- content-block-1 (accessed 12 Apr 2019).
- 2 Department of Health, Education, and Welfare, National Commission for the Protection
- of Human Subjects of Biomedical and Behavioral Research. The Belmont Report. Ethical
- principles and guidelines for the protection of human subjects of research. J Am Coll
- *Dent* 2014;**81**:4–13.
- 324 3 WMA The World Medical Association-WMA Declaration of Helsinki Ethical
- Principles for Medical Research Involving Human Subjects.
- https://www.wma.net/policies-post/wma-declaration-of-helsinki-ethical-principles-for-
- medical-research-involving-human-subjects/ (accessed 3 Apr 2019).
- 328 4 Flory J, Emanuel E. Interventions to Improve Research Participants' Understanding in
- Informed Consent for Research: A Systematic Review. *JAMA* 2004;**292**:1593–601.
- 330 doi:10.1001/jama.292.13.1593
- Joffe S, Cook EF, Cleary PD, et al. Quality of informed consent in cancer clinical trials: a
- 332 cross-sectional survey. *The Lancet* 2001;**358**:1772–7. doi:10.1016/S0140-
- 333 6736(01)06805-2
- 334 6 Schaeffer MH, Krantz DS, Wichman A, et al. The impact of disease severity on the
- informed consent process in clinical research. *The American Journal of Medicine*
- 336 1996;**100**:261–8. doi:10.1016/S0002-9343(97)89483-1
- 337 7 Boyd K. The impossibility of informed consent? *Journal of Medical Ethics* 2015;**41**:44–
- 7. doi:10.1136/medethics-2014-102308
- 8 O'Neill O. Some limits of informed consent. *Journal of Medical Ethics* 2003;**29**:4–7.
- 340 doi:10.1136/jme.29.1.4
- 9 Fortun P, West J, Chalkley L, et al. Recall of informed consent information by healthy
- volunteers in clinical trials. *QJM* 2008;**101**:625–9. doi:10.1093/qjmed/hcn067
- 343 10 Crepeau AE, McKinney BI, Fox-Ryvicker M, et al. Prospective evaluation of patient
- 344 comprehension of informed consent. J Bone Joint Surg Am 2011;93:e114(1-7).
- 345 doi:10.2106/JBJS.J.01325
- 11 Doshi P, Hur P, Jones M, et al. Informed Consent to Study Purpose in Randomized
- Clinical Trials of Antibiotics, 1991 Through 2011. *JAMA Intern Med* 2017;**177**:1452–9.
- 348 doi:10.1001/jamainternmed.2017.3820
- 349 12 US Department of Health and Human Services. Human subjects research protections:
- enhancing protections for research subjects and reducing burden, delay, and ambiguity
- for investigators. Federal Register 2011;**76**:44512–31.

- Emanuel EJ, Menikoff J. Reforming the Regulations Governing Research with Human
   Subjects. *New England Journal of Medicine* 2011;365:1145–50.
   doi:10.1056/NEJMsb1106942
- Gainotti S, Turner C, Woods S, *et al.* Improving the informed consent process in international collaborative rare disease research: effective consent for effective research. *European Journal of Human Genetics* 2016;**24**:1248–54. doi:10.1038/ejhg.2016.2
- 358 15 Annas GJ. Globalized Clinical Trials and Informed Consent. *New England Journal of Medicine* 2009;**360**:2050–3. doi:10.1056/NEJMp0901474
- Nishimura A, Carey J, Erwin PJ, *et al.* Improving understanding in the research informed consent process: a systematic review of 54 interventions tested in randomized control trials. *BMC Med Ethics* 2013;**14**:28. doi:10.1186/1472-6939-14-28
- Ryan RE, Prictor MJ, McLaughlin KJ, et al. Audio-visual presentation of information for informed consent for participation in clinical trials. Cochrane Database Syst Rev
   2008;:CD003717. doi:10.1002/14651858.CD003717.pub2
- 18 Cornoiu A, Beischer AD, Donnan L, *et al.* Multimedia patient education to assist the 367 informed consent process for knee arthroscopy. *ANZ Journal of Surgery* 2011;**81**:176–80. 368 doi:10.1111/j.1445-2197.2010.05487.x
- National Vascular Disease Prevention Alliance. Guidelines for the management of
   absolute cardiovascular disease risk. Melbourne?: National Heart Foundation of
   Australia 2009.
- Yeh DM, Chun S, Terrones L, *et al.* Using media to improve the informed consent process for youth undergoing pediatric endoscopy and their parents. *Endosc Int Open* 2017;**5**:E41–6. doi:10.1055/s-0042-121668
- Jeste DV, Palmer BW, Golshan S, *et al.* Multimedia consent for research in people with schizophrenia and normal subjects: a randomized controlled trial. *Schizophr Bull* 2009;**35**:719–29. doi:10.1093/schbul/sbm148
- Ownby RL, Acevedo A, Goodman K, *et al.* Health literacy predicts participant understanding of orally-presented informed consent information. *Clin Res Trials* 2015;**1**:15–9. doi:10.15761/CRT.1000105
- Afolabi MO, Bojang K, D'Alessandro U, *et al.* Digitised audio questionnaire for assessment of informed consent comprehension in a low-literacy African research population: development and psychometric evaluation. *BMJ Open* 2014;**4**. doi:10.1136/bmjopen-2014-004817
- 24 Kraft SA, Porter KM, Shah SK, *et al.* Comprehension and Choice Under the Revised
   Common Rule: Improving Informed Consent by Offering Reasons Why Some Enroll in
   Research and Others Do Not. *The American Journal of Bioethics* 2017;17:53–5.
   doi:10.1080/15265161.2017.1328535
- Sugarman J. Examining Provisions Related to Consent in the Revised Common Rule. *The American Journal of Bioethics* 2017;17:22–6. doi:10.1080/15265161.2017.1329483

- 391 26 Shih Y-CT, Tai-Seale M. Physicians' perception of demand-induced supply in the information age: a latent class model analysis. *Health Econ* 2012;**21**:252–69. doi:10.1002/hec.1710
- Weinmeyer R. Lack of Standardized Informed Consent Practices and Medical
   Malpractice. *AMA Journal of Ethics* 2014;16:120–3.
   doi:10.1001/virtualmentor.2014.16.2.hlaw1-1402.
- Harris PA, Taylor R, Thielke R, *et al.* Research Electronic Data Capture (REDCap) A metadata-driven methodology and workflow process for providing translational research informatics support. *J Biomed Inform* 2009;**42**:377–81. doi:10.1016/j.jbi.2008.08.010
- 29 Blake K, Holbrook JT, Antal H, *et al.* Use of Mobile Devices and the Internet for
   Multimedia Informed Consent Delivery and Data Entry in a Pediatric Asthma Trial:
   Study Design and Rationale. *Contemp Clin Trials* 2015;42:105–18.
   doi:10.1016/j.cct.2015.03.012
- 404 30 Afolabi MO, McGrath N, D'Alessandro U, *et al.* A multimedia consent tool for research 405 participants in the Gambia: a randomized controlled trial. *Bull World Health Organ* 406 2015;**93**:320-328A. doi:10.2471/BLT.14.146159

Table 1. Sociodemographic and clinical characteristics of study participants randomised to multimedia intervention or control delivery of study information and informed consent.

Variable	Control	Intervention
	(n=152)	(n=146)
Age (years)	63±8	63±7
Male (%)	50	48
Education (%)		
High school	24	21
Certificate, diploma or apprenticeship	16	21
University degree or higher	53	52
Employment (%)		
Employed	44	44
Retired	37	37
Other	12	9
Ethnicity (%)		
White	86	90
Aboriginal or Torres Strait Islander	1	1
Asian	2	3
Other	1	1

Data are expressed as percentage of the total the sample size or mean ± standard deviation. Response rates varied from 135 - 152 for Control and 133 - 146 for Intervention. No significant differences were observed between the groups.

Table 2. Efficacy and usability of informed consent process of study participants randomised to multimedia intervention or control delivery of study information and informed consent.

Variable	Control	Intervention	P Value
	(n = 152)	(n = 146)	
Efficacy			
Γaking part was completely voluntary (% yes)	99	99	0.17
The right to withdraw from the study at any time. (% correct)	94	93	0.89
Baseline participation requirements. (% correct)	98	99	0.09
Follow-up participation requirements. (% correct)	54	87	< 0.001
Data sharing with referring practitioner. (% correct)	87	93	0.025
Usability:	V.		
Engaged with the study information. (%)	70	80	0.038
Perceived understanding of the study could be improved. (%)	18	11	0.075
Successfully completed the consent process (%)	100	100	1
Total duration (minutes (range))	8.4 (2.1 – 30.5)	9.6 (3.3 – 17.3)	0.006

Data are expressed as percentages of the group total.

Table 3. Acceptability of participant information and informed consent process of study participants randomised to multimedia intervention or control delivery of participant information and informed consent.

Variable	Control	Intervention	P value
	(n = 152)	(n = 146)	
Sufficient information was available to provide consent: (%)			
Yes	95	95	0.73
There was too much	1	1	0.97
There was not enough	3	3	0.69
Not sure	0, 1	0	0.16
The study information was too long. (%)	24	14	0.020
Preferred method of information delivery: (%)	h		
Paper-based written document	58	41	0.004
Multimedia	18	28	0.048
A researcher must be present	5	3	0.39
No preference	16	21	0.29

Data are expressed as percentages of the group total.

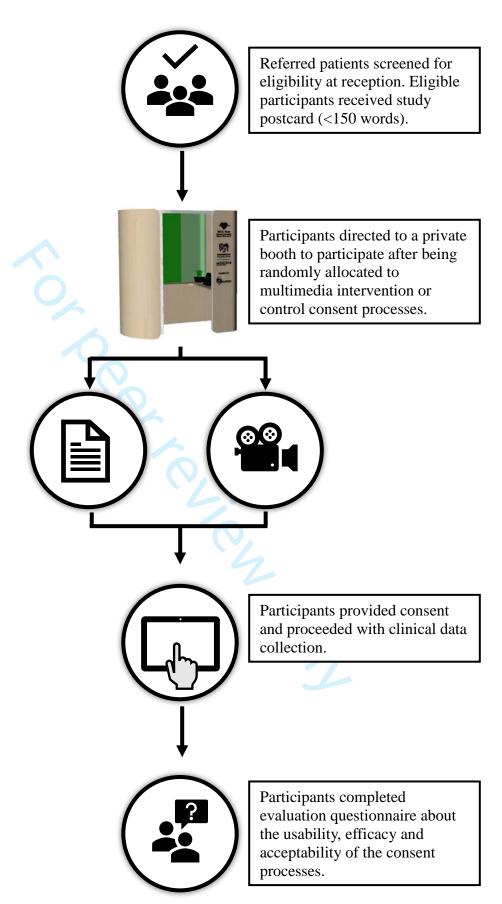


Figure 1. Flow diagram of study protocol.

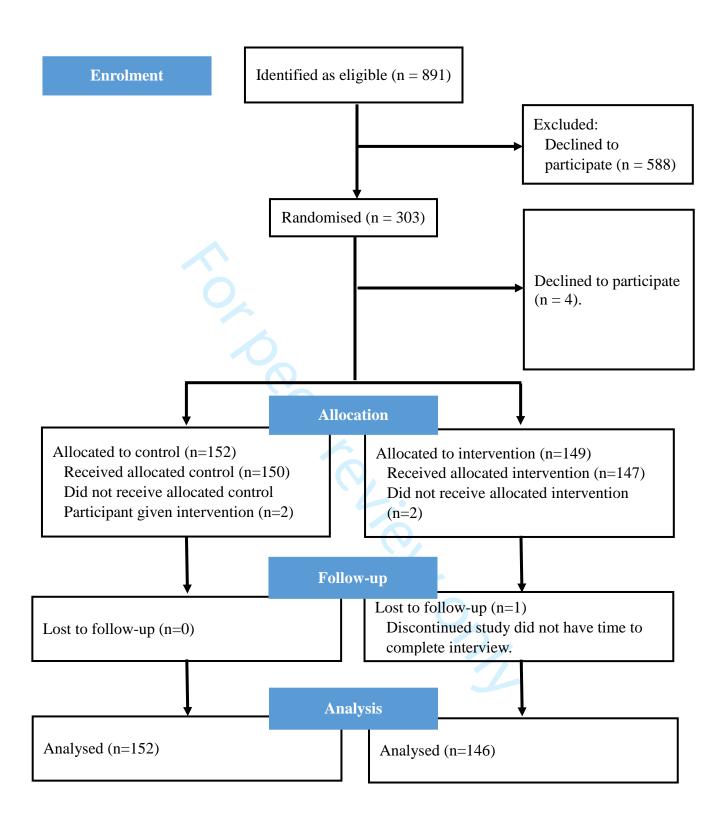


Figure 2. Participant flow diagram.



# **IDEAL Study**

The IDEAL study aims to lower the risk of people developing cardiovascular disease, including heart attack and stroke.

Patients attending Hobart Pathology, 20-22 Gregory St, Sandy Bay, for a cholesterol test may be eligible to participate.

If you wish to participate, medical health information and blood pressure will be recorded in a specially built booth.

A risk score on the chances of having a cardiovascular event in the next five years will be calculated and sent to your GP.

This risk score is based on best-practice medicine and is designed to help doctors

make better-informed decisions to manage the risk of cardiovascular disease.

You may also be invited to attend optional follow-up studies, which include a full cardiovascular assessment or attending a focus group on cardiovascular disease and primary healthcare.

#### For further information

Email: menzies.ideal@utas.edu.au Phone: (03) 6226 7700

For peer review only - http://www.penzins.cutas.advabu/tregenreh/ed.calastudy

STROBE Statement—Checklist of items that should be included in reports of cross-sectional studies

	Item No	Recommendation
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the
		abstract
		Study title.
		(b) Provide in the abstract an informative and balanced summary of what was
		done and what was found
		Abstract submitted.
Introduction		
Background/rationale	2	Explain the scientific background and rationale for the investigation being
		reported
		Page 3, lines 64-90.
Objectives	3	State specific objectives, including any prespecified hypotheses
		Page 3, lines 90-92.
Methods		
Study design	4	Present key elements of study design early in the paper
		Page 5, lines 95-119.
Setting	5	Describe the setting, locations, and relevant dates, including periods of
		recruitment, exposure, follow-up, and data collection
		Page 5, line 95-97.
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of
•		participants
		Page 5, line 100-105.
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and
		effect modifiers. Give diagnostic criteria, if applicable
		Page 7, lines 158-179.
Data sources/	8*	For each variable of interest, give sources of data and details of methods of
measurement		assessment (measurement). Describe comparability of assessment methods if
		there is more than one group
		Page 7, lines 158-179.
Bias	9	Describe any efforts to address potential sources of bias
		Page 5, line 120.
Study size	10	Explain how the study size was arrived at
•		Page 5, line 100-105., sample size was derived based on a separate study.
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable,
		describe which groupings were chosen and why
		Page 7, lines 158-179.
Statistical methods	12	(a) Describe all statistical methods, including those used to control for
		confounding
		Page 7, lines 180-186.
		(b) Describe any methods used to examine subgroups and interactions
		Page 7, lines 180-186.
		(c) Explain how missing data were addressed
		NA
		(d) If applicable, describe analytical methods taking account of sampling strate
		NA
		NA

		$(\underline{e})$ Describe any sensitivity analyses <b>NA</b>
Results		
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed  Page 8, lines 188-194 and Figure 1.
		(b) Give reasons for non-participation at each stage
		Figure 1.
		(c) Consider use of a flow diagram
		Figures 1 and 2.
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and
-		information on exposures and potential confounders
		Page 8, lines 188-194 and Table 1.
		(b) Indicate number of participants with missing data for each variable of interest
		NA
Outcome data	15*	Report numbers of outcome events or summary measures
		Page 8 ,lines 195-213.
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates
		and their precision (eg, 95% confidence interval). Make clear which confounders
		were adjusted for and why they were included
		NA
		(b) Report category boundaries when continuous variables were categorized
		NA COLOR DE LA COL
		(c) If relevant, consider translating estimates of relative risk into absolute risk for
		a meaningful time period
04	1.7	NA  Personal and the second se
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses
		NA
D' '		TVA
Discussion Very regults	10	Cummonica have regults with reference to study chicatives
Key results	18	Summarise key results with reference to study objectives  Page 9, lines 215-221.
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or
Limitations	19	imprecision. Discuss both direction and magnitude of any potential bias
		Page 11, lines 279-293.
Interpretation	20	Give a cautious overall interpretation of results considering objectives,
interpretation	20	limitations, multiplicity of analyses, results from similar studies, and other
		relevant evidence
		Page 9-10, lines 222-251.
Generalisability	21	Discuss the generalisability (external validity) of the study results
Ž		Page 10, lines 253-277.
Other information		
Funding	22	Give the source of funding and the role of the funders for the present study and, if
Č		applicable, for the original study on which the present article is based
		Provided under funding section, page 12, lines 310-311.

\*Give information separately for exposed and unexposed groups.



# **BMJ Open**

# A self-directed multimedia process for delivering participant informed consent

Journal:	BMJ Open		
Manuscript ID	bmjopen-2020-036977.R1		
Article Type:	Original research		
Date Submitted by the Author:	14-Apr-2020		
Complete List of Authors:	Chapman, Niamh; Menzies Research Institute Tasmania McWhirter, Rebekah; University of Tasmania, Faculty of Law; Menzies Research Institute Tasmania Armstrong, Matthew; Menzies Research Institute Tasmania Fonseca, Ricardo; Menzies Research Institute Tasmania Campbell, Julie; Menzies Research Institute Tasmania Nelson, Mark; Menzies Research Institute Tasmania Schultz, Martin; Menzies Research Institute Tasmania Sharman, James; Menzies Research Institute Tasmania, University of Tasmania		
<b>Primary Subject Heading</b> :	Ethics		
Secondary Subject Heading:	Research methods		
Keywords:	ETHICS (see Medical Ethics), Clinical trials < THERAPEUTICS, Protocols & guidelines < HEALTH SERVICES ADMINISTRATION & MANAGEMENT		
Note: The following files were submitted by the author for peer review, but cannot be converted to PDF. You must view these files (e.g. movies) online.			
Supp2_StudyVideo.mp4			

SCHOLARONE™ Manuscripts



I, the Submitting Author has the right to grant and does grant on behalf of all authors of the Work (as defined in the below author licence), an exclusive licence and/or a non-exclusive licence for contributions from authors who are: i) UK Crown employees; ii) where BMJ has agreed a CC-BY licence shall apply, and/or iii) in accordance with the terms applicable for US Federal Government officers or employees acting as part of their official duties; on a worldwide, perpetual, irrevocable, royalty-free basis to BMJ Publishing Group Ltd ("BMJ") its licensees and where the relevant Journal is co-owned by BMJ to the co-owners of the Journal, to publish the Work in this journal and any other BMJ products and to exploit all rights, as set out in our licence.

The Submitting Author accepts and understands that any supply made under these terms is made by BMJ to the Submitting Author unless you are acting as an employee on behalf of your employer or a postgraduate student of an affiliated institution which is paying any applicable article publishing charge ("APC") for Open Access articles. Where the Submitting Author wishes to make the Work available on an Open Access basis (and intends to pay the relevant APC), the terms of reuse of such Open Access shall be governed by a Creative Commons licence – details of these licences and which Creative Commons licence will apply to this Work are set out in our licence referred to above.

Other than as permitted in any relevant BMJ Author's Self Archiving Policies, I confirm this Work has not been accepted for publication elsewhere, is not being considered for publication elsewhere and does not duplicate material already published. I confirm all authors consent to publication of this Work and authorise the granting of this licence.

# 1 A self-directed multimedia process for delivering participant informed

- 2 consent
- 3 Niamh Chapman<sup>1</sup>,
- 4 Rebekah McWhirter <sup>1,2</sup>,
- 5 Matthew K. Armstrong<sup>1</sup>,
- 6 Ricardo Fonseca <sup>1</sup>,
- 7 Julie A. Campbell<sup>1</sup>,
- 8 Mark R. Nelson<sup>1</sup>,
- 9 Martin G. Schultz<sup>1</sup>,
- 10 James E. Sharman<sup>1</sup>.
- <sup>1</sup>Menzies Institute for Medical Research, College of Health and Medicine, University of
- 12 Tasmania, Australia.
- <sup>2</sup>Centre for Law and Genetics, Faculty of Law, University of Tasmania, Hobart, Australia.
- 15 Corresponding author: Prof. James E. Sharman, Menzies Institute for Medical Research, 17
- Liverpool Street, Hobart, Tasmania 7000, Australia.
- 17 Tel: +61 3 6226 4709
- 18 Email: James.Sharman@utas.edu.au
- **Word count:** abstract: 250 text: 3,003
- 20 References: 31
- 21 Figures: 2
- **Tables:** 3

- **Supplementary files:** 2
- **Key words:** personal autonomy, bioethics, research conduct, participant decision-making,
- 26 participant comprehension.

	L.	.4.		~4
A	D	str	'a	CL

- Objective. Obtaining informed consent is a cornerstone requirement of conducting ethical
- 29 research. Traditional paper-based consent is often excessively lengthy and may fail to achieve
- 30 desired participant understanding of study requirements. Multimedia tools including video
- and audio may be a useful alternative. This study aimed to determine the efficacy, usability
- and acceptability of self-directed multimedia delivery of participant consent.
- **Design.** A single-centre, randomised, prospective study to determine the efficacy, usability
- and acceptability of a self-directed multimedia consent process (intervention) compared with
- 35 the traditional paper-based approach (control). Intervention was free of research staff, with
- 36 computer-based finger-signed consent.
- **Setting.** Pathology blood collection services in Tasmania, Australia.
- Participants. 298 participants (63±8 years; 51% female) referred from general practice were
- randomised to intervention (n=146) and control (n=152).
- **Outcome measures.** Efficacy, usability and acceptability of the allocated consent process
- were assessed by questionnaire.
- **Results.** All participants successfully completed allocated interventions. Efficacy parameters
- were higher among intervention participants, including better understanding of study
- requirements compared with controls (P<0.05 all). Intervention participants were more likely
- to engage with the study information and spend more time on the consent process (P=0.038)
- and P=0.007, respectively). Both groups reported similar levels of acceptability, although
- 47 more control participants reported that the study information was too long (24% versus 14%;
- 48 P=0.020).
- **Conclusion.** A self-directed multimedia consent process is effective for achieving participant
- 50 understanding and obtaining consent free of research staff. Thus, multimedia represents a
- viable method to reduce the burden on researchers, meet participant needs, and achieve
- 52 informed consent in clinical research.

# Article summary

# Strengths and limitations of this study:

- This is the largest randomised evaluation of a self-directed multimedia consent process.
- Multimedia consent tools were developed in collaboration with community members.
- Self-directed multimedia was an acceptable, efficient and effective alternative to
- traditional consent processes in medical research.
  - Generalisability of the findings will need to be confirmed in further studies.



# Introduction

Informed consent is a cornerstone procedure of ethically conducted medical research. Consent processes aim to ensure potential participants are fully informed prior to deciding to take part in research. Guidelines emphasise the need for full disclosure of study information including the aims, requirements, risks, benefits, funding and conflicts of interest, with the view that more information facilitates better informed decision-making. [1–3] However, this has resulted in lengthy consent processes that are burdensome for both researchers and participants, while often failing to achieve the desired level of participant understanding. [4– 11] Indeed, as few as 50% of participants understand study information, including associated risks and that participation is voluntary.[5] These shortcomings, as well as the emergence of complex contemporary methods, including biobanking, gene sequencing, linked data, remote research and large-scale trials often spanning multiple countries, have led to calls to update consent guidelines to more appropriately reflect the modern research landscape.[12–15] Self-directed multimedia delivery of information via video and audio platforms may offer an effective alternative or complementary tool to traditional consent processes. Previous reviews evaluating the efficacy of multimedia tools in the consent process have been inconclusive. [4,16,17] This ambiguity may be due to heterogeneous study designs and population characteristics. Moreover, previous research focused on using multimedia to augment traditional research consent processes, with a researcher present, rather than multimedia as a standalone and self-directed process, making it difficult to discern the generalisability and utility of a self-directed multimedia process for consent. In any case, there appears to be good acceptability and usability of multimedia tools used within the consent process with respect to participant satisfaction and facilitating recruitment, but also for understanding information in a non-research (clinical) setting.[16–18] As far as we are aware, there has never been a study to determine if consent for participation in research can be appropriately delivered in the absence of research staff using a selfdirected multimedia process compared to the traditional paper-based approach in the presence of research staff. This study sought to determine this during the consent process for people being recruited to participate in a clinical research project that focused on cardiovascular risk assessment.

# Methods

STUDY PROTOCOL

This research was undertaken in the context of a study testing the use of a computer-based application (app) to gather information for the assessment of absolute cardiovascular disease risk within a clinical setting.[19] The study was performed in concordance with ethical approval obtained from Tasmanian Human Research Ethics Committee [H0015648]. Participants referred by a general practitioner to pathology services were approached for involvement in the cardiovascular risk assessment study by the pathology services receptionist. Inclusion criteria for participation included those with a referral for a full lipid profile aged between 45 and 74 years in accordance with absolute cardiovascular risk assessment guidelines. [20] Participants who were interested in involvement in the cardiovascular risk assessment study were randomised to receive self-directed multimedia consent (intervention) or traditional paper-based consent with a researcher (control) (Figure 1). Due to the setting of the study, field notes were used to collect data on why participants did not take part after initial eligibility screening.

Both groups received a short demonstration on how to use the app. The demonstration was quick with rudimentary instructions provided as it was intended to be delivered by pathology staff in under a minute who would then resume normal clinical duties. The intervention group were shown how to play the study video and audio and advised to engage with the information until they had decided if they wanted to take part, at which point they could provide their consent or leave without taking part. The control group were provided with the paper-based information sheet by a researcher, advised to read and asked if they needed assistance or had any questions as per conventional consent processes. Both groups provided signed consent using their finger on a touchscreen monitor via the app to proceed to the cardiovascular assessment. Immediately after the app cardiovascular risk assessment, each participant was asked to complete a questionnaire to evaluate the efficacy, usability and acceptability of the consent process they had undertaken.

# RANDOMISATION

Referred patients that met the criteria for participation received a postcard that contained basic information about the study and contact details for more information (Supp 1, Study Postcard). A total of 831 participants were identified as eligible for participation in the cardiovascular risk assessment study, from these, 303 were randomised to participate (Figure 2). Randomisation was determined by computer program on a 1:1 ratio prior to recruitment. It

 was not possible to blind participants to their allocated interventions because multimedia wasobviously different to paper-based consent.

# DELIVERY OF PAPER-BASED CONSENT PROCESS AS THE STUDY CONTROL

Control participants received a two-page paper-based study participant information sheet compliant with the requirements of the National Health and Medical Research Council and Australian Research Council, National Statement on Ethical Conduct in Human Research.[1] The first page provided information on the aims, participation requirements and why participants were invited to take part. The second page detailed the risks, benefits, funding sources, ethical approval and privacy protections. The control consent process involved the participant being asked to read the information sheet in the presence of a researcher who provided further information and answered questions as requeted (as per usual practice).

# DELIVERY OF MULTIMEDIA CONSENT PROCESS AS THE STUDY

### INTERVENTION

Intervention participants received study participation information via multimedia approach using a three-minute animated video and separate audio content using the same terminology and content as the paper-based study participant information sheet. The study video was congruent with the first page of the information sheet and focused on the aims and requirements of the study (Supp 2. Study Video). The separate audio content was congruent with the second page of the information sheet and provided information on study funding, ethical approval, risks and benefits associated with participation and privacy protection, which was clearly labelled. Each audio segment was approximately 30 seconds in duration. Participants were shown how to play the audio content as part of the app demonstration. A multidisciplinary team of research staff, graphic designers and communications staff developed the study video through an iterative approach including feedback from community members typical of the target demographic.

# PATIENT AND PUBLIC INVOLVEMENT

Community members reviewed and contributed to all aspects of study materials including the questionnaires, multimedia and paper-based study information and advised on the content that was included in the final version. An iterative process was undertaken with community advisors to develop consent materials, with initial drafts completed by researchers.

Community advisors provided several rounds of feedback (and final approval) on all consent materials, including the information sheet, postcard, video and audio recordings.

SETTING AND CONSENT ENVIRONMENT

All study procedures took place on the premises of pathology services. A purpose-built booth was designed for the study (Figure 1). The study booth provided a private environment for

the consent process and clinical data collection. The booth contained a bench with the

161 computer that delivered the study app, a chair and a curtain for privacy.

# 162 ASSESSMENT OF CONSENT PROCESS

- The evaluation questionnaire was delivered by a researcher at a separate workstation after participants completed all study processes in the booth. A 12-item questionnaire was used to assess efficacy, usability and acceptability of the consent process. The questionnaire was mixed methods with dichotomous and multiple-choice questions, each with a comment box for open-ended responses.
- 168 Efficacy and usability of the consent process.
- The effectiveness of the allocated consent processes to inform participants about the study was assessed via two measures: 1) the extent to which participants understood participation was voluntary and 2) participant understanding of specific aspects of study participation by true or false questions. Four measures denoting user-friendliness of the allocated consent processes were used to indicate usability: 1) participant engagement with the study information by reading, watching or listening, 2) participant perceived understanding of the study, 3) successful completion of the consent process and 4) the time taken to complete the consent process. The app automatically recorded the time for both groups as the app set-up and demonstration took place before the consent process. The time included the set-up, the consent process and the cardiovascular assessment questionnaire. All other parameters were measured by self-report questionnaire.
- *Acceptability of the consent process.*
- Three indicators of acceptability of the consent process were used: 1) was there sufficient information available to give consent 2) were participants satisfied with the length of the study information; and 3) what was the preferred method of information delivery for deciding to take part in research.

DATA ANALYSIS

Data are presented as mean and standard deviation or percentage of the total sample. For comparison of categorical variables, percentage differences were tested using the Chi-squared test; t test was used for continuous variables. For all statistical tests, a P value of <0.05 was considered significant. Analysis was conducted by a researcher blinded to allocation.

Analyses were performed using Stata version 16.1 (StataCorp, USA).

191 Results

#### PARTICIPANT CHARACTERISTICS

There were no differences in sociodemographic characteristics between the intervention and control groups (Table 1). Participants were predominantly white and middle-older aged. Half of participants had completed an undergraduate degree or higher, and a quarter were in full-time employment. From field notes, the main reason participants did not progress from eligibility screening to study participation was due to time constraints as many were attending pathology services before going to work.

#### EFFICACY AND USABILITY OF MULTIMEDIA INTERVENTION VERSUS

200 CONTROL

Intervention participants demonstrated better understanding of the follow-up requirements and data sharing practices of the study compared with control participants (Table 2, P<0.001 and P=0.025, respectively). Intervention participants were more likely to spend more time on the consent process and study questionnaire (P=0.006). Altogether, more intervention participants engaged with any form of study information compared to control participants. However, when the section of the information sheet that was congruent with the audio component were compared, only 9% of intervention participants listened to the separate audio and 35% of control participants read the second page of the information sheet.

Thirty-seven participants (15 intervention, 22 control) commented on ways to improve their understanding of the study. The themes of these comments focused on simplifying the study information sheet, adding more information to the study postcard, providing a variety of information delivery options for participants to choose from and providing participants with updates on the research outcomes of the study. Four participants in the control group requested assistance with the consent process as they did not have their reading glasses to read the information sheet. No participants in the intervention group requested assistance.

#### ACCEPTABILITY OF MULTIMEDIA INTERVENTION VERSUS CONTROL

Both groups reported similar levels of acceptability (Table 3), although more control participants reported the study information was too long and had a greater preference for paper-based information delivery (P = 0.020 for both). Only 4% of participants reported that a researcher must be present for the consent process and there was no difference between groups.

#### **Discussion**

The key finding from this study is that a self-directed multimedia consent process free from research staff was a suitable mode for delivering study information and obtaining informed consent for a clinical research study. Additionally, multimedia delivery of study information improved participant understanding of aspects of study involvement. High acceptability of both consent processes was reported in this population of middle-to-older aged, community dwelling adults. These findings suggest that multimedia is an acceptable, efficient and effective alternative to traditional consent processes in medical research.

The evidence on using multimedia to enhance the traditional consent process has concentrated on participants with additional support needs such as low literacy, mental health issues or children.[21–24] Moreover, previous work focused on augmenting the traditional consent approach with multimedia tools, rather than comparing a truly self-directed, multimedia consent process, as we have done in this current study. Our study design fulfils an identified research gap on the need for high-quality comparisons of self-directed multimedia delivery of consent compared with the traditional approach for research.[17] One small study assessed the effectiveness of self-directed multimedia information delivery, but this was in the setting of consent for surgery rather than research participation. In that study, they found that 98% of multimedia participants understood the information provided compared to 88% that received conventional verbal consent. [18] Our findings, in a middle-to-older population without specific support needs, further develop this knowledge beyond a special population and in a larger sample to confirm that a self-directed multimedia platform may be useful among populations without special needs, such as community dwelling, older adults (i.e. average age 63 years). With the potential to enhance current consent processes, further work is needed in diverse populations to investigate the generalisability of multimedia consent processes.

Ethical conduct is paramount in medical research, and consent processes need to adapt to adequately reflect modern attitudes and contemporary research practices.[13–15] This current study is relevant to the calls to update consent guidelines to better support participant autonomy and move away from an unwieldy approach of full-disclosure, to one that supports values-based decision making for participants.[13,25,26] As few as 4% of participants reported research staff must be present during the consent process. Importantly, we observed starkly different levels of participant engagement with study information, with only 9% of participants in the multimedia group choosing to listen to the separate audio segments on the more technical aspects of research governance. This indicates engaging with all study information, by reading, watching or listening, is not necessarily a priority for making an autonomous choice for most participants and is highly individual. Accordingly, consent processes, such as we have provided, using self-directed multimedia, should support participant autonomy by providing options to engage with study information relevant to their values to aid decision-making processes.

#### IMPLICATIONS FOR RESEARCH AND PRACTICE

A key benefit of the self-directed consent process evaluated in this research, is its potential to improve participant understanding of study information while reducing the burden of consent for research staff. Another key advantage is the possible economic benefit. Current healthcare consumers and research participants are highly 'information-savvy' and may seek the delivery of information from different platforms or prefer diverse options for information delivery such as multimedia.[27] We suggest that the benefits of better delivery of consent information will drive cost savings both in the short and longer terms. Short term savings include the cost of time and the uptake of information that is more beneficial (and better understood) by the participant including understanding participation requirements. Longer term savings could include cost savings through widespread uptake of self-directed multimedia consent processes to reduce staff burden (noting that only 4 participants asked for staff assistance in our study).

Although attempts at standardisation of conventional paper-based consent processes have been made, achieving standardised consent delivery by study personnel is challenging.[1,2,28] Multimedia tools offer an inherently standardised method of information delivery, as the delivery is predetermined, that would otherwise be difficult to achieve in standard consent processes undertaken in multi-site research projects with large staff teams.

As demonstrated in this study, a self-directed multimedia consent process allows flexibility to engage with study information relevant to support participant decision making while also ensuring the delivery of that information is standardised for each participant. Several publicly available software packages support the development and/or delivery of self-directed multimedia consent processes and many can also be used to collect data as we did in this study (e.g. Research Electronic Data Capture; REDCap). [29] Posing an attractive alternative to current consent processes. The findings of this present study highlight that self-directed multimedia information delivery achieves desired levels of participant understanding and is as appropriate as the traditional paper-based approach for obtaining participant consent. Indeed, in a number of settings it may be more desirable, such as large-scale multisite clinical trials.[30,31]

#### STRENGTHS AND LIMITATIONS

A key strength of this work is the randomised evaluation design among a sizeable study sample, conducted in a real clinical setting, and demonstrates the value of this approach in a minimal or low risk research protocol. Further work is needed to explore the acceptability and appropriateness of consent processes independent of research staff before it is implemented for more complex research with higher levels of participant risk. Potential limitations include the possibility of selection bias as participation was by self-selection after initial eligibility screening. We cannot be sure whether the findings will be generalisable beyond our study population of middle-to-older aged, mostly white adults with high levels of education attainment, and this will need to be tested in future. Additionally, it was not possible to use validated evaluation tools to assess the efficacy, usability and acceptability of the consent process due to time constraints of undertaking a research protocol within a pathology services setting. It was not feasible to notify participants about the research prior to presenting at pathology services and all participants had to take part on the same day their blood sample was collected. For this reason, the entire process had to be shorter than 20 minutes to minimise disruption to participants and pathology services. Efficacy, usability and acceptability were assessed of the consent process as a whole and not specifically of the information provided on the second page of the information sheet or the separate audio in the multimedia consent process. Consequently, we cannot draw definitive conclusions on these different aspects of the consent process. Additionally, the duration of video and audio content was not visible to participants before selection, which may have deterred some participants from engaging with this information and should be rectified in the future.

### CONCLUSION

A self-directed, multimedia consent process free from research staff was effective and acceptable to deliver participant information and receive informed consent in a middle-to-older age population. Our findings suggest that multimedia consent processes may be suitable for reducing the burden on research staff and improving the delivery of consent for research.



317	Figure legends:
318	Figure 1. Flow diagram of study protocol.
319	Figure 2. Participant flow diagram.
320	Acknowledgements: We would like to thank the Diagnostic Service Pty Ltd staff for their
321	expertise and assistance throughout all aspects of this study, with specific thanks to Carol
322	Batt for recruiting participants. Thanks to David J. Lipscombe, Michelle L Davis Patman and
323	Belinda Kendall-White for providing their time as community research advisors and to
324	graphic designer Rory Dick for his patience and creativity.
325	Contributors: NC, RM, MA, MS, MN, and JS made substantial contributions to the
326	conception of the study. NC and MA analysed the data for the study. NC and RF contributed
327	to the acquisition of data. NC, RM, MA, MS, RF, MN, JC, and JS interpreted the data,
328	drafted the article and revised it critically for intellectual content. All authors contributed to
329	drafts of the article and provided final approval of the version to be published and accept
330	accountability for article integrity.
331	Competing interests: none declared.
332	Funding: This work was supported by a grant from the Royal Hobart Hospital Research
333	Foundation [reference 16-006].
334	Data sharing statement: data are available upon reasonable request to the corresponding
335	author.
336	

## **References:**

- NHMRC. National Statement on Ethical Conduct in Human Research (Updated 2018).
- 2007. https://www.nhmrc.gov.au/about-us/publications/national-statement-ethical-
- conduct-human-research-2007-updated-2018#block-views-block-file-attachments-
- content-block-1 (accessed 12 Apr 2019).
- 2 Department of Health, Education, and Welfare, National Commission for the Protection
- of Human Subjects of Biomedical and Behavioral Research. The Belmont Report. Ethical
- principles and guidelines for the protection of human subjects of research. J Am Coll
- *Dent* 2014;**81**:4–13.
- 346 3 WMA The World Medical Association-WMA Declaration of Helsinki Ethical
- Principles for Medical Research Involving Human Subjects.
- https://www.wma.net/policies-post/wma-declaration-of-helsinki-ethical-principles-for-
- medical-research-involving-human-subjects/ (accessed 3 Apr 2019).
- Flory J, Emanuel E. Interventions to Improve Research Participants' Understanding in
- Informed Consent for Research: A Systematic Review. *JAMA* 2004;**292**:1593–601.
- doi:10.1001/jama.292.13.1593
- 5 Joffe S, Cook EF, Cleary PD, et al. Quality of informed consent in cancer clinical trials: a
- 354 cross-sectional survey. *The Lancet* 2001;**358**:1772–7. doi:10.1016/S0140-
- 355 6736(01)06805-2
- 356 6 Schaeffer MH, Krantz DS, Wichman A, et al. The impact of disease severity on the
- informed consent process in clinical research. *The American Journal of Medicine*
- 358 1996;**100**:261–8. doi:10.1016/S0002-9343(97)89483-1
- Boyd K. The impossibility of informed consent? *Journal of Medical Ethics* 2015;**41**:44–
- 360 7. doi:10.1136/medethics-2014-102308
- 8 O'Neill O. Some limits of informed consent. *Journal of Medical Ethics* 2003;**29**:4–7.
- 362 doi:10.1136/jme.29.1.4
- Fortun P, West J, Chalkley L, et al. Recall of informed consent information by healthy
- volunteers in clinical trials. *QJM* 2008;**101**:625–9. doi:10.1093/qjmed/hcn067
- 365 10 Crepeau AE, McKinney BI, Fox-Ryvicker M, et al. Prospective evaluation of patient
- comprehension of informed consent. J Bone Joint Surg Am 2011;93:e114(1-7).
- 367 doi:10.2106/JBJS.J.01325
- 368 11 Doshi P, Hur P, Jones M, et al. Informed Consent to Study Purpose in Randomized
- Clinical Trials of Antibiotics, 1991 Through 2011. *JAMA Intern Med* 2017;**177**:1452–9.
- 370 doi:10.1001/jamainternmed.2017.3820
- 371 12 US Department of Health and Human Services. Human subjects research protections:
- enhancing protections for research subjects and reducing burden, delay, and ambiguity
- for investigators. Federal Register 2011;**76**:44512–31.

- Emanuel EJ, Menikoff J. Reforming the Regulations Governing Research with Human Subjects. *New England Journal of Medicine* 2011;365:1145–50.
   doi:10.1056/NEJMsb1106942
- 377 14 Gainotti S, Turner C, Woods S, *et al.* Improving the informed consent process in 378 international collaborative rare disease research: effective consent for effective research. 379 *European Journal of Human Genetics* 2016;**24**:1248–54. doi:10.1038/ejhg.2016.2
- Annas GJ. Globalized Clinical Trials and Informed Consent. New England Journal of
   Medicine 2009;360:2050–3. doi:10.1056/NEJMp0901474
- Nishimura A, Carey J, Erwin PJ, *et al.* Improving understanding in the research informed consent process: a systematic review of 54 interventions tested in randomized control trials. *BMC Med Ethics* 2013;**14**:28. doi:10.1186/1472-6939-14-28
- Ryan RE, Prictor MJ, McLaughlin KJ, et al. Audio-visual presentation of information for informed consent for participation in clinical trials. Cochrane Database Syst Rev
   2008;:CD003717. doi:10.1002/14651858.CD003717.pub2
- 388 18 Cornoiu A, Beischer AD, Donnan L, *et al.* Multimedia patient education to assist the informed consent process for knee arthroscopy. *ANZ Journal of Surgery* 2011;**81**:176–80. doi:10.1111/j.1445-2197.2010.05487.x
- 19 Chapman N, Foneseca R, Murfett L, *et al.* Integration of absolute cardiovascular disease risk assessment into routine blood cholesterol testing at pathology services. *Fam Prac* 2020.
- 394 20 National Vascular Disease Prevention Alliance. *Guidelines for the management of absolute cardiovascular disease risk*. Melbourne? : National Heart Foundation of Australia 2009.
- Yeh DM, Chun S, Terrones L, *et al.* Using media to improve the informed consent process for youth undergoing pediatric endoscopy and their parents. *Endosc Int Open* 2017;**5**:E41–6. doi:10.1055/s-0042-121668
- Jeste DV, Palmer BW, Golshan S, *et al.* Multimedia consent for research in people with
   schizophrenia and normal subjects: a randomized controlled trial. *Schizophr Bull* 2009;35:719–29. doi:10.1093/schbul/sbm148
- Ownby RL, Acevedo A, Goodman K, *et al.* Health literacy predicts participant understanding of orally-presented informed consent information. *Clin Res Trials* 2015;**1**:15–9. doi:10.15761/CRT.1000105
- 406 24 Afolabi MO, Bojang K, D'Alessandro U, *et al.* Digitised audio questionnaire for 407 assessment of informed consent comprehension in a low-literacy African research 408 population: development and psychometric evaluation. *BMJ Open* 2014;**4**. 409 doi:10.1136/bmjopen-2014-004817
- Kraft SA, Porter KM, Shah SK, *et al.* Comprehension and Choice Under the Revised
   Common Rule: Improving Informed Consent by Offering Reasons Why Some Enroll in
   Research and Others Do Not. *The American Journal of Bioethics* 2017;17:53–5.
- 413 doi:10.1080/15265161.2017.1328535

- Sugarman J. Examining Provisions Related to Consent in the Revised Common Rule. *The American Journal of Bioethics* 2017;**17**:22–6. doi:10.1080/15265161.2017.1329483
- Shih Y-CT, Tai-Seale M. Physicians' perception of demand-induced supply in the information age: a latent class model analysis. *Health Econ* 2012;21:252–69.
   doi:10.1002/hec.1710
- Weinmeyer R. Lack of Standardized Informed Consent Practices and Medical
   Malpractice. *AMA Journal of Ethics* 2014;16:120–3.
   doi:10.1001/virtualmentor.2014.16.2.hlaw1-1402.
- Harris PA, Taylor R, Thielke R, *et al.* Research Electronic Data Capture (REDCap) A metadata-driven methodology and workflow process for providing translational research informatics support. *J Biomed Inform* 2009;**42**:377–81. doi:10.1016/j.jbi.2008.08.010
- 30 Blake K, Holbrook JT, Antal H, *et al.* Use of Mobile Devices and the Internet for
   Multimedia Informed Consent Delivery and Data Entry in a Pediatric Asthma Trial:
   Study Design and Rationale. *Contemp Clin Trials* 2015;42:105–18.
   doi:10.1016/j.cct.2015.03.012
- 429 31 Afolabi MO, McGrath N, D'Alessandro U, *et al.* A multimedia consent tool for research 430 participants in the Gambia: a randomized controlled trial. *Bull World Health Organ* 431 2015;**93**:320-328A. doi:10.2471/BLT.14.146159

Table 1. Sociodemographic and clinical characteristics of study participants randomised to multimedia intervention or control delivery of study information and informed consent.

Variable	Control	Intervention
	(n=152)	(n=146)
Age (years)	63±8	63±7
Male n (%)	76 (50)	70 (48)
Education n (%)		
High school	37 (24)	31 (21)
Certificate, diploma or apprenticeship	24 (16)	31 (21)
University degree or higher	81 (53)	76 (52)
Employment n (%)		
Employed	67 (44)	64 (44)
Retired	56 (37)	58 (37)
Other	18 (12)	13 (9)
Ethnicity n (%)		
White	131 (86)	131 (90)
Aboriginal or Torres Strait Islander	1 (1)	2(1)
Asian	3 (2)	4 (3)
Other	3 (1)	1 (1)

Data are expressed as percentage of the total the sample size or mean ± standard deviation. Response rates varied from 135 - 152 for Control and 133 - 146 for Intervention. No significant differences were observed between the groups.

Table 2. Efficacy and usability of informed consent process of study participants randomised to multimedia intervention or control delivery of study information and informed consent.

Variable Variable	Control	Intervention	P value
	(n = 152)	(n = 146)	
Efficacy, participants understood:			
Caking part was completely voluntary n (% yes)	150 (99)	(144) 99	0.167
The right to withdraw from the study at any time n (% correct)	143 (94)	136 (93)	0.893
Baseline participation requirements n (% correct)	149 (98)	144 (99)	0.090
ollow-up participation requirements n (% correct)	82 (54)	118 (87)	< 0.001
Oata sharing with referring practitioner n (% correct)	132 (87)	136 (93)	0.025
sability:	14		
ngaged with the study information n (%)	106 (70)	117 (80)	< 0.001
erceived understanding of the study could be improved n (%)	28 (18)	16 (11)	0.077
uccessfully completed the consent process n (%)	152 (100)	146 (100)	1
otal duration (minutes (range))	8.4(2.1 - 30.5)	9.6 (3.3 – 17.3)	0.006

Data are expressed as percentages of the group total. P values relate to the chi-squared test used for comparison of categorical variables and t test was used for continuous variables.

Table 3. Acceptability of participant information and informed consent process of study participants randomised to multimedia intervention or control delivery of participant information and informed consent.

Variable	Control	Intervention	P value
	(n = 152)	(n = 146)	
Sufficient information was available to provide consent: n (%)			0.558
Yes	145 (95)	138 (95)	
There was too much	1 (1)	1 (1)	
There was not enough	4 (3)	5 (3)	
Not sure	2(1)	0	
The study information was too long n (%)	37 (24)	21 (14)	0.020
Preferred method of information delivery: n (%)	h		0.020
Paper-based written document	88 (58)	60 (41)	
Multimedia	31 (18)	47 (28)	
A researcher must be present	7 (5)	4 (3)	
No preference	24 (16)	30 (21)	

Data are expressed as percentages of the group total. The chi-squared test was used for comparison of categorical variables.

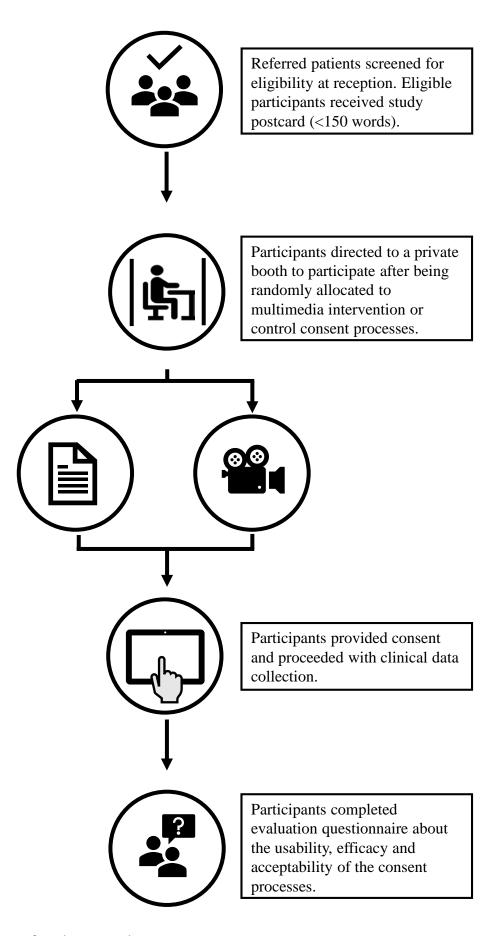


Figure 1. Flow diagram of study protocol. For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml

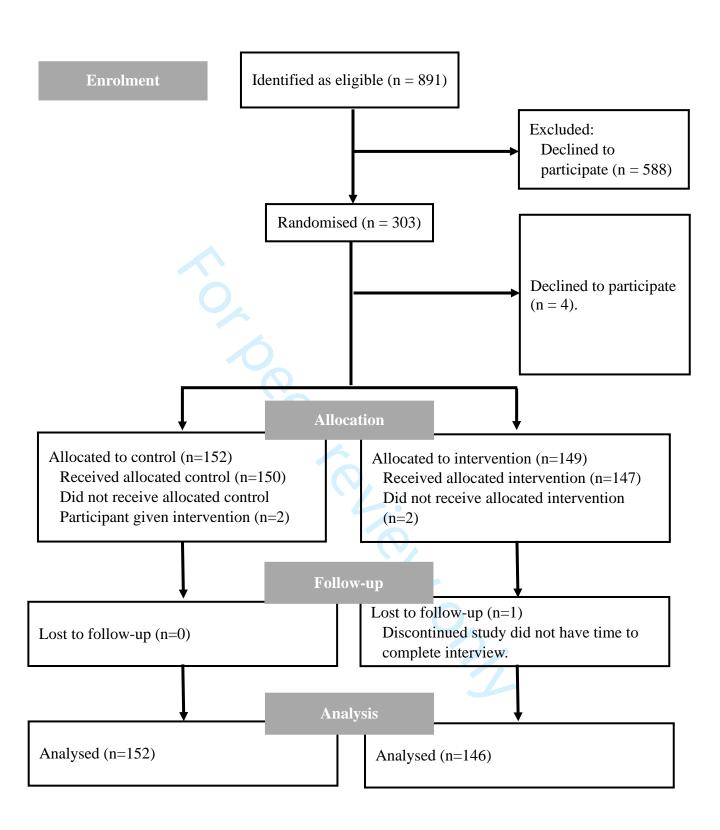


Figure 2. Participant flow diagram.



# **IDEAL** Study

The IDEAL study aims to lower the risk of people developing cardiovascular disease, including heart attack and stroke.

Patients attending Hobart Pathology, 20-22 Gregory St, Sandy Bay, for a cholesterol test may be eligible to participate.

If you wish to participate, medical health information and blood pressure will be recorded in a specially built booth.

A risk score on the chances of having a cardiovascular event in the next five years will be calculated and sent to your GP.

This risk score is based on best-practice medicine and is designed to help doctors

make better-informed decisions to manage the risk of cardiovascular disease.

You may also be invited to attend optional follow-up studies, which include a full cardiovascular assessment or attending a focus group on cardiovascular disease and primary healthcare.

#### For further information

Email: menzies.ideal@utas.edu.au Phone: (03) 6226 7700

For peer review only - http://www.penzins.cutas.sed/abu/tresearch/idealnstudy

STROBE Statement—Checklist of items that should be included in reports of *cross-sectional studies* 

	Item No	Recommendation
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the
		abstract
		Study title.
		(b) Provide in the abstract an informative and balanced summary of what was
		done and what was found
		Abstract submitted.
Introduction		
Background/rationale	2	Explain the scientific background and rationale for the investigation being
		reported
		Page 3, lines 64-90.
Objectives	3	State specific objectives, including any prespecified hypotheses
		Page 3, lines 90-92.
Methods		
Study design	4	Present key elements of study design early in the paper
		Page 5, lines 95-119.
Setting	5	Describe the setting, locations, and relevant dates, including periods of
•		recruitment, exposure, follow-up, and data collection
		Page 5, line 95-97.
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of
•		participants
		Page 5, line 100-105.
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and
		effect modifiers. Give diagnostic criteria, if applicable
		Page 7, lines 158-179.
Data sources/	8*	For each variable of interest, give sources of data and details of methods of
measurement		assessment (measurement). Describe comparability of assessment methods if
		there is more than one group
		Page 7, lines 158-179.
Bias	9	Describe any efforts to address potential sources of bias
		Page 5, line 120.
Study size	10	Explain how the study size was arrived at
		Page 5, line 100-105., sample size was derived based on a separate study.
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable,
<b>C</b>		describe which groupings were chosen and why
		Page 7, lines 158-179.
Statistical methods	12	(a) Describe all statistical methods, including those used to control for
		confounding
		Page 7, lines 180-186.
		(b) Describe any methods used to examine subgroups and interactions
		Page 7, lines 180-186.
		(c) Explain how missing data were addressed
		NA
		(d) If applicable, describe analytical methods taking account of sampling strate
		NA

		( <u>e</u> ) Describe any sensitivity analyses NA
Results		
Participants	13*	<ul> <li>(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed</li> <li>Page 8, lines 188-194 and Figure 1.</li> <li>(b) Give reasons for non-participation at each stage</li> </ul>
		Figure 1.  (c) Consider use of a flow diagram  Figures 1 and 2.
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders  Page 8, lines 188-194 and Table 1.
		(b) Indicate number of participants with missing data for each variable of interest NA
Outcome data	15*	Report numbers of outcome events or summary measures  Page 8, lines 195-213.
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included NA
		(b) Report category boundaries when continuous variables were categorized NA
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period  NA
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses  NA
Discussion		<u> </u>
Key results	18	Summarise key results with reference to study objectives  Page 9, lines 215-221.
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias Page 11, lines 279-293.
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence  Page 9-10, lines 222-251.
Generalisability	21	Discuss the generalisability (external validity) of the study results  Page 10, lines 253-277.
Other information		
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based <b>Provided under funding section, page 12, lines 310-311.</b>

TO COLONIA ON THE CANON TO THE

\*Give information separately for exposed and unexposed groups.