

Instructions

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Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

Relationships not covered above.

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Section 1. Identifying	Information	
1. Given Name (First Name) SEBASTIAN	2. Surname (Last Name) FEIZMANDEZ-EVSSY 08/04	/19
4. Are you the corresponding authors		
5. Manuscript Title Bronchial Rheoplasty For Treatn	nent of Chronic Bronchitis: 6 Month Results From a Multi-Center Study	
6. Manuscript Identifying Number	(if you know it)	
Section 2. The Work U	nder Consideration for Publication	
Did you or your institution at any t	ime receive payment or services from a third party (government, commercial, private four including but not limited to grants, data monitoring board, study design, manuscript prep	ndation, etc.) fo aration,
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Are there any relevant conflicts	of interest? Yes XNo	
Section 4. Intellectual	Property Patents & Copyrights	
Do you have any patents, wheth	ner planned, pending or issued, broadly relevant to the work? Yes	0



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1. Given Name (Fi Daniel		2. Surname (Last Name) Steinfort	3. Date 02-August-2019			
4. Are you the cor	responding author?	Yes 🖌 No	Corresponding Author's Name Arschang VALIPOUR			
5. Manuscript Title Bronchial Rheop		Chronic Bronchitis: 6 Mor	nth Results From a Multi-Center Study			
6. Manuscript Idei	ntifying Number (if you kn	now it)				
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Are there any rel	evant conflicts of intere	est? Yes 🖌 No				
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Section 4.	Intellectual Proper	rty Patents & Copyri	ghts			

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Dr. Steinfort has nothing to disclose.

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Section 1. Identifying Inform	nation					
1. Given Name (First Name) Louis	2. Surname (Last Name) Irving	3. Date 02-August-2019				
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Dr. Irving has nothing to disclose.

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Section 1.	Identifying Informa	ation					
1. Given Name (Fir Tajalli			me (Last Nar	ne)		3. Date 04-August-2019	
4. Are you the corr	esponding author?	Yes	✓ No	Correspond		or's Name	
5. Manuscript Title Bronchial Rheop	asty For Treatment of C	hronic Br	onchitis: 6	Month Results Fr	om a Mul	ti-Center Study	
6. Manuscript Ider	ntifying Number (if you kno	ow it)					
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any aspect of the si statistical analysis,	ubmitted work (including l	but not lin	nited to gran			ent, commercial, private foundation, etc.) fo udy design, manuscript preparation,	or
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Name of Institut	ion/Company	Grant?	Personal Fees ?	Non-Financial Support?	Other?	Comments	
GALA Therapeutics		\checkmark				Macquarie University received a grant to compensate for costs of enrolling, investigating and treating patients.	
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Dr. Saghaie reports grants from GALA Therapeutics, during the conduct of the study; .

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Name of Institution/Company		n-Financial Support? Other? Co	omments		
Gala Therapeutics Inc		Spor	nsored Investigation		

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No

Are there any relevant conflicts of interest? \checkmark Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees ?	Non-Financial Support?	Other?	Comments	
Pulmonx Australia	\checkmark					
Olympus Australia	\checkmark					



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1. Given Name (First Name) Arschang	2. Surname (Last Name) Valipour	3. Date 02-August-2019					
4. Are you the corresponding author?	✓ Yes No						
5. Manuscript Title Bronchial Rheoplasty For Treatment of	Chronic Bronchitis: 6 Month Results From a Multi-Ce	enter Study					
6. Manuscript Identifying Number (if you kr	now it)						
Section 2. The Work Under C	onsideration for Publication						
any aspect of the submitted work (including statistical analysis, etc.)?	ive payment or services from a third party (government, o but not limited to grants, data monitoring board, study o						
Are there any relevant conflicts of intere	est? Yes 🖌 No						
Section 3. Delevent financial							
Relevant financial	activities outside the submitted work.						
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication .							
Are there any relevant conflicts of intere	est? Yes 🖌 No						
Section 4. Intellectual Proper	rty Patents & Copyrights						

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Ves



Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

✓ No other relationships/conditions/circumstances that present a potential conflict of interest

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Section 6. Disclosure Statement

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Dr. Valipour has nothing to disclose.

Evaluation and Feedback



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Other: Anything not covered under the previous three boxes Pending: The patent has been filed but not issued Issued: The patent has been issued by the agency Licensed: The patent has been licensed to an entity, whether earning royalties or not Royalties: Funds are coming in to you or your institution due to your



Section 1. Identifying Information						
1. Given Name (First Name)	2. Surname (Last Name)		3. Date			
William	Krimsky		02-August-2019			
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Authority	or's Name			
		Arschang Valipour				
5. Manuscript Title						
Bronchial Rheoplasty For Treatme	nt of Chronic Bronchitis: 6 Mo	nth Results From a Mul	ti-Center Study			
6. Manuscript Identifying Number (if	(ou know it)					
Section 2. The Work Und	er Consideration for Pub	lication				
	Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis etc.)?					
Are there any relevant conflicts of	interest? 🖌 Yes 🗌 No					
If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.						
Name of Institution/Company	Grant? Personal No	on-Financial Other?	Comments			
		Support?				
Gala Therapuetics			Chief Medical Officer with Option			
			Grant			

Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
Medtronic		\checkmark		\checkmark	Consultant with IP rights	
Eolo		\checkmark		\checkmark	Consultant with option grant	
CSA Medical				\checkmark	Stock and option grant	



Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? 🖌 Yes 🗌 No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments	
Gala Therapeutics	\checkmark					See my COI above re: Gala	
Medtroinic	\checkmark					See my COI above re: Medtronic	

Section 5. Relationships not covered above

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Dr. Krimsky is the Chief Medical Officer of Gala Therapeutics that includes an option grant during the conduct of the study; personal fees and other from Eolo, other from CSA Medical, outside the submitted work; In addition, Dr. Krimsky has a patent Gala Therapeutics pending, and a patent Medtroinic pending.



Evaluation and Feedback



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Section 1. Identifying Inform	nation					
1. Given Name (First Name) Eli	2. Surname (Last Name) Dabscheck	3. Date 03-August-2019				
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name Arschang Valipour				
5. Manuscript Title Bronchial Rheoplasty For Treatment of	Chronic Bronchitis: 6 Montl	h Results From a Multi-Center Study				
6. Manuscript Identifying Number (if you kr	now it)					
Section 2. The Work Under C	onsideration for Public	ation				
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Section 4. Intellectual Proper	rty Patents & Copyrig	hts				

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Dr. Dabscheck has nothing to disclose.

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Section 1. Identifying Inform	ation					
1. Given Name (First Name) Gregory	2. Surname (Last Name) Snell	3. Date 02-August-2019				
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name Arschang Valipour				
5. Manuscript Title Bronchial Rheoplasty For Treatment of (Chronic Bronchitis: 6 Mont	h Results From a Multi-Center Study				
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Section 2. The Work Under Co	onsideration for Public	ation				
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Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes V No



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Dr. Snell has nothing to disclose.

Evaluation and Feedback



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Section 1. Ident	tifying Informati	ion						
1. Given Name (First Name) Jonathan		. Surname (Last Na /aldstreicher	ime)	3. Date 01-August-2019				
4. Are you the corresponding author?		Yes 🖌 No		Corresponding Author's Name Arschang Valipour				
5. Manuscript Title Bronchial Rheoplasty For Treatment of Chronic Bronchitis: 6 Month Results From a Multi-Center Study								
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If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.								
Name of Institution/Cor		irant? Fees?	Non-Financial	Other? Cor	nments			
Gala Therapeutics					v and stock options (Founder & of the company)			
Section 3. Relevant financial activities outside the submitted work.								
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Are there any relevant conflicts of interest? Yes 🖌 No								

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? 🖌 Yes 🗌 No

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Patent?	Pending?	Issued?	Licensed ?	Royalties <mark>?</mark>	Licensee?	Comments	
Patents	\checkmark					Company Founder & CEO	

Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

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I am the Founder & CEO of the company and was involved in every aspect of development from design to preclinical testing to clinical deployment to data analysis.

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Dr. Waldstreicher reports personal fees from Gala Therapeutics, during the conduct of the study; In addition, Dr. Waldstreicher has a Patents pending and is the Founder & CEO of the company and was involved in every aspect of development from design to preclinical testing to clinical deployment to data analysis.



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Section 1.	Identifying Inform	ation							
1. Given Name (First Name) Jonathan		2. Surname (Last Name) Williamson			3. Date				
Jonathan		vvillariis			03-August-2019				
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o. Manuscript iden	inging Number (in you ki	IOW IL)							
Section 2.	The Work Under Co		tion for Dubl	ication					
Did you or your inst any aspect of the su statistical analysis, e Are there any rele	itution at any time recei bmitted work (including ttc.)? vant conflicts of intere	ive paymen J but not lim est?	t or services fror nited to grants, c Yes No	n a third party lata monitorin	g board, st	ent, commercial, private foundation, etc.) for udy design, manuscript preparation,			
If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.									
Name of Instituti	on/Company	Grant?	_	on-Financial Support <mark>?</mark>	Other?	Comments			
Gala Therapeutics		\checkmark				Covered the costs of hospital admissions, consultation time, blood tests and radiology.			
Section 3.	Relevant financial	activities	outside the	submitted	work.				
of compensation)	with entities as descri	ibed in the	instructions. l	Jse one line f	or each ei	cial relationships (regardless of amount ntity; add as many lines as you need by e 36 months prior to publication .			
Are there any rele	vant conflicts of intere	est?	Yes 🖌 No		_	-			

Are there any relevant conflicts of interest? Yes

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Do you have any patents, whether planned, pending or issued, broadly relevant to the work?

✓ No



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Yes, the following relationships/conditions/circumstances are present (explain below):

✓ No other relationships/conditions/circumstances that present a potential conflict of interest

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Williamson reports grants from Gala Therapeutics, during the conduct of the study; .

Evaluation and Feedback