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Prevention of cardiovascular disease and dementia using eHealth: a qualitative study of attitudes of at-risk older adults in a European context

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Title page

Prevention of cardiovascular disease and dementia using eHealth: a qualitative study of attitudes of at-risk older adults in a European context

Running headline

Preventing cardiovascular disease and dementia using eHealth in older Europeans

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48
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3 **Prevention of cardiovascular disease and dementia using eHealth: a qualitative study of**
4 **attitudes of at-risk older adults in a European context**
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7
8 **ABSTRACT**
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10 **Objectives:** Prevention of cardiovascular disease (CVD) and dementia is a key health priority
11 among older adults. Understanding individuals' knowledge of and attitudes towards the prevention
12 of these conditions, particularly when delivered through novel eHealth tools, could help designing
13 effective prevention programmes. To explore the knowledge of and attitudes towards prevention
14 among older adults at increased risk of CVD and dementia, and to describe the facilitators and
15 barriers for engagement in eHealth self-management prevention programmes.
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24 **Design:** Qualitative research approach. Data were collected through eight focus groups in Finland,
25 France, and the Netherlands. Data were analysed following the principles of grounded theory.
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29 **Setting and participants:** Forty-four community dwellers aged 65+ at risk of CVD were
30 recruited from a previous trial cohort in Finland and through general practices in France and the
31 Netherlands.
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35 **Results:** The study identified three categories: access to reliable information, trust in the
36 healthcare providers and burden and stigma of dementia, and a core category: the interactive
37 process of the three categories influencing engagement in self-management prevention
38 programme. The categories were interconnected through an interactive process, and influenced by
39 the local healthcare culture and context which shaped them differently, becoming either
40 facilitators or barriers to engage in eHealth self-management prevention programmes.
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49 **Conclusions:** The study emphasises the importance of considering the interactions between the
50 identified categories in this study, grounded in the local healthcare culture and context in further
51 developments of eHealth self-management interventions aiming to prevent CVD and dementia.
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56 **Trial registration number: ISRCTN48151589; Pre-results**
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Strengths and limitations of the study

- This qualitative study benefits from an international setting which facilitated capturing individuals' attitudes regarding prevention of dementia and CVD across the three countries on general level, but also the variations.
- Language barriers e.g., performing the data analysis in English was challenging. To increase the credibility, the analysis was extensively discussed within research group, both at a national and international level until agreement was reached.
- Eight sessions of focus groups were conducted with 48 participants in total in three countries ensuring the richness of the data for the data analysis

INTRODUCTION

As the number of older adults increases worldwide, a rise in cardiovascular disease (CVD) and dementia cases has been reported,^{1,2} causing health, economic and social burdens.³ Prevention of CVD and dementia has been identified as a world-wide health priority.^{2,4,5} Both CVD and dementia share several modifiable risk factors^{1,2,6} – e.g. hypertension, hypercholesterolaemia, diabetes mellitus, obesity, smoking, physical inactivity, unhealthy diet - providing prevention opportunities.⁷⁻⁹ Promising novel prevention strategies include eHealth for its ease of access and use.^{10,11} However, engaging the general population with eHealth might be challenging,¹² and more insight is needed on how to maximise its advantages among older adults.

Successful prevention programmes are based on factors such as the selection of appropriate target populations, the implementation of optimal interventions, and using suitable delivery modalities.^{13,}

¹⁴ Furthermore, engagement¹⁵ and health literacy, the ability to make sound decisions concerning health among the target population,¹⁶ are crucial for the success of any public health intervention.

Although risk factors have been identified, little is known about older adults' knowledge regarding the prevention of CVD and dementia, and their attitudes towards prevention initiatives including eHealth. The design of prevention programmes tailored to this age group is therefore particularly challenging, and preventive trials among older adults are relatively scarce.¹⁷ Such knowledge would be important for designing more effective preventive programmes and facilitating individuals' engagement.

The factors influencing engagement in prevention programmes have been partially investigated using qualitative approaches.¹⁸⁻²⁰ Previous studies suggested that a positive attitude of the participant is essential to implement effective preventive care,¹⁸ which in turn promotes healthy cognitive ageing.¹⁹ However, it is unclear how it can be supported. A personal relationship between the healthcare provider and the patient seems to be beneficial,²⁰ but more evidence is needed on how to best encourage lifestyle self-management. In addition, the previous literature

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3 has not simultaneously considered both CVD and dementia, and international studies are still
4
5 scarce. Factors related to the country-specific context might considerably impact people's
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7 perception of prevention;²¹ For example, accessing novel tools for healthcare can be perceived as a
8
9 challenge, especially in areas where new technologies are not well established.¹⁰
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12 The present study is part of the Healthy Aging Through Internet Counselling in the Elderly
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14 (HATICE) project,²² which tested the efficacy of an eHealth multidomain intervention ²³ to
15
16 improve older adults' management of risk factors for CVD and dementia, in a randomised
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18 controlled trial (RCT), carried out in Finland, France, and the Netherlands. The aim of this sub-
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20 study was to explore the knowledge of and attitudes towards the prevention of CVD and dementia
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22 among older adults at risk of these conditions and describe the facilitators and barriers for
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24 engagement in eHealth self-management prevention programmes.
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31 **METHODS**

32 **Design**

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34 The study applied a qualitative research approach following the principles of grounded theory,²⁴
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36 and was structured in sequential steps of data collection performed in three rounds of focus groups
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38 and analyses (figure 1). The HATICE research team²⁵ had expertise in qualitative, clinical and
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40 basic science research, ensuring an international perspective and a thorough understanding of the
41
42 local healthcare settings.
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47 The study was conducted following the consolidated criteria for reporting qualitative research
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49 (COREQ).²⁶
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52 **Participants and setting**

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54 Forty-four older adults at risk of CVD were purposively recruited from a previous trial cohort⁷
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56 (Finland) and through general practices (France, the Netherlands) (Table 1). The same recruitment
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3 criteria used in the HATICE trial were applied:²² age 65+, presence of CVD risk factors or history
4 of CVD, and basic Internet literacy (use of email).
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8 The HATICE study was approved by the medical ethics committee of the Academic Medical
9 Center (Netherlands), the Comité de Protection des Personnes Sud Ouest et Outre Mer (France),
10 and the Northern Savo Hospital District Research Ethics Committee (Finland). For the present
11 sub-study, no separate approval was required in Finland and France; in the Netherlands, approval
12 was obtained from the medical ethics committee of the Academic Medical Centre.
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22 **Data collection**

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24 Eight focus group sessions structured in three rounds (figure 1) were conducted between October
25 2013 and September 2015 following a pre-defined topic list (Table 2): three sessions in Finland,
26 three in the Netherlands and two in France. Two members of each local research team were
27 present at each session (average duration two hours): an experienced moderator in qualitative
28 research and an assistant to take notes. The meetings were tape-recorded and transcribed verbatim,
29 except for the first meeting in Finland and the second in France, due to technical issues where the
30 detailed notes were analysed. The participants were allowed to freely develop the discussion.
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43 **Data analysis**

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45 The data were analysed following the principles of grounded theory.²⁴ In each country, two
46 researchers independently identified codes, combined and compared them in the axial-coding
47 phase, created the categories, which were confirmed through consensus. The analyses were
48 therefore performed in the local language, except in Finland, where neither of the researchers were
49 native speakers. The transcripts and notes were first translated into English and independently
50 cross-checked by two team members, both Finnish native- and English fluent-speakers. After
51 completing the analyses of the first six sessions in each country, the findings were combined in
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3 English, and further discussed and compared by the full team in a face-to-face meeting. To ensure
4 that the results were grounded in the data and focused on interactions between the categories, the
5 analyses formed an iterative process, transitioning from the original data to the categories. The
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10 topics 'prevention in general', 'prevention of CVD' and 'prevention of dementia' and 'people's
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12 perspectives on eHealth programmes for prevention were deemed not fully saturated. The original
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14 data were further explored, and a third round of focus groups was organised in the Netherlands
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16 and in Finland, using an adapted topic list (Table 2). This led to a better understanding of the
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18 topics. A summary of the conclusions of the third focus group was returned to the participants for
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20 trustworthiness of the data.
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26 **Patient and public involvement**

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28 Patients were not involved in this study. However, the participants were older adults at risk of
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30 CVD and dementia who were directly involved in the development of HATICE eHealth
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32 application by taking part in focus groups in this sub-study.
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36 **RESULTS**

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38 Three categories were identified, and a core category was developed, representing participants'
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40 knowledge of and attitudes towards prevention, as well as the facilitators and barriers to engage in
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42 eHealth self-management prevention programmes (See Table 3).
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49 **Access to reliable information about CVD and dementia**

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51 Participants experienced confusion regarding the general meaning of prevention, and in particular
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53 prevention of CVD and dementia. Prevention was generally described as acting to avoid a certain
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55 disease, but when discussing how prevention should be put into practice, participants focused
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57 mostly on how to identify symptoms and when to initiate a treatment. Unawareness of the right
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3 time to act was described as the main barrier to engage in prevention. Participants could name risk
4 factors for CVD and dementia, but they could not concretely explain how to manage them.

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8 *“It is important to recognise the symptoms [...]. For example chest pain. [...] Make sure you visit*
9 *the doctor in time and know what you should be alert of.” (fg1, Dutch participant)*

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12 (fg = focus group)

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17 In all three countries, participants expressed a need for reliable information about CVD and
18 dementia, and on how to put general recommendations into practice. Access to reliable
19 information was regarded as essential to empower them towards prevention, and their lack of
20 information was regarded as essential to empower them towards prevention, and their lack of
21 knowledge about these diagnoses represented a significant barrier to take action. They described
22 reliable information as comprehensive information that is easy to understand, tailored to each
23 individual situation and provided by a trustworthy source.

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31 *“[We need] a reference [...], a website with a search engine, information on diseases, up to date,*
32 *specific”. (fg1, French participant)*

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37 Not being able to distinguish trustworthy from untrustworthy sources was identified as another
38 barrier. This was especially true for health-related information received from the Internet. The
39 participants stressed that an eHealth platform, including support from a caregiver, can provide
40 trustworthy information by authorised sources, tailored to individual needs.

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47 *“Young people surf and search much more on the Internet than elderly. Elderly have much more*
48 *difficulty to judge what information is of good quality and what isn't.” (fg1, Dutch participant)*

51 52 **Trust in the healthcare provider**

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54 The participants described that trust in their primary healthcare providers, e.g., general
55 practitioners (GPs) and nurses, as well as trust in the received health-related information and the
56 healthcare system, is a prerequisite to engage in prevention programmes. Some participants
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3 mentioned that in order to provide the best possible medical advice, healthcare providers need to
4 know their patients personally.
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7 The participants referred to trust in different ways. Participants in France and the Netherlands
8 highlighted the importance of having a good relationship with their primary healthcare providers,
9 being one of the most important motivators to engage in prevention programmes including those
10 delivered through eHealth tools. In fact, when discussing the HATICE platform, the Dutch and
11 French participants expressed concerns regarding the interference with the regular healthcare
12 provider who, to ensure continuity of care, expressed a strong preference for an eHealth
13 prevention programme managed by their own primary healthcare provider.
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24 *“You would prefer to go to your own GP who knows you already since so many years, rather*
25 *than commit to someone you do not know.” (fg3, Dutch participant)*
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30 Although the Finnish participants did not stress this aspect, they acknowledged the role of trust in
31 the eHealth lifestyle coach and data integrity when managing personal information as encouraging
32 factors to actively participate in such eHealth prevention programmes.
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37 *“Trust and expertise [are the most important qualities]” (fg1, Finnish participant)*
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40 While Dutch and French participants strongly relied on their GPs and took their advice seriously,
41 Finnish participants described themselves as more independent and critical towards medical
42 advice. The Finnish participants stressed the importance of their autonomy and own responsibility
43 for their health and prevention, expressing their pronounced interest in health self-management.
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51 *“If your doctor prescribes medication you take it. After all, he has your best interest at heart.*
52 *Even if you don't want to take them.” (fg3, Dutch participant)*
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56 *“[Prevention is the] patient's responsibility for him/herself.” (fg1, Finnish participant)*
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59 **Burden and stigma of dementia**

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3 The participants compared the possibilities for prevention of CVD with those of dementia. They
4 described CVD as having good treatment options and a possibility to recover, whereas dementia as
5 a condition with no possibility for recovery. Participants associated feelings of fear, shame and
6 hopelessness in anticipation of developing dementia.
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12 *“You cannot reverse dementia, and from a cerebral infarction or heart infarction you can still*
13 *recover.” (fg3, Dutch participant)*
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19 The participants described that these feelings, in addition to the lack of an effective treatment,
20 renders dementia to be regarded as a great burden, almost as a “death sentence”. They described
21 that the burden was caused by the loss of one’s independence, due to deterioration of cognitive
22 skills, loss of physical capabilities, and loss of social relationships. Dementia was also regarded as
23 a burden for the families, relatives and social relations of persons with dementia, and society.
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33 *“You see people with Alzheimer’s disease [...], you say let’s hope my life won’t end like that, like a*
34 *vegetable.” (fg1, French participant)*
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37 *“[Dementia requires [providing care by relatives and institutional care. It’s an expensive disease*
38 *[...]. We do have a heavy burden to bear.” (fg3, Finnish participant)*
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45 Participants generally expressed a pessimistic attitude towards prevention of dementia as opposed
46 to CVD. However, being physically, mentally and socially active was described as a potential
47 preventive factor.
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54 *“If you are using your brain, then, it has to do with, uh, postponing it.” (fg3, Dutch participant)*
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3 Fear was described by the participants as an encouraging factor to engage more in the prevention
4 of dementia than of CVD.
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8 The fact that dementia shares many risk factors with CVD was not generally known and expressed
9 by the participants. Concerning dementia, the Finnish participants' main concern was identifying
10 the first symptoms and the right time to seek medical advice.
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18 *“There should be information on when I should go to a doctor for tests. People always say that*
19 *you should go on time, but I don't know when is on time.” (fg1, Finnish participant)*
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24 Nonetheless, the key role of genetics that they attributed to dementia was closely linked to their
25 scepticism towards its prevention.
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31 *“It's a matter of wait and see. You can try to take preventive measures, but you cannot stop it*
32 *[Dementia]. If you are born to get dementia, you will get it eventually. You can take medications*
33 *etc., then you can maybe delay the onset of the disease, but eventually it will catch you.” (fg1,*
34 *Dutch participant)*
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43 Taken together, dementia seems to be associated with stigma. This stigma represented a barrier to
44 obtaining reliable information for the Finnish participants, who stated that the fear of dementia
45 made it more difficult to talk about it compared to CVD, and to consult a doctor about it.
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52 *“The fear can make you freeze and cause you to not be able to talk. Just wondering about it in*
53 *your mind, not putting it into words.” (fg3, Finnish participant)*
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The interactive process of three identified categories influencing engagement in self-management prevention programme

From the three categories, a core category was developed: the interactive process of the three identified categories influencing engagement in self-management prevention programme. The three categories were interconnected through an interactive process and were strongly influenced by the local healthcare culture and context which shaped them differently (See figure 2). In order to minimise the stigma, there is a need to receive relevant reliable information and to trust in healthcare providers. However, the burden and stigma of dementia was described as a barrier to receiving reliable information and trusting healthcare providers. The participants described that fear of dementia made it difficult to talk about it (e.g., to a doctor), which in turn reinforced their perception of insufficient reliable information about the disease, which made it more frightening and unsafe. It was also described that this fear was experienced more strongly for dementia compared to CVD. On the other hand, participants mentioned that fear of dementia can improve their motivation to engage in dementia prevention programmes.

“I realised when another person got sick, I woke up then 10 years ago when my mother was diagnosed with Alzheimer and I panicked and realised that I must do something.” (fg3, Finnish participant)

The analyses also demonstrated that the category of burden and stigma of dementia was also interconnected with the category of trust in healthcare providers; only if participants could trust the source of information, they were likely to take action for their engagement in a prevention programme. The participants in all three countries described how the local healthcare system and context influenced their experiences in obtaining reliable health information, trust, and also burden and stigma of dementia. For example, Finnish participants experienced a sense of responsibility for their health and disease prevention. They described their interest in health self-management

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3 related to specific diseases. Whereas, French and Dutch participants focused on the overall health
4 status. The Finnish participants mostly highlighted the importance of trusting the source of
5 information and the possibility of obtaining information independently. Dutch and French
6 participants emphasised the importance of a trustworthy relationship with healthcare providers
7 (e.g., GPs and nurses), which led them to trust the information received. Personal responsibility
8 for their health (e.g., receiving reliable information about prevention of CVD and dementia) was
9 important for the Finnish participants; they described that they were habituated to independently
10 make medical decisions, and critically question medical recommendations. In contrast, the French
11 and Dutch participants relied more on the advice provided by their healthcare providers without
12 questioning them. The importance and benefits of dementia prevention were more clearly
13 acknowledged by the Finnish participants; Dutch participants believed more in luck and chance
14 regarding disease prevention.

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31 *“We bicycled a lot and we liked / enjoyed doing that. But nevertheless, you can get the most*
32 *horrible things. At the end of the day you have no influence on it.” (fg3, Dutch participant)*

33 34 35 36 37 38 **DISCUSSION**

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41 In this European qualitative study, the aim was to explore the knowledge and attitudes towards
42 prevention among older adults at increased risk of CVD and dementia. Three categories were
43 identified; *access to reliable information*, *trust in healthcare providers*, and *burden and stigma of*
44 *dementia*. Additionally, a core category was developed: *the interactive process of the three*
45 *identified categories influencing engagement in self-management prevention programmes*. The
46 three categories were interconnected through an interactive process and influenced by the local
47 healthcare culture and context which shaped them differently, becoming either facilitators or
48 barriers to engage in eHealth self-management prevention programmes. This process suggests a
49 need for having access to reliable information about prevention of CVD and dementia. This was
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3 regarded as an opportunity for eHealth programmes to fulfil this need. However, in order to
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5 translate this information into knowledge and to use it to take action towards engaging in
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7 prevention programmes, individuals need to trust the information provided and have a relationship
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9 of trust with their healthcare provider. The eHealth programmes might therefore include support
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11 from people's own healthcare providers, or from online health coaches. Especially for dementia,
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13 trust in the healthcare provider, access to reliable information and the generated knowledge could
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15 support individuals and decrease their experience of dementia-related stigma and burden. This can
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17 encourage individuals to take action towards engaging in prevention programmes (see figure 2).
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24 Access to reliable information was identified as a key prerequisite for individuals' engagement in
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26 prevention programmes. The Internet, however, was perceived as a confusing source of health-
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28 related information. The findings stress the importance of implementing prevention programmes,
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30 such as eHealth self-management programmes (e.g., HATICE), administered by trustworthy
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32 organisations to provide reliable information about prevention. Previous research found
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34 information from the Internet and media as a facilitator stimulating engagement in preventive care
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36 from the perspective of healthcare providers (e.g. GPs).¹⁸
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43 The findings stress that trust in healthcare providers, the source of information, and the healthcare
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45 system are crucial facilitating factors. The lack of trust might hinder individuals from contacting
46
47 their healthcare provider, following recommendations / information received, and meaningfully
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49 translating them into practice. The participants expressed that they have limited knowledge
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51 regarding concrete methods to prevent CVD and dementia. Although dementia has been identified
52
53 as a key public health priority world-wide,²⁷ knowledge about it and its risk factors is limited in
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55 the general population.²⁸ Based on our results, the lack of knowledge about dementia might lead to
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57 fear, which hinders individuals from seeking help from the healthcare system and accessing the
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3 available opportunities for prevention, as well as a stigma, which might mirror the general attitude
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5 of the society.²⁹ Interestingly, the burden and stigma of dementia was identified both as a
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7 facilitator and a barrier for engagement in prevention. It can be assumed as a factor that might
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9 hinder individuals from contacting healthcare providers and receiving thorough information
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11 regarding the disease, including the current evidence on beneficial preventive interventions. It can
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13 also motivate them to engage in prevention and to take action. According to the literature, the
14
15 concept of stigma, defined as “having some form of mark or sign that denotes disgrace or
16
17 discredit”.²⁹ When discussing prevention, prognosis, and treatment of dementia, in contrast to
18
19 CVD, the participants had a rather “white-and-black” perspective, which is in line with the
20
21 previous literature,³⁰ describing a belief that “nothing can be done”, which impacts individuals’
22
23 well-being. However, despite their pessimistic attitude regarding dementia prevention, the
24
25 participants described how their fear of the disease was experienced as a factor encouraging them
26
27 to actively engage in prevention programmes for dementia, compared to CVD, by being
28
29 physically, mentally and socially active. Our findings regarding the dynamic interactions between
30
31 the identified categories is supported by the previous literature, which highlighted the importance
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33 of education and information in reducing the fear and stigma associated with dementia.³⁰
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35 Furthermore, they confirm previous findings on the urgent need, across all levels of society, for
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37 increased awareness and understanding of dementia (diagnosis, symptoms, treatment, risk factors
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39 and prevention), to improve quality of life among older adults.^{27, 28}

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49 The results highlight not only the similar attitudes about prevention of dementia and CVD across
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51 the three countries, which emerged as the three categories on a general level, but also the
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53 differences grounded in the cultural and contextual backgrounds that shape the local healthcare
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55 culture and context in these three countries. Culture is defined as the beliefs, perceptions, values,
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57 norms, customs, and behaviours that are shared by a group or society and are passed from one
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3 generation to the next through both formal and informal education.³¹ In this study, context is
4 intended as “social environment”, that is the pool of structures and social systems through which
5 society is organised (e.g. the healthcare system).³² Engaging participants is key to successful
6 preventive programmes. Although previous studies¹⁸⁻²⁰ investigated the motivating factors to
7 engage older adults, none of these studies had an international design. This study emphasises how
8 the local healthcare culture and context might affect individuals’ needs and access to information,
9 their trust in healthcare providers and their perceived dementia-related stigma and burden, which
10 represents their attitudes regarding prevention. Previous research highlights how context and
11 culture can influence manifestations of dementia.³³ It also stresses the importance of culture and
12 context in shaping several aspects of caregiving, as well as public policies, to improve the
13 awareness and understanding of dementia.³⁴ In this respect, one important difference identified in
14 the study among the participants was that the Finnish participants were more independent and self-
15 responsible when making health-related decisions, whereas the Dutch and French participants
16 relied more on their healthcare providers. These differences were also identified in a recent
17 qualitative study conducted with Finnish and Dutch nurses about optimally supporting patients in
18 CVD preventive care.³⁵ This may be due to differences in the three healthcare-systems based on a
19 more stable doctor-patient relationship in France and The Netherlands, as opposed to Finland,
20 where a patient is not registered with a specific GP. Furthermore, Finland has a long history of
21 intensive preventive programmes targeting CVD,³⁶ which made the concept of prevention well
22 assimilated within society. Finally, the Finnish participants were recruited within a previous
23 preventive trial cohort.⁷ Healthcare-related differences among the three countries were considered
24 in the HATICE intervention design,³⁷ and these results confirm that context diversity should be
25 considered when planning prevention programmes. Further studies are required to identify the
26 most effective preventive strategies across cultures.
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Methodological considerations

Although the study was conducted in small sections of each country's populations, these are representative samples of their respective areas, ensuring a fully international setting. Data collection in three different languages was challenging, as the translations into English might have influenced the results, and some of the nuances could have been lost in translation. However, interview guides were prepared with great care, including language-related issues. The findings were extensively discussed within the teams, both at a national and international level. Moreover, the total number of focus groups conducted and the broad areas of expertise of the research team were a significant asset. Additionally, frequent feedback from all team members was instrumental for the good execution of the study and the mutual understanding of the local settings. Differences in recruitment, such as the older age of the Dutch participants in the third round of focus groups and the enrolment of the Finnish participants from a previous preventive trial cohort⁷ might have also affected our findings.

Conclusions

The study identified three categories and a core category. The categories were interconnected through an interactive process and influenced by the local healthcare culture and context which shaped them differently, either as facilitators or barriers to engage in eHealth self-management prevention programs. The findings can be integrated in future developments of eHealth self-management interventions to prevent modifiable risk factors for CVD and dementia. eHealth self-management programmes can fulfil the need for reliable and trustworthy health information. If a safe and trustworthy online environment can be developed, this may enhance engagement in prevention programmes and stimulate de-stigmatisation of dementia. The findings highlight the importance of taking the local healthcare context and culture into account when planning international prevention programmes. Studies on the perception of prevention and lifestyle

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3 changes during and after clinical trials among individuals at risk for / at an early stage of cognitive
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5 impairment can provide further insights.
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60

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4
5

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7
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9
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11
12 Finland. For the present ancillary study, no separate approval was required in Finland and France;
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27
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Table 1 Summary demographics of the participants.

Country	Finland			France		The Netherlands		
	1	2	3	1	2	1	2	3
Focus Group session	1	2	3	1	2	1	2	3
nr	9	9	10	9	7*	10	5*	6
Average Age, Mean	70.4		66	72.3	71.1	69.0	69.2	83.7
Gender, n (Female/Male)	3/6		8/2	3/6	2/5	4/6	2/3	3/3
Participants with at least a secondary education degree, n	4		3	8	6	6	4	6
Participants with a history of CVD, n	3		2	4	3	2	2	2
Participants Internet literate	9		na	9	7	10	5	6

N, number; CVD, Cardiovascular disease; na, not asked

*The participants of these focus groups are a subset of the participants who attended the previous session

Table 2 Summary of main topics and sub-topics from the focus groups guide

Focus Group Round 1
Prevention of CVD and Dementia
Knowledge about prevention
Role of prevention in the development of CVD and dementia
Internet use
Participants' use and attitude towards the Internet
Requirements for a user-friendly website
Preventive programmes delivered through the Internet
Relationship with the healthcare provider
Important factors to receive good guidance by the healthcare provider
Important factors to establish a good relationship with the healthcare provider

Focus group Round 2
Layout of the HATICE platform*
Suggestion to make the layout more user friendly
Feedback on the monitoring functionalities
Role of the coach in the HATICE intervention
Preferred mode of communication and frequency of contacts
Right for the coach to access the participants' information on the platform
Role of the coach in the goal setting process
Peer support in the HATICE platform
Attitude towards a peer Internet forum
Self-support in the HATICE intervention
Information about CVD and dementia required on the platform
What type of news items / newsletter the participants will be interested in
Digital rewards automatic reminders and comparison with peers as motivators

Focus Group Round 3
Prevention
What prevention is, when it should start, who benefits, importance of genetic predisposition
Can CVD be prevented? How? Risk factors, barriers and motivators
Can dementia be prevented? How? Risk factors, barriers and motivators
Comparison between CVD and dementia and their prevention
Motivation towards prevention
Motivating factors to act towards prevention
Barriers to act towards prevention
Stigma of AD/dementia (topic covered mostly in the Finnish focus group)
How the differences between CVD and dementia affect motivation towards prevention
How the differences between CVD and dementia affect the relationship with the healthcare provider
Different attitude of individuals and society towards dementia compared to CVD
The role of fear

CVD, Cardiovascular disease

*The platform had not been yet finalised at the time of the second round of focus groups, therefore a preliminary version was shown

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3 **Table 3 Overview of the core category and categories**
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5 **Core Category**

6 Interactive process of three identified categories influencing
7 engagement in self-management prevention programme
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10 **Categories**

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13 Access to reliable information

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15 Trust in healthcare providers

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18 Burden and stigma of dementia
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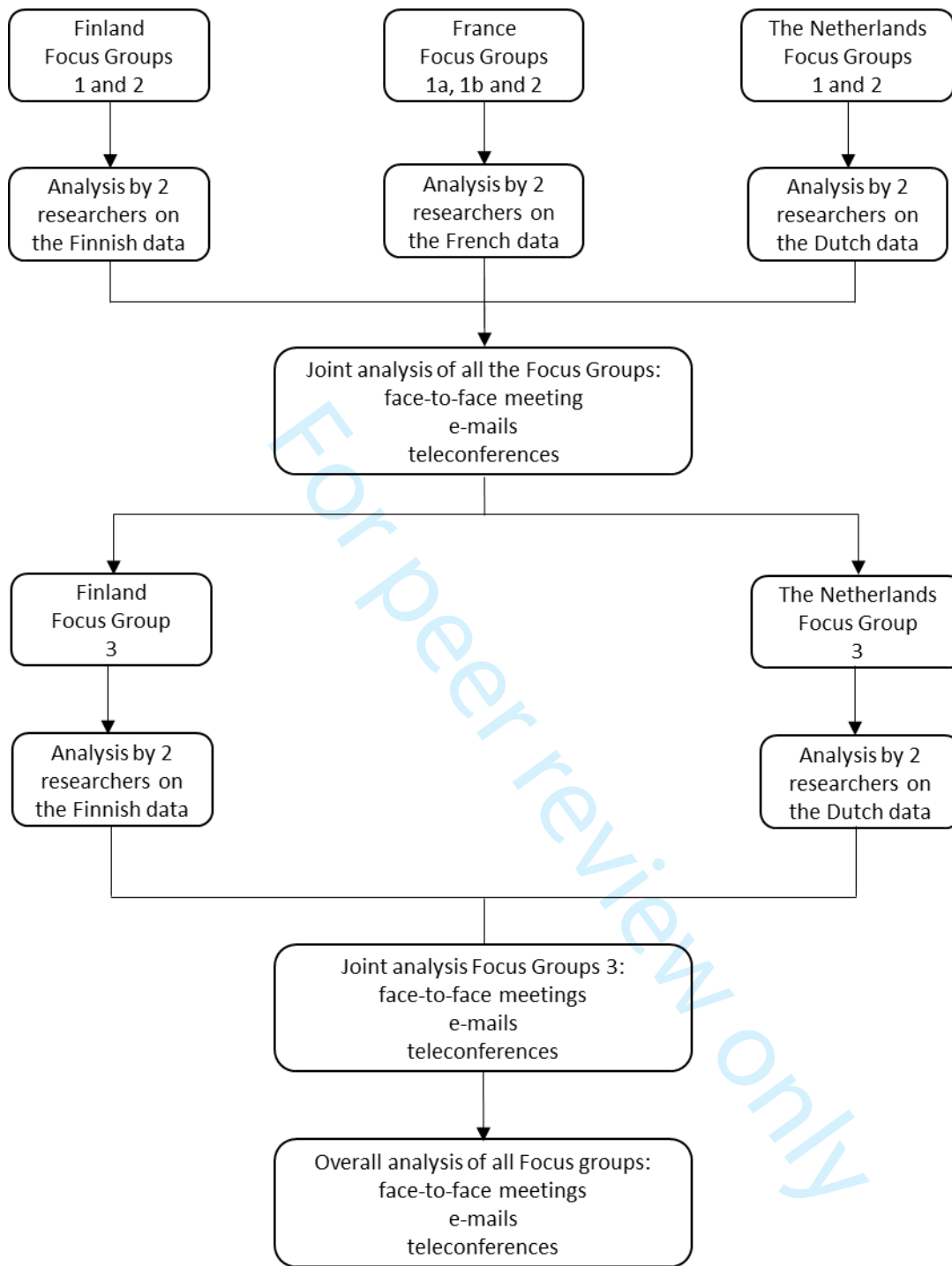


Figure 1 Different stages of data collection and analysis.

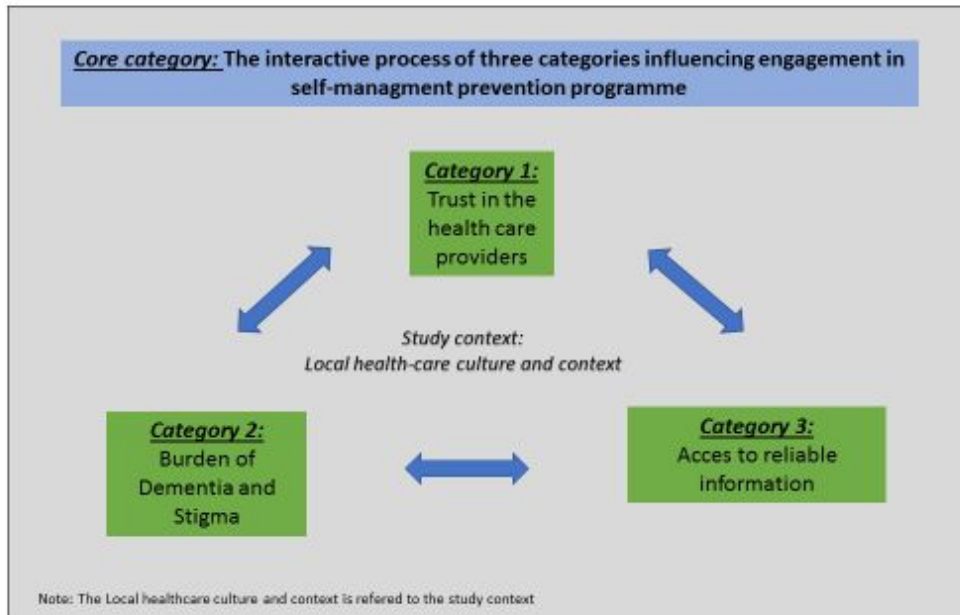


Figure 2 Presentation of the core category and interactions between categories

COREQ (CONsolidated criteria for REporting Qualitative research) Checklist

A checklist of items that should be included in reports of qualitative research. You must report the page number in your manuscript where you consider each of the items listed in this checklist. If you have not included this information, either revise your manuscript accordingly before submitting or note N/A.

Topic	Item No.	Guide Questions/Description	Reported on Page No.
Domain 1: Research team and reflexivity			
<i>Personal characteristics</i>			
Interviewer/facilitator	1	Which author/s conducted the interview or focus group?	
Credentials	2	What were the researcher's credentials? E.g. PhD, MD	
Occupation	3	What was their occupation at the time of the study?	
Gender	4	Was the researcher male or female?	
Experience and training	5	What experience or training did the researcher have?	
<i>Relationship with participants</i>			
Relationship established	6	Was a relationship established prior to study commencement?	
Participant knowledge of the interviewer	7	What did the participants know about the researcher? e.g. personal goals, reasons for doing the research	
Interviewer characteristics	8	What characteristics were reported about the interviewer/facilitator? e.g. Bias, assumptions, reasons and interests in the research topic	
Domain 2: Study design			
<i>Theoretical framework</i>			
Methodological orientation and Theory	9	What methodological orientation was stated to underpin the study? e.g. grounded theory, discourse analysis, ethnography, phenomenology, content analysis	
<i>Participant selection</i>			
Sampling	10	How were participants selected? e.g. purposive, convenience, consecutive, snowball	
Method of approach	11	How were participants approached? e.g. face-to-face, telephone, mail, email	
Sample size	12	How many participants were in the study?	
Non-participation	13	How many people refused to participate or dropped out? Reasons?	
<i>Setting</i>			
Setting of data collection	14	Where was the data collected? e.g. home, clinic, workplace	
Presence of non-participants	15	Was anyone else present besides the participants and researchers?	
Description of sample	16	What are the important characteristics of the sample? e.g. demographic data, date	
<i>Data collection</i>			
Interview guide	17	Were questions, prompts, guides provided by the authors? Was it pilot tested?	
Repeat interviews	18	Were repeat interviews carried out? If yes, how many?	
Audio/visual recording	19	Did the research use audio or visual recording to collect the data?	
Field notes	20	Were field notes made during and/or after the interview or focus group?	
Duration	21	What was the duration of the interviews or focus group?	
Data saturation	22	Was data saturation discussed?	
Transcripts returned	23	Were transcripts returned to participants for comment and/or	

Topic	Item No.	Guide Questions/Description	Reported on Page No.
		correction?	
Domain 3: analysis and findings			
<i>Data analysis</i>			
Number of data coders	24	How many data coders coded the data?	
Description of the coding tree	25	Did authors provide a description of the coding tree?	
Derivation of themes	26	Were themes identified in advance or derived from the data?	
Software	27	What software, if applicable, was used to manage the data?	
Participant checking	28	Did participants provide feedback on the findings?	
<i>Reporting</i>			
Quotations presented	29	Were participant quotations presented to illustrate the themes/findings? Was each quotation identified? e.g. participant number	
Data and findings consistent	30	Was there consistency between the data presented and the findings?	
Clarity of major themes	31	Were major themes clearly presented in the findings?	
Clarity of minor themes	32	Is there a description of diverse cases or discussion of minor themes?	

Developed from: Tong A, Sainsbury P, Craig J. Consolidated criteria for reporting qualitative research (COREQ): a 32-item checklist for interviews and focus groups. *International Journal for Quality in Health Care*. 2007. Volume 19, Number 6: pp. 349 – 357

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BMJ Open

Attitudes of at-risk older adults about prevention of cardiovascular disease and dementia using eHealth: a qualitative study in a European context

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Title page**Attitudes of at-risk older adults about prevention of cardiovascular disease and dementia using eHealth: a qualitative study in a European context****Authors**

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49 **Keywords:** Cardiovascular disease, dementia, eHealth, qualitative methods, prevention
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3 **Attitudes of at-risk older adults about prevention of cardiovascular disease and dementia**
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5 **using eHealth: a qualitative study in a European context**
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7

8 **ABSTRACT**
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10 **Objectives:** Prevention of cardiovascular disease (CVD) and dementia is a key health priority
11 among older adults. Understanding individuals' attitudes to, the prevention of these conditions,
12 particularly when delivered through novel eHealth tools, could help in designing effective
13 prevention programmes. The aim of the study was to explore the attitudes of older adults at
14 increased risk of CVD and dementia regarding engagement in eHealth self-management
15 prevention programmes, and to describe the facilitators and barriers.
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24 **Design:** A qualitative research approach was used. Data were collected through eight focus groups
25 in Finland, France, and the Netherlands. Data were analysed following the principles of grounded
26 theory.
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31 **Setting and participants:** Forty-four community dwellers aged 65+ at risk of CVD were
32 recruited from a previous trial cohort in Finland, and through general practices in France and the
33 Netherlands.
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38 **Results:** The study identified three categories: access to reliable information, trust in the
39 healthcare providers, and burden and stigma of dementia. A core category was also identified: the
40 interactive process of the three categories influencing engagement in self-management prevention
41 programme. The categories were interconnected through an interactive process and influenced by
42 the local healthcare culture and context which shaped them differently, becoming either
43 facilitators or barriers to engage in eHealth self-management prevention programmes.
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51 **Conclusions:** The study emphasises the importance of considering the interactions between the
52 identified categories in this study, grounded in the local healthcare culture and context in further
53 developments of eHealth self-management interventions that aim to prevent CVD and dementia.
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59 **Trial registration number: ISRCTN48151589; Pre-results**
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Strengths and limitations of the study

- This qualitative study benefits from an international setting focusing on older adults' attitudes regarding prevention of CVD and dementia across the three West-European countries.
- The HATICE research team has expertise in qualitative, clinical and basic science research, ensuring an international perspective and a thorough understanding of the local healthcare settings.
- Due to the exploratory nature of the study and to ensure the richness of the data for data analysis, eight sessions of focus groups were conducted with 48 participants in total, in three West-European countries.
- Language barriers, as a limitation in the study, were decreased by aligning the research methodologies, applying an iterative process during data analysis and extensive discussions within the research group.

INTRODUCTION

As the number of older adults increases world-wide, a rise in persons with cardiovascular disease (CVD) and dementia has been reported,^{1,2} causing health, economic and social burdens.³

Prevention of CVD and dementia has been identified as a world-wide health priority.^{2,4,5} Both CVD and dementia share several modifiable risk factors^{1,2,6} – e.g., hypertension, hypercholesterolaemia, diabetes mellitus, obesity, smoking, physical inactivity, unhealthy diet – providing the opportunity to test novel prevention interventions targeting both conditions.⁷⁻⁹

Prevention of CVD and dementia among older adults is however complex requiring a combination of primary and secondary prevention, and even more challenging among those with existing comorbidity, or those labelled with real diseases but no clear symptoms which makes the distinction between primary and secondary prevention unclear and difficult.¹⁰ Promising novel prevention strategies, include eHealth, for its ease of access and of use.^{11,12} However, engaging the general population with eHealth might be challenging,¹³ and more insight is needed on how to maximise its advantages among older adults.

Successful prevention programmes are based on factors such as the selection of appropriate target populations, the implementation of optimal interventions, and using suitable delivery modalities.^{14,15} Furthermore, engagement¹⁶ and health literacy, and the ability to make sound decisions concerning health among the target population,¹⁷ are crucial for the success of any public health intervention. Although risk factors have been identified, little is known about attitudes of older adults who are at-risk of CVD and dementia about prevention initiatives, including eHealth. The design of prevention programmes tailored to this age group is therefore particularly challenging, and preventive trials among older adults are relatively scarce.¹⁸ Such knowledge would be important for designing more effective preventive programmes and facilitating individuals' engagement.

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3 The factors influencing engagement in prevention programmes have been partially investigated
4 using qualitative approaches.¹⁹⁻²¹ Previous studies suggested that a positive attitude of the
5
6 participant is essential to implement effective preventive care,¹⁹ which in turn promotes healthy
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8 cognitive ageing.²⁰ However, it is unclear how this positive attitude can be supported. A personal
9
10 relationship between the healthcare provider and the patient seems to be beneficial,²¹ but more
11
12 evidence is needed on how to best encourage lifestyle self-management. Previous research from
13
14 our research group has considered self-management and eHealth applications as promising
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16 strategies to support prevention.²² In self-management, the individual takes the responsibility and
17
18 lead to manage his/her risk factors, instead of the healthcare provider.^{23, 24} Applying eHealth has
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20 the potential to support self-management due to its advantages, such as suitability for health
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22 education, interactivity and monitoring.^{25, 26} Previous research found (i) establishing a relationship
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24 of trust, (ii) managing awareness and expectations, and (iii) appropriate timing and monitoring of
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26 the process of behaviour change, as important to support an effective behaviour change in
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28 prevention of CVD and cognitive decline.²² In addition, the previous literature has not
29
30 simultaneously considered both CVD and dementia, and international studies are still scarce.
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32 Factors related to the country-specific context might considerably impact individuals' perception
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34 of prevention.²⁷ For example, accessing novel tools for healthcare can be perceived as a challenge,
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36 especially in areas where new technologies are not well established.¹¹
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38 The present study is part of the Healthy Aging Through Internet Counselling in the Elderly
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40 (HATICE) project.²⁸ The HATICE project tested the efficacy of an eHealth multidomain
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42 intervention, including a coach-supported internet platform²⁹ to improve older adults' self-
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44 management of risk factors for CVD and dementia, in a European randomised controlled trial
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46 (RCT). The RCT was carried out in Finland, France, and the Netherlands. The aim of this sub-
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48 study was to explore the attitudes of older adults at increased risk of CVD and dementia regarding
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3 engagement in eHealth self-management prevention programmes, and to describe the facilitators
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5 and barriers.
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10 **METHODS**

11 **Design**

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13 The study applied a qualitative research approach following the principles of grounded theory,³⁰
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15 and was structured in sequential steps of data collection performed in three rounds of focus groups
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17 and analyses (figure 1). The study benefited from an international research group in the HATICE
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19 project³¹ providing the knowledge and expertise in qualitative, clinical and basic science research,
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21 and ensuring an international perspective with an in-depth understanding of the local healthcare
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23 settings.
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29 The study was conducted following the consolidated criteria for reporting qualitative research
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31 (COREQ).³²
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34 **Participants**

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37 Forty-four older adults at risk of CVD were purposively recruited from (i) a previous trial cohort⁷
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39 (Finland) and (ii) through general practices (France, the Netherlands) (Table 1). In order to recruit
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41 a population as similar as possible to the one that would have been recruited in the actual trial,
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43 participants were recruited using a simplified but comprehensive version of the inclusion criteria
44
45 applied in the HATICE RCT²⁸ The criteria were defined as follows: (i) age ≥ 65 years, (ii) basic
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47 internet literacy defined as use of email, (iii) ≥ 2 self-reported cardiovascular risk factors defined
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49 as: hypertension (diagnosis or medication prescription), dyslipidaemia (diagnosis or medication
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51 prescription), active smoking, and lack of physical exercise defined based on the WHO guidelines
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53 (at least 150 minutes of moderate intensity exercise per week); and/or (iv) self-reported history of
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3 CVD (stroke/transient ischaemic attack, myocardial infarction, angina pectoris and/or peripheral
4 arterial disease), (v) self-reported diagnosis of diabetes mellitus.

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8 The HATICE study was approved by the medical ethics committee of the Academic Medical
9 Center (Netherlands), the Comité de Protection des Personnes Sud Ouest et Outre Mer (France),
10 and the Northern Savo Hospital District Research Ethics Committee (Finland). For the present
11 sub-study, no separate approval was required in Finland and France; in the Netherlands, approval
12 was obtained from the medical ethics committee of the Academic Medical Centre.
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20 **Setting**

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23 Due to the international setting of the present study, different primary healthcare systems are
24 relevant to be described shortly. In Finland, primary healthcare is provided in healthcare centres to
25 which people are automatically assigned based on the address of residence. Their size, both in
26 terms of patients cared for and catchment area, can vary significantly due to large differences in
27 population density,³³ which can lead to difference in the service provided for non-emergent cases
28 and prevention programmes. Nurses are the “gatekeeper” of the system and one of the main
29 figures in relation to prevention. The patients are not assigned to a specific general practitioner
30 (GP).³⁴ In France, GPs usually work in “solo” practice and citizens can choose to which practice
31 to register based on availability.³⁵ Patients are, therefore, followed by the same physician and
32 same-day appointments are usually available for non-emergent cases. Nurses can provide care,
33 mostly preventive, tertiary or palliative care, but only upon GP prescription. They are also
34 involved in screening programmes and health education.³⁶ As in Finland, primary healthcare in the
35 Netherlands is provided within healthcare centres that are, however, more evenly distributed in a
36 country with a much higher population density compared to Finland. Patients register with a
37 specific GP, making the doctor-patient relationship consistent and, to some extent, similar to the
38 French system. However, nurses in the Netherlands have somehow a more independent role (e.g.,
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3 carry out specific consultations, such as for diabetes care and cardiovascular risk management, and
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5 can prescribe certain types of medications).³⁷
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8 **Data collection**

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10 Eight focus group sessions structured in three rounds (figure 1) were conducted between October
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12 2013 and September 2015. A semi-structured focus group interview guide was used,³⁸ including
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14 three sessions in Finland, three in the Netherlands and two in France. The interview guide
15
16 comprised the main topics and sub-topics focusing on participants' attitudes regarding engagement
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18 in eHealth self-management prevention programmes, and the facilitators and barriers (Table 2).
19
20 Two members of each local research team were present at each session (average duration of two
21
22 hours): (i) an experienced moderator in qualitative research, and (ii) an assistant to take notes. The
23
24 meetings were tape-recorded and transcribed verbatim, except for the first meeting in Finland and
25
26 the second in France, due to technical issues in the tape-recording. The researchers' detailed notes
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28 from these two sessions were therefore analysed instead.
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36 **Data analysis**

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38 The data were analysed following the principles of grounded theory.³⁰ In each country, two
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40 researchers independently identified codes, combined and compared them in the axial-coding
41
42 phase, created the categories, which were confirmed through consensus. The analyses were
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44 therefore performed in the local language, except in Finland, where neither of the researchers were
45
46 native speakers. The transcripts and notes were first translated into English and independently
47
48 cross-checked by two team members, both Finnish native- and English fluent-speakers. After
49
50 completing the analyses of the first six sessions in each country, the findings were combined in
51
52 English, and further discussed and compared by the full team in a face-to-face meeting. To ensure
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54 that the results were grounded in the data and focused on interactions between the categories, the
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56 analyses formed an iterative process, transitioning from the original data to the categories.
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3 Moreover, a third round of focus groups was organised in the Netherlands and in Finland to enrich
4 the data and get a better understanding about ‘prevention in general’, ‘prevention of CVD’,
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6 ‘prevention of dementia’ and ‘individuals’ perspectives on ‘eHealth self-management prevention
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8 programmes’. To increase the credibility of the findings, the analysis was extensively discussed
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10 within the research group, both at a national and an A summary of the conclusions of the third
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12 focus group was returned to the participants to check for trustworthiness of the data. international
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14 level until agreement was reached.
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21 **Patient and public involvement**

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23 Patients were not involved in this study. However, the participants were older adults at risk of
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25 CVD and dementia who were directly involved in the development of the HATICE eHealth
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27 application by taking part in focus groups in this sub-study (See inclusion criteria in methods).
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33 **RESULTS**

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35 Three categories were identified, and a core category was developed, representing the
36
37 the attitudes of older adults at increased risk of CVD and dementia regarding engagement in
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39 eHealth self-management prevention programmes, and the facilitators and barriers (See figure 2).
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45 **Access to reliable information about CVD and dementia**

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47 Participants experienced confusion regarding the general meaning of prevention, and in particular
48
49 prevention of CVD and dementia. Prevention was generally described as acting to avoid a certain
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51 disease, but when discussing how prevention should be put into practice, participants focused
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53 mostly on how to identify symptoms and when to initiate a treatment. Unawareness of the right
54
55 time to act was described as the main barrier to engage in prevention. Participants could name risk
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57 factors for CVD and dementia, but they could not concretely explain how to manage them.
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3 *“It is important to recognise the symptoms [...]. For example, chest pain. [...] Make sure you visit*
4 *the doctor in time and know what you should be alerted of.” (fg1, Dutch participant)*

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8 *(fg = focus group)*
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12 In all three countries, participants expressed a need for reliable information about CVD and
13 dementia, and on how to put general recommendations into practice. Access to reliable
14 information was regarded as essential to empower them towards prevention, and their lack of
15 knowledge about these diagnoses represented a significant barrier to take action. They described
16 reliable information as comprehensive information that is easy to understand, tailored to each
17 individual situation and provided by a trustworthy source.
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26 *“[We need] a reference [...], a website with a search engine, information on diseases, up to date,*
27 *specific”.* (fg1, French participant)
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33 Not being able to distinguish trustworthy from untrustworthy sources was identified as another
34 barrier. This was especially true for health-related information received from the Internet. The
35 participants stressed that an eHealth platform, including support from a caregiver, can provide
36 trustworthy information by authorised sources, tailored to individual needs.
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42 *“Young people surf and search much more on the Internet than the elderly. The elderly have much*
43 *more difficulty to judge what information is of good quality and what isn't.” (fg1, Dutch*
44 *participant)*
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50 **Trust in the healthcare provider**

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52 The participants described that trust in their primary healthcare providers, including their GPs and
53 nurses, as well as trust in the received health-related information and the healthcare system, is a
54 prerequisite to engage in prevention programmes. Some participants mentioned that in order to
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3 provide the best possible medical advice, healthcare providers need to know their patients
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5 personally.
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8 The participants referred to trust in different ways. Participants in France and the Netherlands
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10 highlighted the importance of having a good relationship with their primary healthcare providers,
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12 being one of the most important motivators to engage in prevention programmes including those
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14 delivered through eHealth tools. In fact, when discussing the HATICE platform, the Dutch and
15
16 French participants expressed concerns regarding the interference with the regular healthcare
17
18 provider who, to ensure continuity of care, expressed a strong preference for an eHealth
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20 prevention programme managed by their own primary healthcare provider.
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24 *“You would prefer to go to your own GP who knows you already since so many years, rather*
25
26 *than commit to someone you do not know.” (fg3, Dutch participant)*
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31 Although the Finnish participants did not stress this aspect, they acknowledged the role of trust in
32
33 the eHealth lifestyle coach and in data integrity when managing personal information as
34
35 encouraging factors to actively participate in such eHealth prevention programmes.
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38 *“Trust and expertise [are the most important qualities]” (fg1, Finnish participant)*
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41 While Dutch and French participants strongly relied on their GPs and took their advice seriously,
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43 Finnish participants described themselves as more independent and critical towards medical
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45 advice. The Finnish participants stressed the importance of their autonomy and own responsibility
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47 for their health and prevention, expressing their pronounced interest in health self-management.
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51 *“If your doctor prescribes medication you take it. After all, he has your best interest at heart.*
52
53 *Even if you don't want to take them.” (fg3, Dutch participant)*
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57 *“[Prevention is the] patient's responsibility for him/herself.” (fg1, Finnish participant)*
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59 **Burden and stigma of dementia**

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3 The participants compared the possibilities for prevention of CVD with those of dementia. They
4 described CVD as having good treatment options and a possibility to recover, compared with
5 dementia as a condition with no possibility for recovery. Participants associated feelings of fear,
6 shame and hopelessness in anticipation of developing dementia.
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12 *“You cannot reverse dementia, and from a cerebral infarction or heart infarction you can still*
13 *recover.” (fg3, Dutch participant)*
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19 The participants described that these feelings, in addition to the lack of an effective treatment,
20 renders dementia a great burden, perceived as almost a “death sentence”. They described that the
21 burden was caused by the loss of one’s independence, due to deterioration of cognitive skills, loss
22 of physical capabilities, and loss of social relationships. Dementia was also regarded as a burden
23 for the families, relatives and social relations of persons with dementia, and society.
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33 *“You see people with Alzheimer’s disease [...], you say let’s hope my life won’t end like that, like a*
34 *vegetable.” (fg1, French participant)*
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38 *“[Dementia requires [providing care by relatives and institutional care. It’s an expensive disease*
39 *[...]. We do have a heavy burden to bear.” (fg3, Finnish participant)*
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45 Participants generally expressed a pessimistic attitude towards prevention of dementia as opposed
46 to CVD. However, being physically, mentally and socially active was described as a potential
47 preventive factor.
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54 *“If you are using your brain, then, it has to do with, uh, postponing it.” (fg3, Dutch participant)*
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3 Fear was described by the participants as an encouraging factor to engage more in the prevention
4 of dementia than of CVD.
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8 The fact that dementia shares many risk factors with CVD was not generally known or expressed
9 by the participants. Concerning dementia, the Finnish participants' main concern was identifying
10 the first symptoms and the right time to seek medical advice.
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18 *“There should be information on when I should go to a doctor for tests. People always say that*
19 *you should go on time, but I don't know when is on time.” (fg1, Finnish participant)*
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24 Nonetheless, the key role of genetics that they attributed to dementia was closely linked to their
25 scepticism towards its prevention.
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31 *“It's a matter of wait and see. You can try to take preventive measures, but you cannot stop it*
32 *[Dementia]. If you are born to get dementia, you will get it eventually. You can take medications*
33 *etc., then you can maybe delay the onset of the disease, but eventually it will catch you.” (fg1,*
34 *Dutch participant)*
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42 Dementia seems to be associated with stigma. This stigma represented a barrier to obtaining
43 reliable information for the Finnish participants, who stated that the fear of dementia made it more
44 difficult to talk about it compared to CVD, and to consult a doctor about it.
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52 *“The fear can make you freeze and cause you to not be able to talk. Just wondering about it in*
53 *your mind, not putting it into words.” (fg3, Finnish participant)*
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The interactive process of three identified categories influencing engagement in the self-management prevention programme

From the three categories, a core category was developed: the interactive process of the three identified categories influencing engagement in the self-management prevention programme. The three categories were interconnected through an interactive process and were strongly influenced by the local healthcare culture and context which shaped them differently (See figure 2). This interactive process is presented in figure 2. Figure 2 shows that in order to minimise the stigma, there is a need to receive relevant, reliable information, and to trust in healthcare providers. However, the burden and stigma of dementia was described as a barrier to receiving reliable information and trusting healthcare providers. The participants described that fear of dementia made it difficult to talk about it (e.g., to GP), which in turn reinforced their perception of insufficient reliable information about the disease, which made it more frightening and unsafe. It was also described that this fear was experienced more strongly for dementia compared to CVD. On the other hand, participants mentioned that the fear of dementia can improve their motivation to engage in dementia prevention programmes.

“I realised when another person got sick, I woke up then 10 years ago when my mother was diagnosed with Alzheimer and I panicked and realised that I must do something.” (fg3, Finnish participant)

The analyses also demonstrated that the category of burden and stigma of dementia was also interconnected with the category of trust in healthcare providers; only if participants could trust the source of information, then they were likely to take action for their engagement in a prevention programme. The participants in all three countries described how the local healthcare system and context influenced their experiences in obtaining reliable health information, trust, and also in relation to the burden and stigma of dementia. For example, Finnish participants experienced a

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3 sense of responsibility for their health and disease prevention. They described their interest in
4 health self-management related to specific diseases. Whereas, French and Dutch participants
5 focused on the overall health status. The Finnish participants mostly highlighted the importance of
6 trusting the source of information and the possibility of obtaining information independently.
7
8 Dutch and French participants emphasised the importance of a trustworthy relationship with
9 healthcare providers (e.g., GPs and nurses), which led them to trust the information received.
10
11 Personal responsibility for their health (e.g., receiving reliable information about prevention of
12 CVD and dementia) was important for the Finnish participants. Finnish participants described that
13 they were habituated to independently make medical decisions, and critically question medical
14 recommendations. In contrast, the French and Dutch participants relied more on the advice
15 provided by their healthcare providers without questioning them. The importance and benefits of
16 dementia prevention were more clearly acknowledged by the Finnish participants; however, Dutch
17 participants believed more in luck and chance regarding disease prevention.

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33 *“We bicycled a lot and we liked / enjoyed doing that. But nevertheless, you can get the most*
34 *horrible things. At the end of the day you have no influence on it.” (fg3, Dutch participant)*

35 36 37 38 39 40 41 **DISCUSSION**

42
43 In this European qualitative study, the aim was to explore the attitudes of older adults at increased
44 risk of CVD and dementia regarding engagement in eHealth self-management prevention
45 programmes, and to describe the facilitators and barriers. Three categories were identified from
46 the analysis: (i) *access to reliable information*, (ii) *trust in healthcare providers*, and (iii) *burden*
47 *and stigma of dementia*. From these categories a core category was developed: *The interactive*
48 *process of the three identified categories influencing engagement in self-management prevention*
49 *programmes*. The three categories were interacting with each other and were influenced by the
50 local healthcare culture and context, becoming either facilitators or barriers to engage in eHealth
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3 self-management prevention programmes. This interactive process suggests that in order for
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5 individuals to actively engage in the eHealth prevention programmes, there is a need for having
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7 access to reliable information about prevention of CVD and dementia. Providing reliable
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9 information regarding the prevention of these two conditions, from trustworthy sources, is
10
11 therefore regarded as an opportunity for eHealth programmes to fulfil this need. However, in order
12
13 to translate this information into knowledge and for it to be used by individuals who can take
14
15 action towards engaging in prevention programmes, individuals need to trust the information
16
17 provided and have a relationship of trust with their healthcare provider. The eHealth prevention
18
19 programmes might therefore include support from individuals' own healthcare providers, or from
20
21 online health coaches. The interactive process between categories stresses that trust in the
22
23 healthcare provider, access to reliable information and the generated knowledge could support
24
25 individuals and decrease their experience of stigma and burden. This interactive process can
26
27 encourage individuals to take action towards engaging in prevention programmes (see figure 2).
28
29 Previous research focusing on prevention of CVD from the perspective of nurses^{22, 39} and of older
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31 adults^{21, 40} identified the importance of establishing a relationship of trust, managing awareness
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33 and expectations (including individuals' level of knowledge), and providing personally tailored
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35 support. Previous research from our research group has also stressed the importance of
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37 considering the local healthcare practices to plan new forms of preventive healthcare involving the
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39 individual's self-management.²²

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42 Access to reliable information was identified as a key prerequisite for individuals' engagement in
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44 prevention programmes. The Internet, however, was perceived as a confusing source of health-
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46 related information. The findings stress the importance of implementing prevention programmes,
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48 such as eHealth self-management programmes (e.g., HATICE), administered by trustworthy
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50 organisations to provide reliable information about prevention. Previous research found that
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3 information from the Internet and media are a facilitator of stimulating engagement in preventive
4 care from the perspective of healthcare providers (e.g., GPs).¹⁹
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10 The findings stress that trust in healthcare providers, the source of information, and the healthcare
11 system are crucial facilitating factors. The lack of trust might hinder individuals from contacting
12 their healthcare provider, following recommendations, receiving information, and meaningfully
13 translating the information into practice. The participants expressed that they have limited
14 knowledge regarding concrete methods to prevent CVD and dementia. Although dementia has
15 been identified as a key public health priority world-wide,⁴¹ knowledge about it, and its risk
16 factors is limited in the general population.⁴² Based on our results, the lack of knowledge about
17 dementia among individuals might lead to fear, which hinders individuals from seeking help from
18 the healthcare system and accessing the available opportunities for prevention, as well as a stigma,
19 which might mirror the general attitude of the society.⁴³ Interestingly, the burden and stigma of
20 dementia was identified both as a facilitator and a barrier for engagement in prevention. It can be
21 assumed as a factor that might hinder individuals from contacting healthcare providers and
22 receiving thorough information regarding the disease, including the current evidence on beneficial
23 preventive interventions. It can also motivate them to engage in prevention and to take action.
24 According to the literature, the concept of stigma is defined as “having some form of mark or sign
25 that denotes disgrace or discredit”,⁴³ which refers to “marked differences from what is ‘normal’ for
26 a group of people, and to negative emotional and/or behavioural responses to those differences”.⁴⁴
27 When discussing prevention, prognosis, and treatment of dementia, in contrast to CVD, the
28 participants had a rather “white-and-black” perspective, which is in line with the previous
29 literature,⁴⁵ describing a belief that “nothing can be done”, which impacts individuals’ wellbeing.⁴⁴
30 However, despite their pessimistic attitude regarding dementia prevention, the participants
31 described how their fear of the disease was experienced as a factor encouraging them to actively
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3 engage in prevention programmes for dementia, compared to CVD, by being physically, mentally
4 and socially active. Our findings regarding the dynamic interactions between the identified
5 and socially active. Our findings regarding the dynamic interactions between the identified
6 categories is supported by the previous literature, which highlighted the importance of education
7 and information in reducing the fear and stigma associated with dementia.⁴⁵ Furthermore, the
8 identified categories confirm previous findings on the urgent need, across all levels of society, for
9 increased awareness and understanding of dementia (diagnosis, symptoms, treatment, risk factors
10 and prevention), to improve the quality of life among older adults.^{41, 42}

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21 The results highlight not only the similar attitudes about prevention of dementia and CVD across
22 the three countries, which emerged as the three categories on a general level, but also the
23 variations that are grounded in the cultural and contextual backgrounds that shaped the local
24 healthcare culture and context in these three countries. Culture is defined as the beliefs,
25 perceptions, values, norms, customs, and behaviours that are shared by a group or society and are
26 passed from one generation to the next through both formal and informal education.⁴⁶ In this
27 study, context is intended as “social environment”, that is the pool of structures and social systems
28 through which society is organised (e.g., the healthcare system).⁴⁷ Engaging participants is key to
29 successful prevention programmes. Although previous studies¹⁹⁻²¹ investigated the motivating
30 factors to engage older adults in prevention programmes, none of these studies had an
31 international design. This study emphasises how the local healthcare culture and context might
32 affect individuals’ needs and access to information, their trust in healthcare providers and their
33 perceived dementia-related stigma and burden, which represents their attitudes regarding
34 prevention. Previous research highlights how culture and context can influence manifestations of
35 dementia.⁴⁸ It also stresses the importance of culture and context in shaping several aspects of
36 caregiving, as well as public policies, to improve the awareness and understanding of dementia.⁴⁹
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58 In this respect, one important difference identified in the study among the participants was that the
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3 Finnish participants were more independent and described their self-responsibility when making
4 health-related decisions, whereas the Dutch and French participants relied more on their healthcare
5 providers. These differences were also identified in a recent qualitative study from our research
6 group conducted with Finnish and Dutch nurses about optimally supporting patients in CVD
7 preventive care.²² The study indicated that even if aims in preventive care were very similar
8 between Finland and the Netherlands, patient empowerment and autonomy received more
9 attention in Finland than the Netherlands.²² This is a difference to the present study which may be
10 due to differences in the three healthcare-systems. Differences may be based on a more stable
11 doctor-patient relationship in France and the Netherlands, as opposed to Finland, where a patient
12 is not registered with a specific GP. Furthermore, Finland has a long history of intensive
13 preventive programmes targeting CVD,⁵⁰ which made the concept of prevention well assimilated
14 within the society. Finally, the Finnish participants were recruited within a previous preventive
15 trial cohort.⁷ Beishuizen and collaborators,²² demonstrated that when designing and introducing
16 new preventive healthcare applying eHealth self-management, local healthcare practices are to be
17 considered to fulfil optimal engagement. According to the literature, these differences can be
18 referred to as differences in organisation, their focus, accessibility, role of primary care and patient
19 autonomy,²² and national guidelines for primary and secondary prevention care¹⁰. Healthcare-
20 related differences among the three countries were considered in the HATICE intervention
21 design,¹⁰ and these results confirm that context diversity should be considered when planning
22 international prevention programmes. Further studies are required to identify the most effective
23 preventive strategies across cultures.

53 ***Methodological considerations***

54 Although the study was conducted in small sections of each country's populations, these are
55 representative samples of their respective areas, ensuring a fully international setting. Data
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3 collection in three different languages was challenging, as the translations into English might have
4 influenced the results, and some of the nuances could have been lost in translation. However,
5 interview guides were prepared with great care, including consideration of language-related issues.
6
7 The findings were extensively discussed within the teams, both at a national and international
8 level. Moreover, the total number of focus groups conducted and the broad areas of expertise of
9 the research team were a significant asset. Additionally, frequent feedback from all team members
10 was instrumental for the good execution of the study and for the mutual understanding of the local
11 settings. Differences in recruitment, such as the older age of the Dutch participants in the third
12 round of focus groups and the enrolment of the Finnish participants from a previous preventive
13 trial cohort⁷ might have also affected our findings. In order to check the trustworthiness of the
14 data, a summary of the conclusions of the third focus group was sent to the participants. The main
15 reason for performing the member check in this way was that the third round of focus groups was
16 covering all the areas of focus in the study and was complementary to the data from the first two
17 rounds.
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35 ***Conclusions***

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37 The study identified three categories and a core category. The categories were interconnected
38 through an interactive process and influenced by the local healthcare culture and context which
39 shaped them differently, either as facilitators or barriers to engage in eHealth self-management
40 prevention programmes. The findings can be integrated into future developments of eHealth self-
41 management interventions to prevent modifiable risk factors for CVD and dementia. eHealth self-
42 management programmes can fulfil the need for reliable and trustworthy health information. If a
43 safe and trustworthy online environment can be developed, this may enhance engagement in
44 prevention programmes and stimulate de-stigmatisation of dementia. The findings highlight the
45 importance of taking the local healthcare culture and context into account when planning
46 international prevention programmes. Studies on the perception of prevention and lifestyle
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3 changes during and after clinical trials among individuals at risk for, or at an early stage of,
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5 cognitive impairment, can provide further insights.
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26
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9
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12 Finland. For the present ancillary study, no separate approval was required in Finland and France;
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14 in the Netherlands, approval was required and obtained from the medical ethics committee of the
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16 Academic Medical Centre.
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24 **Data sharing statement:** Data will not be made publicly available. Please contact the
25
26 corresponding author for more information.
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For peer review only

Table 1 Summary demographics of the participants.

Country	Finland			France		The Netherlands		
	1	2	3	1	2	1	2	3
Focus Group session	1	2	3	1	2	1	2	3
nr	9	9	10	9	7*	10	5*	6
Average Age, Mean	70.4		66	72.3	71.1	69.0	69.2	83.7
Gender, n (Female/Male)	3/6		8/2	3/6	2/5	4/6	2/3	3/3
Participants with at least a secondary education degree, n	4		3	8	6	6	4	6
Participants with a history of CVD, n	3		2	4	3	2	2	2
Internet literate participants	9		na	9	7	10	5	6

N, number; CVD, Cardiovascular disease; na, not asked

*The participants of these focus groups are a subset of the participants who attended the previous session

Table 2 Summary of focus group interview guide including main topics and sub-topics

Focus Group Round 1
Prevention of CVD and Dementia
Knowledge about prevention
Role of prevention in the development of CVD and dementia
Internet use
Participants' use and attitude towards the Internet
Requirements for a user-friendly website
Preventive programmes delivered through the Internet
Relationship with the healthcare provider
Important factors to receive good guidance by the healthcare provider
Important factors to establish a good relationship with the healthcare provider

Focus group Round 2
Layout of the HATICE platform*
Suggestion to make the layout more user friendly
Feedback on the monitoring functionalities
Role of the coach in the HATICE intervention
Preferred mode of communication and frequency of contacts
Right for the coach to access the participants' information on the platform
Role of the coach in the goal setting process
Peer support in the HATICE platform
Attitude towards a peer Internet forum
Self-support in the HATICE intervention
Information about CVD and dementia required on the platform
What type of news items / newsletter the participants will be interested in
Digital rewards automatic reminders and comparison with peers as motivators

Focus Group Round 3
Prevention
What prevention is, when it should start, who benefits, importance of genetic predisposition
Can CVD be prevented? How? Risk factors, barriers and motivators
Can dementia be prevented? How? Risk factors, barriers and motivators
Comparison between CVD and dementia and their prevention
Motivation for prevention
Motivating factors to act upon for prevention
Barriers to act upon for prevention
How the differences between CVD and dementia affect motivation for prevention
How the differences between CVD and dementia affect the relationship with the healthcare provider
Different attitudes of individuals and society to dementia, compared to CVD
The role of fear

CVD, Cardiovascular disease

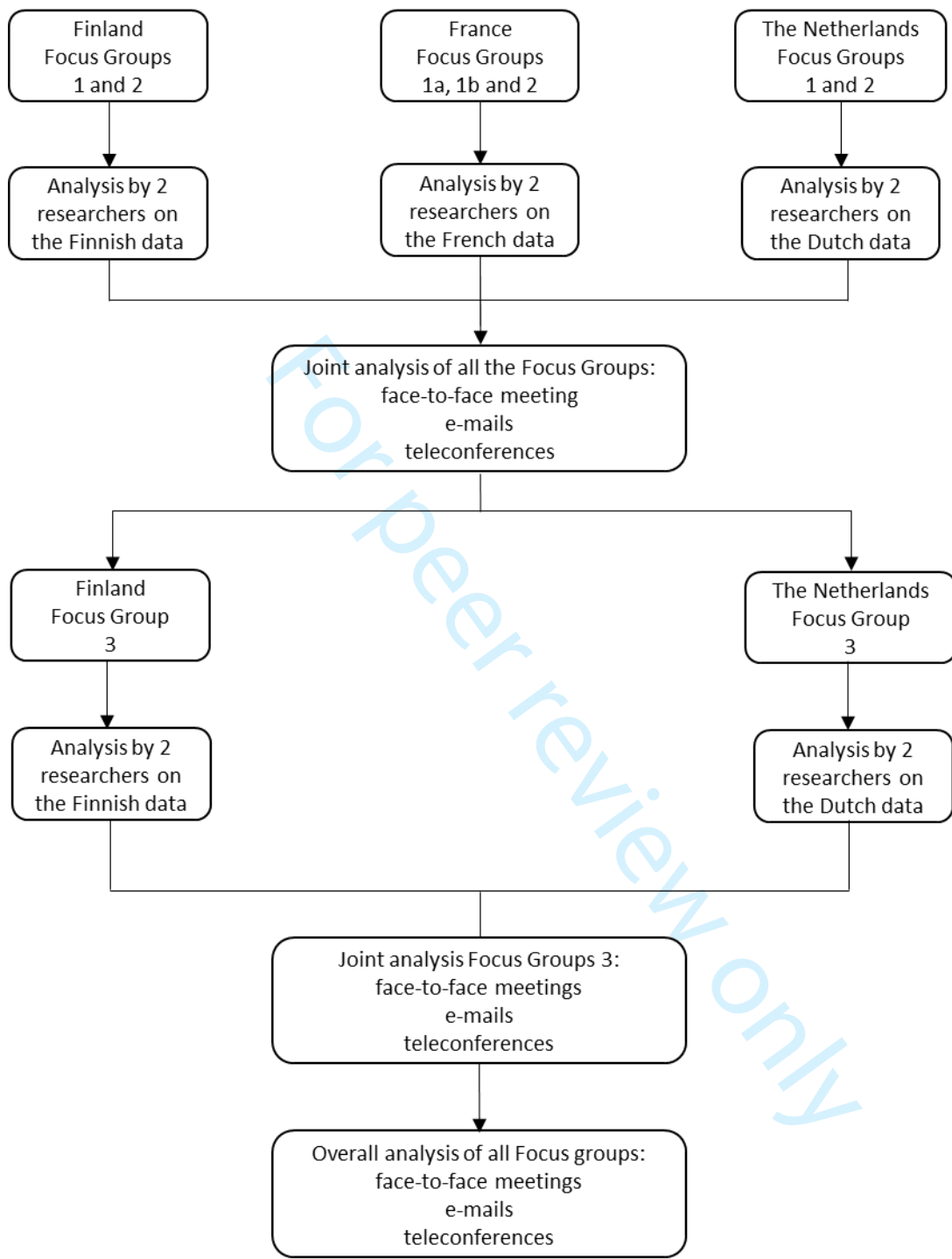
*The platform had not been yet finalised at the time of the second round of focus groups, therefore a preliminary version was shown

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3 **Figure 1** Different stages of data collection and analysis.
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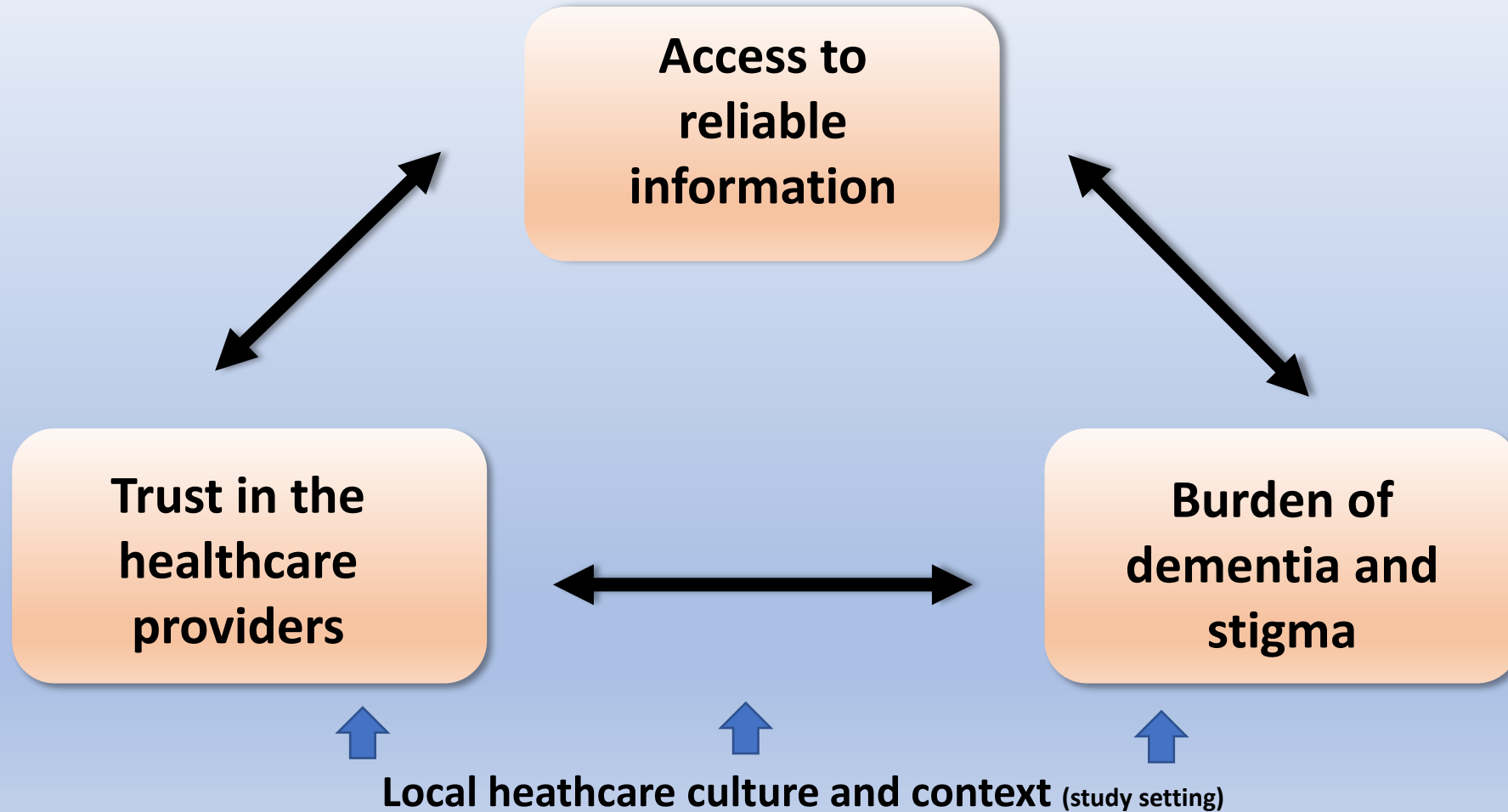
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8 **Figure 2** Presentation of the core category and interactions between categories
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For peer review only

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The interactive process of the three categories influencing the engagement in self management prevention programme



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COREQ (COnsolidated criteria for REporting Qualitative research) Checklist

A checklist of items that should be included in reports of qualitative research. You must report the page number in your manuscript where you consider each of the items listed in this checklist. If you have not included this information, either revise your manuscript accordingly before submitting or note N/A.

Topic	Item No.	Guide Questions/Description	Reported on Page No.
Domain 1: Research team and reflexivity			
<i>Personal characteristics</i>			
Interviewer/facilitator	1	Which author/s conducted the interview or focus group?	
Credentials	2	What were the researcher's credentials? E.g. PhD, MD	
Occupation	3	What was their occupation at the time of the study?	
Gender	4	Was the researcher male or female?	
Experience and training	5	What experience or training did the researcher have?	
<i>Relationship with participants</i>			
Relationship established	6	Was a relationship established prior to study commencement?	
Participant knowledge of the interviewer	7	What did the participants know about the researcher? e.g. personal goals, reasons for doing the research	
Interviewer characteristics	8	What characteristics were reported about the interviewer/facilitator? e.g. Bias, assumptions, reasons and interests in the research topic	
Domain 2: Study design			
<i>Theoretical framework</i>			
Methodological orientation and Theory	9	What methodological orientation was stated to underpin the study? e.g. grounded theory, discourse analysis, ethnography, phenomenology, content analysis	
<i>Participant selection</i>			
Sampling	10	How were participants selected? e.g. purposive, convenience, consecutive, snowball	
Method of approach	11	How were participants approached? e.g. face-to-face, telephone, mail, email	
Sample size	12	How many participants were in the study?	
Non-participation	13	How many people refused to participate or dropped out? Reasons?	
<i>Setting</i>			
Setting of data collection	14	Where was the data collected? e.g. home, clinic, workplace	
Presence of non-participants	15	Was anyone else present besides the participants and researchers?	
Description of sample	16	What are the important characteristics of the sample? e.g. demographic data, date	
<i>Data collection</i>			
Interview guide	17	Were questions, prompts, guides provided by the authors? Was it pilot tested?	
Repeat interviews	18	Were repeat interviews carried out? If yes, how many?	
Audio/visual recording	19	Did the research use audio or visual recording to collect the data?	
Field notes	20	Were field notes made during and/or after the interview or focus group?	
Duration	21	What was the duration of the interviews or focus group?	
Data saturation	22	Was data saturation discussed?	
Transcripts returned	23	Were transcripts returned to participants for comment and/or	

Topic	Item No.	Guide Questions/Description	Reported on Page No.
		correction?	
Domain 3: analysis and findings			
<i>Data analysis</i>			
Number of data coders	24	How many data coders coded the data?	
Description of the coding tree	25	Did authors provide a description of the coding tree?	
Derivation of themes	26	Were themes identified in advance or derived from the data?	
Software	27	What software, if applicable, was used to manage the data?	
Participant checking	28	Did participants provide feedback on the findings?	
<i>Reporting</i>			
Quotations presented	29	Were participant quotations presented to illustrate the themes/findings? Was each quotation identified? e.g. participant number	
Data and findings consistent	30	Was there consistency between the data presented and the findings?	
Clarity of major themes	31	Were major themes clearly presented in the findings?	
Clarity of minor themes	32	Is there a description of diverse cases or discussion of minor themes?	

Developed from: Tong A, Sainsbury P, Craig J. Consolidated criteria for reporting qualitative research (COREQ): a 32-item checklist for interviews and focus groups. *International Journal for Quality in Health Care*. 2007. Volume 19, Number 6: pp. 349 – 357

Once you have completed this checklist, please save a copy and upload it as part of your submission. DO NOT include this checklist as part of the main manuscript document. It must be uploaded as a separate file.