

# Supplementary Material for “Generative-Discriminative Complementary Learning”

September 6, 2019

## S1. Proof of Theorem 1

According to the triangle inequality of total variation (TV) distance, we have

$$d_{TV}(P_{XY}, Q_{XY}) \leq d_{TV}(P_{XY}, P_{Y|X}Q_X) + d_{TV}(P_{Y|X}Q_X, Q_{XY}). \quad (1)$$

Using the definition of TV distance, we have

$$\begin{aligned} d_{TV}(P_{Y|X}P_X, P_{Y|X}Q_X) &= \frac{1}{2} \int |p_{Y|X}(y|x)p_X(x) - p_{Y|X}(y|x)q_X(x)|\mu(x, y) \\ &\stackrel{(a)}{\leq} \frac{1}{2} \int |p_{Y|X}(y|x)|\mu(x, y) \int |p_X(x) - q_X(x)|\mu(x) \\ &\leq c_1 d_{TV}(P_X, Q_X), \end{aligned} \quad (2)$$

where  $p$  and  $q$  are densities,  $\mu$  is a ( $\sigma$ -finite) measure,  $c_1$  is a constant, and (a) follows from the Hölder inequality.

Similarly, we have

$$d_{TV}(P_{Y|X}Q_X, Q_{Y|X}Q_X) \leq c_2 d_{TV}(P_{Y|X}, Q_{Y|X}), \quad (3)$$

where  $c_2$  is a constant. Combining (1), (2), and (3), we have

$$\begin{aligned} d_{TV}(P_{XY}, Q_{XY}) &\leq c_1 d_{TV}(P_X, Q_X) + c_2 d_{TV}(P_{Y|X}, Q_{Y|X}) \\ &\leq c_1 d_{TV}(P_X, Q_X) + c_2 d_{TV}(P_{Y|X}, Q'_{Y|X}) + c_2 d_{TV}(Q'_{Y|X}, Q_{Y|X}). \end{aligned} \quad (4)$$

Since we have no access to  $P_{Y|X}$ , by simply adapting the proof of Theorem 1 in [Thekumparampil et al.2018], we bound  $d_{TV}(P_{Y|X}, Q'_{Y|X})$  using complementary conditional probabilities as

$$d_{TV}(P_{Y|X}, Q'_{Y|X}) = \max_{S_1, \dots, S_K \subseteq \mathcal{X}} \sum_{y \in \mathcal{Y}} \{P(y|S_y) - Q'(y|S_y)\}$$

$$\begin{aligned}
&= \max_{S_1, \dots, S_K \subseteq \mathcal{X}} \langle \mathbf{1}, P(\cdot|\{S_y\}_{y \in \mathcal{Y}}) - Q'(\cdot|\{S_y\}_{y \in \mathcal{Y}}) \rangle \\
&\stackrel{(a)}{=} \max_{S_1, \dots, S_K \subseteq \mathcal{X}} \langle \mathbf{1}, \mathbf{M}^{-1}(P(\cdot|\{S_{\bar{y}}\}_{\bar{y} \in \mathcal{Y}}) - Q'(\cdot|\{S_{\bar{y}}\}_{\bar{y} \in \mathcal{Y}})) \rangle \\
&\stackrel{(b)}{\leq} \|\mathbf{M}^{-\top}\|_1 \max_{S_1, \dots, S_K \subseteq \mathcal{X}} \|P(\cdot|\{S_{\bar{y}}\}_{\bar{y} \in \mathcal{Y}}) - Q'(\cdot|\{S_{\bar{y}}\}_{\bar{y} \in \mathcal{Y}})\|_1 \\
&= \|\mathbf{M}^{-1}\|_\infty d_{TV}(P_{\bar{Y}|X}, Q'_{\bar{Y}|X}), \tag{5}
\end{aligned}$$

where  $P(\cdot|\{S_y\}) = [P(Y = 1|S_1), \dots, P(Y = K|S_K)]^\top$ ,  $P(\cdot|\{S_{\bar{y}}\}) = [P(\bar{Y} = 1|S_1), \dots, P(\bar{Y} = K|S_K)]^\top$ , (a) follows from  $P(\cdot|\{S_{\bar{y}}\}) = \mathbf{M}P(\cdot|\{S_y\})$ , and (b) follows from the fact that  $\mathbf{1}^\top Ax \leq \|Ax\|_1 \leq \|A\|_1 \|x\|_1$ . By combining (4) and (5), we have

$$\begin{aligned}
d_{TV}(P_{XY}, Q_{XY}) &\leq c_1 d_{TV}(P_X, Q_X) + c_2 \|\mathbf{M}^{-1}\|_\infty d_{TV}(P_{\bar{Y}|X}, Q'_{\bar{Y}|X}) \\
&\quad + c_2 d_{TV}(Q_{Y|X}, Q'_{Y|X}) \tag{6}
\end{aligned}$$

According to the relations between total variation (TV), KL divergence ( $d_{KL}$ ), and Jensen-Shannon divergence ( $d_{JS}$ ), we can rewrite (6) as

$$\begin{aligned}
d_{TV}(P_{XY}, Q_{XY}) &\leq 2c_1 \sqrt{d_{JS}(P_X, Q_X)} + c_2 \|\mathbf{M}^{-1}\|_\infty \sqrt{d_{KL}(P_{\bar{Y}|X}, Q'_{\bar{Y}|X})} \\
&\quad + c_2 \sqrt{d_{KL}(Q_{Y|X}, Q'_{Y|X})}, \tag{7}
\end{aligned}$$

which follows from the Pinsker's inequality. By replacing  $2c_1$  in (7) with a new constant  $c_1$  (using the same notation for simplicity), we can obtain the inequality in Theorem 1. From the theorem, we can see that if the complementary labels are highly-biased, it may cause  $\mathbf{M}$  to be rank-deficient. In this case, our algorithm may not minimize the distance between  $P_{XY}$  and  $Q_{XY}$  efficiently.

## S2. Illustration of Our Objective Function (Eq. (5))

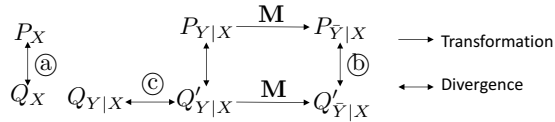


Figure 1: Illustration of the divergence terms that are minimized in Eq. (5).  $P_{Y|X}$  ( $P_{\bar{Y}|X}$ ) is the conditional distribution of ordinal (complementary) label given features on the real data.  $Q'_{Y|X}$  ( $Q'_{\bar{Y}|X}$ ) is the conditional distribution of ordinal (complementary) label produced by the classification network  $C$  in Eq. (5).  $Q_{Y|X}$  is the conditional distribution of ordinal label given features induced by our generator  $G$ . From the figure, we can see that minimizing (b) leads to reduced divergence between  $P_{Y|X}$  and  $Q'_{Y|X}$ . Therefore, the objective function minimizes the divergence between  $P_{Y|X}$  and  $Q_{Y|X}$  further because of (c). Combined with (a), our objective minimizes divergence between  $P_{XY}$  and  $Q_{XY}$ .

### S3. Quality of synthetic data

Method \ $r_l$	0.2	0.4	0.6	0.8	1.0
CIFAR10					
Ordinary label, IS	$5.16 \pm 0.066$	$5.99 \pm 0.058$	$6.19 \pm 0.070$	$6.27 \pm 0.070$	$6.53 \pm 0.082$
CCGAN, IS	$5.28 \pm 0.048$	$5.90 \pm 0.065$	$6.27 \pm 0.094$	$6.27 \pm 0.067$	$6.48 \pm 0.052$
Ordinary label, FID	54.33	39.18	35.18	32.91	28.40
CCGAN, FID	50.75	37.47	33.86	34.55	31.63
CIFAR100					
Ordinary label, IS	$5.11 \pm 0.038$	$6.80 \pm 0.084$	$7.59 \pm 0.154$	$7.94 \pm 0.133$	$7.82 \pm 0.09$
CCGAN, IS	$4.80 \pm 0.042$	$6.36 \pm 0.059$	$6.73 \pm 0.095$	$7.17 \pm 0.085$	$7.22 \pm 0.115$
Ordinary label, FID	65.00	44.14	41.49	36.25	34.34
CCGAN, FID	79.13	44.01	43.63	36.21	34.63
VGGFACE100					
Ordinary label, IS	$19.18 \pm 0.254$	$29.19 \pm 0.235$	$48.99 \pm 0.533$	$54.59 \pm 0.390$	$67.77 \pm 0.568$
CCGAN, IS	$16.49 \pm 0.243$	$28.10 \pm 0.368$	$45.82 \pm 0.746$	$52.97 \pm 0.470$	$62.30 \pm 0.409$
Ordinary label, FID	100.48	66.00	42.98	38.07	26.26
CCGAN, FID	113.78	59.98	36.45	31.661	27.79

Table 1: This table shows the Inception Score and FID score on CIFAR10, CIFAR100 and VGGFACE100 dataset.  $r_l$  denotes the proportion of sampled labeled data for training from the training set  $S$ . All these scores are under the uniformed  $M$  setting.

### S4. More Generated Images

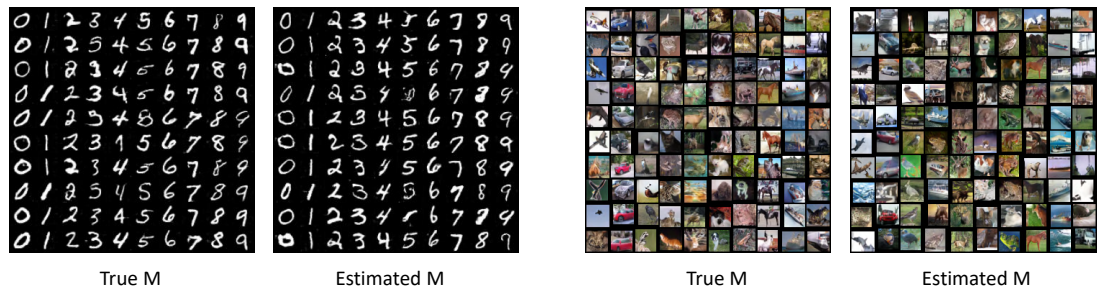


Figure 2: Synthetic results for MNIST and CIFAR10. We set  $r_l = 1$  here. It shows the generated data with true  $M$  and estimated  $M$

### References

- [Thekumparampil et al.2018] Thekumparampil, K. K.; Khetan, A.; Lin, Z.; and Oh, S. 2018. Robustness of conditional gans to noisy labels. In *Advances in Neural Information Processing Systems*, 10271–10282. 1

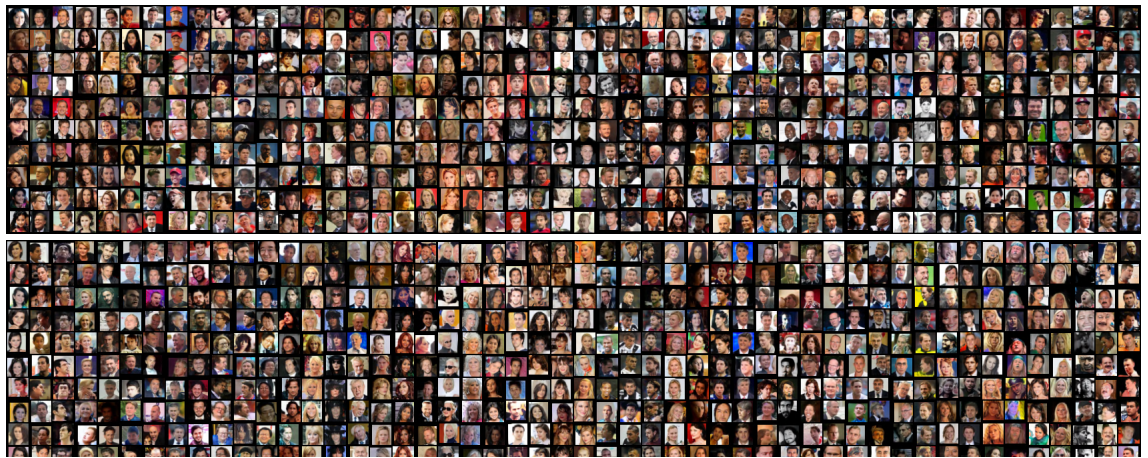


True  $M$



Estimated  $M$

Figure 3: Synthetic results for CIFAR100. We set  $r_l = 1$  here. It shows the generated data with true  $M$  and estimated  $\hat{M}$



True M



Estimated M

Figure 4: Synthetic results for MNIST and VGGFACE100. We set  $r_l = 1$  here. It shows the generated data with true  $M$  and esitimated  $M$