

Instructions

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Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

Relationships not covered above.

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| Section 1. Identifying | Information | |
|--|---|-------------------------------|
| 1. Given Name (First Name) SEBASTIAN | 2. Surname (Last Name) FEIZMANDEZ-EVSSY 08/04 | /19 |
| 4. Are you the corresponding authors | | |
| 5. Manuscript Title Bronchial Rheoplasty For Treatn | nent of Chronic Bronchitis: 6 Month Results From a Multi-Center Study | |
| 6. Manuscript Identifying Number | (if you know it) | |
| Section 2. The Work U | nder Consideration for Publication | |
| Did you or your institution at any t | ime receive payment or services from a third party (government, commercial, private four including but not limited to grants, data monitoring board, study design, manuscript prep | ndation, etc.) fo aration, |
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| Are there any relevant conflicts | of interest? Yes XNo | |
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Section 5. Relationships not covered above

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| Section 1. | Identifying Inform | ation | | | | |
|---|----------------------------|-------------------------------------|--|--|--|--|
| 1. Given Name (Fi Daniel | | 2. Surname (Last Name) Steinfort | 3. Date 02-August-2019 | | | |
| 4. Are you the cor | responding author? | Yes 🖌 No | Corresponding Author's Name Arschang VALIPOUR | | | |
| 5. Manuscript Title Bronchial Rheop | | Chronic Bronchitis: 6 Mor | nth Results From a Multi-Center Study | | | |
| 6. Manuscript Idei | ntifying Number (if you kn | now it) | | | | |
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| Section 2. | The Work Under Co | onsideration for Publ | ication | | | |
| Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? | | | | | | |
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| Are there any rel | evant conflicts of intere | est? Yes 🖌 No | | | | |
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| Section 4. | Intellectual Proper | rty Patents & Copyri | ghts | | | |

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Ves



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Dr. Steinfort has nothing to disclose.

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| Section 1. Identifying Inform | nation | | | | | |
|---|----------------------------------|--|--|--|--|--|
| 1. Given Name (First Name) Louis | 2. Surname (Last Name) Irving | 3. Date 02-August-2019 | | | | |
| 4. Are you the corresponding author? | Yes 🖌 No | Corresponding Author's Name Arschang VALIPOUR | | | | |
| 5. Manuscript Title Bronchial Rheoplasty For Treatment of | Chronic Bronchitis: 6 Mont | h Results From a Multi-Center Study | | | | |
| 6. Manuscript Identifying Number (if you k | now it) | | | | | |
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| Section 3. Relevant financial | activities outside the s | ubmitted work. | | | | |
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| Section 4. Intellectual Prope | rty Patents & Copyrig | hts | | | | |

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🗸 No



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Dr. Irving has nothing to disclose.

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| 1. Given Name (Fir Tajalli | | | me (Last Nar | ne) | | 3. Date 04-August-2019 | |
| 4. Are you the corr | esponding author? | Yes | ✓ No | Correspond | | or's Name | |
| 5. Manuscript Title Bronchial Rheop | asty For Treatment of C | hronic Br | onchitis: 6 | Month Results Fr | om a Mul | ti-Center Study | |
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| Name of Institut | ion/Company | Grant? | Personal Fees ? | Non-Financial Support? | Other? | Comments | |
| GALA Therapeutics | | \checkmark | | | | Macquarie University received a grant to compensate for costs of enrolling, investigating and treating patients. | |
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Are there any relevant conflicts of interest? \Box Yes \checkmark No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🗸 No



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Dr. Saghaie reports grants from GALA Therapeutics, during the conduct of the study; .

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| Section 1 | | | | | |
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| Section 1. Identifying Inform | mation | | | | |
| 1. Given Name (First Name) Alvin | 2. Surname (Last Name) Ing | | 3. Date 03-August-2019 | | |
| 4. Are you the corresponding author? | Yes 🖌 No | Corresponding Author's Na Arschang Valipour | ame | | |
| 5. Manuscript Title Bronchial Rheoplasty For Treatment o | f Chronic Bronchitis: 6 Mon | th Results From a Multi-Ce | nter Study | | |
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| If yes, please fill out the appropriate in Excess rows can be removed by pressi | • | ve more than one entity pr | ess the "ADD" button to add a | row. | |
| Name of Institution/Company | | n-Financial Support? Other? Co | omments | | |
| Gala Therapeutics Inc | | Spor | nsored Investigation | | |

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No

Are there any relevant conflicts of interest? \checkmark Yes

If yes, please fill out the appropriate information below.

| Name of Entity | Grant? | Personal Fees ? | Non-Financial Support? | Other? | Comments | |
|-------------------|--------------|---------------------------|---------------------------|--------|----------|--|
| Pulmonx Australia | \checkmark | | | | | |
| Olympus Australia | \checkmark | | | | | |



Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Ves

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| Section 1. Identifying Inform | nation | | | | | | |
|---|--|---------------------------|--|--|--|--|--|
| 1. Given Name (First Name) Arschang | 2. Surname (Last Name) Valipour | 3. Date 02-August-2019 | | | | | |
| 4. Are you the corresponding author? | ✓ Yes No | | | | | | |
| 5. Manuscript Title Bronchial Rheoplasty For Treatment of | Chronic Bronchitis: 6 Month Results From a Multi-Ce | enter Study | | | | | |
| 6. Manuscript Identifying Number (if you kr | now it) | | | | | | |
| | | | | | | | |
| Section 2. The Work Under C | onsideration for Publication | | | | | | |
| any aspect of the submitted work (including statistical analysis, etc.)? | ive payment or services from a third party (government, o but not limited to grants, data monitoring board, study o | | | | | | |
| Are there any relevant conflicts of intere | est? Yes 🖌 No | | | | | | |
| Section 3. Delevent financial | | | | | | | |
| Relevant financial | activities outside the submitted work. | | | | | | |
| Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication . | | | | | | | |
| Are there any relevant conflicts of intere | est? Yes 🖌 No | | | | | | |
| | | | | | | | |
| Section 4. Intellectual Proper | rty Patents & Copyrights | | | | | | |

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Ves



Section 5. Relationships not covered above

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✓ No other relationships/conditions/circumstances that present a potential conflict of interest

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Dr. Valipour has nothing to disclose.

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| Section 1. Identifying Information | | | | | | |
|--|--|-------------------------|-----------------------------------|--|--|--|
| | | | | | | |
| 1. Given Name (First Name) | 2. Surname (Last Name) | | 3. Date | | | |
| William | Krimsky | | 02-August-2019 | | | |
| 4. Are you the corresponding author? | Yes 🖌 No | Corresponding Authority | or's Name | | | |
| | | Arschang Valipour | | | | |
| 5. Manuscript Title | | | | | | |
| Bronchial Rheoplasty For Treatme | nt of Chronic Bronchitis: 6 Mo | nth Results From a Mul | ti-Center Study | | | |
| 6. Manuscript Identifying Number (if | (ou know it) | | | | | |
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| Section 2. The Work Und | er Consideration for Pub | lication | | | | |
| | | | | | | |
| | Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis etc.)? | | | | | |
| Are there any relevant conflicts of | interest? 🖌 Yes 🗌 No | | | | | |
| If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button. | | | | | | |
| Name of Institution/Company | Grant? Personal No | on-Financial Other? | Comments | | | |
| | | Support? | | | | |
| Gala Therapuetics | | | Chief Medical Officer with Option | | | |
| | | | Grant | | | |

Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below.

| Name of Entity | Grant? | Personal Fees | Non-Financial Support? | Other? | Comments | |
|----------------|--------|------------------|---------------------------|--------------|------------------------------|--|
| Medtronic | | \checkmark | | \checkmark | Consultant with IP rights | |
| Eolo | | \checkmark | | \checkmark | Consultant with option grant | |
| CSA Medical | | | | \checkmark | Stock and option grant | |



Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? 🖌 Yes 🗌 No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

| Patent? | Pending? | Issued? | Licensed? | Royalties? | Licensee? | Comments | |
|-------------------|--------------|---------|-----------|------------|-----------|-----------------------------------|--|
| Gala Therapeutics | \checkmark | | | | | See my COI above re: Gala | |
| Medtroinic | \checkmark | | | | | See my COI above re: Medtronic | |

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Dr. Krimsky is the Chief Medical Officer of Gala Therapeutics that includes an option grant during the conduct of the study; personal fees and other from Eolo, other from CSA Medical, outside the submitted work; In addition, Dr. Krimsky has a patent Gala Therapeutics pending, and a patent Medtroinic pending.



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| Section 1. Identifying Inform | nation | | | | | |
|---|-------------------------------------|--|--|--|--|--|
| 1. Given Name (First Name) Eli | 2. Surname (Last Name) Dabscheck | 3. Date 03-August-2019 | | | | |
| 4. Are you the corresponding author? | Yes 🖌 No | Corresponding Author's Name Arschang Valipour | | | | |
| 5. Manuscript Title Bronchial Rheoplasty For Treatment of | Chronic Bronchitis: 6 Montl | h Results From a Multi-Center Study | | | | |
| 6. Manuscript Identifying Number (if you kr | now it) | | | | | |
| | | | | | | |
| Section 2. The Work Under C | onsideration for Public | ation | | | | |
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| Section 4. Intellectual Proper | rty Patents & Copyrig | hts | | | | |

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🗸 No



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| Section 1. Identifying Inform | ation | | | | | |
|--|---------------------------------|--|--|--|--|--|
| 1. Given Name (First Name) Gregory | 2. Surname (Last Name) Snell | 3. Date 02-August-2019 | | | | |
| 4. Are you the corresponding author? | Yes 🖌 No | Corresponding Author's Name Arschang Valipour | | | | |
| 5. Manuscript Title Bronchial Rheoplasty For Treatment of (| Chronic Bronchitis: 6 Mont | h Results From a Multi-Center Study | | | | |
| 6. Manuscript Identifying Number (if you kn | low it) | | | | | |
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| Section 1. Ident | tifying Informati | ion | | | | | | |
|---|-------------------|-------------------------------------|---------------|--|--|--|--|--|
| 1. Given Name (First Name) Jonathan | | . Surname (Last Na /aldstreicher | ime) | 3. Date 01-August-2019 | | | | |
| 4. Are you the corresponding author? | | Yes 🖌 No | | Corresponding Author's Name Arschang Valipour | | | | |
| 5. Manuscript Title Bronchial Rheoplasty For Treatment of Chronic Bronchitis: 6 Month Results From a Multi-Center Study | | | | | | | | |
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| If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button. | | | | | | | | |
| Name of Institution/Cor | | irant? Fees? | Non-Financial | Other? Cor | nments | | | |
| Gala Therapeutics | | | | | v and stock options (Founder & of the company) | | | |
| | | | | | | | | |
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|---------|--------------|---------|------------|--------------------------|-----------|-----------------------|--|
| Patents | \checkmark | | | | | Company Founder & CEO | |

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I am the Founder & CEO of the company and was involved in every aspect of development from design to preclinical testing to clinical deployment to data analysis.

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Dr. Waldstreicher reports personal fees from Gala Therapeutics, during the conduct of the study; In addition, Dr. Waldstreicher has a Patents pending and is the Founder & CEO of the company and was involved in every aspect of development from design to preclinical testing to clinical deployment to data analysis.



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1. Identifying information.

2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

Definitions.

Entity: government agency, foundation, commercial sponsor, academic institution, etc.

Grant: A grant from an entity, generally [but not always] paid to your organization

Personal Fees: Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting , lectures, speakers bureaus, expert testimony, employment, or other affiliations

Non-Financial Support: Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes Pending: The patent has been filed but not issued Issued: The patent has been issued by the agency Licensed: The patent has been licensed to an entity, whether earning royalties or not Povalties: Funds are coming in to you or your institution due to you



| Section 1. | Identifying Inform | ation | | | | | | | |
|---|---|--------------------------------------|--|--|-----------------------------|--|--|--|--|
| 1. Given Name (First Name) Jonathan | | 2. Surname (Last Name) Williamson | | | 3. Date | | | | |
| Jonathan | | vvillariis | | | 03-August-2019 | | | | |
| 4. Are you the corresponding author? | | Yes 🖌 No | | Correspon | Corresponding Author's Name | | | | |
| Manuscript Title Bronchial Rheoplasty For Treatment of Chronic Bronchitis: 6 Month Results From a Multi-Center Study Manuscript Identifying Number (if you know it) | | | | | | | | | |
| o. Manuscript iden | inging Number (in you ki | IOW IL) | | | | | | | |
| | | | | | | | | | |
| Section 2. | The Work Under Co | | tion for Dubl | ication | | | | | |
| Did you or your inst any aspect of the su statistical analysis, e Are there any rele | itution at any time recei bmitted work (including ttc.)? vant conflicts of intere | ive paymen J but not lim est? | t or services fror nited to grants, c Yes No | n a third party lata monitorin | g board, st | ent, commercial, private foundation, etc.) for udy design, manuscript preparation, | | | |
| If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button. | | | | | | | | | |
| Name of Instituti | on/Company | Grant? | _ | on-Financial Support <mark>?</mark> | Other? | Comments | | | |
| Gala Therapeutics | | \checkmark | | | | Covered the costs of hospital admissions, consultation time, blood tests and radiology. | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| Section 3. | Relevant financial | activities | outside the | submitted | work. | | | | |
| of compensation) | with entities as descri | ibed in the | instructions. l | Jse one line f | or each ei | cial relationships (regardless of amount ntity; add as many lines as you need by e 36 months prior to publication . | | | |
| Are there any rele | vant conflicts of intere | est? | Yes 🖌 No | | _ | - | | | |

Are there any relevant conflicts of interest? Yes

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?

✓ No



Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

✓ No other relationships/conditions/circumstances that present a potential conflict of interest

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Williamson reports grants from Gala Therapeutics, during the conduct of the study; .

Evaluation and Feedback