

Instructions

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

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Other: Anything not covered under the previous three boxes Pending: The patent has been filed but not issued Issued: The patent has been issued by the agency Licensed: The patent has been licensed to an entity, whether earning royalties or not Royalties: Funds are coming in to you or your institution due to your



Section 1.	Identifying Info	rmation	
1. Given Name (Fir Burton	st Name)	2. Surname (Last Name) Dickey	3. Date 13-July-2020
4. Are you the corresponding author?		Yes No	
5. Manuscript Title Inducible epithel		st coronavirus pneumonia in mice	
6. Manuscript Ider Red-2020-0247LI	ntifying Number (if yo E.R1	u know it)	

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

✓ No

Are there any relevant conflicts of interest? Yes

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No

Are there any relevant conflicts of interest? \checkmark Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
Pulmotect, Inc.				\checkmark	founder and shareholder	

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? 🗸 Yes

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

No



Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments	
Compositions and methods for stimulation of mammalian innate resistance to pathogens - USPTO 13/259,761		\checkmark					

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Section 6. Disclosure Statement

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Dr. Dickey reports that he is a shareholder of Pulmotect, Inc., that is commercializing the stimulation of innate immunity as described in the submitted work. In addition, Dr. Dickey has a patent "Compositions and methods for stimulation of mammalian innate resistance to pathogens" - USPTO 13/259,761 issued.

Evaluation and Feedback



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Section 1.	dentifying Inforr	nation	
1. Given Name (First Scott	Name)	2. Surname (Last Name) Evans	3. Date 14-July-2020
4. Are you the corres	ponding author?	✓ Yes No	
5. Manuscript Title Inducible epithelial	resistance against	coronavirus pneumonia in mice	
6. Manuscript Identif	ying Number (if you k	now it)	

Red-2020-0247LE.R1

Section 2. **The Work Under Consideration for Publication**

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? ✓ Yes No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row	١.
Excess rows can be removed by pressing the "X" button.	

Name of Institution/Company	Grant?	Personal Fees	Non-Financial Support <mark>?</mark>	Other?	Comments	
NIH/NHLBI	\checkmark					

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Are there any relevant conflicts of interest? ✓ Yes No

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees ?	Non-Financial Support	Other?	Comments	
Pulmotect, Inc.				\checkmark	Stock Ownership	



Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? 🗸 Yes 🗌 No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments	
8,883,174		\checkmark			Pulmotect		

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Dr. Evans reports grants from NIH/NHLBI, during the conduct of the study; other from Pulmotect, Inc., outside the submitted work; In addition, Dr. Evans has a patent 8,883,174 issued to Pulmotect.

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Section 1. Identify	ring Information	
1. Given Name (First Name) Magnus	2. Surname (Last Name) Hook	3. Date 15-July-2020
4. Are you the corresponding	author? Yes 🖌 No Con	rresponding Author's Name
5. Manuscript Title Inducible epithelial resistar	nce against coronavirus pneumonia in mice	
6. Manuscript Identifying Nun Red-2020-0247LE.R1	nber (if you know it)	

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		\checkmark	\checkmark				



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I have an equity position in Pulmotect Inc and serve on its board.

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Section 6.

Disclosure Statement

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Dr. Hook reports a relationship with Pulmotect Inc. Dr. Hook has a patent licensed to the company, has an equity position in Pulmotect Inc. and serve on its board.

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Section 1.	Identifying Infor	mation	
1. Given Name (Fi Brenton	rst Name)	2. Surname (Last Name) Scott	3. Date
4. Are you the corresponding author?		Yes 🖌 No	Corresponding Author's Name Scott Evans
5. Manuscript Titl Inducible epithe		: coronavirus pneumonia i	n mice
6. Manuscript Ide Red-2020-02471	ntifying Number (if you F B1	know it)	

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✓ No

Are there any relevant conflicts of interest? Yes

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Pulmotect, Inc.				\checkmark	Private Company	

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No



Patent?	Pending?	Issued?	Licensed?	Royalties <mark>?</mark>	Licensee?	Comments	
Method and Use patents of PUL-042	\checkmark	\checkmark	\checkmark				

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Yes, the following relationships/conditions/circumstances are present (explain below):

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Dr. Scott reports other from Pulmotect, Inc., during the conduct of the study; other from Pulmotect, Inc., outside the submitted work; In addition, Dr. Scott has a patent Method and Use patents of PUL-042 licensed.

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Section 1.	Identifying Info	mation	
1. Given Name (F Chien-Te	irst Name)	2. Surname (Last Name) Tseng	3. Date 12-July-2020
4. Are you the corresponding author?		Yes 🖌 No	Corresponding Author's Name Scott Evans
5. Manuscript Titl Inducible epithe		t coronavirus pneumonia	in mice
6. Manuscript Ide Red-2020-02471	ntifying Number (if you .E.R1	know it)	

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🖌 No

Are there any relevant conflicts of interest?		Yes
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No

Are there any relevant conflicts of interest?		Yes	\checkmark
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	1 1			



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Dr. Tseng has nothing to disclose.

Evaluation and Feedback