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The added value of assessing medical students' reflective writings in communication skills training: a longitudinal study in four academic centres.

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3 **The added value of assessing medical students' reflective writings in**
4 **communication skills training: a longitudinal study in four academic**
5 **centres.**
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11 **Assessing reflective writings in communication skills training**
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The added value of assessing medical students' reflective writings in communication skills training: a longitudinal study in four academic centres.

Abstract

Objectives: This study describes the development and implementation of a model to assess students' communication skills highlighting the use of reflective writing. We aimed to evaluate the usefulness of the students' reflections in the assessment of communication skills.

Design: Third- and fourth-year medical students enrolled in an elective course on clinical communication skills development were assessed using different assessment methods.

Setting and Participants: The communication skills course was offered at four universities (three in Brazil and one in Portugal) and included 69 students.

Outcome measures: The students were assessed by a multiple-choice questionnaire (MCQ), an objective structured clinical examination (OSCE), and reflective writing narratives. The Cronbach's alpha, Dimensionality, and the Person's correlation were applied to evaluate the reliability of the assessment methods and their correlations. The depth of reflection was evaluated using the REFLECT Rubric (Reflect Score [RS]), and the themes of reflection were assessed by a Thematic Score (TS).

Results: The MCQ Cronbach's alpha was 0.697; for the six OSCE stations (OSCE global score), the Cronbach's alpha was 0.633. The RS had a Cronbach's alpha of 0.850 with an inter-examiner correlation of 0.816. In the TS, the Cronbach's alpha was 0.784, and the inter-examiner correlation was 0.907. The assessment of reflection using the TS was significantly correlated MCQ ($r=0.412$; $p=0.019$), OSCE (0.439 ; $p=0.012^*$), and RS (0.410 ; $p=0.020$). However, the depth of reflection (RS) only correlated with the TS.

Conclusions: The use of reflective writing expands the assessment of communication skills. Assessing reflection implies not only identifying the themes of the reflection but also its depth. Indeed, reflective depth seems to be a specific competence, not correlated with other assessment methods - possibly a metacognitive domain.

Keywords: medical education & training; medical ethics; primary care.

Strengths and limitations of this study

- This study details the use of medical students' reflective narratives in the assessment of communication skills.
- The assessment of the depth (profundity) and the themes (topics) of medical students' reflective narratives has an additional value compared to the traditional assessment methods used in communication skills training.
- The method utilized to assess the depth and themes of medical students' reflective narratives showed good reliability.
- The participants were recruited from a convenience sample and further studies are needed to explore the added value of assessing medical students' reflective narratives in a natural context.

1. Introduction

Clinical communication is essential for medical students and must extend well beyond the reproduction of behaviours and skills [1]. Competent doctors must adapt their communication to the specific needs of their patients [2]. In this regard, for medical students to become competent communicators, they must reflect on their experiences with patients aiming for the self-monitoring of their thoughts and behaviours [1,3]. This reflective habitus may also help students to handle the particularities of each one of their clinical encounters, adapting their communication style to patients' needs and preferences [4]. Thus, reflection is an essential component of communication. However, most communication skills training does not include the assessment of students' reflections in their repertoire of assessment tools [5]. Understanding how assessing reflection supports (or not) the development of communication skills in medical students may offer medical educators a new strategy for improving doctor-patient communication.

The learning of communication skills requires that medical students adapt and adjust their patterns of behaviour to the needs and context of their patients [6]. Although the learning of some basic behavioural rules can indeed be an excellent starting point, such rules governing behaviour may not suffice for guiding students in the process of navigating the

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3 complexity of doctor-patient communication. Each patient is unique and has his or her system
4 of beliefs and singular expectations. Doctors must reflect in and on action to tailor their
5 communication strategies to match each patient needs while respecting his or her personality
6 and social and cultural background [7,8]. Therefore, we believe that the educational activities
7 of the medical curricula targeting communication skills development should also include the
8 teaching and assessment of reflection.
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14 Within an educational context, reflection is a process [9] whereby an individual
15 critically analyses a previous experience and develops a deeper understanding of that
16 experience. This 'reflection-on-action' plays a vital role in building mental models to be
17 applied in clinical contexts. During the reflection, the subject must have self-awareness and
18 engage in self-monitoring (metacognition) to guide his or her thoughts about a particular
19 situation eliciting in them the disposal to reflect [3]. Although reflection has been considered
20 keen in the development of clinical communication, its implementation has a low degree of
21 systematisation, and little attention has been paid to the descriptions of the use of reflection as
22 an assessment tool.
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30 Reflection can be assessed based on its content or depth. The content of reflection
31 may be evaluated by theme or category-based analysis [10–12]. For example, Karnieli-Miller
32 et al. (2018) used reflective writing to support the teaching of how to deliver bad news. In the
33 reflective narratives, the authors identified through theme-based analysis all the elements that
34 were part of the clinical protocol used as a reference during the study [11]. However, the
35 study focused only on the content of reflection, but not on the depth of reflection. Moreover,
36 the authors did not compare the results of the assessment of the reflection with those obtained
37 through other methods of assessment. Similar to Karnieli-Miller et al. (2018), Braverman et
38 al. (2016) used a coded framework for the thematic analysis of third-year medical students'
39 reflective writing on challenges in communicating with patients but also did not assess the
40 depth of reflection [12]. Thus, the studies that have sought to determine the role of reflection
41 in teaching communication have targeted its themes, rather than its depth.
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52 The Reflection Evaluation for Enhanced Competencies Tool (REFLECT rubric),
53 proposed by Wald et al. (2012), highlights the importance of deep reflection in the
54 development of metacognition and effective patient care [13] and has been widely used to
55 evaluate reflection [14]. These authors organised a multidimensional analysis of reflection
56 that assesses five mandatory items: description, presence, identification of a dilemma,
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3 emotion, and the meaning of the experience [13]. These five items can be classified using a
4 Likert scale ranging from 0 to 3, according to four different reflection levels (from non-
5 reflective to critically reflective), which correspond to the depth of reflection. This
6 assessment model distinguishes between written texts with superficial reflection (descriptive)
7 and those with a high density of reflective elements. Although the REFLECT rubric was used
8 successfully in assessment strategies for different learning activities in the medical education
9 domain, the authors did not find any report of its use in communication skills training.

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16 Communication training traditionally applies a combination of multiple choice
17 questionnaires (MCQs) and Objective Structured Clinical Examination (OSCE) stations to
18 assess students' cognitive knowledge and check students' performance [15,16]. Research
19 shows a low correlation between the MCQ and OSCE scores, which suggests that, indeed,
20 these methods are assessing different competencies [17–19]. Communication teachers can
21 take advantage of these different scores and provide specific feedback targeting knowledge
22 and/or behaviour. If cultivating reflection skills is also relevant to the process of becoming a
23 good communicator, communication trainers should implement assessment strategies that
24 target reflection skills to create an opportunity to provide feedback on this competency [20].
25 Moreover, if reflection is a specific domain of competency, as we believe, the scores for
26 reflection will have a low level of correlation with scores for traditional assessments such as
27 MCQs and OSCEs. Therefore, understanding how the assessment of the content and depth of
28 students' reflection add (or not) to conventional assessment methods can enlighten the
29 importance of reflection in the process of honing communication skills to improve doctor-
30 patient communication.

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43 In this study, we report the development of a model for assessing the reflection
44 process of medical students in the context of communication skills training. We also compare
45 the assessment of reflection with other traditional methods (i.e. MCQ and OSCE) to
46 understand the added value of assessing reflection. Our assumptions are: (1) reflection
47 themes will correlate to MCQ scores, as both are related to knowledge, and (2) MCQ, OSCE,
48 and reflection depth will not show correlations, as they measure different competencies
49 (knowledge, skills, and reflective thinking, respectively).

50 51 52 53 54 55 56 57 58 **2. Methods** 59 60

2.1 Overview

This longitudinal observational study was carried out at three different Brazilian universities (one course at each university in 2015) and one university in Portugal (one course in 2016). Data collection occurred during these elective courses in clinical communication. Each course comprised five modules (25 hours in total) conducted over two months. The Calgary-Cambridge Guide to Communication [21,22] and Patient-Centred Medicine [23] were the conceptual and theoretical models behind the course. Those models were used as supportive frameworks, and students were not encouraged to follow them as behavioural protocols. The main focus of the course was on the need to reflect and adapt communication strategies to patients' needs and students' communication style. The modules of the course presented the content through reflective, small-group discussions, followed by simulation activities that used simulated patients and debriefing [24]. A detailed discussion of the course has been previously published [24].

2.2 Participants

A convenience sample of third- and fourth-year medical students at four universities were invited to participate in the study by email. For the sample recruitment, a class representative of the students in the third or fourth year sent an email to their colleagues inviting them to participate in the course. No financial incentives were given for their participation. A total of 69 participants (20 at University 1 - Brazil, 12 at University 2 - Brazil, 30 at University 3 - Brazil, and seven at University 4 - Portugal) agreed to participate. The participants joined a course containing five encounters with a total of 25 hours. The 69 participants were assessed at the end of the course with an MCQ and OSCE on communication skills. The participants were invited (but not obligated) to write a reflective piece, and 37 students produced texts.

2.3 Material: Assessment Instruments

We compared three different assessment methods: a cognitive test based on an MCQ, an examination of communication skills based on the OSCE, and an assessment of reflection

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3 through reflective writing. The MCQ and OSCE were administered after the last meeting of
4 the course. The reflective writing was optional and could be undertaken by the students at any
5 point during the course. We decided that the reflective writing would be optional to
6 understand the students' disposition to engage with this assessment method [25].
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10 The MCQ consisted of 63 items about clinical communication and the OSCE included
11 six stations (specifically designed to assess communication skills); four of these had been
12 tested in a pilot project [26]. This assessments were developed together with the Medical
13 Education Department of the University of Porto to guarantee the quality of the items and
14 stations. The MCQs and the checklist items were based on clinical situations or conceptual
15 issues related to reference frameworks as the Calgary-Cambridge Guide to Communication
16 [21,22], Patient-Centred Medicine [23] and the Kalamazoo Consensus [27].
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23 There was one observer for each OSCE station who was responsible for filling out the
24 assessment checklist. These checklists consisted of between six and 14 items per station.
25 Each item on the checklist was then classified on a Likert scale ranging from 0 to 2 points.
26 The final score of each station was obtained by the mean of its items. The OSCE global score
27 was calculated as a mean considering the six stations.
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33 For the reflective writing component, students could choose any aspect of
34 communication to explore, following the instruction: 'Suggestion for reflection: 1) Describe
35 the situation; 2) Point out the dilemmas, doubts, and questions raised; 3) Point out feelings
36 and observations; 4) Analyse the situation from different points of view; 5) Make a
37 conclusion; and 6) Suggest a hypothesis. These steps are only a suggestion; you may conduct
38 the reflection in whichever way that you prefer'. The reflective writing was evaluated in two
39 different ways: (1) through the sum of the themes covered in each one of reflections – the
40 thematic score (TS), and (2) through the REFLECT Rubric – the reflect score (RS) [13]. In
41 the next paragraphs we describe how these two scores were calculated.
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49 For establishing the TS, two researchers (CF and RF) started a content analysis
50 individually by reading carefully all the reflective writings made by the students. After
51 reading, CF and RF selected the fragments related to clinical communication [28] and
52 generated a single list with all the fragments from the reflections of all students. Next, CF and
53 RF grouped the fragments in thematic categories independently. After, CF and RF met to
54 reach a consensus on the main themes. After the definition of the main thematic categories,
55 CF and RF read each one of the reflective writings for a second time and decided whether
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3 each of the themes were present or not. The two researchers assigned point scores
4 accordingly to the presence of a certain theme ('0' for absent and '1' for present). The final
5 TS corresponded to the sum of all the themes approached by the student. Finally, the
6 agreement between the two researchers was evaluated, and, when there was a difference
7 between the two, a final TS was reached by consensus.
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12 The assessment based on the five mandatory dimensions of the REFLECT Rubric
13 followed the guidelines set by the authors of the rubric. The five mandatory dimensions are:
14 description, presence, identification of a dilemma, emotion, and the meaning of the
15 experience. Each one of the dimensions are evaluated considering four levels of reflective
16 capacity scored from 0-3 (habitual action or nonreflective = 0, thoughtful action or
17 introspection = 1, reflection = 2 and critical reflection = 3). The sum of the scores obtained in
18 each dimension was the total RS. (Table 1)
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30 In summary, the TS refers to 'the subject of reflection – number of themes', the RS
31 refers to 'how the reflection took place or the depth of reflection'.
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36 2.4 Analysis 37 38 39

40 To assess the quality of the quantitative instruments, MCQ and OSCE, we performed
41 an analysis of the main components and consistency based on Cronbach's alpha. A principal
42 components analysis was used to assess dimensionality and content validity. Dimensionality
43 was assessed using a scree plot, and the number of components was assessed according to the
44 'elbow rule'. An element or item was considered to contribute to a principal component when
45 it had a correlation value higher than 0.30. Internal consistency was evaluated using
46 Cronbach's alpha (Cronbach 1951). Acceptable values for internal consistency were
47 considered to be higher than 0.7. The linear associations between the assessment methods
48 were assessed using the Pearson correlation considering missing complete at random to
49 handle with missed correlations.
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57 To measure agreement between researchers, we used the intraclass single average
58 value for absolute agreement. The inter-rater agreement rate was calculated for encoded
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3 fragments (TS) and for the RS. NVivo software (version 11.3.2 for Mac) was used for
4 qualitative data analysis, while the SPSS, Version 25.0, was used for quantitative data
5 analysis.
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9 This research was approved by the Ethics Centre of the São João Hospital Centre of
10 the Faculty of Medicine of the University of Porto (FMUP) and by the Research and Ethics
11 Commission of the Pontifical Catholic University of Paraná (PUCPR). Participant consent
12 was requested in the form of an informed consent before the participation in the
13 communication skills course. Signed written consent forms were completed by all
14 participants.
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20 21 22 **2.5 Patient and Public Involvement**

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24 There is no patient involved in the study
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29 30 **3. Results**

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32 Sixty-nine students followed the courses and were included in the study. Fifty-five of
33 the students were women (79.7%), and the mean age of participants was 23.5 years (SD
34 2.495). Fourth-year students were the largest cohort (69.6%). All participants (69 students)
35 underwent the MCQ and OSCE examinations, and 32 students also performed the reflective
36 writing.
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43 44 **3.1 Quality of the Instruments**

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46 The MCQ examination had a Cronbach's alpha of 0.697. For the six OSCE stations,
47 the lower Cronbach's alpha level was 0.702, and the higher was 0.815. The Cronbach's alpha
48 of the OSCE global score was 0.633.
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52 The TS had a Cronbach's alpha of 0.784, while the inter-examiner correlation for
53 absolute single-measure concordance was 0.907 (two examiners). The RS had a Cronbach's
54 alpha of 0.850 and an inter-examiner correlation for absolute single-measure concordance of
55 0.816 (two examiners).
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3.2 Thematic Analysis

The thematic categories of the reflections were non-verbal communication (NV), the patient's perspective (PP), steps of communication (SC), doctor-patient relationships (DPR), ethics and respect (ER), empathy and altruism (EA) and humanistic values (HV) (Table 2).

[Table 2 near here]

3.3 Correlation between Instruments

Table 3 shows the correlations between the four different assessment methodologies. There was no correlation between the score for the depth of reflection (RS) and both the MCQ and OSCE scores. The RS was only correlated with the thematic score (TS). However, the TS score was positively correlated with the MCQ score (0.439; $p=0.012$) and the OSCE score (0.412; $p=0.019$).

[Table 3 near here]

4. Discussion

The assessment of the depth and themes of reflection provides a different perspective on the teaching and learning of communication skills. We found a positive correlation between the content of the students' reflections with their performance on a cognitive test and OSCE assessment, which suggested that the scope of the reflection was related to the students' knowledge. The lack of correlation between the depth of reflection and cognitive and behavioural tests suggests that reflection could be a particular competence domain.

4.1 Importance of Including Assessment of Depth and Content When Evaluating Reflection

The reflection process ranges from elementary cognitive levels (description, identification, knowledge, and others) to higher levels of processing, such as analysis, evaluation, synthesis, and creation [29]. Using different methods to assess reflection offers an effective strategy to encourage students to engage in reflective activities and enhances the probability of students reaching deeper levels of reflection [30]. Thus, we agree with Hulsman et al. (2009) and advocate for the assessment of reflection in terms of its depth and content to improve communication skills training [31].

In the assessment of the reflection themes, teachers map the topics students address in their reflections. We observed that the number of themes addressed by students are linked to both knowledge [29] and practical performance [32–34]. We believe that a broader knowledge helps students to respond appropriately to different practical situations.

Interestingly, the reflection depth seems to be a different competence, not necessarily related to the knowledge level or current performance, but possibly related to the values and attitudes of the student regarding a specific topic [31]. Aligned with this hypothesis, Moniz et al. (2015) showed a lack of correlation between the depth of reflection (RS) and OSCE and MCQ scores of undergraduate medical students. However, in Moniz's study, the assessment methods were not targeting the same competence and the absence of standardization could explain the observed lack of correlation. In our study, we assessed a singular set of competencies (communication skills) and observed the same lack of correlation between reflection depth and other assessment methods.

Learning is a lifelong enterprise and achieving deeper reflection is crucial to the process of becoming an independent and self-regulated learner [35]. The achievement of deeper reflection requires (1) understanding the context; (2) elaborating on the experience; (3) searching for solutions to the problems posed; (4) acknowledging the different subjects involved; and (5) taking different perspectives [36]. Thus, when doctors achieve a deep sense of reflection on their practice they move from a state of being knowledge consumers to become active professionals capable of transforming their reality aiming for a practice based on their values and centred on the patient [37]. We believe that deeper reflection goes beyond applying the knowledge to a fixed situation; deeper reflection incorporates the elaboration of

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3 new knowledge, balance of different perspectives, anticipation of challenges and planning of
4 future behaviour[39].
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7 Adding the depth of reflection to teaching and assessment models may allow teachers
8 to capture students' standpoint, their meaning-making processes, and their values [40]. We
9 hypothesize that the depth of reflection, particularly concerning communication skills, could
10 be linked to the domain of 'being a doctor' and the formation of professional identity [41,42]
11 by involving elements that extend beyond the context of daily practice to include belief
12 systems and values, which are not commonly assessed in knowledge tests and OSCEs.
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18 **4.2 The Risks of Assessing Reflection**

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21 The assessment of reflection brings the risk of reducing the reflective practice to a tool
22 or a mere parameter of a grading system. Under the pressure of scoring to pass exams,
23 students often "play the game" and perform tasks and adopt behaviours to fit the expectations
24 of teachers, without making a real transformative engagement in learning [43]. This risk
25 should be avoided by linking the reflective process to the questioning of assumptions, power
26 relations, and social and systemic structures. Ideally, the assessment of reflection should go in
27 the opposite direction of conventional assessment methods [44]. The lack of correlation
28 observed between depth of reflection and MCQ or OSCE scores aligns with this notion.
29 Nevertheless, it is essential to continue studying the role of reflection among assessment
30 methods to develop the potential of reflective practice without being reductive [45].
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42 **4.3 Limitations**

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45 The sample of this study was small and convenient. Thus, it is difficult to generalise
46 our results to larger samples. As it was self-selected, the sample may represent more
47 knowledgeable and motivated students, which may influence both the scores and percentage
48 of students who engaged in the reflective writing (higher than 50%). The fact that the
49 reflection was optional could have attracted students who were naturally reflective, which can
50 also be a confounder. Our results must be confirmed by investigations using non-convenient
51 samples and with a greater number of participants.
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57 The assessments were reliable and consistent but limited in terms of reproducibility
58 owing to the number of assessments made. Our method of assessing reflection (reflective
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3 writing) could be an element of bias since studies show different results when different
4 reflection methods are used. For example, when reflecting in interviews, students may show
5 levels of reflection that are different from those shown in reflective writing [46]. The current
6 generation of students has a range of preferences when it comes to learning and methods of
7 expression, and many do not have strong skills in written expression [47]. Thus, reflective
8 depth can indeed be associated with students' writing skills [48]. In this way, some authors
9 suggest diversification of reflective registers using alternatives such as digital storytelling.
10 Thus, the use of writing to assume the depth of reflection has an important bias to be
11 considered. Drawing definite conclusions about students' reflectiveness from only one source
12 of reflective material may be biased.
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21 **4.5 Practical Implications**

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24 Becoming a good communicator is one of the challenges posed to medical students.
25 Communication training already embraces a body of cognitive knowledge that grounds
26 learning activities. Communication training has also developed different strategies to nurture,
27 check, and give feedback on the behaviours and attitudes of medical students during role-
28 playing and simulated or real clinical encounters. However, becoming a good communicator
29 is a life-long process, and, after leaving medical school, junior doctors have to take control of
30 their learning process. Developing a reflective mindset that is capable of evaluating current
31 behaviour – its roots, professional and personal consequences, and emotional impact – will
32 allow junior doctors to transform their understandings and attitudes towards more patient-
33 centred care. Reflection can facilitate this trajectory by supporting medical students during
34 their first steps in becoming autonomous critical thinkers.
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48 **Conclusion**

49 This study supports the use of reflective narratives as a complementary assessment
50 method in the context of communication skills training. Assessing the depth of reflection
51 offers a new perspective on students' development and allows the teacher to dive into
52 students' understandings of the value of becoming a good communicator.
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Declaration of interest

The authors report no declarations of interest.

Author Statement

Franco, CAGS and Franco, RS participated in the conception, design of the study, acquisition of data, analysis and interpretation of data, writing the final version of the manuscript.

Cecilio-Fernades, D made substantial contributions revising the paper critically for important improvement in whole manuscript. Carvalho-Filho MA made substantial contributions in the analysis, interpretation of data and in the writing of the final version of the manuscript.

Severo, M and Ferreira, MA made substantial contributions in the conception and design of the study, analysis and interpretation of data. All authors read and approved the final manuscript.

Declaration of Conflicting Interests

The author(s) declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

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Table 1. Description of the five dimensions in the RS.

Dimensions	Descriptions of the Dimensions
1) Writing spectrum:	Assesses if reflection is just a superficial description of the event or a more detailed description with the exploitation of values and criticism.
2) Presence:	Assesses how much the student gets involved in or is detached from the narrative.
3) Description of conflict or disorienting dilemma:	Evaluates a wide range of situations, from the non-identification of a dilemma to a full description that includes different perspectives.
4) Attending to emotions:	Evaluates non-consideration of emotions, through the analysis of emotion.
5) Analysis and meaning making:	Evaluates the significance of the experience mentioned by the participant.
6) Attention to assignment-optional criterion:	Evaluates whether the student responds to what is sought.

Table 2. Example of fragments according to thematic categories.

Thematic Categories	Fragment Example
Non-Verbal	‘I quickly noticed a strange, slightly frightened look on his face...’.
Steps of Consultation:	‘...the consultation I performed was... like a questionnaire application...’.
Doctor-Patient Relationship:	‘...it helps me, mainly to understand how to put the patient’s needs and well-being above my own...’.
Empathy and Respect:	‘I believe it is consensual that the attitude of the... ..is subject to criticism, after all, respect and patience with the patient are prerequisites...’.
Humanistic Values:	‘...the way he introduced himself... the attention with which he listened...’.

Table 3. Pearson correlations between the different methods of assessment.

Assessment Methods	OSCE	p-Value	MCQ	p-Value	REFLECT Score	p-Value
MCQ	0.396 (n=69)	0.001*	-	-	-	-
REFLECT Score	0.250 (n=32)	0.168	-0.219 (n=32)	0.228	-	-
Thematic Score	0.412 (n=32)	0.019*	0.439 (n=32)	0.012*	0.410 (n=32)	0.020*

MCQ; the p-value was considered a sign of statistical significance when it was lower than 0.05.

Reporting checklist for cohort study.

Based on the STROBE cohort guidelines.

Instructions to authors

Complete this checklist by entering the page numbers from your manuscript where readers will find each of the items listed below.

Your article may not currently address all the items on the checklist. Please modify your text to include the missing information. If you are certain that an item does not apply, please write "n/a" and provide a short explanation.

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In your methods section, say that you used the STROBE cohort reporting guidelines, and cite them as:

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	Reporting Item	Page Number
Title and abstract		
Title	#1a Indicate the study's design with a commonly used term in the title or the abstract	1
Abstract	#1b Provide in the abstract an informative and balanced summary of what was done and what was found	4
Introduction		
Background / rationale	#2 Explain the scientific background and rationale for the investigation being reported	5
Objectives	#3 State specific objectives, including any prespecified hypotheses	7
Methods		
Study design	#4 Present key elements of study design early in the paper	8
Setting	#5 Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	8

1	Eligibility criteria	#6a	Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up.	8
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4	Eligibility criteria	#6b	For matched studies, give matching criteria and number of exposed and unexposed	8
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8	Variables	#7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	9
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14	Data sources /	#8	For each variable of interest give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group. Give information separately for for exposed and unexposed groups if applicable.	10
15	measurement			
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22	Bias	#9	Describe any efforts to address potential sources of bias	14
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24	Study size	#10	Explain how the study size was arrived at	n/a - convenience sample
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30	Quantitative	#11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen, and why	8-10
31	variables			
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34	Statistical	#12a	Describe all statistical methods, including those used to control for confounding	8-10
35	methods			
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37	Statistical	#12b	Describe any methods used to examine subgroups and interactions	8-10
38	methods			
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41	Statistical	#12c	Explain how missing data were addressed	8-10
42	methods			
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45	Statistical	#12d	If applicable, explain how loss to follow-up was addressed	n/a
46	methods			
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49	Statistical	#12e	Describe any sensitivity analyses	8-10
50	methods			
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53	Results			
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55	Participants	#13a	Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed. Give	11
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information separately for for exposed and unexposed groups if applicable.

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4	Participants	#13b Give reasons for non-participation at each stage	11
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6	Participants	#13c Consider use of a flow diagram	n/a -
7			convenience
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11	Descriptive data	#14a Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders. Give information separately for exposed and unexposed groups if applicable.	11
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18	Descriptive data	#14b Indicate number of participants with missing data for each variable of interest	11
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22	Descriptive data	#14c Summarise follow-up time (eg, average and total amount)	11
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24	Outcome data	#15 Report numbers of outcome events or summary measures over time. Give information separately for exposed and unexposed groups if applicable.	11-12
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30	Main results	#16a Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	11-12
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36	Main results	#16b Report category boundaries when continuous variables were categorized	11-12
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40	Main results	#16c If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	11-12
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44	Other analyses	#17 Report other analyses done—e.g., analyses of subgroups and interactions, and sensitivity analyses	11-12
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48	Discussion		
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50	Key results	#18 Summarise key results with reference to study objectives	12
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53	Limitations	#19 Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias.	14
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58	Interpretation	#20 Give a cautious overall interpretation considering objectives,	12-13
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1 limitations, multiplicity of analyses, results from similar studies,
2 and other relevant evidence.

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4 Generalisability [#21](#) Discuss the generalisability (external validity) of the study results 14
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6 **Other**
7 **Information**
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10 Funding [#22](#) Give the source of funding and the role of the funders for the 16
11 present study and, if applicable, for the original study on which the
12 present article is based
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15 Notes:
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- 17
18 • 10: n/a - convenience sample
19
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22 <https://www.goodreports.org/>, a tool made by the [EQUATOR Network](#) in collaboration with [Penelope.ai](#)
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BMJ Open

The added value of assessing medical students' reflective writings in communication skills training: a longitudinal study in four academic centres.

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Primary Subject Heading:	Medical education and training
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3 **The added value of assessing medical students' reflective writings in**
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5 **centres.**
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15 Camila Ament Giuliani Franco
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The added value of assessing medical students' reflective writings in communication skills training: a longitudinal study in four academic centres.

Abstract

Objectives: This study describes the development and implementation of a model to assess students' communication skills highlighting the use of reflective writing. We aimed to evaluate the usefulness of the students' reflections in the assessment of communication skills.

Design: Third- and fourth-year medical students enrolled in an elective course on clinical communication skills development were assessed using different assessment methods.

Setting and Participants: The communication skills course was offered at four universities (three in Brazil and one in Portugal) and included 69 students.

Outcome measures: The students were assessed by a multiple-choice questionnaire (MCQ), an objective structured clinical examination (OSCE), and reflective writing narratives. The Cronbach's alpha, Dimensionality, and the Person's correlation were applied to evaluate the reliability of the assessment methods and their correlations. Reflective writing was assessed by applying the REFLECT Rubric (Reflect Score [RS]) to measure reflections' depth, and the Thematic Score (TS) to map and grade reflections' themes.

Results: The Cronbach alpha for the MCQ, OSCE global score, TS, and RS were respectively 0.697, 0.633, 0.784 and 0.850. The inter-observer correlation for the TS and RS were respectively 0.907 and 0.816. The assessment of reflection using the TS was significantly correlated with the MCQ ($r=0.412$; $p=0.019$), OSCE (0.439 ; $p=0.012^*$), and RS (0.410 ; $p=0.020$). The RS did not correlate with the MCQ and OSCE.

Conclusions: Assessing reflection through mapping the themes and analysing the depth of reflective writing expands the assessment of communication skills. While the assessment of reflective themes is related to the cognitive and behavioural domains of learning, the reflective depth seems to be a specific competence, not correlated with other assessment methods - possibly a metacognitive domain.

Keywords: medical education & training; medical ethics; primary care.

Strengths and limitations of this study

- This study details the use of medical students' reflective narratives in the assessment of communication skills.
- The assessment of the depth (profundity) and the themes (topics) of medical students' reflective narratives has an additional value compared to the traditional assessment methods used in communication skills training.
- The method utilized to assess the depth and themes of medical students' reflective narratives showed good reliability.
- The participants were recruited from a convenience sample and further studies are needed to explore the added value of assessing medical students' reflective narratives in a natural context.

1. Introduction

Clinical communication is essential for medical students and must extend well beyond the reproduction of behaviours and skills [1]. Competent doctors must adapt their communication to the specific needs of their patients [2]. In this regard, for medical students to become competent communicators, they must reflect on their experiences with patients aiming for the self-monitoring of their thoughts and behaviours to improve their performance in further interactions with patients [1,3]. Although reflection is an essential component of developing communication [4], most communication skills training does not include the assessment of students' reflections in their repertoire of assessment tools [5]. Understanding how assessing reflection may support (or not) the development of communication skills in medical students may offer medical educators a new strategy for improving doctor-patient communication.

Medical students must be aware of patients' needs and willing to adapt their patterns of behaviour according these needs and context [6]. Although the learning of some basic behavioural rules can indeed be an excellent starting point, such rules governing behaviour may not suffice for guiding students in the process of navigating the complexity of doctor-

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3 patient communication. Each patient is unique and has his or her system of beliefs and
4 singular expectations. Doctors must tailor their communication strategies to match each
5 patient needs while respecting his or her personality and social and cultural background [7,8].
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7 Doctors should adapt their communication styles to each patient by addressing the
8 complexity of human interactions, which includes attending with and regulating their own
9 emotions, understanding the context, and identifying potential dilemmas. In mastering
10 communication, doctors should reflect before, during and after each clinical encounter to
11 recognise their limitations and identify areas for improvement while planning how to achieve
12 better outcomes [4]. Therefore, we advocate that educational activities that target the
13 development of medical students' communication skills should include the teaching and
14 assessment of reflection.
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23 Within an educational context, reflection is a process [9] whereby individuals
24 critically analyse their cognitive and behavioural responses to a certain experience and
25 develop a deeper understanding of the experience and themselves. The reflection may start
26 even before the experience starts (*reflection-for-action*), so that students can achieve a
27 broader understanding of a particular task, which helps them to prepare for action. For
28 example, when students anticipate that the task exceeds their level of competence, they may
29 ask for help [10]. The reflection can also occur during the experience (*reflection-in-action*).
30 This reflection in action refers to the capacity to address just-in-time information by applying
31 the process of analysis and critics during an event, which may lead to real-time adaptation of
32 the performance. After the end of the experience, students can engage in a *reflection-on-*
33 *action* process by reviewing and analysing the event and its course to reach a deeper
34 understanding and elaborate new knowledge [8]. Fostering reflection on-action has been one
35 of the starting points for the development of reflective practices in medical education, from
36 first-year undergraduate classes to post-graduate training [3,11]. For instance, in the context
37 of doctor-patient relationship, the process of reflection on-action has a vital role in building
38 mental models that become available to be applied in future clinical experiences to enhance
39 emotional awareness, emotion expression, and empathy [4,12–14].
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53 Most of the methods for assessing reflection targets reflection on-action processes,
54 mainly by the use of students' reflective writing [3,11]. Reflective writing supports students'
55 self-monitoring, generates self-awareness [15] and promotes a deeper understanding of
56 patients by allowing the inclusion of biopsychosocial perspectives in next consultations
57 [16,17]. Although reflection on-action has been considered keen in the development of
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3 clinical communication [4,18], its implementation has a low degree of systematisation and
4 minimal attention has been paid to descriptions of the use of reflective writing as an
5 assessment tool in this context [4].
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9 Reflective writing can be assessed based on the content or depth of reflection. The
10 content of reflection may be evaluated by theme or category-based analysis [19–21]. For
11 example, Karnieli-Miller et al. (2018) used reflective writing to support the teaching of how
12 to deliver bad news. In the reflective narratives, the authors identified through theme-based
13 analysis all the elements that were part of the clinical protocol used as a reference during the
14 study [20]. However, the study focused on the content of reflection, but not on the depth of
15 reflection. Moreover, the authors did not compare the results of the assessment of the
16 reflection with those obtained through other methods of assessment. Similar to Karnieli-
17 Miller et al. (2018), Braverman et al. (2016) used a coded framework for the thematic
18 analysis of third-year medical students' reflective writing on challenges in communicating
19 with patients but also did not assess the depth of reflection [21]. Thus, the studies that have
20 sought to determine the role of reflection in teaching communication have targeted its
21 themes, rather than its depth.
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25 The Reflection Evaluation for Enhanced Competencies Tool (REFLECT rubric),
26 proposed by Wald et al. (2012), highlights the importance of deep reflection in the
27 development of metacognition and effective patient care [22] and has been widely used to
28 evaluate reflection, particularly reflection on-action processes [23]. These authors organised a
29 multidimensional analysis of reflection that assesses five mandatory items: writing spectrum,
30 presence, description of conflict, attending to emotions, and meaning-making [22]. These five
31 items can be classified using a Likert scale ranging from 0 to 3, according to four different
32 reflection levels (from non-reflective to critically reflective), which correspond to the depth
33 of reflection. This assessment model distinguishes between written texts with only superficial
34 reflection (descriptive) and those with a high density of reflective elements. Although the
35 REFLECT rubric was used successfully in assessment strategies for different learning
36 activities involving reflective writing in medical education, its use in communication skills
37 training must be stimulated and better analysed [4,11].
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41 Communication training traditionally applies a combination of multiple choice
42 questionnaires (MCQs) and Objective Structured Clinical Examination (OSCE) stations to
43 assess students' cognitive knowledge and check students' performance [24,25]. Previous
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3 research shows a low correlation between the MCQ and OSCE scores, which suggests that,
4 indeed, these methods are assessing different competencies [26–28]. Communication teachers
5 should take advantage of these different scores and provide specific feedback targeting
6 knowledge and/or behaviour. Since cultivating reflection skills is also relevant to the process
7 of becoming a competent communicator, communication trainers should implement
8 assessment strategies that target reflection skills to create an opportunity to provide feedback
9 on this competency [29].

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There is a lack of research exploring the impact of reflection on the learning of communication skills. The use of the reflective capacity in the teaching and assessment of communication skills, namely, in scenarios related to practice, must be encouraged once it can foster students' professionalism, critical thinking and attitudes [3,4,12–14,23]. Reflective capacity, as a metacognitive process, surpasses (but includes) cognitive and behavioural elements. Understanding the level of correlation between the scores for reflection and the scores for traditional assessments, such as MCQs and OSCEs, potentially contribute to the discussion regarding the role of assessing reflection in communication skills training. Therefore, we raise the following research questions: Is the assessment of reflective writing correlated with cognitive (MCQ) and behavioural (OSCE) assessment methods?

To address these questions, we report the development of a model for assessing the reflection on-action of medical students in the context of communication skills training by applying two methods to evaluate students' reflective writing (themes and depth). We also compare the assessment of reflective writing with other traditional methods (i.e., MCQ and OSCE) to understand the added value of assessing the reflection process using these two methods. Understanding the added value of assessing students' reflective writing may contribute to clarify the importance of reflection in the process of honing communication skills to improve doctor-patient communication and support its future application in learning activities.

2. Methods

2.1 Overview

This longitudinal observational study was carried out at three different Brazilian universities (one course at each university in 2015) and one university in Portugal (one course in 2016). Data collection occurred during these elective courses in clinical communication. Each course comprised five modules (25 hours in total) conducted over two months. The elective discipline did not disturb students' academic trajectory and occurred in parallel to the regular learning activities. It is worth mentioning that, although this course did not involve practice with patients, all of the students had clinical encounters with patients in hospitals and primary care settings during their regular academic activities.

The Calgary-Cambridge Guide to Communication [30,31] and Patient-Centred Medicine [32] were the conceptual and theoretical models behind this elective communication skills' course. The contents of the first four modules comprised the steps of consultation: 1) initiating the session, 2) gathering information, 3) explanation and planning, 4) closing the session; and last one included the 5) breaking bad news. These contents and models were employed as supportive frameworks, and students were not encouraged to follow them as behavioural protocols. The main focus of the course was on the need to reflect and adapt communication strategies to patients' needs and students' communication style. Each module of the course was structured following 4 steps: 1) presentation of the content via reflective, small-group discussions, 2) simulation activities with simulated patients; 3) Reflective debriefing and 4) Summary of the learning points and preparation for next-modules [33]. The course did not have a module about theoretical assumptions of reflection or reflective writing, but the instructor of the course structured the discussion of the content (step 1) and debriefing (step 3) using the Gibbs Reflective Circle [33].

The cases selected for simulation involved clinical scenarios about common health problems with contextual or emotional challenges. For example, in one scenario, an apparently healthy woman asked for a preconception consultation regarding planning for pregnancy. The woman had a history of sexual abuse (between the ages of eleven and thirteen) by her uncle. She was neglected by her family even after informing her parents about the abuse. This scenario is very emotional and, unfortunately, represents a common occurrence in primary care settings where the students have their clinical training. The learning objective of this scenario is to consider the patient as a whole (one of the main

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3 principles of patient-centeredness), obtain biopsychosocial information and address emotions
4 (discuss empathy and affective reactions). In preparation to engage with the scenarios,
5 students are stimulated to reflect in action and develop self-awareness and active listening
6 skills, both competencies are among the pillars of one of the theoretical references of the
7 course. During the debriefing of this and other cases, the facilitator stimulated a profound,
8 horizontal, and collaborative discussion about the different elements and emotions involved
9 in dealing with the simulated encounter. The facilitator actively invited students to take
10 different perspectives. Every session ended with the elaboration of an action plan aiming to
11 improve student's future performance and provide better patient care. A detailed discussion
12 of the course has been previously published [34].
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23 **2.2 Participants**

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26 A convenience sample of third- and fourth-year medical students at four universities
27 were invited to participate in the study by email. For the sample recruitment, a class
28 representative of the students in the third or fourth year sent an email to their colleagues
29 inviting them to participate in the course. No financial incentives were given for their
30 participation. A total of 69 participants (20 at University 1 - Brazil, 12 at University 2 -
31 Brazil, 30 at University 3 - Brazil, and seven at University 4 - Portugal) agreed to participate.
32 The participants joined a course containing five encounters with a total of 25 hours. The 69
33 participants were assessed at the end of the course with an MCQ and OSCE on
34 communication skills. The participants were invited (but not obligated) to write a reflective
35 piece, and 37 students produced texts.
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47 **2.3 Material: Assessment Instruments**

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50 We compared three different assessment methods: a cognitive test based on an MCQ,
51 an examination of communication skills based on the OSCE, and an assessment of reflection
52 through reflective writing. The MCQ and OSCE were administered after the last meeting of
53 the course on communication skills. The reflective writing was optional and could be
54 undertaken by the students at any point during the communication skills' course. We decided
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3 that the reflective writing would be optional to understand the students' disposition to engage
4 with this assessment method [35].
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7 The MCQ consisted of 63 items about clinical communication. The items were based
8 on clinical situations or conceptual issues that were grounded in the Calgary-Cambridge
9 Guide to Communication [30,31], Patient-Centred Medicine [32], and Kalamazoo Consensus
10 [36].
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14 The OSCE included six stations specifically designed to assess communication skills.
15 The OSCE was based on the same references of the MCQ (Calgary-Cambridge Guide to
16 Communication [30,31], Patient-Centred Medicine [32] and Kalamazoo Consensus [36]).
17 Four of these stations had been tested by the authors in a pilot project [37]). To elaborate the
18 six stations, two medical educators with expertise in OSCE and clinical communication
19 collaborated to develop the stations and checklists. The OSCE targets behavioural domains
20 (communication skills) and affective domains (empathy and compassion) both in the context
21 of doctor-patient interactions. According to the blueprint based on the content of the course,
22 the stations assessed students in scenarios in which they must break bad news to a patient's
23 family, break bad news to a patient, gather information to reach a clinical diagnosis, engage
24 in shared decision-making, address moral conflicts, and care for a patient with multiple
25 complaints. There was one observer for each OSCE station who was responsible for filling
26 out the assessment checklist. These checklists consisted of between six and 14 items
27 depending on the station. Each item on the checklist was then classified on a Likert scale
28 ranging from 0 to 2 points. The final score of each station was obtained by the mean of its
29 items. The OSCE global score was calculated as a mean considering the six stations.
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43 For the reflective writing component, students could choose any aspect of doctor-
44 patient communication that they considered challenging in their clinical practice. The only
45 advice was that students should find a calm place to write – a place that enables them to focus
46 their attention on their writing with as few distractions as possible. Medical students did not
47 take a course on reflection and reflective writing before this study. The students received the
48 following instruction: 'Suggestion for reflection: 1) Describe the situation; 2) Point out the
49 dilemmas, doubts, and questions raised; 3) Point out feelings and observations; 4) Analyse
50 the situation from different points of view; 5) Make a conclusion; and 6) Suggest a
51 hypothesis. These steps are only a suggestion; you may conduct the reflection in whichever
52 way that you prefer'.
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3 The writing content was related to communication skills and evaluated (1) through
4 the sum of the themes covered in each one of reflections – the thematic score (TS), and (2)
5 through the REFLECT Rubric – the reflect score (RS) [22]. In the next paragraphs we
6 describe how these two scores were calculated.
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10 For establishing the TS, two researchers (CF and RF) started a content analysis
11 individually by reading carefully all the reflective writings made by the students. After
12 reading, CF and RF selected the fragments related to clinical communication [38] and
13 generated a single list with all the fragments from the reflections of all students. Next, CF and
14 RF grouped the fragments in thematic categories independently. After, CF and RF met to
15 reach a consensus on the main themes. After the definition of the main thematic categories,
16 CF and RF read each one of the reflective writings for a second time and decided whether
17 each of the themes were present or not. The two researchers assigned point scores
18 accordingly to the presence of a certain theme ('0' for absent and '1' for present). The final
19 TS corresponded to the sum of all the themes approached by the student. Finally, the
20 agreement between the two researchers was evaluated, and, when there was a difference
21 between the two, a final TS was reached by consensus.
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32 The assessment based on the five mandatory dimensions of the REFLECT Rubric
33 followed the guidelines set by the authors of the rubric. The five mandatory dimensions are:
34 description, presence, identification of a dilemma, emotion, and the meaning of the
35 experience. Each one of the dimensions are evaluated considering four levels of reflective
36 capacity scored from 0-3 (habitual action or nonreflective = 0, thoughtful action or
37 introspection = 1, reflection = 2 and critical reflection = 3). The sum of the scores obtained in
38 each dimension was the total RS. Appendix 1 presented a fragment of one reflective writing
39 and the application of the assessment to the five dimensions of the REFLECT rubric
40 (Appendix 1).
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48 In summary, the TS refers to 'the subject of reflection – number of themes', the RS
49 refers to 'how the reflection took place or the depth of reflection'.
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2.4 Analysis

The quality of the MCQ was assessed by internal consistency, items' responsiveness, face, content, and construct validity. The face and content validity of MCQ were developed with the support of the group in the Medical Education Department of the University of Porto, which was responsible for the evaluation of high-stakes exams of the Faculty of Medicine to guarantee the quality of the items. Three experts in communication (one of them is an external member of the University) assessed and approved the assessment regarding its content. The internal consistency of the items was evaluated by Cronbach's alpha. The responsiveness and construct validity were evaluated according to a published study, in which this MCQ test was applied [34]. The items' responsiveness was considered adequate once the score before and after a course on communication improved significantly. The mean of improvement was 18.9% (confidence interval of 95%, ranges from 15.8 to 22.1%) ($p < 0.001$). The MCQ (pre and post-test) was applied to medical students who attained the same communication course at 4 universities. The improvement in the scores after the course did not show differences among universities ($p = 0.102$). Thus, the results indicate an acceptable construct validity.

The psychometric quality of the OSCE was evaluated by validation of the content (applying the principal component analysis for dimensionality) and internal consistency. Dimensionality was assessed using a scree plot, and the number of components was assessed according to the 'elbow rule'. An element or item was considered to contribute to a principal component when it had a correlation value higher than 0.30. Internal consistency was evaluated using Cronbach's alpha (Cronbach 1951). Acceptable values for internal consistency were considered to be higher than 0.7. The linear associations between the assessment methods were assessed using the Pearson's correlation considering missing complete at random to handle with missed correlations. It was also provided a 95% confidence interval for the Pearson's correlation to present the precision of the correlation.

To measure agreement between researchers, we used the intraclass single average value for absolute agreement. The inter-rater agreement rate was calculated for encoded fragments (TS) and for the RS. NVivo software (version 11.3.2 for Mac) was used for qualitative data analysis, while the SPSS, Version 25.0, was used for quantitative data analysis.

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3 This research was approved by the Ethics Centre of the São João Hospital Centre of
4 the Faculty of Medicine of the University of Porto (FMUP) and by the Research and Ethics
5 Commission of the Pontifical Catholic University of Paraná (PUCPR). Participant consent
6 was requested in the form of an informed consent before the participation in the
7 communication skills course. Signed written consent forms were completed by all
8 participants.
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17 **2.5 Patient and Public Involvement**

18 There is no patient involved in the study
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23 **3. Results**

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25 Sixty-nine students followed the courses and were included in the study. Fifty-five of
26 the students were women (79.7%), and the mean age of participants was 23.5 years (SD
27 2.495). Fourth-year students were the largest cohort (69.6%). All participants (69 students)
28 underwent the MCQ and OSCE examinations, and 32 students also performed the reflective
29 writing.
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37 **3.1 Quality of the Instruments**

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41 The MCQ examination had a Cronbach's alpha of 0.697. For the six OSCE stations,
42 the lower Cronbach's alpha level was 0.702, and the higher was 0.815. The Cronbach's alpha
43 of the OSCE global score was 0.633. Considering one component (OSCE global score), the
44 factor loads of the OSCEs stations were higher than 0.3 (Table X).
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48 The TS had a Cronbach's alpha of 0.784, while the inter-examiner correlation for
49 absolute single-measure concordance was 0.907 (two examiners). The RS had a Cronbach's
50 alpha of 0.850 and an inter-examiner correlation for absolute single-measure concordance of
51 0.816 (two examiners).
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3.2 Thematic Analysis

The thematic categories of the reflections were non-verbal communication (NV), the patient's perspective (PP), steps of communication (SC), doctor-patient relationships (DPR), ethics and respect (ER), empathy and altruism (EA) and humanistic values (HV) (Table 1).

[Table 1 near here]

3.3 Correlation between Instruments

Table 2 shows the correlations between the four different assessment methodologies. There was no correlation between the score for the depth of reflection (RS) and both the MCQ and OSCE scores. The RS was only correlated with the thematic score (TS). However, the TS score was positively correlated with the MCQ score (0.439; $p=0.012$) and the OSCE score (0.412; $p=0.019$).

[Table 2 near here]

4. Discussion

The assessment of the depth and themes of reflection on-action provides a different perspective on the teaching and learning of communication skills. We found a positive correlation between the content of the students' reflections with their performance on a cognitive test and OSCE assessment, which suggested that the scope of the reflection was related to the students' knowledge. The lack of correlation between the depth of reflection and cognitive and behavioural tests suggests that reflection could be a particular competence domain.

4.1 Importance of Including Assessment of Depth and Content When Evaluating Reflection

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3 The reflection process ranges from elementary cognitive levels (description,
4 identification, knowledge, and others) to higher levels of processing, such as analysis,
5 evaluation, synthesis, and creation [38]. Using different methods to assess reflection offers an
6 effective strategy to encourage students to engage in reflective activities and enhances the
7 probability of students reaching deeper levels of reflection [39]. Thus, we agree with
8 Hulsman et al. (2009) and advocate for the assessment of reflection in terms of its depth and
9 content (themes) to improve communication skills training [40].
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16 In the assessment of the reflection themes, teachers map the topics students address in
17 their reflections. We observed that the number of themes addressed by students are linked to
18 both knowledge [38] and practical performance [41–43]. Our results suggest that a broader
19 knowledge base and a bigger repertoire of adequate behaviours help students to respond
20 appropriately to different practical situations. The analysis of reflections that are based on its
21 themes can be applied to assist the evaluation of these learning elements.
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27 Interestingly, the reflection depth seems to be a different competence, not necessarily
28 related to the knowledge level or current performance, but possibly related to the values and
29 attitudes of the student regarding a specific topic [40]. It is possible that assessing the depth
30 of reflective writings, even in a particular context (communication skills in our case), enables
31 the evaluation of a specific domain of competence (reflective competence or reflective
32 capacity). Aligned with this hypothesis, Moniz et al. (2015) showed a lack of correlation
33 between the depth of reflection (RS) and OSCE and MCQ scores of undergraduate medical
34 students. However, in Moniz's study, the assessment methods were not targeting the same
35 competence and the absence of standardization could explain the observed lack of
36 correlation. In our study, we assessed a singular set of competencies (communication skills)
37 and observed the same lack of correlation between reflection depth and other assessment
38 methods. Thus, even after narrowing the context, the lack of association persists.
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48 Learning is a lifelong enterprise and achieving deeper reflection is crucial to the
49 process of becoming an independent and self-regulated learner [44]. The achievement of
50 deeper reflection requires (1) understanding the context; (2) elaborating on the experience;
51 (3) searching for solutions to the problems posed; (4) acknowledging the different subjects
52 involved; and (5) taking different perspectives [45]. Thus, when doctors achieve a deep sense
53 of reflection on their practice they move from a state of being knowledge consumers to
54 become active professionals capable of transforming their reality aiming for a practice based
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3 on their values and centred on the patient [46]. We believe that deeper reflection goes beyond
4 applying the knowledge to a fixed situation; deeper reflection incorporates the elaboration of
5 new knowledge, balance of different perspectives, anticipation of challenges and planning of
6 future behaviour [47].
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10 Adding the depth of reflection to teaching and assessment models may allow teachers
11 to capture students' standpoint, their meaning-making processes, and their values [48]. We
12 hypothesize that the depth of reflection, particularly concerning communication skills, could
13 be linked to the domain of 'being a doctor' and the formation of professional identity [49,50]
14 by involving elements that extend beyond the context of daily practice to include belief
15 systems and values, which are not commonly assessed in knowledge tests and OSCEs.
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22 **4.2 The Risks of Assessing Reflection**

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25 The assessment of reflection introduces the risk of limiting the reflective practice For
26 instance, in our study, the observed lack of correlation with cognitive and behavioural
27 assessments may derive from the failing of reflective writing to comprise all of the
28 complexity related to the doctor-patient communication. In practical settings, when caring for
29 a patient, students' reflective practice involves gathering information; being empathetic and
30 compassionate; becoming aware of the clinical, emotional, and social context; and identifying
31 conflicts—all crucial elements of addressing patients' needs to guarantee a patient-centred
32 attitude. As a result, reflection is a complex process that involves emotional, cognitive, and
33 moral dimensions. Considering this complexity, we must ponder to what extent the writing
34 reflections are capable of capturing all the elements of students' reflective processes. In
35 addition, our grading system may have driven students to focus on some aspects of the
36 communication process while disregarding other aspects. Grading reflections can pressure
37 students in scoring. The prevalent culture based on targeting high scores may motivate
38 students to "play the game" and perform tasks and adopt behaviours to fit the expectations of
39 teachers without engaging in transformative learning [51]. Thus, the lack of a correlation
40 between the reflective capacity and knowledge and behaviour and the limits of assessing
41 reflection must be considered. This lack of correlation cannot be extrapolated to the reflective
42 capacity, which is an important limitation of our study. Nevertheless, it is essential to
43 continue investigating the role of reflection as an assessment method for exploring the
44 potential of reflective practice in medical education [52].
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3 The risk of adopting a reductionistic approach to reflective practices may be avoided
4 by driving the reflective process beyond the achievement of satisfactory grades and
5 performance towards the questioning of taken-for-granted assumptions. These questionings
6 must include the examination of power relations and social and systemic structures. Thus, the
7 reflective capacity should not only address students' knowledge but also foster students'
8 ability to critically analyse what is assumed to be right or wrong [46,53–56].
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14 **4.3 Limitations**

17 This study is one of the first studies to apply multiple methods of assessment,
18 including the evaluation of reflection on-action; however, its limits must be considered. The
19 sample of this study was small and convenient. Our small sample may have influenced both
20 the qualitative analysis and quantitative analysis. It is possible that larger samples could
21 increase the number of categories and subcategories in the thematic analysis. Moreover, the
22 lower number of assessments using the REFLECT rubric (32) restricts the generalisation of
23 the results. The small sample limits the application of more refined statistical methods, for
24 example, adjusting the results for sample characteristics. As it was self-selected, the sample
25 may represent more knowledgeable and motivated students, which may influence both the
26 scores and percentage of students who engaged in the reflective writing (higher than 50%).
27 The fact that the reflection was optional could have attracted students who were naturally
28 reflective, which can also be a confounder. Our results must be confirmed by investigations
29 using non-convenient samples and with a greater number of participants.
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40 The clinical practice involves a complex setting where elements beyond reflective
41 capacity can drive decisions and behaviour, for example, emotional regulation and
42 interpersonal skills. Thus, reflection during an event (reflection in-action) would arguably be
43 more correlated with students' cognitive and behavioural developments. Note that the lack of
44 correlation among the assessment methods relates to reflection on-action and does not relate
45 to reflection in general. To broaden the applicability of reflection as an assessment method,
46 future studies also need to focus on assessing reflection in-action processes.
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52 The assessments were reliable and consistent but limited in terms of reproducibility
53 owing to the number of assessments made. Our method of assessing reflection (reflective
54 writing) could be an element of bias since studies show different results when different
55 reflection methods are used. For example, when reflecting in interviews, students may show
56 levels of reflection that are different from those shown in reflective writing [57]. The current
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3 generation of students has a range of preferences when it comes to learning and methods of
4 expression, and many do not have strong skills in written expression [58]. Thus, reflective
5 depth can indeed be associated with students' writing skills [59]. In this way, some authors
6 suggest diversification of reflective registers using alternatives such as digital storytelling.
7 Thus, the use of writing to assume the depth of reflection has an important bias to be
8 considered. Drawing definite conclusions about students' reflectiveness from only one source
9 of reflective material may be biased.

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Few studies apply multiple methods to assess communication skills, mainly studies that evaluate reflection. Although the results of this research highlight the assessment of reflections and promote discussions on its use for communication skills training, our assumptions and the limitations of this research may be considered.

4.5 Practical Implications

Becoming a good communicator is one of the challenges posed to medical students. Communication training already embraces a body of cognitive knowledge that grounds learning activities. Communication training has also developed different strategies to nurture, check, and give feedback on the behaviours and attitudes of medical students during role-playing and simulated or real clinical encounters. However, becoming a good communicator is a life-long process, and, after leaving medical school, junior doctors have to take control of their learning process. Developing a reflective mindset that is capable of evaluating current behaviour – its roots, professional and personal consequences, and emotional impact – will allow junior doctors to transform their understandings and attitudes towards more patient-centred care. Reflection can facilitate this trajectory by supporting medical students during their first steps in becoming autonomous critical thinkers.

Conclusion

This study supports the use of reflective narratives as a complementary assessment method in the context of communication skills training. Assessing the depth of reflection offers a new perspective on students' development and allows the teacher to dive into students' understandings of the value of becoming a good communicator.

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Author Statement

Franco, CAGS and Franco, RS participated in the conception, design of the study, acquisition of data, analysis and interpretation of data, writing the final version of the manuscript. Cecilio-Fernades, D made substantial contributions in the interpretation of data and revising the paper critically for important improvement in whole manuscript. Carvalho-Filho MA made substantial contributions in the analysis, interpretation of data and in the writing of the final version of the manuscript. Severo, M and Ferreira, MA made substantial contributions in the conception and design of the study, analysis and interpretation of data. All authors read and approved the final manuscript.

Declaration of Conflicting Interests

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Table 1. Example of fragments according to thematic categories.

Thematic Categories	Fragment Example
Non-Verbal	'I quickly noticed a strange, slightly frightened look on his face...'
Steps of Consultation:	'...the consultation I performed was... like a questionnaire application...'
Doctor-Patient Relationship:	'...it helps me, mainly to understand how to put the patient's needs and well-being above my own...'
Empathy and Respect:	'I believe it is consensual that the attitude of the... is subject to criticism, after all, respect and patience with the patient are prerequisites...'
Humanistic Values:	'...the way he introduced himself... the attention with which he listened...'

Table 2. Pearson correlations between the different methods of assessment.

Assessment Methods	OSCE	CI 95%	p-Value	MCQ	CI 95%	p-Value	REFLECT Score	CI 95%	p-Value
MCQ	0.396 (n=69)	0.17 to 0.59	0.001*	-		-	-		-
REFLECT Score	0.250 (n=32)	-0.11 to 0.55	0.168	-0.219 (n=32)	-0.53 to 0.14	0.228	-		-
Thematic Score	0.412 (n=32)	0.07 to 0.66	0.019*	0.439 (n=32)	0.11 to 0.68	0.012*	0.410 (n=32)	0.07 to 0.66	0.020*

*the p-value was considered a sign of statistical significance when it was lower than 0.05. CI: Confidence Interval for Pearson's correlation.

Appendix 1 - Scoring Reflective Writing using REFLECT rubric:

Reflective Writing: *I felt uncomfortable and it was hard for me stay present in the consultation because of the way the professor informed the diagnosis and managed the patient. Assessing the situation according to what physicians must do, several skills were not fulfilled in the patient care process: attention to patient well-being, autonomy and responsibility to promote better health for patients. The gathering of history by students had no benefit to the patient and only served a didactic function. As the diagnosis is cancer, which is stigmatised and has a very high negative charge (senior physician had performed a prior consultation and obtained all necessary information), it might not be the best time for medical students "to practice" history-taking. After our history-taking, the senior physician discussed the therapy for cancer with students and asked another physician to participate. They discussed the prognosis for the patient, suggested a new protocol in the research phase and assumed results that should not happen. All of these events occurred in front of the patient and their family. Adequate communication is important to adapt communication to each patient. Information must be provided according to subjects' needs and their capacity to understand... "Why to discuss in that way? They discussed uncertain things and affirmed the prognosis and other indications without scientific confirmation. It is difficult to evaluate these complex issues as students due to the scarce theoretical foundation for communication in medical school. The process of assimilation and application of role models prevails if there is no other point of criticism...*

1- Writing Spectrum – Level: Reflection (“movement beyond reporting or descriptive writing to reflecting; i.e., attempting to understand, question, or analyse an event”¹). The fragments disposed of reveal that students wrote beyond the descriptive level. However, they did not explore and criticise the values, beliefs or assumptions behind the observed behaviour. Thus, this reflection exceeds the descriptive level and achieves reflection but not a critical reflection – the higher level for writing spectrum: *“The gathering of history by students had no benefit for the patient, but only a didactic function.”; “As the diagnosis is cancer, which is stigmatised and has a very high negative charge (senior physician had conducted a prior consultation and obtained all necessary information), it might not be the best time for medical students "to practice" history-taking.”*

2- Presence – Level: Reflection (“sense of writer being largely present”¹) – The students presented the situation including her/himself in the situation, described the situation according to her/his point of view, which enabled an understanding of the participation of the student in the consultation. However, more details are needed to bring the reader to the setting, as expected for the Critical Reflection Level.

3- Description of conflict or disorienting dilemma – Level: Reflection (“description of the disorienting dilemma, conflict, challenge, or issue of concern”¹) – The description includes the disorienting dilemma but does not include a more profound understanding of the “conflict, challenge, or issue of concern that includes multiple perspectives...” as expected for the next level: “Critical Reflection”. There are three main dilemmas: the need to adapt the communication to each patient, the negative role models and the responsibility to patient well-being. All these elements were clearly stated in the text but lacked the necessary detail for Critical Reflection.

4- Attending to Emotions – Level: Thoughtful action (“recognition but no exploration or attention to emotions”¹) – The students described his/her feeling and the narrative transmits his/her difficulty in handling emotions during the situation. However, no exploration was required for the next level of writing (Reflection) and beyond the recognition and insight on emotions necessary in Critical Reflection.

5- Analysis and Meaning Making – Level: Reflection (“some analysis and meaning-making”¹) - The student noticed problems regarding communication and physicians' attitude. The writing suggests that the students recognised and analysed the situation; however, it could be more comprehensive for achieving Critical Reflection – for example, why did this doctor behave in this manner? The following fragments present some analysis of the student: *“it might not be the best time for medical students "to practice" history-taking...”;* *“To communicate adequately is important to adapt communication to each patient, and the information must be provided according to subjects' needs and the capacity to understand...”.*

1- REFLECT rubric statements from: Wald, H. S., Borkan, J. M., Taylor, J. S., Anthony, D., & Reis, S. P. (2012). Fostering and Evaluating Reflective Capacity in Medical Education: Developing the REFLECT Rubric for Assessing Reflective Writing. *Academic Medicine*, 87(1), 41–50. The text in *italic* correspond to the student reflective writing. The text in **bold** correspond to the REFLECT rubric items.

Reporting checklist for cohort study.

Based on the STROBE cohort guidelines.

Instructions to authors

Complete this checklist by entering the page numbers from your manuscript where readers will find each of the items listed below.

Your article may not currently address all the items on the checklist. Please modify your text to include the missing information. If you are certain that an item does not apply, please write "n/a" and provide a short explanation.

Upload your completed checklist as an extra file when you submit to a journal.

In your methods section, say that you used the STROBE cohort reporting guidelines, and cite them as:

von Elm E, Altman DG, Egger M, Pocock SJ, Gøtzsche PC, Vandenbroucke JP. The Strengthening of Reporting of Observational Studies in Epidemiology (STROBE) Statement: guidelines for reporting observational studies.

	Reporting Item	Page Number
Title and abstract		
Title	#1a Indicate the study's design with a commonly used term in the title or the abstract	1
Abstract	#1b Provide in the abstract an informative and balanced summary of what was done and what was found	4
Introduction		
Background / rationale	#2 Explain the scientific background and rationale for the investigation being reported	5
Objectives	#3 State specific objectives, including any prespecified hypotheses	7
Methods		
Study design	#4 Present key elements of study design early in the paper	9
Setting	#5 Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	9

1	Eligibility criteria	#6a	Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up.	10
2				
3				
4	Eligibility criteria	#6b	For matched studies, give matching criteria and number of exposed and unexposed	10
5				
6				
7				
8	Variables	#7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	10-11
9				
10				
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13				
14	Data sources / measurement	#8	For each variable of interest give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group. Give information separately for for exposed and unexposed groups if applicable.	10-11
15				
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21				
22	Bias	#9	Describe any efforts to address potential sources of bias	13
23				
24	Study size	#10	Explain how the study size was arrived at	n/a - convenience sample
25				
26				
27				
28				
29				
30	Quantitative variables	#11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen, and why	9-13
31				
32				
33				
34	Statistical methods	#12a	Describe all statistical methods, including those used to control for confounding	9-13
35				
36				
37	Statistical methods	#12b	Describe any methods used to examine subgroups and interactions	9-13
38				
39				
40				
41	Statistical methods	#12c	Explain how missing data were addressed	9-13
42				
43				
44				
45	Statistical methods	#12d	If applicable, explain how loss to follow-up was addressed	n/a
46				
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48				
49	Statistical methods	#12e	Describe any sensitivity analyses	9-13
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53	Results			
54				
55	Participants	#13a	Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and	14
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60				

analysed. Give information separately for for exposed and unexposed groups if applicable.

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4	Participants	#13b	Give reasons for non-participation at each stage 14
5			
6	Participants	#13c	Consider use of a flow diagram n/a -
7			convenience
8			sample
9			
10			
11	Descriptive data	#14a	Give characteristics of study participants (eg demographic, 14
12			clinical, social) and information on exposures and potential
13			confounders. Give information separately for exposed and
14			unexposed groups if applicable.
15			
16			
17			
18	Descriptive data	#14b	Indicate number of participants with missing data for each 14
19			variable of interest
20			
21			
22	Descriptive data	#14c	Summarise follow-up time (eg, average and total amount) 14
23			
24	Outcome data	#15	Report numbers of outcome events or summary measures over 14-15
25			time. Give information separately for exposed and unexposed
26			groups if applicable.
27			
28			
29			
30	Main results	#16a	Give unadjusted estimates and, if applicable, confounder- 14-15
31			adjusted estimates and their precision (eg, 95% confidence
32			interval). Make clear which confounders were adjusted for and
33			why they were included
34			
35			
36	Main results	#16b	Report category boundaries when continuous variables were 14-15
37			categorized
38			
39			
40	Main results	#16c	If relevant, consider translating estimates of relative risk into 11-12
41			absolute risk for a meaningful time period
42			
43			
44	Other analyses	#17	Report other analyses done—e.g., analyses of subgroups and 14-15
45			interactions, and sensitivity analyses
46			
47			
48	Discussion		
49			
50	Key results	#18	Summarise key results with reference to study objectives 15
51			
52			
53	Limitations	#19	Discuss limitations of the study, taking into account sources of 17-18
54			potential bias or imprecision. Discuss both direction and
55			magnitude of any potential bias.
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1	Interpretation	#20	Give a cautious overall interpretation considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence.	15-17
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6	Generalisability	#21	Discuss the generalisability (external validity) of the study results	17-18
7				
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9				
10	Other			
11	Information			
12				
13				
14	Funding	#22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	20
15				
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Notes:

- 10: n/a - convenience sample
- 13c: n/a - convenience sample The STROBE checklist is distributed under the terms of the Creative Commons Attribution License CC-BY. This checklist was completed on 27. March 2020 using <https://www.goodreports.org/>, a tool made by the [EQUATOR Network](#) in collaboration with [Penelope.ai](#)