

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

Identifying information.

2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

3. Relevant financial activities outside the submitted work.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

Relationships not covered above.

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Other: Anything not covered under the previous three boxes

Pending: The patent has been filed but not issued

Issued: The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Kitchin 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Nicholas	2. Surname (Last Name) Kitchin	3. Date 09-October-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Judith Absalon
5. Manuscript Title Safety and Immunogenicity of Two RNA	A-Based COVID-19 Vaccine	· Candidates
6. Manuscript Identifying Number (if you kr 20-27906	now it)	_
Section 2. The Work Under Co	onsideration for Publi	cation
any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere	but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	activities outside the	submitted work.
of compensation) with entities as descri	bed in the instructions. Use port relationships that we est?	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication .
Name of Entity	Grant? Personal Fees? S	n-Financial Other? Comments
Pfizer Inc		Employee and may hold stock
Section 4. Intellectual Proper	ty Patents & Copyri	ghts
Do you have any patents, whether plan	ned, pending or issued, bı	roadly relevant to the work? Yes V No

Kitchin 2



Section 5. Relationships not covered above
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Yes, the following relationships/conditions/circumstances are present (explain below):
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Section 6. Disclosure Statement
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Dr. Kitchin reports personal fees and other from Pfizer Inc, outside the submitted work; .

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Kitchin 3



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Absalon 1



Section 1. Identifying In	formation	
1. Given Name (First Name) Judith	2. Surname (Last Name) Absalon	3. Date 14-October-2020
4. Are you the corresponding author?	Yes No	
5. Manuscript Title Safety and Immunogenicity of Two	o RNA-Based COVID-19 Vaccine Candidates	
6. Manuscript Identifying Number (if y 20-27906	you know it)	
Section 2. The Work Und	ler Consideration for Publication	
any aspect of the submitted work (incl statistical analysis, etc.)? Are there any relevant conflicts of	luding but not limited to grants, data monitoring b	overnment, commercial, private foundation, etc.) for board, study design, manuscript preparation,
Section 3. Relevant finar	ncial activities outside the submitted we	ork.
of compensation) with entities as o	described in the instructions. Use one line for all report relationships that were present dur interest? Yes No	e financial relationships (regardless of amount each entity; add as many lines as you need by ring the 36 months prior to publication.
Name of Entity	Grant? Personal Non-Financial Fees? Support?	Other? Comments
Pfizer Inc		employee and holds stock
Section 4. Intellectual Pr	operty Patents & Copyrights	
Do you have any patents, whether	planned, pending or issued, broadly relevant	t to the work? Yes V No

Absalon 2



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Dr. Absalon reports personal fees and other from Pfizer Inc, outside the submitted work; .

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Bailey 1



Section 1. Identifying Infor	mation	
1. Given Name (First Name) Ruth	2. Surname (Last Name) Bailey	3. Date 14-October-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Judith Absalon
5. Manuscript Title Safety and Immunogenicity of Two RN	IA-Based COVID-19 Vaccine	Candidates
6. Manuscript Identifying Number (if you k 20-27906	know it)	
Section 2. The Work Under 0	Consideration for Public	ation
any aspect of the submitted work (includin statistical analysis, etc.)? Are there any relevant conflicts of inte	ng but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Section 3. Relevant financia	l activities outside the s	ubmitted work.
of compensation) with entities as desc	ribed in the instructions. Us eport relationships that wer rest? Yes No	ether you have financial relationships (regardless of amount e one line for each entity; add as many lines as you need by e present during the 36 months prior to publication .
Name of Entity	Grant? Personal Nor	Other? Comments
Pfizer Inc		employee and holds stock
Section 4. Intellectual Prope	erty Patents & Copyrig	hts
Do you have any patents, whether plan	nned, pending or issued, br	oadly relevant to the work? Yes V No

Bailey 2



Section 5. Polationships not severed above
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Dr. Bailey reports personal fees and other from Pfizer Inc, outside the submitted work; .

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Bailey 3



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Royalties: Funds are coming in to you or your institution due to your patent

Cooper 1



Section 1. Identifying Inform	nation	
Given Name (First Name) David	2. Surname (Last Name) Cooper	3. Date 14-October-2020
4. Are you the corresponding author?	Yes 🗸 No	Corresponding Author's Name Judith Absalon
5. Manuscript Title Safety and Immunogenicity of Two RNA	A-Based COVID-19 Vaccine	e Candidates
6. Manuscript Identifying Number (if you kr 20-27906	now it)	
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Name of Entity	Grant? Personal Fees? S	on-Financial Other? Comments
Pfizer Inc		employee and hold stock
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Do you have any patents, whether plan	ned, pending or issued, bı	roadly relevant to the work? Yes V No

Cooper 2



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Disclosure Statement
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Cooper 3



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1

Dormitzer



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Philip	2. Surname (Last Name) Dormitzer	3. Date 14-October-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Judith Absalon
5. Manuscript Title Safety and Immunogenicity of Two RN.	A-Based COVID-19 Vaccine	e Candidates
6. Manuscript Identifying Number (if you k	now it)	
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Do you have any patents, whether plan	nned, pending or issued, br	roadly relevant to the work? Yes 🗸 No

Dormitzer 2



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Falsey 1



Section 1. Identifying Inform	ation		
1. Given Name (First Name) Ann	2. Surname (Last Name) Falsey		3. Date 04-October-2020
4. Are you the corresponding author?	Yes ✓ No	Corresponding Auth Judith Absalon	or's Name
5. Manuscript Title Safety and Immunogenicity of Two RNA	ı-Based COVID-19 Vacci	ne Candidates	
6. Manuscript Identifying Number (if you kn 20-27906	ow it)		
Section 2. The Work Under Co	onsideration for Pub	lication	
Did you or your institution at any time recei any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere	but not limited to grants,	data monitoring board, st	ent, commercial, private foundation, etc.) for tudy design, manuscript preparation,
•			ity press the "ADD" button to add a row.
Excess rows can be removed by pressing			, , , , , , , , , , , , , , , , , , , ,
Name of Institution/Company	Grant? Personal N	on-Financial Other?	Comments
Pfizer	✓		
Section 3. Belovent financial			
Relevant financial	activities outside the	e submitted work.	
Place a check in the appropriate boxes in of compensation) with entities as descri clicking the "Add +" box. You should rep	bed in the instructions.	Use one line for each e	ntity; add as many lines as you need by
Are there any relevant conflicts of intere			
If yes, please fill out the appropriate info	rmation below.		
Name of Entity	Grant? Personal N	on-Financial Other?	Comments
Janssen	✓		
Merck, Sharpe and Dohme	✓		
Novavax			DSMB

Falsey 2



Section 4. Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Volume
Section 5. Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Falsey reports grants from Pfizer, during the conduct of the study; grants from Janssen, grants from Merck, Sharpe and Dohme, personal fees from Novavax, outside the submitted work; .

Evaluation and Feedback

 $Please\ visit\ \underline{http://www.icmje.org/cgi-bin/feedback}\ to\ provide\ feedback\ on\ your\ experience\ with\ completing\ this\ form.$

Falsey 3



Instructions

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Other: Anything not covered under the previous three boxes

Pending: The patent has been filed but not issued **Issued:** The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Fontes-Garfias 1



Section 1. Identi	ifying Information			
Given Name (First Name) Camila	2. Surnan Fontes-G	ne (Last Name) arfias		3. Date 29-September-2020
4. Are you the correspondi	ng author?	✓ No	Corresponding Author's Name	e
5. Manuscript Title Safety and Immunogenio	city of Two RNA-Based CC	VID-19 Vaccine	Candidates	
6. Manuscript Identifying N 20-27906	umber (if you know it)			
			-	
Section 2. The W	ork Under Considerat	ion for Public	ation	
	l work (including but not lim		a third party (government, com ta monitoring board, study desi	mercial, private foundation, etc.) for ign, manuscript preparation,
Section 3. Releva	ant financial activities	outside the s	ubmitted work.	
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication . Are there any relevant conflicts of interest? Yes Vo				
Section 4. Intelle	ectual Property Pate	nts & Copyrig	hts	
Do you have any patents	, whether planned, pendi	ng or issued, bro	oadly relevant to the work?	Yes ✓ No

Fontes-Garfias 2



Section 5. Polationships not severed above			
Relationships not covered above			
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Yes, the following relationships/conditions/circumstances are present (explain below):			
✓ No other relationships/conditions/circumstances that present a potential conflict of interest			
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.			
Section 6. Disclosure Statement			
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.			
Dr. Fontes-Garfias has nothing to disclose.			

Evaluation and Feedback

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Fontes-Garfias 3



Instructions

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Royalties: Funds are coming in to you or your institution due to your patent

Frenck 1



Section 1. Identifying Inform	nation		
1. Given Name (First Name) Robert	2. Surname (Last Name) Frenck	3. Date 13-October-2020	
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Judith Absalon	
5. Manuscript Title Safety and Immunogenicity of Two RN.	A-Based COVID-19 Vaccine	e Candidates	
6. Manuscript Identifying Number (if you k	now it)		
Section 2. The Work Under C	onsideration for Publi	cation	
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No			
Section 3. Relevant financial	activities outside the	submitted work.	
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication . Are there any relevant conflicts of interest? Yes Vo			
Section 4. Intellectual Prope	rty Patents & Copyri	ghts	
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo			

Frenck 2



Section 5. Relationships not covered above			
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Section 6. Disalogues Statement			
Disclosure Statement			
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.			
Dr. Frenck has nothing to disclose.			

Evaluation and Feedback

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Frenck 3



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Other: Anything not covered under the previous three boxes

Pending: The patent has been filed but not issued

Issued: The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Gruber 1



Section 1. Identifying Inform	nation		
1. Given Name (First Name) William	2. Surname (Last Name) Gruber	3. Date 30-September-2020	
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Judith Absalon	
5. Manuscript Title Safety and Immunogenicity of Two RNA	A-Based COVID-19 Vaccine	· Candidates	
6. Manuscript Identifying Number (if you ki 20-27906	now it)		
Section 2. The Work Under C			
The work officer C	onsideration for Publi		
any aspect of the submitted work (including statistical analysis, etc.)?	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,	
Are there any relevant conflicts of inter-	est?		
Section 3. Relevant financial	activities outside the	submitted work.	
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication .			
Are there any relevant conflicts of inter-	est? ✓ Yes No		
If yes, please fill out the appropriate info	ormation below.		
Name of Entity	Grant? Personal No	n-Financial Other? Comments	
Pfizer Inc		employee, stock, stock options	
Section 4. Intellectual Prope	rty Patents & Copyri	ghts	
Do you have any patents, whether plan	ned, pending or issued, b	roadly relevant to the work? Yes V No	

Gruber 2



Section 5. Relationships not covered above			
Relationships not covered above			
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?			
Yes, the following relationships/conditions/circumstances are present (explain below):			
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Section 6. Disclosure Statement			
Disclosure Statement			
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.			
Dr. Gruber reports personal fees and other from Pfizer Inc, outside the submitted work; .			

Evaluation and Feedback

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Gruber 3



Instructions

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Royalties: Funds are coming in to you or your institution due to your patent

Gurtman 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Alejandra	2. Surname (Last Name) Gurtman	3. Date 14-October-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Judith Absalon
5. Manuscript Title Safety and Immunogenicity of Two RN.	A-Based COVID-19 Vaccine	e Candidates
6. Manuscript Identifying Number (if you k	now it)	_
Section 2. The Work Under C	onsideration for Publi	cation
any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of inter		ata monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	activities outside the	submitted work.
of compensation) with entities as descr	ribed in the instructions. Use port relationships that we est?	nether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by tree present during the 36 months prior to publication.
Name of Entity	Grant? Personal No	n-Financial Other? Comments
Pfizer Inc		Employee. Holds Pfizer stock
Section 4. Intellectual Prope	rty Patents & Copyri	ghts
Do you have any patents, whether plan	nned, pending or issued, bu	roadly relevant to the work? Yes V No

Gurtman 2



Section 5. Polationships not severed above			
Relationships not covered above			
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Dr. Gurtman reports personal fees and other from Pfizer Inc, outside the submitted work; .			

Evaluation and Feedback

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Gurtman 3



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Royalties: Funds are coming in to you or your institution due to your patent

Lyke 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fii Kirsten	rst Name)	2. Surname (Last Name) Lyke	3. Date 24-September-2020
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Judith Absalon
5. Manuscript Title RNA-Based COVI		2 Selected for a Pivotal Eff	icacy Study
6. Manuscript Ider 20-27906	ntifying Number (if you kr	now it)	
Section 2.	The Work Under Co	onsideration for Public	cation
any aspect of the s statistical analysis,	ubmitted work (including	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Section 3.	Relevant financial	activities outside the s	submitted work.
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Section 4.	Intellectual Proper	rty Patents & Copyrig	yhts
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No

Lyke 2



Section 5. Relationships not covered above
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Dr. Lyke has nothing to disclose.

Evaluation and Feedback

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Lyke 3



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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

Definitions.

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Non-Financial Support: Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes

Pending: The patent has been filed but not issued

Issued: The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Neuzil 1



Section 1. Identifying Inform	ation	
1. Given Name (First Name) Kathleen	2. Surname (Last Name) Neuzil	3. Date 02-October-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Judith Absalon
5. Manuscript Title RNA-Based COVID-19 Vaccine BNT162b2	2 Selected for a Pivotal Eff	icacy Study
6. Manuscript Identifying Number (if you kn 20-27906	ow it)	_
Continu 2		
Section 2. The Work Under Co	onsideration for Public	ation
	but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Section 3. Relevant financial a	activities outside the s	ubmitted work.
of compensation) with entities as descri	bed in the instructions. Us ort relationships that wer st?	ether you have financial relationships (regardless of amount e one line for each entity; add as many lines as you need by e present during the 36 months prior to publication .
	Grant? Personal Nor	n-Financial
Name of Entity	Grant: Fees? S	upport? Other! Comments
Pfizer	✓	
Moderna		
NIH		
Section 4. Intellectual Property		
Intellectual Proper	ty Patents & Copyrig	hts
Do you have any patents, whether plann	ned, pending or issued, br	oadly relevant to the work? Yes V No

Neuzil 2



Section 5.				
Section 5.	Relationships not covered above			
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?				
Yes, the follow	Yes, the following relationships/conditions/circumstances are present (explain below):			
✓ No other relati	ionships/conditions/circumstances that present a potential conflict of interest			
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.				
Cartinuc				
Section 6.	Disclosure Statement			
Based on the above below.	ve disclosures, this form will automatically generate a disclosure statement, which will appear in the box			
Dr. Neuzil reports	grants from Pfizer, grants from Moderna, grants from NIH, outside the submitted work; .			

Evaluation and Feedback

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Neuzil 3



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Royalties: Funds are coming in to you or your institution due to your patent

Jansen 1



Section 1. Identifying Inform	mation	
1. Given Name (First Name) Kathrin U	2. Surname (Last Name) Jansen	3. Date 12-October-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Judith Absalon
5. Manuscript Title Safety and Immunogenicity of Two RN	A-Based COVID-19 Vaccine	· Candidates
6. Manuscript Identifying Number (if you k 20-27906	now it)	
Section 2. The Work Under C	Consideration for Public	cation
any aspect of the submitted work (includin statistical analysis, etc.)? Are there any relevant conflicts of inter	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	activities outside the	submitted work.
of compensation) with entities as desc	ribed in the instructions. Useport relationships that we rest?	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication .
Name of Entity	Grant? Personal No	n-Financial Other? Comments
Pfizer Inc		employee and may hold stock
Section 4. Intellectual Prope	rty Patents & Copyri	ghts
Do you have any patents, whether plan	nned, pending or issued, br	roadly relevant to the work? Yes V No

Jansen 2



Section 5. Relationships not sovered above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
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Section 6. Disclosure Statement
Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Jansen reports personal fees and other from Pfizer Inc, outside the submitted work; .

Evaluation and Feedback

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Jansen 3



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Licensed: The patent has been licensed to an entity, whether

earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Kalina 1



Section 1. Identifying Inform	nation		
1. Given Name (First Name) Warren	2. Surname (Last Name) Kalina	3. Date 24-September-2020	
4. Are you the corresponding author?	Yes 🗸 No	Corresponding Author's Name Judith Absalon	
5. Manuscript Title Safety and Immunogenicity of Two RNA	A-Based COVID-19 Vaccine	· Candidates	
6. Manuscript Identifying Number (if you kr 20-27906	now it)		
Section 2. The Work Under Co	onsideration for Publi	cation	
any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere	but not limited to grants, do	a third party (government, commercial, private foundation ata monitoring board, study design, manuscript preparation	
Section 3. Relevant financial	activities outside the	submitted work.	
of compensation) with entities as descr	ibed in the instructions. Uport relationships that we est? Yes No	ether you have financial relationships (regardless of a se one line for each entity; add as many lines as you r re present during the 36 months prior to publicati	eed by
Name of Entity	Grant? Personal No	on-Financial Other? Comments	
Pfizer Inc		employee and may hold stock	
Section 4. Intellectual Proper	rty Patents & Copyri	ghts	
Do you have any patents, whether plan	ned, pending or issued, b	roadly relevant to the work? Yes V No	

Kalina 2



Section 5. Relationships not severed above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
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Section 6. Disclosure Statement
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Kalina 3



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Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Koury 1



Section 1. Identifying Inform	nation	
Given Name (First Name) Kenneth	2. Surname (Last Name) Koury	3. Date 11-October-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Judith Absalon
5. Manuscript Title Safety and Immunogenicity of Two RNA	A-Based COVID-19 Vaccine	Candidates
6. Manuscript Identifying Number (if you kr 20-27906	now it)	
Section 2. The Work Under Co	onsideration for Publi	cation
any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere	but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	activities outside the	submitted work.
of compensation) with entities as descr	ibed in the instructions. Upport relationships that we lest? Yes No	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.
Name of Entity	Grant? Personal Fees? S	n-Financial other? Comments
Pfizer Inc		employee and holds stock
Section 4. Intellectual Proper	rty Patents & Copyri	ghts
Do you have any patents, whether plan	ned, pending or issued, bı	roadly relevant to the work? Yes V No

Koury 2



Section 5. Relationships not covered above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
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Section 6. Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Koury reports personal fees and other from Pfizer Inc, outside the submitted work; .

Evaluation and Feedback

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Koury 3



Instructions

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Royalties: Funds are coming in to you or your institution due to your patent

Li 1



Section 1. Identifying Infor	mation	
1. Given Name (First Name) Ping	2. Surname (Last Name) Li	3. Date 27-September-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Judith Absalon
5. Manuscript Title Safety and Immunogenicity of Two RN	NA-Based COVID-19 Vaccine	Candidates
6. Manuscript Identifying Number (if you 20-27906	know it)	_
Section 2. The Work Under	Consideration for Public	cation
	ng but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Section 3. Relevant financia	l activities outside the	submitted work.
of compensation) with entities as desc	eribed in the instructions. Use port relationships that we erest?	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by se present during the 36 months prior to publication.
Name of Entity	Grant? Personal No	n-Financial other? Comments
Pfizer Inc		former employee and hold stock
Section 4. Intellectual Prope	erty Patents & Copyri	yhts
Do you have any patents, whether pla	nned, pending or issued, br	oadly relevant to the work? Yes V No

Li 2



Section 5. Relationships not covered above
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Evaluation and Feedback

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Li 3



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Section 1. Identifying Inform	nation	
1. Given Name (First Name) Stephen	2. Surname (Last Name) Lockhart	3. Date 30-September-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Judith Absalon
5. Manuscript Title Safety and Immunogenicity of Two RN	A-Based COVID-19 Vaccine	Candidates
6. Manuscript Identifying Number (if you k 20-27906	now it)	
Section 2. The Work Under C	onsideration for Publi	ration
	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	activities outside the	submitted work.
of compensation) with entities as descri	ribed in the instructions. Use port relationships that we rest? Yes No ormation below.	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.
Name of Entity	Grant? Personal No	n-Financial other? Comments
Pfizer Inc		Employee and may hold stock
Section 4. Intellectual Prope	rty Patents & Copyri	ghts
Do you have any patents, whether plan	nned, pending or issued, br	oadly relevant to the work? Yes V No



Section 5. Polationships not severed above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Lockhart reports personal fees and other from Pfizer Inc, outside the submitted work; .

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Instructions

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Royalties: Funds are coming in to you or your institution due to your patent



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Stephen	2. Surname (Last Name) Lockhart	3. Date 14-October-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Judith Absalon
5. Manuscript Title Safety and Immunogenicity of Two RNA	A-Based COVID-19 Vaccine	e Candidates
6. Manuscript Identifying Number (if you ki 20-27906	now it)	_
Continue		
Section 2. The Work Under C	onsideration for Publi	cation
any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of inter-	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	activities outside the	submitted work.
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Name of Entity	Grant? Personal No	n-Financial Other? Comments
Pfizer Inc		✓ Employee and holds stock
Section 4. Intellectual Prope	rty Patents & Copyri	ghts
Do you have any patents, whether plan	ned, pending or issued, b	roadly relevant to the work? Yes V No



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Royalties: Funds are coming in to you or your institution due to your patent

Mulligan 1



Section 1. Identifying Inform	mation	
1. Given Name (First Name) Mark	2. Surname (Last Name Mulligan	e) 3. Date 24-September-2020
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Judith Absalon
5. Manuscript Title Safety and Immunogenicity of Two RN	IA-Based COVID-19 Vacc	cine Candidates
6. Manuscript Identifying Number (if you k 20-27906	(now it)	
Section 2. The Work Under C	Consideration for Pul	blication
any aspect of the submitted work (includin statistical analysis, etc.)?	ng but not limited to grants	rom a third party (government, commercial, private foundation, etc.) for s, data monitoring board, study design, manuscript preparation,
Are there any relevant conflicts of inte		
If yes, please fill out the appropriate in Excess rows can be removed by pressi	•	have more than one entity press the "ADD" button to add a row.
Name of Institution/Company	Grant? Personal Fees?	Non-Financial Support? Comments
Pfizer	✓	research contract for study conduct
Section 3. Relevant financia	l activities outside th	ne submitted work.
of compensation) with entities as desc	ribed in the instructions eport relationships that we rest?	whether you have financial relationships (regardless of amount s. Use one line for each entity; add as many lines as you need by were present during the 36 months prior to publication .
Name of Entity	Grant? Personal Fees?	Non-Financial Support? Comments
Lilly	✓	research contract for study conduct
Meissa Vaccines, Inc.		Scientific Advisory Board
Sanofi	✓	research contract for study conduct

Mulligan 2



Soutien A
Section 4. Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Volume
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Section 6. Disclosure Statement
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Dr. Mulligan reports grants from Pfizer, during the conduct of the study; grants from Lilly, personal fees from Meissa Vaccines, Inc., grants from Sanofi, outside the submitted work; .

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Mulligan 3



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Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Raabe 1



Section 1. Identifying Inform	antion		
identifying inform	1411011		
Given Name (First Name) Vanessa	2. Surname (Last Name) Raabe		3. Date 12-October-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Auth Judith Absalon	or's Name
5. Manuscript Title Safety and Immunogenicity of Two RNA	A-Based COVID-19 Vaccine	e Candidates	
6. Manuscript Identifying Number (if you kr 20-27906	now it)	_	
Section 2. The Work Under C	onsideration for Publi	cation	
any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere	g but not limited to grants, dest? Yes No ormation below. If you ha	ata monitoring board, st	ent, commercial, private foundation, etc.) fo tudy design, manuscript preparation, ity press the "ADD" button to add a row
excess rows can be removed by pressin		u eta antal	
Name of Institution/Company	Grant'	n-Financial Other?	Comments
Pfizer			Payment to institution for clinical trial services
Section 3. Relevant financial	activities outside the	submitted work.	
Place a check in the appropriate boxes of compensation) with entities as descr clicking the "Add +" box. You should re	ibed in the instructions. U	se one line for each e	
Are there any relevant conflicts of interest	est? 🗸 Yes 🗌 No		
If yes, please fill out the appropriate info	ormation below.		
Name of Entity	Grant	n-Financial Other?	Comments
NIAID	V		Vaccine Treatment and Evaluation Units

Raabe 2



Section 4					
Section 4. Intellectual Property Patents & Copyrights					
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo					
Section 5. Relationships not covered above					
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?					
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Section 6. Disclosure Statement					
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.					
Dr. Raabe reports other from Pfizer, during the conduct of the study; grants from NIAID, outside the submitted work; .					

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Raabe 3



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Sahin 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fii Ugur	rst Name)	2. Surname (Last Name) Sahin	3. Dat 24-Se	te eptember-2020
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Judith Absalon	
5. Manuscript Title Safety and Immu		A-Based COVID-19 Vaccine	Candidates	
6. Manuscript Ider 20-27906	ntifying Number (if you kr	now it)		
			_	
Section 2.	The Work Under C	onsideration for Publi	cation	
any aspect of the s statistical analysis,	ubmitted work (including	but not limited to grants, da	a third party (government, commerci ta monitoring board, study design, m	
Section 3.	Relevant financial	activities outside the	submitted work.	
of compensation clicking the "Add) with entities as descri	ibed in the instructions. Us port relationships that we	ether you have financial relationsl se one line for each entity; add as a se present during the 36 months	many lines as you need by
Section 4.	Intellectual Proper	rty Patents & Copyri	ghts	
Do you have any	patents, whether plan	ned, pending or issued, b	oadly relevant to the work?	Yes ✓ No

Sahin 2



Section 5.						
Section 5.	Relationships not covered above					
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?					
Yes, the follo	Yes, the following relationships/conditions/circumstances are present (explain below):					
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Section 6.	Disclosure Statement					
Based on the abo	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box					
Dr. Sahin has no	thing to disclose.					

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Royalties: Funds are coming in to you or your institution due to your patent

Shi 1



Section 1. Identifying In	formation		
1. Given Name (First Name) Pei-Yong	2. Surname (Last Name) Shi	3. Date 10-October-2020	
4. Are you the corresponding author:	Yes 🗸 No	Corresponding Author's Name Judith Absalon	
5. Manuscript Title Safety and Immunogenicity of Tw	o RNA-Based COVID-19 Vacci	ne Candidates	
6. Manuscript Identifying Number (if 20-27906	you know it)		
Continu 2			
Section 2. The Work Unc	ler Consideration for Pub	plication	
	luding but not limited to grants,	om a third party (government, commercial, private foundation, etc.) of data monitoring board, study design, manuscript preparation,	for
Section 3. Polovant final		a subsection describe	
Relevant final	ncial activities outside the	e submitted work.	
of compensation) with entities as	described in the instructions. Ild report relationships that w	whether you have financial relationships (regardless of amoun Use one line for each entity; add as many lines as you need by were present during the 36 months prior to publication .	
The diere dily relevant connects of	e.est. [163 [4] [40	•	
Section 4. Intellectual Pr	operty Patents & Copy	rights	
Do you have any patents, whether	r planned, pending or issued, te information below. If you h		w.
Patent? P	ending lssued Licensed	Royalties? Licensee? Comments	
Reverse genetic system of SARS- CoV-2	V V	This allows to use reporter SARS-CoV-2 to measure neutralization antibody levels	

Shi 2



Section 5.	
Section 5.	Relationships not covered above
	lationships or activities that readers could perceive to have influenced, or that give the appearance of ncing, what you wrote in the submitted work?
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Sections	
Section 6.	Disclosure Statement
Based on the aborbelow.	ve disclosures, this form will automatically generate a disclosure statement, which will appear in the box
Dr. Shi reports In	addition, Dr. Shi has a patent Reverse genetic system of SARS-CoV-2 licensed.

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Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Swanson 1



Section 1.	Identifying Inform	ation						
1. Given Name (Fi Kena	rst Name)	2. Surname Swanson	e (Last Name)			3. Date 14-October-2	2020	
4. Are you the cor	responding author?	Yes	✓ No	Corresponding Judith Absalo	_	ame		
5. Manuscript Title Safety and Immu	e Inogenicity of Two RN <i>A</i>	A-Based COV	/ID-19 Vaccine	Candidates				
6. Manuscript Ider 20-27906	ntifying Number (if you kr	now it)						
	ı							
Section 2.	The Work Under Co	onsideratio	on for Publi	cation				
any aspect of the s statistical analysis, Are there any rel	titution at any time rece ubmitted work (including etc.)? evant conflicts of intere	but not limit	ed to grants, da					c.) for
Section 3.	Relevant financial	activities o	outside the	submitted wo	ork.			
of compensation clicking the "Add Are there any rel	the appropriate boxes in the appropriate boxes in the appropriate boxes in the appropriate information the appropriate informatic in	bed in the ir port relation est? Ye	nstructions. U ships that we es No	se one line for e	each entity;	add as many li	nes as you need	
Name of Entity		Grant?		n-Financial O	ther? Co	omments		
Pfizer Inc			✓		emp	loyee and holds	Pfizer stock	
Section 4.	Intellectual Proper	ty Paten	its & Copyri	ghts				
Do you have any	patents, whether plan	ned, pendin	g or issued, b	oadly relevant	to the work	k? Yes	√ No	

Swanson 2



Section 5. Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Swanson reports personal fees and other from Pfizer Inc, outside the submitted work; .

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Swanson 3



Instructions

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Royalties: Funds are coming in to you or your institution due to your patent

Tompkins 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Kristin	2. Surname (Last Name) Tompkins	3. Date 14-October-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Judith Absalon
5. Manuscript Title Safety and Immunogenicity of Two RNA	A-Based COVID-19 Vaccine	Candidates
6. Manuscript Identifying Number (if you kr 20-27906	now it)	
Section 2. The Work Under Co	onsideration for Publi	cation
any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere	but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	activities outside the	submitted work.
of compensation) with entities as descri	ibed in the instructions. Use port relationships that we est?	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.
Name of Entity	Grant? Personal Fees? S	n-Financial other? Comments
Pfizer Inc		employee and holds stock
Section 4. Intellectual Proper	rty Patents & Copyri	ghts
Do you have any patents, whether plan	ned, pending or issued, br	roadly relevant to the work? Yes V No

Tompkins 2



Section 5. Bol	
Rel	ationships not covered above
	onships or activities that readers could perceive to have influenced, or that give the appearance of g, what you wrote in the submitted work?
Yes, the following	relationships/conditions/circumstances are present (explain below):
✓ No other relations	hips/conditions/circumstances that present a potential conflict of interest
	ript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements may ask authors to disclose further information about reported relationships.
Cartina	
Section 6. Dis	closure Statement
Based on the above d below.	isclosures, this form will automatically generate a disclosure statement, which will appear in the box
Dr. Tompkins reports	personal fees and other from Pfizer Inc, outside the submitted work; .

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Tompkins 3



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Tureci 1



Section 1. Identifying	ng Information				
Given Name (First Name) Ozlem	2. Surname (Last Name) Tureci	3. Date 12-October-2020			
4. Are you the corresponding at	uthor? Yes 🗸 No	Corresponding Author's Name Judith Absalon			
5. Manuscript Title Safety and Immunogenicity of Two RNA-Based COVID-19 Vaccine Candidates					
6. Manuscript Identifying Numb	per (if you know it)				
Section 2. The Work Under Consideration for Publication					
	k (including but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,			
Section 3. Relevant	financial activities outside the s	submitted work.			
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication . Are there any relevant conflicts of interest? Yes Vo					
Section 4. Intellectu	al Property Patents & Copyric	yhts			
Do you have any patents, wh	ether planned, pending or issued, br	oadly relevant to the work? Yes V No			

Tureci 2



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Dr. Tureci has nothing to disclose.				

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Tureci 3



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Walsh 1



Section 1. Identifying Inform	mation			
1. Given Name (First Name) Edward	2. Surname (Last Name) Walsh	3. Date 09-October-2020		
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Judith Absalon		
5. Manuscript Title Safety and Immunogenicity of Two RN	IA-Based COVID-19 Vaccine	· Candidates		
6. Manuscript Identifying Number (if you l 20-27906	know it)			
Section 2. The Work Under (Consideration for Public	cation		
Did you or your institution at any time recany aspect of the submitted work (includir statistical analysis, etc.)?			nent, commercial, private foundation, etc.) for tudy design, manuscript preparation,	
Are there any relevant conflicts of inte				
If yes, please fill out the appropriate in Excess rows can be removed by pressi	-	e more than one en	tity press the "ADD" button to add a row.	
Name of Institution/Company	Grant	n-Financial support?	Comments	
Pfizer	✓			
Continu 2				
Section 3. Relevant financia	l activities outside the	submitted work.		
	ribed in the instructions. Useport relationships that we rest?	se one line for each e	ncial relationships (regardless of amount entity; add as many lines as you need by the 36 months prior to publication .	
Name of Entity	Grant	n-Financial upport	Comments	
Merck	✓		RSV epidemiology study in adults	
Janssen	✓		RSV epidemiology study in adults	
Pfizer	✓		RSV vaccine study in adults	

Walsh 2



Section 4. Intellectual Property Patents & Copyrights				
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes V				
Section 5. Relationships not covered above				
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Dr. Walsh reports grants from Pfizer, during the conduct of the study; grants from Merck, grants from Janssen, grants from Pfizer, outside the submitted work; .				

Evaluation and Feedback

 $Please\ visit\ \underline{http://www.icmje.org/cgi-bin/feedback}\ to\ provide\ feedback\ on\ your\ experience\ with\ completing\ this\ form.$

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