PATTER, Volume 1

## **Supplemental Information**

## A Learning-Based Model to Evaluate

#### **Hospitalization Priority**

### in COVID-19 Pandemics

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#### Supplemental Information



Figure S1. Flowchart of the study inclusion and exclusion



Figure S2. Strategy to remove the missing values. In this first step, the variables with missing data that exceeded the threshold alpha were removed (bottom figure). Next, observations (that were COVID-19 cases) with missing data for any of the resulting variables were removed.



Figure S3. Venn Diagram.

The Venn Diagram was used to represent the relationship of different case sets. Set A contained all the 601 cases; Set B contained the 214 cases after removing the missing values (Figure S2). Set C was a subset of the set B and contained 65 cases that were used for the holdout testing. Set D contained the 39 progressed severe cases, which had no missing values in term of the selected 4 variables and had no intersection with the Set B. The 4 selected variables were lymphocyte, lactic dehydrogenase, C-reactive protein and neutrophil.



Figure S4. SHapley Additive exPlanations (SHAP) Plot of the key variables identified to construct the model. The SHAP values were plotted to visualize how the value of each variable would impact the prediction of disease severity. Each dot corresponded to an individual patient in the study. And the colors encoded the values of key variables, while the SHAP values represented the impact of key variables on the prediction. Abbreviations: L, lymphocyte; LDH, lactic dehydrogenase; CRP, C-reactive protein; N, neutrophil.



#### Figure S5. t-SNE visualization

The 3D scatter visualization was generated though the t-SNE algorithm, which projected 4D (lymphocyte, lactic dehydrogenase, C-reactive protein, neutrophil) samples into a 3D feature space. The space was defined by the first, second and third component (Features #1, #2, and #3). The green dots, blue stars and red crosses denoted the non-severe, progressed severe (non-severe to severe progression) and severe cases respectively. Green dots clustered in the center while red crosses scattered in the outer layer; the blue stars located in-between.

* Usage: This is intended for use in assessment of hospitalization priority due to the risk of severe COVID-19. By filling the values of four parameters in t	he			
required fields and comparing the output value with the cutoff value of 0.5, the risk of developing severe COVID-19 can be expected.				
* Disclaimer: The assessment is based on a method proposed in Our Research Paper (https://www.ncbi.nlm.nih.gov/pmc/articles/PMC7396968/). Note	1			
that the assessment is not a substitute for professional diagnosis and should not be used as an alternative to professional healthcare.				
* Privacy Policy: We will not collect, storage and use any users' data. But if you want to contribute to this project with your data to improve this				
assessment model, please fill this Data Collecting Table and send it to Yinheng Zhu by the email of zhuyh19@mails.tsinghua.edu.cn.				
* Source Code and Dataset: https://github.com/cow8/Covid-19_Severity				
Input values:				
Lymphocyte count, 10^9/L:				

Lactic DeHydrogenase(LDH), U/L:	(tested in [82.5, 658.7])			
C-Reactive Protein(CRP), mg/L:	(tested in [0.1, 210])			
Neutrophil count(N), 10^9/L:	(tested in [0.64, 18.82])			
* Age tested in [19,84]				
* if above factors(e.g. Lymphocyte count, age) is outside tested interval, please refer to our paper to check if it's suitable for usage of this model.				
Submit Query				

0 visitors have used this tool. Thanks <u>Alexander</u> for his <u>tutorial</u> on deployment of machine learning tool. Figure S6. Interface of the online COVID-19 assessment program. (urls: covid-19.zyh.science:8888)

# Table S1 List of eligible literature in WHO COVID-19 database

No.	Title			
1	COVID-19: Serial CT Findings			
2	COVID-19 in Taiwan			
3	2019-nCoV severe adult respiratory distress syndrome in two cases in Italy			
4	A familial cluster of pneumonia associated with the 2019-nCoV indicating person-to-person transmission			
5	A Locally Transmitted Case of SARS-CoV-2 Infection in Taiwan			
6	Analysis of the first cluster of cases in a family of novel coronavirus pneumonia in Gansu Province			
7	Asymptomatic cases in a family cluster with SARS-CoV-2 infection			
8	Asymptomatic novel coronavirus pneumonia patient outside Wuhan			
9	Case of the Index Patient Who Caused Tertiary Transmission of Coronavirus Disease 2019 in Korea			
10	Changes of CT findings in a 2019-nCoV pneumonia patient			
11	Chest CT for Typical 2019-nCoV Pneumonia: Relationship to Negative RT-PCR Testing			
12	Clinical and biochemical indexes from 2019-nCoV infected patients linked to viral loads and lung injury			
40	Clinical characteristics and therapeutic procedure for four cases with COVID-19 receiving combined			
13	Chinese and Western medicine treatment			
14	Clinical Features and Treatment of 2019-nCov Pneumonia Patients in Wuhan: Report of A Couple Case			
15	Clinical Features of Atypical COVID-19 with an initially Negative RT-PCR Assay			
16	CT features of 2019-novel coronovirus pneumonia			
17	CT Imaging of the COVID-19			
18	CT Manifestations of Two Cases of 2019-nCoV Pneumonia			
19	Early transmission patterns of COVID-19 in travellers from Wuhan to Thailand			
20	Evolution of CT Manifestations in a Patient Recovered from COVID-19 in Wuhan			
21	Evaluation of coronavirus in tears and conjunctival secretions of patients with SARS-CoV-2 infection			
22	First Atypical case of 2019 novel coronavirus in Yan'an			
23	First Case of 2019 Novel Coronavirus in the US			
24	First case of COVID-19 in Taiwan			
25	First cases of COVID-19 in France			
26	First imported case of 2019 novel coronavirus in Canada			
27	Identification of a novel coronavirus causing severe pneumonia in human			
28	Importation and Human-to-Human Transmission			
29	Journey of a Thai Taxi Driver and Novel Coronavirus			
30	Novel Coronavirus Pneumonia Outbreak in 2019			
31	Pathological findings of COVID-19 associated with ARDS			
32	Pre- and Posttreatment Chest CT Findings			
33	Pulmonary pathology of early phase COVID-19 in two patients with lung cancer			
24	RNA based mNGS approach identifies a novel human coronavirus from two individual pneumonia cases			
34	in 2019 Wuhan outbreak			
35	SARS-CoV-2 Viral Load in Upper Respiratory Specimens of Infected Patients			
36	The COVID-19 with onset of oculomotornerve palsy			
37	The course of clinical diagnosis and treatment of a case infected with COVID-19			
38	The first 2019 novel coronavirus case in Nepal			
39	The First Case of COVID-19 Imported into Korea from Wuhan			
40	The first Vietnamese case of COVID-19 acquired from China			
41	The report of two cases infection with 2019-ncov after kidney transplantation			
42	Two clinical cases of NCP in renal transplant recipients			
43	Viral Load Kinetics of SARS-CoV-2 Infection in First Two Patient			

Clinical features	P values
Age	< 0.001
Gender	0.708
Underlying comorbidities	
Hypertension	0.994
Endocrine diseases	0.998
Cardiovascular diseases	0.955
Chronic lung diseases	0.391
Gastrointestinal or hepatobiliary diseases	0.326
Cerebrovascular diseases or neurological disorder	1.000
Immune disorder	1.000
Others	0.814
Signs or symptoms	
Fever	0.003
Cough	0.072
Dyspnea	< 0.001
Fatigue	0.073
Nausea or emesis	0.864
Myalgia	1.000
Dizziness or headache	0.955
Laboratory findings	
White blood cell	0.100
Lymphocyte	< 0.001
Neutrophil	< 0.001
C-reactive protein	< 0.001
Lactate dehydrogenase	< 0.001
D-dimer	0.001
Alanine aminotransferase	0.003
Aspartate aminotransferase	< 0.001
Total bilirubin	0.160
Albumin	< 0.001
Serum creatinine	0.395
Creatine kinase	< 0.001

Table S2. Univariate analysis of difference in clinical variables between severe and non-severe COVID-19 cases excluding missing values

Table S3. Definition of the evaluation metrics.

Metrics	Definition	
Accuracy	$\frac{\text{TP} + \text{TN}}{\text{TP} + \text{TN} + \text{FP} + \text{FN}}$	
Sensitivity	$\frac{\text{TP}}{\text{TP} + \text{FP}}$	
Specifity	TP TN + FP	
F1 weighted	$\frac{1}{\text{TP} + \text{FP}} * \frac{2\text{TP}}{\text{TP} + \text{FN} + 1} + \frac{1}{\text{TN} + \text{FN}} * \frac{2\text{TN}}{\text{TN} + \text{FP} + 1}$	

Abbreviations: TP, true positive; TN, true negative; FP, false positive; FN, false negative

Table S4. Previously validated Single-tree XGBoost model for identification of severe COVID-19 risk. A decision rule using three key features and their thresholds in absolute values was shown in the figure and the performance of the single-tree XGBoost model in identification of severe COVID-19 cases on admission was shown in the table.



Abbreviations: L, lymphocyte; LDH, lactic dehydrogenase; CRP, C-reactive protein; AUC: area under the curve.