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Association between vitamin D and uterine fibroids: a study protocol of an open-label, randomised controlled trial

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4 **Association between vitamin D and uterine fibroids: a study protocol of an open-**
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6 **label, randomised controlled trial**
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Abstract

Introduction: Uterine fibroids are the most common pelvic benign tumor with no satisfactory long-term medical treatment. Recent studies have demonstrated that vitamin D significantly inhibited the growth of fibroids in vitro, vivo and a small-sample clinical trial. Therefore, the aim of this randomised clinical trial (RCT) is to evaluate whether supplementation with vitamin D could reduce the risk and inhibit the growth of uterine fibroids in reproductive stage women.

Methods and analysis: The open-label, RCT comprises two parts, including part I and part II. In part I, 2230 vitamin D deficiency or vitamin D insufficiency patients without uterine fibroids will be randomly assigned to two groups: intervention group (according to the level of serum 25-hydroxyvitamin D₃, receive 1600 or 800 IU/d of vitamin D₃ for 2 years) and control group (followed up at the same time points). The incidence of uterine fibroids will be employed to measure the outcome in different groups. In part II, 360 uterine fibroids patients with vitamin D deficiency or vitamin D insufficiency will be randomly assigned to intervention group or control group. According to the level of serum 25-hydroxyvitamin D₃, 180 patients will receive 1600 or 800 IU/d of vitamin D₃ for 2 years. Control group will receive regular follow-up. The outcome measure will be conducted using the growth of uterine fibroids in each group.

Ethics and dissemination: This study has been approved by the institutional review board of the Second Affiliated Hospital of Wenzhou Medical University (No. LCKY2018-35).

Trial registration number: ClinicalTrials.Gov, NCT03586947 and NCT03584529. Pre-results.

Keywords: Vitamin D; uterine fibroids; randomised clinical trial.

Strengths and limitations of this study

1. The results from this RCT will provide new evidences of the efficacy and safety of vitamin D for uterine fibroids patients.
2. One limitation is that the trial is not a double-blind, placebo-controlled trial and implemented in only one hospital.
3. Another limitation is that the trial is implemented in only one hospital in Chinese subjects, which may limits its generalizability.

For peer review only

Introduction

Uterine fibroids (UFs) are the most common benign tumor of the female genital tract, originating from smooth muscle cells. The prevalence of leiomyomas ranges from 70% to 80% in women by the age of 50.¹ Because most patients with UFs remain asymptomatic, the actual prevalence of UFs is assumed to be much higher than that reported. Based on the ultrasound screening, the incidence for UFs is reported to be 1.278% in Asia and 3.745% in African-American women.² The common symptoms of UFs include heavy menstrual bleeding, menstrual disorders and pelvic discomfort.³ Furthermore, UFs are also associated with infertility and early pregnancy loss. The treatment for UFs depends on the size, location, symptoms, age and reproductive plans. Surgery is still the major treatment for UFs, including hysterectomy, myomectomy and uterine arterial embolization therapy.¹ However, it increases the patients' operative complications and generates huge economic impact on healthcare systems. Except invasive surgical procedure, gonadotropin-releasing hormone agonist (GnRHa)⁴ and mifepristone⁵ are the most common used medical agents for UFs. When these two drugs are stopped, UFs may re-grow rapidly.^{6,7} Thus, GnRHa or mifepristone is usually used for the clinically symptomatic patients who are at a perimenopausal period, or who have contraindications of surgery. It is crucial to find a novel nonsurgical alternative for UFs patients and prevent their occurrence.

Vitamin D is one of the essential nutrients for human bodies. Recent studies have considered that vitamin D is involved in the development of UFs.^{7,8,9} Low levels of serum 25-hydroxyvitamin D₃ have been demonstrated to be linked with increased risk of UFs.^{10,11} Blauer *et al.*¹² found that the growth of both primary myometrial and leiomyoma cells could be significantly inhibited by 1,25-dihydroxyvitamin D₃ in a concentration-dependent way. Moreover, the process of fibrosis induced by the transforming growth factor- β 3 (TGF- β 3) could be attenuated by vitamin D in immortalized human UF (HuLM) cells. In addition, vitamin D suppressed the protein expression of plasminogen activator inhibitor-1, which is an important TGF- β target in HuLM cells.¹³ In vivo studies, Halder *et al.*¹⁴ reported that 1,25-dihydroxyvitamin D₃ decreased fibroid tumor size through the downregulation of proliferation-related genes,

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4 antiapoptotic genes, estrogen and progesterone receptors in Eker rats. Moreover, Halder
5 *et al.*¹⁵ found that the treatment with 1,25-dihydroxyvitamin D₃ or paricalcitol, an
6 analog of 1,25-dihydroxyvitamin D₃ with lower calcemic activity, could significantly
7 reduce tumor size in mouse xenograft models of UFs.
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11 Our group has demonstrated that serum 25-hydroxyvitamin D₃ level was
12 significantly lower in patients with UFs as compared to controls. Patients with vitamin
13 D deficiency had increased risks of UFs (under published). At the same time, an open-
14 label clinical trial indicated that the supplement of vitamin D in women with UFs
15 stabilized the growth of fibroids and prevented the onset of its related symptoms.¹⁶ But
16 it was not a randomised trial and only 108 patients were included in the trial. It is still
17 unclear whether the supplement of vitamin D could decrease the risk of UFs or inhibit
18 the growth of UFs.
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22 Therefore, the objective of our randomised clinical trial is to evaluate the effect
23 and safety of administration with vitamin D on decreasing the risk and inhibiting the
24 development of UFs in reproductive-aged women.
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27 **Methods and analysis**

28 **Study design**

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30 This is an open-label, randomised controlled trial. The study contains two parts
31 (part I and part II) and will be conducted between May 31, 2020 and October 1, 2022
32 in the Second Affiliated Hospital of Wenzhou Medical University, a hospital in China.
33 Part I is to investigate the effect of supplementation with vitamin D on the risk of UFs.
34 Part II is about the association between vitamin D supplementation and the
35 development of UFs.
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38 **Part I: association between vitamin D and the risk of UFs**

39 **Study objectives**

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41 The primary objective of this part is to assess the efficacy of supplementation with
42 vitamin D on decreasing the risk of incident UFs within one year and two years. The
43 secondary objective of this study is to evaluate the safety of supplementation with
44 vitamin D in subjects.
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47 **Trial design**

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4 This is an open-label, randomised controlled trial. After signing of informed
5 consent, vitamin D deficiency patients ($12 \text{ ng/ml} \leq \text{serum 25-hydroxyvitamin D}_3 < 20$
6 ng/ml) without UFs will be randomly assigned in a 1:1 ratio to either the intervention
7 group A or the control group B. Intervention group A will receive an oral dose of 1600
8 IU/day vitamin D₃ for up to 2 years. Control group B will receive 2 years follow-up.
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10 Patients with vitamin D insufficiency ($20 \text{ ng/ml} \leq \text{Serum 25-hydroxyvitamin D}_3 < 30$
11 ng/ml) without UFs will be randomly assigned in a 1:1 ratio to intervention group C or
12 control group D. Intervention group C will accept an oral dose of 800 IU/day vitamin
13 D₃ for up to 2 years. Control group D will receive 2 years follow-up. Gynecologic
14 ultrasound examinations will be performed every six months. The number, location and
15 size of the UFs will be documented. The safety of subjects will be evaluated, including
16 blood routine examination, electrolyte, hepatic and renal function, liver and urinary
17 system ultrasound, and serum 25-hydroxyvitamin D₃. Vitamin D receptor genotype of
18 all patients will also be tested. Vitamin D₃ soft capsules (400 IU per capsule) are
19 purchased from Sinopharm star shark pharmaceutical (xiamen) co., LTD and can be
20 preserved for 2 years. An overview of the study design is shown in Figure 1 and Table
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36 **Sample size**

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38 The planned sample size is based on data from a previous study, in which the UFs
39 incidence was 1.278% per year in Asia and 3.745% per year in African-American
40 women. Women over the age of 40 years are more likely to have UFs.² A study also
41 revealed that African-American females had lower level of serum 25-hydroxyvitamin
42 D₃ as compared to Caucasian females.¹⁷ Vitamin D deficiency is shown to increase the
43 risk of UFs in vitro, vivo animal models and a small-sample clinical trial. We assume
44 a one-tailed α error of 0.05 and a power ($1-\beta$) of 0.8. If the rates are 3.745% for the
45 control group and 1.278% for the intervention group, we allow for a dropout rate of
46 10% for an effective sample size of 2108 and propose to enroll 2320 participants (580
47 randomized to each arm).
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58 **Inclusion criteria**

59 1. Volunteers to participate in the study with informed consent;
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2. Married females aged 35-50 who are confirmed with a normal, fibroid-free uterine structure, by means of transvaginal ultrasonography;
3. Serum 25-hydroxyvitamin D₃ ≥ 12 ng/ml, < 30 ng/ml.

Exclusion criteria

1. Use of sexual hormone, mifepristone, gonadotropin-releasing hormone agonist (GnRHa), or other medications which are likely to interfere with UFs in the past 3 months;
2. Pregnancy, lactation, postmenopause, or planned pregnancy within two years;
3. Allergic to vitamin D₃ soft capsules;
4. Suspected or identified as other tumors of genital tract;
5. History of hysterectomy or myomectomy;
6. History of osteoporosis or vitamin D deficiency taking vitamin D supplements constantly within previous one month;
7. History of hyperparathyroidism, infectious diseases (tuberculosis, Acquired immunodeficiency syndrome), autoimmune diseases, or digestive system diseases (malabsorption, crohn disease and dysentery);
8. Alanine aminotransferase (ALT) or aspartate transaminase (AST) more than 3 times of the normal upper limit, total bilirubin (TBIL) more than 2 times of the normal upper limit;
9. Creatinine levels ≥ 1.4 mg/dL (123 μ mol/L) or creatinine clearance ≤ 50 ml/min;
10. History of malignant tumors;
11. Simultaneous participation in another clinical study with investigational medicinal product(s) or researcher thinks the subjects are not suitable for this trial.

Outcomes measures

The primary outcome is the first diagnosis of UFs in different groups. The secondary outcomes include hypercalcemia, abnormal liver and renal function, and urinary calculus in different groups. Transvaginal ultrasound examinations will be performed by a well-experienced physician in gynecology. If possible, the same examiner should conduct all examinations of a subject and the same ultrasound machine should be used throughout the study.

Withdrawal

Subjects must be withdrawn from the study when one of the following criteria occurs:

1. At their own request. At any time during the study and without giving reasons, a subject may decline to participate further. The subject will not suffer any disadvantages as a result;
2. In the investigator's opinion, continuation of the study treatment would be harmful to the subject's health;
3. Patients with poor compliance;
4. Lost to follow-up;
5. Pregnancy;
6. Receive other medical treatments which may affect the level of serum 25-hydroxyvitamin D3 or other surgical treatments;
7. The level of serum calcium > 3.5 mmol/L or serum 25-hydroxyvitamin D3 > 100 ng/mL.

Safety assessments

Safety of vitamin D administrated in patients without UFs will be assessed by renal and liver function test, serum electrolyte (sodium, chloride, potassium, calcium, and phosphorus), blood routine test, and serum 25-hydroxyvitamin D3. Urine pregnancy test and serum 25-hydroxyvitamin D3 level will be detected every three months. Other indicators are detected during the period of screening and after the treatment of every six months. Liver and urinary system ultrasound will be conducted after the treatment of 12 months and 24 months. The occurrence of any adverse events in trial participants will be recorded in the case report forms during each patient visit. Patients will be withdrawn who have severe adverse events, as it is unsafe for them to continue the trial. Meanwhile, we will give them relevant medical care and follow them up until the reaction has terminated.

Part II: Association between vitamin D and the development of UFs.

Study objectives

The primary objective of this part is to assess the efficacy of supplementation with

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4 vitamin D on inhibiting the development of UFs within one year and two years. The
5 secondary objective of part II is to evaluate the safety of supplementation with vitamin
6 D in subjects.
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9 **Trial design**

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11 After signing of informed consent, patients with vitamin D deficiency (12 ng/ml
12 \leq Serum 25-hydroxyvitamin $D_3 < 20 \text{ ng/ml}$) and UFs will be randomly assigned in a
13 1:1 ratio to intervention group A or control group B. Intervention group A will accept
14 an oral dose of 1600 IU/day vitamin D_3 for up to 2 years. Control group B will receive
15 2 years follow-up. Patients with vitamin D insufficiency ($20 \text{ ng/ml} \leq$ Serum 25-
16 hydroxyvitamin $D_3 < 30 \text{ ng/ml}$) and UFs will be randomly assigned in a 1:1 ratio to
17 intervention group C or control group D. Intervention group C will accept an oral dose
18 of 800 IU/day vitamin D_3 for up to 2 years. Control group D will receive 2 years follow-
19 up. Gynecologic ultrasound examinations will be performed every three months. The
20 number, location and size of the UFs will be documented (the transverse, longitudinal,
21 and antero-posterior diameters of fibroids will be documented at each efficacy
22 ultrasound examination for volume calculation). The safety of vitamin D in subjects
23 with UFs will be evaluated, including blood routine examination, serum electrolyte,
24 hepatic and renal function, liver and urinary system ultrasound, and serum 25-
25 hydroxyvitamin D_3 . Vitamin D_3 soft capsules (400IU per capsale) are purchased from
26 Sinopharm star shark pharmaceutical (xiamen) co., LTD. An overview of the study
27 design is shown in Figure 2 and Table 2.
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45 **Sample size**

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47 According to a previous study, the volume of UFs was $8.2 (2.1-30.5) \text{ cm}^3$ after the
48 supplement of vitamin D 12 months and $11.4 (5.5-22.3) \text{ cm}^3$ in the control group after
49 12 months follow-up, respectively.¹⁶ On the basis of a 0.9 power to detect a significant
50 difference ($\alpha=0.05$, one-sided), 320 participants will be required for the four groups in
51 a 1:1:1:1 ratio. Allowing for a 10% withdrawal rate, we plan to include 360 patients in
52 the whole trial (90 randomized to each arm).
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58 **Inclusion criteria**

59 1. Patients are willing to cooperate with the follow-up and sign informed consent;
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2. Married females aged 35-50 who are diagnosed as UFs by transvaginal ultrasonography;
3. The maximum average diameter of intramural myoma is $\leq 4\text{cm}$, $\geq 1\text{cm}$;
4. Serum 25-hydroxyvitamin D₃ $\geq 12\text{ng/ml}$, $< 30\text{ ng/ml}$.

Exclusion criteria

1. Patients with heavy menstrual bleeding ($>80.0\text{ mL}$) per menstrual period, menstrual disorders, pelvic discomfort, infertility, or other indications for operation;
2. Patients complicated with leiomyoma degeneration and adenomyosis that were suspected or diagnosed by transvaginal ultrasound or gynecologic examination;
3. Allergic to vitamin D₃ soft capsules;
4. Use of sexual hormone, mifepristone, gonadotropin-releasing hormone agonist (GnRHa), or other medication which is likely to interfere with UFs in the past 3 months;
5. Pregnancy, lactation, postmenopause, or planned pregnancy within two years;
6. Suspected or identified as other tumors of genital tract;
7. History of osteoporosis or vitamin D deficiency taking vitamin D supplements within previous one month;
8. History of autoimmune diseases, infectious diseases (tuberculosis, AIDS), autoimmune diseases, digestive system diseases (malabsorption, crohn disease, and dysentery);
9. Alanine aminotransferase (ALT) or aspartate transaminase (AST) more than 3 times of the normal upper limit, total bilirubin (TBIL) more than 2 times of the normal upper limit;
10. Creatinine levels $\geq 1.4\text{ mg/dL}$ ($123\mu\text{mol/L}$) or creatinine clearance $\leq 50\text{ mL/min}$;
11. History of malignant tumors;
12. Simultaneous participation in another clinical study with investigational medicinal product(s) or researcher thinks the subjects are not suitable for this trial.

Outcomes measures

Primary outcomes: percent change in volume of the largest fibroid and total fibroids compared to baseline (baseline = last value obtained before randomization; measured by ultrasound examination). The volume of the largest uterine leiomyoma (in

cm³) was calculated with the following formula:

$$volume = \frac{4\pi}{3} \times \frac{a}{2} \times \frac{b}{2} \times \frac{c}{2} = \frac{\pi \cdot a \cdot b \cdot c}{6}$$

Secondary outcomes: percentage of subjects undergoing other medical or surgical treatment, hypercalcemia, urinary calculus, abnormal liver and renal function. Transvaginal ultrasound examinations will be performed by a well-experienced gynecologist. If possible, the same examiner should conduct all examinations for each subject throughout the study and the same ultrasound machine should be used throughout the study.

Withdrawal

Subjects must be withdrawn from the study when one of the following criteria occurs:

1. At their own request. At any time during the study and without giving reasons, a subject may decline to participate further. The subject will not suffer any disadvantages as a result;
2. In the investigator's opinion, continuation of the study treatment would be harmful to the subject's health;
3. Patients with poor compliance;
4. Lost to follow-up;
5. Pregnancy;
6. Other medical or surgical treatments for UFs;
7. Receive other medical treatments which may affect the level of serum 25-hydroxyvitamin D₃ or other surgical treatments;
8. The level of serum calcium > 3.5 mmol/L or serum 25-hydroxyvitamin D₃ > 100 ng/mL.

Safety assessments

Safety of vitamin D administrated in patients with UFs will be assessed by the same methods as part I.

Statistical analysis

Statistical analyses will be performed using SPSS version 22.0 for Windows

(SPSS Inc., Chicago, IL, USA). The randomisation sequence is generated by the use of the Random Number Table. The normal distribution of continuous variables is tested by one-sample Kolmogorov-Smirnov test. Continuous variables with normal distribution are reported as mean (standard deviation); non-normal variables are presented as median (interquartile range). Means of 2 and 3 or more continuous normally distributed variables, respectively, are compared by independent samples Student's t test or one-way ANOVA test. Mann-Whitney U test and Kruskal-Wallis test are used, respectively, to compare means of 2 and 3 or more groups of variables that are not normally distributed. The frequencies of categorical variables are compared using Pearson χ^2 or Fisher's exact test, when appropriate. A value of $P < 0.05$ is considered statistical significance.

Ethics and dissemination

The study has been approved by the ethics committee of the Second Affiliated Hospital of Wenzhou Medical University (No. LCKY2018-35) and registered in the United States National Institutes of Health Clinical Trials Registry: NCT03586947 and NCT03584529. The procedure will be performed following the principles described in the declaration of Helsinki. We will publish the results of this study in peer-reviewed journals and related websites.

No Patient and Public Involvement

There were no funds or time allocated for patient and public involvement so we were unable to involve patients. We have invited patients to help us develop our dissemination strategy.

Discussion

There is an increasing awareness that vitamin D deficiency is associated with many health outcomes. Up to now, the roles of vitamin D in calcium homeostasis and bone health have been well characterized.¹⁸ In the last decade, it has been recognized that vitamin D also prevented cardiovascular diseases, infections, adverse pregnancy outcomes and tumors.^{19 20} Nevertheless, the cut-off thresholds for vitamin D deficiency and optimal levels are still controversial. The guideline of The Endocrine Society suggests vitamin D insufficiency is defined as a serum 25-hydroxyvitamin D₃ of 21–29

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4 ng/ml, and vitamin D deficiency as a serum 25-hydroxyvitamin D₃ below 20 ng/ml.
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6 The guideline also recommends that patients with vitamin D deficiency should be
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8 treated with 50,000 IU of vitamin D once a week for 8 weeks to achieve a blood level
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10 of serum 25-hydroxyvitamin D₃ above 30 ng/ml, followed by maintenance therapy of
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12 1500–2000 IU/day. Patients with vitamin D insufficiency require at least 600 IU/day of
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14 vitamin D for the prevention of vitamin D deficiency.²¹ In fact, the level of serum 25-
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16 hydroxyvitamin D₃ varies from race to race. It is reported that caucasian females have
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18 higher serum 25-hydroxyvitamin D₃ concentrations than the others.^{22 23} According to
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20 the guideline of Institute of Medicine, however, vitamin D deficiency is defined as a
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22 serum 25-hydroxyvitamin D₃ below 12 ng/ml, and vitamin D insufficiency as a serum
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24 25-hydroxyvitamin D₃ of 12-20 ng/ml.²⁴ Specialists from Osteoporosis Committee of
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26 China Gerontological Society recommend that patients with high risks (history of
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28 osteoporosis, inadequate sun exposure, use of glucocorticoid, etc.) whose blood levels
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30 of serum 25-hydroxyvitamin D₃ are between 12 and 20 ng/ml should receive at least
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32 600 IU/day vitamin D supplementation. Patients with blood levels of serum 25-
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34 hydroxyvitamin D₃ exceed 20 ng/ml could obtain an adequate amount of vitamin D
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36 from dietary sources and sun exposure. It is reported that the single-nucleotide
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38 polymorphisms in vitamin D receptor genes modified the efficacy of vitamin D₃
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40 supplementation to increase circulating serum 25-hydroxyvitamin D₃ levels.²⁵ To
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42 determine the potential relationship between them, the vitamin D receptor genotype of
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44 all patients will be tested. Females over the age of 35 years are more likely to suffer
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46 from UFs. Furthermore, post menopause and pregnancy might affect the development
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48 and progression of UFs.² So the females aged 35-50 years are chosen in this trial. We
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50 will exclude patients who are pregnant, lactant, postmenopausal, or planned pregnancy
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52 within two years. In this study, patients with vitamin D deficiency or insufficiency will
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54 receive adequate vitamin D supplementation. Dietary vitamin D intake and other
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56 supplements of vitamin D will be limited.

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58 In conclusion, this is the first study protocol of an open-label, randomised
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60 controlled trial to evaluate the efficacy and safety of vitamin D supplementation in
preventing and inhibiting the UFs. However, our study should be interpreted within the

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4 context of two limitations. First, the trial is not a double-blind, placebo-controlled trial.
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6 Furthermore, another limitation is that the trial is implemented in only one hospital.
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8 Notwithstanding these limitations, the results from this study will provide new
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10 evidences about vitamin D preparations in UFs from a well-designed trial. Once our
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12 hypothesis is confirmed, this study will provide a more effective, safe, and low-cost
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14 therapy in the prevention and treatment of UFs.
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17 **Author Contributions**

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19 X.Q.Z. is the principal investigator of this study and refined the protocol. B.S. and
20
21 Y.Z.S. wrote the manuscript and contributed to the design of the study. B.S. will recruit
22
23 the patients and conduct the trial. Y.Z.S., X.Q.Z. and Y.L. will supervise the trial. C.C.J.,
24
25 the medical statistician for the study, will contribute to the statistical design and analysis
26
27 of data. All authors revised the protocol critically for important intellectual content and
28
29 approved the final manuscript.
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31

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35
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37
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39
40 no involvement in the collection, analysis, and interpretation of data or the writing of
41
42 the manuscript.
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45 **Competing interests statement**

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47 All authors declare that they have no conflict of interest.
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4 **Figure legends**
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7 Figure 1: Flow chart showing the steps of part I in participant recruitment, treatment
8 and analysis.
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12 Figure 2: Flow chart showing the steps of part II in participant recruitment, treatment
13 and analysis.
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Table 1: Flow chart of the study showing timing collection of different variables.

Follow-up (times)	1	2	3	4	5	6	7	8	9
Time points (Months)	0	3	6	9	12	15	18	21	24
Informed consent	X								
Medical history	X								
Physical examination	X	X	X	X	X	X	X	X	X
Serum 25-hydroxyvitamin D ₃	X	X	X	X	X	X	X	X	X
Urine pregnancy test	X	X	X	X	X	X	X	X	X
Gynecologic ultrasound	X		X		X		X		X
Hepatic and renal function	X		X		X		X		X
Electrolyte	X		X		X		X		X
Blood routine examination	X		X		X		X		X
Liver and urinary	X				X				X
System ultrasound									
Side-effect assessment		X	X	X	X	X	X	X	X
Changes in menstruation		X	X	X	X	X	X	X	X
Adverse event assessment		X	X	X	X	X	X	X	X
Vitamin D receptor genotype	X								

Table 2: Flow chart of the study showing timing collection of different variables.

Follow-up	1	2	3	4	5	6	7	8	9
Months	0	3	6	9	12	15	18	21	24
Informed consent	X								
Medical history	X								
Physical examination	X	X	X	X	X	X	X	X	X
Serum 25-hydroxyvitamin D ₃	X	X	X	X	X	X	X	X	X
Urine pregnancy test	X	X	X	X	X	X	X	X	X
Gynecologic ultrasound	X	X	X	X	X	X	X	X	X
Hepatic and renal function	X		X		X		X		X
Electrolyte	X		X		X		X		X
Blood routine examination	X		X		X		X		X
Liver and urinary	X				X				X
System ultrasound									
Side-effect assessment		X	X	X	X	X	X	X	X
Changes in menstruation		X	X	X	X	X	X	X	X
Adverse event assessment		X	X	X	X	X	X	X	X
Vitamin D receptor genotype	X								

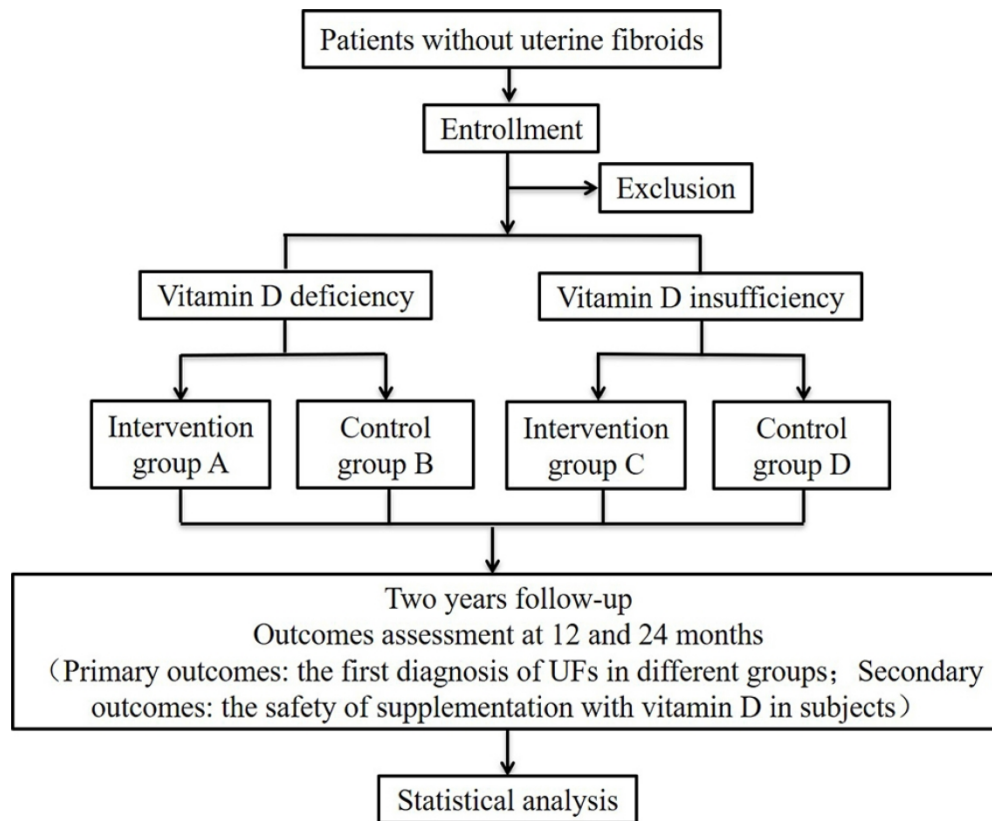


Figure 1: Flow chart showing the steps of part I in participant recruitment, treatment and analysis.

352x291mm (300 x 300 DPI)

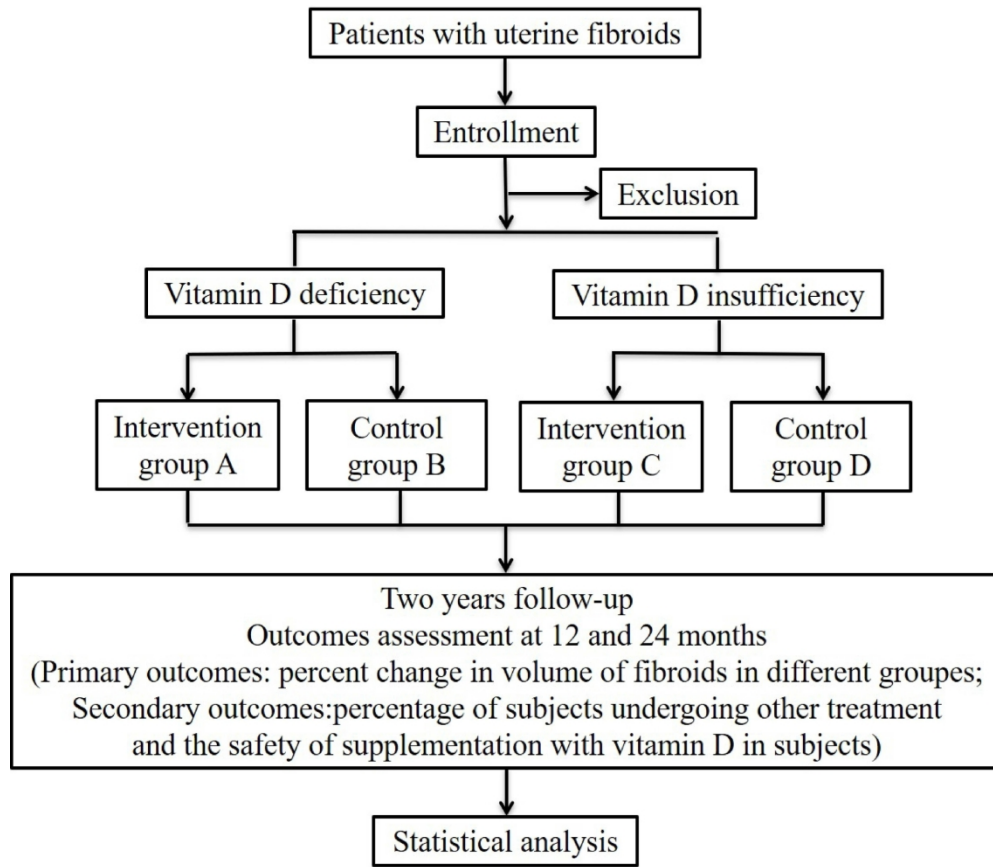


Figure 2: Flow chart showing the steps of part II in participant recruitment, treatment and analysis.

208x181mm (300 x 300 DPI)

Reporting checklist for protocol of a clinical trial.

Based on the SPIRIT guidelines.

Instructions to authors

Complete this checklist by entering the page numbers from your manuscript where readers will find each of the items listed below.

Your article may not currently address all the items on the checklist. Please modify your text to include the missing information. If you are certain that an item does not apply, please write "n/a" and provide a short explanation.

Upload your completed checklist as an extra file when you submit to a journal.

In your methods section, say that you used the SPIRIT reporting guidelines, and cite them as:

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		Page
	Reporting Item	Number
Administrative information		
Title	#1 Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym	1

1	Trial registration	#2a	Trial identifier and registry name. If not yet registered,	2
2				
3			name of intended registry	
4				
5				
6	Trial registration: data	#2b	All items from the World Health Organization Trial	n/a
7	set		Registration Data Set	
8				
9				
10				
11	Protocol version	#3	Date and version identifier	n/a
12				
13				
14				
15	Funding	#4	Sources and types of financial, material, and other support	14
16				
17				
18	Roles and	#5a	Names, affiliations, and roles of protocol contributors	1
19	responsibilities:			
20				
21	contributorship			
22				
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24				
25				
26	Roles and	#5b	Name and contact information for the trial sponsor	14
27	responsibilities:			
28				
29	sponsor contact			
30				
31	information			
32				
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35				
36	Roles and	#5c	Role of study sponsor and funders, if any, in study design;	14
37	responsibilities:		collection, management, analysis, and interpretation of	
38			data; writing of the report; and the decision to submit the	
39	sponsor and funder		report for publication, including whether they will have	
40			ultimate authority over any of these activities	
41				
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48	Roles and	#5d	Composition, roles, and responsibilities of the coordinating	n/a
49	responsibilities:		centre, steering committee, endpoint adjudication	
50			committee, data management team, and other individuals	
51	committees		or groups overseeing the trial, if applicable (see Item 21a	
52			for data monitoring committee)	
53				
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1 **Introduction**
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4 **Background and** [#6a](#) Description of research question and justification for 4
5
6 rationale undertaking the trial, including summary of relevant studies
7 (published and unpublished) examining benefits and harms
8 for each intervention
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14 **Background and** [#6b](#) Explanation for choice of comparators 5
15
16 rationale: choice of
17 comparators
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19
20

21 **Objectives** [#7](#) Specific objectives or hypotheses 5
22
23

24 **Trial design** [#8](#) Description of trial design including type of trial (eg, parallel 5
25
26 group, crossover, factorial, single group), allocation ratio,
27 and framework (eg, superiority, equivalence, non-inferiority,
28 exploratory)
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34 **Methods:**

35 **Participants,**
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37 **interventions, and**
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39 **outcomes**
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44 **Study setting** [#9](#) Description of study settings (eg, community clinic, 5
45
46 academic hospital) and list of countries where data will be
47 collected. Reference to where list of study sites can be
48 obtained
49
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54 **Eligibility criteria** [#10](#) Inclusion and exclusion criteria for participants. If 6, 7, 9,
55
56 applicable, eligibility criteria for study centres and 10
57
58
59

1		individuals who will perform the interventions (eg,	
2			
3		surgeons, psychotherapists)	
4			
5			
6	Interventions:	#11a Interventions for each group with sufficient detail to allow	6, 9
7			
8	description	replication, including how and when they will be	
9			
10		administered	
11			
12			
13	Interventions:	#11b Criteria for discontinuing or modifying allocated	6, 9
14			
15	modifications	interventions for a given trial participant (eg, drug dose	
16		change in response to harms, participant request, or	
17			
18		improving / worsening disease)	
19			
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21			
22			
23	Interventions:	#11c Strategies to improve adherence to intervention protocols,	6, 9
24			
25	adherence	and any procedures for monitoring adherence (eg, drug	
26			
27		tablet return; laboratory tests)	
28			
29			
30			
31	Interventions:	#11d Relevant concomitant care and interventions that are	6, 9
32			
33	concomitant care	permitted or prohibited during the trial	
34			
35			
36	Outcomes	#12 Primary, secondary, and other outcomes, including the	7, 10, 11
37			
38		specific measurement variable (eg, systolic blood	
39			
40		pressure), analysis metric (eg, change from baseline, final	
41			
42		value, time to event), method of aggregation (eg, median,	
43			
44		proportion), and time point for each outcome. Explanation	
45			
46		of the clinical relevance of chosen efficacy and harm	
47			
48		outcomes is strongly recommended	
49			
50			
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52			
53	Participant timeline	#13 Time schedule of enrolment, interventions (including any	Figure 1
54			
55		run-ins and washouts), assessments, and visits for	and 2
56			
57		participants. A schematic diagram is highly recommended	
58			
59			
60			

		(see Figure)	
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3			
4	Sample size	#14 Estimated number of participants needed to achieve study	6, 9
5		objectives and how it was determined, including clinical and	
6		statistical assumptions supporting any sample size	
7		calculations	
8			
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13	Recruitment	#15 Strategies for achieving adequate participant enrolment to	6, 9
14		reach target sample size	
15			
16			
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18			
19	Methods: Assignment		
20	of interventions (for		
21	controlled trials)		
22			
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26	Allocation: sequence	#16a Method of generating the allocation sequence (eg,	12
27	generation	computer-generated random numbers), and list of any	
28		factors for stratification. To reduce predictability of a	
29		random sequence, details of any planned restriction (eg,	
30		blocking) should be provided in a separate document that	
31		is unavailable to those who enrol participants or assign	
32		interventions	
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43	Allocation	#16b Mechanism of implementing the allocation sequence (eg,	12
44	concealment	central telephone; sequentially numbered, opaque, sealed	
45		envelopes), describing any steps to conceal the sequence	
46		until interventions are assigned	
47			
48			
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53	Allocation:	#16c Who will generate the allocation sequence, who will enrol	12
54	implementation	participants, and who will assign participants to	
55		interventions	
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1	Blinding (masking)	#17a	Who will be blinded after assignment to interventions (eg,	n/a
2			trial participants, care providers, outcome assessors, data	
3			analysts), and how	
4				
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8	Blinding (masking):	#17b	If blinded, circumstances under which unblinding is	n/a
9	emergency		permissible, and procedure for revealing a participant's	
10			allocated intervention during the trial	
11	unblinding			
12				
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16	Methods: Data			
17	collection,			
18	management, and			
19	analysis			
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26	Data collection plan	#18a	Plans for assessment and collection of outcome, baseline,	19, 20
27			and other trial data, including any related processes to	
28			promote data quality (eg, duplicate measurements, training	
29			of assessors) and a description of study instruments (eg,	
30			questionnaires, laboratory tests) along with their reliability	
31			and validity, if known. Reference to where data collection	
32			forms can be found, if not in the protocol	
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43	Data collection plan:	#18b	Plans to promote participant retention and complete follow-	19, 20
44	retention		up, including list of any outcome data to be collected for	
45			participants who discontinue or deviate from intervention	
46			protocols	
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53	Data management	#19	Plans for data entry, coding, security, and storage,	19, 20
54			including any related processes to promote data quality	
55			(eg, double data entry; range checks for data values).	
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1		Reference to where details of data management	
2			
3		procedures can be found, if not in the protocol	
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6	Statistics: outcomes	#20a Statistical methods for analysing primary and secondary	11, 12
7			
8		outcomes. Reference to where other details of the	
9			
10		statistical analysis plan can be found, if not in the protocol	
11			
12			
13	Statistics: additional	#20b Methods for any additional analyses (eg, subgroup and	11, 12
14			
15	analyses	adjusted analyses)	
16			
17			
18	Statistics: analysis	#20c Definition of analysis population relating to protocol non-	11, 12
19			
20	population and	adherence (eg, as randomised analysis), and any statistical	
21			
22	missing data	methods to handle missing data (eg, multiple imputation)	
23			
24			
25			
26	Methods: Monitoring		
27			
28			
29	Data monitoring:	#21a Composition of data monitoring committee (DMC);	n/a
30			
31	formal committee	summary of its role and reporting structure; statement of	
32			
33		whether it is independent from the sponsor and competing	
34			
35		interests; and reference to where further details about its	
36			
37		charter can be found, if not in the protocol. Alternatively, an	
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39		explanation of why a DMC is not needed	
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44	Data monitoring:	#21b Description of any interim analyses and stopping	n/a
45			
46	interim analysis	guidelines, including who will have access to these interim	
47			
48		results and make the final decision to terminate the trial	
49			
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51	Harms	#22 Plans for collecting, assessing, reporting, and managing	n/a
52			
53		solicited and spontaneously reported adverse events and	
54			
55		other unintended effects of trial interventions or trial	
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1		conduct	
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4	Auditing	#23 Frequency and procedures for auditing trial conduct, if any,	n/a
5		and whether the process will be independent from	
6		investigators and the sponsor	
7			
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11	Ethics and		
12			
13	dissemination		
14			
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16	Research ethics	#24 Plans for seeking research ethics committee / institutional	12
17	approval	review board (REC / IRB) approval	
18			
19	Protocol	#25 Plans for communicating important protocol modifications	n/a
20	amendments	(eg, changes to eligibility criteria, outcomes, analyses) to	
21		relevant parties (eg, investigators, REC / IRBs, trial	
22		participants, trial registries, journals, regulators)	
23			
24	Consent or assent	#26a Who will obtain informed consent or assent from potential	6, 9
25		trial participants or authorised surrogates, and how (see	
26		Item 32)	
27			
28			
29	Consent or assent:	#26b Additional consent provisions for collection and use of	n/a
30	ancillary studies	participant data and biological specimens in ancillary	
31		studies, if applicable	
32			
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34	Confidentiality	#27 How personal information about potential and enrolled	19, 20
35		participants will be collected, shared, and maintained in	
36		order to protect confidentiality before, during, and after the	
37		trial	
38			
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40	Declaration of	#28 Financial and other competing interests for principal	14
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1	interests		investigators for the overall trial and each study site	
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4	Data access	#29	Statement of who will have access to the final trial dataset,	14
5			and disclosure of contractual agreements that limit such	
6			access for investigators	
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10				
11	Ancillary and post	#30	Provisions, if any, for ancillary and post-trial care, and for	n/a
12			compensation to those who suffer harm from trial	
13	trial care		participation	
14				
15				
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19	Dissemination policy:	#31a	Plans for investigators and sponsor to communicate trial	14
20			results to participants, healthcare professionals, the public,	
21	trial results		and other relevant groups (eg, via publication, reporting in	
22			results databases, or other data sharing arrangements),	
23			including any publication restrictions	
24				
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31	Dissemination policy:	#31b	Authorship eligibility guidelines and any intended use of	14
32			professional writers	
33	authorship			
34				
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36	Dissemination policy:	#31c	Plans, if any, for granting public access to the full protocol,	n/a
37			participant-level dataset, and statistical code	
38	reproducible research			
39				
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42	Appendices			
43				
44				
45	Informed consent	#32	Model consent form and other related documentation given	n/a
46			to participants and authorised surrogates	
47	materials			
48				
49				
50	Biological specimens	#33	Plans for collection, laboratory evaluation, and storage of	n/a
51			biological specimens for genetic or molecular analysis in	
52			the current trial and for future use in ancillary studies, if	
53			applicable	
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Notes:

- 10: 6, 7, 9, 10 The SPIRIT checklist is distributed under the terms of the Creative Commons Attribution License CC-BY-ND 3.0. This checklist was completed on 20. March 2020 using <https://www.goodreports.org/>, a tool made by the [EQUATOR Network](#) in collaboration with [Penelope.ai](#)

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BMJ Open

Association between vitamin D and uterine fibroids: a study protocol of an open-label, randomised controlled trial

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Primary Subject Heading:	Obstetrics and gynaecology
Secondary Subject Heading:	Obstetrics and gynaecology, Oncology, Research methods
Keywords:	Gynaecological oncology < GYNAECOLOGY, Protocols & guidelines < HEALTH SERVICES ADMINISTRATION & MANAGEMENT, Clinical trials < THERAPEUTICS

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4 **Association between vitamin D and uterine fibroids: a study protocol of an**
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6 **open-label, randomised controlled trial**
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9 Bo Sheng^{1#}, Yizuo Song^{1#}, Yi Liu¹, Chenchen Jiang², Xueqiong Zhu^{1*}
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Abstract

Introduction: Uterine fibroids are the most common pelvic benign tumor with no satisfactory long-term medical treatment. Recent studies have demonstrated that vitamin D significantly inhibited the growth of fibroids in vitro, vivo and a small-sample clinical trial. Therefore, the aim of this randomised clinical trial (RCT) is to evaluate whether supplementation with vitamin D could reduce the risk and inhibit the growth of uterine fibroids in reproductive stage women.

Methods and analysis: The open-label, RCT comprises two parts, including part I and part II. In part I, 2230 vitamin D deficiency or vitamin D insufficiency patients without uterine fibroids will be randomly assigned to two groups: intervention group (according to the level of serum 25-hydroxyvitamin D₃, receive 1600 or 800 IU/d of vitamin D₃ for 2 years) and control group (followed up at the same time points). By using gynecologic ultrasound examinations, the incidence of uterine fibroids will be employed to measure the outcome in different groups. In part II, 360 uterine fibroids patients with vitamin D deficiency or vitamin D insufficiency will be randomly assigned to intervention group or control group. According to the level of serum 25-hydroxyvitamin D₃, 180 patients will receive 1600 or 800 IU/d of vitamin D₃ for 2 years. Control group will receive regular follow-up. The outcome measure will be conducted using gynecologic ultrasound examinations to detect the growth of uterine fibroids in each group.

Ethics and dissemination: This study has been approved by the institutional review board of the Second Affiliated Hospital of Wenzhou Medical University (No. LCKY2018-35).

Trial registration number: ClinicalTrials.Gov, NCT03586947 and NCT03584529. Pre-results.

Keywords: Vitamin D; uterine fibroids; randomised clinical trial.

Strengths and limitations of this study

1. The results from this RCT will provide new evidences of the efficacy and safety of vitamin D for uterine fibroids patients.
2. One limitation is that the trial is not a double-blind, placebo-controlled trial and implemented in only one hospital.
3. Another limitation is that the trial is implemented in only one hospital in Chinese subjects, which may limit its generalizability.

For peer review only

Introduction

Uterine fibroids (UFs) are the most common benign tumor of the female genital tract, originating from smooth muscle cells.¹ Due to diverse diagnostic methods and the population source in many epidemiologic studies, the incidence of leiomyomas ranges from 5.4% to 77% of women in their reproductive years.¹ Because most patients with UFs remain asymptomatic, the actual incidence of UFs is assumed to be much higher than that reported. Based on the ultrasound screening, the incidence for UFs is reported to be 1.278% in Asia and 3.745% in African-American women per year.¹ The common symptoms of UFs include heavy menstrual bleeding, menstrual disorders and pelvic discomfort.² Furthermore, UFs are also associated with infertility and early pregnancy loss. The treatment for UFs depends on the size, location, symptoms, age and reproductive plans. Surgery is still the major treatment for symptomatic UFs including hysterectomy and myomectomy.^{3 4} Uterine arterial embolization (UAE), one of the conservative interventional treatments with the longest track record, has become the major second line option for UFs patients who are properly selected.^{3 4} However, these therapies increase the patients' operative complications and generates huge economic impact on healthcare systems. Except invasive surgical procedure, gonadotropin-releasing hormone agonist (GnRHa)^{5 6} (eg, leuprorelin) and mifepristone^{7 8} are the most commonly used medical agents for UFs in China. When these two drugs are stopped, UFs may re-grow rapidly.^{9 10} Thus, GnRHa or mifepristone is usually used for the clinically symptomatic patients who are at a perimenopausal period, or who have contraindications of surgery. Selective progesterone receptor modulators (SPRMs) act by linkage with progesterone receptors in the smooth muscle of UFs, leading to inhibition of cell proliferation.¹¹ In fact, mifepristone is the first SPRM approved to treat UFs.¹² In addition to mifepristone, four types of SPRMs have been developed including asoprisnil, telapristone acetate, ulipristal acetate and vilaprisan.¹³ However, these four SPRMs have not been licensed in China to date and are still being investigated in human clinical trials.¹⁴ Therefore, it is still paramount to finding a novel nonsurgical alternative for UFs patients and prevent their occurrence.

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4 Vitamin D is one of the essential nutrients for human bodies. Recent studies have
5 considered that vitamin D is involved in the development of UFs.^{10 15 16} For example,
6 two groups have demonstrated that low levels of serum 25-hydroxyvitamin D₃ are
7 linked with increased risk of UFs.^{17 18} Blauer *et al.*¹⁹ found that the growth of both
8 primary myometrial and leiomyoma cells could be inhibited by
9 1,25-dihydroxyvitamin D₃ in a concentration-dependent way. Moreover, the process
10 of fibrosis induced by the transforming growth factor-β3 (TGF-β3) could be
11 attenuated by vitamin D in immortalized human UFs (HuLM) cells. In addition,
12 vitamin D suppressed the protein expression of plasminogen activator inhibitor-1,
13 which is an important TGF-β target in HuLM cells.²⁰ Such inhibitory effect of vitamin
14 D on UFs is also verified in several *in vivo* studies. Halder *et al.*²¹ reported that
15 1,25-dihydroxyvitamin D₃ decreased fibroid tumor size through downregulation of
16 proliferation-related genes, antiapoptotic genes, estrogen and progesterone receptors
17 in Eker rats. Two years later, Halder *et al.*²² further found that the treatment with
18 1,25-dihydroxyvitamin D₃ or paricalcitol, an analog of 1,25-dihydroxyvitamin D₃
19 with lower calcemic activity, could reduce tumor size in mouse xenograft models of
20 UFs. Most recently, one study by Corachan *et al.*²³ demonstrated that vitamin D
21 inhibited the proliferation of human primary uterine leiomyoma cells via cell growth
22 arrest induction and Wnt/β-catenin pathway downregulation. Furthermore, long-term
23 vitamin D treatment significantly decreased the uterine leiomyoma size in a xenograft
24 ovariectomized NOD-SCID mouse model,²⁴ which confirmed the inhibitory effect of
25 vitamin D on UFs growth *in vivo*.

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Our group has demonstrated that serum 25-hydroxyvitamin D₃ level was
significantly lower in patients with UFs as compared to controls. In addition, patients
with vitamin D deficiency had increased risks of UFs.²⁵ An open-label clinical trial
indicated that the supplement of vitamin D in women with UFs stabilized the growth
of fibroids and prevented the onset of its related symptoms.²⁶ But it was not a
randomised trial and only 108 patients were included in the trial. A recently published
randomized clinical trial found that vitamin D consumption did not significantly
decrease the volume of fibroids in experimental group compared with control group.²⁷

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4 However, this new trial was completed shortly with a follow-up period of only 12
5 weeks. Hence, it is still unclear whether long-term supplementation of vitamin D
6 could decrease the risk or inhibit the growth of UFs.
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9 Therefore, we aim to conduct a randomised clinical trial and evaluate the effect
10 and safety of administration with vitamin D on decreasing the risk and inhibiting the
11 development of UFs in reproductive-aged women.
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14 **Methods and analysis**

15 **Study design**

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17 This is an open-label, randomised controlled trial. The study contains two parts
18 (part I and part II) and will be conducted between May 31, 2020 and October 1, 2022
19 in the Second Affiliated Hospital of Wenzhou Medical University, a hospital in
20 China. Part I is to investigate the effect of supplementation with vitamin D on the risk
21 of UFs. Part II is about the association between vitamin D supplementation and the
22 development of UFs. The regimen of vitamin D doses from several international
23 guidelines and important published clinical trials are listed in Table 1.
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33 **Part I: Efficacy of vitamin D on the risk of UFs**

34 **Study objectives**

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36 The primary objective of this part is to assess the efficacy of supplementation
37 with vitamin D on decreasing the risk of incident UFs within one year and two years.
38 The secondary objective of this study is to evaluate the safety of supplementation with
39 vitamin D in subjects.
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44 **Trial design**

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46 This is an open-label, randomised controlled trial. After signing of informed
47 consent, vitamin D deficiency patients ($12 \text{ ng/ml} \leq \text{serum 25-hydroxyvitamin D}_3 \leq 20$
48 ng/ml) without UFs will be randomly assigned in a 1:1 ratio to either the intervention
49 group A or the control group B. Intervention group A will receive an oral dose of
50 1600 IU (4 capsules)/day vitamin D₃ for up to 2 years. Control group B will receive 2
51 years follow-up. Patients with vitamin D insufficiency ($21 \text{ ng/ml} \leq \text{Serum}$
52 $25\text{-hydroxyvitamin D}_3 \leq 29 \text{ ng/ml}$) without UFs will be randomly assigned in a 1:1
53 ratio to intervention group C or control group D. Intervention group C will accept an
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4 oral dose of 800 IU (2 capsules)/day vitamin D₃ for up to 2 years. Control group D
5 will receive 2 years follow-up. Gynecologic ultrasound examinations will be
6 performed every six months. The number, location and size of the UFs will be
7 documented. The safety of subjects will be evaluated, including blood routine
8 examination, electrolyte, hepatic and renal function, liver and urinary system
9 ultrasound, and serum 25-hydroxyvitamin D₃. Vitamin D receptor genotype of all
10 patients will also be tested. Vitamin D₃ soft capsules (400 IU per capsule) are
11 purchased from Sinopharm star shark pharmaceutical (Xiamen, China) co., LTD and
12 can be preserved for 2 years. An overview of the study design is shown in Figure 1
13 and Table 2.

23 **Sample size**

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25 The planned sample size is estimated based on the data from a previous study, in
26 which the UFs incidence was 1.278% per year in Asia and 3.745% per year in
27 African-American women. Women over the age of 40 years are more likely to have
28 UFs.¹ A study also revealed that African-American females had lower level of serum
29 25-hydroxyvitamin D₃ as compared to Caucasian females.²⁸ Vitamin D deficiency is
30 shown to increase the risk of UFs in vitro, in vivo animal models and in a
31 small-sample clinical trial. We assume a one-tailed α error of 0.05 and a power (1- β)
32 of 0.8. If the rates are 3.745% for the control group and 1.278% for the intervention
33 group, we allow for a dropout rate of 10% for an effective sample size of 2108 and
34 propose to enroll 2320 participants (580 randomized to each arm).

44 **Inclusion criteria**

- 45 1. Volunteers to participate in the study with an informed consent;
- 46 2. Married females aged 35-50 who are confirmed with a normal, fibroid-free uterine
47 structure, by means of transvaginal ultrasonography;
- 48 3. Serum 25-hydroxyvitamin D₃ ≥ 12 ng/ml, ≤ 29 ng/ml.

54 **Exclusion criteria**

- 55 1. Women with Serum 25-hydroxyvitamin D₃ < 12 ng/ml or > 29 ng/ml.
- 56 2. BMI < 18.5 kg/m² or BMI > 25 kg/m².
- 57 3. Use of sexual hormone, mifepristone, GnRH α , or other medications which are

likely to interfere with UFs in the past 3 months;

4. Pregnancy, lactation, postmenopause, or planned pregnancy within two years;

5. Allergic to vitamin D₃ soft capsules;

6. Suspected or identified as other tumors of genital tract;

7. History of hysterectomy or myomectomy;

8. History of osteoporosis or vitamin D deficiency taking vitamin D supplements constantly within previous one month;

9. History of hyperparathyroidism, infectious diseases (tuberculosis, Acquired immunodeficiency syndrome), autoimmune diseases, or digestive system diseases (malabsorption, crohn disease and dysentery);

10. Alanine aminotransferase (ALT) or aspartate transaminase (AST) more than 3 times of the normal upper limit, total bilirubin (TBIL) more than 2 times of the normal upper limit;

11. Creatinine levels ≥ 1.4 mg/dl (123 $\mu\text{mol/l}$) or creatinine clearance ≤ 50 ml/min;

12. History of malignant tumors;

13. Simultaneous participation in another clinical study with investigational medicinal product(s).

Outcomes measures

The primary outcome is the first diagnosis of UFs in different groups. The secondary outcomes include hypercalcemia, abnormal liver and renal function, and urinary calculus in different groups. Transvaginal ultrasound examinations will be performed by a well-experienced physician in gynecology. If possible, the same examiner should conduct all examinations of a subject and the same ultrasound machine should be used throughout the study.

Withdrawal

Subjects must be withdrawn from the study when one of the following criteria occurs:

1. At their own request. At any time during the study and without giving reasons, a subject may decline to participate further. The subject will not suffer any disadvantages as a result;

2. In the investigator's opinion, continuation of the study treatment would be harmful to the subject's health;
3. Patients with poor compliance;
4. Lost to follow-up;
5. Pregnancy;
6. Receive other medical treatments which may affect the level of serum 25-hydroxyvitamin D₃ or other surgical treatments;
7. The level of serum calcium >3.5 mmol/L or serum 25-hydroxyvitamin D₃ >150 ng/ml.

Safety assessments

Safety of vitamin D administrated in patients without UFs will be assessed by renal and liver function test, serum electrolyte (sodium, chloride, potassium, calcium, and phosphorus), blood routine test, and serum 25-hydroxyvitamin D₃. Urine pregnancy test and serum 25-hydroxyvitamin D₃ level will be detected every three months. Other indicators will be detected during the period of screening and after the treatment of every six months. Liver and urinary system ultrasound will be conducted after the treatment of 12 months and 24 months. The occurrence of any adverse events in trial participants will be recorded in the case report forms during each patient visit. Patients will be withdrawn who have severe adverse events, as it is unsafe for them to continue the trial. Meanwhile, we will give them relevant medical care and follow them up until the reaction has terminated.

Part II: Association between vitamin D and the development of UFs.

Study objectives

The primary objective of this part is to assess the association of supplementation with vitamin D on inhibiting the development of UFs within one year and two years. The secondary objective of part II is to evaluate the safety of supplementation with vitamin D in UFs subjects.

Trial design

After signing of informed consent, UFs patients with vitamin D deficiency (12 ng/ml \leq Serum 25-hydroxyvitamin D₃ \leq 20 ng/ml) will be randomly assigned in a 1:1

ratio to intervention group A or control group B. Intervention group A will accept an oral dose of 1600 IU (4 capsules)/day vitamin D₃ for up to 2 years. Control group B will receive 2-year follow-up. UFs patients with vitamin D insufficiency (21 ng/ml ≤ Serum 25-hydroxyvitamin D₃ ≤ 29 ng/ml) will be randomly assigned in a 1:1 ratio to intervention group C or control group D. Intervention group C will accept an oral dose of 800 IU (2 capsules)/day vitamin D₃ for up to 2 years. Control group D will receive 2-year follow-up. Gynecologic ultrasound examinations will be performed every three months. The number, location and size of the UFs will be documented (the transverse, longitudinal, and antero-posterior diameters of fibroids will be documented at each efficacy ultrasound examination for volume calculation). The safety of vitamin D in subjects with UFs will be evaluated, including blood routine examination, serum electrolyte, hepatic and renal function, liver and urinary system ultrasound, and serum 25-hydroxyvitamin D₃. Vitamin D₃ soft capsules (400 IU per capsule) are purchased from Sinopharm star shark pharmaceutical (Xiamen, China) co., LTD. An overview of the study design is shown in Figure 2 and Table 3.

Sample size

According to a previous study, the volume of UFs was 8.2 (2.1-30.5) cm³ after the supplement of vitamin D for 12 months and 11.4 (5.5-22.3) cm³ in the control group after 12 months follow-up, respectively.²⁶ On the basis of a 0.9 power to detect a significant difference ($\alpha=0.05$, one-sided), 320 participants will be required for the four groups in a 1:1:1:1 ratio. Allowing for a 10% withdrawal rate, we plan to enroll 360 patients in the whole trial (90 randomized to each arm).

Inclusion criteria

1. Patients are willing to cooperate with the follow-up and sign an informed consent;
2. Married females aged 35-50 who are diagnosed as UFs by transvaginal ultrasonography;
3. The maximum average diameter of intramural myoma is ≤ 4 cm, ≥ 1 cm;
4. Serum 25-hydroxyvitamin D₃ ≥12 ng/ml, ≤29 ng/ml.

Exclusion criteria

1. Women with Serum 25-hydroxyvitamin D₃ <12 ng/ml or >29 ng/ml.

2. BMI <18.5 kg/m² or BMI >25 kg/m².
3. Patients with heavy menstrual bleeding (>80.0 ml) per menstrual period, menstrual disorders, pelvic discomfort, infertility, or other indications for operation;
4. Patients complicated with leiomyoma degeneration and adenomyosis that were suspected or diagnosed by transvaginal ultrasound or gynecologic examination;
5. Allergic to vitamin D₃ soft capsules;
6. Use of sexual hormone, mifepristone, GnRHa, or other medication which is likely to interfere with UFs in the past 3 months;
7. Pregnancy, lactation, postmenopause, or planned pregnancy within two years;
8. Suspected or identified as other tumors of genital tract;
9. History of osteoporosis or vitamin D deficiency taking vitamin D supplements within previous one month;
10. History of autoimmune diseases, infectious diseases (tuberculosis, AIDS), autoimmune diseases, digestive system diseases (malabsorption, crohn disease, and dysentery);
11. ALT or AST more than 3 times of the normal upper limit, TBIL more than 2 times of the normal upper limit;
12. Creatinine levels ≥ 1.4 mg/dl (123 μ mol/l) or creatinine clearance ≤ 50 ml/min;
13. History of malignant tumors;
14. Some cases those uteruses are difficult to scan or the amount of UFs is more than 4.
15. Simultaneous participation in another clinical study with investigational medicinal product(s).

Outcomes measures

Primary outcomes: percent change in volume of the largest fibroid and total fibroids compared to baseline (baseline = last value obtained before randomization; measured by ultrasound examination). The volume of the largest uterine leiomyoma (in cm³) was calculated with the following formula:

$$volume = \frac{4\pi}{3} \times \frac{a}{2} \times \frac{b}{2} \times \frac{c}{2} = \frac{\pi \cdot a \cdot b \cdot c}{6}$$

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4 Secondary outcomes: percentage of subjects undergoing other medical or
5 surgical treatment, hypercalcemia, urinary calculus, abnormal liver and renal function.
6 Transvaginal ultrasound examinations will be performed by a well-experienced
7 gynecologist. If possible, the same examiner should conduct all examinations for each
8 subject throughout the study and the same ultrasound machine should be used
9 throughout the study.
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15 **Withdrawal**

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17 Subjects must be withdrawn from the study when one of the following criteria
18 occurs:
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- 20
21 1. At their own request. At any time during the study and without giving reasons, a
22 subject may decline to participate further. The subject will not suffer any
23 disadvantages as a result;
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25 2. In the investigator's opinion, continuation of the study treatment would be harmful
26 to the subject's health;
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28 3. Patients with poor compliance;
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30 4. Lost to follow-up;
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32 5. Pregnancy;
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34 6. Other medical or surgical treatments for UFs;
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36 7. Receive other medical treatments which may affect the level of serum
37 25-hydroxyvitamin D₃ or other surgical treatments;
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39 8. The level of serum calcium >3.5 mmol/L or serum 25-hydroxyvitamin D₃ >150
40 ng/ml.
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46 **Safety assessments**

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48 Safety of vitamin D administered in patients with UFs will be assessed by the
49 same methods as part I.
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51 **Treatment compliance assessment**

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53 All research medications (Vitamin D₃ soft capsules) should be recorded. Standard
54 with good compliance is defined as: $80\% \leq \text{Actual oral dose/dose} * 100\% \leq 120\%$.
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56 Criteria for poor compliance is as follows: $\text{Actual oral dose/dose} * 100\% \leq 80\%$ or
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4 Actual oral dose/dose * 100% \geq 120%. Each follow-up should be based on the
5 number of returned study drugs to determine the drug compliance. Subjects should
6 return all unused research drugs and empty packages of used drugs every follow-up.
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9 10 **Statistical analysis**

11 Statistical analyses will be performed using SPSS version 22.0 for Windows
12 (SPSS Inc., Chicago, IL, USA). The randomisation sequence is generated by the use
13 of the Random Number Table. The normal distribution of continuous variables is
14 tested by one-sample Kolmogorov-Smirnov test. Continuous variables with normal
15 distribution are reported as mean (standard deviation); non-normal variables are
16 presented as median (interquartile range). Means of 2 and 3 or more continuous
17 normally distributed variables, respectively, are compared by independent samples
18 Student's t test or one-way ANOVA test. Mann-Whitney U test and
19 Kruskal-Wallis test are used, respectively, to compare means of 2 and 3 or more
20 groups of variables that are not normally distributed. The frequencies of categorical
21 variables are compared using Pearson χ^2 or Fisher's exact test, when appropriate. A
22 value of $P < 0.05$ is considered statistical significance.
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35 **Ethics and dissemination**

36 The study has been approved by the ethics committee of the Second Affiliated
37 Hospital of Wenzhou Medical University (No. LCKY2018-35) and registered in the
38 United States National Institutes of Health Clinical Trials Registry: NCT03586947
39 and NCT03584529. The procedure will be performed following the principles
40 described in the declaration of helsinki. We will publish the results of this study in
41 peer-reviewed journals and related websites.
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48 **No Patient and Public Involvement**

49 There were no funds or time allocated for patient and public involvement so we
50 were unable to involve patients. We have invited patients to help us develop our
51 dissemination strategy.
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56 **Discussion**

57 There is an increasing awareness that vitamin D deficiency is associated with
58 many health outcomes. Up to now, the roles of vitamin D in calcium homeostasis and
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4 bone health have been well characterized.²⁹ In the last decade, it has been recognized
5 that vitamin D also prevented cardiovascular diseases, infections, adverse pregnancy
6 outcomes and tumors.^{30 31} Nevertheless, the cut-off thresholds for vitamin D
7 deficiency and optimal levels are still controversial. The guideline of The Endocrine
8 Society suggests vitamin D insufficiency is defined as a serum 25-hydroxyvitamin D₃
9 of 21–29 ng/ml, and vitamin D deficiency as a serum 25-hydroxyvitamin D₃ below 20
10 ng/ml.³² The guideline also recommends that patients with vitamin D deficiency
11 should be treated with 50,000 IU of vitamin D once a week for 8 weeks to achieve a
12 blood level of serum 25-hydroxyvitamin D₃ above 30 ng/ml, followed by maintenance
13 therapy of 1500–2000 IU/day. Patients with vitamin D insufficiency require at least
14 600 IU/day of vitamin D for the prevention of vitamin D deficiency.³² In fact, the
15 level of serum 25-hydroxyvitamin D₃ varies from race to race. It is reported that
16 caucasian females have higher serum 25-hydroxyvitamin D₃ concentrations than the
17 others.^{33 34} According to the guideline of Institute of Medicine, however, vitamin D
18 deficiency is defined as a serum 25-hydroxyvitamin D₃ below 12 ng/ml, and vitamin
19 D insufficiency as a serum 25-hydroxyvitamin D₃ of 12-20 ng/ml.³⁵ Specialists from
20 Osteoporosis Committee of China Gerontological Society recommend that patients
21 with high risks (history of osteoporosis, inadequate sun exposure, use of
22 glucocorticoid, etc.) whose blood levels of serum 25-hydroxyvitamin D₃ are between
23 12 and 20 ng/ml should receive at least 600 IU/day vitamin D supplementation.³⁶
24 Patients with blood levels of serum 25-hydroxyvitamin D₃ exceed 20 ng/ml could
25 obtain an adequate amount of vitamin D from dietary sources and sun exposure.³⁶ It is
26 reported that the single-nucleotide polymorphisms in vitamin D receptor genes
27 modified the efficacy of vitamin D₃ supplementation to increase circulating serum
28 25-hydroxyvitamin D₃ levels.³⁷ To determine the potential relationship between them,
29 the vitamin D receptor genotype of all patients will be tested. Females over the age of
30 35 years are more likely to suffer from UFs. Furthermore, post menopause and
31 pregnancy might affect the development and progression of UFs.¹ So the females
32 aged 35-50 years are chosen in this trial. We will exclude patients who are pregnant,
33 lactant, postmenopausal, or planned pregnancy within two years. In this study,
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3 patients with vitamin D deficiency or insufficiency will receive adequate vitamin D
4 supplementation. Dietary vitamin D intake and other supplements of vitamin D will
5 be limited.
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9 In conclusion, this is the first study protocol of an open-label, randomised
10 controlled trial to evaluate the efficacy and safety of vitamin D supplementation in
11 preventing and inhibiting the UFs. However, our study should be interpreted within
12 the context of two limitations. First, the trial is not a double-blind, placebo-controlled
13 trial. Furthermore, another limitation is that the trial is implemented in only one
14 hospital. Notwithstanding these limitations, the results from this study will provide
15 new evidences about vitamin D preparations in UFs from a well-designed trial. Once
16 our hypothesis is confirmed, this study will provide a more effective, safe, and
17 low-cost therapy in the prevention and treatment of UFs.
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29 **Author Contributions**

30 X.Q.Z. is the principal investigator of this study and refined the protocol. B.S.
31 and Y.Z.S. wrote the manuscript and contributed to the design of the study. B.S. will
32 recruit the patients and conduct the trial. Y.Z.S., X.Q.Z. and Y.L. will supervise the
33 trial. C.C.J., the medical statistician for the study, will contribute to the statistical
34 design and analysis of data. All authors have revised the protocol critically for
35 important intellectual content and approved the final manuscript.
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47 Hospital of Wenzhou Medical University (No: SAHoWMU-CR2017-07-101) and
48 grants from Wenzhou Science and Technology Grant (Y20170604). Sponsors of the
49 study had no involvement in the collection, analysis, and interpretation of data or the
50 writing of the manuscript.
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58 **Competing interests statement**

59 All authors declare that they have no conflict of interest.
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For peer review only

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4 **Figure legends**
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7 Figure 1: Flow chart showing the steps of part I in participant recruitment, treatment
8 and analysis.
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12 Figure 2: Flow chart showing the steps of part II in participant recruitment, treatment
13 and analysis.
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For peer review only

Table 1: The doses of vitamin D supplementation in different clinical trials published since 2010.

Group	Country	Population	Age (years)	Oral vitamin D	Reference
Endocrine Society	USA	General population	>19	Risk of vitamin D deficiency: 1500-2000 IU/day; Vitamin D deficiency: 50,000 IU/wk for 8 wk followed by maintenance therapy of 1500-2000 IU/day	³²
Boer et al.	USA	Participants with type 2 diabetes	Men aged ≥ 50 and women aged ≥ 55	Vitamin D ₃ (cholecalciferol 2000 IU/day) and matching inert placebo	³⁸
Burt et al.	Canada	Healthy adults without osteoporosis	55-70	Vitamin D ₃ at 400 IU/day (n=109), or 4000 IU/day (n=100), or 10,000 IU/day (n=102) for 3 years	³⁹
Urashima et al.	Japan	Patients with digestive tract cancers overall	30-90	Vitamin D 2000 IU/day and matching placebo	⁴⁰
Scragg et al.	New Zealand	General population	50-84	Vitamin D at initial bolus dose of 200,000 IU, followed by maintenance dose of 100,000 IU/month	⁴¹
Aglipay et al.	Canada	Children	1-5	Vitamin D at doses of 2000 IU/day (n=349) and 400 IU/day (n=354)	⁴²
Zittermann et al.	Germany	Heart failure patients	18-79	Patient with serum 25-hydroxyvitamin D ₃ level <75 nmol/l were randomized to receive 4000 IU/day vitamin D or matching placebo for 3 years	⁴³
Lappe et al.	USA	Postmenopausal women	≥ 55	Treatment group: 2000 IU/d vitamin D ₃ and	⁴⁴

				1500 mg/d calcium; The placebo group: identical placebos	
Arora et al.	USA	Low vitamin D status (25-hydroxyvitamin D levels ≤ 25 ng/mL) patients with SBP of 120-159 mmHg	18-50	High-dose group: 4000 IU/day for 6 months; Low-dose group: 400 IU/day for 6 months	⁴⁵
Rusinska et al.	Poland	Healthy adults	19-65	800-2000 IU/day	⁴⁶

Abbreviations: SBP, systolic blood pressure.

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Table 2: Flow chart of the study showing timing collection of different variables.

Follow-up (times)	1	2	3	4	5	6	7	8	9
Time points (Months)	0	3	6	9	12	15	18	21	24
Informed consent	X								
Medical history	X								
Physical examination	X	X	X	X	X	X	X	X	X
Serum 25-hydroxyvitamin D ₃	X	X	X	X	X	X	X	X	X
Urine pregnancy test	X	X	X	X	X	X	X	X	X
Gynecologic ultrasound	X		X		X		X		X
Hepatic and renal function	X		X		X		X		X
Electrolyte	X		X		X		X		X
Blood routine examination	X		X		X		X		X
Liver and urinary System ultrasound	X				X				X
Side-effect assessment		X	X	X	X	X	X	X	X
Changes in menstruation		X	X	X	X	X	X	X	X
Adverse event assessment		X	X	X	X	X	X	X	X
Vitamin D receptor genotype	X								

Table 3: Flow chart of the study showing timing collection of different variables.

Follow-up	1	2	3	4	5	6	7	8	9
Months	0	3	6	9	12	15	18	21	24
Informed consent	X								
Medical history	X								
Physical examination	X	X	X	X	X	X	X	X	X
Serum 25-hydroxyvitamin D ₃	X	X	X	X	X	X	X	X	X
Urine pregnancy test	X	X	X	X	X	X	X	X	X
Gynecologic ultrasound	X	X	X	X	X	X	X	X	X
Hepatic and renal function	X		X		X		X		X
Electrolyte	X		X		X		X		X
Blood routine examination	X		X		X		X		X
Liver and urinary	X				X				X
System ultrasound									
Side-effect assessment		X	X	X	X	X	X	X	X
Changes in menstruation		X	X	X	X	X	X	X	X
Adverse event assessment		X	X	X	X	X	X	X	X
Vitamin D receptor genotype	X								

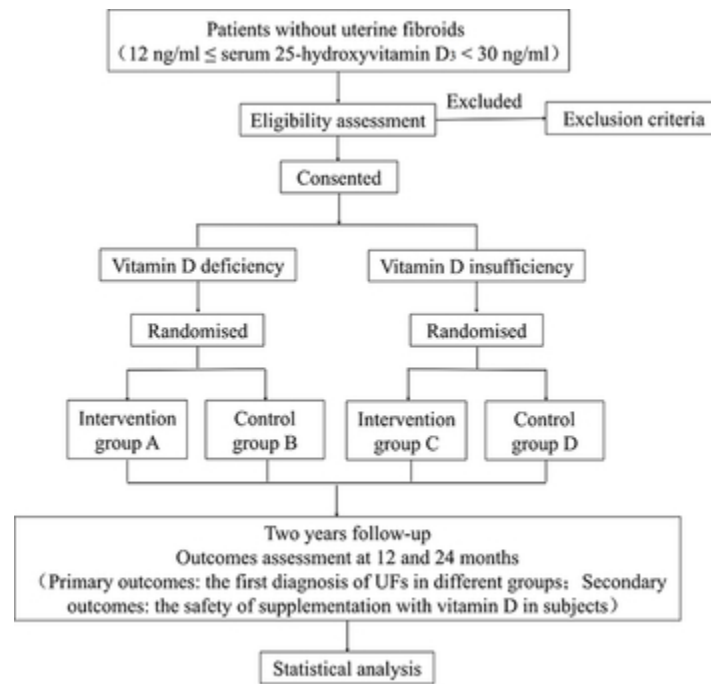


Figure 1: Flow chart showing the steps of part I in participant recruitment, treatment and analysis.

29x28mm (300 x 300 DPI)

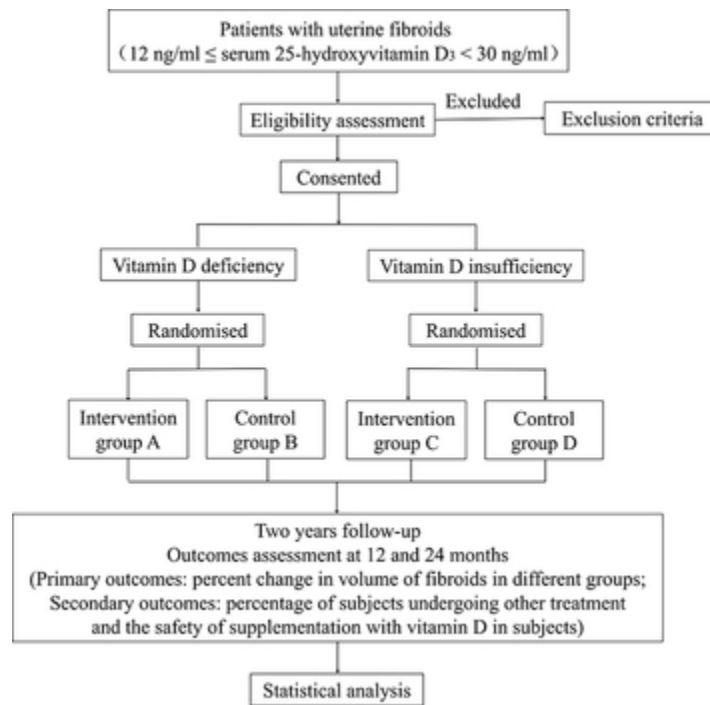


Figure 2: Flow chart showing the steps of part II in participant recruitment, treatment and analysis.

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Reporting checklist for protocol of a clinical trial.

Based on the SPIRIT guidelines.

Instructions to authors

Complete this checklist by entering the page numbers from your manuscript where readers will find each of the items listed below.

Your article may not currently address all the items on the checklist. Please modify your text to include the missing information. If you are certain that an item does not apply, please write "n/a" and provide a short explanation.

Upload your completed checklist as an extra file when you submit to a journal.

In your methods section, say that you used the SPIRIT reporting guidelines, and cite them as:

Chan A-W, Tetzlaff JM, Altman DG, Laupacis A, Gøtzsche PC, Krleža-Jerić K, Hróbjartsson A, Mann H, Dickersin K, Berlin J, Doré C, Parulekar W, Summerskill W, Groves T, Schulz K, Sox H, Rockhold FW, Rennie D, Moher D. SPIRIT 2013 Statement: Defining standard protocol items for clinical trials. *Ann Intern Med.* 2013;158(3):200-207

		Page
	Reporting Item	Number
Administrative information		
Title	#1 Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym	1

1	Trial registration	#2a	Trial identifier and registry name. If not yet registered,	2
2				
3			name of intended registry	
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5				
6	Trial registration: data	#2b	All items from the World Health Organization Trial	n/a
7	set		Registration Data Set	
8				
9				
10				
11	Protocol version	#3	Date and version identifier	n/a
12				
13				
14				
15	Funding	#4	Sources and types of financial, material, and other support	14
16				
17				
18	Roles and	#5a	Names, affiliations, and roles of protocol contributors	1
19	responsibilities:			
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21	contributorship			
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26	Roles and	#5b	Name and contact information for the trial sponsor	14
27	responsibilities:			
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36	Roles and	#5c	Role of study sponsor and funders, if any, in study design;	14
37	responsibilities:		collection, management, analysis, and interpretation of	
38			data; writing of the report; and the decision to submit the	
39	sponsor and funder		report for publication, including whether they will have	
40			ultimate authority over any of these activities	
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48	Roles and	#5d	Composition, roles, and responsibilities of the coordinating	n/a
49	responsibilities:		centre, steering committee, endpoint adjudication	
50			committee, data management team, and other individuals	
51	committees		or groups overseeing the trial, if applicable (see Item 21a	
52			for data monitoring committee)	
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1 **Introduction**

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4 **Background and** [#6a](#) Description of research question and justification for 4

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6 rationale

7 undertaking the trial, including summary of relevant studies

8 (published and unpublished) examining benefits and harms

9 for each intervention

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14 **Background and** [#6b](#) Explanation for choice of comparators 5

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16 rationale: choice of

17 comparators

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22 **Objectives** [#7](#) Specific objectives or hypotheses 5

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25 **Trial design** [#8](#) Description of trial design including type of trial (eg, parallel 5

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27 group, crossover, factorial, single group), allocation ratio,

28 and framework (eg, superiority, equivalence, non-inferiority,

29 exploratory)

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35 **Methods:**

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37 **Participants,**

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39 **interventions, and**

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41 **outcomes**

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45 **Study setting** [#9](#) Description of study settings (eg, community clinic, 5

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47 academic hospital) and list of countries where data will be

48 collected. Reference to where list of study sites can be

49 obtained

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54 **Eligibility criteria** [#10](#) Inclusion and exclusion criteria for participants. If 6, 7, 9,

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56 applicable, eligibility criteria for study centres and 10

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1 individuals who will perform the interventions (eg,
 2 surgeons, psychotherapists)
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6	Interventions:	#11a	Interventions for each group with sufficient detail to allow
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8	description		replication, including how and when they will be
9			
10			administered
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13	Interventions:	#11b	Criteria for discontinuing or modifying allocated
14			
15	modifications		interventions for a given trial participant (eg, drug dose
16			change in response to harms, participant request, or
17			improving / worsening disease)
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23	Interventions:	#11c	Strategies to improve adherence to intervention protocols,
24			
25	adherence		and any procedures for monitoring adherence (eg, drug
26			tablet return; laboratory tests)
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31	Interventions:	#11d	Relevant concomitant care and interventions that are
32			
33	concomitant care		permitted or prohibited during the trial
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36	Outcomes	#12	Primary, secondary, and other outcomes, including the
37			
38			specific measurement variable (eg, systolic blood
39			pressure), analysis metric (eg, change from baseline, final
40			value, time to event), method of aggregation (eg, median,
41			proportion), and time point for each outcome. Explanation
42			of the clinical relevance of chosen efficacy and harm
43			outcomes is strongly recommended
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53	Participant timeline	#13	Time schedule of enrolment, interventions (including any
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55			run-ins and washouts), assessments, and visits for
56			
57			participants. A schematic diagram is highly recommended
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(see Figure)

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4	Sample size	#14	Estimated number of participants needed to achieve study 6, 9
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6			objectives and how it was determined, including clinical and
7			
8			statistical assumptions supporting any sample size
9			
10			calculations
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13	Recruitment	#15	Strategies for achieving adequate participant enrolment to 6, 9
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15			
16			reach target sample size
17			
18			
19	Methods: Assignment		
20			
21	of interventions (for		
22			
23	controlled trials)		
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26	Allocation: sequence	#16a	Method of generating the allocation sequence (eg, 12
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28	generation		computer-generated random numbers), and list of any
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30			factors for stratification. To reduce predictability of a
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32			random sequence, details of any planned restriction (eg,
33			
34			blocking) should be provided in a separate document that
35			
36			is unavailable to those who enrol participants or assign
37			
38			interventions
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43	Allocation	#16b	Mechanism of implementing the allocation sequence (eg, 12
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45	concealment		central telephone; sequentially numbered, opaque, sealed
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47	mechanism		envelopes), describing any steps to conceal the sequence
48			
49			until interventions are assigned
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53	Allocation:	#16c	Who will generate the allocation sequence, who will enrol 12
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55	implementation		participants, and who will assign participants to
56			
57			interventions
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1	Blinding (masking)	#17a	Who will be blinded after assignment to interventions (eg,	n/a
2			trial participants, care providers, outcome assessors, data	
3			analysts), and how	
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8	Blinding (masking):	#17b	If blinded, circumstances under which unblinding is	n/a
9	emergency		permissible, and procedure for revealing a participant's	
10			allocated intervention during the trial	
11	unblinding			
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16	Methods: Data			
17	collection,			
18	management, and			
19	analysis			
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26	Data collection plan	#18a	Plans for assessment and collection of outcome, baseline,	19, 20
27			and other trial data, including any related processes to	
28			promote data quality (eg, duplicate measurements, training	
29			of assessors) and a description of study instruments (eg,	
30			questionnaires, laboratory tests) along with their reliability	
31			and validity, if known. Reference to where data collection	
32			forms can be found, if not in the protocol	
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43	Data collection plan:	#18b	Plans to promote participant retention and complete follow-	19, 20
44	retention		up, including list of any outcome data to be collected for	
45			participants who discontinue or deviate from intervention	
46			protocols	
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53	Data management	#19	Plans for data entry, coding, security, and storage,	19, 20
54			including any related processes to promote data quality	
55			(eg, double data entry; range checks for data values).	
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1		Reference to where details of data management	
2			
3		procedures can be found, if not in the protocol	
4			
5			
6	Statistics: outcomes	#20a Statistical methods for analysing primary and secondary	11, 12
7			
8		outcomes. Reference to where other details of the	
9			
10		statistical analysis plan can be found, if not in the protocol	
11			
12			
13	Statistics: additional	#20b Methods for any additional analyses (eg, subgroup and	11, 12
14			
15	analyses	adjusted analyses)	
16			
17			
18	Statistics: analysis	#20c Definition of analysis population relating to protocol non-	11, 12
19			
20	population and	adherence (eg, as randomised analysis), and any statistical	
21			
22	missing data	methods to handle missing data (eg, multiple imputation)	
23			
24			
25			
26	Methods: Monitoring		
27			
28			
29	Data monitoring:	#21a Composition of data monitoring committee (DMC);	n/a
30			
31	formal committee	summary of its role and reporting structure; statement of	
32			
33		whether it is independent from the sponsor and competing	
34			
35		interests; and reference to where further details about its	
36			
37		charter can be found, if not in the protocol. Alternatively, an	
38			
39		explanation of why a DMC is not needed	
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43			
44	Data monitoring:	#21b Description of any interim analyses and stopping	n/a
45			
46	interim analysis	guidelines, including who will have access to these interim	
47			
48		results and make the final decision to terminate the trial	
49			
50			
51	Harms	#22 Plans for collecting, assessing, reporting, and managing	n/a
52			
53		solicited and spontaneously reported adverse events and	
54			
55		other unintended effects of trial interventions or trial	
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1		conduct	
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4	Auditing	#23 Frequency and procedures for auditing trial conduct, if any,	n/a
5		and whether the process will be independent from	
6		investigators and the sponsor	
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11	Ethics and		
12			
13	dissemination		
14			
15			
16	Research ethics	#24 Plans for seeking research ethics committee / institutional	12
17	approval	review board (REC / IRB) approval	
18			
19	Protocol	#25 Plans for communicating important protocol modifications	n/a
20	amendments	(eg, changes to eligibility criteria, outcomes, analyses) to	
21		relevant parties (eg, investigators, REC / IRBs, trial	
22		participants, trial registries, journals, regulators)	
23			
24	Consent or assent	#26a Who will obtain informed consent or assent from potential	6, 9
25		trial participants or authorised surrogates, and how (see	
26		Item 32)	
27			
28			
29	Consent or assent:	#26b Additional consent provisions for collection and use of	n/a
30	ancillary studies	participant data and biological specimens in ancillary	
31		studies, if applicable	
32			
33			
34	Confidentiality	#27 How personal information about potential and enrolled	19, 20
35		participants will be collected, shared, and maintained in	
36		order to protect confidentiality before, during, and after the	
37		trial	
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40	Declaration of	#28 Financial and other competing interests for principal	14
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1	interests		investigators for the overall trial and each study site	
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4	Data access	#29	Statement of who will have access to the final trial dataset,	14
5			and disclosure of contractual agreements that limit such	
6			access for investigators	
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11	Ancillary and post	#30	Provisions, if any, for ancillary and post-trial care, and for	n/a
12			compensation to those who suffer harm from trial	
13	trial care		participation	
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19	Dissemination policy:	#31a	Plans for investigators and sponsor to communicate trial	14
20			results to participants, healthcare professionals, the public,	
21	trial results		and other relevant groups (eg, via publication, reporting in	
22			results databases, or other data sharing arrangements),	
23			including any publication restrictions	
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31	Dissemination policy:	#31b	Authorship eligibility guidelines and any intended use of	14
32			professional writers	
33	authorship			
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36	Dissemination policy:	#31c	Plans, if any, for granting public access to the full protocol,	n/a
37			participant-level dataset, and statistical code	
38	reproducible research			
39				
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42	Appendices			
43				
44				
45	Informed consent	#32	Model consent form and other related documentation given	n/a
46			to participants and authorised surrogates	
47	materials			
48				
49				
50	Biological specimens	#33	Plans for collection, laboratory evaluation, and storage of	n/a
51			biological specimens for genetic or molecular analysis in	
52			the current trial and for future use in ancillary studies, if	
53			applicable	
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Notes:

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4 • 10: 6, 7, 9, 10 The SPIRIT checklist is distributed under the terms of the Creative Commons
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6 <https://www.goodreports.org/>, a tool made by the [EQUATOR Network](#) in collaboration with
7
8 [Penelope.ai](#)
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