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Effects of family functions on antenatal depression symptoms among women in the third trimester of pregnancy: Self-efficacy as a partial mediator

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1 **Effects of family functions on antenatal depression symptoms among women in the**
2 **third trimester of pregnancy: Self-efficacy as a partially mediator**
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11

12 **Abstract**
13 **Objective:** To explore the prevalence of depressive symptoms among pregnant women during their third
14 trimester, and completely evaluate the relationship between family functions and the antenatal depressive
15 symptoms.
16 **Design:** Community-based, cross-sectional study was conducted among women during the third trimester of
17 pregnancy.
18 **Setting:** This study was conducted among pregnant women registered at community health service centers
19 of urban Hengyang City, Hunan Province, China from July to October, 2019.
20 **Participants:** A population-based sample of 813 people aged between 17 and 54 years was selected in 14
21 randomized communities by multi-staged cluster random sampling method.
22 **Main outcome measures:** The Family Adaptation Partnership Growth Affection and Resolve Index
23 （APGAR）, the General Self-efficacy Scale (GSES) and Patient Health Questionnaire（PHQ-9）were used to
24 access family functions, self-efficacy and depression symptoms, respectively.
25 **Results:** The prevalence of antenatal depression symptoms is 9.2%. Self-efficacy level partially mediated the
26 relationship between family functions and depressive symptoms(β=-0.05, 95%CI: -0.07to -0.03, $p < 0.05$),
27 and the mediating effect accounted for 17.09% of the total effect.
28 **Conclusions:** The mediating effect of self-efficacy on the relationship of family functions and antenatal
29 depression symptoms among women in the third trimester of pregnancy was found in this study.

30 **Keywords：** antenatal depression symptoms；self-efficacy；family functions；China

31 **Strengths and limitations of this study**
32 ● Antenatal depression symptoms would directly affect the health of the pregnant women, which also
33 indirectly led to adverse pregnancy outcomes and did harm to the health of the next generation. Most of
34 the studies focused on postnatal depression and there is less data available on antenatal depression
35 among women in the third trimester of pregnancy.
36 ● This study aimed to assess the prevalence of antenatal depressive symptoms among women in the third
37 trimester of pregnancy, and completely evaluate the effect of self-efficacy correction between family
38 functions and the antenatal depressive symptoms. This study provides evidence and support for
39 identifying high-risk pregnant women with emotional problems in order to take early intervention
40 measures.
41 ● The cross-sectional study limited the ability to make causal inferences. Also, the finding might be

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42   affected by inevitable reporting bias.

43   **Word count : 2903**

44

45   **Instruction**

46   Antenatal mental health has become a global public health issue[1]. Depression was the most common

47   mood disorders in the general population, the prevalence ranged from 5% to 10%, which of women was about

48   twice as high as that of men, and childbearing age was the peak of the disease[2]. Depression was one of the

49   most common complications during pregnancy[3]. The meta-analyses of perinatal depression reported the

50   prevalence was 6–13%[4]. The prevalence of antenatal depression was significantly higher than that at any

51   other time, which was about 5-17%[5]. Furthermore, some studies estimated that the prevalence of depression

52   during pregnancy vary from 7-15% in developed countries[6,7], and 19-25% in less developed countries[6,8].

53   Also, there is a study indicates that the third trimester of pregnancy was a high-incidence period of

54   depression[9]. Depression not only directly affected the physical and mental health of the pregnant women[10],

55   but also indirectly did harm to the health of the next generation[11]. Depression during pregnancy have been

56   associated with maternal self-harm, suicidal-ideation, placental abruption, preterm delivery, it also might

57   lead to low birth weight, low Apgar score, maladaptive emotional and behavioral development of offsprings[12]

58   [,13]. However, most of the studies on maternal depression mainly focused on postnatal depression and there

59   is less data available on antenatal depression.

60   Family is an important emotional support sources, family functions play an important role in human life

61   and social development. Family functions refer to the effectiveness of family members' emotional connection,

62   family rules, family communication and coping with external events in the family system[14]. Previous study

63   have showed that good family functions were potential predictors of psychological wellbeing of family

64   members[15]. Similarly, a randomized controlled trial in China intervened with the families of women who had

65   experienced miscarriage, finding that women with a higher level of subjective family-support had a lower

66   incidence of emotional problems[16]. On the contrary, family can also be a source of conflict and stress, we have

67   reason to doubt that family dysfunction is a potential risk factor for antenatal depression in pregnant women.

68   Self-efficacy was put forward in 1986 by the famous American psychologist Albert Bandura in his book

69   *Social Foundations of Thought and Action: A Social Cognitive Theory*. Bandura defined it as the conviction that

70   one can successfully execute behaviors required to produce a desired outcome in a specific situation[17].

71   Bandura et al pointed out that self-efficacy affected or determined people's thinking mode, emotional

72   response mode and then affected people's choice of behavior, this effects might be self-aiding or self-

73   hindering [18]. People's self-efficacy might also affect the depression symptoms they suffer from in threatening

74   situation. A study on the emotional problems of women after abortion showed participants with high self-

75   efficacy exhibited significantly lower levels of depression than that with low self-efficacy[19]. A study suggested

76   that individual well-being and the perception of success were directly dependent on the strength of our

77   respective families of origin[20]. Based on the above theory, this study assumes that self-efficacy is considered

78   a buffer in this predictive relationship between family functions and antenatal depression symptoms.

79   In summary, this study aims to explore the prevalence of antenatal depressive symptoms among

80   pregnant women during their third trimester, and completely evaluate the relationship between family

81   functions and the occurrence of antenatal depressive symptoms, as well as the effect of self-efficacy on this

82   potential relationship, in hopes of providing medical personnel with some useful information that can aid

83   early mental interventions on high-risk pregnant women.

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84

85 **Methods**

86 **Participants and procedure**

87   This cross-sectional study was conducted in urban communities of Hengyang City, Hunan Province, China ,

88 from July to October, 2019. A total of 813 eligible individuals from 14 communities were involved by multi-

89 staged cluster random sampling metord. The specific sampling steps are as follows: there were five districts

90 in urban Hengyang, each street was numbered, randomly selected a street from each district (Zhengxiang

91 Street, Qingshan Street, Baishazhou Street, Guangdonglu Street, Zhurong Street). Then, proportional sampling

92 was carried out at a proportion of 1/3, 14 communities were included (four communities in Zhengxiang Street ,

93 three communities in Qingshan Street , three communities Baishazhou Street , two communities in

94 Guangdonglu Street , two communities in Zhurong Street) . All pregnant women who were registered in

95 community health service centers and meeting the inclusion criteria were potential subjects in this

96 study(n=819). The inclusion criteria for the study were as follows: 1. women in the third trimester of

97 pregnancy; 2, pregnant women over 16 years old ;3. pregnant women who had local household registration,

98 or migrant people who lived in urban of Hengyang City for more than 6 months. The exclusion criterion: 1.

99 pregnant women with cognitive disorders, severe mental illnesses or other serious diseases cannot fill out

100 the questionnaire by themselves; 2. pregnant women who refused to participate in the study. Although we

101 strongly encouraged all potential recruiters to participate in our research, there were still six people were

102 excluded, because of refusals to respond and failure to contact. The response rate of questionnaires was 99.3%

103 (813/819).

104   Ethics approval was provided by the Ethics Committee of Xiangya School of Public Health, Central South

105 University (XYGW-2019-056). 813 participants were given written information about the purpose of this

106 study and signed a written informed consent. Participants were expected to filled out structured

107 questionnaires by themselves. In addition, the trained research assistants from Xiangya School of Public

108 Health, Central South University would always available to provide assistance and ensure independent

109 responding. Patients or the public were not involved in the design, or conduct, or reporting, or dissemination

110 plans of this research.

111

112 **Measures**

113   The questionnaire included four sections: demographic characteristics, the revised Chinese version of

114 Family Adaptation Partnership Growth Affection and Resolve Index（APGAR）, the General Self-efficacy

115 Scale (GSES) and Patient Health Questionnaire（PHQ-9）. Demographic characteristics included ethnicity

116 (the Han ethnicity, minority), marital status (stable, unstable), occupation (employed, unemployed),

117 education level (senior school and below, college / university degree and above), and so on. In this study,

118 being married was defined as being in a stable marriage. Unstable marriage including unmarried, divorce,

119 widowhood, and so on.

120

121 **Assessment tools for family functions**

3

122   Family Adaptation Partnership Growth Affection and Resolve Index （APGAR）was originally developed

123 by Smilkstein (1978) [21], which was a simple self-assessment tool for evaluating the subjective satisfaction of

124 family functions. Five items were used to evaluate five different aspects of family function: family adaptation,

125 family partnership, family growth, family affection and family resolve. Family APGAR index was answered on

126 a 3-point Likert scale from "often" (two points) to "rarely" (zero point). The total score was zero to ten points,

127 good family function has a high family APGAR index between seven and ten, family dysfunction has a

128 moderate family APGAR index between four and six, and severe family dysfunction has a low family APGAR

129 index less than three. Family APGAR index has been widely used and has good reliability and validity[22]. The

130 Cronbach's α is 0.876.

131

132 **Assessment tools for self-efficacy**

133   The General Self-efficacy Scale (GSES) was publicized in 1981 by Ralf Schwarzer[23] and translated into

134 Chinese by Zhang in 1995[24], which was used to evaluate the self-efficacy level of pregnant women. There are

135 ten items, which were measured using a 4-point Likert scale from "absolutely wrong" (one point) to

136 "absolutely right" (four points). According to the norm of using the GSES, the method of calculating the final

137 self-efficacy score was to divide the total score by ten. The final score ranged from one to four, based on

138 partition criterion for scale, self-efficacy level could be divided into three levels: high (3.1-4), medium (2.1-

139 3), and low (1–2)[25]. The Chinese version of GSES has good reliability and validity which has been validated by

140 et al[24].The Cronbach' α of this scale was 0.898.

141

142 **Assessment tools for antenatal depression symptoms**

143   Patient Health Questionnaire （PHQ-9）were used to assess the subjective depressive symptoms of

144 pregnant women during the last two weeks. PHQ-9 was revised according to the diagnostic criteria of DSM-

145 IV [26], which was widely known as simple self-management tools and used in clinical and investigation

146 research[27]. PHQ-9 consisted of nine items, each item described a symptom of depression: 1. loss of pleasure;

147 2. be down in spirits or hopelessness; 3. sleep disorder; 4. lack of energy; 5. diet disorder; 6. self-deprecation;

148 7. trouble concentrating; 8. changes in physical behavior; 9. thoughts of self-harm. Of this scale, subjects rated

149 the frequency of each symptom using a scale of descriptors: not at all, sometimes, more than half the days,

150 nearly every day (scored from zero to three). The total score is 27 points, usually ten points were used as the

151 positive critical value[28]. The Chinese version of the PHQ-9 has been validated by Yu et al[29].The Cronbach' α

152 of this scale was 0.773.

153

154 **Statistical analysis**

155   The method of double input with *EpiData 3.1* was adopted. *SPSS 19.0* software were used for statistical

156 analysis. Quantitative variables were described as the *mean± SD*. Categorical variables were expressed as *n*

157 (*%*). The *T*-test was applied for comparisons of each scale scores of the participants with different

158 demographic characteristics, the variable of $p < 0.05$ was used as the adjustment variable. Pearson's

159 correlation analysis was conducted to examine the relationships between family functions, self-efficacy level

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160 and antenatal depression symptoms. A structural equation model was established by *AMOS 24.0.* Based on
161 the assumption in this study, family APGAR index as predictors, self-efficacy as mediator, and antenatal
162 depression symptoms as outcome. The total effect (weight c) of family functions on antenatal depression
163 symptoms was composed of a direct effect (weight c') of the family functions on the antenatal depression
164 symptoms and an indirect effect (weight a*b) of family functions on antenatal depression symptoms through
165 a proposed mediator. Bootstrapping is a non-parametric resampling method that generates an empirical
166 approximation of the sampling distribution of a statistic from the available data and constructs confidence
167 intervals for the indirect effect[30]. Bootstrap method was used to examine the effect of self-efficacy in
168 explaining the relationship among family functions and antenatal depression symptomss[31].The confidence
169 interval was set at 95%. Statistical significance level was accepted as p < 0.05. All statistical tests were 2-
170 sided.

171
172 **Result**
173 **Prevalence of depressive symptoms and the distribution of scores in each scale**
174 According to the standard of division, taking ten points as the positive critical value of PHQ-9, 75 (9.2%)
175 participants reported antenatal depressive symptoms within two weeks. The mean family APGAR index was
176 7.26±2.57, more than half （60.4%）of the participants reported better family functions, 31.5%（n=256）
177 were moderate family dysfunction, 8.1%（*n*=66）participants were severe family dysfunction. The mean
178 self-efficacy score was 2.55±0.55, 134（16.5%）and 495（60.9%）participants reported high and middle
179 level of self-efficacy, respectively. At the same time, more than one fifth of participants reported that they had
180 a low level of self-efficacy (n=184, 22.6%). (**Table 1, Table 2**)

181
182 **Table 1.** Frequencies and percentages of antenatal depression symptoms, different level of family functions
183 and self-efficacy.

	Variables	N（%）
Family functions	Severe family dysfunction（0-3）	66 （8.1）
	Moderate family dysfunction（4-6）	256（31.5）
	Better family functions（7-10）	491（60.4）
Self-efficacy	Low level（1-2）	184（22.6）
	Middle level（2.1-3）	495（60.9）
	High level（3.1-4）	134（16.5）
Antenatal depression symptomss	Yes（≥10）	75（9.2）
	No	738（90.8）

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186 **Table 2.** Comparison of each scale scores of the participants with different demographic characteristics.

	Scale	Family APGAR	GSES	PHQ-9
Ethnicity	The Han ethnicity	7.26±2.56	2.55±0.55	4.86±3.47

5

	Minority	7.20±3.10	2.51±0.67	5.27±2.74
	t	0.094	0.238	-0.45
Marital status	Stable	7.37±2.52	2.56±0.55	4.81±3.36
	Unstable	6.35±2.79	2.40±0.54	5.39±4.21
	t	3.47*	2.60*	-1.47
Occupation	Employed	7.37±2.61	2.59±0.57	4.78±3.56
	Unemployed	6.96±2.44	2.43±0.50	5.12±3.17
	t	-2.02*	-3.70*	1.25
Education	Senior school and below	6.57±2.63	2.44±0.54	5.05±3.44
	College / university degree and above	7.76±2.40	2.62±0.55	4.74±3.47
	t	-6.75*	-4.61*	1.26

187 *$p < 0.05$

188

Each scale score of participants with different demographic characteristics

190   There were 813 participants included in this study, mean age was 28.98±4.52. The participants with stable

191   marital status(t=3.47, p < 0.05), occupation(t=-2.02, p < 0.05) and higher level of education (t=-6.75,p <

192   0.05)tend to had higher family APGAR index, the participants with stable marital status(t=2.60, p < 0.05),

193   occupation(t=-3.70, p < 0.05) and higher level of education (t=-4.61, p < 0.05)tend to had higher self-efficacy

194   level, the differences were statistically significant. Marital status, occupation, education level will be adjusted

195   in the structural equation model. There was no significant difference in the scores of other demographic

196   variables in the three scales (**Table 2**).

197

Pearson's correlation analysis of self-efficacy, family functions, depressive symptoms

199   There was a significant correlation between family functions, depressive symptoms and self-efficacy in

200   pregnant women. Pearson's correlation analysis results showed family functions had positive correlation

201   with self-efficacy (r = 0.31, p<0.05). Self-efficacy level negatively and significantly associated with antenatal

202   depression symptoms (r=-0.23, p<0.05). The negative correlation between family functions and antenatal

203   depression symptoms was statistically significant (r=-0.28, p<0.05) (**Table 3**).

204

205   **Table 3.** Means, standard deviations（*SD*）, and correlations among family functions, self-efficacy,

206   antenatal depression symptoms.   (*n*=813)

Variables	Mean	*SD*	1	2	3
1.Family functions	7.26	2.57	1.00	-	-
2.Self-efficacy	2.55	0.55	0.31*	1.00	-
3.Antenatal depression symptoms	4.87	3.46	-0.28*	-0.23*	1.00

207 *$p < 0.05$

208

209   **Mediating effect of self-efficacy level between family functions and depressive symptoms**

6

210   The mediation model showed that the correlation between family functions and self-efficacy level was

211 statistically significant(β=0.30, 95%CI: 0.24 to 0.37, p < 0.00), the correlation between self-efficacy level and

212 antenatal depression symptoms was statistically significant (β=-0.15, 95%CI: -0.22 to -0.08, p < 0.00), the

213 direct effect on the relationship between family functions and antenatal depression symptoms was

214 statistically significant(β=-0.24, 95%CI: -0.31 to -0.16, p < 0.00), the effect of self-efficacy on the relationship

215 between family functions and antenatal depression symptoms was statistically significant (β=-0.05, 95%CI: -

216 0.07 to -0.03, p < 0.00) (**Table 4**). Self-efficacy level partially mediated the relationship between family

217 functions and depressive symptoms, and the mediating effect accounted for 17.09% of the total effect. The

218 mediation model of the relationship between family functions and antenatal depression symptoms by self-

219 efficacy is shown in **Figure 1**.

220

221 **Table 4.** Mediation role of self-efficacy in the relationship between family functions and antenatal

222 depression symptoms (*n*=816, Bootstrap=5000)

Effect	Paths	β	*SE*	BCa 95%CI		*p*
				Lower	Upper	
Direct effects	Family functions→Self-efficacy	0.30	0.03	0.24	0.37	0.00
	Family functions→Antenatal depression symptoms	-0.24	0.04	-0.31	-0.16	0.00
	Self-efficacy→Antenatal depression symptoms	-0.15	0.04	-0.23	-0.08	0.00
Indirect effect	Family functions→Self-efficacy→Antenatal depression symptoms	-0.05	0.01	-0.07	-0.03	0.00

223 β, *SE* and 95%CI were the standardized regression effect value, standard error and 95% confidence interval of the direct and

224 indirect effect estimated by the percentile bootstrap method. BCa = Biased-Corrected and Accelerated 5000 bootstrapping;

225 adjusted variables: marital status, occupation, education level.

226

227 **Discussion**

228   In this study, the prevalence of antenatal depression symptoms is 9.2%, which was similar to the findings

229 of previous studies[32 ,33]. Besides, the findings showed that family functions were negatively associated with

230 antenatal depression symptoms among women in the third trimester of pregnancy, in line with the study by

231 Jin et al in China[34]. A study which carried out in Taiwan, China also reported that pregnant women with

232 antenatal depression symptoms tended to have lower family APGAR scores[35]. The Chinese people attach great

233 importance to the family clan relations, they regard the family and its members as one of the most important

234 sources of social support and spiritual sustenance. Pregnancy is viewed as a stressor, with the increasing of

235 sensitivity and vulnerability of women in pregnancy, they are more likely to be influenced by the negative

236 external environment and life events, which may lead to depression and other harmful emotional problems.

237 Families with well-functioning can help pregnant women coping with stress and crisis, and provide spiritual

238 and material help at critical times. However, family dysfunction reflect that pregnant women can't acquire

239 enough attention, love and assistance from families, even family may be the source of mental pressure, so that

240 depressive symptoms starts or aggravates.

7

241   Furthermore, a significant indirect effect of family functions on antenatal depression symptoms through

242   self-efficacy was found in this study. Self-efficacy varies from person to person, and often changes within the

243   individual over time and in response to specific experiences and environment. First of all, the quality of family

244   functions was closely related to the level of self-efficacy, which is similar to the finding which focus on the

245   relationship between family supports and maternal self-efficacy by Puspasari et al[36]. The possible reason is

246   that a harmonious family atmosphere and positive family functions would contribute to the increasing of the

247   effective handling of stressors, the perceptions of individual self-worth and success[15]. In addition, the level of

248   self-efficacy could predicted the mental activity and attitude in the face of difficulties and stressors, which

249   would lead to different emotional response outcome[37]. People with high self-efficacy are able to control self-

250   abandoned thoughts, tend to handle situations rationally, are willing to accept the challenges of emergency.

251   On the contrary, people with low self-efficacy are prone to faltering, deal with problems emotionally, are

252   helpless in the face of stress, and easily are distracted by fear, panic, and shyness, which are more likely to

253   have depressive symptoms. This may be a pathway for self-efficacy to play an intermediary role in the

254   relationship between stressors and stress outcomes, which in line with the model of Pearlin et al [38].

255   In addition, the mediation effect value is 17.09%, indicates partial mediation. The finding reflected that

256   there were other mediators in the relationship between family functions and antenatal depression symptoms.

257   Some other potential mediators have been proposed in previous studies among pregnant women. A study by

258   Waqas et al in Pakistan showed that social support was a mediator of the relationship of total number of

259   children, gender of children and antenatal depression[39]. Relational resilience as a potential mediator between

260   adverse childhood experiences and prenatal depression was found by Howell et al[40]. However, the mediating

261   effect of these variables has not been demonstrated in the relationship between family functions and

262   antenatal depressive symptoms, which is worth exploring in future studies.

263   The samples of this study were selected from pregnant women enrolled in community health service

264   centers, with low no response rate. Compared with the study with hospital samples, the samples were more

265   representative of the real situation of ordinary pregnant women. Women in the third trimester of pregnancy

266   were selected to evaluate their antenatal depression symptoms for nearly two weeks, with less recall bias.

267   There are some limitations in this study. First, it was a cross-sectional study, for which a causal relationship

268   could not be inferred. Second, it cannot be denied that the results of this study may be influenced by some

269   confounding factors that have not been considered. Third, because of the self-filled questionnaire, there was

270   an inevitable reporting bias in this study. Future studies should investigate the causal relationships among

271   family functions, self-efficacy and antenatal depression symptoms with longitudinal designs.

272

273   **Conclusion**

274   In summary, the prevalence of antenatal depression symptoms is 9.2% among women in the third

275   trimester of pregnancy. Last but not least, this study also contributes to the literature by exploring self-

276   efficacy as a mediator to explain the relationship between family functions and antenatal depression

277   symptoms. Based on this finding, we can take measures to aid early mental interventions on high-risk

278   pregnant women, and then reduce the pain and financial burden of the depression on the pregnant women

279   and the family.

280

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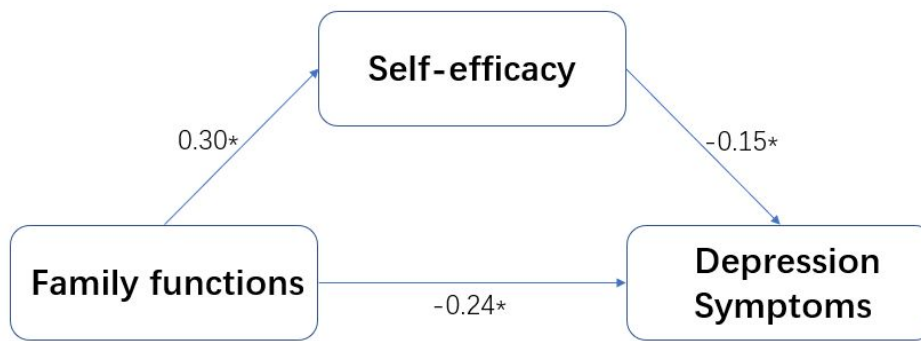
Figure 1. Structural equation model testing self-efficacy as a mediator in the relationship between family functions and depressive symptoms. (a) The model has been adjusted for marital status, occupation, education level. The above values have been standardized. (b) *p<0.05

知情同意书

由于优生优育的施行，孕产妇的身心健康问题日益受人关注。妊娠期间常伴有焦虑和抑郁等情绪异常常易引起多种围产期疾病，不利于母亲、胎儿及新生儿的健康。因此，我们拟建立健康档案以了解妊娠期妇女的心理现状，分析并相关影响因素，有针对性地缓解孕妇的负性情绪，做好孕妇的心理咨询和保健工作服务，减轻妊娠期妇女的负性情绪，提高生活质量。

您为我们提供的相关信息将为孕妇在妊娠期的遗传下保持身心健康，顺利度过妊娠期，且为医护人员、精神卫生工作者提供科学依据。参与并完成该调查会耽误您宝贵的时间。

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参与者签名：

签名日期： 年 月 日

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STROBE 2007 (v4) Statement—Checklist of items that should be included in reports of *cross-sectional studies*

Section/Topic	Item #	Recommendation	Reported on page #
Title and abstract	1	(*a*) Indicate the study's design with a commonly used term in the title or the abstract	P1
		(*b*) Provide in the abstract an informative and balanced summary of what was done and what was found	P1
Introduction			**P2**
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	P2
Objectives	3	State specific objectives, including any prespecified hypotheses	P2
Methods			**P2**
Study design	4	Present key elements of study design early in the paper	P3
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	P3
Participants	6	(*a*) Give the eligibility criteria, and the sources and methods of selection of participants	P3
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	P3
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	P3-4
Bias	9	Describe any efforts to address potential sources of bias	P3
Study size	10	Explain how the study size was arrived at	P3
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	P3
Statistical methods	12	(*a*) Describe all statistical methods, including those used to control for confounding	P4
		(*b*) Describe any methods used to examine subgroups and interactions	P3
		(*c*) Explain how missing data were addressed	P3
		(*d*) If applicable, describe analytical methods taking account of sampling strategy	P3
		(*e*) Describe any sensitivity analyses	No
Results			P4

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	P3-4
		(b) Give reasons for non-participation at each stage	P3
		(c) Consider use of a flow diagram	No
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	P4-5
		(b) Indicate number of participants with missing data for each variable of interest	No
Outcome data	15*	Report numbers of outcome events or summary measures	P5
Main results	16	(*a*) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	P4-6
		(*b*) Report category boundaries when continuous variables were categorized	P5
		(*c*) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	P5-6
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	P5-6
Discussion			P6
Key results	18	Summarise key results with reference to study objectives	P6-7
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	P7
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	P7
Generalisability	21	Discuss the generalisability (external validity) of the study results	P7
Other information			P8
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	P8

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine.org/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at www.strobe-statement.org.

The association between family functions and antenatal depression symptoms : a cross-sectional study among pregnant women in urban communities of Hengyang City, China

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1 **The association between family functions and antenatal depression symptoms：a**

2 **cross-sectional study among pregnant women in urban communities of Hengyang**

3 **City, China**

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13

14 **Abstract**

15 **Objective:** To explore the prevalence of depressive symptoms among women in late pregnancy, and assess

16 mediating effect of self-efficacy in the association between family functions and the antenatal depressive

17 symptoms.

18 **Design:** Community-based, cross-sectional study was conducted among women during the third trimester of

19 pregnancy.

20 **Setting:** This study was conducted among pregnant women registered at community health service centers

21 of urban Hengyang City, China from July to October, 2019.

22 **Participants:** 813 people were selected from 14 communities by multi-staged cluster random sampling

23 method.

24 **Main outcome measures:** The Family Adaptation Partnership Growth Affection and Resolve Index

25 （APGAR）, the General Self-efficacy Scale (GSES) and Patient Health Questionnaire（PHQ-9）were used to

26 access family functions, self-efficacy and antenatal depression symptoms, respectively.

27 **Results:** In this study, 9.2% pregnant women reported the symptoms of antenatal depression (95CI%: 7.2%

28 to 11.2%). After adjustment, the results showed that severe family dysfunction (AOR: 3.67; 95% CI: 1.88 to

29 7.14) and low level of self-efficacy (AOR: 3.16; 95% CI: 1.37 to 7.27) were associated with antenatal

30 depressive symptoms($p < 0.05$). Furthermore, self-efficacy level partially mediated the association between

31 family functions and antenatal depressive symptoms(β=-0.05, 95%CI: -0.07 to -0.03, $p < 0.05$), and the

32 mediating effect accounted for 17.09% of the total effect.

33 **Conclusions:** This study reported 9.2% positive rates of antenatal depression symptoms among women in

34 the third trimester of pregnancy in Hengyang City, China. The mediating effect of self-efficacy on the

35 association between family functions and antenatal depression symptoms among women in the third

36 trimester of pregnancy was found in this study, which provide a theoretical basis to maternal and child health

37 personnel to identify high-risk pregnant women and take targeted intervention for them.

38 **Keywords：** antenatal depression symptoms；self-efficacy；family functions；China

39 **Strengths and limitations of this study**

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40 • This study aimed to assess the prevalence of antenatal depressive symptoms among women in the third
41   trimester of pregnancy, and completely evaluate the effect of self-efficacy correction between family
42   functions and the antenatal depressive symptoms. This study provides evidence and support for
43   identifying high-risk pregnant women with emotional problems in order to take early intervention
44   measures.
45 • In this study, the selection of sample is representative, pregnant women were enrolled from community
46   health service centers, with low no-response rate and recall bias.
47 • The cross-sectional study limited the ability to make causal inferences. Future studies should investigate
48   the causal relationships among family functions, self-efficacy and antenatal depression symptoms with
49   longitudinal designs.

50 **Word count: 3296**

51 **Instruction**

52   Depression was the most common mood disorders in the general population, the prevalence ranged
53 from 5% to 10%, which of women was about twice as high as that of men, and childbearing age was the peak
54 of the disease[1]. Furthermore, depression was one of the most common complications during pregnancy[2]. The
55 meta-analyses of perinatal depression reported the prevalence was 6% to 13%[3]. The prevalence of antenatal
56 depression was significantly higher than any other time[4], especially in the third trimester of pregnancy [5]. Also
57 note that, the prevalence of depression in less developed countries is higher than that in developed countries,
58 vary from 19% to 25% during pregnancy [6-8]. Depression not only directly affected the physical and mental
59 health of the pregnant women[9], but also indirectly did harm to the health of the next generation[10]. During
60 pregnancy, depression symptoms have been associated with self-harm, suicidal-ideation, placental abruption,
61 preterm delivery, they also might lead to low birth weight, low Apgar score, maladaptive emotional and
62 behavioral development of offsprings[11 ,12]. From what has been discussed above, antenatal depression has
63 become a global public health issue[13], particular attention needs to be paid to antenatal depression among
64 women in late pregnancy in developing countries. However, many studies on maternal depression mainly
65 focused on postnatal depression and there is less data available on antenatal depression in China.

66   Family is an important emotional support sources, family functions play an important role in human life
67 and social development. For pregnant women, family functions refer to the effectiveness of family members'
68 emotional connection, family rules, family communication and coping with external events in the family
69 system during pregnancy[14], including family adaptation, family partnership, family growth, family affection,
70 family resolve. Generally speaking, in well-functioning families, pregnant women can get support and
71 guidance from other members when they encounter difficulties and crises, and obtain material and emotional
72 satisfaction. On the contrary, family can also be a source of conflict and stress, a study proposed family
73 members' expectations on the newborn were usually manifested through excessive attention and care to
74 pregnant women, which might increase negative effects and stress to the pregnant women[15]. It is not clear
75 whether there is a factor that influences the association between family functions and antenatal depression
76 symptoms, leading two these two different effects. Self-efficacy is one of the possible factors of this
77 contradictory result. A study suggested self-efficacy was negatively correlated with depression, anxiety and
78 other adverse emotional problems[16]. For pregnant women, self-efficacy can be expressed as the conviction
79 that women can successfully execute behaviors required to produce a desired outcome during pregnancy[17].
80 Self-efficacy may affect or determine pregnant women's thinking mode, emotional response mode and the
81 choice of behavior, which might be self-aiding or self-hindering [18]. The mediating effect of self-efficacy in the
82 association between family functions and depressive symptoms has not been proven during pregnancy. Based

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83   on the above theory, .this study aims to explore the prevalence of antenatal depressive symptoms among

84   pregnant women during their third trimester, and completely assess the association between family functions,

85   self-efficacy and antenatal depressive symptoms, in hopes of providing medical personnel with some useful

86   information that can aid early mental interventions on high-risk pregnant women.

87

88   **Methods**

89   **Participants and procedure**

90   This cross-sectional study was conducted in urban communities of Hengyang City, Hunan Province, China ,

91   from July to October, 2019. A total of 813 eligible individuals from 14 communities were involved by multi-

92   staged cluster random sampling method. The specific sampling steps are as follows: there were five districts

93   in urban Hengyang, each street was numbered, randomly selected a street from each district. Then,

94   proportional sampling was carried out at a proportion of 1/3, 14 communities were included. The sample

95   size calculation formula for cross-sectional studies was used to calculate the minimum theoretical sample size

96   for this study. According to the prevalence of antenatal depression symptoms, which have been reported in a

97   previous study[19], d=0.1, α=0.05. Finally, 812 people were required in order for the participants to represent

98   the population. All pregnant women who were registered in community health service centers and meeting

99   the inclusion criteria were potential subjects in this study(n=819). The inclusion criteria for the study were

100   as follows: 1. women in the third trimester of pregnancy; 2, pregnant women over 16 years old ;3. pregnant

101   women who had local household registration, or migrant people who lived in urban of Hengyang City for more

102   than 6 months. The exclusion criterion: 1. pregnant women with cognitive disorders, severe mental illnesses

103   or other serious diseases cannot fill out the questionnaire by themselves; 2. pregnant women who refused to

104   participate in the study. Through the information provided by the community maternal management system,

105   we contacted each potential recruiter and made an appointment for the interview time. Accompanied by the

106   community maternal and child health personnel, trained investigators handed out questionnaires by calling

107   at the house and collected them on the spot. 813 participants were given written information about the

108   purpose of this study and signed a written informed consent. Participants were expected to filled out

109   structured questionnaires by themselves. In addition, the trained research assistants from Xiangya School of

110   Public Health, Central South University would always available to provide assistance and ensure independent

111   responding. Although we strongly encouraged all potential recruiters to participate in our research, there

112   were still six people were excluded, because of refusals to respond and failure to contact. The response rate

113   of questionnaires was 99.3% (813/819). Ethics approval was provided by the Ethics Committee of Xiangya

114   School of Public Health, Central South University (XYGW-2019-056).

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116   **Patient and public involvement**

117   We did not involve patients or the public in our work. Each participant received a report describing the

118   results of our study.

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120   **Measures**

121   The questionnaire included four sections: demographic characteristics, the revised Chinese version of

122   Family Adaptation Partnership Growth Affection and Resolve Index （APGAR）, the General Self-efficacy

123   Scale (GSES) and Patient Health Questionnaire （PHQ-9）. Demographic characteristics included marital

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status (stable, unstable), occupation (employed, unemployed), education level (senior school and below, college / university degree and above). In this study, being married was defined as being in a stable marriage. Unstable marriage including unmarried, divorce, widowhood.

Assessment tools for family functions

Family Adaptation Partnership Growth Affection and Resolve Index（APGAR）was originally developed by Smilkstein (1978) [20], which was a simple self-assessment tool for evaluating the subjective satisfaction of family functions. Five items were used to evaluate five different aspects of family function: family adaptation, family partnership, family growth, family affection and family resolve. Family APGAR index was answered on a 3-point Likert scale from "often" (two points) to "rarely" (zero point). The total score was zero to ten points, good family function has a high family APGAR index between seven and ten, family dysfunction has a moderate family APGAR index between four and six, and severe family dysfunction has a low family APGAR index less than three. Family APGAR index has been widely used and has good reliability and validity [21]. In this study, the Cronbach's α is 0.876.

Assessment tools for self-efficacy

The General Self-efficacy Scale (GSES) was publicized in 1981 by Ralf Schwarzer and translated into Chinese by Zhang in 1995 [22,23], which was used to evaluate the self-efficacy level of pregnant women. There are ten items, which were measured using a 4-point Likert scale from "absolutely wrong" (one point) to "absolutely right" (four points). According to the norm of using the GSES, the method of calculating the final self-efficacy score was to divide the total score by ten. The final score ranged from one to four, based on partition criterion for scale, self-efficacy level could be divided into three levels: high (3.1-4), medium (2.1-3), and low (1–2) [24]. The Chinese version of GSES has good reliability and validity which has been validated by et al [23]. The Cronbach' α of this scale was 0.898 in this study.

Assessment tools for antenatal depression symptoms

Patient Health Questionnaire（PHQ-9）were used to assess the subjective depressive symptoms of pregnant women during the last two weeks in this study. PHQ-9 was revised according to the diagnostic criteria of DSM-IV [25], which was widely known as simple self-management tools and used in clinical and investigation research [26]. PHQ-9 consisted of nine items, each item described a symptom of depression: 1. loss of pleasure; 2. be down in spirits or hopelessness; 3. sleep disorder; 4. lack of energy; 5. diet disorder; 6. self-deprecation; 7. trouble concentrating; 8. changes in physical behavior; 9. thoughts of self-harm. Of this scale, subjects rated the frequency of each symptom using a scale of descriptors: not at all, sometimes, more than half the days, nearly every day (scored from zero to three). The total score is 27 points, usually ten points were used as the positive critical value [27]. The Chinese version of the PHQ-9 has been validated by Yu et al [28]. The Cronbach' α of this scale was 0.773 in the study.

Statistical analysis

The method of double input with *EpiData 3.1* was adopted. *SPSS 19.0* software were used for statistical

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163 analysis. Categorical variables are expressed as *n (%)*, the χ2 test was applied for comparing the different
164 characteristics between participants in two groups (depressive symptoms vs no depressive symptoms). The
165 crude odds ratio (COR), adjusted odds ratio (AOR) and 95% confidence interval (95% CI) were reported by
166 multivariate binary logistic regression models. The adjusted variables including marital status, occupation
167 and education. A structural equation model was established by *AMOS 24.0*. Based on the assumption in this
168 study, family APGAR index as predictors, self-efficacy as mediator, and antenatal depression symptoms as
169 outcome. The total effect (weight c) of family functions on antenatal depression symptoms was composed of
170 a direct effect (weight c') of the family functions on the antenatal depression symptoms and an indirect effect
171 (weight a*b) of family functions on antenatal depression symptoms through a proposed mediator.
172 Bootstrapping is a non-parametric resampling method that generates an empirical approximation of the
173 sampling distribution of a statistic from the available data and constructs confidence intervals for the indirect
174 effect[29]. Bootstrap method was used to examine the effect of self-efficacy in explaining the relationship among
175 family functions and antenatal depression symptomss[30].The confidence interval was set at 95%. Statistical

176 significance level was accepted as *p* < 0.05. All statistical tests were 2-sided.

177

178 **Result**
179 **Characteristics of participants and the prevalence of antenatal depressive symptoms**
180 In the study, the majority of participants were in a stable marriage (89.5%) and were employed (73.7%).
181 More than half of them have college/university degree and above (58.1%). 60.4% of them have better family
182 functions, 31.5% and 8.1% have moderate and severe family dysfunction, respectively. 60.9% of them have
183 medium levels of self-efficacy, 22.6% and 16.5% have low and high level, respectively (**Table1**). According to
184 the standard of division, taking ten points as the positive critical value of PHQ-9, 75 (9.2%) participants
185 reported antenatal depressive symptoms within two weeks (95CI%: 7.2% to 11.2%).

186

187 **The results of Chi-square tests and multivariate binary logistic regression analysis**
188 According to the results of Chi-square tests shown in **Table 1**, the differences in family functions and self-

189 efficacy between the two groups were statistically significant (*p* < 0.05). Besides, the results of multivariate

190 binary logistic regression showed that severe family dysfunction (AOR: 3.67; 95% CI: 1.88 to 7.14) and low
191 level of self-efficacy (AOR: 3.16; 95% CI: 1.37 to 7.27) were the risk factors for antenatal depressive symptoms,
192 after adjusted for occupation, marital status and education. (**Table2**)

193

194 **Table 1.** The characteristics of the two groups of participants were compared (depressive symptoms vs no
195 depressive symptoms).

Variables	Depressive symptoms (n=75)	No depressive symptoms (n=738)	Total (n=813)	χ² value	*p* value
Marital status				0.21	0.65
Stable	66(88.0)	662(89.7)	728(89.5)		
Unstable	9 (12.0)	76 (10.3)	85(10.5)		
Occupation				0.04	0.84
Employed	56(74.7)	543(73.6)	599(73.7)		

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Unemployed	19(25.3)	195(26.4)	214(26.3)		
Education				0.39	0.53
Senior school and below	34(45.3)	307(41.6)	341(41.9)		
College / university degree and above	41(54.7)	431(58.4)	472(58.1)		
Family functions				23.77	0.00
Severe family dysfunction（0-3）	17(22.7)	49 (6.6)	66 (8.1)		
Moderate family dysfunction（4-6）	22(29.3)	234(31.7)	256(31.5)		
Better family functions（7-10）	36(48.0)	455(61.7)	491(60.4)		
Self-efficacy				21.65	0.00
Low level（1-2）	33(44.0)	151(20.5)	184(22.6)		
Middle level（2.1-3）	34(45.3)	461(62.5)	495(60.9)		
High level（3.1-4）	8 (10.7)	126(17.1)	134(16.5)		

196   Data are presented as n (%).

197

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199

200   **Table 2**

201   Multivariate binary logistic regression analysis of family functions and self-efficacy associated with antenatal depression symptoms.

Variables	COR* (95% CI)	AOR** (95% CI)
Family functions		
Severe family dysfunction	**3.67(1.88,7.14)**	**3.67(1.88,7.14)**
Moderate family dysfunction	0.99(0.56,1.74)	0.99(0.56,1.74)
Better family functions	**1.00**	**1.00**
Self-efficacy		
Low level	**3.16(1.37,7.27)**	**3.16(1.37,7.27)**
Middle level	1.14(0.51,2.55)	1.14(0.51,2.55)
High level	**1.00**	**1.00**

202   Abbreviations: COR, crude odds ratio; AOR, adjusted odds ratio.

203   * Multivariate binary logistic regression model.

204   **Some general characteristics were adjusted (marital status, occupation and education).

205   Characters in bold indicate statistical significance, $p < 0.05$.

206

207   **Mediating effect of self-efficacy level between family functions and depressive symptoms**

208   There was a significant correlation between family functions, antenatal depressive symptoms and self-

209   efficacy in pregnant women. In indirect effects, family functions showed a positive correlation with self-

210   efficacy(β=0.30, 95%CI: 0.24 to 0.37, $p < 0.05$), and self-efficacy showed a negative correlation with antenatal

211   depression symptoms(β=-0.15, 95%CI: -0.22 to -0.08, $p < 0.05$). In direct effect, family functions showed a

212   negative correlation with antenatal depression symptoms（β=-0.24, 95%CI: -0.31 to -0.16, $p < 0.05$)(**Table 3**).

213   Self-efficacy level partially mediated the association between family functions and depressive symptoms,

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214 and the mediating effect accounted for 17.09% of the total effect. The mediation model of the association
215 between family functions and antenatal depression symptoms by self-efficacy is shown in **Figure 1**.
216
217 **Table 3.** Mediation role of self-efficacy in the association between family functions and antenatal depression
218 symptoms (*n*=813, Bootstrap=5000)

| Paths | β | *SE* | BCa 95%CI | | *p* |
			Lower	Upper	
Direct effects					
Family functions→Self-efficacy	0.30	0.03	0.24	0.37	0.00
Family functions→Antenatal depression symptoms	-0.24	0.04	-0.31	-0.16	0.00
Self-efficacy→Antenatal depression symptoms	-0.15	0.04	-0.23	-0.08	0.00
Indirect effect					
Family functions→Self-efficacy→Antenatal depression symptoms	-0.05	0.01	-0.07	-0.03	0.00

219 β, *SE* and 95%CI were the standardized regression effect value, standard error and 95% confidence interval of the direct and
220 indirect effect estimated by the percentile bootstrap method. BCa = Biased-Corrected and Accelerated 5000 bootstrapping;
221 adjusted variables: marital status, occupation, education level.
222

223 **Discussion**

224 In this study, the prevalence of antenatal depression symptoms is 9.2%(95CI%: 7.2% to 11.2%), which
225 was similar to the findings of previous studies[31 ,32]. Besides, the findings showed that the risk of depression
226 symptoms in participants who had family dysfunction was 3.67 times as much as that in the reference
227 group(better functions group), family functions were directly and negatively associated with antenatal
228 depression symptoms among women in the third trimester of pregnancy, the finding was in line with the
229 study by Jin et al. in China[33]. A study which carried out in Taiwan, China also reported that pregnant women
230 with antenatal depression symptoms tended to have lower family APGAR scores[34]. Probably because Chinese
231 people attach great importance to the family clan relations, they regard the family and its members as one of
232 the most important sources of social support and spiritual sustenance. Pregnancy is viewed as a stressor, with
233 the increasing of sensitivity and vulnerability of women in pregnancy, they are more likely to be influenced
234 by the negative external environment and life events, which may lead to depression and other harmful
235 emotional problems. In a well-functioning family, family members can detect the physical and psychological
236 changes of women during pregnancy, and provide timely and effective spiritual and material help when
237 pregnant women cope with stressor and crisis, so as to enhance their sense of family belonging, identity[35].
238 However, family dysfunction reflects that pregnant women can't acquire enough attention, love, identity and
239 assistance from families, even family may be the source of mental pressure, so that depressive symptoms
240 starts or aggravates. In this study, no significant association was found between high level of family functions
241 and depressive symptoms among women in their third trimester of pregnancy.
242 Furthermore, this study found that self-efficacy had a significant mediating effect on the association
243 between family functions and antenatal depression symptoms, which was also recognized by Faure et al[36].
244 Self-efficacy varies from person to person, and often changes within the individual over time and in response
245 to specific experiences and environment. The level of self-efficacy could predict the mental activity and
246 attitude in the face of difficulties and stressors, which would lead to different emotional response outcome[37].
247 People with high self-efficacy are able to control self-abandoned thoughts, tend to handle situations rationally,
248 are willing to accept the challenges of emergency. In other words, when a pregnant woman receives

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insufficient support, everyday life care, spiritual comfort and sympathy from her families, good self-efficacy can alleviate her negative emotions and depressive symptoms. On the contrary, people with low self-efficacy are prone to faltering, deal with problems emotionally, are helpless in the face of stress, and easily are distracted by fear, panic, and shyness, which are more likely to have depressive symptoms. Even in a family with good family functions, pregnant women with low self-efficacy could not make full use of family support and turn it into the motivation to improve their negative emotions[38]. This may be a pathway for self-efficacy to play an intermediary role in the association between stressors and stress outcomes, which also in line with the model of Pearlin et al [39]. In addition, the mediation effect value is 17.09%, indicated partial mediation. The finding reflected that there were other mediators in the association between family functions and antenatal depression symptoms. Some other potential mediators have been proposed in previous studies among pregnant women. A study by Waqas et al. in Pakistan showed that social support was mediated the association between total number of children, gender of children and antenatal depression[40]. As a potential mediator, relational resilience affected the association between adverse childhood experiences and prenatal depressionl[41]. However, the mediating effect of these variables has not been demonstrated in the relationship between family functions and antenatal depressive symptoms, which is worth exploring in future study.

The samples of this study were selected from pregnant women who were enrolled from community health service centers, with low no-response rate. Compared with the study with hospital samples, the samples were more representative of the truth of ordinary pregnant women. Women in the third trimester of pregnancy were selected to evaluate their antenatal depression symptoms for nearly two weeks, with less recall bias. There are some limitations in this study. First, this study was a cross-sectional study, although this study proved the association between family functions, self-efficacy and antenatal depression symptoms based on the established structural equation model, the validity of the theory still needs to be further followed up or tested through intervention experiments. Second, in this study self-filled questionnaires were used, there was an inevitable reporting bias in this study, which might lead to the underestimation of positive reporting rate of depressive symptoms.

Conclusion

In summary, in this study the prevalence of antenatal depression symptoms is 9.2% among women in the third trimester of pregnancy. In this study, the findings suggested that pregnant women's self-efficacy mediated the association between family functions and antenatal depression symptoms. On the one hand, family functions can negatively predict antenatal depression symptoms; on the other hand, self-efficacy can indirectly and negatively predict antenatal depression symptoms. Based on this finding, maternal and child health personnel can provide some early mental interventions to high-risk pregnant women, including family counseling courses for pregnant women's families to improving family functions and peer education courses for pregnant women to increase their sense of self-identity and self-worth according to the actual needs. Reducing the pain and economic burdens of depression both by pregnant women themselves and their families.

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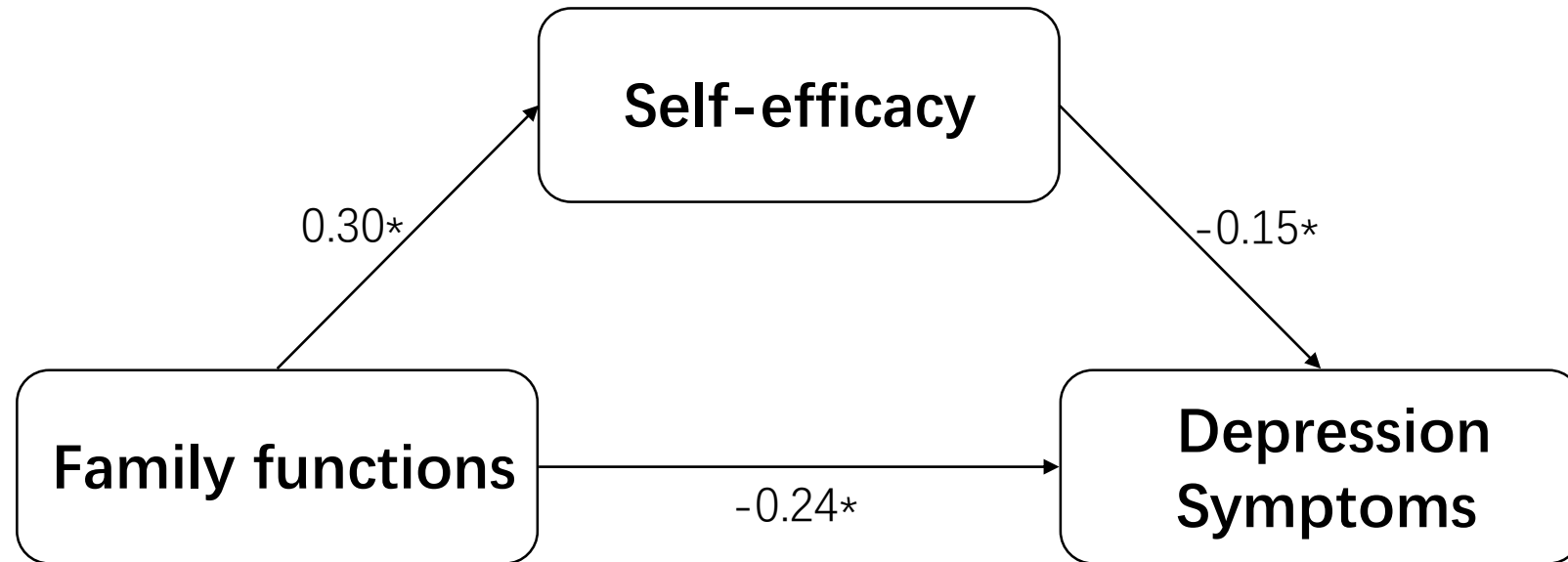
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414   **Figure 1.** Structural equation model testing self-efficacy as a mediator in the association between family functions and depressive

415   symptoms. (a) The model has been adjusted for marital status, occupation, education level. The above values have been

416   standardized. (b) *$p < 0.05$

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STROBE 2007 (v4) Statement—Checklist of items that should be included in reports of *cross-sectional studies*

Section/Topic	Item #	Recommendation	Reported on page #
Title and abstract	1	(*a*) Indicate the study's design with a commonly used term in the title or the abstract	P1
		(*b*) Provide in the abstract an informative and balanced summary of what was done and what was found	P1
Introduction			**P2**
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	P2
Objectives	3	State specific objectives, including any prespecified hypotheses	P2
Methods			**P2**
Study design	4	Present key elements of study design early in the paper	P3
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	P3
Participants	6	(*a*) Give the eligibility criteria, and the sources and methods of selection of participants	P3
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	P3
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	P3-4
Bias	9	Describe any efforts to address potential sources of bias	P3
Study size	10	Explain how the study size was arrived at	P3
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	P4
Statistical methods	12	(*a*) Describe all statistical methods, including those used to control for confounding	P4
		(*b*) Describe any methods used to examine subgroups and interactions	P4
		(*c*) Explain how missing data were addressed	P4
		(*d*) If applicable, describe analytical methods taking account of sampling strategy	P4
		(*e*) Describe any sensitivity analyses	No
Results			P5

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	P5
		(b) Give reasons for non-participation at each stage	P3
		(c) Consider use of a flow diagram	No
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	P4-5
		(b) Indicate number of participants with missing data for each variable of interest	No
Outcome data	15*	Report numbers of outcome events or summary measures	P5
Main results	16	(*a*) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	P5
		(*b*) Report category boundaries when continuous variables were categorized	P5
		(*c*) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	P6
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	P6
Discussion			P6
Key results	18	Summarise key results with reference to study objectives	P6-7
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	P7
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	P7
Generalisability	21	Discuss the generalisability (external validity) of the study results	P7
Other information			P8
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	P8

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine.org/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at www.strobe-statement.org.