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Healthcare for migrant workers in destination countries: a comparative qualitative study of China and Malaysia

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Healthcare for migrant workers in destination 1 countries: a comparative qualitative study of China 2 and Malaysia 3 Tharani Loganathan^{1*#a}¶, Deng Rui²¶, Nicola Suyin Pocock^{3, 4} 4 5 Affiliations 6 ¹Centre for Epidemiology and Evidence-based Practice, Department of Social and Preventive 7 Medicine, University of Malaya, Kuala Lumpur, Malaysia 8 ²School of Public Health, Kunming Medical University, Kunming, China 9 ³United Nations University - International Institute for Global Health (UNU-IIGH), Kuala 10 Lumpur, Malaysia. ⁴Gender Violence & Health Centre, London School of Hygiene and Tropical Medicine, 11

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22 Word Count: 6439

ABSTRACT

Objectives: To explore policies addressing migrant worker's health and barriers to healthcare access in two middle-income, destination countries in Asia with cross-border migration to Yunnan province, China and international migration to Malaysia. Design: Qualitative interviews were conducted in Rui Li City and Tenchong County in Yunnan Province, China (n=23) and Kuala Lumpur, Malaysia (n=44), along with review of

policy documents. Data were thematically analysed.

Participants: Participants were migrant workers and key stakeholders with expertise in migrant issues including representatives from international organisations, local civil society organisations, government agencies, medical professionals, academia and trade unions. **Results:** Migrant health policies at destination countries were predominantly protectionist, concerned with preventing transmission of communicable disease and the excessive burden on health systems. In China, foreign wives were entitled to state-provided maternal health services while female migrant workers had to pay out-of-pocket, and often returned to Myanmar for deliveries. In Malaysia, immigration policies prohibit migrant workers from pregnancy, however, women do deliver at healthcare facilities. Mandatory HIV testing was imposed on migrants in both countries, where it was unclear whether and how informed consent was obtained from migrants. Migrants who did not pass mandatory health screenings in Malaysia would runaway rather than be deported and become undocumented in the process. Excessive attention on migrant workers with communicable disease control campaigns in China resulted in inadvertent stigmatisation. Language and financial barriers frustrated access to care in both countries. Reported conditions of overcrowding and inadequate healthcare access at immigration detention centres raise public health concern.

46 Conclusions: This study's findings inform suggestions to mainstream the protection of
47 migrant workers' health within national health policies in two middle-income destination
48 countries, to ensure that health systems are responsive to migrants' needs, as well as to
49 strengthen bilateral and regional cooperation towards ensuring better migration management.
50 Word count: 296

51 Article Summary

52 Strengths and limitations of this study

We have made suggestions to mainstream the protection of migrant workers' health
 within national health policies to ensure that health systems are responsive to migrants'
 needs, as well as to strengthen bilateral and regional cooperation towards ensuring better
 migration management.

- Although the qualitative nature of this study precludes the generalisation of findings, the experience gained by examining different perspectives provides insights into health policy and barriers accessing healthcare in different settings.
- Healthcare in origin countries and during the travel and return phase of migration may impact migrant health and we have given some policy suggestions.

63 Key Words

64 Migration, migrant health, access to health, the right to health, LMIC, ASEAN, China

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65 INTRODUCTION

International migration is an inevitable feature of today's globalized world and is critical for
the economic development of many nations. In 2017, 258 million international migrants were
estimated worldwide, with 80 million residing in Asia¹.

69 This massive movement of people highlights the inevitability of economic growth and 70 exchange, and yet international migrants are often considered a liability by destination 71 countries. Potential health security and migrant control concerns have become essential 72 policy drivers in many destination countries².

In contrast, the right to the highest attainable standard of health, regardless of citizenship or immigration status, is enshrined in the World Health Organization (WHO) constitution and numerous human rights instruments ³⁴. The rights-based commitment to the health of migrant populations is reiterated in the 2030 Agenda for Sustainable Development and the 2018 Global Compact for Safe, Orderly and Regular Migration ⁵⁻⁷. Among the Association of Southeast Asian Nations (ASEAN) countries and China, the ratification of international human rights treaties protecting the Right to Health is inconsistent, with only the Philippines and Indonesia, predominantly migrant-sending countries, ratifying the International Convention on the Protection of the Rights of All Migrant Workers and Members of their Families⁸ (Table 1).

Yunnan province, an important border province located in the southwest frontier area of
China, shares substantial land borders (4,060 km) with Myanmar, Laos and Vietnam ⁹.
Through kinship networks, there are longstanding relationships of trade and ethnic
interchange between local peoples along the four countries border lines ¹⁰11. More recently,
cross-border migration is enhanced by economic reform and the 'opening up' policies,
especially with the China-Myanmar Economic Corridor, integral to the Belt and Road

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Initiative ^{9 12 13}. Largely due to economic disparities between the two countries, an increasing
number of Myanmar citizens cross national boundaries primarily in search of employment in
China and others for marriage. Myanmar migrants are the fourth largest migrant community
in China, and most reside in Yunnan province ¹⁴.

Malaysia, a vibrant Southeast Asian economy with relative political stability, is a magnet for low-skill, low-wage labour migrants from across the region and increasingly Asia. Between 2008 and 2018, the official numbers of documented migrant workers doubled from 1.1 to 2.2 million, or 15% of the labour workforce in Malaysia¹⁵. The number of undocumented workers in Malaysia is less certain, with estimates of all migrant workers ranging from 3.9 to 5.5 million, including undocumented workers ¹⁶. Of the 15 source countries, Indonesia (35%), Bangladesh (28%), Nepal (15%), and Myanmar (6%) are the largest contributors of migrant workers to Malaysia¹⁷.

Increasingly, migration is circular with health outcomes influenced by the cumulative social
determinants and health risks aggregated over the various phases of the migration cycle ¹⁸
¹⁹.In destination countries, migrant workers often fill undesirable low-skill, labour-intensive
jobs in potentially health-damaging work environments, with restricted healthcare access ²⁰.
This paper explores policies that address migrant workers' health and the barriers to
healthcare access in two middle-income, destination countries in Asia with cross-border
migration to Yunnan province, China and international migration to Malaysia (Figure 1).

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	Country	International Covenant on Economic, Social and Cultural Rights (ICESCR)	Convention on the Elimination of All Forms of Discrimination against Women (CEDAW)	International Covenant on Civil and Political Rights (ICCPR)	International Convention on the Elimination of All Forms of Racial Discrimination (ICERD)	Convention on the Rights of the Child (CRC)	International Convention on the Protection of the Rights of All Migrant Workers and Members of Their Families (ICMW)
	Migrant-sending countries*						
	Cambodia	V	V	V	V	V	x
	Indonesia	V	V	V	V	V	V
	Lao PDR	V	V	V	V	V	x
	Myanmar	V	V	x	X	V	X
	Philippines	V	V	V	V	V	V
	Vietnam	V	V	V	V	V	X
	Migrant-receiving						
	<u>countries*</u>						
	Brunei Darussalam	X	V	X	x	V	X
	Malaysia	X	V	X	x	V	X
	Singapore	X	V	X	V	V	X
	Thailand	V	V	V	v -	V	X
	China	V	V	X	V	V	X
110 111	*predominantly; ASEAN - Source: ²¹	Association of Sou	theast Asian Natio	ns			
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112 MATERIALS AND METHODS

113 Study design

We conducted a mixed methods study combining policy document review with exploratory qualitative analysis to describe and compare available healthcare policies and determine barriers in accessing healthcare experienced by migrant workers in Yunnan province, China and Malaysia.

Definition of terms

"Migrant" is a broad term. Here we focus on international labour migrants or migrant
workers, both documented and undocumented, in Malaysia and Yunnan Province in China.
Refugees, asylum seekers, victims of trafficking, people moving for marriage and expatriates
are not included in this study.

A migrant worker or a foreign worker is defined here as a person who crosses international borders for the purpose of employment. Documented or regular migrants are authorised to enter, stay and partake in employment in a country and are in possession of legal documents such as passports and work permits. Undocumented or irregular migrants do not have the required documentation or authorisation to enter, reside or carry out remunerated activities in a country ^{22 23}.

Patient and public involvement

130 The topic guides for Malaysia and China were informed by review of literature and cross-131 country meetings to discuss research priorities. In Malaysia, purposive sampling of participants 132 from a previous migration health workshop in November 2017²⁴ was conducted, with further 133 snowball sampling until no new participants were identified. In China, purposive sampling was Page 9 of 42

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also used to select key informants in two study sites. Topic guides were slightly adapted
throughout the study to account for participant's priorities identified during interviews.
Malaysia results were shared with research participants at a workshop in Kuala Lumpur in
December 2019. In China, results were disseminated at the 10th International Conference on
Public Health among Greater Mekong Sub-Regional Countries in November 2018.

Data collection and analysis

140 Two broad categories of participants were involved in our qualitative study: (1) migrant
141 workers and (2) key stakeholders with expertise in migrant issues including representatives
142 from international organisations (IOs), local civil society organisations (CSOs), government
143 agencies, medical professionals, academia, trade unions and others. We conducted a series of
144 in-depth interviews in China and Malaysia.

145 Semi-structured interview guides were developed to seek participants' perspective on barriers
 146 to healthcare access for migrant workers. These guides were further customised to suit the
 147 organisational background of participants and were applied to both countries.

⁷ 148 Data collection was conducted between July and September 2018 in China and from July

149 2018 to July 2019 in Malaysia. Researchers purposefully recruited and interviewed migrant

150 workers and key informants working closely with migrant workers. Individual interviews

⁴ 151 were conducted in local languages. Further snowball sampling was conducted in each study

site until the research teams agreed that additional interviews would not yield new

153 information, as theoretical saturation was reached.

In China, interviews were conducted with 23 participants in two border counties, Rui Li City
 and Tenchong County in Yunnan Province. While, interviews of 44 individuals were
 conducted in Kuala Lumpur, Malaysia. Table 2 describes the main characteristics of the study

- B 157 participants.

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159 Table 2. Characteristics of study participants

The audio-recordings were transcribed verbatim into local languages. Data were analysed using thematic analysis ²⁵. Data analysis was conducted in an immersive, exploratory and inductive manner, initially separately in each country. Transcripts were coded into emerging themes using NVivo 12 Pro software and Microsoft Excel across research teams. Following initial analysis in both countries, selected quotations were extracted and were translated into English. Subsequently, the authors examined codes to identify the broader pattern of themes and subthemes across both countries. Desk review of policy documents including circulars, legal documents and memos, served to contextualise and triangulate qualitative findings.

Reflexivity

170 Interviews in both countries were conducted by teams of academic researchers and medical
171 doctors, that could be perceived as trusted authority figures. To balance potential power
172 imbalances, especially for interviews with migrant workers, interviews were conducted at
173 locations and times of the participants' choosing.

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3 4	175	We sought to minimise harm to study participants by assuring anonymity and confidentiality.
5 6	176	Written informed consent was obtained at recruitment. All participants agreed to be audio
7 8 9	177	recorded and quoted anonymously in publications. Given the sensitive nature of this research,
9 10 11	178	patients were not asked to divulge personal identification information. Data was anonymised
12 13	179	using pseudonyms and general descriptors without any identifying information. Study
14 15	180	participation was entirely voluntary, and participants were informed that they could refuse to
16 17 18	181	answer questions or terminate interviews at any point. Electronic data such as audio files and
19 20	182	transcripts were stored in secure servers, while other material was secured in locked
21 22	183	cupboards at researcher's offices.
23 24	184	Ethical approval was obtained from Institutional Review Boards at University Malaya,
25 26 27	185	Malaysia (UM.TNC2/UMREC-238) and Kunming Medical University, China
28 29	186	(kmu42018049), as well as the Medical Research and Ethics Committee, Ministry of Health,
30 31	187	Malaysia (NMRR-18-1309-42043).
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RESULTS

China

Policy setting

Over the past two decades, the Chinese health system has undergone significant reform with the aim of providing safe, effective, and affordable health care for citizens. Currently, Chinese citizens enjoy comprehensive medical services through government subsidised health insurance packages that are broadly location-based and designed specifically for social groups, such as urban employees, urban residents and rural residents. The policy for medical insurance as well as the household registry system, known as *hukou*, whereby citizens are registered by location of residence, have created 'geographical barriers' for internal migrants due to difficulties claiming health insurance across provinces ^{26 27}. Despite growing numbers of international migrants, China lacks a cohesive national policy on the provision of healthcare for international migrant workers, who are not included in national health insurance schemes. In contrast, Yunnan province has initiated several programmes to better facilitate the management of migrant workers. International Migrant Service and Management Centres have been established at border towns, such as Ruili city, the largest Sino-Burmese border port in Yunnan province. This centre established in June 2013, provides migrants with one-stop services to obtain the following documents: (1) health certificate, (2) work permit, (3) trading certificate and (4) temporary residential permit ²⁸. According to local regulations, migrant workers who intend to temporarily reside in China for over a month are required to

apply for these documents within three days of crossing the border and must have either a

- passport or a China-Myanmar border pass, and an employment contract with a Chinese

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According to officials interviewed, undocumented migrants are not allowed to work in China.

The Chinese government conducts random inspections at border areas and those identified

police station before being repatriated to host countries within a few days. At the time of this

without the necessary documents are placed under temporary placement under the local

study, there were no immigration detention centres in Yunnan province, China.

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211 company for applications ²⁹. China does not have mandatory pre-departure health requirements for low-wage migrant workers. 212

218 Specific health programmes for migrants in Yunnan province include maternal and child 219 health services for non-citizen women and infectious disease prevention programmes at 220 border areas. Maternal and child health services 221 222 In border areas of Yunnan province, local health authorities provide a safe motherhood package for migrant women with legal identity certificates. Foreign spouses with legal 223 224 marriage certificates are eligible for national health insurance with equal benefits as Chinese 225 citizens. For example, the Health and Family Planning Commission of Whenshan prefecture, 226 Yunnan issued guidelines for migrant maternal and child health services in January 2018. All 227 migrant mothers, including foreigners who have stayed in Whenshan for more than six 228 months, are eligible to obtain similar maternal and child health services as citizens ³⁰. 229 However, compared with foreign spouses, female migrant workers would have to pay out-of-230 pocket for these services, since she has no Chinese citizenship or household registration. 231 If a migrant worker is pregnant, she can visit any local hospital for maternity care. She would 232 be eligible for hospital delivery, conditional on having antenatal records at that particular 233 hospital. Owing to rigid guidelines, many foreign women were denied admission for delivery 234 on account of their lacking antenatal records at specific hospitals.

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"My friend went to three hospitals before she was finally admitted for delivery. In the past, it was convenient to have children in X town. But now the government is strict on standardized medical management. Because she did not have an antenatal check-up at this hospital, there was no way to be admitted. Finally, we went to Y hospital. At that time her condition was very bad, very painful, as the baby was about to be born. So, the doctor let her stay, and finally, the child was born safely. Myanmar migrants used to choose to have children in X town. But now they must have tests and other records before they can be hospitalized. Many women have to go back to Myanmar to deliver a baby." Mei, female, migrant school administrator. Infectious disease and migrant workers According to government officials interviewed, Myanmar migrants, were perceived as a source of infectious diseases transmission, such as HIV and dengue fever due to their mobility and convoluted social relationships. Consequently, targeted infectious disease surveillance of non-citizens has become common practice at border townships. HIV testing is compulsory for migrant workers entering China. To obtain a Health Certificate for International Travel, migrant workers must complete a post-arrival medical screening, which includes mandatory HIV testing, within three days of arrival into China. Since the health certificate is only valid for a year, migrant workers must be screened annually for infectious diseases including HIV. Local health authorities also implement health intervention projects, including community-based health promotion campaigns targeting migrants at their settlements, for example, health education for landlords of migrants, distribution of free condoms and HIV screening. These activities are in addition to the mandatory screenings conducted by the immigration office to obtain health certificates. Although well-intended, the excessive attention given to migrant

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workers with regards to infectious disease has inadvertently caused prejudice andstigmatization. One community worker described the following:

"We have a lot of Myanmar migrants here and it's very difficult to do our work. We don't know their language. We don't have their phone number. Some people don't even have a cell phone. Many of them have come to X town, so they must have a temporary residence permit, and those in the factory have had to apply for a health card. They [government authorities] have already drawn blood and tested it. When we [health workers] go to the community, they don't want to do the blood test [migrants refuse]. They look for various excuses not to draw blood. When they see us in the community, they start running, and they don't come at all. It is perceived [by migrants] that we are drawing blood over and over again. They are disgusted and repelled." Chen, male, community health worker.

Although informed consent is obtained prior to screenings by the immigration office, our findings suggest that migrants understanding of health activities that they are consenting to, is lacking. Language barriers and the lack of cultural sensitivity have likely exacerbated migrants' distrust of healthcare providers.

The local government conditionally provides free anti-retroviral treatment to HIV infected Myanmar citizens. Two groups of Myanmar migrants are eligible, these are long-time residents who have stayed in China for at least six months with valid identity documents, and migrant wives with valid marriage documents (which may consist of a marriage certificate or a letter from the village office) ³¹. To discourage foreign patients from coming to China to obtain free medical services, non-citizens are not provided with free investigations or hospitalisations for HIV infections.

281 'Free services for all are not feasible since policies related to HIV treatment and health care
282 are not consistent between the two countries. If we are the only ones offering free services,

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the patients from the Myanmar side would flood into China. We are not capable to deal with this situation due to limited financial and human resources which are allocated according to domestic population rather than international migrants. 'Yang, female, government official. Resource constraints meant that policies were deliberately designed to discourage care-seeking for HIV treatment among cross-border migrants in China. Financial and language barriers to healthcare Those interviewed revealed that Myanmar migrants rarely seek medical services in China, mainly due to financial and language barriers. Medical treatment at public hospitals is expensive and unaffordable to low-wage migrant workers. Since they are not covered by health insurance, migrant workers are subject to high out-of-pocket payments when seeking care. Also, most employers will not pay for medical expenses or purchase medical insurance for employees. As such, most migrant workers resort to self-treatment or return to Myanmar border towns for healthcare. "People who come out to work are generally in good health. Most of us are young people. Old people [migrants] do not come out to work, because the cost of medicine is very expensive in China. It is not worth the money to see a doctor when you are sick. We will buy medicine for ourselves if we are ill [self-medicate]. If we have a slightly more serious illness, almost all of us will go back to Myanmar for treatment. Because seeing a doctor in China is too expensive. We usually go back to Myanmar to see a doctor for about 50 yuan (less than 10 USD), but in China, 50 yuan is not enough. If we must be hospitalized, we must pay a deposit of 5000 yuan (about 800 USD). That is impossible for us, Myanmar[workers] to get so much money all at once. We don't have to pay deposit in Myanmar, we pay the bill when we leave the hospital." Tok, male, migrant worker. This participant implied that high healthcare costs in China deterred older workers from

- ⁶⁰ 307 Myanmar from migrating.

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One interviewee suggested that given the opportunity, Myanmar migrants would opt-in to Chinese health insurance scheme, as that would lessen their financial burden while providing healthcare access.

"China has good medical facilities. Rich Myanmar people will choose to see a doctor in China, but for the average Myanmar people, they cannot do the same. People have heard that China has health insurance, but Myanmar migrants can't buy it. For Myanmar migrants like us who live in China for a long time, we really want to be able to buy Chinese health insurance, and the grade of insurance can be divided into different amounts. Everyone can buy health insurance according to their own ability. There are many Myanmar laborers in China, it will be much more for them, if they have health insurance, they can also reduce the economic burden." Yang, female, migrant worker Migrant workers tend to avoid public hospitals because of language barriers. Very few healthcare workers speak Myanmar languages, and migrants face difficulties in communicating and navigating the health system. Migrant workers often need to find a Chinese language interpreter, either a colleague or friend, to accompany them when seeking care at hospitals. This migrant worker expressed frustration at the lack of language-friendly services in China and suggested improvements. "Myanmar friends who come to work will usually go back to Myanmar to see the doctor, mainly because of the lack of language and the cost of Chinese hospitals. My friends have

told me that when they go to see a doctor, because the patients do not understand what the

doctor says, and the doctors will be angry [with them] after asking many times [questions

- repeatedly]. I hope there is a Chinese-Myanmar contrast (bilingual information) at every
- window of the hospital, so that we can know which department we should go to. The staff of
- the guidance desk should speak Burmese. If possible, I hope they can recruit Burmese-
- speaking doctors, so that it be much easier [for us]. I hope that doctors will be more patient,

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> 333 communicate with us with simple words and speak slowly, so we can understand." Nuinui,

334 female, migrant worker.

335 While this participant described Burmese doctors as a possible solution to language barriers,

336 we did not come across any instances of this occurring within two border counties studied.

Foreign doctors must pass the Chinese medical exams and have a valid medical diploma, 337

338 making it very difficult to practise in China. Furthermore, there are no formal interpreter

ct. 339 provisions in the Chinese health system, which is also the case in Malaysia²⁴. Malaysia

Policy setting

tuberculosis³².

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Being a net migrant-receiving country, Malaysia has several health policies in place for

have undergone a pre-departure medical examination prior to entry into Malaysia. The

Ministry of Health, Malaysia with the cooperation of migrant-sending countries selects

medical examination generally consists of infectious disease screening for HIV and

In addition, the Malaysian government established the Foreign Workers Medical

Examination and Monitoring Agency (FOMEMA) to carry out pre-employment medical

examinations, within the first month of arrival into Malaysia and subsequently annual

medical examinations when renewing work permits, at private clinics approved by the

FOMEMA. All documented migrant workers are mandatorily tested for tuberculosis, HIV,

syphilis, hepatitis B, malaria, leprosy, pregnancy (for women), drug abuse, hypertension,

Malaysia has a mixed public-private healthcare system. The public healthcare system is

government-mandated compulsory insurance scheme, the Hospitalisation and Surgical

Scheme for Foreign Workers (SPIKPA) provides documented workers with a maximum of

RM 20,000 (4,800 USD) annually for in-patient care and surgery in public hospitals³⁶. Some

employers pay for workers treatment at private clinics, but this is not a legal obligation, as

subsidized for citizens, but non-citizen fees are much higher ³⁵. At the same time, a

diabetes mellitus, cancer, epilepsy and psychiatric illness ³³ ³⁴.

such it is not standard practice ³⁷.

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clinics to conduct pre-departure medical examinations of prospective workers. Pre-departure

migrant workers. It is mandatory for foreign nationals seeking employment in Malaysia to

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365 Maternal and child health services

Female migrant workers are prohibited from marrying or becoming pregnant in Malaysia.
Those testing positive for pregnancy will not be granted work permits and are subject to
deportation ³⁸. While there are no specific public antenatal or delivery services offered for
migrant workers in Malaysia, in practice women do give birth in healthcare facilities, often
private, at high cost. While public healthcare facilities will not deny patients necessary
medical care, healthcare providers are obliged to report undocumented workers to the police
and immigration authorities.

374 [UNHCR cards or valid work permit], they will [need documents to] register at the counter.
375 If they need to see the Family Medicine Specialist [FMS], foreigners, they have to pay extra –
376 maybe RM 30 [8 USD] or something like that. They will register and pay the money, while

"It is very sad for refugees or illegal migrants who do not have any passport or documents

377 she is inside seeing the doctor, the police are already outside, waiting for her. Once she is

378 out, she will be caught by the police. This has happened many times at the 'Klinik Ibu dan

379 Anak' [public Maternal and Child Health Clinic]." Dr Lucy, female, physician

Mainly due to the expense of antenatal care at private facilities, non-citizens tend to present late for booking and default follow-up. Some migrants prefer to deliver at home, assisted by traditional midwives. This doctor expressed concern that non-citizens often present late at hospitals for delivery, without prior antenatal follow-up.

384 *"They usually come in emergency, like ectopic[pregnancy] with abdominal pain. My*

385 colleagues, they will say, 'Bila dah almost deliver baru datang?' (Sarcastic: You only come

386 to hospital when you're about to deliver?). Because they didn't follow up during the

387 antenatal stage. Because of financial and other reasons, they only come at the late stage

388 [...]" Dr Nazirah, female, physician

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389 Participants shared that the inadequate antenatal care, late presentation for hospital delivery390 and home deliveries may result in poor obstetric outcomes.

391 Infectious disease and migrant workers

The Malaysian government requires a pre-departure medical examination to be conducted at designated clinics in migrant-sending countries. Some interviewed questioned the quality of medical screenings conducted in migrant-sending countries, due to the lack of regulation and potential corruption.

396 "The medical tests done in the country of origin is not audited. So, there is a lot of instances 397 where people do not pass the medical test, but they obtain a certificate and then they come 398 here. When they come here, the requirement is that the medical test [pre-employment 399 screening done on arrival in Malaysia] results must be submitted with the application to do 400 your work permit. Only then will your work permit will be approved. What we see is, when 401 they come here, they fail the medical test." Priya, female, civil society organisation.

402 In order to obtain a work permit, migrant workers are required to undergo a mandatory pre403 employment medical examination within the first month of arrival. Mandatory annual
404 medical examinations are conducted annually for the first 3 years, and subsequently every
405 alternate year for a maximum of ten years of employment in Malaysia. The consequence of
406 failing these mandatory medical examinations is severe, as workers are denied work permits
407 and are subject to deportation. This interviewee informed that failing medical examinations is
408 a possible reason for migrant workers to become undocumented.

- 409 "Once they have got leprosy or mental health disease or... [failed medical examination],
- 410 they are deemed 'unfit' or unsuitable [for employment]. So, once you deem them unsuitable
- *[unfit] in your medical examination, the employer has to send them back. And THAT is where*
- 412 a lot of problems arise. Because when they [migrant workers] come to know that they have to

be sent back, they disappear. And they become 'undocumented'. This is a huge problem." Dr

Amir, male, a doctor in private practice. Some interviewed shared that screenings were for medically treatable conditions and should not preclude employment. This interviewee felt that infectious diseases, like tuberculosis (TB) is of public health concern and should be treated upon detection. "Yes, TB also, to some extent, it can be contained, right? And it is medically treatable. He [the migrant worker] is not incapable of working. The moment he has TB, what they do is, they pack him and send him back home. And (if) this guy doesn't get back home and get treatment- he's going to spread it to others. You've got to treat the disease before you actually send him back home." Rosa, female, country coordinator of an international organisation. Medical doctors interviewed explained that while general consent is obtained for medical screenings, the consent obtained is not specific for HIV testing. There was also concern expressed on patient confidentiality, as employers are informed of investigation results. "We obtain a general consent for blood STD (sexually transmitted disease) investigation as our regulations, but not specifically for HIV. If found positive, we need to call the worker and the employer, as we need to explain to the employer the reason the worker needs to be sent back. So, confidentiality is affected there." Dr Sashi, male, a doctor in private practice. Others expressed concern that migrant workers are not properly informed of test results and are uncertain of their infectious disease status even though testing is mandatory. "A lot of them just do not know [their status]. I think once they go through FOMEMA screening, the least they can do is be made aware of the HIV status. Of course, they wouldn't be able to come in [to the country]. But it would be good for them to know, even if they are being sent back - to know their HIV status." John, male, an academic.

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3 4	437	The implication is that workers were only informed of HIV test results in terms of pass or
5 6 7	438	fail, and are not given detailed results, or post-test counselling.
8 9	439	Financial and language barriers to healthcare
10 11 12	440	Healthcare costs are a major barrier for migrant workers accessing healthcare in Malaysia.
13 14	441	Participants felt that the coverage by the SPIKPA insurance is inadequate in compensating
15 16 17	442	the considerably higher fees charged to non-citizens at public hospitals and clinics.
17 18 19	443	Furthermore, SPIKPA does not cover outpatient treatment. Consequently, migrant workers
20 21	444	are likely to pay out-of-pocket for healthcare.
22 23	445	"We migrants only have insurance for hospitalisation. When we are ill, our employers say, 'I
24 25 26	446	can't give you medicine. I won't send you for treatment.' Why? It costs a lot! If you want
27 28	447	medication, it's up to you. You buy yourself." Yat, male, migrant worker and union organiser
29 30	448	Migrant workers prioritise sending money home to families and are less willing to spend
31 32 33	449	money on healthcare. Participants described healthcare avoidance and the use of traditional
33 34 35	450	medicine as a common practice among migrant workers.
36 37	451	As there are no formal interpreters in the Malaysian healthcare system, we found that the
38 39	452	common expectation is for migrant workers to learn the Malay language or to bring a
40 41 42	453	companion to act as an informal translator. Healthcare providers also use various methods to
43 44	454	communicate with patients including sign language, gestures, Google translate and others.
45 46	455	Doctors interviewed express frustration as language barriers hinder communication and
47 48 49	456	patient management.
50 51	457	"Those from Myanmar [have a] major barrier when it comes to communication.
52 53	458	Bangladeshis pickup Malay very, very fast. They only need to work here about a year and
54 55 56	459	then they will be able to have a full conversation with you. Whereas the Burmese, they are
50 57 58 59 60	460	still very backward with the language. Nepalese, some of them can speak English quite well.

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461 The Myanmar group is very difficult. Very, very difficult. It's literally sign language and 462 acting out the illness, and all sorts of things." Dr Ram, male, a doctor in private practice 463 This medical practitioner explained that there were notable differences faced by different 464 migrant populations and their ability to communicate in the Malay language.

465 Health in detention

466 Immigration offences like illegal entry and stay are criminal offences in Malaysia. Many
467 undocumented workers are arrested and imprisoned, before being sent to immigration
468 detention centres (immigration depots) to await deportation. To facilitate the process of
469 deportation, embassies are contacted to issue travel documents. The duration of detention is
470 often lengthy and dependant on the detainee's ability to finance repatriation costs, including
471 the purchasing of flight tickets.

472 "[Some] embassies have allocations to help finance repatriation of their citizens in detention,

473 but in a limited manner and [based] on the severity of the case. From my observation, most

474 *migrants have to get families to pay for tickets* [...]" Ryan, male, member of a local civil

6 475 society organisation.

476 Interviewees describe conditions at immigration detention camps as overcrowded and
 477 uncomfortable.

478 "[...] and what food you are giving? Just that small thing. And the hygiene was bad. And they
479 have to sleep on the floor. 3 persons in one room. Hardly they can turn, they can only sleep
480 like that." Cheah, female, member of a local civil society organisation.

481 "I have experience of visiting a Filipino who overstayed here, in XXX [immigration detention

482 *centre*]. I think it's not easy. They didn't have anything. They [the authorities] didn't provide

- 483 *bedding, even a sleeping mat. They [inmates are] just sleeping on the floor, on the cement.*
 - 484 And they didn't have a toothbrush [...]. Food is okay. It is limited, but still they can eat. But
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they had bad experience. They just sleep on the cement." Beth, female, a migrant worker
486 representative at a local civil society organisation.

487 Others explained that healthcare services available at the camps were minimal, with only a
488 paramedic stationed on-site. Detainees found to be ill are sent to public hospitals for further
489 clinical management. Dr Goh, a physician who investigated conditions at an immigration
490 detention centre following a major typhoid outbreak commented:

491 "This lockup (depot) was designed for 750 people, however, from our investigation, we found

492 out that there were almost 1,500 people are staying in that centre. There was overcrowding.

493 The conditions were not at all sanitary. The initial plans for the lockup were designed for a

494 cook- someone who cooks for all the inmates. But we discovered that the inmates cook for

495 each other. And the food that is provided there is mostly carbohydrate-based. And a lot of

496 them [detainees] do not have proper supervision in terms of medication. For example, TB

497 medication. DOTS (Directly observed treatment) is not carried out as per plan. Because

there is a lack of manpower." Dr Goh, female, physician.

DISCUSSION

We found that migrant health policies at destination countries were largely protectionist in nature, concerned with preventing the spread of communicable disease and the perceived excessive burden on national health systems due to in-migration. Generally, migrants are considered at particular risk for infectious diseases like HIV, tuberculosis and malaria, due to the relatively high disease prevalence, poor socio-economic conditions and weak health systems at origin countries ^{19 39-42}. Both Malaysia and China have mandatory medical screenings for migrant workers, with pre-departure screenings at origin countries required by Malaysia, and post-arrival screenings enforced by Malaysia and Yunnan province, China ^{32 43}. Contrary to narratives about migrants being infectious disease carriers, the reality may not be so dramatic. FOMEMA reported that just 3 in 100 migrant workers tested positive for infectious diseases in recent years ⁴⁴. Mandatory medical screenings are part of labour migration policies that determines health status as an eligibility criterion for entry into a country and stay for employment ⁴⁵. This is problematic from the human rights and ethical standpoints, as mandatory testing is conducted merely for immigration selection and not to improve the individuals' health. Also, mandatory HIV testing of migrant workers contravenes with international standards for informed consent, confidentiality, counselling and referral to treatment and care services ⁴⁶⁻⁴⁹. Our findings from both Yunnan province and Malaysia suggest that informed consent for HIV testing may be inadequate, as migrants were unsure of tests conducted, without access to pre- and post-test counselling. Our findings also suggest that communicable disease control programmes in Yunnan province. China are poorly received by migrants. Linguistic and culturally appropriate public

 $\frac{3}{5}$ 522 health interventions are key to acceptance and cooperation among non-citizen populations ⁵⁰⁻

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523 ⁵². We caution against the inadvertent negative stereotyping and stigmatisation of migrants 524 and suggest that public health interventions be guided by epidemiological evidence and 525 conducted with sensitivity. Furthermore, the lack of medical services for individuals 526 diagnosed with infectious disease both in China and Malaysia, combined with the fear of 527 deportation may hinder initiatives promoting testing of migrants ⁵³. A more pragmatic 528 approach towards disease control is needed without neglecting the provision of high quality 529 preventive and curative care, to ensure early detection and treatment of infections for the benefit of the individual migrant and society 45 54 55. 530

531 All countries in ASEAN and China have ratified the Convention on the Elimination of All Forms of Discrimination against Women (CEDAW), which recognises the right to sexual and 532 533 reproductive health as fundamental to women's health ⁵⁶. A core minimum state obligation in 534 fulfilling these international human rights agreements are the provision of access to quality, 535 affordable maternal health services that would prevent maternal mortality. Unlike Yunnan 536 province where maternal health services are available for non-citizens with certain 537 administrative requirements, immigration policies in Malaysia are a barrier to the access of 538 services at public facilities. The imposition of mandatory testing for pregnancy and HIV, has 539 been likened to a violation of the right to privacy and bodily integrity, and is an example of conflict between immigration policy, human rights and public health ⁵⁷. 540 541 Highlighted in this paper are the financial, language and legal barriers to healthcare access in 542 destination countries. Due to escalating healthcare costs and the revelation that foreigners 543 were consuming a sizable portion of the national health allocation, the Malaysian government substantially revised medical fees for non-citizens at public hospitals and clinics in 2014^{35 58}. 544 545 Our findings suggest that the government-mandated SPIKPA insurance for documented 546 migrants is easily breached due to high treatment costs ^{36 37 59 60}.

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Previous studies support our findings that low-skilled international migrants in China are
without health insurance ⁶¹. Although maternal and child health services are available for
non-citizens in Yunnan province, the utilisation of this service is questionable as stringent
administrative requirements and out-of-pocket payments needed to finance care make
hospital delivery inaccessible. Our findings in Malaysia and China suggest that high out-ofpocket payments and inadequate health insurance coverage are significant financial barriers
to access, resulting in healthcare avoidance amongst migrants.

Language discrepancies may induce psychological stress, misunderstanding of health risks and medically significant communication errors impacting the quality and effectiveness of healthcare delivery ⁶²⁻⁶⁶. Countries like Malaysia receive migrant workers from a multitude of countries. Such diverse backgrounds pose a challenge to health systems in coping with distinctive languages and cultures ²⁴. Not surprisingly, the implicit expectation of destination country health systems, seen here with examples from Malaysia and China, is for non-citizens to develop local language proficiency. We suggest that destination countries actively invest in developing migrant-sensitive health systems, engaging migrant communities as patient navigators and intercultural mediators, hiring professional interpreters and training culturally competent health professionals ⁶⁷⁻⁶⁹.

564 Undocumented migrants are an especially vulnerable group, as they lack the legal and social
 565 protections given to their documented counterparts. Denying healthcare to undocumented
 566 migrants increases disparities among this already vulnerable group ⁷⁰. Unlike Malaysia,
 567 China does not impose mandatory reporting between health facilities and immigration when
 568 undocumented migrants try to use services. The requirement for health workers in Malaysia
 569 to report non-citizens without appropriate documents ^{71 72}, is likely to have far-reaching
 570 implications on public health, healthcare expenditure and clinical practice^{70 73}.

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571 Malaysia has 14 immigration centres nationwide ⁷⁴, while there are none in Yunnan province, 572 China. Determining who enters or stays in a country is a matter of state sovereignty. However, the threat of detention as a means of deterring irregular migration is problematic ⁷⁵ 573 574 ⁷⁶. While states have the authority to regulate migration through immigration enforcement, 575 guarantees against arbitrary detention migrants are enshrined in international human rights instruments ⁷⁷⁻⁷⁹. The adverse health effects of detention on the mental and physical health of 576 migrants are well catalogued ⁸⁰⁻⁸². Conditions in immigration detention in Malaysia, are 577 578 reported here and elsewhere, as overcrowded, unsanitary and with insufficient medical care ⁸³⁻⁸⁶, representing a major public health challenge. The Mandela Rules, or the revised UN 579 580 Standard Minimum Rules for the Treatment of Prisoners, which states the minimum 581 standards for detention in prisons, are also applicable to immigration detention centres ⁸⁷ and 582 Malaysia should open their immigration detention centres for an independent audit to ensure 583 compliance with these minimum standards. 584 Low-wage migrants may return to home countries in poor health, requiring healthcare that is unavailable or unaffordable in countries of origin. With this is in mind, there are concerns 585 about the transferability of destination countries' social protection policies when migrants 586 587 return to countries of origin. One such scheme that will test the portability of benefits is the recently introduced Employment Injury Scheme for Foreign Workers under the Malaysian 588 589 Social Security Organization (EI-SOCSO) which provides life-long cash payments for

590 permanent disablement from injury or illness arising during the course of employment ⁸⁸.

We suggest that origin countries consider providing health insurance for the benefit of
returning workers. One such example is the mandatory insurance coverage provided for
overseas Filipino workers by employment agencies at no cost to the worker, as legislated by
Philippines law ⁸⁹.

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595	Improving migrant health requires commitment from both migrant-sending and receiving
596	countries, as well as bilateral and regional partnerships. Memorandum of Understandings
597	between migrant-sending and receiving countries could improve referral mechanisms to
598	ensure proper treatment of returnees with an infectious disease or occupational injuries.
599	Thailand has successful bilateral agreements with neighbouring Myanmar, allowing for
600	cooperation via the Nationality Verification and One Stop Service Centre regularisation
601	processes for migrants arriving in Thailand through irregular channels ⁹⁰ . The example of
602	cross-border coordination mechanisms for migration and health as demonstrated by Thailand
603	and Myanmar would benefit other strategic border areas with health concerns associated with
604	population movement. Countries should also build on commitments made on regional
605	platforms like the 2007 ASEAN Declaration on the Protection of the Rights of Migrant
606	Workers to protect and promote the rights of migrant workers in the region ^{91 92} .
607	Malaysia and China are both upper-middle-income countries with advanced health systems.
608	However, protectionist policies lead to unequal treatment of migrant workers resulting in
609	poorer availability of healthcare services compared to citizens. We hope that national efforts
610	towards achieving Universal Health Coverage (UHC) include migrant workers, following the
611	concept of 'leave no-one behind' envisioned in the 2030 Agenda for Sustainable
612	Development ⁷ .
613	Our study has several limitations. While the qualitative nature of this study precludes the
614	generalisation of findings, the experience gained by examining different perspectives gives us
615	insights into health policy and barriers accessing healthcare in different settings. Also, while
616	our study focuses on health in destination countries, we acknowledge that health and
617	healthcare in origin countries and during the travel and return phase of migration may impact
618	migrant well-being, thus have given some policy suggestions.

619 CONCLUSION

This study has unique contributions, as a comparative study of healthcare access and migrant health policy between China and Malaysia, two middle-income destination countries. We suggest options to mainstream the protection of migrant workers' health within national health policies to ensure that health systems are responsive to migrants' needs, as well as to strengthen bilateral and regional cooperation towards ensuring better migration management. Migration brings great benefits, fuelling socio-economic growth in migrant-sending and receiving countries. Yet, insufficient attention is paid to the health of migrant workers. The intersectional nature of healthcare and immigration policy in destination countries commodify human life, contradicting with public health needs and ethical norms. We appeal to governments to use human rights principles and national commitment towards Universal Health Coverage to guide the provision of migrant inclusive and sensitive healthcare accessible to all, for the betterment of individual and population health.

632 CONTRIBUTORSHIP STATEMENTS

633 Contributors: Both TL and DR conceptualised the study, analysed the data, managed the overall
634 design of the study and wrote the draft. NP provided comments on the draft and critically
635 revised content. All authors contributed to the final version of the manuscript.

COMPETING INTERESTS

637 The authors have declared that no competing interests exist.

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644 decision to publish, or preparation of the manuscript.

645 DATA SHARING STATEMENT

All data relevant to the study are included in the article or uploaded as supplementaryinformation.

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7 8	649	Figure 1. Migration phases framework
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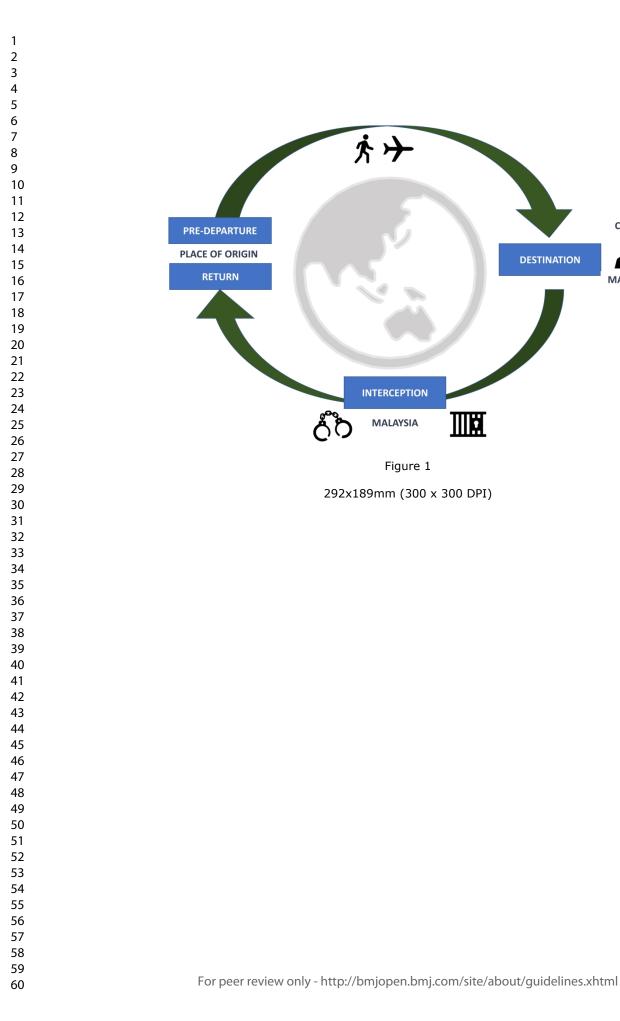
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MALAYSIA

DESTINATION



Reporting checklist for qualitative study.

Based on the SRQR guidelines.

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Complete this checklist by entering the page numbers from your manuscript where readers will find each of the items listed below.

Your article may not currently address all the items on the checklist. Please modify your text to include the missing information. If you are certain that an item does not apply, please write "n/a" and provide a short explanation.

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28				Page
29 30			Reporting Item	Number
31 32 33	Title			
34 35 36 37 38 39 40 41 42		<u>#1</u>	Concise description of the nature and topic of the study identifying the study as qualitative or indicating the approach (e.g. ethnography, grounded theory) or data collection methods (e.g. interview, focus group) is recommended	1
43 44	Abstract			
45 46 47 48 49 50		<u>#2</u>	Summary of the key elements of the study using the abstract format of the intended publication; typically includes background, purpose, methods, results and conclusions	2
51 52 53	Introduction			
54 55 56 57 58	Problem formulation	<u>#3</u>	Description and signifcance of the problem / phenomenon studied: review of relevant theory and empirical work; problem statement	5,9
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1 2 3 4 5	Purpose or research # question Methods		Purpose of the study and specific objectives or questions	5,9
6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24	Qualitative approach and research paradigm	<u>#5</u>	Qualitative approach (e.g. ethnography, grounded theory, case study, phenomenolgy, narrative research) and guiding theory if appropriate; identifying the research paradigm (e.g. postpositivist, constructivist / interpretivist) is also recommended; rationale. The rationale should briefly discuss the justification for choosing that theory, approach, method or technique rather than other options available; the assumptions and limitations implicit in those choices and how those choices influence study conclusions and transferability. As appropriate the rationale for several items might be discussed together.	8
25 26 27 28 29 30 31 32 33 34 35 36	Researcher characteristics and reflexivity	<u>#6</u>	Researchers' characteristics that may influence the research, including personal attributes, qualifications / experience, relationship with participants, assumptions and / or presuppositions; potential or actual interaction between researchers' characteristics and the research questions, approach, methods, results and / or transferability	9
37 38	Context	<u>#7</u>	Setting / site and salient contextual factors; rationale	8,9
 39 40 41 42 43 44 45 	Sampling strategy	<u>#8</u>	How and why research participants, documents, or events were selected; criteria for deciding when no further sampling was necessary (e.g. sampling saturation); rationale	8
46 47 48 49 50 51 52	Ethical issues pertaining to human subjects	<u>#9</u>	Documentation of approval by an appropriate ethics review board and participant consent, or explanation for lack thereof; other confidentiality and data security issues	10
52 53 54 55 56 57 58 59	Data collection methods	<u>#10</u>	Types of data collected; details of data collection procedures including (as appropriate) start and stop dates of data collection and analysis, iterative process, triangulation of sources / methods, and modification of	8,9
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		procedures in response to evolving study findings; rationale			
a collection ruments and hnologies	<u>#11</u>	Description of instruments (e.g. interview guides, questionnaires) and devices (e.g. audio recorders) used for data collection; if / how the instruments(s) changed over the course of the study	8,9		
ts of study	<u>#12</u>	Number and relevant characteristics of participants, documents, or events included in the study; level of participation (could be reported in results)	8,9		
Data processing		Methods for processing data prior to and during analysis, including transcription, data entry, data management and security, verification of data integrity, data coding, and anonymisation / deidentification of excerpts	9,10		
Data analysis <u>#14</u>		Process by which inferences, themes, etc. were identified and developed, including the researchers involved in data analysis; usually references a specific paradigm or approach; rationale			
chniques to enhance stworthiness	<u>#15</u>	Techniques to enhance trustworthiness and credibility of data analysis (e.g. member checking, audit trail, triangulation); rationale	9		
Results/findings					
ntheses and erpretation	<u>#16</u>	Main findings (e.g. interpretations, inferences, and themes); might include development of a theory or model, or integration with prior research or theory	11-24		
ks to empirical data	<u>#17</u>	Evidence (e.g. quotes, field notes, text excerpts, photographs) to substantiate analytic findings	11-24		
Discussion					
ergration with prior rk, implications, nsferability and ntribution(s) to the field For peer	<u>#18</u> review of	Short summary of main findings; explanation of how findings and conclusions connect to, support, elaborate on, or challenge conclusions of earlier scholarship; discussion of scope of application / generalizability; identification of unique contributions(s) to scholarship in a discipline or field	25-28		
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1 2	Limitations	<u>#19</u>	Trustworthiness and limitations of findings	29
- 3 4	Other			
5 6 7 8 9	Conflicts of interest	<u>#20</u>	Potential sources of influence of perceived influence on study conduct and conclusions; how these were managed	31
10 11 12 13 14	Funding	<u>#21</u>	Sources of funding and other support; role of funders in data collection, interpretation and reporting	31
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Healthcare for migrant workers in destination countries: a comparative qualitative study of China and Malaysia

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Healthcare for migrant workers in destination 1 countries: a comparative qualitative study of China 2 and Malaysia 3 Tharani Loganathan^{1*#a}¶, Deng Rui²¶, Nicola Suyin Pocock^{3, 4} 4 5 Affiliations 6 ¹Centre for Epidemiology and Evidence-based Practice, Department of Social and Preventive 7 Medicine, University of Malaya, Kuala Lumpur, Malaysia 8 ²School of Public Health, Kunming Medical University, Kunming, China 9 ³United Nations University - International Institute for Global Health (UNU-IIGH), Kuala

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7 21 8

Word Count: 7410

23 ABSTRACT

Objectives: This paper explores policies addressing migrant worker's health and barriers to healthcare access in two middle-income, destination countries in Asia with cross-border migration to Yunnan province, China and international migration to Malaysia. Design: Qualitative interviews were conducted in Rui Li City and Tenchong County in Yunnan Province, China (n=23) and Kuala Lumpur, Malaysia (n=44), along with review of policy documents. Data were thematically analysed. **Participants:** Participants were migrant workers and key stakeholders with expertise in migrant issues including representatives from international organisations, local civil society organisations, government agencies, medical professionals, academia and trade unions. **Results:** Migrant health policies at destination countries were predominantly protectionist, concerned with preventing transmission of communicable disease and the excessive burden on health systems. In China, foreign wives were entitled to state-provided maternal health services while female migrant workers had to pay out-of-pocket, and often returned to Myanmar for deliveries. In Malaysia, immigration policies prohibit migrant workers from pregnancy, however, women do deliver at healthcare facilities. Mandatory HIV testing was imposed on migrants in both countries, where it was unclear whether and how informed consent was obtained from migrants. Migrants who did not pass mandatory health screenings in Malaysia would runaway rather than be deported and become undocumented in the process. Excessive attention on migrant workers with communicable disease control campaigns in China resulted in inadvertent stigmatisation. Language and financial barriers frustrated access to care in both countries. Reported conditions of overcrowding and inadequate healthcare access at immigration detention centres raise public health concern.

Conclusions: This study's findings inform suggestions to mainstream the protection of

47 migrant workers' health within national health policies in two middle-income destination

48 countries, to ensure that health systems are responsive to migrants' needs, as well as to

49 strengthen bilateral and regional cooperation towards ensuring better migration management.

50 Word count: 296

51 Article Summary

- 52 Strengths and limitations of this study
- This is the first comparative qualitative study on health policies for migrant workers in two
 middle-income countries in Asia.
 - We offer practice and policy suggestions to mainstream migrant workers within national health policies.
 - Multiple stakeholders (health workers, civil society, government officials) insights were triangulated to identify cross-cutting themes.
- Limitations included difficulties sampling migrant workers, and lack of generalisable
 findings due to the qualitative nature of the study.

62 Key Words

63 Migration, migrant health, access to health, the right to health, LMIC, ASEAN, China

64 INTRODUCTION

International migration is an inevitable feature of today's globalized world and is critical for the economic development of many nations. In 2017, 258 million international migrants were estimated worldwide, with 80 million residing in Asia¹. There are an estimated 164 million international migrant workers globally², many of whom face significant health risks from workplace accidents and poor working conditions, leading to physical and psychological morbidities³. Despite these risks, just 6% of the global migration health literature focusses on migrant workers, relative to 25% on refugees and asylum seekers⁴. By recent estimates, there will be close to 1.4 billion new working-age people in developing countries by 2050, of whom around 40% are unlikely to find meaningful employment in their home countries⁵. This massive movement of people for work highlights the inevitability of economic growth and exchange, and yet international migrant workers are often considered a liability by destination countries despite their contributions to the economy. Potential health security and migrant control concerns have become essential policy drivers in many destination countries⁶. In contrast, the right to the highest attainable standard of health, regardless of citizenship or immigration status, is enshrined in the World Health Organization (WHO) constitution and numerous human rights instruments ⁷⁸. The rights-based commitment to the health of migrant populations is reiterated in the 2030 Agenda for Sustainable Development and the 2018 Global Compact for Safe, Orderly and Regular Migration 9-11. Among the Association of Southeast Asian Nations (ASEAN) countries and China, the ratification of international human rights treaties protecting the Right to Health is inconsistent, with only the Philippines and Indonesia, predominantly migrant-sending countries, ratifying the International Convention on the Protection of the Rights of All Migrant Workers and Members of their Families ¹² (Table 1).

Yunnan province, an important border province located in the southwest frontier area of China, shares substantial land borders (4,060 km) with Myanmar, Laos and Vietnam¹³. Through kinship networks, there are longstanding relationships of trade and ethnic interchange between local peoples along the four countries border lines ^{14 15}. More recently, cross-border migration is enhanced by economic reform and the 'opening up' policies, especially with the China-Myanmar Economic Corridor, integral to the Belt and Road Initiative ^{13 16 17}. Largely due to economic disparities between the two countries, an increasing number of Myanmar citizens cross national boundaries primarily in search of employment in China and others for marriage. Myanmar migrants are the fourth largest migrant community in China, and most reside in Yunnan province ¹⁸. Malaysia, a vibrant Southeast Asian economy with relative political stability, is a magnet for low-skill, low-wage labour migrants from across the region and increasingly Asia. Between 2008 and 2018, the official numbers of documented migrant workers doubled from 1.1 to 2.2 million, or 15% of the labour workforce in Malaysia¹⁹. The number of undocumented workers in Malaysia is less certain, with estimates of all migrant workers ranging from 3.9 to 5.5 million, including undocumented workers ²⁰. Of the 15 source countries, Indonesia

104 (35%), Bangladesh (28%), Nepal (15%), and Myanmar (6%) are the largest contributors of
105 migrant workers to Malaysia²¹.

Increasingly, migration is circular with health outcomes influenced by the cumulative social
determinants and health risks aggregated over the various phases of the migration cycle ^{22 23}.
In destination countries, migrant workers often fill undesirable low-skill, labour-intensive
jobs in potentially health-damaging work environments and face numerous challenges
including poor housing, discrimination, violence and exploitation, with restricted healthcare
access ²⁴⁻²⁶. This paper explores policies that address migrant workers' health and the barriers

1 2		
3 4	112	to healthcare access in two middle-income, destination countries in Asia with cross-border
5 6	113	migration to Yunnan province, China and international migration to Malaysia (Figure 1).
$\begin{array}{c} 7\\ 8\\ 9\\ 10\\ 11\\ 12\\ 13\\ 14\\ 15\\ 16\\ 17\\ 18\\ 19\\ 20\\ 21\\ 23\\ 24\\ 25\\ 26\\ 27\\ 28\\ 29\\ 30\\ 1\\ 32\\ 33\\ 45\\ 56\\ 7\\ 8\\ 9\\ 40\\ 41\\ 42\\ 43\\ 44\\ 56\\ 47\\ 48\\ 9\\ 50\\ 1\\ 52\\ 53\\ 56\\ 57\\ 58\\ 9\\ 60\\ \end{array}$	114	

Table 1. Ratification of international treaties protecting the Right to Health within ASEAN and China

0 1 2 3 4		Country	International Covenant on Economic, Social and Cultural Rights (ICESCR)	Convention on the Elimination of All Forms of Discrimination against Women (CEDAW)	International Covenant on Civil and Political Rights (ICCPR)	International Convention on the Elimination of All Forms of Racial Discrimination (ICERD)	Convention on the Rights of the Child (CRC)	International Convention on the Protection of the Rights of All Migrant Workers and Members of Their Families (ICMW)
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6 7		Migrant-sending countries*						
, 8		Cambodia	V	V	V	V	V	X
9		Indonesia	V	V	V	V	V	V
0		Lao PDR	V	V	V	V	V	X
1		Myanmar	V	V	x	X	V	X
2 3		Philippines	V	V	V	V	V	V
4		Vietnam	V	V	V	V	V	X
5		Migrant-receiving						
б		<u>countries*</u>						
7		Brunei Darussalam	x	V	X	x	V	X
8 9		Malaysia	x	V	x	x	V	X
0		Singapore	x	V	X	V	V	X
1		Thailand	V	V	V	V	V	X
2		China	V	V	X	V	V	X
	116	*predominantly; ASEAN - A	Association of Sout	heast Asian Nation	ns			
+ 5	117	Source: ²⁷						
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MATERIALS AND METHODS

Study design

Qualitative methods were used in an exploratory, iterative design to describe and compare available healthcare policies and determine barriers in accessing healthcare experienced by migrant workers in Yunnan province, China and Malaysia.

Definition of terms

"Migrant" is a broad term. Here we focus on international labour migrants or migrant workers, both documented and undocumented, in Malaysia and Yunnan Province in China. Refugees, asylum seekers, victims of trafficking, people moving for marriage and expatriates are not included in this study.

A migrant worker or a foreign worker is defined here as a person who crosses international borders for the purpose of employment. Documented or regular migrants are authorised to enter, stay and partake in employment in a country and are in possession of legal documents such as passports and work permits. Undocumented or irregular migrants do not have the required documentation or authorisation to enter, reside or carry out remunerated activities in a country ²⁸ ²⁹.

Patient and public involvement

The topic guides for Malaysia and China were informed by review of literature and crosscountry meetings to discuss research priorities. In Malaysia, purposive sampling of participants from a previous migration health workshop in November 2017³⁰ was conducted, with further snowball sampling until no new participants were identified. In China, purposive sampling was also used to select key informants in two study sites. Topic guides were slightly adapted

throughout the study to account for participant's priorities identified during interviews.
Malaysia results were shared with research participants at a workshop in Kuala Lumpur in
December 2019. In China, results were disseminated at the 10th International Conference on
Public Health among Greater Mekong Sub-Regional Countries in November 2018.

Data collection

The health and welfare of migrant workers are contentious, with issues concerning immigration status being particularly sensitive. As such, we did not specifically target migrant workers only for interviews. We aimed to capture viewpoints of different stakeholders with expertise in migrant issues to obtain a broader understanding different policies and access to healthcare for this population. We conducted in-depth interviews with stakeholders including representatives from international organisations (IOs), local civil society organisations (CSOs), government agencies, medical professionals, academia, trade unions and others, in China and Malaysia. Semi-structured interview guides were developed to seek participants' perspective on barriers

to healthcare access for migrant workers. These guides were further customised to suit the
 organisational background of participants and were applied to both countries. Please see the
 interview guide in the Supplementary File.

Data collection was conducted between July and September 2018 in China and from July 2018 to July 2019 in Malaysia. Researchers purposefully recruited and interviewed migrant workers and key informants working closely with migrant workers. Further snowball sampling was conducted in each study site until the research teams agreed that additional interviews would not yield new information, as thematic saturation was reached. In China, interviews were conducted with 23 participants in two border counties, Rui Li City and Tenchong County in Yunnan Province. While, interviews of 44 individuals were conducted in Kuala Lumpur, Malaysia. In China, individual interviews were conducted either

 in Mandarin or Myanmar language by two researchers (one researcher was able to speak the
Myanmar language.) In Malaysia, individual interviews were conducted either in English or
Bahasa Malaysia (Malay language) depending on the participants' preference, by the multilingual research team. No interpreters were used. Table 2 describes the main characteristics
of the study participants.

170	Table 2.	Characteristics	of study	participants
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	China	Malaysia
Organisation type		
Local civil society organisations	2	10
International organisations	0	4
Trade unions	0	3
Medical doctors	2	13
Academia	0	3
Government officials	4	2
Industry	0	5
<u>Migrant workers</u>		
Male	4	2
Female	11	2
Total	23	44

Data Analysis

The audio-recordings were transcribed verbatim into local languages. We conducted thematic
analysis as described by Braun and Clarke, where themes or patterns of meaning within data
were identified and reported using six phases: becoming familiar with the data, generating
initial codes, searching for themes, reviewing themes, defining themes and producing the
report³¹.

Data analysis was conducted in an immersive, exploratory, iterative and inductive manner,
 initially separately in each country. Researchers in country teams reviewed and analysed
 transcripts independently, with regular discussions between researchers to refine codes and
 identify new themes. Transcripts were coded into emerging themes using NVivo 12 Pro

software and Microsoft Excel across research teams. Following initial analysis in both
countries, selected quotations were extracted and were translated into English. Subsequently,
the authors examined codes to identify the broader pattern of themes and subthemes across
both countries. Desk review of policy documents including circulars, legal documents and
memos, served to contextualise and triangulate qualitative findings.

Reflexivity

Interviews in both countries were conducted by teams of academic researchers and medical doctors, that could be perceived as trusted authority figures. To minimize potential effects of social distance and power imbalances between researchers and participants, most interviews were conducted locations of the participants' choosing, in a space they were comfortable in and at a time convenient to them. Migrant participants, in particular, were assured that they could refuse to answer questions or could choose to end the interview at any time. In doing so, we hoped that participants felt that they could exert a degree of control over the interview process.

Ethics

We sought to minimise harm to study participants by assuring anonymity and confidentiality. Written informed consent was obtained at recruitment. All participants agreed to be audio recorded and quoted anonymously in publications. Given the sensitive nature of this research, patients were not asked to divulge personal identification information. Data was anonymised using pseudonyms and general descriptors without any identifying information. Study participation was entirely voluntary, and participants were informed that they could refuse to answer questions or terminate interviews at any point. We gave small gifts costing less than 5 USD as tokens of appreciation for interviewees at both study sites. Electronic data such as

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- 205 audio files and transcripts were stored in secure servers, while other material was secured in
- 206 locked cupboards at researcher's offices.
- 207 Ethical approval was obtained from Institutional Review Boards at University Malaya,
- 208 Malaysia (UM.TNC2/UMREC-238) and Kunming Medical University, China
- 209 (kmu42018049), as well as the Medical Research and Ethics Committee, Ministry of Health,
- 210 Malaysia (NMRR-18-1309-42043).

RESULTS

Study findings are presented by country and organised into major themes: policy setting, maternal and child health services, infectious disease and migrant workers, financial and language barriers to healthcare, and health in detention. Table 3 summarises major study findings.

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CHINA Policy setting	MALAYSIA Policy setting
 China lacks a cohesive national healthcare policy for international migrant workers, however Yunnan province, a major border province, has several programmes for the management of cross-border migrants. Maternal and child health services Safe motherhood packages are provided for migrant women and foreign 	 Malaysia has several national healthcare policies for documented migrant workers including predeparture, pre-employment and annual medical examinations, and a government-mandated insurance scheme Maternal and child health services Migrant workers found pregnant are subject to termination from
 spouses with legal documents Rigid administrative requirements including requirements for antenatal records at the facility are a barrier to hospital delivery Infectious diseases and migrant workers In addition to mandatory medical screenings by the immigration office, targeted infectious disease surveillance of non-citizens is common Excessive attention on infectious disease control programmes targeted at migrant workers has resulted in stigmatisation Language barriers and the lack of cultural sensitivity may have exacerbated migrants' distrust of healthcare providers Free anti-retroviral treatment is provided conditionally to HIV infected non-citizens; however, these policies were designed to discourage crossborder travel specifically for HIV treatment Financial and language barriers to healthcare Medical treatment is unaffordable to migrant workers, since they are not covered by the Chinese health insurance Migrants face difficulties in communicating and navigating the healthcare system and often bring informal interpreters to accompany them to be a streament is provided in the provided i	 employment and deportation Pregnant migrant workers tend to avoid healthcare due to financial barriers and immigration restrictions, possibly resulting in poor obstetr outcomes Infectious diseases and migrant workers Migrant workers are obliged to undergo mandatory infectious disease screenings several times as an immigration requirement Migrant workers failing medical examinations are denied work permits and are subject to deportation. Failing medical examinations is a possible reason for 'undocumented' status. Concerns were raised on the quality of informed consent for HIV testing, proper explanation and confidentiality of infectious disease test results Financial and language barriers to healthcare SPIKPA insurance is inadequate in compensating the high fees charged to non-citizens at public hospitals, and does not cover outpatient care As there are no interpreter service in the healthcare system, the common expectation is for migrant workers to learn the Malay language or to bring a common to act on an informal interpreter
them to hospital	 bring a companion to act as an informal interpreter Health in detention Undocumented workers are sent to immigration detention centres, ofter for lengthy periods, to await deportation Conditions at immigration detention camps have been described as overcrowded, with limited available healthcare facilities

218 China

219 Policy setting

Over the past two decades, the Chinese health system has undergone significant reform with the aim of providing safe, effective, and affordable health care for citizens. Currently, Chinese citizens enjoy comprehensive medical services through government subsidised health insurance packages that are broadly location-based and designed specifically for social groups, such as urban employees, urban residents and rural residents. The policy for medical insurance as well as the household registry system, known as *hukou*, whereby citizens are registered by location of residence, have created 'geographical barriers' for internal migrants due to difficulties claiming health insurance across provinces ^{32 33}. Despite growing numbers of international migrants, China lacks a cohesive national policy on the provision of healthcare for international migrant workers, who are not included in national health insurance schemes.

In contrast, Yunnan province has initiated several programmes to better facilitate the management of migrant workers. International Migrant Service and Management Centres have been established at border towns, such as Ruili city, the largest Sino-Burmese border port in Yunnan province. This centre established in June 2013, provides migrants with one-stop services to obtain the following documents: (1) health certificate, (2) work permit, (3) trading certificate and (4) temporary residential permit ³⁴. According to local regulations, migrant workers who intend to temporarily reside in China for over a month are required to apply for these documents within three days of crossing the border and must have either a passport or a China-Myanmar border pass, and an employment contract with a Chinese company for applications ³⁵. China does not have mandatory pre-departure health requirements for low-wage migrant workers.

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1 2		
3 4	242	According to officials interviewed, undocumented migrants are not allowed to work in China.
5 6	243	The Chinese government conducts random inspections at border areas and those identified
7 8 9	244	without the necessary documents are placed under temporary placement under the local
9 10 11	245	police station before being repatriated to host countries within a few days. At the time of this
12 13	246	study, there were no immigration detention centres in Yunnan province, China.
14 15	247	Specific health programmes for documented migrants in Yunnan province include maternal
16 17 18	248	and child health services for non-citizen women and infectious disease prevention
19 20	249	programmes at border areas.
21 22 23	250	Maternal and child health services
23 24 25	251	In border areas of Yunnan province, local health authorities provide a safe motherhood
26 27	252	package for migrant women with legal identity certificates. Foreign spouses with legal
28 29 30	253	marriage certificates are eligible for national health insurance with equal benefits as Chinese
30 31 32	254	citizens. For example, the Health and Family Planning Commission of Whenshan prefecture,
³³ ₃₄ 255 Yu ³⁵ ₃₆ 256 mig		Yunnan issued guidelines for migrant maternal and child health services in January 2018. All
		migrant mothers, including foreigners who have stayed in Whenshan for more than six
37 38 39	257	months, are eligible to obtain similar maternal and child health services as citizens ³⁶ .
40 41	258	However, compared with foreign spouses, female migrant workers would have to pay out-of-
42 43	259	pocket for these services, since she has no Chinese citizenship or household registration.
44 45 46	260	If a migrant worker is pregnant, she can visit any local hospital for maternity care. She would
40 47 48	261	be eligible for hospital delivery, conditional on having antenatal records at that particular
49 50	262	hospital. Owing to rigid guidelines, many foreign women were denied admission for delivery
51 52 53	263	on account of their lacking antenatal records at specific hospitals.
53 54 55	264	"My friend went to three hospitals before she was finally admitted for delivery. In the past, it
56 57	265	was convenient to have children in X town. But now the government is strict on standardized
58 59 60	266	medical management. Because she did not have an antenatal check-up at this hospital, there

was no way to be admitted. Finally, we went to Y hospital. At that time her condition was very bad, very painful, as the baby was about to be born. So, the doctor let her stay, and finally, the child was born safely. Myanmar migrants used to choose to have children in Xtown. But now they must have tests and other records before they can be hospitalized. Many women have to go back to Myanmar to deliver a baby." Mei, female, migrant school administrator. Infectious disease and migrant workers According to government officials interviewed, Myanmar migrants, were perceived as a source of infectious diseases transmission, such as HIV and dengue fever due to their mobility and convoluted social relationships. Consequently, targeted infectious disease surveillance of non-citizens has become common practice at border townships. HIV testing is compulsory for migrant workers entering China. To obtain a Health Certificate for International Travel, migrant workers must complete a post-arrival medical screening, which includes blood tests, urine tests, chest X-rays and mandatory HIV testing, within three days of arrival into China. Since the health certificate is only valid for a year, migrant workers must be screened annually for infectious diseases including HIV. These tests cost around 20 USD and are paid for by the migrant worker. Local health authorities also implement health intervention projects, including community-based health promotion campaigns targeting migrants at their settlements, for example, health education for landlords of migrants, distribution of free condoms and HIV screening. These

287 activities are in addition to the mandatory screenings conducted by the immigration office to

288 obtain health certificates. Although well-intended, the excessive attention given to migrant

289 workers with regards to infectious disease has inadvertently caused prejudice and

290 stigmatization. One community worker described the following:

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"We have a lot of Myanmar migrants here and it's very difficult to do our work. We don't know their language. We don't have their phone number. Some people don't even have a cell phone. Many of them have come to X town, so they must have a temporary residence permit, and those in the factory have had to apply for a health card. They [government authorities] have already drawn blood and tested it. When we [health workers] go to the community, they don't want to do the blood test [migrants refuse]. They look for various excuses not to draw blood. When they see us in the community, they start running, and they don't come at all. It is perceived [by migrants] that we are drawing blood over and over again. They are disgusted and repelled." Chen, male, community health worker.

Although informed consent is obtained prior to screenings by the immigration office, our findings suggest that migrants understanding of health activities that they are consenting to, is lacking. Language barriers and the lack of cultural sensitivity have likely exacerbated migrants' distrust of healthcare providers.

The local government conditionally provides free anti-retroviral treatment to HIV infected Myanmar citizens. Two groups of Myanmar migrants are eligible, these are long-time residents who have stayed in China for at least six months with valid identity documents, and migrant wives with valid marriage documents (which may consist of a marriage certificate or a letter from the village office) ³⁷. To discourage foreign patients from coming to China to obtain free medical services, non-citizens are not provided with free investigations or hospitalisations for HIV infections.

311 'Free services for all are not feasible since policies related to HIV treatment and health care
312 are not consistent between the two countries. If we are the only ones offering free services,
313 the patients from the Myanmar side would flood into China. We are not capable to deal with

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3 4	314	this situation due to limited financial and human resources which are allocated according to		
5 6 7	315	domestic population rather than international migrants. 'Yang, female, government official.		
8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38	316	Resource constraints meant that policies were deliberately designed to discourage care-		
	317	seeking for HIV treatment among cross-border migrants in China.		
	318	Financial and language barriers to healthcare		
	319	Those interviewed revealed that Myanmar migrants rarely seek medical services in China,		
	320	mainly due to financial and language barriers.		
	321	Medical treatment at public hospitals is expensive and unaffordable to low-wage migrant		
	322	workers. Since they are not covered by health insurance, migrant workers are subject to high		
	323	out-of-pocket payments when seeking care. Also, most employers will not pay for medical		
	324	expenses or purchase medical insurance for employees. As such, most migrant workers resort		
	325	to self-treatment or return to Myanmar border towns for healthcare.		
	326	"People who come out to work are generally in good health. Most of us are young people.		
	327	Old people [migrants] do not come out to work, because the cost of medicine is very		
	328	expensive in China. It is not worth the money to see a doctor when you are sick. We will buy		
39 40	329	medicine for ourselves if we are ill [self-medicate]. If we have a slightly more serious illness,		
41 42	330	almost all of us will go back to Myanmar for treatment. Because seeing a doctor in China is		
43 44 45	331	too expensive. We usually go back to Myanmar to see a doctor for about 50 yuan (less than		
46 47	332	10 USD), but in China, 50 yuan is not enough. If we must be hospitalized, we must pay a		
48 49	333	deposit of 5000 yuan (about 800 USD). That is impossible for us, Myanmar[workers] to get		
50 51 52	334	so much money all at once. We don't have to pay deposit in Myanmar, we pay the bill when		
52 53 54 55 56	335	we leave the hospital." Tok, male, migrant worker.		
	336	This participant implied that high healthcare costs in China deterred older workers from		
57 58 59 60	337	Myanmar from migrating.		

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One interviewee suggested that given the opportunity, Myanmar migrants would opt-in to
Chinese health insurance scheme, as that would lessen their financial burden while providing
healthcare access.

"China has good medical facilities. Rich Myanmar people will choose to see a doctor in China, but for the average Myanmar people, they cannot do the same. People have heard that China has health insurance, but Myanmar migrants can't buy it. For Myanmar migrants like us who live in China for a long time, we really want to be able to buy Chinese health insurance, and the grade of insurance can be divided into different amounts. Everyone can buy health insurance according to their own ability. There are many Myanmar laborers in China, it will be much more for them, if they have health insurance, they can also reduce the economic burden." Yang, female, migrant worker Migrant workers tend to avoid public hospitals because of language barriers. Very few healthcare workers speak Myanmar languages, and migrants face difficulties in communicating and navigating the health system. Migrant workers often need to find a Chinese language interpreter, either a colleague or friend, to accompany them when seeking care at hospitals. This migrant worker expressed frustration at the lack of language-friendly services in China and suggested improvements.

"My friends have told me that when they go to see a doctor, because the patients do not understand what the doctor says, and the doctors will be angry [with them] after asking many times [questions repeatedly]. I hope there is a Chinese-Myanmar contrast (bilingual information) at every window of the hospital, so that we can know which department we should go to. The staff of the guidance desk should speak Burmese. If possible, I hope they can recruit Burmese-speaking doctors, so that it be much easier [for us]. I hope that doctors will be more patient, communicate with us with simple words and speak slowly, so we can understand." Nuinui, female, migrant worker.

While this participant described Burmese doctors as a possible solution to language barriers, we did not come across any instances of this occurring within two border counties studied. Foreign doctors must pass the Chinese medical exams and have a valid medical diploma, making it very difficult to practise in China. Furthermore, there are no formal interpreter provisions in the Chinese health system, which is also the case in Malaysia ³⁰. to per terien ony

Malaysia

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Policy setting Being a net migrant-receiving country, Malaysia has several health policies in place for migrant workers. It is mandatory for foreign nationals seeking employment in Malaysia to have undergone a pre-departure medical examination prior to entry into Malaysia. The Ministry of Health, Malaysia with the cooperation of migrant-sending countries selects clinics to conduct pre-departure medical examinations of prospective workers. Pre-departure medical examination generally consists of infectious disease screening for HIV and tuberculosis³⁸. In addition, the Malaysian government established the Foreign Workers Medical

Examination and Monitoring Agency (FOMEMA) to carry out pre-employment medical examinations, within the first month of arrival into Malaysia and subsequently annual medical examinations when renewing work permits, at private clinics approved by the FOMEMA. These medical examinations are paid for by employers, and all documented migrant workers are mandatorily tested for tuberculosis, HIV, syphilis, hepatitis B, malaria, leprosy, pregnancy (for women), drug abuse, hypertension, diabetes mellitus, cancer, epilepsy and psychiatric illness ^{39 40}.

Malaysia has a mixed public-private healthcare system. The public healthcare system is subsidized for citizens, but non-citizen fees are much higher ⁴¹. At the same time, a government-mandated compulsory insurance scheme, the Hospitalisation and Surgical Scheme for Foreign Workers (SPIKPA) provides documented workers with a maximum of RM 20,000 (4,800 USD) annually for in-patient care and surgery in public hospitals⁴². Some employers pay for workers treatment at private clinics, but this is not a legal obligation, as such it is not standard practice ⁴³.

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393 Maternal and child health services

394 Due to immigration requirements, female migrant workers are prohibited from marrying or 395 becoming pregnant in Malaysia. Those testing positive for pregnancy will not be granted work permits and are subject to deportation ^{44 45}. While there are no specific public antenatal 396 397 or delivery services offered for migrant workers in Malaysia, in practice women do give birth 398 in healthcare facilities, often at private facilities and at high cost. While public healthcare 399 facilities will not deny patients necessary medical care, healthcare providers are obliged to 400 report undocumented workers to the police and immigration authorities. This physician 401 explains the situation at public Maternal and Child Health Clinics.

402 "It is very sad for refugees or illegal migrants who do not have any passport or documents

403 [UNHCR cards or valid work permit], they will [need documents to] register at the counter.

404 If they need to see the Family Medicine Specialist [FMS], foreigners, they have to pay extra –

405 maybe RM 30 [8 USD] or something like that. They will register and pay the money, while

406 she is inside seeing the doctor, the police are already outside, waiting for her. Once she is

407 out, she will be caught by the police. This has happened many times at the 'Klinik Ibu dan

408 Anak' [public Maternal and Child Health Clinic]." Dr Lucy, female, physician

409 Other CSO interviewees informed of incidents of undocumented migrant women being taken410 to immigration detention centres immediately after delivery at public hospitals.

411 Due to immigration restrictions at public facilities and the expense of antenatal care at 412 private facilities, non-citizens tend to present late for booking and default follow-up. Some 413 migrants prefer to deliver at home, assisted by traditional midwives. This doctor expressed 414 concern that non-citizens often present late at hospitals for delivery, without prior antenatal 415 follow-up.

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416 "They usually come in emergency, like ectopic[pregnancy] with abdominal pain. My 417 colleagues, they will say, 'Bila dah almost deliver baru datang?' (Sarcastic: You only come to hospital when you're about to deliver?). Because they didn't follow up during the 418 419 antenatal stage. Because of financial and other reasons, they only come at the late stage 420 [...]" Dr Nazirah, female, physician 421 Participants shared that the inadequate antenatal care, late presentation for hospital delivery 422 and home deliveries may result in poor obstetric outcomes. 423 Infectious disease and migrant workers 424 The Malaysian government requires a pre-departure medical examination to be conducted at designated clinics in migrant-sending countries. Some interviewed questioned the quality of 425 426 medical screenings conducted in migrant-sending countries, due to the lack of regulation and 427 potential corruption. 428 "The medical tests done in the country of origin is not audited. So, there is a lot of instances where people do not pass the medical test, but they obtain a certificate and then they come 429 430 here. When they come here, the requirement is that the medical test [pre-employment 431 screening done on arrival in Malaysia] results must be submitted with the application to do 432 your work permit. Only then will your work permit will be approved. What we see is, when 433 they come here, they fail the medical test." Priya, female, civil society organisation. 434 In order to obtain a work permit, migrant workers are required to undergo a mandatory pre-435 employment medical examination within the first month of arrival. Mandatory annual 436 medical examinations are conducted annually for the first 3 years, and subsequently every 437 alternate year for a maximum of ten years of employment in Malaysia. The consequence of 438 failing these mandatory medical examinations is severe, as workers are denied work permits 439 and are subject to deportation. This interviewee informed that failing medical examinations is 60

a possible reason for migrant workers to become undocumented. "Once they have got leprosy or mental health disease or ... [failed medical examination], they are deemed 'unfit' or unsuitable [for employment]. So, once you deem them unsuitable [unfit] in your medical examination, the employer has to send them back. And THAT is where a lot of problems arise. Because when they [migrant workers] come to know that they have to be sent back, they disappear. And they become 'undocumented'. This is a huge problem." Dr Amir, male, a doctor in private practice. Some interviewed shared that screenings were for medically treatable conditions and should not preclude employment. This interviewee felt that infectious diseases, like tuberculosis (TB) is of public health concern and should be treated upon detection. "Yes, TB also, to some extent, it can be contained, right? And it is medically treatable. He [the migrant worker] is not incapable of working. The moment he has TB, what they do is, they pack him and send him back home. And (if) this guy doesn't get back home and get treatment-he's going to spread it to others. You've got to treat the disease before you actually send him back home." Rosa, female, country coordinator of an international organisation. Medical doctors interviewed explained that while general consent is obtained for medical screenings, the consent obtained is not specific for HIV testing. There was also concern expressed on patient confidentiality, as employers are informed of investigation results. "We obtain a general consent for blood STD (sexually transmitted disease) investigation as our regulations, but not specifically for HIV. If found positive, we need to call the worker and the employer, as we need to explain to the employer the reason the worker needs to be sent

back. So, confidentiality is affected there. "Dr Sashi, male, a doctor in private practice.

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463 Others expressed concern that migrant workers are not properly informed of test results and 464 are uncertain of their infectious disease status even though testing is mandatory. "A lot of them just do not know [their status]. I think once they go through FOMEMA 465 466 screening, the least they can do is be made aware of the HIV status. Of course, they wouldn't be able to come in [to the country]. But it would be good for them to know, even if they are 467 468 being sent back - to know their HIV status." John, male, an academic. 469 The implication is that workers were only informed of HIV test results in terms of pass or 470 fail, and are not given detailed results, or post-test counselling. Financial and language barriers to healthcare 471 472 Healthcare costs are a major barrier for migrant workers accessing healthcare in Malaysia. 473 Participants felt that the coverage by the SPIKPA insurance is inadequate in compensating 474 the considerably higher fees charged to non-citizens at public hospitals and clinics. Furthermore, SPIKPA does not cover outpatient treatment. Consequently, migrant workers 475 are likely to pay out-of-pocket for healthcare. 476 "We migrants only have insurance for hospitalisation. When we are ill, our employers say, 'I 477 478 can't give you medicine. I won't send you for treatment.' Why? It costs a lot! If you want medication, it's up to you. You buy yourself." Yat, male, migrant worker and union organiser 479 480 Migrant workers prioritise sending money home to families and are less willing to spend money on healthcare. Participants described healthcare avoidance and the use of traditional 481 482 medicine as a common practice among migrant workers. 483 As there are no formal interpreters in the Malaysian healthcare system, we found that the 484 common expectation is for migrant workers to learn the Malay language or to bring a 485 companion to act as an informal translator. Healthcare providers also use various methods to 486 communicate with patients including sign language, gestures, Google translate and others.

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Doctors interviewed express frustration as language barriers hinder communication and patient management. "Those from Myanmar [have a] major barrier when it comes to communication. Bangladeshis pickup Malay very, very fast. They only need to work here about a year and then they will be able to have a full conversation with you. Whereas the Burmese, they are still very backward with the language. Nepalese, some of them can speak English quite well. The Myanmar group is very difficult. Very, very difficult. It's literally sign language and acting out the illness, and all sorts of things." Dr Ram, male, a doctor in private practice This medical practitioner explained that there were notable differences faced by different migrant populations and their ability to communicate in the Malay language. Health in detention Immigration offences like illegal entry and stay are criminal offences in Malaysia. Many undocumented workers are arrested and imprisoned, before being sent to immigration detention centres (immigration depots) to await deportation. To facilitate the process of deportation, embassies are contacted to issue travel documents. The duration of detention is often lengthy and dependant on the detainee's ability to finance repatriation costs, including the purchasing of flight tickets. "[Some] embassies have allocations to help finance repatriation of their citizens in detention, but in a limited manner and [based] on the severity of the case. From my observation, most migrants have to get families to pay for tickets [...]" Ryan, male, member of a local civil society organisation. Interviewees describe conditions at immigration detention camps as overcrowded and uncomfortable. "I have experience of visiting a Filipino who overstaved here, in X [immigration detention centre]. I think it's not easy. They didn't have anything. They [the authorities] didn't provide

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3 4 5 6	512	bedding, even a sleeping mat. They [inmates are] just sleeping on the floor, on the cement.
	513	And they didn't have a toothbrush []. Food is okay. It is limited, but still they can eat. But
7 8 9	514	they had bad experience. They just sleep on the cement." Beth, female, a migrant worker
9 10 11	515	representative at a local civil society organisation.
12 13	516	Others explained that healthcare services available at the camps were minimal, with only a
14 15	517	paramedic stationed on-site. Detainees found to be ill are sent to public hospitals for further
16 17 19	518	clinical management. Dr Goh, a physician who investigated conditions at an immigration
 18 19 20 21 22 23 24 25 26 27 28 29 	519	detention centre following a major typhoid outbreak commented:
	520	"This lockup (depot) was designed for 750 people, however, from our investigation, we found
	521	out that there were almost 1,500 people are staying in that centre. There was overcrowding.
	522	The conditions were not at all sanitary. The initial plans for the lockup were designed for a
	523	cook- someone who cooks for all the inmates. But we discovered that the inmates cook for
30 31	524	each other. And the food that is provided there is mostly carbohydrate-based. And a lot of
32 33	525	them [detainees] do not have proper supervision in terms of medication. For example, TB
34 35 36	526	medication. DOTS (Directly observed treatment) is not carried out as per plan. Because
37 38	527	there is a lack of manpower." Dr Goh, female, physician.
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DISCUSSION

We found that migrant health policies at destination countries were largely protectionist in nature, concerned with preventing the spread of communicable disease and the perceived excessive burden on national health systems due to in-migration. Generally, migrants are considered at particular risk for infectious diseases like HIV, tuberculosis and malaria, due to the relatively high disease prevalence, poor socio-economic conditions and weak health systems at origin countries ^{23 46-49}. Both Malaysia and China have mandatory medical screenings for migrant workers, with pre-departure screenings at origin countries required by Malaysia, and post-arrival screenings enforced by Malaysia and Yunnan province, China ^{38 50}. Contrary to narratives about migrants being infectious disease carriers, the reality may not be so dramatic. FOMEMA reported that just 3 in 100 migrant workers tested positive for infectious diseases in recent years ⁵¹. Mandatory medical screenings are part of labour migration policies that determines health status as an eligibility criterion for entry into a country and stay for employment ⁵². This is problematic from the human rights and ethical standpoints, as mandatory testing is conducted merely for immigration selection and not to improve the individuals' health. Also, mandatory HIV testing of migrant workers contravenes with international standards for informed consent, confidentiality, counselling and referral to treatment and care services ⁵³⁻⁵⁶. Our findings from both Yunnan province and Malaysia suggest that informed consent for HIV testing may be inadequate, as migrants were unsure of tests conducted, without access to pre- and post-test counselling. Our findings also suggest that communicable disease control programmes in Yunnan

province, China are poorly received by migrants. Linguistic and culturally appropriate public

- health interventions are key to acceptance and cooperation among non-citizen populations 57-

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552 ⁵⁹. We caution against the inadvertent negative stereotyping and stigmatisation of migrants 553 and suggest that public health interventions be guided by epidemiological evidence and 554 conducted with sensitivity. Furthermore, the lack of medical services for individuals 555 diagnosed with infectious disease both in China and Malaysia, combined with the fear of 556 deportation may hinder initiatives promoting testing of migrants ⁶⁰. A more pragmatic 557 approach towards disease control is needed without neglecting the provision of high quality 558 preventive and curative care, to ensure early detection and treatment of infections for the benefit of the individual migrant and society ^{52 61 62}. 559

560 All countries in ASEAN and China have ratified the Convention on the Elimination of All Forms of Discrimination against Women (CEDAW), which recognises the right to sexual and 561 562 reproductive health as fundamental to women's health ⁶³. A core minimum state obligation in 563 fulfilling these international human rights agreements are the provision of access to quality, 564 affordable maternal health services that would prevent maternal mortality. Unlike Yunnan 565 province where maternal health services are available for non-citizens with certain 566 administrative requirements, immigration policies in Malaysia are a barrier to the access of 567 services at public facilities. The imposition of mandatory testing for pregnancy and HIV, has 568 been likened to a violation of the right to privacy and bodily integrity, and is an example of 569 conflict between immigration policy, human rights and public health ⁶⁴. 570 Highlighted in this paper are the financial, language and legal barriers to healthcare access in 571 destination countries. Due to escalating healthcare costs and the revelation that foreigners 572 were consuming a sizable portion of the national health allocation, the Malaysian government substantially revised medical fees for non-citizens at public hospitals and clinics in 2014⁴¹⁶⁵. 573 574 Our findings suggest that the government-mandated SPIKPA insurance for documented migrants is easily breached due to high treatment costs ^{42 43 66 67}. 575

Previous studies support our findings that low-skilled international migrants in China are without health insurance ⁶⁸. Although maternal and child health services are available for non-citizens in Yunnan province, the utilisation of this service is questionable as stringent administrative requirements and out-of-pocket payments needed to finance care make hospital delivery inaccessible. Our findings in Malaysia and China suggest that high out-ofpocket payments and inadequate health insurance coverage are significant financial barriers to access, resulting in healthcare avoidance amongst migrants.

Language discrepancies may induce psychological stress, misunderstanding of health risks and medically significant communication errors impacting the quality and effectiveness of healthcare delivery ⁶⁹⁻⁷³. Countries like Malaysia receive migrant workers from a multitude of countries. Such diverse backgrounds pose a challenge to health systems in coping with distinctive languages and cultures ³⁰. Not surprisingly, the implicit expectation of destination country health systems, seen here with examples from Malaysia and China, is for non-citizens to develop local language proficiency. We suggest that destination countries actively invest in developing migrant-sensitive health systems, engaging migrant communities as patient navigators and intercultural mediators, hiring professional interpreters and training culturally competent health professionals ⁷⁴⁻⁷⁶.

²593 Undocumented migrants are an especially vulnerable group, as they lack the legal and social
 ⁴594 protections given to their documented counterparts. Denying healthcare to undocumented
 ⁶595 migrants increases disparities among this already vulnerable group ⁷⁷. Unlike Malaysia,
 ⁸596 China does not impose mandatory reporting between health facilities and immigration when
 ⁹597 undocumented migrants try to use services. The requirement for health workers in Malaysia
 ⁹598 to report non-citizens without appropriate documents ^{78 79}, is likely to have far-reaching
 ⁵599 implications on public health, healthcare expenditure and clinical practice^{77 80}.

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600 Malaysia has 14 immigration centres nationwide⁸¹, while there are none in Yunnan province, 601 China. Determining who enters or stays in a country is a matter of state sovereignty. 602 However, the threat of detention as a means of deterring irregular migration is problematic ⁸² 603 ⁸³. While states have the authority to regulate migration through immigration enforcement, 604 guarantees against arbitrary detention migrants are enshrined in international human rights instruments ⁸⁴⁻⁸⁶. The adverse health effects of detention on the mental and physical health of 605 migrants are well catalogued ⁸⁷⁻⁸⁹. Conditions in immigration detention in Malaysia, are 606 607 reported here and elsewhere, as overcrowded, unsanitary and with insufficient medical care ⁹⁰⁻⁹³, representing a major public health challenge. The Mandela Rules, or the revised UN 608 609 Standard Minimum Rules for the Treatment of Prisoners, which states the minimum 610 standards for detention in prisons, are also applicable to immigration detention centres ⁹⁴ and 611 Malaysia should open their immigration detention centres for an independent audit to ensure 612 compliance with these minimum standards. 613 Low-wage migrants may return to home countries in poor health, requiring healthcare that is 614 unavailable or unaffordable in countries of origin. With this is in mind, there are concerns 615 about the transferability of destination countries' social protection policies when migrants 616 return to countries of origin. One such scheme that will test the portability of benefits is the 617 recently introduced Employment Injury Scheme for Foreign Workers under the Malaysian

618 Social Security Organization (EI-SOCSO) which provides life-long cash payments for

619 permanent disablement from injury or illness arising during the course of employment ⁹⁵.

620 We suggest that origin countries consider providing health insurance for the benefit of

returning workers. One such example is the mandatory insurance coverage provided for
overseas Filipino workers by employment agencies at no cost to the worker, as legislated by
Philippines law ⁹⁶.

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Improving migrant health requires commitment from both migrant-sending and receiving countries, as well as bilateral and regional partnerships. Memorandum of Understandings between migrant-sending and receiving countries could improve referral mechanisms to ensure proper treatment of returnees with an infectious disease or occupational injuries. Thailand has successful bilateral agreements with neighbouring Myanmar, allowing for cooperation via the Nationality Verification and One Stop Service Centre regularisation processes for migrants arriving in Thailand through irregular channels ⁹⁷. The example of cross-border coordination mechanisms for migration and health as demonstrated by Thailand and Myanmar would benefit other strategic border areas with health concerns associated with population movement. Countries should also build on commitments made on regional platforms like the 2007 ASEAN Declaration on the Protection of the Rights of Migrant Workers to protect and promote the rights of migrant workers in the region ^{98 99}. Malaysia and China are both upper-middle-income countries with advanced health systems. However, protectionist policies lead to unequal treatment of migrant workers resulting in poorer availability of healthcare services compared to citizens. We hope that national efforts towards achieving Universal Health Coverage (UHC) include migrant workers, following the concept of 'leave no-one behind' envisioned in the 2030 Agenda for Sustainable Development¹¹. Our study has several limitations. Due to the sensitive nature of this study, we had difficulties obtaining interviews with migrant workers. Nevertheless, we were able to triangulate study findings by interviewing diverse key informants including representatives of civil society and international organisations, trade unions and academia, medical doctors and government officials. As qualitative approaches were used in this study, findings are grounded to specific contexts and populations, and this precludes generalisation of findings. Nevertheless, the

experience gained by examining different perspectives gives us insights into health policy

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2 3	649	and barriers accessing healthcare in different settings. Also, while our study focuses on health
4 5 6	650	in destination countries, we acknowledge that health and healthcare in origin countries and
7 8	651	during the travel and return phase of migration may impact migrant well-being, thus have
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9		given some policy suggestions.
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653 CONCLUSION

This study has unique contributions, as a comparative study of healthcare access and migrant health policy between China and Malaysia, two middle-income destination countries. We suggest options to mainstream the protection of migrant workers' health within national health policies to ensure that health systems are responsive to migrants' needs, as well as to strengthen bilateral and regional cooperation towards ensuring better migration management. Migration brings great benefits, fuelling socio-economic growth in migrant-sending and receiving countries. Yet, insufficient attention is paid to the health of migrant workers. The intersectional nature of healthcare and immigration policy in destination countries commodify human life, contradicting with public health needs and ethical norms. We appeal to governments to use human rights principles and national commitment towards Universal Health Coverage to guide the provision of migrant inclusive and sensitive healthcare accessible to all, for the betterment of individual and population health.

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666 CONTRIBUTORSHIP STATEMENTS

667 Contributors: Both TL and DR conceptualised the study, analysed the data, managed the overall
668 design of the study and wrote the draft. NP provided comments on the draft and critically
669 revised content. All authors contributed to the final version of the manuscript.

670 COMPETING INTERESTS

671 The authors have declared that no competing interests exist.

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679 DATA SHARING STATEMENT

680 All data relevant to the study are included in the article or uploaded as supplementary 681 information.

FIGURE LEGENDS

Figure 1. Migration phases framework

Adapted from ²²

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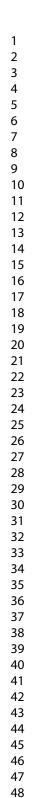
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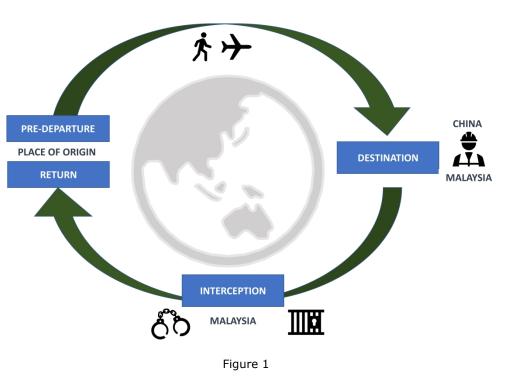
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SUPPLEMENTARY FILE

Interview Guide

A. Migrant workers

Interview topics and questions that form the broad framework of discussion with migrant workers will include:

Topics:

- Knowledge and perception of healthcare services available
- Experience with access to healthcare in Malaysia/China
- Experience with barriers to access to healthcare in Malaysia/China
- Experiences with employers in relation to illness or injury
- Experience with healthcare workers in regard to healthcare treatment
- Suggestion for improvement in health policy or services available for migrants

Introductory questions:

- 1. Sex (M/F)
- 2. Date of Birth
- 3. Nationality
- 4. Years in Malaysia/China
- 5. Which country did you leave to come here?
- 6. What do you work as? Are you employed by an individual or a company?

Open questions:

- 1. What are the most common health problems that you or your friends have faced during your stay in Malaysia/China? (examples)
- 2. Can you tell me where you or your friends will go for healthcare services when you are ill in Malaysia/China?
- Can you share with me what is the healthcare experience like for migrant workers in Malaysia/China?
 Prompts: How? Availability? Experience? Case studies?
- What do you think regarding healthcare services in Malaysia/China?
 <u>Prompts</u>: awareness of services available for migrants, insurance schemes, and injury compensation schemes
- 5. What are the difficulties you or your friends have faced in accessing care? What are the key barriers for migrant workers in accessing care in Malaysia/China? <u>Prompts</u>: Barriers from an individual, health system, community/cultural, stigma, geographical, financial, immigration status, fear of deportation? Case studies?

- 6. How do you pay for healthcare services? What are the financial barriers for migrant workers in accessing healthcare in Malaysia/China? <u>Prompts</u>: Are you covered by any insurance scheme? Does your employer pay your medical bills? Can you afford to seek treatment? Does seeking healthcare cause you financial hardship? Case studies?
- 7. What are your experiences with your employer/employers in relation to access to healthcare for illness or injury? What is the experience of migrant workers with employers with regards to healthcare treatment?

<u>Prompts</u>: Are employers supportive? Will pay be docked for non-attendance? Will the worker be fired? Will employer pay for healthcare? Case studies?

8. What is your experience with healthcare workers with regards to healthcare treatment?

<u>Prompts</u>: positive/negative? Are they friendly? Communication barrier? Cultural appropriateness? Stigma? Case studies?

9. What are your suggestions for the improvement in health policy or services available for migrants in Malaysia/China?

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3 4	B. Key Informant interviews: NGOs, representatives from government,
5	trade unions, academia etc.
6	Interview topics and questions that form the broad framework of the discussion
7	on policy protecting the health of migrants will include:
8	on policy protecting the health of hingrants will include.
9	
10 11	Topics:
12	 Knowledge of healthcare policy and services available in Malaysia/China
13	 Experience with migrant access to healthcare in Malaysia/China
14	 Perceptions or experience of barriers to migrant access to healthcare in
15	Malaysia/China
16	
17	• Experiences with employers of migrants in relation to work-related illness or
18 19	injury
20	 Experience of migrants with healthcare workers
20	 Suggestion for improvement in health policy or services available for
22	migrants
23	Inigrants
24	
25	Introductory questions
26 27	For representatives of migrant workers communities:
28	1. What is your role in your community/organisation?
29	2. What communities or nationalities does your organisation represent?
30	3. What is the demographic profile of migrants in your community? (age, sex,
31	
32	occupation, marital status)
33	4. What is immigration status of the communities that your organisation
34	represents? (documented/undocumented, economic migrants, refugees,
35 36	stateless people)
37	
38	Open questions
39	1. Can you tell me about healthcare policy and services available for migrant
40	workers in Malaysia/China?
41	Prompts: awareness of services available for migrants, insurance schemes, and
42	injury compensation schemes
43 44	injury compensation senemes
45	
46	2. Could you please share the experience of migrant access to healthcare in
47	Malaysia/China?
48	What is the healthcare experience like for migrant workers in Malaysia/China?
49	<u>Prompts</u> : Where? How? Availability? Experience? Case studies?
50	
51 52	
52	3. Could you please share the experience of barriers to access to healthcare of
54	migrant workers in Malaysia/China?
55	What are the key barriers for migrant workers in accessing care in
56	Malaysia/China?
57	
58	Prompts: Barriers from an individual, health system, community/cultural, stigma,
59 60	geographical, financial, immigration status, fear of deportation? Case studies?
00	

- 4. What are the perceived barriers to access to healthcare for migrant workers in Malaysia/China
 What are the key barriers for migrant workers in accessing care in Malaysia/China?
 <u>Prompts</u>: Barriers from an individual, health system, community/cultural, stigma, geographical, financial, immigration status, fear of deportation? Case studies?
- 5. What kind of healthcare facilities do migrants go to when they are ill? <u>Prompts</u>: Public or private? And why? Do many opt not to seek care?
- 6. How do migrants pay for healthcare?
 What are the financial barriers for migrant workers in accessing healthcare in Malaysia/China?
 <u>Prompts</u>: Do you know migrants covered by insurance schemes? Do employers pay for medical bills? Can migrants afford to seek treatment? Does seeking healthcare cause financial hardship? Case studies?
- 7. What is the migrants experience with employers when they are ill? What is the experience of migrant workers with employers with regards to healthcare treatment?

<u>Prompts</u>: Are employers supportive? Will pay be docked for non-attendance? Will the worker be fired? Will employer pay for healthcare? Case studies?

- 8. What is migrants experience with healthcare workers? <u>Prompts</u>: positive/negative? Are they friendly? Communication barrier? Cultural appropriateness? Stigma? Case studies?
- 9. What are your suggestions to improve health policy and services for migrants in Malaysia/China?

1 2 3 4	Reporting checklist for qualitative study.					
5 6 7	Based on the SRQR guidelines.					
8 9	Instructions to authors					
10 11 12 13		Complete this checklist by entering the page numbers from your manuscript where readers will find each of the items listed below.				
14 15 16 17 18 19 20	include the missing informa	Your article may not currently address all the items on the checklist. Please modify your text to include the missing information. If you are certain that an item does not apply, please write "n/a" and provide a short explanation.				
20 21 22			A			
23 24 25 26	In your methods section, say that you used the SRQRreporting guidelines, and cite them as: O'Brien BC, Harris IB, Beckman TJ, Reed DA, Cook DA. Standards for reporting qualitative research: a synthesis of recommendations. Acad Med. 2014;89(9):1245-1251.					
27 28 29 30 31			Reporting Item	Page Number		
32 33	Title					
34 35 36 37 38 39 40 41		<u>#1</u>	Concise description of the nature and topic of the study identifying the study as qualitative or indicating the approach (e.g. ethnography, grounded theory) or data collection methods (e.g. interview, focus group) is recommended	1		
42 43 44	Abstract					
45 46 47 48 49 50		<u>#2</u>	Summary of the key elements of the study using the abstract format of the intended publication; typically includes background, purpose, methods, results and conclusions	2		
51 52 53	Introduction					
53 54 55 56 57 58	Problem formulation	<u>#3</u>	Description and signifcance of the problem / phenomenon studied: review of relevant theory and empirical work; problem statement	5,9		
59 60	For peer	review	only - http://bmjopen.bmj.com/site/about/guidelines.xhtml			

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	Methods			
	Qualitative approach and research paradigm	<u>#5</u>	Qualitative approach (e.g. ethnography, grounded theory, case study, phenomenolgy, narrative research) and guiding theory if appropriate; identifying the research paradigm (e.g. postpositivist, constructivist / interpretivist) is also recommended; rationale. The rationale should briefly discuss the justification for choosing that theory, approach, method or technique rather than other options available; the assumptions and limitations implicit in those choices and how those choices influence study conclusions and transferability. As appropriate the rationale for several items might be discussed together.	8
	Researcher characteristics and reflexivity	<u>#6</u>	Researchers' characteristics that may influence the research, including personal attributes, qualifications / experience, relationship with participants, assumptions and / or presuppositions; potential or actual interaction between researchers' characteristics and the research questions, approach, methods, results and / or transferability	9
	Context	<u>#7</u>	Setting / site and salient contextual factors; rationale	8,9
	Sampling strategy	<u>#8</u>	How and why research participants, documents, or events were selected; criteria for deciding when no further sampling was necessary (e.g. sampling saturation); rationale	8
	Ethical issues pertaining to human subjects	<u>#9</u>	Documentation of approval by an appropriate ethics review board and participant consent, or explanation for lack thereof; other confidentiality and data security issues	10
	Data collection methods	<u>#10</u>	Types of data collected; details of data collection procedures including (as appropriate) start and stop dates of data collection and analysis, iterative process, triangulation of sources / methods, and modification of	8,9
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	Data collection instruments and technologies	<u>#11</u>	Description of instruments (e.g. interview guides, questionnaires) and devices (e.g. audio recorders) used for data collection; if / how the instruments(s) changed over the course of the study	8,9
	Units of study	<u>#12</u>	Number and relevant characteristics of participants, documents, or events included in the study; level of participation (could be reported in results)	8,9
	Data processing	#13	Methods for processing data prior to and during analysis, including transcription, data entry, data management and security, verification of data integrity, data coding, and anonymisation / deidentification of excerpts	9,10
	Data analysis	<u>#14</u>	Process by which inferences, themes, etc. were identified and developed, including the researchers involved in data analysis; usually references a specific paradigm or approach; rationale	9
	Techniques to enhance trustworthiness	<u>#15</u>	Techniques to enhance trustworthiness and credibility of data analysis (e.g. member checking, audit trail, triangulation); rationale	9
	Results/findings			
	Syntheses and interpretation	<u>#16</u>	Main findings (e.g. interpretations, inferences, and themes); might include development of a theory or model, or integration with prior research or theory	11-24
	Links to empirical data	<u>#17</u>	Evidence (e.g. quotes, field notes, text excerpts, photographs) to substantiate analytic findings	11-24
	Discussion			
	Intergration with prior work, implications, transferability and contribution(s) to the field	<u>#18</u> review	Short summary of main findings; explanation of how findings and conclusions connect to, support, elaborate on, or challenge conclusions of earlier scholarship; discussion of scope of application / generalizability; identification of unique contributions(s) to scholarship in a discipline or field only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	25-28
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1 2	Limitations	<u>#19</u>	Trustworthiness and limitations of findings	29
3 4	Other			
5 6 7 8 9	Conflicts of interest	<u>#20</u>	Potential sources of influence of perceived influence on study conduct and conclusions; how these were managed	31
10 11 12 13	Funding	<u>#21</u>	Sources of funding and other support; role of funders in data collection, interpretation and reporting	31
14 15 16 17 18 19 20 21 22 32 26 27 28 29 31 32 33 45 36 37 89 40 41 23 44 50 51 23 45 56 57 89 20 51 55 56 57 89 20 57 58 56 57 58 56 57 58 56 57 58 56 57 57 57 58 57 57 58 57 57 58 57 57 57 57 57 57 57 57 57 57 57 57 57	of American Medical College https://www.goodreports.org Penelope.ai	es. Th	buted with permission of Wolters Kluwer © 2014 by the As is checklist can be completed online using of made by the <u>EQUATOR Network</u> in collaboration with	ssociation
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