

**CURRENT AND HISTORIC HIV-1 MOLECULAR EPIDEMIOLOGY IN PAEDIATRIC AND ADULT POPULATION FROM KINSHASA IN THE DEMOCRATIC REPUBLIC OF CONGO**

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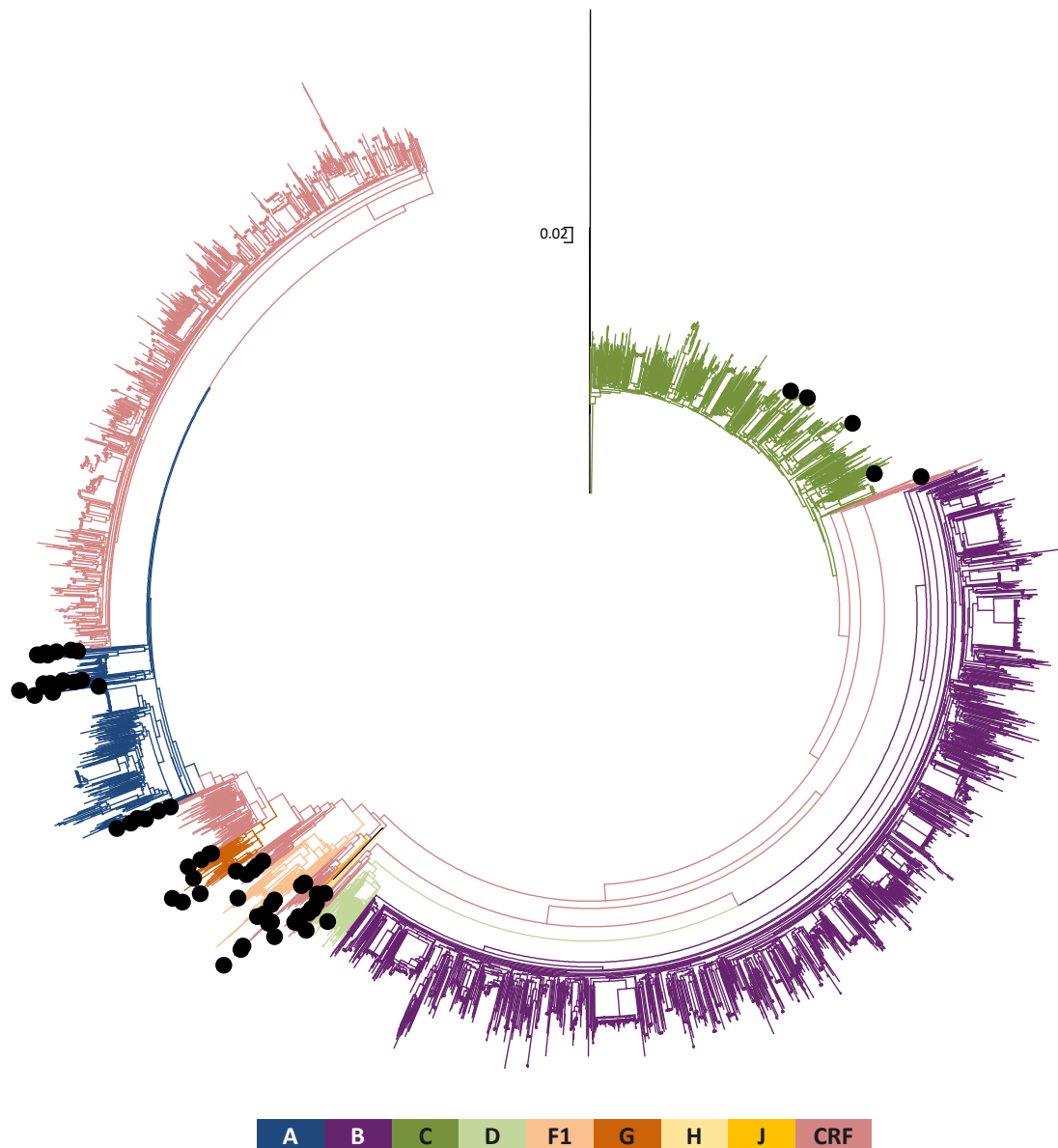
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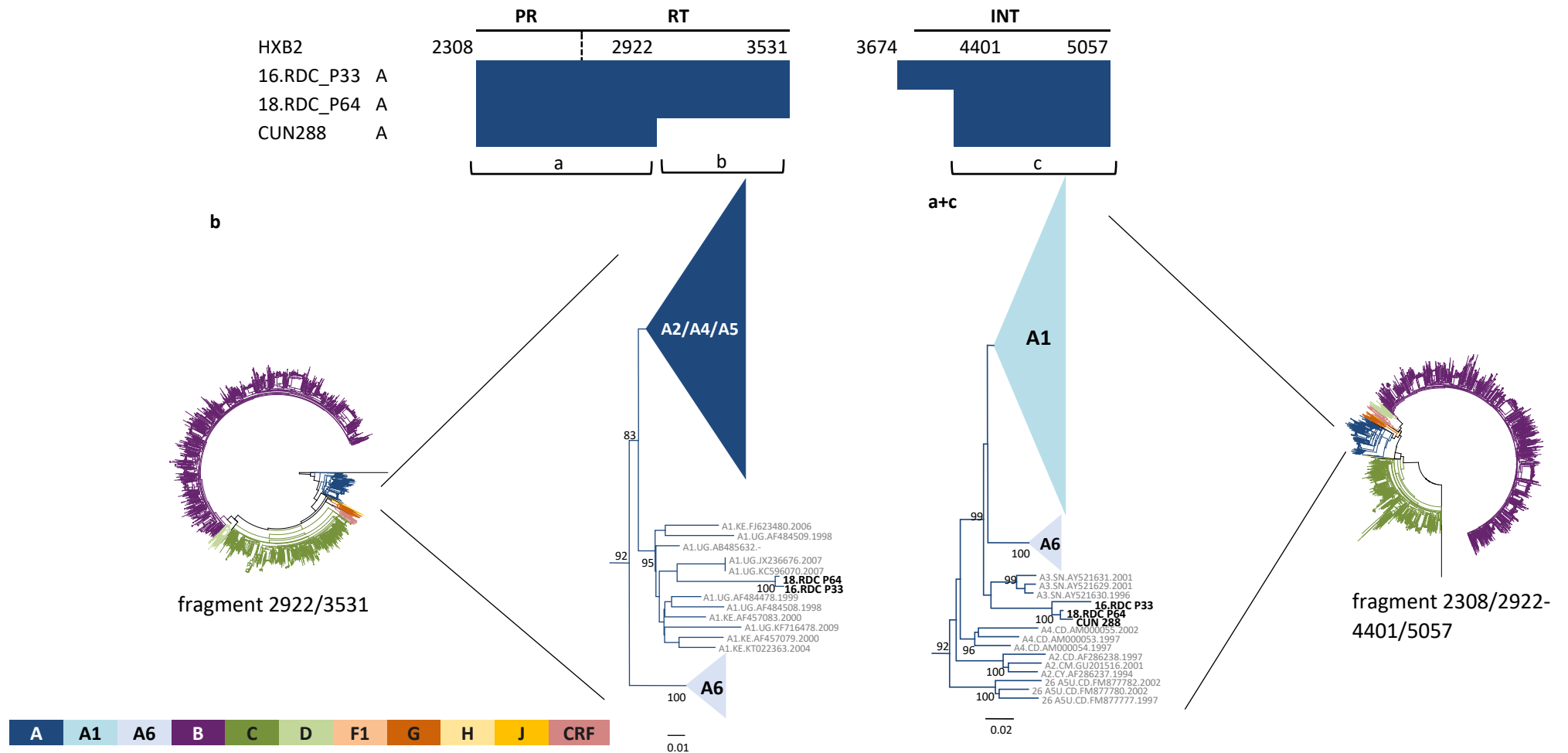
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Supplementary Fig 1. Phylogenetic tree including 66 *pol* sequences ascribed to pure subtypes at *pol* from recently collected DBS from Kinshasa and 5,672 reference LANL sequences.



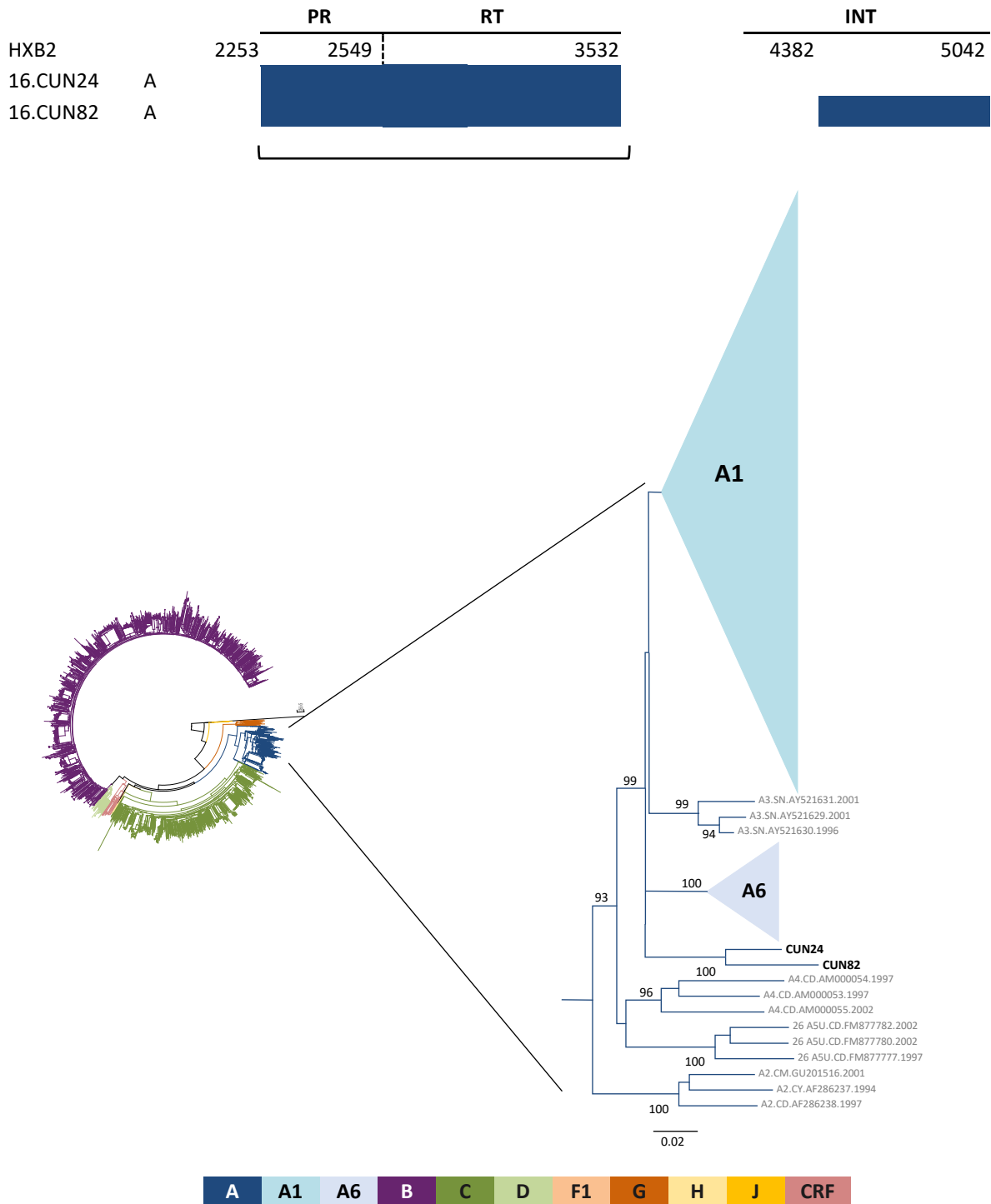
**Supplementary Figure 1.** Phylogenetic tree using partial *pol* sequences (1,127 nts) reconstructed by maximum-likelihood method with RAxML v8.0 using the general time reversible plus proportion of invariable sites plus gamma distribution parameter evolutionary model (GTR+I+G) generated with MEGA6 software (<https://www.megasoftware.net/>), as reported in method section. Only coloured subtypes are showed. Circles mark our 66 samples ascribed to pure HIV-1 subtypes at *pol* recently collected from Kinshasa (2016-2018). In blue, subtype A and sub-subtypes A1-A6.

Supplementary Figure 2. Transmission cluster number 1 identified by maximum-likelihood tree and GTR+I+G evolutionary model involving one child, one adolescent, and one woman in Kinshasa.



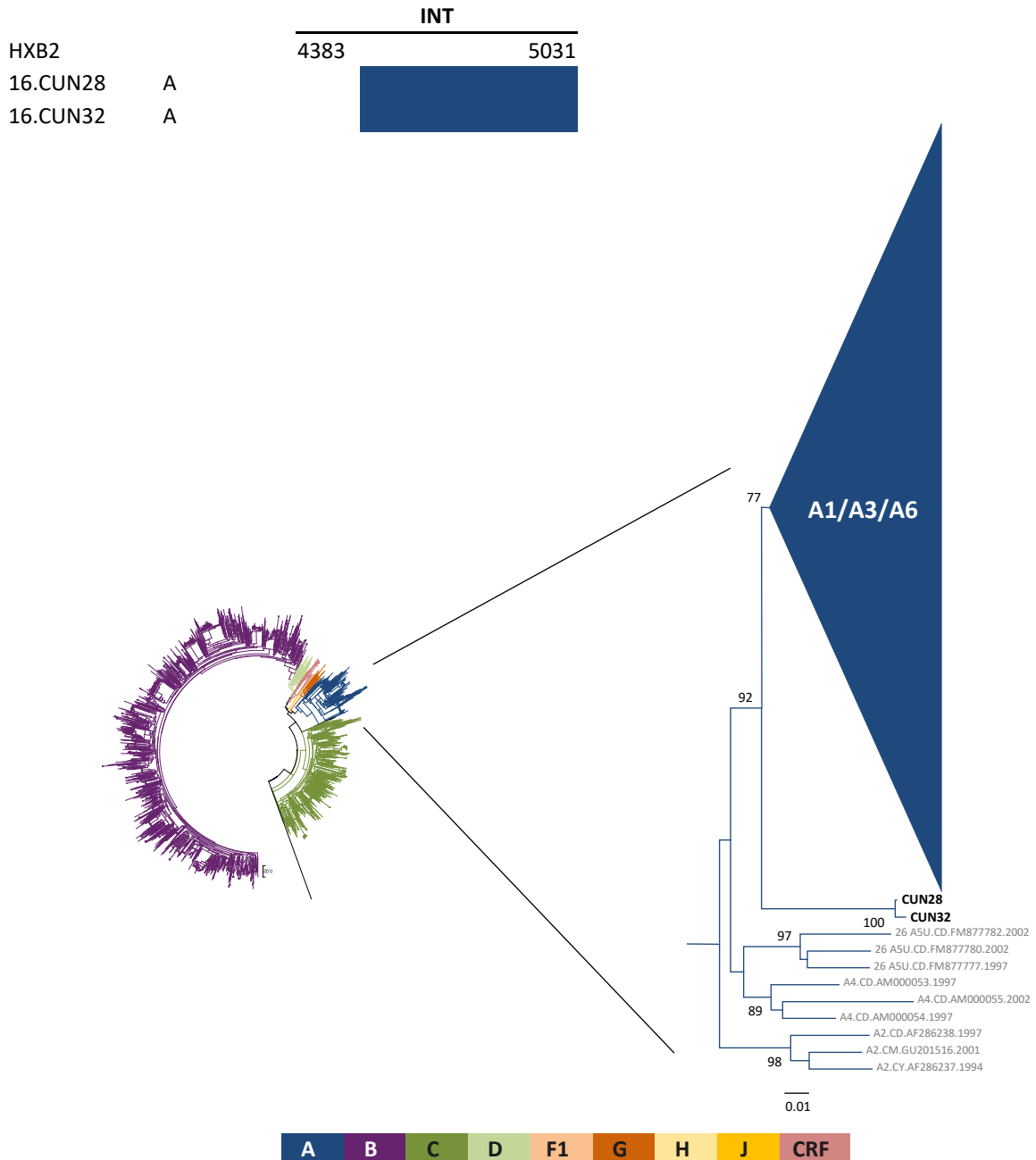
**Legend Supplementary Figure 2.** PhyML trees using partial *pol* sequence from 3 patients belonging to transmission cluster 1 including 4,090 *pol* reference sequences from DRC deposited in LANL assigned to HIV-1 pure subtypes. The figure was generated with MEGA6 software (<https://www.megasoftware.net/>). Epidemiological known data of subjects are reported in **Table 2**.

Supplementary Figure 3. Transmission cluster number 2 identified by maximum-likelihood tree and GTR+I+G evolutionary model involving two adults in Kinshasa.



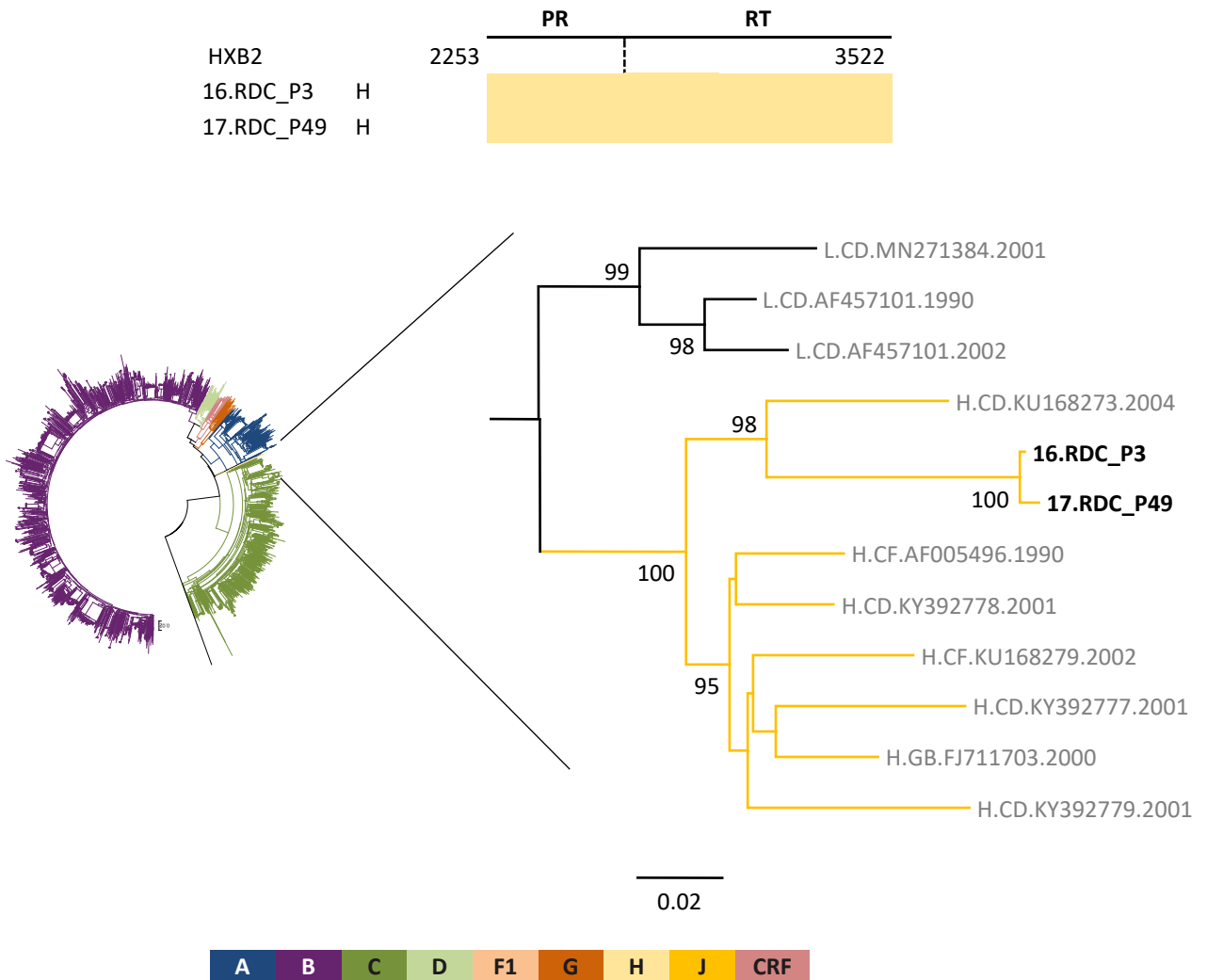
Legend Supplementary Figure 3. PhyML tree using partial *pol* sequence (1,279 nt) from patients CUN24 and CUN82 (transmission cluster 2) including 4,090 *pol* reference LANL sequences from DRC ascribed to HIV-1 pure subtypes. The figure was generated with MEGA6 software (<https://www.megasoftware.net/>). Epidemiological known data of subjects are reported in Table 2.

Supplementary Figure 4. Transmission cluster number 3 identified by maximum-likelihood tree and GTR+I+G evolutionary model involving two adults in Kinshasa.



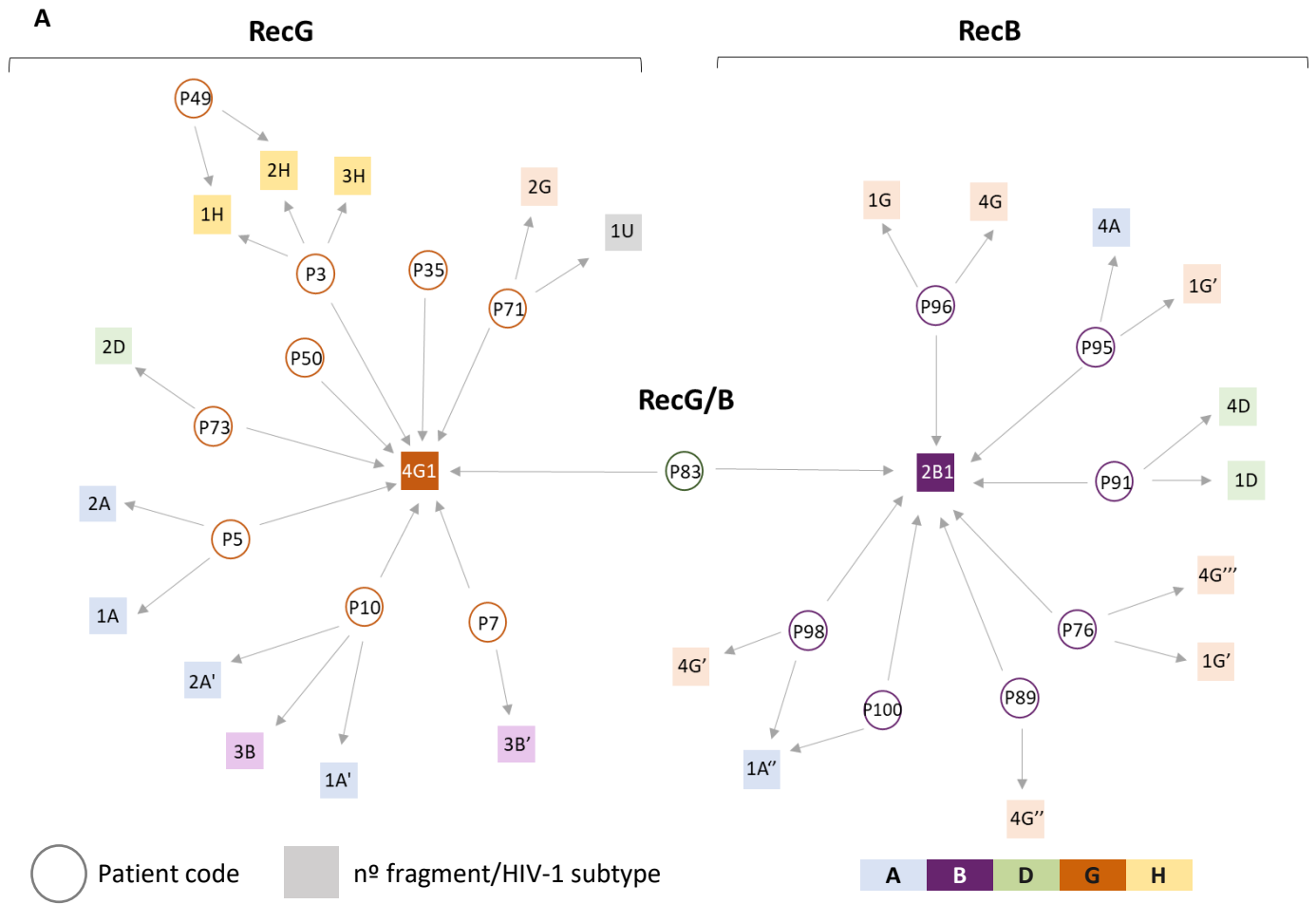
**Legend Supplementary Figure 4.** PhyML tree using partial *pol* sequence (648nt) from patients CUN28 and CUN32 (transmission cluster 3) including 4,090 *pol* reference LANL sequences from the DRC assigned to HIV-1 pure subtypes. The figure was generated with MEGA6 software (<https://www.megasoftware.net/>). Epidemiological known data of subjects are reported in Table 2.

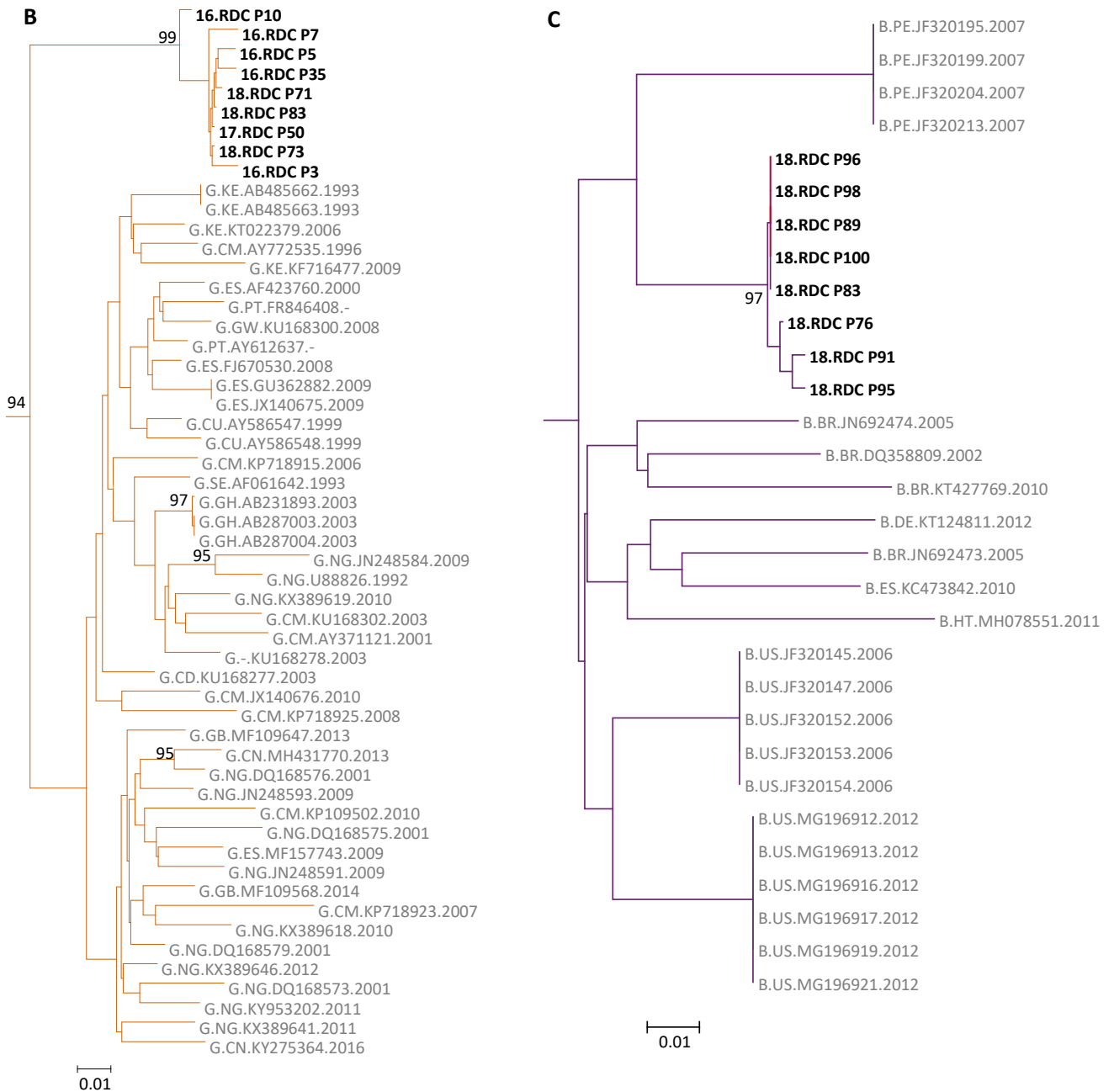
Supplementary Figure 5. Transmission cluster number 4 identified by maximum-likelihood tree and GTR+I+G evolutionary model involving two children in Kinshasa carrying subtype H sequences at *pol*.



**Legend Supplementary Figure 5.** PhyML tree using partial *pol* sequence (1,269 nt) from 2 children included in transmission cluster 4 including 4,090 *pol* reference LANL sequences from the DRC assigned to HIV-1 pure subtypes. The figure was generated with MEGA6 software (<https://www.megasoftware.net/>). Epidemiological known data of subjects are reported in **Table 2**.

Supplementary Figure 6. Recombination transmission net from 17 involved paediatric patients from Kinshasa during 2016-2018.



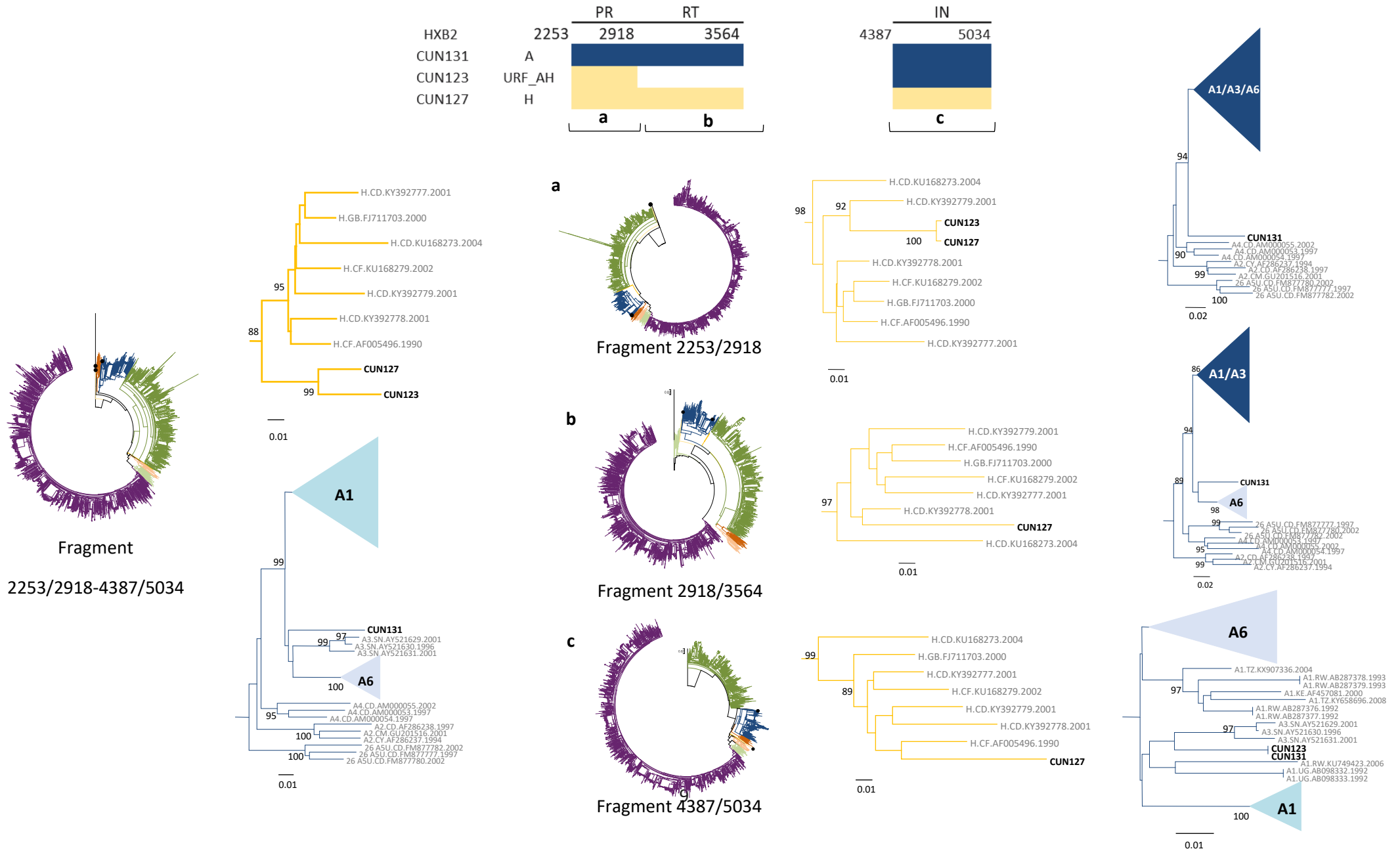


**Legend Supplementary Figure 6. A.** Recombination transmission net. **B.** PhyML tree of RecG, using partial *pol* sequence (615 nt, HXB2 4390-5005) from 9 children and adolescents including 4,090 *pol* reference LANL sequences from DRC ascribed to HIV-1 pure subtypes. **C.** PhyML tree of RecB, using partial *pol* sequence (599 nt, HXB2 2935-3534) from 8 children and adolescents including 4,090 *pol* reference LANL sequences from DRC ascribed to HIV-1 pure subtypes. Coloured circles, patient's *pol* sequences involved in recombination networks sharing *pol* subtype G (RecG) or/and B (RecB) fragments supported by bootstrap values >80% in PhyML trees. In coloured squares, numbers refer to analyzed *pol* fragment (numbering according to HXB2): 1, positions 2253-2934 (in protease); 2, positions 2935-3534 (in retrotranscriptase); 3, positions 3704-4389 (in retrotranscriptase); 4, positions 4390-5005 (in integrase). Letters refer to HIV-1 variant: A, subtype A; B, subtype B; D, subtype D; G, subtype G; H, subtype H; U, unknown. To distinguish the fragments of the same subtype that do not belong to the same ancestor, a super index is added. The figure was generated with MEGA6 software (<https://www.megasoftware.net/>). Epidemiological known data of subjects are reported in **Table 3**.



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Supplementary Figure 7. Recombination event identified by maximum-likelihood (PhyML) tree and GTR+I+G evolutionary model involving viruses from 3 adult patients from Kinshasa.





**Legend Supplementary Figure 7.** PhyML tree using partial *pol* sequence (1,312 nt) from 3 adults including 4,090 *pol* reference LANL sequences from DRC ascribed to HIV-1 pure subtypes. The figure was generated with MEGA6 software (<https://www.megasoftware.net/>).

Supplementary Table 1. Summary of publications identifying HIV-1 variants in the DRC.

Study	DRC locations	Sampling year	Sample type	Population group	Subtyping method	No. seq	HIV genetic regions	Sequence submission to GenBank
Potts 1993 <sup>34</sup>	Kinshasa	-	PBMC	Pregnant woman	Phy	14	<i>env (C2V3)</i>	No
Mokili 1999 <sup>35</sup>	Kimpese	1988-1994	Blood, plasma and PBMC	Mothers and children	Phy	66	<i>gag (p17)</i>	No
Vidal 2000A <sup>24</sup>	Kinshasa, Bwamanda, Mbuyi-Maya	1997	PBMC	Sex worker, TB patients, pregnant women and blood donors	Phy	247	<i>env (V3-V5, gp160), gag (p24)</i>	Yes
Vidal 2000B <sup>38</sup>	Kinshasa	1997	PBMC	TB patient Pregnant woman	Phy	2	<i>near-full-length genome</i>	Yes
Triques 2000 <sup>37</sup>	-	-	-	-	Phy	1	<i>near-full-length genome</i>	Yes
Yang 2001 <sup>40</sup>	Kinshasa	1985	plasma	Female sex workers	Phy	24	<i>gag (p24), env (C2V3, gp41)</i>	Yes
Gao 2001 <sup>41</sup>	Kinshasa	1983	PBMC	AIDS patients	Phy	1	<i>gag, pol, env, nef</i>	Yes
Mokili 2002 <sup>36</sup>	Kinshasa, Kimpese	1983 1990	PBMC	AIDS patient 12-month-old baby	Phy	2	<i>full genome</i>	Yes
Kita 2004 <sup>42</sup>	Likasi	2001	PBMC	-	Phy	24	<i>pol (IN), env (C2V3, gp41)</i>	Yes
Yang 2005 <sup>39</sup>	Kinshasa	1985 1999-2000	plasma	Female sex workers STI and TB patients	Phy	24 83	<i>gag (p24)</i> <i>env (C2V3, gp41)</i>	Yes
Vidal 2005 <sup>43</sup>	Kinshasa, Mbuji-Mayi, Lubumbashi, Kisangani	2002	PBMC	-	Phy	288	<i>env (V3-V5)</i>	Yes
Vidal 2006A <sup>44</sup>	Kinshasa, Mbuji-Mayi, Lubumbashi, Kisangani	2002	PBMC	Sentinel population	Phy	70	<i>pol (PR,RT), env (V3-V5)</i>	Yes
Vidal 2006B <sup>45</sup>	Kinshasa	1997 2002	PBMC	TB patients	Phy	3	<i>near-full-length genome</i>	Yes
Worobey 2008 <sup>1</sup>	Kinshasa	1960	lymph node biopsy	Adult female	Phy	1	<i>gag, pol, env (small fragments)</i>	Yes
Vidal 2008 <sup>46</sup>	Lubumbashi	2002	PBMC	-	Phy	1	<i>near-full-length genome</i>	Yes*
Vidal 2009 <sup>47</sup>	Kinshasa, Mbuyi-Mayi, Lumbumbasi	1997 2002	PBMC	STI, ANC, AIDS, and TB patients	Phy	8	<i>near-full-length genome</i>	Yes

Study	Settings in DRC	Sampling year	Sample type	Population group	Subtyping method	No. seq	HIV genetic regions	Sequence submission to GenBank
Niama 2009 <sup>48</sup>	-	1997	PBMC	-	Phy.	2	<i>full-length genome</i>	Yes*
Huang 2009 <sup>49</sup>	-	1996	PBMC	Asymptomatic patients	Phy	2	<i>full-length genome</i>	Yes
Djoko 2011 <sup>50</sup>	Kinshasa	2007	plasma	Military personnel	Phy	94	<i>pol (PR, RT)</i>	Yes
Muwonga 2011 <sup>51</sup>	Kinshasa, Matadi, Lubumbashi, Mbuji-Mayi	2008	plasma	ART-treated patients ART-naive patients	Phy	93	<i>pol (PR, RT)</i>	Yes
Kamangu 2015 <sup>52</sup>	Kinshasa	2013-2014	plasma	ART-naive patients	Online subtyping tools	153	<i>pol (PR, RT)</i>	No
Boillot 2016 <sup>53</sup>	Nord Kivu	2011-2012	DBS	ART-treated patients	Phy	55	<i>pol (PR, RT)</i>	Yes
Rodgers 2017 <sup>29</sup>	Bandundu, Kananga	2001-2003	plasma	voluntary pregnant women	Online subtyping tools + Phy	172 14	<i>env (gp41)</i> <i>full-length genome</i>	Yes
Villabona 2017 <sup>54</sup>	Kinshasa, Mbuyi Mayi, Nord-Kivu	2008 2012	DBS or plasma	-	Phy	8	<i>full-length genome</i>	Yes
Faria 2019 <sup>28</sup>	Kinshasa, Matadi, Mbuji-Mayi and Lubumbashi	2008	plasma	ART-treated patients	Phy	346	<i>pol (PR, RT)</i>	Yes
Rubio-Garrido 2019 <sup>55</sup>	Kinshasa	2016	DBS	Children and adults	Phy	58	<i>pol (PR, RT, IN)</i>	Yes
Yamaguchi 2020 <sup>10</sup>	Kananga	2001	plasma	-	Phy	1	<i>full-length genome</i>	Yes
Kwon 2020 <sup>56</sup>	Kinshasa, Bukavu, Goma, Kananga, Kindu, Kikwit, Kisangani, Matadi, Lubumbashi, Mbandaka, Mbuji-Mayi	2012	DBS	Female sex workers	Online subtyping tools + Phy	60 145	<i>pol (PR, RT)</i> <i>env (C2, V4)</i>	Yes
<b>Current study</b>	<b>Kinshasa</b>	<b>2016-2018</b>	<b>DBS</b>	<b>Children, adolescents and adults</b>	<b>Phy</b>	<b>165</b>	<b><i>pol (PR, RT, IN)</i></b>	<b>Yes</b>

**Legend Supplementary Table 1.** DRC, Democratic Republic of the Congo; DBS, dried blood spots; DPS, dried plasma spots; Dashes, unknown data; STI, sexually transmitted infection; ANC, antenatal clinic attendee; TB, tuberculosis; ART, antiretroviral therapy; AIDS; acquired immunodeficiency syndrome, PBMC, peripheral blood mononuclear cells; Phy, phylogenetic analysis of viral sequences; No, number; PR, protease; RT; retrotranscriptase; IN, integrase. GenBank, GenBank sequence database

(<https://www.ncbi.nlm.nih.gov/genbank/>); EMBL, EMBL Nucleotide Sequence Database (<http://www.ebi.ac.uk/embl/>). \*Most papers submitted sequences to GenBank and 2 to EMBL database (with asterisks). The numbers in superindex indicate the reference of each article.