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3	The Efficacy and Safety of Vitamin C
4	for Iron Supplementation in Adult
5	Patients with Iron Deficiency Anemia
6	
7	A single center, Randomized Control Study
8	
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10	Huashan Hospital authorization ID: KY2015-270
11	ClinicalTrials.gov ID: NCT02631668
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14	Statistical Analysis Plan
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22	

23	Abbrevia	tions and Statistic descriptions
24	CRF	Case Report Form
25	FAS	Full Analysis Set
26	Q	Quartile
27	Max	Maximum
28	Min	Minimum
29	PPS	Per Protocol Set
30	SS	Safety Set
31	SD	Standard Deviation
32	CI	Confidence Interval
33	ITT	Intent to Treat
34	LOCF	Last Observation Carried Forward
35	AE	Adverse Event
36	SAE	Serious Adverse Event
37	MCV	Mean Corpuscular Volume
38	МСН	Mean Corpuscular Hemoglobin
39	MCHC	Mean Corpuscular Hemoglobin Concentration
40	Hb	Hemoglobin
41	SI	Serum Iron
42	TIBC	Total Iron Binding Capacity
43	SF	Serum Ferritin
44	TS	Transferrin Saturation
45	ID	Iron Deficiency
46	IDE	Iron Deficiency erythropoiesis
47	IDA	Iron Deficiency Anemia
48	RCT	Randomized Control Study
49		
50		
51		
52		

53 Background

Iron deficiency anemia (IDA) is associated with a decrease in erythropoiesis caused by a deficit in total body iron.¹ Iron deficiency is the leading cause of anemia worldwide. According to the WHO Guideline, IDA affects 30% of the world's population, indicating that it is still a problem requiring attention.²

Iron deficiency can be divided into three stages: pre-latent iron deficiency, latent 58 59 iron deficiency (also called iron-deficient erythropoiesis, IDE), and iron deficiency anemia (IDA). At the first stage, a lower iron intake (than the 60 requirement) causes progressive depletion of iron storage primarily in the liver 61 and muscle cells. This stage generally has no symptoms and is often diagnosed 62 when serum ferritin (storage form of iron) levels drop below 20 ug/L. Continued 63 iron storage depletion leads to the second stage, IDE phase, when iron 64 deficiencies progress and begin to affect Erythropoiesis. In spite of an increased 65 transferrin level, serum iron level decreases along with transferrin saturation. 66 67 Erythropoiesis impairment begins when the serum iron level falls to less than 9 umol/L and transferrin saturation is less than 16%.³ Hemoglobin levels are still 68 within the normal range until IDA stage. Iron storages are depleted to a point 69 where it can no longer support the hemoglobin production required to make 70 enough red blood cells. Deficiency impairs RBC synthesis, and hemoglobin 71 production declines to the point where anemia develops.⁴ 72

During the pre-latent iron deficiency phase, most situations can be treated
through an iron-rich diet. However, patients diagnosed with IDA need iron
supplements promptly to restore the symptoms, and more importantly,
investigating and addressing the underlying causes of IDA such as
iron-absorption defects and bleeding.

Oral iron supplementation is the major way to restore iron levels for IDA
patients. Numerous non-heme iron supplements are available, among which the
most commonly used are ferrous sulfate and ferric succinate. Vitamin C is the

only dietary constituent other than animal tissue that has been shown to 81 promote iron absorption.⁵⁻⁸ Iron absorption occurs predominantly in the 82 83 duodenum and upper jejunum, where only ferrous iron can be transported into small intestine mucosal epithelial cells. When taken orally, iron is always 84 oxidized to the Fe3+ state from different original forms. It requires an acidic GI 85 environment to properly dissolve and to be available for absorption. Vitamin C 86 87 can create a more acidic environment in the stomach and prevent the oxidization of ferrous iron to ferric iron.⁹ However, in a series of 12 individuals treated with 88 iron during an intake of a normal or vitamin C supplemented diet, the 89 augmentation of vitamin C on iron absorption from a complete diet is far less 90 pronounced than that from a single meal. The facilitating effect in iron status of 91 vitamin C with a complete diet has been minimal.^{7,10} Therefore, whether Vitamin 92 93 C has additional advantages, such as improving the efficacy of the iron tablets, speeding up the recovery of anemia, should be reconsidered. Some doctors might 94 recommend taking iron tablets with vitamin C supplements while others may not. 95 Their experience-based recommendations lack a support from clinical evidence 96 through Randomized Controlled Trial (RCT), which is considered to be the most 97 reliable form of scientific evidence. 98

99 With the rising of concept of evidence-based medicine, some shortcomings of empirical medicine were gradually exposed. For example, most experts used 100 lidocaine as standard treatment for acute myocardial infarction until 1999, when 101 Sadowski et al evaluated totally 21 randomized controlled trials (RCTs). The 102 result showed that in patients receiving lidocaine treatment, although the 103 incidence of ventricular fibrillation in patients with acute myocardial infarction 104 was reduced, the mortality increased significantly. It concluded that using 105 lidocaine to prevent ventricular fibrillation in patients with acute myocardial 106 infarction was not recommended¹¹. We believe that pathophysiological or 107 108 mechanistic feasibility may not be able to achieve desired results in patients. Evidence-based medicine emphasizes that randomized controlled trail (RCT), as 109 a gold standard for efficacy evaluation, should be apply for all therapies. 110

111 Therefore, it is necessary to conduct a rigorous RCT study to evaluate whether

- vitamin C can increase the iron supplements efficacy. We designed a
- single-center, randomized controlled, equivalent clinical trial to study the
- efficacy and safety between taking iron pills only and with vitamin C
- supplements. The aim of this study was to evaluate whether vitamin C
- supplements can speed up the recovery of IDA and whether this produces any
- side effects, such as increased gastrointestinal irritation stimulated by iron
- 118 therapy itself.
- 119

120 **Primary Objective**

To explore whether oral iron tablets supplemented with vitamin C can speed upthe recovery of IDA stimulated by iron therapy itself.

123

124 Secondary Objective

To explore whether vitamin C supplement increases the incidence of the adverse
events, such as increased gastrointestinal irritation stimulated by iron therapy
itself.

128

129 Research Design

- 130 This study is an open-label, randomized, single-center clinical trial. Patients will
- be randomized to two groups using STATA 11.0 software. Participants are
- randomly assigned (1:1) to the oral iron tablets supplemented with vitamin C
- 133 group or the oral iron tablets used as single-drug treatment group. Patients are
- randomized to receive 100mg oral iron tablet plus 200mg vitamin C
- supplements every 8 hours daily (n=220) or 100mg iron tablet every 8 hours
- 136 (n=220) for 3 months.

137 Drugs

138 Research drugs

Drug Form Specification Storage Lot. Company
--

ferrous	tablet	0.1g/tablet	Shading,	SFDA	Sichuan,
succinate			Airtight,	number,	Aobang
			Room	H20083003	pharmaceutical
			temperature		co., Ltd.
Vitamin	tablet	0.1g/tablet	Shading,	SFDA	Hubei,
С			Airtight,	number,	Huazhong,
			Room	H42020614	pharmaceutical
			temperature		co., Ltd.

139 The Vitamin C was provided by Hubei Huazhong Pharmaceutical Co., Ltd. in free

- 140 of charge.
- 141

142 **Treatment regimen**

Code	Group	Treatment
А	Intervention	Ferrous succinate, 100mg, tid. Vitamin C, 200mg tid.
	group	
В	Control	Ferrous succinate, 100mg, tid.
	group	

143

144 **Randomization**

145 Randomization is performed using the statistic software STATA 11.0 by a

146 centralized randomization procedure. The opaque, sealed and sequentially

147 numbered randomization envelopes will be shuffled. After baseline

148 measurements, eligible patients open a sealed envelope containing the

information of the assigned randomization group at 1:1 ratio.

150

151 Sample Size

152 Sample size calculations were performed for change in Hb from baseline using an

equivalence design, with bounds of ± 10 g/L for the mean difference, alpha=5%,

beta=10%, and a significance level of 0.05, assuming no difference between

155	groups and a common SD of 15g/L, allowable error of 5g/L; a total sample size of
156	392 participants assuming an allocation ratio of 1:1 would correspond to a
157	power of 90%. Considering a dropout rate of 10%, 440 patients in total were
158	enrolled in the study.
159	Primary endpoint
160	The primary endpoint is change in Hemoglobin (Hb) from baseline to 2 weeks of
161	medication follow-up.
162	
163	Secondary endpoints
164	• Change in the Reticulocyte percentage (Ret%) after 2 weeks of treatment.
165	• Increase in Hemoglobin after 4 weeks of medication follow-up.
166	• Increase in Serum Ferritin after 8 weeks of treatment.
167	• Adverse events.
168	• Exploratory outcomes include MCV, MCH, and MCHC every 2 weeks at all
169	time points and serum iron, TFs, TIBC at 8 weeks.
170	
171	Adverse Event
172	Adverse events (AEs) refer to unfavorable medical events, which occur during
173	clinical trials, whether the events are relevant to the trial or not. The
174	investigators should follow up the adverse events until they disappear or
175	stabilize and analysis the pathogens to determine the relationship between
176	adverse event and research drug, which can be divided into: certainly related,
177	possibly related, possibly unrelated, and certainly irrelevant. All adverse events
178	that occur during the study must be reported in the adverse event table.
179	Classification of relation between adverse events and drugs

Have	Conform to	Adverse events	Adverse	Situation can't
Temporal	the types of	alleviate after	events present	be explained
relation with	adverse	discontinuation	again after	by
Research	effects		dosing	progression

		<u>-</u>			
	drug				and treatmen
certainly	+	+	+	+	+
related					
possibly	+	+	+	/	+
related					
certainly	-	-	-	-	-
irrelevant					
possibly	-	-	/	/	/
unrelated					
• Note,+	positive ;	- negative; /	', uncertain.		
Collection	ns of Adve	erse Events			
concentor	is of have		Intervention (Group	Control Group
Adverse E	vent		N, (%)		N, (%)
All adverse	events				
Adverse ev	ent related t	o research drug	5		
Serious Ac	lverse eve	nt			
All serious	adverse eve	nts			
Adverse ev	ent related t	o research drug	5		
Death					
Serious A	dverse Ev	ent, SAE			
SAE is adv	erse even	ts that resulte	ed in death, life-thi	reatenin	g situation,
hospitaliza	ation, leng	thening the t	ime of hospitalizat	tion, dis	ability, congenital
abnormali	ties or bir	th defects. Pa	tients are advised	to retu	rn to the hospital in
time or go	to local h	ospital for tre	eatment. The prim	ary inve	stigator or other
participants should be present when patients occurred SAE. If necessary, the trail					
should be	stopped in	nmediately. I	Primary investigate	or shou	d report the events to
correspon	ding admi	nistrative de	partment or the et	hics cor	nmittee of institution
within 24	hours. Th	e presentatio	n of serious advers	se event	s should use standard
form prov	ided by SF	DA.			

194

195 Statistical analysis

196 Full Analysis Set, FAS

Analysis of primary endpoint and secondary endpoint are following the basic
principles of intention to Treat (ITT) approach to define the full analysis set. All
randomized patients will be included in full analysis set for analysis. The missing
data of efficacy evaluation will be estimated by last observation carried forward
(LOCF).

202

203 Safety Set, SS

Patients who take research drug once should be included in safety set. The Safety
Population is used for the analysis of safety, including adverse events, toxicity
and laboratory evaluations.

207

208 Statistical Analysis

Baseline characteristics and summary statistics will be described by treatment
group. Continuous variables will be summarized using descriptive statics, such as
numbers of subjects, means with standard deviations, medians with interquartile
ranges for non-normal data, and categorical data by counts and proportions.
Qualitative variables will be summarized by frequency and percentage.
Results with normal distributions are presented as mean values (±SD).
Comparisons of ages, the baseline of Complete Blood Count and iron metabolism

parameters between the study groups are performed with a t-test. Comparisons

of genders and the incidence of adverse reactions between the two groups, which

do not subject to normal distributions, will base on a X2 test. Confidence

intervals (95%) for the difference of the changes in Hb level between two groups

were calculated at each time point, and the equivalence was evaluated using the

- predefined margins of equivalence (±10g/L). Efficacy variables were analyzed on
- an intention-to-treat. If patients drop out, missing data were imputed by
- 223 Last-Observation-Carried-Forward (LOCF) method. For the analysis of adverse
- events, patients who accepted oral iron tablets were included in the safety
- population. The threshold of a statistical significance is set as a P-value smaller

- than 0.05. All tests were performed using STATA version 11.0.
- 227

228 Case distribution

- 229 This part includes summarizing how many patients enroll and complete the trial,
- 230 describing the number of safety and valid analytical data set, and listing the data.
- 231 Case distribution (Unit: Case)

	Intervention	Control	Total
	Group	Group	
Enrollment			
FAS			
PPS			
SS			

233 Enrollment and missing number (Unit: Case)

	Intervention	Control
	Group	Group
	(N,%)	(N,%)
Enrollment Number		
Number of Completing treatment		
Number of missing		
Inclusion inconformity		
Violation of research plan		
Refuse to treat		
Loss of follow up		
Death		

234

232

235

236 Number of completing treatment (Unit: case)

	Intervention	Control	Total1	
	Group	Group		
2 weeks				
4 weeks				
6 weeks				
8 weeks				
Comparison o	of demographics	s and baseline		
Variables		Intervention	Control	P value
		Group	Group	
Gender				
M/F				
	4 weeks 6 weeks 8 weeks Comparison of Variables Gender	Group 2 weeks 4 weeks 6 weeks 8 weeks Comparison of demographics Variables Gender	GroupGroup2 weeks4 weeks6 weeks8 weeks8 weeksInterventionVariablesInterventionGender	GroupGroup2 weeks4 weeks6 weeks8 weeksVariablesInterventionGroupGender

• / >	
Age (years)	
WBC(×10^9/L)	
RBC(×10^12/L)	
PLT(×10^9/L)	
MCV (fl)	
MCHC(g/L)	
MCH (pg)	
SF	
TIBC (%)	
Clinical symptom (N, %)	
Yes	
No	

239

240 **Dosing Compliance**

The box and aluminum cardboard of medication packages need return to

investigators when follow up. Patients should be emphasized to return the entire

243 pharmaceutical package, including the one remaining pharmaceutical packages,

which can help researchers to calculate the information of dosing. Patients who

took vitamin C also needed to return drug bottle every two weeks, which can

help us to determine how much drug was available. Dosing compliance is defined

247 as the ratio of actual dosage to the standard dosage. Actual dosage must within

 $\pm 20\%$ of the standard. Chi-square test will be performed to compare the

249 differences in compliance between two groups.

250

251 **Evaluation of CBC**

T	Evaluation of CDC			
	Variables	Intervention	Control	P value
		Group	Group	
	Hbo(g/L)			
	Hb2(g/L)			
	Hb4(g/L)			
	Hb6(g/L)			
	Hb8(g/L)			
	MCV0(fl)			
	MCV2(fl)			
	MCV4(fl)			
	MCV6(fl)			
	MCV8(fl)			
	MCHCo(g/L)			

-								
	MCHC2(g/L)							
	MCHC4(g/L)							
	MCHC ₆ (g/L)							
	MCHC8(g/L)							
	MCHo(pg)							
	MCH2(pg)							
	MCH4(pg)							
	MCH6(pg)							
	MCH8(pg)							
-	Note, Hbo, Hemoglobin level of ba	aseline. Hb2, hem	oglobin lev	el after 2 weel				
	hemoglobin level after 4 weeks. Hb6, hemoglobin level after 6 weeks. Hb8,							
	hemoglobin level after 8 weeks. M							
after 2 weeks. MCV4, MCV level after 4 weeks. MCV6, MCV level after 6 weeks.								
	MCV8, MCV level after 8 weeks. M							
	after 2 weeks. MCH4, MCH level after 4 weeks. MCH6, MCH level after 6 weeks.							
	MCH8, MCH level after 8 weeks. MCHC0, MCHC level of baseline. MCHC2, MCHC							
level after 2 weeks. MCHC4, MCHC level after 4 weeks. MCHC6, MCHC level after								
weeks. MCHC8, MCHC level at 8 weeks.								
	Evaluation of iron metabolism	indexes						
-	Variables		Control	P value				
		Group	Group	1 10100				
-	SF0(µg/ml)	F	F					
	SF8(ug/ml)							
	SF8(µg/ml) TS0(%)							
	TS0(%)							
	TS0(%) TS8(%)							
	TS0(%) TS8(%) TIBC0(%)							
_	TS0(%) TS8(%) TIBC0(%) TIBC8(%)	ine: SF8, serum f	erritin after	8 weeks: TSa				
_	TS0(%) TS8(%) TIBC0(%) TIBC8(%) Note: SF0, serum ferritin at basel							
-	TS0(%) TS8(%) TIBC0(%) TIBC8(%) Note: SF0, serum ferritin at baseline	e; TS8, transferrir	n saturation	after 8 weeks				
-	TS0(%) TS8(%) TIBC0(%) TIBC8(%) Note: SF0, serum ferritin at baseline transferrin saturation of baseline TIBC0, total iron binding capacity	e; TS8, transferrir	n saturation	after 8 weeks				
-	TS0(%) TS8(%) TIBC0(%) TIBC8(%) Note: SF0, serum ferritin at baseline	e; TS8, transferrir	n saturation	after 8 weeks				
-	TS0(%) TS8(%) TIBC0(%) TIBC8(%) Note: SF0, serum ferritin at baseline transferrin saturation of baseline TIBC0, total iron binding capacity	e; TS8, transferrir	n saturation	after 8 weeks				
-	TS0(%) TS8(%) TIBC0(%) TIBC8(%) Note: SF0, serum ferritin at baseline transferrin saturation of baseline TIBC0, total iron binding capacity after 8 weeks.	e; TS8, transferrir	n saturation	after 8 weeks				
-	TS0(%) TS8(%) TIBC0(%) TIBC8(%) Note: SF0, serum ferritin at baseline transferrin saturation of baseline TIBC0, total iron binding capacity after 8 weeks.	; TS8, transferrir v at baseline; TIB	n saturation C8, total iro	after 8 weeks n binding capa				
_	TS0(%) TS8(%) TIBC0(%) TIBC8(%) Note: SF0, serum ferritin at baseline transferrin saturation of baseline TIBC0, total iron binding capacity after 8 weeks.	; TS8, transferrir 7 at baseline; TIB Interventior	n saturation C8, total iro n Control	after 8 weeks				
-	TS0(%) TS8(%) TIBC0(%) TIBC8(%) Note: SF0, serum ferritin at baseline transferrin saturation of baseline TIBC0, total iron binding capacity after 8 weeks. Evaluation of adverse effects Variables	; TS8, transferrir at baseline; TIB Interventior Group	n saturation C8, total iro	after 8 weeks n binding capa				
-	TS0(%) TS8(%) TIBC0(%) TIBC8(%) Note: SF0, serum ferritin at baseline transferrin saturation of baseline TIBC0, total iron binding capacity after 8 weeks. Evaluation of adverse effects Variables Incidence of adverse effects after	; TS8, transferrir 7 at baseline; TIB Interventior	n saturation C8, total iro n Control	after 8 weeks n binding capa				
-	TS0(%) TS8(%) TIBC0(%) TIBC8(%) Note: SF0, serum ferritin at baseline transferrin saturation of baseline TIBC0, total iron binding capacity after 8 weeks. Evaluation of adverse effects Variables Incidence of adverse effects aft 2weeks	e; TS8, transferrir 7 at baseline; TIB Interventior Group ter	n saturation C8, total iro n Control	after 8 weeks n binding capa				
-	TS0(%) TS8(%) TIBC0(%) TIBC8(%) Note: SF0, serum ferritin at baseline transferrin saturation of baseline TIBC0, total iron binding capacity after 8 weeks. Evaluation of adverse effects Variables Incidence of adverse effects aff 2weeks Classification and Severity after 2 wee	e; TS8, transferrir 7 at baseline; TIB Interventior Group ter	n saturation C8, total iro n Control	after 8 weeks n binding capa				
-	TS0(%) TS8(%) TIBC0(%) TIBC8(%) Note: SF0, serum ferritin at baseline transferrin saturation of baseline TIBC0, total iron binding capacity after 8 weeks. Evaluation of adverse effects Variables Incidence of adverse effects aft 2weeks Classification and Severity after 2 wee Nausea (N, %)	e; TS8, transferrir 7 at baseline; TIB Interventior Group ter	n saturation C8, total iro n Control	after 8 weeks n binding capa				
_	TS0(%) TS8(%) TIBC0(%) TIBC8(%) Note: SF0, serum ferritin at baseline transferrin saturation of baseline TIBC0, total iron binding capacity after 8 weeks. Evaluation of adverse effects Variables Incidence of adverse effects aft 2weeks Classification and Severity after 2 wee Nausea (N, %) Vomiting (N, %)	e; TS8, transferrir 7 at baseline; TIB Interventior Group ter	n saturation C8, total iro n Control	after 8 weeks n binding capa				
_	TS0(%) TS8(%) TIBC0(%) TIBC8(%) Note: SF0, serum ferritin at baseline transferrin saturation of baseline TIBC0, total iron binding capacity after 8 weeks. Evaluation of adverse effects Variables Incidence of adverse effects aft 2weeks Classification and Severity after 2 wee Nausea (N, %)	e; TS8, transferrir 7 at baseline; TIB Interventior Group ter	n saturation C8, total iro n Control	after 8 weeks n binding capa				

Incidence of adverse effects after 4 weeks Classification and Severity after 4 weeks Nausea (N, %) Vomiting (N, %) Abdominal pain (N, %) Diarrhea (N, %) Incidence of adverse effects after 6 weeks Classification and Severity after 6 weeks Nausea (N, %) Vomiting (N, %) Abdominal pain (N, %) Diarrhea (N, %) Incidence of adverse effects after 8 weeks Classification and Severity after 8 weeks Nausea (N, %) Vomiting (N, %) Abdominal pain (N, %) Diarrhea (N, %)

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