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The Effectiveness of Real-Time Continuous Glucose Monitoring to Improve Glycaemic Control and Pregnancy Outcome in Patients with Gestational Diabetes Mellitus: A randomised controlled trial.

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Study protocol

The Effectiveness of Real-Time Continuous Glucose Monitoring to Improve Glycaemic Control and Pregnancy Outcome in Patients with Gestational Diabetes Mellitus: A randomised controlled trial.

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3 **Conflict of interest**

4 The authors declare that there are no further financial or personal relationships with other
5 people or organizations that could inappropriately influence the work reported or the
6 conclusions, implications, or opinions stated.
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11 KEY WORDS: Gestational diabetes mellitus, diagnosis, real-time continuous glucose
12 monitoring, glycaemic control.
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15 **Short title:** Continuous glucose monitoring to improve glycaemic control and pregnancy
16 outcome in gestational diabetes.
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1 Abstract

Introduction: Real-time continuous glucose monitoring (rt-CGM) systems provide users with information about current interstitial glucose levels and allows early detection of glycaemic excursions and accordingly timely adaptation by behavioural change or pharmacologic intervention. Randomized controlled studies adequately powered to evaluate the impact of long-term application of rt-CGM systems on the risk reduction of adverse obstetric outcomes are missing.

Methods and analysis: Open-label multicentre randomized controlled trial with two parallel groups including a total of 372 female patients with a recent diagnosis of gestational diabetes (GDM): 186 with rt-CGM (Dexcom G6) and 186 with self-monitored blood glucose (SMBG). Women with GDM will be consecutively recruited and randomized to rt-CGM or control (SMBG) group after a run-in period of 6 to 8 days. The third visit will be scheduled 8 to 10 days later and then every two weeks. At every visit, glucose measurements will be evaluated and all patients will be treated according to the standard care. From second to third visit as well as once for 10 days between gestational week 36+0 and 38+6 the control group will receive blinded CGM. Cord blood will be sampled immediately after delivery. A postpartum examination will be scheduled within 48 hours after delivery for assessment of neonatal biometry and maternal HbA1c, as well as between week 8 to 16 after delivery in all patients for a detailed re-examination of glucose metabolism including blinded CGM for 8 to 10 days in both groups. Primary outcome is the difference in the proportion of LGA newborns. Rate of neonatal hypoglycaemia, caesarean section, shoulder dystocia and neonatal anthropometry are secondary outcomes.

Ethics and dissemination: This study received ethical approval from the main ethic committee in Vienna. It was registered under www.ClinicalTrials.gov (NCT03981328). Data will be presented at international conferences and published in peer-reviewed journals.

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3 Strengths and limitations of the study:
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- 5 - This is a randomised controlled trial recruiting 372 pregnant women after the GDM
6 diagnosis at 5 sites in Austria, Germany, Sweden and Switzerland.
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8 - The study uses the newest version a of “real-time” CGM (rt-CGM) system which
9 enables the user identify rapidly glycaemic excursions and allows timely adaptation by
10 behavioural change or pharmacologic intervention.
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12 - The study will increase knowledge about possible limitations of SMBG (routine care),
13 such as undetected hyper- or hypoglycaemia.
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15 - The study might show possible improvement of adverse perinatal outcome and
16 particularly fetal macrosomia in offspring of mothers with GDM monitored by rt-CGM
17 versus SMGB.
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2 Introduction

The incidence of obesity and diabetes is rising worldwide even in younger populations. With a rise in maternal obesity also gestational diabetes mellitus (GDM) becomes more prevalent with a prevalence of up to 18% of pregnancies^{1,2}. Previous studies found hyperglycaemia in pregnancy to be associated with gestational complications including macrosomia and neonatal hyperinsulinaemic hypoglycaemia³ and an increased long-term risk for obesity or diabetes in the offspring's later life⁴. Large interventional trials provided evidence that obstetric and neonatal complications such as large for gestational age offspring (LGA, defined as birthweight >90th pctl) or shoulder dystocia can be significantly reduced by intensified treatment of even mild forms of maternal hyperglycaemia (e.g. by lifestyle modification or pharmacotherapy)⁵⁻⁷.

Continuous glucose monitoring (CGM) has been shown to improve glycaemic control without increasing the risk of hypoglycaemia in patients with type 1 and 2 diabetes^{8,9}. However, only a small number of studies evaluated the use of CGM in pregnancies affected by GDM: In the setting of a larger non-randomized observational study Yu et al.¹⁰ found that mothers in the CGM group (use over 72 hours every 2 to 4 weeks) had improved glycaemic control as well as a lower amount of glycaemic variability as compared to a control group using self-monitored blood glucose (SMBG). In addition, the CGM-group showed lower birth weight percentiles associated with a lower risk for LGA offspring (13.7 vs. 25.8%) or neonatal hypoglycaemia (5.5 vs. 14%). Also a second observational study including 57 pregnant women with GDM indicated that CGM was more effectively detecting hyperglycaemic episodes as well as nocturnal hypoglycaemia than SMBG¹¹. A study in 73 pregnant women with GDM, randomly assigned to either SMBG or CGM for a duration of 48h after diagnosis, found that CGM detected a markedly higher proportion of women requiring glucose lowering pharmacotherapy (31 vs 8%)¹². Another randomized controlled trial on 106 women with GDM observed significantly lower weight gain associated with CGM. LGA cases were more often observed in the SMBG group (52.7 vs. 35.3%). However, the difference failed statistical significance as the study was not powered for obstetrical outcomes¹³.

Unfortunately, both randomized controlled studies used older versions of a blinded CGM device, where glucose values were not directly visible for patients. In contrast, more recently developed "real-time" CGM (rt-CGM) systems provide users with information about current glucose levels and alert the patient before the upper or lower glucose threshold is reached or when glucose levels change rapidly. Hence, glycaemic excursions can be rapidly identified and accordingly adapted by behavioural change or pharmacologic intervention. A number of studies including non-pregnant patients showed superiority of rt-CGM over older blinded CGM versions in order to effectively empower and educate patients with diabetes to better understand how dietary habits, exercise or pharmacotherapy affects their glucose

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3 levels¹⁴. A beneficial effect of rt-CGM in pregnancy was also supported by the CONCEPT
4 trial for pregnant women with type 1 diabetes¹⁵. Only one recent study compared SMBG with
5 rt-CGM in women with GDM using a single application for 3 to 7 days within two weeks after
6 diagnosis but it failed to demonstrate improvements in HbA1c or pregnancy outcomes, which
7 was, however, likely due to the sample size and the short duration of intervention (single
8 application)¹⁶.

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12 Taken together, larger randomized controlled studies adequately powered to evaluate
13 the impact of long-term application of rt-CGM systems on the risk reduction of adverse obstetric
14 outcomes are missing¹⁷. Of note, such studies are of high clinical relevance because of their
15 guideline-changing potential. In addition, rt-CGM has the potential to reduce reported barriers
16 to SMBG (such as inconvenience, pain or stigma of testing in public places) in order to improve
17 poor reliability and adherence to glucose monitoring, which is a non-negligible problem in the
18 treatment of GDM¹⁸.

19 20 21 22 23 24 *Hypotheses*

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26 The main hypothesis of the proposed study is that rt-CGM can effectively reduce the risk for
27 neonatal and obstetric complications. It is further hypothesized that rt-CGM can improve
28 maternal glycaemic control, body weight gain during pregnancy and (as rt-CGM potentially
29 improves self-management strategies) has beneficial effects on maternal metabolism after
30 pregnancy.

31 32 33 34 *Primary and secondary outcomes*

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36 *Primary objective:* To assess differences in the proportion of LGA newborns (birth weight >90th
37 pctl) in women with GDM using rt-CGM as compared to women with GDM using SMBG.

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39 *Secondary objectives:* To assess differences in further obstetric or neonatal complications,
40 neonatal hypoglycaemia, rate of caesarean section, shoulder dystocia and neonatal
41 anthropometry will be assessed as secondary objectives. Further secondary outcomes are:
42 differences in neonatal hyperinsulinemia, rt-CGM measures such as mean interstitial glucose,
43 glycaemic variability, time in target (65 to 140 mg/dl [3.6 to 7.8 mmol/l]) as well as time spent
44 in hyper- and hypoglycaemia (Time above and below range) (day-time: 07.01 to 22.59hr and
45 night-time: 23.00 to 07.00hr), duration and frequency postprandial hyperglycaemic excursions,
46 start and amount of glucose lowering therapy, HbA1c, glycosylated fibronectin, change in
47 bodyweight during pregnancy and after delivery as well as glucose disposal at postpartum
48 (markers of insulin sensitivity, insulin secretion and β -cell function assessed by a postpartum
49 OGTT). Health-related quality of life (HRQoL) is a patient-reported outcome which has become
50 as important in the evaluation of interventions as patient-relevant clinical outcomes. Therefore,
51 HRQoL will be elicited. In addition, preferences will be assessed, and a health economic
52 evaluation in terms of cost-effectiveness and cost-utility analysis will be performed.
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3 *Expected effects on the advancement of clinical practice*

4 The aim of this proposal is to assess the ability of rt-CGM to improve glycaemic control
5 (reduction of mean glucose, hyperglycaemic episodes and duration, improvement of glycaemic
6 variability) in order to prevent adverse pregnancy outcomes and neonatal complications in
7 women with GDM. The results of this study will contribute to:
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11 • The improvement of clinical monitoring and management of glucose metabolism during
12 pregnancy with GDM
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14 • Increased knowledge about possible limitations of SMBG (routine care), such as undetected
15 hyper- or hypoglycaemia, as well as to determine if comprehensive glucose data (as derived
16 from rt-CGM) results in more or fewer women needing pharmacotherapy
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18 • Possible improvement of adverse perinatal outcome and particularly fetal macrosomia in
19 offspring of mothers with GDM
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3 Methods and analysis

Participants and recruitment; Inclusion criteria

This study is designed as an open-label multicentre randomized controlled trial with two parallel groups including a total of 372 female patients (n=186 with rt-CGM, n=186 with SMBG) with a recent diagnosis of GDM. Diagnosis of GDM (i.e. diabetes first diagnosed in the second and third trimester and not clearly type 1 or type 2 diabetes¹⁹) is made in accordance with the IADPSG criteria after 24+0 weeks of gestation by a 2h 75g OGTT²⁰. The study will be conducted at five academic hospitals in Austria, Switzerland, Sweden and Germany. All pregnant females (aged between 18 and 55 years) will be consecutively recruited after diagnosis of GDM until 31+6 weeks of gestation among women visiting the pregnancy outpatient departments (Division of Obstetrics and feto-maternal Medicine, Medical University of Vienna; Division of Obstetrics, University Hospital Basel; Department of Obstetrics, Charité-Universitätsmedizin Berlin) or the diabetes outpatient departments (Division of Endocrinology and Metabolic Diseases at the Heinrich Heine University, Düsseldorf; Department of Medicine, University Hospital, Örebro).

Exclusion criteria

Overt diabetes (i.e. pregestationally known type 1 or type 2 diabetes or fasting plasma glucose during the OGTT ≥ 126 mg/dl [7.0 mmol/l] or HbA1c $\geq 6.5\%$ [44 mmol/l] or 2h post-load OGTT levels ≥ 200 mg/dl [11.1 mmol/l] assessed before 24+0 weeks of gestation, whereby results need to be confirmed by repeated testing in case of unequivocal hyperglycaemia according to the ADA standards¹⁹), history of bariatric surgery or other surgeries that induce malabsorption, long-term use (>2 weeks) of systemic steroids prior to enrolment, multiple pregnancy, patients already using glucose lowering medications (metformin or insulin) before study entry, fetal growth restriction due to placental dysfunction at study entry, inpatient psychiatric treatment up to 1 year before enrolment, participation in this study in previous pregnancy.

Study visits during pregnancy

A broad risk evaluation will be performed in participating females at the initial contact (V1) including: evaluation of maternal age, parity, history of GDM in previous pregnancies, detailed family history, ethnicity, preconceptional diseases, obstetric history. Height (stadiometer measured to the nearest centimeter) and actual weight (calibrated scales, light indoor clothing) will be additionally assessed. Moreover, an evaluation of preconceptional weight (self-reported) and body mass index (BMI) as well as measurement of blood pressure will be performed. All patients receive medical advice for nutrition (isocaloric diet containing 40-50% carbohydrates, 20% proteins and 30-35% fat, divided into three meals and three snacks) and regular physical exercising for 30 minutes per day following international recommendations. In addition, participants are advised on capillary blood glucose measurement (fasting as well as

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3 1h after starting each meal) at the initial visit (V1). Randomization will be done after a run-in
4 period of 6 to 8 days (V2). The third visit (V3) will be scheduled 8 to 10 days after V2 and
5 further follow-up visits every two weeks (i.e. 12 to 16 days after each visit). HbA1c and
6 glycosylated fibronectin will be assessed at V2 as well as at the first visit between 36+0 and
7 38+6 weeks of gestation (12 ml, non-fasting state) (V4). Detailed fetal ultrasound
8 examinations, a detailed examination of dietary intake as well as a blinded CGM (control group
9 only) will be performed at V2 and V4. Body weight change and use of glucose lowering
10 medications (amount of insulin units) will be examined at every visit. At every follow-up visit
11 glucose measurements (SMBG or rt-CGM) and routine ultrasound examinations (fetal
12 biometry and umbilical artery doppler) will be evaluated by the medical staff and all patients
13 will be treated according to the standard of care for patients with GDM. This includes lifestyle
14 modification and insulin therapy if recommended thresholds are exceeded. Both groups will be
15 treated to be in the target range between 65 to 140 mg/dl [3.6 to 7.8 mmol/l] with at least 8h
16 fasting glucose levels equal or below 95 mg/dl [5.3 mmol/l] and 1h postprandial glucose
17 measurements equal or below 140 mg/dl [7.8 mmol/l] in accordance with the CONCEPTT
18 study¹⁵ and the ADA recommendations²¹, respectively. Intermediate acting neutral protamine
19 Hagedorn (NPH) insulin is started in the evening if ≥ 2 measurements of fasting glucose are
20 equal or above 95 mg/dl [5.3 mmol/l] in a period of one week and rapid acting insulin analogues
21 (Aspart or Lispro) if ≥ 2 measurements of 1h postprandial glucose (either after breakfast, lunch
22 or dinner) are equal or above 140 mg/dl [7.8 mmol/l] in a period of one week. NPH is started
23 with 6 to 10 IU and increased by 4 IU (or in case of higher doses i.e. >25 IU by 20%) and rapid
24 acting insulin (bolus insulin) is started with 2 to 4 IU and increased by 2 to 4 IU if thresholds
25 are not achieved within three days. Long acting insulin analogues such as glargine
26 (U100/U300) or detemir can be used as an alternative to NPH if necessary. Patients are trained
27 on insulin management and titration according to their glucose levels. Metformin can be used
28 according to local practice guidelines (recommended in Sweden but not in Austria, Germany
29 or Switzerland as first-line pharmacological intervention).

46 *Study visits postpartum*

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48 Cord blood will be sampled and stored (at -80°C) immediately after delivery (VPP0). A
49 postpartum examination will be scheduled within 48 hours after delivery (VPP1) for
50 assessment of neonatal parameters and maternal HbA1c and glycosylated fibronectin (12 ml,
51 non-fasting state), as well as between 8 to 16 weeks after delivery (VPP2) in all patients for a
52 detailed re-examination of glucose homeostasis postpartum (including lifestyle and dietary
53 pattern as well as HbA1c, glycosylated fibronectin as well as a blinded CGM for 10 days and
54 an OGTT to assess the presence of prediabetic conditions after pregnancy with GDM). The
55 postpartum OGTT is further used to provide estimates of insulin sensitivity, β -cell function and
56 hepatic insulin extraction, the major physiological components of impaired glucose tolerance.
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Randomization

Participants will be randomized to either treatment (rt-CGM augmented glucose monitoring) or control group (routine care SMBG) in a 1:1 ratio. The minimization method²² with a 0.85 assignment probability will be used to minimize the imbalance between the groups according to week of gestation at study entry i.e. at V1 (three strata: 24+0 to 25+6, 26+0 to 27+6, 28+0 to 29+6, 30+0 to 31+6), previous pregnancy with GDM (two strata: yes or no) and preconceptional overweight/obesity status with three strata: i. normal weight (i.e. BMI below 25.0 kg/m²); ii. overweight (BMI 25.0 – 29.9 kg/m²); iii. obesity (BMI equal or above 30.0 kg/m²). Randomization will be performed at the second study visit (V2) by using a randomization software provided by the Medical University of Vienna.

Intervention

Patients randomized to the intervention group will be equipped with a rt-CGM sensor (Dexcom G6 sensor, a small flexible device that records interstitial glucose levels every five minutes) at V2. The sensor will be inserted into the subcutaneous tissue of the anterior abdominal wall (if this location is not tolerated by the pregnant patients, the upper buttock or posterior upper arm may be used instead). Additionally, patients will be advised to record capillary blood glucose values if glucose alerts or readings do not match with symptoms or expectations. Participants will be educated on how to exchange the sensor (has to be exchanged every ten days) and will be equipped with a real-time CGM monitor and instructed in its use. The monitor provides the user with information about current glucose levels and notifies the patient before her upper or lower glucose threshold are reached and when glucose levels change rapidly. All patients in the intervention group will be specially trained in the use of the system. As an alternative to the real-time monitor the patients' smart phone with an anonymized access to the CLARITY[®] mobile app can be used (details see below: "Intervention: Device description").

Intervention: Device description

The Dexcom G6 intended use is for the management of diabetes in persons aged 2 years and older. The Dexcom G6 System is intended to replace fingerstick blood glucose testing for diabetes treatment decisions. Interpretation of the Dexcom G6 System results should be based on the glucose trends and several sequential readings over time. The Dexcom G6 System also aids in the detection of episodes of hyperglycaemia and hypoglycaemia, facilitating both acute and long-term therapy adjustments. The Dexcom G6 System can be used alone or in conjunction with digitally connected medical devices for the purpose of managing diabetes.

The system consists of a sensor, transmitter, receiver, and mobile app. The sensor is a small, flexible wire inserted into subcutaneous tissue where it converts glucose into electrical current. The sensor incorporates an interferent layer that minimizes the effect of potential electroactive interferents, such as acetaminophen, by preventing it from reaching the sensor wire surface. The benefit of this interferent layer in blocking the effects of acetaminophen

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3 prevents falsely high glucose readings. Thus, users may ingest acetaminophen while wearing
4 the G6 CGM system. The transmitter, which is connected to the sensor and worn on the body,
5 samples the electrical current produced by the sensor and converts the measurement into a
6 glucose reading using an onboard algorithm. The receiver and/or the app displays the glucose
7 reading along with a rate of change arrow and a trend graph. Additionally, the receiver and/or
8 app issues alarms and alerts to notify the patient of glucose level changes and other important
9 system conditions. The app provides the additional capability to share data with “followers”
10 using the Dexcom Share service. The receiver can be put into a blinded mode using CLARITY®
11 software. In this mode, users are unable to see the CGM data or receive CGM alerts.

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CGM Ancillary Devices Dexcom CLARITY® is an accessory for users of the Dexcom
CGM system. It is a software program that allows the transfer of glucose data from the CGM
system to Dexcom remote servers for data management to allow the use of the CGM data by
the user and study clinicians. Target ranges of 65 to 140 mg/dl [3.6 to 7.8 mmol/l] will be set
and the patients will be introduced in the use of alarm settings. Both participants and study
sites will use CLARITY® to transfer glucose data between user and study site, whether CGM
is used in blinded or real-time mode. A CLARITY® mobile app can be used for a retrospective
review of glucose data on the smart device and can also be set up to allow receipt of push
notifications of CGM data facilitating weekly data review. For all patients (intervention and
control group) an anonymized CLARITY® account will be created by using a sequential study
number which is allocated at randomization (sex will be female and birth date for each account
will be set to 1.1.1990 for all accounts).

Intervention: Study proceedings

- For participants who have a supported phone, the G6 CGM app will be installed on participant's smart phone.
- An anonymized CLARITY® mobile account will be set up and linked to the research site.
- Participants will use CGM data for their diabetes management.
- A high alert threshold will be set at 140 mg/dl [7.8 mmol/l]. Low alert threshold and urgent low soon alerts will be turned off. If participants require insulin, the low alert will be turned on and the threshold set at 65 mg/dl [3.6 mmol/l]. In addition, the urgent low alert (55 mg/dl [3.1 mmol/l]), the urgent low soon alert (when glucose levels are falling fast and will be below 55 mg/dl [3.1 mmol/l] in less than 20 min) as well as alerts for rise and fall rate (3 mg/dl [0.17 mmol/l]) in addition to alerts for signal loss and no readings for more than 20 min will be enabled.
- Participants with applicable smart phones may have CLARITY® push notifications on the CLARITY® mobile app about weekly time in range comparison enabled during the study.
- For app users, the “Share and Follow” functionality will be discussed and encouraged (i.e. the study participants are able to invite followers to review their glucose levels).

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- 3 - For participants using the receiver only, the receiver will be downloaded into the CLARITY®
- 4 clinic account at each visit.
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- 6 - For participants using real-time CGM data summary will be downloaded for documentation
- 7 at V3 and V4 (between 36+0 and 38+6) as well as after delivery (VPP1).
- 8
- 9 - The research team will review the CGM in CLARITY® to inform lifestyle and therapy
- 10 recommendations.
- 11
- 12 - The Dexcom G6 system will not be calibrated during the study period.
- 13

14 *Control group*

15 The participants of the control group will perform self-monitored blood glucose testing with a
16 study-provided blood glucose meter, including testing supplies. They will perform capillary
17 blood glucose monitoring as routinely used for patients with GDM, i.e., at least four capillary
18 blood glucose values daily including measurements in a fasting state as well as 1h after starting
19 each meal by using a routinely available blood glucose measurement device. The study
20 participants will keep a logbook of their glucose values, which will be reviewed by clinicians
21 from the study team at each visit and used for lifestyle and dietary recommendations as is
22 routinely done in clinical practice. From V2 to V3 as well as once for ten days between
23 gestational week 36+0 and 38+6 the control group receive blinded CGM; neither patients nor
24 the treating medical staff will have access to the data recorded by the CGM sensor at this point
25 in time. Instead, patients will control blood glucose levels based on SMBG, as is the routine
26 procedure in current GDM treatment. Otherwise, the control group will receive the same study
27 assessments as the intervention group. The blinded CGM will be removed and returned to
28 Dexcom after the 10-day wear period after CGM data is uploaded to CLARITY® by an
29 unblinded investigator who must not communicate about the results with patients or medical
30 staff.

31 Each participant of the control group will be assigned a study blood glucose meter to
32 measure and store their blood glucose values during the study. Therefore, the Contour® Next
33 One system will be used. The meter has CE Mark clearance and is commercially available in
34 Europe. Participants will receive an ample supply of meter test materials based on quantities
35 routinely used. A commercially available desk-top software (Diabass® Pro) used in conjunction
36 with Contour® Next One system glucose meter for blood glucose monitoring, will be utilized for
37 downloading the meter data by the sites at V3 and V4 after checking that dates and times are
38 correct.

39 Blood glucose meters used by the control group will be assessed to establish frequency
40 of testing (overall and per week) as well as percentage of days with less than four
41 measurements per day.

Analyses of CGM data

Rt-CGM data allows a detailed examination of the percentage of time in which glucose levels are in target range (time in target) (65 to 140 mg/dl [3.6 to 7.8 mmol/l]), hyperglycaemic episodes (glucose \geq 140 mg/dl [7.8 mmol/l]) as well as mild (<65 mg/dl [3.6 mmol/l]), moderate (\leq 54 mg/dl [3.0 mmol/l]) or severe hypoglycemic episodes (requiring third party assistance) and their duration. To this purpose, several indices of the glucose control quality will be calculated, such as GRADE (Glycaemic Risk Assessment Diabetes Equation), some indices of hypoglycaemia and hyperglycaemia, and indices assessing the risk associated to both low and high glycaemic values, such as IGC (Index of Glycaemic Control) and ADRR (Average Daily Risk Range)²³. Glycaemic variability will also be assessed, which can be quantified by standard deviation of the CGM data, or by more sophisticated indices, such as MAGE (Mean Amplitude Glucose Excursions), CONGA (Continuous Overlapping Net Glycaemic Action), Lability Index²³, as well as further indices that we developed internally, such as the Shape Index²⁴. These will be compared between real-time CGM users and controls (i.e. from data obtained during the blinded CGM wear).

Assessment of dietary patterns

Dietary patterns will be assessed in all patients at V1, VPP1, and VPP2 via a published and validated Food-Frequency-Questionnaire (FFQ) proposed by the German Robert Koch Institute²⁵. It was also previously used for the German DEGS project (www.degs-studie.de). Information from the FFQ will be analyzed quantitatively or summarized by eating scores proposed in the literature (such as the Healthy Eating Index 2010 or Alternate Healthy Eating Index 2010) reflecting diet quality based on actual guidelines^{26,27}. In addition, all patients will be advised to conduct a nutritional protocol (seven days) from V2 to V3 as well as once at V4 (between 36+0 and 38+6 weeks of gestation). In a subgroup (only study site Vienna) dietary intake will also be assessed by performing 24-h-recalls by trained interviewers at V2, V4 and postpartum (VPP2): one face-to-face interview (approx. one hour) and the others as telephone interviews (approx. 30 minutes) during which data are entered simultaneously in GloboDiet. GloboDiet is a computerized program which was developed by the International Agency for Cancer Research (IARC) within the framework of the European Prospective Investigation into Cancer and Nutrition Study (EPIC-Study) for the conduction of harmonized and standardized 24-h-recalls²⁸. This open-ended software was used in numerous previous studies and was validated within the EFCOVAL project²⁹⁻³¹. In brief, GloboDiet is an interview-based dietary assessment instrument that allows obtaining a very detailed description and quantification of foods, recipes, and supplements consumed in the course of the preceding day and thus standardising data within and between countries. Probing questions and entering consumed foods in chronological order support the respondent's memory. The standardized structure prescribes – on the food group level – possibilities of description and quantification of food

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3 items to choose from. Quantification of consumed foods is supported by the GloboDiet picture
4 book that comprises coloured photographs of foods in different portion sizes, photographs of
5 familiar household measures and schematic displays of forms (e.g. bread, cake). The software
6 provides an automatic coding of food items and recipe ingredients as well as a rough
7 calculation of nutrient intake meant for quality control of the interview. GloboDiet is
8 characterized by the obtained standardization of dietary data within Europe, a large number of
9 available foods and recipes, and a very detailed description of consumed foods. Currently,
10 GloboDiet is one of the few dietary instruments providing comparable nutritional data within
11 Europe. After finalization of the interviews, GloboDiet will be linked to the local nutrition
12 database – the Bundeslebensmittelschlüssel (BLS) enhanced by the Austrian Nutrition Table
13 (Österreichische Nährwerttabelle, ÖNWT), containing typical Austrian foods and recipes –
14 allowing analyses on food ingredients level and to conduct precise energy and risk
15 assessment.

24 *Assessment of physical activity*

25 Physical activity will be assessed at V1, VPP1, and VPP2 via the International IPAQ (Physical
26 Activity Questionnaire, long-form). The IPAQ represents a well-accepted, validated instrument
27 for monitoring population levels of physical activity in different settings and countries³². It will
28 be analyzed via published guidelines for data processing and analysis at the IPAQ homepage
29 Guidelines for data processing and analysis of the international physical activity questionnaire
30 (IPAQ)³³: In short, collected data will be summarized as median MET (metabolic equivalent of
31 task) minutes per week, representing a continuous score for walking, moderate intensity
32 activities, vigorous intensity activities and total activities, as recommended. In addition, the
33 Pregnancy Physical Activity Questionnaire (PPAQ) will be performed to capture information on
34 physical activity participation and sedentary behaviour during pregnancy³⁴.

42 *Assessment of maternal intramyocellular and intrahepatocellular lipids*

43 Intramyocellular (IMCL), and intrahepatocellular lipid contents (HCL) will be measured by using
44 proton magnetic resonance spectroscopy (¹H MRS) in a subgroup of 40 patients (20 rt-CGM,
45 20 SMBG) at V3 and after delivery (VPP2) according to previously described methods^{35–37}.
46 The participants will be studied in supine position within a 3.0 Tesla whole-body magnet
47 (Siemens or Philips). MRS is a non-invasive technique to evaluate tissue-specific metabolism
48 and was shown to be safe and well tolerated by pregnant women in previous studies^{38,39}.
49 Patients will be positioned with a left pelvic tilt to avoid pressure on the inferior vena cava
50 according to other studies in pregnancy³⁸. For IMCL measurements, the calf muscle (right leg)
51 will be positioned in a quadrature bird cage ¹H volume coil. A circular ¹H surface coil will be
52 positioned over the liver for HCL measurement.

Fetal biometry

Parameters of fetal anthropometry as determined by ultrasound as well as neonatal data including length, weight, gestational age at delivery will be included in the final analysis. A detailed fetal ultrasound examination will be performed at V2 and repeated at V4 (between 36+0 to 38+6 weeks of gestation) to assess fetal growth parameters including head circumference, biparietal diameter and abdominal circumference and abdominal fat thickness, femur length (measured and expressed as standardized gestational age related fetal growth percentiles⁴⁰), amnion fluid index as well as size and location of the placenta and fetal subcutaneous tissue thickness. Moreover, fetal growth symmetry will be assessed by fetal head to abdomen circumference ratio and fetal doppler measurements (mainly umbilical artery and middle cerebral artery⁴¹ and ductus venosus). Furthermore, fetal hepatic size (all hepatic diameters, such as area and volume) and umbilical venous volume flow and an echocardiographic examination of the fetus will be performed in a subgroup (only study site Vienna).

Obstetric outcome

Obstetric outcome (caesarean section, birth injury, preterm birth before 37 completed weeks of gestation) stillbirth, small for gestational age (birth weight <10th pctl), large for gestational age infant (birth weight >90th pctl), shoulder dystocia, admitted to neonatal intensive care unit umbilical cord blood pH, Apgar score) will be recorded immediately after delivery. Length of hospital stay for mothers and offspring as well the duration of high-level neonatal care, respiratory distress, fetal hyperbilirubinemia and neonatal death ≤ 28 days will be further assessed. Calculations of age and sex adjusted percentiles will be performed by using international anthropometric standards according to those used in the CONCEPTT study⁴². Neonatal hypoglycaemia is defined as local blood glucose ≤ 31 mg/dl [1.7 mmol/l] in the first 24h after delivery and ≤ 45 mg/dl [2.5 mmol/l] after the first 24h after delivery or treatment with glucose infusion according to the HAPO study³. Additional anthropometric measures of the offspring include head, shoulder and abdominal circumference, length, upper and lower arm and leg circumference and skinfold measurements (suprailiac and subscapular, triceps, quadriceps) in accordance with previous studies⁴³⁻⁴⁵. Thereby skinfold measurements will be performed by using a validated instrument (Harpender Skinfold Caliper) within 48h after delivery (VPP1).

Assessment of cord blood

17 ml umbilical cord blood (1x8 ml serum and 1x9 ml EDTA) will be taken immediately after delivery to examine cord-blood glucose, insulin and C-peptide.

Postpartum OGTT

The OGTT will be performed at VPP2 (i.e. 8 – 16 weeks after delivery): after collecting blood samples for measurements of glucose (2 ml blood), insulin and C-peptide (3 ml blood) in the

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3 fasting state (at least 8 hours), participating females will receive a standardized 300 ml 75g
4 glucose. Further blood samples of glucose, insulin and C-peptide measurements will be taken
5 at 30, 60, 90, and 120 minutes after intake of glucose. Insulin sensitivity during the OGTT will
6 be assessed by the oral glucose insulin sensitivity index (OGIS) according to Mari et al.⁴⁶; this
7 quantifies dynamic glucose clearance per unit change of insulin. The more recently developed
8 PREDIM index will be used in addition⁴⁷. The new index provides excellent prediction of clamp-
9 derived insulin sensitivity from OGTT or meal data. As an approximation for hepatic insulin
10 resistance the homeostasis model assessment of insulin resistance (HOMA-IR) will be used.
11 Insulin secretion will be calculated by using the C-peptide deconvolution method⁴⁸. β -cell
12 function parameters, such as pancreatic glucose sensitivity and rate sensitivity, and
13 potentiation of insulin secretion, will be computed by mathematical modelling⁴⁸.

21 *Assessment of health-related quality of life and patients' preferences*

22 Health-related quality of life will be elicited using the SF36 and the EQ-5D-5L⁴⁹. It can be
23 expected that adherence to lifestyle and dietary recommendations are associated with
24 individual risk preferences. Hence, risk and time preferences will be elicited based on a lottery
25 approach^{50,51}. Participants will be asked to choose between two hypothetical lotteries that differ
26 in expected outcomes which enables us to derive an individual classification of the risk type,
27 i.e. risk-averse, risk-neutral or risk-loving individuals. Quality of life as well as risk and time
28 preferences will be assessed at V1, VPP1, and VPP2. Obstetrical patient's satisfaction will be
29 additionally assessed at VPP1 by using the Wijma score⁵².

37 *Patient and public involvement*

38 Patients and public were not involved in the study design and will not be involved in the study
39 conduct, recruitment and dissemination.

44 *Health economic evaluation*

45 For the evaluation of a complex intervention, a health economic evaluation is recommended
46 as well^{53,54}. In this study, a cost-effectiveness (CEA) and a cost-utility analysis (CUA) will be
47 conducted from the perspective of the health insurance. The effect measure employed in the
48 CEA will be the primary outcome of the main trial, i.e. avoided cases of LGA newborns. Due
49 to the short intervention period quality-adjusted life-weeks (QALWs) will be used in the CUA .
50 QALWs will be calculated based on either the EQ-5D-5L or the SF-6D⁵⁵ that derives
51 preference-based scores from the SF-36. To receive utilities, quality of life will be evaluated by
52 country-specific population based preferences separately for each country involved in the trial
53 . Similarly, intervention costs as well as health care costs (direct costs) will be calculated
54 separately for each country using local prices and adjusted for local purchasing power parity
55 (PPP). Health care use will be assessed by a validated instrument that is adapted to the
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3 requirements of the study⁵⁶. Health care use will comprise resource use dedicated to the
4 mother but not the child, e.g. clinical visits, outpatient contacts, contacts with therapists, and
5 medication. Intervention associated costs are costs of devices, software, test strips, and costs
6 due to education and training of study participants. Since the evaluation covers only the
7 observation period alongside the trial, costs and effects will not be discounted. Comparing the
8 outcomes and costs of the intervention group with the outcomes and costs of the control group
9 yields the incremental cost-effectiveness ratio (ICER: additional cost per additional LGA
10 newborn avoided) and the cost-utility ratio (ICUR: additional costs per additional QALW
11 gained).

12 13 14 15 16 17 18 19 *Reporting of adverse events*

20 Any (serious) adverse events (AE/SAE) are recorded by the investigator using the specific
21 AE/SAE sheet of the clinical report form (CRF). All SAE are reported to the responsible ethics
22 committee within an appropriate time frame.
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29 **Sample Size and Statistical Analysis**

30 *Sample size*

31 With a sample size of n=338 (169 pregnant women per group) we will be able to detect a
32 difference between two independent proportions of LGA of 13.7% vs 25.8% (according to the
33 results of a previous study¹⁰) with a power of 80% and a two-sided type 1 error of $\alpha=0.05$
34 (calculated for Pearson's chi-square test). Considering a drop-out rate of 10% a total sample
35 size of n=372 (186 women per group) is necessary for this study. This is in line with the sample
36 size suggested by Kestilä et al.¹². A blinded sample size review (the proportion of LGA cases
37 in the sample is reviewed) and adaptation is planned after 50% of the patients have been
38 investigated. The sample-size calculation was performed by using the software G*Power
39 (V3.1.9.2)⁵⁷.
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47 *Analysis plan*

48 Analyses should be conducted on the intention-to-treat principle. Categorical variables will be
49 summarized by counts and proportions; continuous variables data will be summarized by
50 means and standard deviations (SD) or by median and interquartile range in the case of strong
51 deviations from the normal distribution. Pearson's chi-square test will be used to compare
52 differences in the primary outcome (difference in proportion of LGA newborns) and for binary
53 secondary outcomes (such as caesarean section rate, shoulder dystocia and neonatal
54 hypoglycaemia). Bernard's test will be used as an alternative if an expected frequency in
55 contingency tables is equal or less than 5 and the Cochran-Mantel-Haenszel method will be
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3 used as sensitivity analysis to adjust for possible center specific effects. Continuous secondary
4 outcome parameters (such as mean glucose, duration and amount of hyperglycaemia,
5 glycaemic variability and other rt-CGM measures, postpartum OGTT data, HbA1c,
6 glycosylated fibronectin or anthropometric data of the newborn) will be compared by student's
7 t-test. Rank based inference (such as the Brunner-Munzel test⁵⁸) will be used as an alternative
8 in case of skewed distributed parameters. The association between HbA1c, rt-CGM measures
9 and delivery and risk of LGA offspring will be assessed by binary logistic regression. There are
10 many possible objectives for which further exploratory analysis could be performed in this study
11 (e.g. functional principal components analysis for rt-CGM data). Hence, the present analysis
12 plan represents only a selection of methods, which will be used for analysing the main
13 objectives. Risk preferences will be analysed by non-parametric and parametric methods. In
14 particular, we plan to classify study participants with respect to their risk tolerance (risk-
15 aversion, risk-neutral, and risk-loving) and deriving CRRA (constant relative risk aversion)
16 utility functions. Associations between risk preferences and behaviour (dietary patterns and
17 physical activity) will be investigated. For the health economic evaluation, incremental cost-
18 effectiveness ratios (ICER: Additional cost per additional LGA newborn avoided) and cost-
19 utility ratios (ICUR: Additional cost per additional quality-adjusted life year gained) will be
20 calculated. 95% confidence intervals will be analysed using bootstrap procedures⁵⁹. To
21 consider uncertainty, cost-acceptability curves will be calculated⁶⁰. A two-sided p-value ≤ 0.05
22 is considered statistically significant. All analyses will be performed by using the statistic
23 software R and contributing packages⁶¹. No further adjustment for multiplicity is planned for
24 this study.
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4. Ethics and dissemination

40 This study received ethical approval from the main ethic committee in Vienna (1863/2018).
41 Ethics approval will be obtained by the local institutional review boards in Basel, Berlin,
42 Dusseldorf, and Orebro. It was registered under www.ClinicalTrials.gov (NCT03981328). Data
43 will be presented at international conferences and published in peer-reviewed journals.
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Contribution to authorship

49 EH, KW, JJ, AI, JS, AT, MM, CSG designed the study. MM, AT, AI, MV, JM, CSG, PR will
50 perform statistical analysis and data interpretation. EH, DE, KW, CK, KW, KS, GYS, IR, KC,
51 JS, CSG will be responsible for patient management. PH, IH, MR, PR, HF, TF, MV, JM, BW,
52 MD, GYS, CK, KS will make important contributions and critically reviewed this study protocol.
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10 *Competing interest statement*

11 The authors declare that there are no further financial or personal relationships with other
12 people or organizations that could inappropriately influence the work reported or the
13 conclusions, implications, or opinions stated.
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For peer review only

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STANDARD PROTOCOL ITEMS: RECOMMENDATIONS FOR INTERVENTIONAL TRIALS

SPIRIT 2013 Checklist: Recommended items to address in a clinical trial protocol and related documents*

Section/item	Item No	Description
Administrative information		
Title	1	Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym → title page
Trial registration	2a	Trial identifier and registry name. If not yet registered, name of intended registry → page 3
	2b	All items from the World Health Organization Trial Registration Data Set
Protocol version	3	Date and version identifier → only in original protocol
Funding	4	Sources and types of financial, material, and other support → page 18
Roles and responsibilities	5a	Names, affiliations, and roles of protocol contributors → page 2
	5b	Name and contact information for the trial sponsor → page 1/18
	5c	Role of study sponsor and funders, if any, in study design; collection, management, analysis, and interpretation of data; writing of the report; and the decision to submit the report for publication, including whether they will have ultimate authority over any of these activities
	5d	Composition, roles, and responsibilities of the coordinating centre, steering committee, endpoint adjudication committee, data management team, and other individuals or groups overseeing the trial, if applicable (see Item 21a for data monitoring committee)
Introduction		
Background and rationale	6a	Description of research question and justification for undertaking the trial, including summary of relevant studies (published and unpublished) examining benefits and harms for each intervention → page 5-7 (Introduction)
	6b	Explanation for choice of comparators
Objectives	7	Specific objectives or hypotheses → page 7 (Hypotheses)

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Trial design 8 Description of trial design including type of trial (eg, parallel group, crossover, factorial, single group), allocation ratio, and framework (eg, superiority, equivalence, noninferiority, exploratory) → [see Title](#)

Methods: Participants, interventions, and outcomes

Study setting 9 Description of study settings (eg, community clinic, academic hospital) and list of countries where data will be collected. Reference to where list of study sites can be obtained → [page 8](#)

Eligibility criteria 10 Inclusion and exclusion criteria for participants. If applicable, eligibility criteria for study centres and individuals who will perform the interventions (eg, surgeons, psychotherapists) → [page 8 \(Eligibility criteria\)](#)

Interventions 11a Interventions for each group with sufficient detail to allow replication, including how and when they will be administered → [page 8/9 \(Study procedure\)](#)

11b Criteria for discontinuing or modifying allocated interventions for a given trial participant (eg, drug dose change in response to harms, participant request, or improving/worsening disease) → [not applicable](#)

11c Strategies to improve adherence to intervention protocols, and any procedures for monitoring adherence (eg, drug tablet return, laboratory tests) → [not applicable](#)

11d Relevant concomitant care and interventions that are permitted or prohibited during the trial → [not applicable](#)

Outcomes 12 Primary, secondary, and other outcomes, including the specific measurement variable (eg, systolic blood pressure), analysis metric (eg, change from baseline, final value, time to event), method of aggregation (eg, median, proportion), and time point for each outcome. Explanation of the clinical relevance of chosen efficacy and harm outcomes is strongly recommended → [page 6 \(Study outcomes\)](#)

Participant timeline 13 Time schedule of enrolment, interventions (including any run-ins and washouts), assessments, and visits for participants. A schematic diagram is highly recommended (see Figure) → [page 8/9](#)

Sample size 14 Estimated number of participants needed to achieve study objectives and how it was determined, including clinical and statistical assumptions supporting any sample size calculations → [page 17/18 \(Sample size justification\)](#)

Recruitment 15 Strategies for achieving adequate participant enrolment to reach target sample size

Methods: Assignment of interventions (for controlled trials) → [page 9](#)

Allocation:

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4 Sequence 16a Method of generating the allocation sequence (eg, computer-
5 generation generated random numbers), and list of any factors for stratification.
6 To reduce predictability of a random sequence, details of any planned
7 restriction (eg, blocking) should be provided in a separate document
8 that is unavailable to those who enrol participants or assign
9 interventions
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12 Allocation 16b Mechanism of implementing the allocation sequence (eg, central
13 concealment telephone; sequentially numbered, opaque, sealed envelopes),
14 mechanism describing any steps to conceal the sequence until interventions are
15 assigned
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17 Implementation 16c Who will generate the allocation sequence, who will enrol participants,
18 and who will assign participants to interventions
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21 Blinding 17a Who will be blinded after assignment to interventions (eg, trial
22 (masking) participants, care providers, outcome assessors, data analysts), and
23 how → not applicable
24
25 17b If blinded, circumstances under which unblinding is permissible, and
26 procedure for revealing a participant's allocated intervention during
27 the trial → not applicable
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Methods: Data collection, management, and analysis

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32 Data collection 18a Plans for assessment and collection of outcome, baseline, and other
33 methods trial data, including any related processes to promote data quality (eg,
34 duplicate measurements, training of assessors) and a description of
35 study instruments (eg, questionnaires, laboratory tests) along with
36 their reliability and validity, if known. Reference to where data
37 collection forms can be found, if not in the protocol
38
39 18b Plans to promote participant retention and complete follow-up,
40 including list of any outcome data to be collected for participants who
41 discontinue or deviate from intervention protocols
42
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44 Data 19 Plans for data entry, coding, security, and storage, including any
45 management related processes to promote data quality (eg, double data entry;
46 range checks for data values). Reference to where details of data
47 management procedures can be found, if not in the protocol
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50 Statistical 20a Statistical methods for analysing primary and secondary outcomes.
51 methods Reference to where other details of the statistical analysis plan can be
52 found, if not in the protocol → page 13-15 (Study analysis plan)
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54 20b Methods for any additional analyses (eg, subgroup and adjusted
55 analyses) → page 15-19 (Other study measurements)
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- 20c Definition of analysis population relating to protocol non-adherence (eg, as randomised analysis), and any statistical methods to handle missing data (eg, multiple imputation)

Methods: Monitoring

- Data monitoring 21a Composition of data monitoring committee (DMC); summary of its role and reporting structure; statement of whether it is independent from the sponsor and competing interests; and reference to where further details about its charter can be found, if not in the protocol. Alternatively, an explanation of why a DMC is not needed
- 21b Description of any interim analyses and stopping guidelines, including who will have access to these interim results and make the final decision to terminate the trial
- Harms 22 Plans for collecting, assessing, reporting, and managing solicited and spontaneously reported adverse events and other unintended effects of trial interventions or trial conduct → see Reporting of adverse events, page 17
- Auditing 23 Frequency and procedures for auditing trial conduct, if any, and whether the process will be independent from investigators and the sponsor

Ethics and dissemination

- Research ethics approval 24 Plans for seeking research ethics committee/institutional review board (REC/IRB) approval → page 8 (Study settings/design)
- Protocol amendments 25 Plans for communicating important protocol modifications (eg, changes to eligibility criteria, outcomes, analyses) to relevant parties (eg, investigators, REC/IRBs, trial participants, trial registries, journals, regulators)
- Consent or assent 26a Who will obtain informed consent or assent from potential trial participants or authorised surrogates, and how (see Item 32)
- 26b Additional consent provisions for collection and use of participant data and biological specimens in ancillary studies, if applicable
- Confidentiality 27 How personal information about potential and enrolled participants will be collected, shared, and maintained in order to protect confidentiality before, during, and after the trial → page 9 (Data recording)
- Declaration of interests 28 Financial and other competing interests for principal investigators for the overall trial and each study site → (page 3 (Conflict of interest))
- Access to data 29 Statement of who will have access to the final trial dataset, and disclosure of contractual agreements that limit such access for investigators

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2	Ancillary and	30	Provisions, if any, for ancillary and post-trial care, and for
3	post-trial care		compensation to those who suffer harm from trial participation
4			
5	Dissemination	31a	Plans for investigators and sponsor to communicate trial results to
6	policy		participants, healthcare professionals, the public, and other relevant
7			groups (eg, via publication, reporting in results databases, or other
8			data sharing arrangements), including any publication restrictions →
9			page 18
10			
11		31b	Authorship eligibility guidelines and any intended use of professional
12			writers
13			
14		31c	Plans, if any, for granting public access to the full protocol, participant-
15			level dataset, and statistical code
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19	Appendices		
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21	Informed consent	32	Model consent form and other related documentation given to
22	materials		participants and authorised surrogates
23			
24	Biological	33	Plans for collection, laboratory evaluation, and storage of biological
25	specimens		specimens for genetic or molecular analysis in the current trial and for
26			future use in ancillary studies, if applicable
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*It is strongly recommended that this checklist be read in conjunction with the SPIRIT 2013 Explanation & Elaboration for important clarification on the items. Amendments to the protocol should be tracked and dated. The SPIRIT checklist is copyrighted by the SPIRIT Group under the Creative Commons "[Attribution-NonCommercial-NoDerivs 3.0 Unported](https://creativecommons.org/licenses/by-nc-nd/3.0/)" license.

BMJ Open

The Effectiveness of Real-Time Continuous Glucose Monitoring to Improve Glycaemic Control and Pregnancy Outcome in Patients with Gestational Diabetes Mellitus: A Study Protocol for a Randomised Controlled Trial.

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Primary Subject Heading:	Diabetes and endocrinology
Secondary Subject Heading:	Obstetrics and gynaecology
Keywords:	Diabetes in pregnancy < DIABETES & ENDOCRINOLOGY, Fetal medicine < OBSTETRICS, Maternal medicine < OBSTETRICS, Clinical trials < THERAPEUTICS

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The Effectiveness of Real-Time Continuous Glucose Monitoring to Improve Glycaemic Control and Pregnancy Outcome in Patients with Gestational Diabetes Mellitus: A Study Protocol for a Randomised Controlled Trial

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Conflict of interest

1
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3 The authors declare that there are no further financial or personal relationships with other
4 people or organizations that could inappropriately influence the work reported or the
5 conclusions, implications, or opinions stated.
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9
10 **Key Words**

11 2 Gestational diabetes mellitus, diagnosis, real-time continuous glucose monitoring, glycaemic
12 3 control.
13 4

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16 **Short title**

17 6 Continuous glucose monitoring to improve glycaemic control and pregnancy outcome in
18 7 gestational diabetes.
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1 ABSTRACT

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Introduction: Real-time continuous glucose monitoring (rt-CGM) informs users about current interstitial glucose levels and allows early detection of glycaemic excursions and timely adaptation by behavioural change or pharmacologic intervention. Randomized controlled studies adequately powered to evaluate the impact of long-term application of rt-CGM systems on the reduction of adverse obstetric outcomes in women with gestational diabetes (GDM) are missing. We aim to assess differences in the proportion of large for gestational age (LGA) newborns in women using rt-CGM as compared to women with self-monitored blood glucose (primary outcome). Rates of neonatal hypoglycaemia, caesarean section and shoulder dystocia are secondary outcomes. A comparison of glucose metabolism and quality of life during and after pregnancy completes the scope of this study.

Methods and analysis: Open-label multicentre randomized controlled trial with two parallel groups including 372 female patients with a recent diagnosis of GDM (between 24+0 until 31+6 weeks of gestation): 186 with rt-CGM (Dexcom G6) and 186 with self-monitored blood glucose (SMBG). Women with GDM will be consecutively recruited and randomized to rt-CGM or control (SMBG) group after a run-in period of 6 to 8 days. The third visit will be scheduled 8 to 10 days later and then every two weeks. At every visit, glucose measurements will be evaluated and all patients will be treated according to the standard care. The control group will receive a blinded CGM for 10 days between the second and third visit and between week 36+0 and 38+6. Cord blood will be sampled immediately after delivery. 48 hours after delivery neonatal biometry and maternal HbA1c will be assessed, and between week 8 to 16 after delivery all patients receive a re-examination of glucose metabolism including blinded CGM for 8 to 10 days.

Ethics and dissemination: This study received ethical approval from the main ethic committee in Vienna. It was registered under www.ClinicalTrials.gov (NCT03981328). Data will be presented at international conferences and published in peer-reviewed journals.

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3 **Strengths and limitations of the study:**
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- 5 2 - This is a randomised controlled trial recruiting 372 pregnant women after the GDM
6 3 diagnosis at 5 sites in Austria, Germany, Sweden and Switzerland.
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8 4 - The study uses the newest version of a real-time CGM (rt-CGM) system which enables
9 5 the user rapidly to identify glycaemic excursions and allows timely adaptation by
10 6 behavioural change or pharmacologic intervention.
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12 7 - The study will increase knowledge about possible limitations of SMBG (routine care),
13 8 such as undetected hyper- or hypoglycaemia.
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15 9 - The study might show possible improvement of adverse perinatal outcome and
16 10 particularly fetal macrosomia in offspring of mothers with GDM monitored by rt-CGM
17 11 versus SMGB.
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2 INTRODUCTION

The incidence of obesity and diabetes is rising worldwide even in younger populations. With a rise in maternal obesity also gestational diabetes mellitus (GDM) becomes more prevalent with a prevalence of up to 18% of pregnancies[1,2]. Previous studies found hyperglycaemia in pregnancy to be associated with gestational complications including macrosomia and neonatal hyperinsulinaemic hypoglycaemia[3] and an increased long-term risk for obesity or diabetes in the offspring's later life[4]. Large interventional trials provided evidence that obstetric and neonatal complications such as large for gestational age offspring (LGA, defined as birthweight >90th pctl) or shoulder dystocia can be significantly reduced by intensified treatment of even mild forms of maternal hyperglycaemia (e.g. by lifestyle modification or pharmacotherapy)[5–7].

Continuous glucose monitoring (CGM) has been shown to improve glycaemic control without increasing the risk of hypoglycaemia in patients with type 1 and 2 diabetes[8,9]. In 2003, a study compared the glycaemic profile reflected by CGM and SMBG in 34 gravid patients with type 1 diabetes over a period of 3 days and found that on average more than 3 hours of hyperglycaemic episodes per day were undetected by SMBG and nocturnal hypoglycaemic episodes could be revealed by CGM 1-4 hours before showing clinical manifestations or being detected by SMBG.[10] However, only a small number of studies evaluated the use of CGM in pregnancies affected by GDM: In the setting of a larger non-randomized observational study Yu et al.[11] found that mothers in the CGM group (use over 72 hours every 2 to 4 weeks) had improved glycaemic control as well as a lower amount of glycaemic variability as compared to a control group using SMBG. In addition, the CGM-group showed lower birth weight percentiles associated with a lower risk for LGA offspring (13.7 vs. 25.8%) or neonatal hypoglycaemia (5.5 vs. 14%). Also a second observational study including 57 pregnant women with GDM indicated that CGM was more effectively detecting hyperglycaemic episodes as well as nocturnal hypoglycaemia than SMBG[12]. A study in 73 pregnant women with GDM, randomly assigned to either SMBG or CGM for a duration of 48h after diagnosis, found that CGM detected a markedly higher proportion of women requiring glucose lowering pharmacotherapy (31 vs 8%)[13]. Another randomized controlled trial on 106 women with GDM observed significantly lower weight gain associated with CGM. LGA cases were more often observed in the SMBG group (52.7 vs. 35.3%). However, the difference failed statistical significance as the study was not powered for obstetrical outcomes[14].

Unfortunately, both randomized controlled studies used older versions of a blinded CGM device, where glucose values were not directly visible for patients. In contrast, more recently developed “real-time” CGM (rt-CGM) systems provide users with information about current glucose levels and alert the patient before the upper or lower glucose threshold is

1 reached or when glucose levels change rapidly. Hence, glycaemic excursions can be rapidly
2 identified and accordingly adapted by behavioural change or pharmacologic intervention. A
3 number of studies including non-pregnant patients showed superiority of rt-CGM over older
4 blinded CGM versions in order to effectively empower and educate patients with diabetes to
5 better understand how dietary habits, exercise or pharmacotherapy affects their glucose
6 levels[15]. A beneficial effect of rt-CGM in pregnancy was also supported by the CONCEPTT
7 trial for pregnant women with type 1 diabetes[16]. Only one recent study compared SMBG with
8 rt-CGM in women with GDM using a single application for 3 to 7 days within two weeks after
9 diagnosis but it failed to demonstrate improvements in HbA1c or pregnancy outcomes, which
10 was, however, likely due to the sample size and the short duration of intervention (single
11 application)[17].

12 Taken together, larger randomized controlled studies adequately powered to evaluate
13 the impact of long-term application of rt-CGM systems on the risk reduction of adverse obstetric
14 outcomes are missing[18]. Of note, such studies are of high clinical relevance because of their
15 guideline-changing potential. In addition, rt-CGM has the potential to reduce reported barriers
16 to SMBG (such as inconvenience, pain or stigma of testing in public places) in order to improve
17 poor reliability and adherence to glucose monitoring, which is a non-negligible problem in the
18 treatment of GDM[19].

19 Hypotheses

20 The main hypothesis of the proposed study is that rt-CGM can effectively reduce the risk for
21 LGA newborns (primary outcome) and other neonatal and obstetric complications. It is further
22 hypothesized that rt-CGM can improve maternal glycaemic control, body weight gain during
23 pregnancy and (as rt-CGM potentially improves self-management strategies) has beneficial
24 effects on maternal metabolism after pregnancy.

25 Primary and secondary outcomes

26 *Primary objective:* To assess differences in the proportion of LGA newborns (birth weight >90th
27 pctl) in women with GDM using rt-CGM as compared to women with GDM using SMBG.

28 *Secondary objectives:* To assess differences in further obstetric or neonatal complications,
29 neonatal hypoglycaemia, rate of caesarean section, shoulder dystocia and neonatal
30 anthropometry will be assessed as secondary objectives. Further secondary outcomes are:
31 differences in neonatal hyperinsulinemia, rt-CGM measures such as mean interstitial glucose,
32 glycaemic variability, time in target (65 to 140 mg/dl [3.6 to 7.8 mmol/l]) as well as time spent
33 in hyper- and hypoglycaemia (Time above and below range) (day-time: 07.01 to 22.59hr and
34 night-time: 23.00 to 07.00hr), duration and frequency postprandial hyperglycaemic excursions,
35 start and amount of glucose lowering therapy, HbA1c, glycosylated fibronectin, change in
36 bodyweight during pregnancy and after delivery as well as glucose disposal at postpartum
37 (markers of insulin sensitivity, insulin secretion and β -cell function assessed by a postpartum

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3 1 OGTT). Health-related quality of life (HRQoL) is a patient-reported outcome which has become
4 2 as important in the evaluation of interventions as patient-relevant clinical outcomes. Therefore,
5 3 HRQoL will be elicited. In addition, preferences will be assessed, and a health economic
6 4 evaluation in terms of cost-effectiveness and cost-utility analysis will be performed.
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10 **Expected effects on the advancement of clinical practice**

11 6 The aim of this proposal is to assess the ability of rt-CGM to improve glycaemic control
12 7 (reduction of mean glucose, hyperglycaemic episodes and duration, improvement of glycaemic
13 8 variability) in order to prevent adverse pregnancy outcomes and neonatal complications in
14 9 women with GDM. The results of this study will contribute to:

- 15 10 • The improvement of clinical monitoring and management of glucose metabolism during
16 11 pregnancy with GDM
 - 17 12 • Increased knowledge about possible limitations of SMBG (routine care), such as undetected
18 13 hyper- or hypoglycaemia, as well as to determine if comprehensive glucose data (as derived
19 14 from rt-CGM) results in more or fewer women needing pharmacotherapy
 - 20 15 • Possible improvement of adverse perinatal outcome and particularly fetal macrosomia in
21 16 offspring of mothers with GDM
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3 METHODS AND ANALYSIS

3 Participants and recruitment; Inclusion criteria

This study is designed as an open-label multicentre randomized controlled trial with two parallel groups including a total of 372 female patients (n=186 with rt-CGM, n=186 with SMBG) with a recent diagnosis of GDM. Diagnosis of GDM (i.e. diabetes first diagnosed in the second and third trimester and not clearly type 1 or type 2 diabetes[20]) is made in accordance with the IADPSG criteria after 24+0 weeks of gestation by a 2h 75g OGTT[21]. The study will be conducted at five academic hospitals in Austria, Switzerland, Sweden and Germany. All pregnant females (aged between 18 and 55 years) will be consecutively recruited after diagnosis of GDM between 24+0 and 31+6 weeks of gestation among women visiting the pregnancy outpatient departments (Division of Obstetrics and feto-maternal Medicine, Medical University of Vienna, Austria; Division of Obstetrics, University Hospital Basel, Switzerland; Department of Obstetrics, Charité-Universitätsmedizin Berlin, Germany) or the diabetes outpatient departments (Division of Endocrinology and Metabolic Diseases at the Heinrich Heine University, Düsseldorf, Germany; Department of Medicine, University Hospital, Örebro, Sweden).

3 Exclusion criteria

Overt diabetes (i.e. pregestationally known type 1 or type 2 diabetes or fasting plasma glucose during the OGTT ≥ 126 mg/dl [7.0 mmol/l] or HbA1c $\geq 6.5\%$ [44 mmol/l] or 2h post-load OGTT levels ≥ 200 mg/dl [11.1 mmol/l] assessed before 24+0 weeks of gestation, whereby results need to be confirmed by repeated testing in the absence of unequivocal hyperglycaemia according to the ADA standards[20]), history of bariatric surgery or other surgeries that induce malabsorption, long-term use (>2 weeks) of systemic steroids prior to enrolment, multiple pregnancy, patients already using glucose lowering medications (metformin or insulin) before study entry, fetal growth restriction due to placental dysfunction at study entry, inpatient psychiatric treatment up to 1 year before enrolment, participation in this study in previous pregnancy.

3 Study visits during pregnancy

A flow-diagram of the study visits is provided in Figure 1. A broad risk evaluation will be performed in participating females at the initial contact (V1) including: evaluation of maternal age, parity, history of GDM in previous pregnancies, detailed family history, ethnicity, preconceptional diseases, obstetric history. Height (stadiometer measured to the nearest centimetre) and actual weight (calibrated scales, light indoor clothing) will be additionally assessed. Moreover, an evaluation of preconceptional weight (self-reported) and body mass index (BMI) as well as measurement of blood pressure will be performed. All patients receive

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3 1 medical advice for nutrition (isocaloric diet containing 40-50% carbohydrates, 20% proteins
4 and 30-35% fat, divided into three meals and three snacks) and regular physical exercising for
5 2
6 3 30 minutes per day following international recommendations. In addition, participants are
7 4
8 5 advised on capillary blood glucose measurement (fasting as well as 1h after starting each
9 6
10 7 meal) at the initial visit (V1). Randomization will be done after a run-in period of 6 to 8 days
11 8
12 9 when patients get used to SMBG (V2). The third visit (V3) will be scheduled 8 to 10 days after
13 10
14 11 V2 and further follow-up visits every two weeks (i.e. 12 to 16 days after each visit). HbA1c and
15 12
16 13 glycosylated fibronectin will be assessed at V2 as well as at the first visit between 36+0 and
17 14
18 15 38+6 weeks of gestation (12 ml, non-fasting state) (V4). Detailed fetal ultrasound
19 16
20 17 examinations, a detailed examination of dietary intake as well as a blinded CGM (control group
21 18
22 19 only) will be performed at V2 and V4. Body weight change and use of glucose lowering
23 20
24 21 medications (amount of insulin units) will be examined at every visit. At every follow-up visit
25 22
26 23 glucose measurements (SMBG or rt-CGM) and routine ultrasound examinations (fetal
27 24
28 25 biometry and umbilical artery doppler) will be evaluated by the medical staff and all patients
29 26
30 27 will be treated according to the standard of care for patients with GDM. This includes lifestyle
31 28
32 29 modification and insulin therapy if recommended thresholds are exceeded. Both groups will be
33 30
34 31 treated to be in the target range between 65 to 140 mg/dl [3.6 to 7.8 mmol/l] with at least 8h
35 32
36 33 fasting glucose levels equal or below 95 mg/dl [5.3 mmol/l] and 1h postprandial glucose
37 34
38 35 measurements equal or below 140 mg/dl [7.8 mmol/l] in accordance with the CONCEPTT
39 36
40 37 study[16] and the ADA recommendations[22], respectively. Intermediate acting neutral
41 38
42 39 protamine Hagedorn (NPH) insulin is started in the evening if ≥ 2 measurements of fasting
43 40
44 41 glucose are equal or above 95 mg/dl [5.3 mmol/l] in a period of one week and rapid acting
45 42
46 43 insulin analogues (Aspart or Lispro) if ≥ 2 measurements of 1h postprandial glucose (either
47 44
48 45 after breakfast, lunch or dinner) are equal or above 140 mg/dl [7.8 mmol/l] in a period of one
49 46
50 47 week. NPH is started with 6 to 10 IU and increased by 4 IU (or in case of higher doses i.e. >25
51 48
52 49 IU by 20%) and rapid acting insulin (bolus insulin) is started with 2 to 4 IU and increased by 2
53 50
54 51 to 4 IU if thresholds are not achieved within three days. Long acting insulin analogues such as
55 52
56 53 glargine (U100/U300) or detemir can be used as an alternative to NPH. Patients are trained
57 54
58 55 on insulin management and titration according to their glucose levels. Metformin can be used
59 56
60 57 according to local practice guidelines (recommended in Sweden but not in Austria, Germany
61 58
62 59 or Switzerland as first-line pharmacological intervention).

32 **Study visits postpartum**

33 Cord blood will be sampled and stored (at -80°C) immediately after delivery (VPP0). A
34 34
35 35 postpartum examination will be scheduled within 48 hours after delivery (VPP1) for
36 36
37 37 assessment of neonatal parameters and maternal HbA1c and glycosylated fibronectin (12 ml,
38 38
39 39 non-fasting state), as well as between 8 to 16 weeks after delivery (VPP2) in all patients for a
40 40
41 41 detailed re-examination of glucose homeostasis postpartum (including lifestyle and dietary

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3 1 pattern as well as HbA1c, glycosylated fibronectin as well as a blinded CGM for 10 days and
4 2 an OGTT to assess the presence of prediabetic conditions after pregnancy with GDM). The
5 3 postpartum OGTT is further used to provide estimates of insulin sensitivity, β -cell function and
6 4 hepatic insulin extraction, the major physiological components of impaired glucose tolerance.
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10 5 **Randomization**

11 6 Participants will be randomized to either treatment (rt-CGM augmented glucose monitoring) or
12 7 control group (routine care SMBG) in a 1:1 ratio. The minimization method[23] with a 0.85
13 8 assignment probability will be used to minimize the imbalance between the groups according
14 9 to week of gestation at study entry i.e. at V1 (three strata: 24+0 to 25+6, 26+0 to 27+6, 28+0
15 10 to 29+6, 30+0 to 31+6), previous pregnancy with GDM (two strata: yes or no) and
16 11 preconceptional overweight/obesity status with three strata: i. normal weight (i.e. BMI below
17 12 25.0 kg/m²); ii. overweight (BMI 25.0 – 29.9 kg/m²); iii. obesity (BMI equal or above 30.0 kg/m²).
18 13 Randomization will be performed at the second study visit (V2) by using a randomization
19 14 software provided by the Medical University of Vienna.
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27 15 **Intervention**

28 16 Patients randomized to the intervention group will be equipped with a rt-CGM sensor (Dexcom
29 17 G6 sensor, a small flexible device that records interstitial glucose levels every five minutes) at
30 18 V2. The sensor will be inserted into the subcutaneous tissue of the anterior abdominal wall (if
31 19 this location is not tolerated by the pregnant patients, the upper buttock or posterior upper arm
32 20 may be used instead). Additionally, patients will be advised to record capillary blood glucose
33 21 values if glucose alerts or readings do not match with symptoms or expectations. Participants
34 22 will be educated on how to exchange the sensor (has to be exchanged every ten days) and
35 23 will be equipped with a real-time CGM monitor and instructed in its use. The monitor provides
36 24 the user with information about current glucose levels and notifies the patient before her upper
37 25 or lower glucose threshold are reached and when glucose levels change rapidly. All patients
38 26 in the intervention group will be specially trained in the use of the system. As an alternative to
39 27 the real-time monitor the patients' smart phone with an anonymized access to the CLARITY®
40 28 mobile app can be used (details see below: "Intervention: Device description").
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49 29 **Intervention: Device description**

50 30 The Dexcom G6 intended use is for the management of diabetes in persons aged 2 years and
51 31 older. The Dexcom G6 System is intended to replace fingerstick blood glucose testing for
52 32 diabetes treatment decisions. Interpretation of the Dexcom G6 System results should be based
53 33 on the glucose trends and several sequential readings over time. The Dexcom G6 System also
54 34 aids in the detection of episodes of hyperglycaemia and hypoglycaemia, facilitating both acute
55 35 and long-term therapy adjustments. The Dexcom G6 System can be used alone or in
56 36 conjunction with digitally connected medical devices for the purpose of managing diabetes.
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3 1 The system consists of a sensor, transmitter, receiver, and mobile app. The sensor is
4 2 a small, flexible wire inserted into subcutaneous tissue where it converts glucose into electrical
5 3 current. The sensor incorporates an interferent layer that minimizes the effect of potential
6 4 electroactive interferents, such as acetaminophen, by preventing it from reaching the sensor
7 5 wire surface. The benefit of this interferent layer in blocking the effects of acetaminophen
8 6 prevents falsely high glucose readings. Thus, users may ingest acetaminophen while wearing
9 7 the G6 CGM system. The transmitter, which is connected to the sensor and worn on the body,
10 8 samples the electrical current produced by the sensor and converts the measurement into a
11 9 glucose reading using an onboard algorithm. The receiver and/or the app displays the glucose
12 10 reading along with a rate of change arrow and a trend graph. Additionally, the receiver and/or
13 11 app issues alarms and alerts to notify the patient of glucose level changes and other important
14 12 system conditions. Also, alarms will be provided if the receiver detects loss of connection to
15 13 the sensor. The app provides the additional capability to share data with “followers” using the
16 14 Dexcom Share service. The receiver can be put into a blinded mode using CLARITY® software.
17 15 In this mode, users are unable to see the CGM data or receive CGM alerts.

18 16 CGM Ancillary Devices Dexcom CLARITY® is an accessory for users of the Dexcom
19 17 CGM system. It is a software program that allows the transfer of glucose data from the CGM
20 18 system to Dexcom remote servers for data management to allow the use of the CGM data by
21 19 the user and study clinicians. Target ranges of 65 to 140 mg/dl [3.6 to 7.8 mmol/l] will be set
22 20 and the patients will be introduced in the use of alarm settings. Both participants and study
23 21 sites will use CLARITY® to transfer glucose data between user and study site, whether CGM
24 22 is used in blinded or real-time mode. A CLARITY® mobile app can be used for a retrospective
25 23 review of glucose data on the smart device and can also be set up to allow receipt of push
26 24 notifications of CGM data facilitating weekly data review. For all patients (intervention and
27 25 control group) an anonymized CLARITY® account will be created by using a sequential study
28 26 number which is allocated at randomization (sex will be female and birth date for each account
29 27 will be set to 1.1.1990 for all accounts). CLARITY® also provides metrics to check for patient
30 28 compliance.

31 29 **Intervention: Study proceedings**

- 32 30 - For participants who have a supported phone, the G6 CGM app will be installed on
- 33 31 participant’s smart phone.
- 34 32 - An anonymized CLARITY® mobile account will be set up and linked to the research site.
- 35 33 - Participants will use CGM data for their diabetes management.
- 36 34 - A high alert threshold will be set at 140 mg/dl [7.8 mmol/l]. Low alert threshold and urgent low
- 37 35 soon alerts will be turned off. If participants require insulin, the low alert will be turned on and
- 38 36 the threshold set at 65 mg/dl [3.6 mmol/l]. In addition, the urgent low alert (55 mg/dl [3.1
- 39 37 mmol/l]), the urgent low soon alert (when glucose levels are falling fast and will be below 55

1 mg/dl [3.1 mmol/l] in less than 20 min) as well as alerts for rise and fall rate (3 mg/dl [0.17 mmol/l]) in addition to alerts for signal loss and no readings for more than 20 min will be enabled.

- Participants with applicable smart phones may have CLARITY® push notifications on the CLARITY® mobile app about weekly time in range comparison enabled during the study.

- For app users, the “Share and Follow” functionality will be discussed and encouraged (i.e. the study participants are able to invite followers to review their glucose levels).

- For participants using the receiver only, the receiver will be downloaded into the CLARITY® clinic account at each visit.

- For participants using real-time CGM data summary will be downloaded for documentation at V3 and V4 (between 36+0 and 38+6) as well as after delivery (VPP1).

- The research team will review the CGM in CLARITY® to inform lifestyle and therapy recommendations.

- The Dexcom G6 system does not require calibration during the study period.

Control group

The participants of the control group will perform self-monitored blood glucose testing with a study-provided blood glucose meter, including testing supplies. They will perform capillary blood glucose monitoring as routinely used for patients with GDM, i.e., at least four capillary blood glucose values daily including measurements in a fasting state as well as 1h after starting each meal by using a routinely available blood glucose measurement device. The study participants will keep a logbook of their glucose values, which will be reviewed by clinicians from the study team at each visit and used for lifestyle and dietary recommendations as is routinely done in clinical practice. From V2 to V3 as well as once for ten days between gestational week 36+0 and 38+6 the control group receive blinded CGM; neither patients nor the treating medical staff will have access to the data recorded by the CGM sensor at this point in time. Instead, patients will control blood glucose levels based on SMBG, as is the routine procedure in current GDM treatment. Otherwise, the control group will receive the same study assessments as the intervention group. The blinded CGM will be removed and returned to Dexcom after the 10-day wear period after CGM data is uploaded to CLARITY® by an unblinded investigator who must not communicate about the results with patients or medical staff.

Each participant of the control group will be assigned a study blood glucose meter to measure and store their blood glucose values during the study. Therefore, the Contour® Next One system will be used. The meter has CE Mark clearance and is commercially available in Europe. Participants will receive an ample supply of meter test materials based on quantities routinely used. A commercially available desktop software (Diabass® Pro) used in conjunction with Contour® Next One system glucose meter for blood glucose monitoring, will be utilized for

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3 1 downloading the meter data by the sites at V3 and V4 after checking that dates and times are
4 2 correct.

5 3 Blood glucose meters used by the control group will be assessed to establish frequency
6 4 of testing (overall and per week) as well as percentage of days with less than four
7 5 measurements per day.
8 6

7 **Analyses of CGM data**

8 Rt-CGM data allows a detailed examination of the percentage of time in which glucose levels
9 10 are in target range (time in target) (65 to 140 mg/dl [3.6 to 7.8 mmol/l]), hyperglycaemic
11 12 episodes (glucose \geq 140 mg/dl [7.8 mmol/l]) as well as mild (<65 mg/dl [3.6 mmol/l]), moderate
13 14 (\leq 54 mg/dl [3.0 mmol/l]) or severe hypoglycaemic episodes (requiring third party assistance)
15 16 and their duration. To this purpose, several indices of the glucose control quality will be
17 18 calculated, such as GRADE (Glycaemic Risk Assessment Diabetes Equation) some indices of
19 20 hypoglycaemia and hyperglycaemia, such as the HBGI (High Blood Glucose Index) and LBGI
21 22 (Low Blood Glucose Index), and indices assessing the risk associated to both low and high
23 24 glycaemic values, such as IGC (Index of Glycaemic Control) and ADRR (Average Daily Risk
25 26 Range). Glycaemic variability will also be assessed, which can be quantified by standard
27 28 deviation of the CGM data, or by more sophisticated indices, such as MAGE (Mean Amplitude
29 30 Glucose Excursions), CONGA (Continuous Overlapping Net Glycaemic Action), Liability
31 32 Index[24,25], as well as further indices that we developed internally, such as the Shape
33 34 Index[26]. These will be compared between real-time CGM users and controls (i.e. from data
35 36 obtained during the blinded CGM wear).
37 38

39 **Assessment of dietary patterns**

40 Dietary patterns will be assessed in all patients at V1, VPP1, and VPP2 via a published and
41 42 validated Food-Frequency-Questionnaire (FFQ) proposed by the German Robert Koch
43 44 Institute[27]. It was also previously used for the German DEGS project (www.degs-studie.de).
45 46 Information from the FFQ will be analysed quantitatively or summarized by eating scores
47 48 proposed in the literature (such as the Healthy Eating Index 2010 or Alternate Healthy Eating
49 50 Index 2010) reflecting diet quality based on actual guidelines[28,29]. In addition, all patients
51 52 will be advised to conduct a nutritional protocol (seven days) from V2 to V3 as well as once at
53 54 V4 (between 36+0 and 38+6 weeks of gestation). In a subgroup (only study site Vienna) dietary
55 56 intake will also be assessed by performing 24-h-recalls by trained interviewers at V2, V4 and
57 58 postpartum (VPP2): one face-to-face interview (approx. one hour) and the others as telephone
59 60 interviews (approx. 30 minutes) during which data are entered simultaneously in GloboDiet.
61 62 GloboDiet is a computerized program which was developed by the International Agency for
63 64 Cancer Research (IARC) within the framework of the European Prospective Investigation into
65 66 Cancer and Nutrition Study (EPIC-Study) for the conduction of harmonized and standardized
67 68

1 24-h-recalls[30]. This open-ended software was used in numerous previous studies and was
2 validated within the EFCOVAL project[31–33]. In brief, GloboDiet is an interview-based dietary
3 assessment instrument that allows obtaining a very detailed description and quantification of
4 foods, recipes, and supplements consumed in the course of the preceding day and thus
5 standardising data within and between countries. Probing questions and entering consumed
6 foods in chronological order support the respondent's memory. The standardized structure
7 prescribes – on the food group level – possibilities of description and quantification of food
8 items to choose from. Quantification of consumed foods is supported by the GloboDiet picture
9 book that comprises coloured photographs of foods in different portion sizes, photographs of
10 familiar household measures and schematic displays of forms (e.g. bread, cake). The software
11 provides an automatic coding of food items and recipe ingredients as well as a rough
12 calculation of nutrient intake meant for quality control of the interview. GloboDiet is
13 characterized by the obtained standardization of dietary data within Europe, a large number of
14 available foods and recipes, and a very detailed description of consumed foods. Currently,
15 GloboDiet is one of the few dietary instruments providing comparable nutritional data within
16 Europe. After finalization of the interviews, GloboDiet will be linked to the local nutrition
17 database – the Bundeslebensmittelschlüssel (BLS) enhanced by the Austrian Nutrition Table
18 (Österreichische Nährwerttabelle, ÖNWT), containing typical Austrian foods and recipes –
19 allowing analyses on food ingredients level and to conduct precise energy and risk
20 assessment.

21 **Assessment of physical activity**

22 Physical activity will be assessed at V1, VPP1, and VPP2 via the International IPAQ (Physical
23 Activity Questionnaire, long-form). The IPAQ represents a well-accepted, validated instrument
24 for monitoring population levels of physical activity in different settings and countries[34]. It will
25 be analysed via published guidelines for data processing and analysis at the IPAQ homepage
26 Guidelines for data processing and analysis of the international physical activity questionnaire
27 (IPAQ)[35]: In short, collected data will be summarized as median MET (metabolic equivalent
28 of task) minutes per week, representing a continuous score for walking, moderate intensity
29 activities, vigorous intensity activities and total activities, as recommended. In addition, the
30 Pregnancy Physical Activity Questionnaire (PPAQ) will be performed to capture information on
31 physical activity participation and sedentary behaviour during pregnancy[36].

32 **Assessment of maternal intramyocellular and intrahepatocellular lipids**

33 Intramyocellular (IMCL), and intrahepatocellular lipid contents (HCL) will be measured by using
34 proton magnetic resonance spectroscopy (^1H MRS) in a subgroup of 40 patients (20 rt-CGM,
35 20 SMBG) at V3 and after delivery (VPP2) according to previously described methods[37–39].
36 The participants will be studied in supine position within a 3.0 Tesla whole-body magnet
37 (Siemens or Philips). MRS is a non-invasive technique to evaluate tissue-specific metabolism

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3 1 and was shown to be safe and well tolerated by pregnant women in previous studies[40,41].
4 2 Patients will be positioned with a left pelvic tilt to avoid pressure on the inferior vena cava
5 3 according to other studies in pregnancy[41]. For IMCL measurements, the calf muscle (right
6 4 leg) will be positioned in a quadrature bird cage ¹H volume coil. A circular ¹H surface coil will
7 5 be positioned over the liver for HCL measurement.
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11 6 **Fetal biometry**

12 7 Parameters of fetal anthropometry as determined by ultrasound as well as neonatal data
13 8 including length, weight, gestational age at delivery will be included in the final analysis. A
14 9 detailed fetal ultrasound examination will be performed at V2 and repeated at V4 (between
15 10 36+0 to 38+6 weeks of gestation) to assess fetal growth parameters including head
16 11 circumference, biparietal diameter and abdominal circumference and abdominal fat thickness,
17 12 femur length (measured and expressed as standardized gestational age related fetal growth
18 13 percentiles[42]), amnion fluid index as well as size and location of the placenta and fetal
19 14 subcutaneous tissue thickness. Moreover, fetal growth symmetry will be assessed by fetal
20 15 head to abdomen circumference ratio and fetal doppler measurements (mainly umbilical artery
21 16 and middle cerebral artery[43] and ductus venosus). Furthermore, fetal hepatic size (all hepatic
22 17 diameters, such as area and volume) and umbilical venous volume flow and an
23 18 echocardiography of the foetus will be performed in a subgroup (only study site Vienna).
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33 19 **Obstetric outcome**

34 20 Obstetric outcome (caesarean section, birth injury, preterm birth before 37 completed weeks
35 21 of gestation) stillbirth, small for gestational age (birth weight <10th pctl), large for gestational
36 22 age infant (birth weight >90th pctl), shoulder dystocia, admitted to neonatal intensive care unit
37 23 umbilical cord blood pH, Apgar score) will be recorded immediately after delivery. Length of
38 24 hospital stay for mothers and offspring as well the duration of high-level neonatal care,
39 25 respiratory distress, fetal hyperbilirubinemia and neonatal death ≤28 days will be further
40 26 assessed. Calculations of age and sex adjusted percentiles will be performed by using
41 27 international anthropometric standards according to those used in the CONCEPTT study[44].
42 28 Neonatal hypoglycaemia is defined as local blood glucose ≤31 mg/dl [1.7 mmol/l] in the first
43 29 24h after delivery and ≤45 mg/dl [2.5 mmol/l] after the first 24h after delivery or treatment with
44 30 glucose infusion according to the HAPO study[3]. Additional anthropometric measures of the
45 31 offspring include head, shoulder and abdominal circumference, length, upper and lower arm
46 32 and leg circumference and skinfold measurements (suprailiac and subscapular, triceps,
47 33 quadriceps) in accordance with previous studies[45–47]. Thereby skinfold measurements will
48 34 be performed by using a validated instrument (Harpenden Skinfold Caliper) within 48h after
49 35 delivery (VPP1).
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Assessment of cord blood

17 ml umbilical cord blood (1x8 ml serum and 1x9 ml EDTA) will be taken immediately after delivery to examine cord-blood glucose, insulin and C-peptide.

Postpartum OGTT

The OGTT will be performed at VPP2 (i.e. 8 – 16 weeks after delivery): after collecting blood samples for measurements of glucose (2 ml blood), insulin and C-peptide (3 ml blood) in the fasting state (at least 8 hours), participating females will receive a standardized 300 ml 75g glucose. Further blood samples of glucose, insulin and C-peptide measurements will be taken at 30, 60, 90, and 120 minutes after intake of glucose. Insulin sensitivity during the OGTT will be assessed by the oral glucose insulin sensitivity index (OGIS) according to Mari et al.[48]; this quantifies dynamic glucose clearance per unit change of insulin. The more recently developed PREDIM index will be used in addition[49]. The new index provides excellent prediction of clamp-derived insulin sensitivity from OGTT or meal data. As an approximation for hepatic insulin resistance the homeostasis model assessment of insulin resistance (HOMA-IR) will be used. Insulin secretion will be calculated by using the C-peptide deconvolution method[50]. β -cell function parameters, such as pancreatic glucose sensitivity and rate sensitivity, and potentiation of insulin secretion, will be computed by mathematical modelling[50].

Assessment of health-related quality of life and patients' preferences

Health-related quality of life will be elicited using the SF36 and the EQ-5D-5L[51]. It can be expected that adherence to lifestyle and dietary recommendations are associated with individual risk preferences. Hence, risk and time preferences will be elicited based on a lottery approach[52,53]. Participants will be asked to choose between two hypothetical lotteries that differ in expected outcomes which enables us to derive an individual classification of the risk type, i.e. risk-averse, risk-neutral or risk-loving individuals. Quality of life as well as risk and time preferences will be assessed at V1, VPP1, and VPP2. Obstetrical patient's satisfaction will be additionally assessed at VPP1 by using the Wijma score[54].

Patient and public involvement

Patients and public were not involved in the study design and will not be involved in the study conduct, recruitment and dissemination.

Health economic evaluation

For the evaluation of a complex intervention, a health economic evaluation is recommended as well[55,56]. In this study, a cost-effectiveness (CEA) and a cost-utility analysis (CUA) will be conducted from the perspective of the health insurance. The effect measure employed in

1 the CEA will be the primary outcome of the main trial, i.e. avoided cases of LGA newborns.
2 Even if the effect parameter of the intervention group will not be superior to the control group,
3 a health economic evaluation will be performed to inform about efficiency since costs might be
4 lower in the intervention group [57]. Due to the short intervention period quality-adjusted life-
5 weeks (QALWs) will be used in the CUA. QALWs will be calculated based on either the EQ-
6 5D-5L or the SF-6D[58] that derives preference-based scores from the SF-36. To receive
7 utilities, quality of life will be evaluated by country-specific population-based preferences
8 separately for each country involved in the trial. Similarly, intervention costs as well as health
9 care costs (direct costs) will be calculated separately for each country using local prices and
10 adjusted for local purchasing power parity (PPP). Health care use will be assessed by a
11 validated instrument that is adapted to the requirements of the study[59]. Health care use will
12 comprise resource use dedicated to the mother but not the child, e.g. clinical visits, outpatient
13 contacts, contacts with therapists, and medication. Intervention associated costs are costs of
14 devices, software, test strips, and costs due to education and training of study participants.
15 Since the evaluation covers only the observation period alongside the trial, costs and effects
16 will not be discounted. Comparing the outcomes and costs of the intervention group with the
17 outcomes and costs of the control group yields the incremental cost-effectiveness ratio (ICER:
18 additional cost per additional LGA newborn avoided) and the cost-utility ratio (ICUR: additional
19 costs per additional QALW gained).

21 **Reporting of adverse events, data and safety monitoring**

22 Any (serious) adverse events (AE/SAE) are recorded by the investigator using the specific
23 AE/SAE sheet of the clinical report form (CRF). All SAE are reported to the responsible ethics
24 committee within an appropriate time frame.

25 Data safety and accuracy as well as patient safety will be monitored by local data and safety
26 monitoring committees for clinical trials (e.g. the KKS – competence centre for clinical trials –
27 in Austria).

28 **Sample Size and Statistical Analysis**

29 *Sample size*

30 With a sample size of n=338 (169 pregnant women per group) we will be able to detect a
31 difference between two independent proportions of LGA of 13.7% vs 25.8% (according to the
32 results of a previous study[11]) with a power of 80% and a two-sided type 1 error of $\alpha=0.05$
33 (calculated for Pearson's chi-square test). Considering a drop-out rate of 10% a total sample
34 size of n=372 (186 women per group) is necessary for this study. This is in line with the sample
35 size suggested by Kestilä et al.[13]. A blinded sample size review (the proportion of LGA cases
36 in the sample is reviewed) and adaptation is planned after 50% of the patients have been

1 investigated. The sample-size calculation was performed by using the software G*Power
2 (V3.1.9.2)[60].

3 *Analysis plan*

4 Analyses should be conducted on the intention-to-treat principle. Categorical variables will be
5 summarized by counts and proportions; continuous variables data will be summarized by
6 means and standard deviations (SD) or by median and interquartile range in the case of strong
7 deviations from the normal distribution. Pearson's chi-square test will be used to compare
8 differences in the primary outcome (difference in proportion of LGA newborns) and for binary
9 secondary outcomes (such as caesarean section rate, shoulder dystocia and neonatal
10 hypoglycaemia). Bernard's test will be used as an alternative if an expected frequency in
11 contingency tables is equal or less than 5 and the Cochran-Mantel-Haenszel method will be
12 used as sensitivity analysis to adjust for possible centre specific effects. Continuous secondary
13 outcome parameters (such as mean glucose, duration and amount of hyperglycaemia,
14 glycaemic variability and other rt-CGM measures, postpartum OGTT data, HbA1c,
15 glycosylated fibronectin or anthropometric data of the newborn) will be compared by student's
16 t-test. Rank based inference (such as the Brunner-Munzel test[61]) will be used as an
17 alternative in case of skewed distributed parameters. The association between HbA1c, rt-CGM
18 measures and delivery and risk of LGA offspring will be assessed by binary logistic regression.
19 There are many possible objectives for which further exploratory analysis could be performed
20 in this study (e.g. functional principal components analysis for rt-CGM data). Hence, the
21 present analysis plan represents only a selection of methods, which will be used for analysing
22 the main objectives. Risk preferences will be analysed by non-parametric and parametric
23 methods. In particular, we plan to classify study participants with respect to their risk tolerance
24 (risk-aversion, risk-neutral, and risk-loving) and deriving CRRRA (constant relative risk aversion)
25 utility functions. Associations between risk preferences and behaviour (dietary patterns and
26 physical activity) will be investigated. For the health economic evaluation, incremental cost-
27 effectiveness ratios (ICER: Additional cost per additional LGA newborn avoided) and cost-
28 utility ratios (ICUR: Additional cost per additional quality-adjusted life year gained) will be
29 calculated. 95% confidence intervals will be analysed using bootstrap procedures[62]. To
30 consider uncertainty, cost-acceptability curves will be calculated[63]. A two-sided p-value
31 ≤ 0.05 is considered statistically significant. All analyses will be performed by using the statistic
32 software R and contributing packages[64]. No further adjustment for multiplicity is planned for
33 this study.

34 **4. ETHICS AND DISSEMINATION**

35 This study received ethical approval from the main ethic committee in Vienna (1863/2018).
36 Ethics approval will be obtained by the local institutional review boards in Basel, Berlin,
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3 1 Dusseldorf, and Orebro. It was registered under www.ClinicalTrials.gov (NCT03981328). Data
4 will be presented at international conferences and published in peer-reviewed journals.
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8 4 *Author statement*

9
10 5 EH, KW, JJ, AI, JS, AT, MM, CSG designed the study. MM, AT, AI, MV, GGG, JM, CSG, PR
11 6 will perform statistical analysis and data interpretation. EH, TL, DE, KW, CK, KW, KS, GYS,
12 7 IR, WH, KC, JS, CSG will be responsible for patient management. PH, WH, TL, IH, MR, PR,
13 8 HF, MV, GGG, JM, BW, GYS, CK, KS will make important contributions and critically reviewed
14 9 this study protocol.
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27 17 the manuscript; and in the decision to submit the article for publication.
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32 19 *Competing interest statement*

33 20 The authors declare that there are no further financial or personal relationships with other
34 21 people or organizations that could inappropriately influence the work reported or the
35 22 conclusions, implications, or opinions stated.
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2 **6 FIGURES**

3
4 Figure 1: Patient flow diagram
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For peer review only

BMJ Open
GDM Diagnosis

STUDY VISIT 1 (V1)
Informed Consent, Lifestyle intervention, SMBG advice, Medical history and risk evaluation, Questionnaires (8-SF-36, health care use, FFQ, IPAQ, PPAQ, preferences)

STUDY VISIT 2 (V2)
6-8d after V1, Randomisation (n=372), non-fasting blood sample (A1c, glycosylated fibrinogen), US (fetal biometry, amnion fluid index, placenta size, FSCTT, fetal doppler, hepatic size, echocardiatic examination), 24h recall (subgroup)

SMBG Group (n=186)
Start nutritional protocol (7d), Start blinded CGM (8-10d)

RT-CGM Group (n=186)
Start nutritional protocol (7d)

STUDY VISIT 3 (V3)
8-10d after study visit 2, Download blinded CGM data, Download SMBG measurements, MR spectroscopy (subgroup), Adaptation of treatment

STUDY VISIT 3 (V3)
8-10d after study visit 2, Download CGM data, Download SMBG measurements (if any), MR spectroscopy (subgroup), Adaptation of treatment

Routine Follow-up visits
Every 2 weeks (i.e. 12-16 days after V3), Download SMBG measurements, Fetal biometry and Adaptation of treatment as usual care

Routine Follow-up visits
Every 2 weeks (i.e. 12-16 days after V3), Download CGM data, Download SMBG measurements (if any), Fetal biometry and Adaptation of treatment as usual care

STUDY VISIT 4 (V4)
36+0-38+6 weeks of gestation, start blinded CGM and download data after 8-10d, Download SMBG measurements, Start nutritional protocol (8-10d), non-fasting blood sample (HbA1c, glycosylated fibrinogen), US (fetal biometry, amnion fluid index, placenta size, FSCTT, fetal doppler, hepatic size, echocardiatic examination), 24h recall (subgroup)

STUDY VISIT 4 (V4)
36+0-38+6 weeks of gestation, Download CGM data, Download SMBG measurements (if any), Start nutritional protocol (8-10d), non-fasting blood sample (HbA1c, glycosylated fibrinogen), US (fetal biometry, amnion fluid index, placenta size, FSCTT, fetal doppler, hepatic size, echocardiatic examination), 24h recall (subgroup)

STUDY VISIT Post Partum 0 (VPP0)
Delivery, Cord blood (glucose, insulin, C-peptide), Placenta tissue (subgroup)

STUDY VISIT Post Partum 0 (VPP0)
Delivery, Cord blood (glucose, insulin, C-peptide), Placenta tissue (subgroup)

STUDY VISIT Post Partum 1 (VPP1)
Up to 48h after delivery, Neonatal parameters, maternal non-fasting blood sample (HbA1c, glycosylated fibrinogen), Questionnaires (8-SF-36, health care use, FFQ, IPAQ, PPAQ, preferences, Wijma score)

STUDY VISIT Post Partum 1 (VPP1)
Up to 48h after delivery, Neonatal parameters, Download CGM data, Download SMBG measurements (if any), maternal non-fasting blood sample (HbA1c, glycosylated fibrinogen), Download CGM data, Questionnaires (8-SF-36, health care use, FFQ, IPAQ, PPAQ, preferences, Wijma score)

STUDY VISIT Post Partum 2 (VPP2)
8-16 weeks after delivery, OGTT, blinded CGM (8-10d), blood sample (HbA1c, glycosylated fibrinogen), MR spectroscopy (subgroup), 24h recall (subgroup), Questionnaires (8-SF-36, health care use, FFQ, IPAQ, PPAQ, preferences, Wijma score)

STUDY VISIT Post Partum 2 (VPP2)
8-16 weeks after delivery, OGTT, blinded CGM (8-10d), blood sample (HbA1c, glycosylated fibrinogen), MR spectroscopy (subgroup), 24h recall (subgroup), Questionnaires (8-SF-36, health care use, FFQ, IPAQ, PPAQ, preferences, Wijma score)



STANDARD PROTOCOL ITEMS: RECOMMENDATIONS FOR INTERVENTIONAL TRIALS

SPIRIT 2013 Checklist: Recommended items to address in a clinical trial protocol and related documents*

Section/item	Item No	Description
Administrative information		
Title	1	Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym → title page
Trial registration	2a	Trial identifier and registry name. If not yet registered, name of intended registry → page 3
	2b	All items from the World Health Organization Trial Registration Data Set
Protocol version	3	Date and version identifier → only in original protocol
Funding	4	Sources and types of financial, material, and other support → page 18
Roles and responsibilities	5a	Names, affiliations, and roles of protocol contributors → page 2
	5b	Name and contact information for the trial sponsor → page 1/18
	5c	Role of study sponsor and funders, if any, in study design; collection, management, analysis, and interpretation of data; writing of the report; and the decision to submit the report for publication, including whether they will have ultimate authority over any of these activities
	5d	Composition, roles, and responsibilities of the coordinating centre, steering committee, endpoint adjudication committee, data management team, and other individuals or groups overseeing the trial, if applicable (see Item 21a for data monitoring committee)
Introduction		
Background and rationale	6a	Description of research question and justification for undertaking the trial, including summary of relevant studies (published and unpublished) examining benefits and harms for each intervention → page 5-7 (Introduction)
	6b	Explanation for choice of comparators
Objectives	7	Specific objectives or hypotheses → page 7 (Hypotheses)

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Trial design 8 Description of trial design including type of trial (eg, parallel group, crossover, factorial, single group), allocation ratio, and framework (eg, superiority, equivalence, noninferiority, exploratory) → [see Title](#)

Methods: Participants, interventions, and outcomes

Study setting 9 Description of study settings (eg, community clinic, academic hospital) and list of countries where data will be collected. Reference to where list of study sites can be obtained → [page 8](#)

Eligibility criteria 10 Inclusion and exclusion criteria for participants. If applicable, eligibility criteria for study centres and individuals who will perform the interventions (eg, surgeons, psychotherapists) → [page 8 \(Eligibility criteria\)](#)

Interventions 11a Interventions for each group with sufficient detail to allow replication, including how and when they will be administered → [page 8/9 \(Study procedure\)](#)

11b Criteria for discontinuing or modifying allocated interventions for a given trial participant (eg, drug dose change in response to harms, participant request, or improving/worsening disease) → [not applicable](#)

11c Strategies to improve adherence to intervention protocols, and any procedures for monitoring adherence (eg, drug tablet return, laboratory tests) → [not applicable](#)

11d Relevant concomitant care and interventions that are permitted or prohibited during the trial → [not applicable](#)

Outcomes 12 Primary, secondary, and other outcomes, including the specific measurement variable (eg, systolic blood pressure), analysis metric (eg, change from baseline, final value, time to event), method of aggregation (eg, median, proportion), and time point for each outcome. Explanation of the clinical relevance of chosen efficacy and harm outcomes is strongly recommended → [page 6 \(Study outcomes\)](#)

Participant timeline 13 Time schedule of enrolment, interventions (including any run-ins and washouts), assessments, and visits for participants. A schematic diagram is highly recommended (see Figure) → [page 8/9](#)

Sample size 14 Estimated number of participants needed to achieve study objectives and how it was determined, including clinical and statistical assumptions supporting any sample size calculations → [page 17/18 \(Sample size justification\)](#)

Recruitment 15 Strategies for achieving adequate participant enrolment to reach target sample size

Methods: Assignment of interventions (for controlled trials) → [page 9](#)

Allocation:

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4 Sequence generation 16a Method of generating the allocation sequence (eg, computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that is unavailable to those who enrol participants or assign interventions
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12 Allocation concealment mechanism 16b Mechanism of implementing the allocation sequence (eg, central telephone; sequentially numbered, opaque, sealed envelopes), describing any steps to conceal the sequence until interventions are assigned
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18 Implementation 16c Who will generate the allocation sequence, who will enrol participants, and who will assign participants to interventions
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21 Blinding (masking) 17a Who will be blinded after assignment to interventions (eg, trial participants, care providers, outcome assessors, data analysts), and how → not applicable
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26 17b If blinded, circumstances under which unblinding is permissible, and procedure for revealing a participant's allocated intervention during the trial → not applicable
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Methods: Data collection, management, and analysis

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32 Data collection methods 18a Plans for assessment and collection of outcome, baseline, and other trial data, including any related processes to promote data quality (eg, duplicate measurements, training of assessors) and a description of study instruments (eg, questionnaires, laboratory tests) along with their reliability and validity, if known. Reference to where data collection forms can be found, if not in the protocol
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40 18b Plans to promote participant retention and complete follow-up, including list of any outcome data to be collected for participants who discontinue or deviate from intervention protocols
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44 Data management 19 Plans for data entry, coding, security, and storage, including any related processes to promote data quality (eg, double data entry; range checks for data values). Reference to where details of data management procedures can be found, if not in the protocol
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50 Statistical methods 20a Statistical methods for analysing primary and secondary outcomes. Reference to where other details of the statistical analysis plan can be found, if not in the protocol → page 13-15 (Study analysis plan)
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54 20b Methods for any additional analyses (eg, subgroup and adjusted analyses) → page 15-19 (Other study measurements)
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- 20c Definition of analysis population relating to protocol non-adherence (eg, as randomised analysis), and any statistical methods to handle missing data (eg, multiple imputation)

Methods: Monitoring

- Data monitoring 21a Composition of data monitoring committee (DMC); summary of its role and reporting structure; statement of whether it is independent from the sponsor and competing interests; and reference to where further details about its charter can be found, if not in the protocol. Alternatively, an explanation of why a DMC is not needed
- 21b Description of any interim analyses and stopping guidelines, including who will have access to these interim results and make the final decision to terminate the trial
- Harms 22 Plans for collecting, assessing, reporting, and managing solicited and spontaneously reported adverse events and other unintended effects of trial interventions or trial conduct → see Reporting of adverse events, page 17
- Auditing 23 Frequency and procedures for auditing trial conduct, if any, and whether the process will be independent from investigators and the sponsor

Ethics and dissemination

- Research ethics approval 24 Plans for seeking research ethics committee/institutional review board (REC/IRB) approval → page 8 (Study settings/design)
- Protocol amendments 25 Plans for communicating important protocol modifications (eg, changes to eligibility criteria, outcomes, analyses) to relevant parties (eg, investigators, REC/IRBs, trial participants, trial registries, journals, regulators)
- Consent or assent 26a Who will obtain informed consent or assent from potential trial participants or authorised surrogates, and how (see Item 32)
- 26b Additional consent provisions for collection and use of participant data and biological specimens in ancillary studies, if applicable
- Confidentiality 27 How personal information about potential and enrolled participants will be collected, shared, and maintained in order to protect confidentiality before, during, and after the trial → page 9 (Data recording)
- Declaration of interests 28 Financial and other competing interests for principal investigators for the overall trial and each study site → (page 3 (Conflict of interest))
- Access to data 29 Statement of who will have access to the final trial dataset, and disclosure of contractual agreements that limit such access for investigators

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2	Ancillary and	30	Provisions, if any, for ancillary and post-trial care, and for
3	post-trial care		compensation to those who suffer harm from trial participation
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5	Dissemination	31a	Plans for investigators and sponsor to communicate trial results to
6	policy		participants, healthcare professionals, the public, and other relevant
7			groups (eg, via publication, reporting in results databases, or other
8			data sharing arrangements), including any publication restrictions →
9			page 18
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11		31b	Authorship eligibility guidelines and any intended use of professional
12			writers
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14		31c	Plans, if any, for granting public access to the full protocol, participant-
15			level dataset, and statistical code
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19	Appendices		
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21	Informed consent	32	Model consent form and other related documentation given to
22	materials		participants and authorised surrogates
23			
24	Biological	33	Plans for collection, laboratory evaluation, and storage of biological
25	specimens		specimens for genetic or molecular analysis in the current trial and for
26			future use in ancillary studies, if applicable
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*It is strongly recommended that this checklist be read in conjunction with the SPIRIT 2013 Explanation & Elaboration for important clarification on the items. Amendments to the protocol should be tracked and dated. The SPIRIT checklist is copyrighted by the SPIRIT Group under the Creative Commons "[Attribution-NonCommercial-NoDerivs 3.0 Unported](https://creativecommons.org/licenses/by-nc-nd/3.0/)" license.