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Protocol for a multicentre longitudinal mixed methods study: Feeding and survivorship outcomes in previously healthy young Paediatric IntensivE Care Survivors – The PIES study

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1	Title: Protocol for a multicentre longitudinal mixed methods study: Feeding and survivorship
2	outcomes in previously healthy young <u>Paediatric Intensiv</u> <u>E</u> Care <u>S</u> urvivors – The PIES study
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22	Keywords: feeding difficulties, children, critical illness, survivors
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27	
28	Abstract
29	Introduction
30	An admission to Paediatric Intensive Care (PICU) is associated with multiple physical and
31	environmental stressors, often involving many negative and painful oral experiences. Evidence
32	from children with complex medical conditions suggest that feeding difficulties post-PICU stay
33	are common, causing significant parental anxiety. Adult intensive care (ICU) survivor studies
34	suggest feeding issues lasting up to 3 months post discharge from ICU. There is, however, a
35	paucity of evidence regarding feeding outcomes for previously healthy children following a PICU
36	admission and whether painful oral experiences during an admission contribute to feeding
37	difficulties post-discharge, negatively impacting on parental/caregiver anxiety.
38	Methods and analysis
39	This longitudinal concurrent mixed method study will explore the impact of feeding
40	difficulties, identifying any clinical risk factors during the first 6 months of PICU-discharge in
41	previously healthy young children (≤ 4 years). Parents/caregivers of children will be asked to
42	complete questionnaires relating to; feeding difficulties, parental/caregiver stress, child and
43	parental/caregivers feeding behaviours, at the point of PICU-discharge, 1, 3 and 6 months post-
44	discharge. Parents/caregivers will be invited to participate in qualitative semi-structured
45	interviews at 3 and 6 months post-PICU-discharge exploring parental/caregiver experiences of
46	feeding their child after PICU. Statistical analysis of the survey data will consist of descriptive
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3 4	47	and inferential statistics, plus qualitative analysis of any free text comments using thematic
5 6	48	analysis.
7 8 9	49	Ethics and dissemination
10 11	50	This study will provide an insight and increase our understanding of the prevalence of
12 13	51	feeding difficulties in previously healthy children admitted to PICU and parental/caregiver
14 15	52	experiences. Multiple methods will be used to ensure that the findings are effectively
16 17 18	53	disseminated to service users, clinicians, policy and academic audiences. The study has full
19 20	54	ethical approval from the National Health Service Research Ethics Committee (Ref: 20/YH/0160)
21 22	55	and full governance clearance.
23 24 25	56	
26	57	Article summary
20	58	
	59	Strengths and limitations of this study
28	39	
29 30 31	60	• A mixed methods design will provide new insights and a greater understanding into the
32 33	61	prevalence and impact of feeding issues in previously healthy young children who
34 35 36	62	survive PICU.
37 38	63	Qualitative data collection methods will generate rich data progressing our
39 40	64	understanding of this phenomenon.
41 42 43	65	• The longitudinal study design will allow us to explore the feeding survivorship journey
44 45	66	experienced by families of children who have survived critical illness.
46 47	67	• The longitudinal study design may also however, have the potential for high attrition
48 49	68	which may affect data at six months.
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53 54	70	
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1 2		
3	72	Introduction
4 5		
6	73	Paediatric Intensive Care units (PICU) are busier, with admissions increasing by 15% over
7 8	74	the last decade, (1, 2). Approximately 70% of the children are admitted due to emergency
9 10 11	75	unplanned admissions, (2) causing a period of distress and crisis for families, (3). In developed
12 13	76	countries, advances in medical care and technology mean that over 96% of PICU patients are
14 15	77	discharged alive,(4). In recognition of this, the focus of critical care is changing to improving
16 17 18	78	survivorship with a view to optimise physical, social, emotional, cognitive and functional
19 20	79	outcomes for children and their families,(5).
21 22	80	However, up until now, there has been little focus on the impact an admission to
23 24 25	81	intensive care (ICU) may have, on feeding and ability to self-feed following discharge. As is
25 26 27	82	evident from adult ICU survivors, the pain and trauma of multiple oral procedures have been
28 29	83	-6-10) along with difficulties in self), linked to dysphagia and other sensory feeding difficulties)
30 31	84	feeding, reduced appetite, altered taste and food preferences lasting up to three months post
32 33	85	ICU discharge,(10, 11). Despite most PICUs in the United Kingdom (UK) incorporating early
34 35 36	86	nutrition support via a nasogastric tube (NGT) within 12 – 24 hours of admission and continued
37 38	87	for the duration of the admission,(12) the majority of children are unable to eat or drink orally
39 40	88	throughout their PICU stay,(13). During their admission, children are exposed to multiple
41 42	89	physical and environmental stressors, often involving up to 89 painful oral experiences,
43 44	90	including the use of endotracheal tubes (ETT), nasogastric tube (NGT) insertion and frequent
45 46 47	91	oral suctioning,(14).
48 49	92	Feeding is a complex process involving not only the physical aspect of oral feeding
50 51	93	ability, but also the social aspect encompassing parental - child interactions,(15). Feeding
52 53	94	difficulties are common among young children born prematurely or those with complex medical
54 55 56 57 58	95	needs e.g. congenital heart disease (CHD). Associated risk factors include duration of intubation

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96	with a ETT and mechanical ventilation, multiple oral interventions affecting oral motor skills,
97	type of cardiac surgery with added risks associated with use of cardiopulmonary bypass and
98	post-op chest open, prolonging mechanical ventilation,(16-20). The use of NGT's in young
99	children with complex medical needs have been reported to negatively impact developmental
100	milestone achievement with regards to establishing oral intake, (21-25). They may, as a result,
101	cause altered oral sensory issues, difficulties in swallowing food, failure in feeding skill
102	progression with regards to tastes and textures, and present as food refusal in some,(16, 22, 25,
103	26) causing parental distress around feeding and mealtimes, (27-29).
104	Despite a plethora of literature and research, there is no universally accepted definition
105	of a paediatric feeding difficulty, (30). Historically, feeding disorders have been defined in the
106	Diagnostic and Statistical Manual of Mental Disorders, 5 th Edition, (30)and in the International
107	Statistical Classification of Diseases and Related Health Problems, 10 th Revision, (30). Although
108	these definitions incorporate nutritional complications found in some medical conditions and
109	recognise oral feeding abilities, they fail to identify the multiple physical, non-organic and
110	psycho-social factors, (31). Feeding difficulties as described by Kerzner and Levine, (28, 29, 32) go
111	beyond diagnostic classifications and include food refusals; disruptive, stressful and prolonged
112	mealtimes; lack of inappropriate self-feeding; failure to advance textures; vomiting and
113	diarrhoea; gagging (and anticipatory gagging); and inappropriate nocturnal feeding, (29, 32).
114	Furthermore, they recognise the importance of the impact that parental-child relationships and
115	interactions with peers has on childhood feeding behaviours. Parental behaviours and feeding
116	styles can directly influence perceived and actual feeding difficulties of young children, (25, 27).
117	Parents play a pivotal role in shaping children's early feeding experiences, providing the physical
118	foods, as well as the social interactions and model eating behaviours, (15).

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3 4	119	The consequence an admission to PICU may impact the acquisition of normal feeding
5 6	120	and eating skills of young children and normal parent-child relationships around mealtimes. The
7 8	121	implications of this post-PICU-discharge for young children and their families is not known.
9 10 11	122	Methods and analysis
12 13	123	Study aims
14 15	124	The PIES study (Feeding and survivorship outcomes in previously healthy young
16 17	125	Paediatric IntensivE Care Survivors) has six specific objectives:
18 19	126	1. To characterise and measure the prevalence of feeding difficulties in previously healthy
20 21 22	127	children (\leq 4 years) who survive critical illness during the first 6 months after PICU
23 24	128	discharge;
25 26	129	2. To identify clinical predictors for the development of feeding difficulties in previously
27 28	130	healthy young children (≤ 4 years) who survive critical illness;
29 30 31	131	3. To identify parental/caregiver feeding styles for previously healthy young children (\leq 4
32 33	132	years) who survive critical illness;
34 35	133	4. To measure parental stress in parents/caregivers of previously healthy young children (≤
36 37	134	4 years) who survive critical illness;
38 39 40	135	5. To identify behaviours of previously healthy young children (\leq 4 years) who survive
41 42	136	critical illness;
43 44	137	6. To develop an in-depth understanding of how parents/caregivers of previously healthy
45 46	138	young children (\leq 4 years old) who survive critical illness construct, experience and make
47 48 49	139	sense of their survivorship journey from PICU admission, specifically looking at feeding
50 51	140	experiences and parental-child relationships.
52 53	141	Study design
54 55		
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142	This multicenter longitudinal mixed method study has concurrent quantitative and
143	qualitative components. Parents/caregivers of children will be asked to complete questionnaires
144	considering aspects relating to; feeding difficulties, parental/caregiver stress, child and
145	parental/caregivers feeding behaviours at the point of PICU-discharge and at 1, 3 and 6 months
146	post-discharge. Parents/caregivers will also be invited to participate in qualitative semi-
147	structured interviews at 3 and 6 months post-PICU-discharge which will explore
148	parental/caregiver experiences of feeding their child post-PICU. See figure 1 for schematic
149	overview of the study design.
150	Quantitative study
151	Data about the PICU admission of each child participant will be recorded onto an ALEA
152	electronic Case Report Form (eCRF; https://www.aleaclinical.eu/), a secure password
153	protected, web-based eCRF system. Data will include: length of PICU stay; length of intubation;
154	length of mechanical invasive ventilation; number of (re) intubations; type of ETT (oral or nasal);
155	length of non-invasive ventilation; inotrope requirement; mode of feeding during PICU
156	admission; time from extubation to commence oral feeding; mode of feeding at PICU discharge;
157	and evidence of gastric intolerance. Data will also be collected from each child's
158	parent/caregiver prospectively over the first 6- months post-PICU, in a follow-up survey.
159	Study Measures
160	The outcome measures for the longitudinal follow-up survey have been selected based
161	on their validity, reliability, use in previous paediatric populations and ease of use. Pre-existing
162	validated questionnaires will be used to measure feeding difficulty assessment, parental stress,
163	parental feeding styles and child behaviour. To obtain longitudinal outcome data and potentially
	identify acute and/or chronic feeding difficulties, data from the questionnaires will be collected

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Eligibility screening

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3 4	165	at four-time points: at PICU discharge (retrospective data), 1, 3 and 6 months after PICU							
5 6	166	discharge. The outcomes measures and time points are outlined in Table 1. The questionnaires							
7 8	167	nave also been selected according to age of the child participant, in addition to tested							
9 10	168	psychometric properties.							
11 12 13 14	169	Feeding difficulty assessment measures:							
15 16 17	170	Infant feeding ques	• Infant feeding questionnaire, (33) (7 items; up to 9 month old babies)						
18 19	171	Behavioral Pediatri	ic Feeding Assessme	ent Scale,(34) (3	5 items; 9 month	is old to 7 years).			
20 21 22	172	Parental stress measure:	Parental stress measure:						
23 24 25	173	Parental Stress Sca	• Parental Stress Scale,(35) (18 items).						
26 27 28	174	Parental feeding style measures:							
29 30	175	 Infant feeding questionnaire,(36) (25 items; up to 2 years) 							
31 32 33	176	• Child feeding questionnaire,(37) (28 items; from 2 years onwards).							
34 35 36	177	Child behaviour measures:							
37 38 39	178	• Infant behaviour q	• Infant behaviour questionnaire – very short version,(38) (36 items; up to 12 months)						
40 41	179	Child behaviour qu	• Child behaviour questionnaire – very short version, (39) (35 items; from 1 year).						
42 43 44	180	Table 1: Data collection m	Table 1: Data collection measures and time points						
45 46			Baseline	1 month	3 months	6 months			
47 48		Timonoint							
48 49		Timepoint	(retrospective	(after	(after PICU	(after PICU			
50			data)	PICU discharge)	discharge)	discharge)			
51				discharge)					
52 53		Enrolment:							

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Recruitment	X			
	^			
Assessments:				
Demographic	X			
information				
Routinely collected	X			
clinical PICU data				
Parental/caregivers	X			
reports of feeding history				
(prior to PICU admission)				
Feeding difficulty	•			
assessment measures				
Infant Feeding	X	X	X	X
Questionnaire				
or				
Behavioral Pediatric		0		
Feeding Assessment				
Scale				
Parental stress measure				
Parental Stress Scale	X	x	× ×	x
Parental feeding style				
measures				
Infant Feeding	X	X	X	X
Questionnaire				
or				
Child Feeding				
Questionnaire				
Child behaviour				
measures				
Infant Behavior	x	X	Х	х
Questionnaire (very- short version)				

or			
Early Childhood Behavior			
Questionnaire			
Qualitative interviews:			
Invitation	X		
Interviews		X	X

182 Qualitative study

The main aim of the semi-structured qualitative interviews are to develop an in-depth understanding of how parents/caregivers of previously healthy young children (\leq 4 years old) who survive critical illness construct, experience and make sense of their survivorship journey from PICU admission, specifically looking at feeding experiences and parental-child behaviours. Parents will be interviewed at approximately 3 and 6 months post-PICU discharge so that they can describe how and/or if their experiences are changing (or have changed) along the PICU survivorship journey. Sample and recruitment Setting Participants will be recruited from at least eight PICUs across the United Kingdom chosen to include variation in unit size, case mix, geographical location and patient demographic. Eligibility criteria The chosen inclusion criteria will allow recruitment of previously healthy young children $(\leq 4 \text{ years})$ who are admitted to PICU both electively and in emergency situations. Participants will be eligible if they are parents/caregivers (aged \geq 18 years of age) of a previously healthy

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199 child aged ≤ 4years who has received invasive ventilation for 48 hours or more (including at

200 referring hospital if applicable) and who are ready to be discharged from PICU. See Table 2 for

201 full eligibility criteria.

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Table 2: Eligibility criteria

Inclusion criteria	Rationale
Parents/caregivers (aged \geq 18 years of age) of	Age limit required to comply with the
previously healthy children aged ≤ 4years who	Research Governance Framework for Health
are ready to be discharged from PICU	and Social Care (40)
Parents/caregivers who have sufficient	Unable to translate study materials into
language skills to read the Participant	different languages due to limited study
Information Sheet and to complete the	resources
questionnaires in English	
Children are included if they:	To cover children up to school age.
• Are ≤ 4 years;	Used as an indicator of critical illness and seen
Have received invasive ventilation for	in adult ICU survivors to affect swallowing and
48 hours or more (including at	feeding problems(8).
referring hospital if applicable)	
Exclusion criteria	Rationale
Aged >5 years or older	Age beyond preschool years
Children not invasively ventilated (so no ETT)	Unable to fulfil inclusion criteria
Children with previous feeding difficulties	Unable to fulfil inclusion criteria and unable to
(children who were not fully orally fed prior to	consume sufficient nutrients orally
PICU admission or have document oral feeding	

2 3		difficulties)
4 5		
6	203	
7 8 9	204	Sample size
10 11	205	Quantitative study: The sample size is based on estimating prevalence to a certain level
12 13	206	of precision as defined by a 95% confidence interval. Assuming a potentially low prevalence of
14 15	207	just 20% (which is less than the NICU and CHD population owing to their underlying baseline
16 17	208	disease,(16-20), a sample size of 204 child participants would be sufficient to estimate
18 19 20	209	prevalence to within +/- 5.5%. Anticipating a 40% drop-out as often seen with online
21 22	210	surveys, (41, 42), this requires an initial recruitment of 340 participants. We anticipate enrolling
23 24	211	these 340 participants from at least eight PICUS in equal proportions (42 participants per site)
25 26 27	212	over a 12-month recruitment phase. It is expected that recruitment will be higher during the
27 28 29	213	winter months to account for seasonal admissions involving healthy children being admitted for
30 31	214	bronchiolitis and other respiratory and/or septic illnesses. Recruitment centres will be
32 33	215	encouraged to over recruit where possible.
34 35	216	Qualitative study: A realistic and pragmatic sample size of 15 to 20 parents/caregivers
36 37 38	217	will be interviewed at 3 and 6 months after PICU discharge with the aim of increasing research
39 40	218	knowledge in this field. It is not anticipated that data saturation will be achieved, as there are
41 42	219	many different influences and variables surrounding the child's PICU admission and
43 44	220	parent/caregivers feeding experiences and survivorship journeys.
45 46 47	221	Sampling strategy
48 49	222	Quantitative study: Initially, convenience sampling will be used to identify and recruit
50 51	223	previously healthy children aged \geq 37 weeks gestational age and \leq 4 years who have survived an
52 53	224	admission to PICU and their parents/caregivers. During the recruitment period, monthly
54 55	225	progress will be monitored by the lead researcher (KM) and a proportional quota sampling
56 57 58		
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> strategy will be used to recruit a sample representative to the UK PICU population in terms of age. Recruitment strategies will be employed against the population strata taken from annual UK PICU admission data,(4) (see Table 3). Both fathers and mothers will be asked to complete the parental questionnaires where possible, to increase our understanding of the experiences that fathers have after their child has survived intensive care.

Table 3: Proportional quota sampling strategy

Strata (age)	UK PICU population	Pro-rata	Quota sample
Less than 1 year	45%	153	217
1 year old	11%	37	53
2 years old	6%	20	28
3 and 4 years old	old 9%	30 42	
Total	70%	240 (70%)	340 (100%)
		6.	

Qualitative study: A purposeful sampling strategy will be used to interview a range of

234 parents/caregivers based on reason for admission, age of child admitted to PICU and gender of

parent (Table 4). This will ensure that not just mothers, parents/caregivers of planned surgery or

236 parents/caregivers of babies are only interviewed for example.

Table 4: Sampling framework for interviews

Inclusion criteria	Rationale
Parents/caregivers of children enrolled into	To be able to compare experiences with
The PIES Study	quantitative data from the survey
Mothers and fathers	To obtain experiences of both mothers and
	fathers

Emergency and planned admission	To obtain experiences of parents/caregivers
	dealing with both planned and emergency
	admission as there is often psychological
	sequalae associated with emergency verses
	planned admissions to PICU (43)
Age of child:	To obtain differing experiences of feeding
 ≤ 6 months (or pre-weaned babies) 	during significant developmental feeding
• > 6 months to 1 year	milestones for example weaning verses
• > 1 year to 2 years	autonomous child self-feeding during pre-
• > 2 years to 4 years	school years (44)

239 Study procedures

Quantitative study: Over a 12-month period, each site will screen daily the children admitted to PICU and invite all eligible children and their parents/caregivers to participate in the study. Site investigators (or their designated nominee) who are part of the PICU clinical care team will determine eligibility. Parents/caregivers could be approached to take part in the study when the child is still in PICU, near to or at discharge, on the High Dependency Unit or hospital ward soon after being discharged from PICU. Once informed consent has been obtained, parents/caregivers will be asked to complete baseline questionnaires (paper or online options available). Parent/caregiver contact details will be obtained and securely recorded on a password protected database to enable follow-up survey distribution at 1, 3 and 6 months. Follow-up survey data will be collected using either online or paper questionnaires as agreed by the parents/caregivers at recruitment. Online questionnaires will be managed through the iSurvey software (https://www.isurvey.soton.ac.uk/, University of Southampton. Two fortnightly

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reminders will be sent for the follow-up surveys as reminder letters, telephone calls, messages
or email by the lead researcher (KM) as agreed with the participant at recruitment. As there is
such a small-time frame between 1 and 3 month assessments, if no response is received
following the 1-month survey, participants will still be approached at 3 months. If there is no
response at this point, they will not be approached again at 6 months.

257 Qualitative study: During recruitment into the multicentred survey, parents/caregivers 258 will be invited to take part in the qualitative interviews. Those who consent to an interview will 259 be approached by the lead researcher (KM) at the time in which reminders of the follow-up 260 survey are sent (at 1, 3 and 6 months) either by reminder letters, telephone call, messages or email as agreed at survey enrolment. Semi-structured open-ended questions will be used as the 261 262 primary method of data collection to allow the parent/caregiver to describe their story, 263 communicate their experiences, feelings and PICU survivorship journey. In response to PPI 264 feedback highlighting the lack of spare time that parents/caregivers of young children often face, telephone and internet (i.e. Microsoft teams: Microsoft 365, UK) interviews will be 265 266 conducted at a time convenient for the parent/caregiver which could include evenings and 267 weekends.

268 Data analysis

All data obtained will be analysed. In circumstances where participants are deemed lost to follow-up, any data supplied will be analysed and used where appropriate, even if it can only be used to describe the cohort at baseline. A pragmatic approach to missing data will be used, whereby data will be analysed as much as possible. Data from non-responders will be used within the analysis to observe for nonresponse bias.

274 Quantitative study data analysis

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1 2		
2 3 4	275	Descriptive statistics will be used to present the demographic data and information
5 6	276	collected from the medical PICU admissions data. All child and parent/caregiver measures will
7 8	277	be calculated, including means, SD, medians and IQRs for continuous variables and frequency
9 10 11	278	counts and percentages for categorical data. Data will be examined for normality, outliers and
12 13	279	for missing data. Statistical analysis will be completed using the IBM Statistical Package for Social
14 15	280	Science (SPSS) and statistical significance will be set at $p < 0.05$.
16 17	281	Analyses related to the study specific objectives include the following:
18 19 20	282	Objective 1: To characterise and measure the prevalence of feeding difficulties in previously
20 21 22	283	healthy children (\leq 4 years) who survive critical illness during the first 6 months after PICU
23 24	284	discharge. From the feeding difficulty assessment measures, descriptive statistics (frequency
25 26	285	counts and percentages) will be used to identify the numbers and types of feeding difficulties at
27 28	286	each time point collected and for different age groups.
29 30 31	287	Objective 2: To identify clinical predictors for the development of feeding difficulties in previously
32 33	288	healthy young children (\leq 4 years) who survive critical illness. The information from the routinely
34 35	289	collected clinical PICU data will be used to identify any clinical predictors for the development of
36 37	290	feeding difficulties, such as length of intubation and time to commence oral feeding. Statistical
38 39 40	291	analysis will involve multiple +/- linear regressions to see if we can predict feeding difficulty
41 42	292	questionnaire scores from the clinical variables.
43 44	293	<u>Objective 3:</u> To identify parental/caregiver feeding styles for previously healthy young children (\leq
45 46	294	4 years) who survive critical illness. Descriptive statistics will be initially performed to identify
47 48 49	295	the frequency of participants in each parental feeding style, to identify the majority. This will
50 51	296	then be repeated at each time point collected, to identify a change (or not) in parental feeding
52 53	297	style across the 6 months from PICU discharge. If have enough data, differences between
54 55	298	mother feeding styles and father feeding styles will be calculated using Mann-Whitney U (non-
56 57 58		
50 59		For peer review only - http://bmiopen.bmi.com/site/about/guidelines.xhtml

299	parametric) or t-Test (parametric) tests as appropriate. The relationship between parental
300	feeding style and feeding difficulty score will also be tested using the same statistical tests.
301	Objective 4: To measure parental stress in parents/caregivers of previously healthy young
302	children (≤ 4 years) who survive critical illness. Using the scores from the parental stress scale,
303	average parental stress scores for all participants will be calculated at all time points. Average
304	parental stress score at each time point, for those parents of children with and without feeding
305	difficulties, will also be presented to identify the trajectories of parental stress over time and
306	between the two groups. Correlations between increasing feeding difficulty score and increasing
307	parental stress score will be assessed using scatterplot graphs, and differences will be tested for
308	statistical significance using Pearsons (parametric) or Spearmans (non-parametric) tests where
309	appropriate.
310	<u>Objective 5</u> : To identify behaviours of previously healthy young children (\leq 4 years) who survive
311	critical illness. Frequency of children in each temperament category from the Infant and Early
312	Child Behavior questionnaires will be calculated and presented at each data collection time
313	point, so observe for changes over time. The relationship between infant/child temperament
314	and feeding difficulty score; and parental feeding style and parental stress score will be assessed
315	using Mann-Whitney U (non- parametric) or t-Test (parametric) and regression models where
316	appropriate.
317	Qualitative study data analysis
318	All interviews will be audio-recorded and transcribed verbatim. All data will be imported
319	into a qualitative data analysis package (NVivo), which will assist in managing, sorting and coding
320	the vast data set. Data analysis will be largely conducted by KM, with the other researchers
221	

- 322 reliability, (45). Data analysis will involve three stages: 1) narrative analysis, 2) thematic analysis

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(ASD, LVM) verifying the findings for consistencies and discrepancies to maximise credibility and

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3 4	323	and 3) integration and will look to answer study objective 6: To develop an in-depth
5 6	324	understanding of how parents/caregivers of previously healthy young children (\leq 4 years old)
7 8	325	who survive critical illness construct, experience and make sense of their survivorship journey
9 10	326	from PICU admission, specifically looking at feeding experiences and parental-child relationships.
11 12 13	327	Stage 1: Narrative analysis: The first stage of analysis will involve analysing the content of the
14 15	328	data from each participant's interview using the Clandinin and Connelly's (46) method of
16 17	329	narrative inquiry. This framework uses three domains to structure the analysis: temporality,
18 19	330	sociality and place, (47). The analysis focuses on the actual storylines that are told and emotions
20 21 22	331	that are used to tell the story, the societal and cultural impact on the story and the influence of
22 23 24	332	the place in which the experience occurs, (46). An additional consideration of the actual words
25 26	333	and language, both verbal and nonverbal, used throughout the narrative will also be used during
27 28	334	the analysis,(46).
29 30 31	335	Stage 2: Thematic analysis: The second stage of analysis will involve a thematic analysis
32 33	336	approach, whereby repeated patterns across the stage 1 analysis will be identified, leading to
34 35	337	the detection of codes and themes across the entire data set, (48). This will enable meaning and
36 37	338	patterns to emerge from the data.
38 39 40	339	Stage 3: Data integration: The final step of the qualitative data analysis will involve integrating
40 41 42	340	the narrative and thematic analysis. The individual stories will be re-told in a coherent manner
43 44	341	and then the key themes across the entire data set will be presented. This will provide a detailed
45 46	342	description and understanding of the survivorship journey of parents/caregivers of previously
47 48 49	343	health children who survive critical illness.
50 51	344	Data integration strategy of quantitative and qualitative data
52 53	345	As a concurrent mixed methods design, the quantitative data from the survey and the
54 55	346	qualitative data from the interviews will be analysed concurrently as they are collected and then
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integrated to answer the overarching research questions and aims. The qualitative data will
strengthen the survey findings by adding the human perspective, exploring behaviour, feelings
and experiences of the parents/caregivers told by them,(49). The information gained from the
interviews will assist interpretation and analysis of the survey results, drawing conclusions to
the clinical significance of the results with implications for clinical practice,(50).

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Public and patient involvement

Guided by the NIHR INVOLVE recommendations, (51), involvement of families of children recently discharged from PICU was sought during the study design process. Six parents volunteered to provide guidance and advice during an organised coffee morning. Collectively, the importance of the study was recognised, and recommendations made to the recruitment process and data collection methods. Feedback included using an online questionnaire for ease of use and to increase follow-up completion. The survey questions were also piloted by parents, assessing the clarity of the questionnaires and their instructions and to consider the burden of completing all four questionnaires. Offering home, telephone and internet interviews was also suggested for the interviews.

- 362 Ethics and Dissemination
- 363 Informed consent

Parents/caregivers will be approached to take part in The PIES study once the child meets the inclusion/exclusion criteria. After being given an ethically approved Participant Information Sheet (PIS), parents/caregivers will be given at least 48 hours to consider participation, unless they are happy to give informed consent before this time. It is anticipated that the children eligible for the study will be too young and/or too ill to participate directly in the consent process. Each parent/caregiver will complete a contact form that will record the Page 21 of 38

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2		
3 4	370	information needed for the follow-up survey distribution (e.g. mail addresses, telephone
5 6	371	numbers) and informed consent will be obtained to allow the sharing of this personal data.
7 8	372	Researching sensitive and emotive topics
9 10 11	373	It is recognised that parents/caregivers of previously healthy young children who have
12 13	374	survived critical illness may have psychological sequalae (i.e. post-traumatic stress disorder)
14 15	375	following their child's admission to PICU, (43). Although not specifically asking about their PICU
16 17	376	experience, completing the survey and taking part in the interviews may raise potentially
18 19 20	377	distressing issues around difficult feeding and/or mealtime behaviours following the PICU
20 21 22	378	admission. If any participant becomes distressed by recalling their experience during the
23 24	379	interviews, the interview will be immediately stopped. The researcher (KM) will aim to debrief
25 26	380	the situation at the time and will refer onto the relevant agencies such as Patient Advice and
27 28	381	Liaison Services (PALS), clinical psychology team and their own or child's health team. The
29 30 31	382	survey will encourage participants to inform the researcher of any problems or distress
32 33	383	experienced during they survey completion. The researcher will be able to pinpoint sources of
34 35	384	help through their local health care services where possible.
36 37	385	Burden
38 39	386	The survey is compiled of four separate pre-existing validated questionnaires, asked at
40 41 42	387	four separate timepoints during the enrolment and follow-up (at recruitment, at 1, 3 and 6
43 44	388	months after PICU discharge). The questionnaires include Likert scales, yes/no answers and
45 46	389	drop-down options. The survey questions and instructions have been piloted by parents of
47 48	390	young children, assessing the clarity of the questionnaires, the instructions and consideration of
49 50 51	391	the time and mental burden in completing all four questionnaires. Average time for survey
52 53	392	completion was 15 minutes, with follow-up surveys thought to be quicker. We endeavour to
54 55	393	reduce this burden by having the option of an online electronic survey available to parents and
56 57 58 59		

by adding the feature where you can 'save and go back to later' option within the survey. The
PIS will clearly state that there will be no financial gain from taking part in the study. Conversely,
some participants might find taking part in the study beneficial because they will have the time
and space to think about issues which are important to them.

398 Ethical review

The Yorkshire and The Humber – South Yorkshire Research Ethics Committee has reviewed the study protocol and provided favourable opinion (Ref: 20/YH/0160). The Health Research Authority has also approved the protocol (IRAS: 279171). This study has been extensively peer reviewed through the University of Southampton and forms the PhD study of the first author.

404 Methods of dissemination

This paper is part of the dissemination plan of the PIES study, by presenting the project background, providing a detailed description of methods and procedures used to collect and analyse the data. Other dissemination plans involve local, national and international audiences including academics, health care professionals, healthcare commissioners, charities and the public. Dissemination will include written and oral feedback to the PPI group, local PICU charity and each recruitment centre. Presentations to local and national research and clinical teams will take place, including research meetings and conferences. The findings from this study will contribute to addressing the significant gaps in the literature by investigating the prevalence of and predictors for feeding difficulties experienced by previously healthy young children who survive critical illness and explore the effect on parental feeding experiences, behaviours and stress. It is anticipated that the expected outputs of this proposed project will be in terms of high quality, peer-reviewed scientific publications and conference presentations. During the

1 2		
3 4	417	informed consent process, parents/caregivers will be asked if they would like a lay summary of
5 6	418	any study findings sent to them at the end of the study.
5	418	any study findings sent to them at the end of the study.
57 58 59		
59 60		For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml

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17 18	564	PIES Study has been developed. KM would like to acknowledge the University of Southampton						
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29 30	570	Unit, Southampton) for his support and patience in developing the PIES study data capture and management though the ALEA database/eCRF.						
31 32	571	management though the ALEA database/eCKr.						
33 34	572	Conflict of interest						
35 36	512							
37 38	573	None declared						
39 40 41	574	Statement of authorship						
42 43	575							
44 45	576	Authors made the following contribution to the manuscript:						
46 47 48	577	(1) KM formulated the original research idea, conducted the literature searching and is the						
49 50	578	chief investigator for the study,						
51 52	579	(2) KM drafted the manuscript from the ethically approved protocol (which was originally						
53 54 55 56 57 58 59 60	580	supported by LVM and ASD) For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml						
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581	(3) LVM, ASD and KM reviewed and revised the manuscript for important intellectu	Jal
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content, and (4) all authors provided final approval of the version to be submitted.

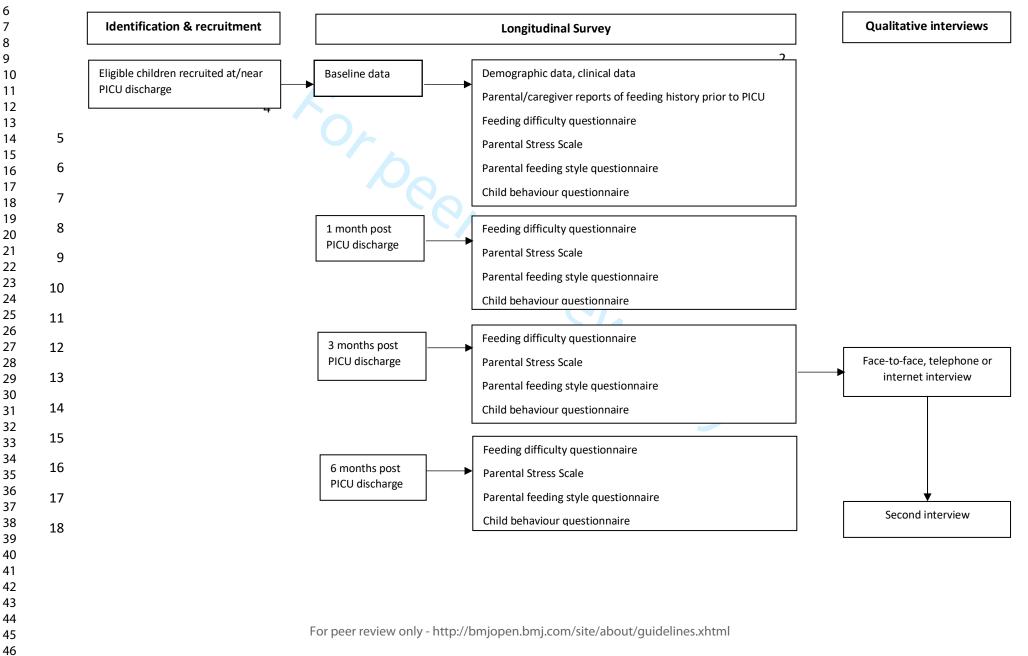
Data Statement

- Fechnical appendix, statistical code, and dataset for The PIES study will be available from the
- Jniversity of Southampton Institutional Research Repository, ePrints Soton
- (https://eprints.soton.ac.uk/)
- Funding
- This report describes independent research arising from a personal Clinical Doctoral Research

Ziezoni

- Fellowship for Kathryn Morton, supported jointly by the University of Southampton and
- Jniversity Hospital Southampton NHS Foundation Trust, England.

Figure 1: Overview of The PIES study design



Reporting checklist for protocol of a clinical trial.

Based on the SPIRIT guidelines.

Instructions to authors

Complete this checklist by entering the page numbers from your manuscript where readers will find each of the items listed below.

Your article may not currently address all the items on the checklist. Please modify your text to include the missing information. If you are certain that an item does not apply, please write "n/a" and

provide a short explanation.

Upload your completed checklist as an extra file when you submit to a journal.

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Chan A-W, Tetzlaff JM, Altman DG, Laupacis A, Gøtzsche PC, Krleža-Jerić K, Hróbjartsson A, Mann H, Dickersin K, Berlin J, Doré C, Parulekar W, Summerskill W, Groves T, Schulz K, Sox H, Rockhold FW, Rennie D, Moher D. SPIRIT 2013 Statement: Defining standard protocol items for clinical trials. Ann Intern Med. 2013;158(3):200-207

Page
Reporting Item Number
Administrative

information

Title

#1Descriptive title identifying the study design, population,1interventions, and, if applicable, trial acronym

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1 2	Trial registration	<u>#2a</u>	Trial identifier and registry name. If not yet registered,	N/A
3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19			name of intended registry	
	Trial registration:	<u>#2b</u>	All items from the World Health Organization Trial	NA
	data set		Registration Data Set	
	Protocol version	<u>#3</u>	Date and version identifier	3
	Funding	<u>#4</u>	Sources and types of financial, material, and other support	27
20 21 22	Roles and	<u>#5a</u>	Names, affiliations, and roles of protocol contributors	1 and 25
22 23 24	responsibilities:			
25 26 27	contributorship			
28 29	Roles and	<u>#5b</u>	Name and contact information for the trial sponsor	27
30 31	responsibilities:			
32 33	sponsor contact			
34 35 36 37	information			
38 39	Roles and	<u>#5c</u>	Role of study sponsor and funders, if any, in study	N/A
40 41	responsibilities:		design; collection, management, analysis, and	
42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58	sponsor and funder		interpretation of data; writing of the report; and the	
			decision to submit the report for publication, including	
			whether they will have ultimate authority over any of	
			these activities	
	Roles and	<u>#5d</u>	Composition, roles, and responsibilities of the	N/A
	responsibilities:		coordinating centre, steering committee, endpoint	
	committees		adjudication committee, data management team, and	
59 60	Fc	or peer rev	view only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

1 2			other individuals or groups overseeing the trial, if	
2 3 4 5 6 7 8 9 10 11 12			applicable (see Item 21a for data monitoring committee)	
	Introduction			
	Background and	<u>#6a</u>	Description of research question and justification for	
	rationale		undertaking the trial, including summary of relevant	From
13 14			studies (published and unpublished) examining benefits	page 4
15 16 17			and harms for each intervention	page .
17 18 19	Background and	#6b	Explanation for choice of comparators	N/A
20 21	rationale: choice of	<u></u>		
22 23	comparators			
24 25	comparators			
26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45	Objectives	<u>#7</u>	Specific objectives or hypotheses	6
	Trial design	<u>#8</u>	Description of trial design including type of trial (eg,	7
			parallel group, crossover, factorial, single group),	
			allocation ratio, and framework (eg, superiority,	
			equivalence, non-inferiority, exploratory)	
	Methods:			
	Participants,			
	interventions, and			
46 47 48	outcomes			
49 50	Study setting	<u>#9</u>	Description of study settings (eg, community clinic,	7 and 10
51 52			academic hospital) and list of countries where data will	
53 54			be collected. Reference to where list of study sites can	
55 56 57			be obtained	
58 59				
60	Fo	or peer rev	view only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

1 2	Eligibility criteria	<u>#10</u>	Inclusion and exclusion criteria for participants. If	11
3 4			applicable, eligibility criteria for study centres and	
5 6 7			individuals who will perform the interventions (eg,	
7 8 9 10			surgeons, psychotherapists)	
11 12	Interventions:	<u>#11a</u>	Interventions for each group with sufficient detail to allow	N/A
13 14	description		replication, including how and when they will be	
15 16 17			administered	
18 19 20	Interventions:	<u>#11b</u>	Criteria for discontinuing or modifying allocated	N/A
21 22	modifications		interventions for a given trial participant (eg, drug dose	
23 24			change in response to harms, participant request, or	
25 26 27			improving / worsening disease)	
28 29 30	Interventions:	<u>#11c</u>	Strategies to improve adherence to intervention	N/A
30 31 32	adherance		protocols, and any procedures for monitoring adherence	
33 34 35			(eg, drug tablet return; laboratory tests)	
36 37	Interventions:	<u>#11d</u>	Relevant concomitant care and interventions that are	N/A
38 39 40	concomitant care		permitted or prohibited during the trial	
41 42 42	Outcomes	<u>#12</u>	Primary, secondary, and other outcomes, including the	7
43 44 45			specific measurement variable (eg, systolic blood	
46 47			pressure), analysis metric (eg, change from baseline,	
48 49			final value, time to event), method of aggregation (eg,	
50 51 52			median, proportion), and time point for each outcome.	
52 53 54			Explanation of the clinical relevance of chosen efficacy	
55 56 57			and harm outcomes is strongly recommended	
58 59 60	Fc	or peer rev	iew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

1 2	Participant timeline	<u>#13</u>	Time schedule of enrolment, interventions (including any	8
3 4			run-ins and washouts), assessments, and visits for	
5 6 7			participants. A schematic diagram is highly	
7 8 9			recommended (see Figure)	
10 11 12	Sample size	<u>#14</u>	Estimated number of participants needed to achieve	12
13 14			study objectives and how it was determined, including	
15 16 17			clinical and statistical assumptions supporting any	
17 18 19			sample size calculations	
20 21 22	Recruitment	<u>#15</u>	Strategies for achieving adequate participant enrolment	14
23 24			to reach target sample size	
25 26 27	Methods:			
28 29 30	Assignment of			
31 32	interventions (for			
33 34 35	controlled trials)			
36 37	Allocation: sequence	<u>#16a</u>	Method of generating the allocation sequence (eg,	N/A
38 39	generation		computer-generated random numbers), and list of any	
40 41 42			factors for stratification. To reduce predictability of a	
43 44			random sequence, details of any planned restriction (eg,	
45 46			blocking) should be provided in a separate document that	
47 48			is unavailable to those who enrol participants or assign	
49 50 51			interventions	
52 53	Allocation	<u>#16b</u>	Mechanism of implementing the allocation sequence (eg,	N/A
54 55 56	concealment		central telephone; sequentially numbered, opaque,	
57 58	mechanism			
59				

1 ว			sealed envelopes), describing any steps to conceal the	
2 3			sequence until interventions are assigned	
4 5				
6 7	Allocation:	<u>#16c</u>	Who will generate the allocation sequence, who will enrol	N/A
8 9	implementation		participants, and who will assign participants to	
10 11			interventions	
12				
13 14	Blinding (masking)	<u>#17a</u>	Who will be blinded after assignment to interventions (eg,	N/A
15 16			trial participants, care providers, outcome assessors,	
17 18			data analysts), and how	
19 20				
21 22	Blinding (masking):	<u>#17b</u>	If blinded, circumstances under which unblinding is	N/A
23 24	emergency		permissible, and procedure for revealing a participant's	
25 26	unblinding		allocated intervention during the trial	
27 28	J			
28 29 30	Methods: Data			
31	collection,			
32 33	management, and			
34 35	analysis			
36 37	analysis			
38 39	Data collection plan	<u>#18a</u>	Plans for assessment and collection of outcome,	15
40 41			baseline, and other trial data, including any related	
42 43			processes to promote data quality (eg, duplicate	
44 45			measurements, training of assessors) and a description	
46 47				
48 49			of study instruments (eg, questionnaires, laboratory tests)	
50			along with their reliability and validity, if known.	
51				
52 53			Reference to where data collection forms can be found, if	
52 53 54 55			Reference to where data collection forms can be found, if not in the protocol	
52 53 54 55 56 57				
52 53 54 55 56				

Page 36 of 38

1 2	Data collection plan:	<u>#18b</u>	Plans to promote participant retention and complete	15
3 4	retention		follow-up, including list of any outcome data to be	
5 6 7			collected for participants who discontinue or deviate from	
8 9			intervention protocols	
10 11 12 13	Data management	<u>#19</u>	Plans for data entry, coding, security, and storage,	N/A
14 15			including any related processes to promote data quality	
16 17			(eg, double data entry; range checks for data values).	
18 19			Reference to where details of data management	
20 21 22			procedures can be found, if not in the protocol	
23 24	Statistics: outcomes	<u>#20a</u>	Statistical methods for analysing primary and secondary	15
25 26			outcomes. Reference to where other details of the	onwards
27 28 29			statistical analysis plan can be found, if not in the	
30 31			protocol	
32 33 34	Statistics: additional	<u>#20b</u>	Methods for any additional analyses (eg, subgroup and	15
35 36 37 38 39 40	analyses		adjusted analyses)	onwards
	Statistics: analysis	<u>#20c</u>	Definition of analysis population relating to protocol non-	15
40 41 42	population and		adherence (eg, as randomised analysis), and any	
43 44	missing data		statistical methods to handle missing data (eg, multiple	
45 46			imputation)	
47 48 49	Methods: Monitoring			
50 51				
51 52 53	Data monitoring:	<u>#21a</u>	Composition of data monitoring committee (DMC);	N/A
54 55	formal committee		summary of its role and reporting structure; statement of	
56 57 58			whether it is independent from the sponsor and	
59 60	Fo	r peer rev	iew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

1 age 57 01 50	Page	37	of	38
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1			competing interests; and reference to where further	
2 3			details about its charter can be found, if not in the	
4 5 6			protocol. Alternatively, an explanation of why a DMC is	
7 8			not needed	
9 10 11 12	Data monitoring:	<u>#21b</u>	Description of any interim analyses and stopping	N/A
12 13 14	interim analysis		guidelines, including who will have access to these	
15 16			interim results and make the final decision to terminate	
17 18 19			the trial	
20 21	Harms	<u>#22</u>	Plans for collecting, assessing, reporting, and managing	No
22 23			solicited and spontaneously reported adverse events and	
24 25 26			other unintended effects of trial interventions or trial	
20 27 28			conduct	
29 30	Auditing	#23	Frequency and procedures for auditing trial conduct, if	No
31 32	Additing	<u>#20</u>		NO
33 34			any, and whether the process will be independent from	
35 36 27			investigators and the sponsor	
37 38 39	Ethics and			
40 41 42	dissemination			
43 44	Research ethics	<u>#24</u>	Plans for seeking research ethics committee / institutional	21
45 46 47	approval		review board (REC / IRB) approval	
48 49 50	Protocol	<u>#25</u>	Plans for communicating important protocol modifications	21
50 51 52	amendments		(eg, changes to eligibility criteria, outcomes, analyses) to	
53 54			relevant parties (eg, investigators, REC / IRBs, trial	
55 56			participants, trial registries, journals, regulators)	
57 58 59 60	Fo	r peer rev	iew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

1 2	Consent or assent	<u>#26a</u>	Who will obtain informed consent or assent from potential	14 and
3 4			trial participants or authorised surrogates, and how (see	19
5 6 7			Item 32)	
, 8 9 10	Consent or assent:	<u>#26b</u>	Additional consent provisions for collection and use of	N/A
11 12	ancillary studies		participant data and biological specimens in ancillary	
13 14 15			studies, if applicable	
16 17 18	Confidentiality	<u>#27</u>	How personal information about potential and enrolled	14/15
19 20			participants will be collected, shared, and maintained in	
21 22			order to protect confidentiality before, during, and after	
23 24 25			the trial	
26 27	Declaration of	<u>#28</u>	Financial and other competing interests for principal	N/A
28 29 30	interests		investigators for the overall trial and each study site	
31 32 33	Data access	<u>#29</u>	Statement of who will have access to the final trial	26
34 35			dataset, and disclosure of contractual agreements that	
36 37 38			limit such access for investigators	
39 40	Ancillary and post	<u>#30</u>	Provisions, if any, for ancillary and post-trial care, and for	N/A
41 42	trial care		compensation to those who suffer harm from trial	
43 44 45			participation	
46 47	Dissemination policy:	<u>#31a</u>	Plans for investigators and sponsor to communicate trial	21
48 49 50	trial results		results to participants, healthcare professionals, the	
51 52			public, and other relevant groups (eg, via publication,	
53 54			reporting in results databases, or other data sharing	
55 56 57			arrangements), including any publication restrictions	
57 58 59				
60	Fo	r peer rev	iew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

1 2	Dissemination policy:	<u>#31b</u>	Authorship eligibility guidelines and any intended use of	N/A
3 4 5	authorship		professional writers	
6 7 8	Dissemination policy:	<u>#31c</u>	Plans, if any, for granting public access to the full	N/A
9 10	reproducible		protocol, participant-level dataset, and statistical code	
11 12 13	research			
14 15 16	Appendices			
17 18	Informed consent	<u>#32</u>	Model consent form and other related documentation	No
19 20 21	materials		given to participants and authorised surrogates	
22 23	Biological specimens	<u>#33</u>	Plans for collection, laboratory evaluation, and storage of	N/A
24 25 26			biological specimens for genetic or molecular analysis in	
27 28			the current trial and for future use in ancillary studies, if	
29 30			applicable	
31 32 33	None The SPIRIT chec	klist is d	distributed under the terms of the Creative Commons Attribu	ition
34 35	License CC-BY-ND 3.0	. This c	hecklist can be completed online using https://www.goodrep	<u>oorts.org/</u> , a
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Protocol for a multicentre longitudinal mixed methods study: Feeding and survivorship outcomes in previously healthy young Paediatric IntensivE Care Survivors – The PIES study

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1	Title: Protocol for a multicentre longitudinal mixed methods study: Feeding and survivorship
2	outcomes in previously healthy young Paediatric IntensivE Care Survivors – The PIES study
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4	
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30 Introduction

31	An admission to Paediatric Intensive Care (PICU) is associated with multiple physical and
32	environmental stressors, often involving many negative and painful oral experiences. Evidence
33	from children with complex medical conditions suggest that feeding difficulties post-PICU stay
34	are common, causing significant parental anxiety. Adult intensive care (ICU) survivor studies
35	suggest feeding issues lasting up to 3 months post discharge from ICU. There is, however, a
36	paucity of evidence regarding feeding outcomes for previously healthy children following a PICU
37	admission and whether painful oral experiences during an admission contribute to feeding
38	difficulties post-discharge, negatively impacting on parental/caregiver anxiety.
39	Methods and analysis
40	This longitudinal mixed method study will explore the impact of feeding difficulties,
41	identifying any clinical risk factors during the first 6 months of PICU-discharge in previously
42	healthy young children (\leq 4 years). Parents/caregivers of children will be asked to complete
43	questionnaires relating to; feeding difficulties, parental/caregiver stress, child and
44	parental/caregivers feeding behaviours, at the point of PICU-discharge, 1, 3 and 6 months post-
45	discharge. Parents/caregivers will be invited to participate in qualitative semi-structured
46	interviews at 3 and 6 months post-PICU-discharge exploring parental/caregiver experiences of
47	feeding their child after PICU. Statistical analysis of the survey data will consist of descriptive
48	and inferential statistics, plus qualitative analysis of any free text comments using thematic
49	analysis.
50	Ethics and dissemination
51	This study will provide an insight and increase our understanding of the prevalence of
52	feeding difficulties in previously healthy children admitted to PICU and parental/caregiver

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1 2		
2 3 4	53	experiences. Multiple methods will be used to ensure that the findings are effectively
5	54	disseminated to service users, clinicians, policy and academic audiences. The study has full
7 8	55	ethical approval from the National Health Service Research Ethics Committee (Ref: 20/YH/0160)
9 10 11	56	and full governance clearance.
11 12 13	57	
14 15	58	
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59	Article summary
60 61	Strengths:
62	• A strength of this study is that it is the first multicentre, longitudinal study to investigate
63	feeding and survivorship outcomes in young paediatric intensive care (PICU) survivors, in the
64	first 6-months post-discharge.
65	• By using a mixed methods design, this study will provide a greater breadth of understanding
66	into the prevalence and impact of feeding issues in previously healthy young children who
67	survive PICU.
68	• A strength of this study's qualitative data collection method (interviews with
69	parents/caregivers) lies in its ability to generate a rich narrative data set exploring the
70	survivorship journey of families post-PICU.
71	• The longitudinal study design will allow us to explore any feeding difficulties over a 6-month
72	period post-PICU, potentially identifying transient and persistent problems.
73	Limitations:
74	• A limitation to the study's longitudinal design lies in its potential for high attrition which
75	may affect data at six months, challenging the internal validity of the reported results.
76	

2		
3	77	Introduction
4		
5 6	78	Paediatric intensive care unit (PICU) admissions have increased by 15% over the last
7 8 9	79	decade, (1, 2). Approximately 70% are admitted due to emergency unplanned admissions, (2)
10 11	80	causing a period of distress and crisis for families,(3). In developed countries, advances in
12 13	81	medical care and technology mean that over 96% of PICU patients are discharged alive,(4)
14 15	82	although morbidity amongst childhood survivors is high ,(5). As a result, the focus of critical care
16 17 18	83	has moved to improving survivorship, aiming to optimise physical, social, emotional, cognitive
19 20	84	and functional outcomes for children and their families,(6).
21 22	85	Until now, there has been little focus on the impact an admission to PICU may have on
23 24	86	oral feeding ability in survivors of critical illness. During an admission to PICU, children are
25 26	87	exposed to multiple physical and environmental stressors, involving up to 89 painful oral
27 28 29	88	experiences, including the use of endotracheal tubes (ETT), extubations and re-intubations,
30 31	89	nasogastric tube (NGT) insertion and frequent oral suctioning,(7). These traumatic and often
32 33	90	painful oral experiences have been linked to swallowing and eating difficulties in adult survivors
34 35	91	of intensive care ,(8-12) with difficulties in self-feeding, reduced appetite, altered taste and food
36 37 38	92	preferences lasting up to three months post ICU discharge, (12, 13).
38 39 40	93	Despite most PICUs in the United Kingdom (UK) incorporating early nutritional support
41 42	94	within 24 hours of admission (14, 15), it is usual for children not to eat or drink orally during
43 44	95	their intensive care admission, (16). Nasogastric tube feeding is routinely used during critical
45 46	96	illness as a primary method of delivering nutrition support (16), resulting in young children
47 48 49	97	missing out on normal oral feeding experiences, (17, 18). The impact of prolonged NGT feeding is
50 51	98	well described, with evidence indicating that children under 1-year-of age can take up to 2 years
52 53	99	to establish oral feeding if they are NGT fed for significant periods of time, (19, 20).
54 55		

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100	Feeding is a complex learned behaviour, occurring during infancy involving
101	developmental maturation to coordinate the process of sucking, swallowing and breathing. This
102	then advances into chewing and texture control, (21). There is also a social aspect of feeding,
103	involving parental - child interactions, (22) with parental behaviours and feeding styles directly
104	influencing feeding behaviours of young children, (20, 23). Parental feeding styles have been
105	shown to influence food enjoyment, fussiness, food responsiveness, food neophobia, and self-
106	regulation in children, (22). Parental feeding interactions and practices during childhood cancer
107	treatment, for example, include pressurising children to eat, using food as rewards and bribes
108	and being overindulgent, with the stress of eating having a negative effect on the parental-child
109	relationship (3, 24). There is, however, no evidence looking at feeding difficulties and parental-
110	child feeding interactions associated with feeding in the previously healthy PICU population (25).
111	Although there is some information describing feeding outcomes in children born prematurely
112	and young children with CHD, there remains a lack of high-quality evidence. The consequence of
113	an admission to PICU on the ability of young children to eat and drink initially after PICU
114	discharge and then once home, and the implications this has for young children and their
115	families, is not known.
116	
117	Methods and analysis
118	Study aims
119	The PIES study (Feeding and survivorship outcomes in previously healthy young
120	Paediatric IntensivE Care Survivors) has six specific objectives:
121	1. To characterise and measure the prevalence of feeding difficulties in previously healthy
122	children (\leq 4 years) who survive critical illness during the first 6 months after PICU
123	discharge;

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2		
3	124	2. To identify clinical predictors for the development of feeding difficulties in previously
4		····,····,····························
5 6	125	healthy young children (≤ 4 years) who survive critical illness;
7		
8	126	3. To identify parental/caregiver feeding styles for previously healthy young children (\leq 4
9		
10 11	127	years) who survive critical illness;
12	128	4. To measure parental stress in parents/caregivers of previously healthy young children (≤
13	120	
14	129	4 years) who survive critical illness;
15	12)	
16 17	130	5. To identify behaviours of previously healthy young children (≤ 4 years) who survive
18		
19	131	critical illness;
20		
21	132	6. To develop an in-depth understanding of how parents/caregivers of previously healthy
22 23		
24	133	young children (≤ 4 years old) who survive critical illness construct, experience and make
25	124	comes of their survivorable investigant DICLI admission anacifically locking at feeding
26	134	sense of their survivorship journey from PICU admission, specifically looking at feeding
27 28	135	experiences and parental-child relationships.
28 29	155	experiences and parental-cinit relationships.
30	136	Study design
31		
32	137	Based on the research question and objectives, a prospective, longitudinal mixed
33 34		
35	138	methods design will be used. Quantitative and qualitative data will be collected simultaneously
36		
37	139	over several times points, analysed separately and then integrated giving equal emphasis to
38	1.40	
39 40	140	each strand, (26). Parents/caregivers of children will be asked to take part in a longitudinal
41	141	survey, completing questionnaires considering aspects relating to; feeding difficulties,
42	141	survey, completing questionnalies considering aspects relating to, recurring uniculties,
43	142	parental/caregiver stress, child and parental/caregivers feeding behaviours at the point of PICU-
44 45		
43 46	143	discharge and at 1, 3 and 6 months post-discharge. Parents/caregivers will also be invited to
47		
48	144	participate in qualitative semi-structured interviews at 3 and 6 months post-PICU-discharge,
49		
50 51	145	which will explore parental/caregiver experiences of feeding their child post-PICU. Routinely
52		
53	146	collected clinical data about the PICU admission will additionally be collected. See figure 1 for
54	1.47	
55	147	schematic overview of the study design.
56 57		
58		
50		

148	Setting		
149	Participants will be recruited from up to ten PICUs across the United Kingdom chosen to		
150	include variation in unit size, case mix, geographical location and patient demographic.		
151	Sample and recruitment		
152	Eligibility criteria		
153	The chosen inclusion criteria will allow re	ecruitment of previously healthy young children	
154	(\leq 4 years) who are admitted to PICU both electively and in emergency situations. Participants		
155	will be eligible if they are parents/caregivers (aged \geq 18 years of age) of a previously healthy		
156	child aged \leq 4years who has received invasive ve	ntilation for 48 hours or more (including at	
157	referring hospital if applicable) (Table 1).		
158	Table 1: Eligibility criteria		
	Inclusion criteria	Rationale	
	Parents/caregivers (aged ≥ 18 years of age) of	Age limit required to comply with the	
	Parents/caregivers (aged ≥ 18 years of age) of previously healthy children aged ≤ 4years	Age limit required to comply with the Research Governance Framework for Health	
		-	
	previously healthy children aged ≤ 4years	Research Governance Framework for Health	
	previously healthy children aged ≤ 4years who are ready to be discharged from PICU	Research Governance Framework for Health and Social Care (27)	
	<pre>previously healthy children aged ≤ 4years who are ready to be discharged from PICU Parents/caregivers who have sufficient</pre>	Research Governance Framework for Health and Social Care (27) Unable to translate study materials into	
	<pre>previously healthy children aged ≤ 4years who are ready to be discharged from PICU Parents/caregivers who have sufficient language skills to read the Participant</pre>	Research Governance Framework for Health and Social Care (27) Unable to translate study materials into different languages due to limited study	
	<pre>previously healthy children aged ≤ 4years who are ready to be discharged from PICU Parents/caregivers who have sufficient language skills to read the Participant Information Sheet and to complete the</pre>	Research Governance Framework for Health and Social Care (27) Unable to translate study materials into different languages due to limited study	
	<pre>previously healthy children aged ≤ 4years who are ready to be discharged from PICU Parents/caregivers who have sufficient language skills to read the Participant Information Sheet and to complete the questionnaires in English</pre>	Research Governance Framework for Health and Social Care (27) Unable to translate study materials into different languages due to limited study resources	
	previously healthy children aged ≤ 4yearswho are ready to be discharged from PICUParents/caregivers who have sufficientlanguage skills to read the ParticipantInformation Sheet and to complete thequestionnaires in EnglishChildren are included if they:	Research Governance Framework for Health and Social Care (27) Unable to translate study materials into different languages due to limited study resources To cover children up to school age.	
	previously healthy children aged ≤ 4yearswho are ready to be discharged from PICUParents/caregivers who have sufficientlanguage skills to read the ParticipantInformation Sheet and to complete thequestionnaires in EnglishChildren are included if they:	Research Governance Framework for Health and Social Care (27) Unable to translate study materials into different languages due to limited study resources To cover children up to school age. Used as an indicator of critical illness and	

1 2		
3 4	Have received invasive ventilation for	
5 6	48 hours or more (including at	
7 8 9	referring hospital if applicable)	
10 11	Exclusion criteria	Rationale
12 13	Aged >5 years or older	Age beyond preschool years
14 15 16	Children not invasively ventilated (so no ETT)	Unable to fulfil inclusion criteria
17 18	Children with previous feeding difficulties	Unable to fulfil inclusion criteria and unable
19 20	(children who were not fully orally fed prior	to consume sufficient nutrients orally
21 22	to PICU admission or have document oral	
23 24 25	feeding difficulties)	
	59	
	A limit of \leq 4 year of age has been set because	the majority of children admitted to PICU are
30 31	under school age, with children under 5 years of age spending the most number of days in	
32 33 ¹	PICU,(4). Furthermore, the skills and behaviours learnt in the first few years of life are seen as	
	imperative for future eating skills, attitudes an	d behaviours needed for future adult health,(28).
36 37 ₁ 38	Additionally, by studying this age range, any fe	eding difficulties that may occur during critical
20	55 time-sensitive developmental feeding milestor	e windows, may also be identified,(29). These
41 42 ¹	66 include:	
	• The initial feeding skill that is r	equired to successfully breast or bottle feed at
45 46 10 47	58 birth;	
40	• To identify feeding difficulties	that might occur during the weaning to
50	complementary food stage (4 to 6 months of a	ge), for example involving problems with
	1 textures, tastes, and chewing;	
54 55		
56 57 58		
59 60	For peer review only - http://bmjope	en.bmj.com/site/about/guidelines.xhtml

172	• To identify feeding difficulties that might occur during the transition to
173	autonomous child self-feeding during pre-school years;
174	• To identify extreme cases of behaviour often associated with picky or fussy
175	behaviour in preschool aged children.
176	• Once children start school (> 4 years of age), parents often have less control
177	over lunchtime behaviours and food intake, (30).
178	The exclusion of non-English speaking families is a limitation of the study design in terms of
179	selection bias and may affect the generalisability of the results. This will be investigated in the
180	interpretations of the study results and implications for clinical practice.
181	Sample size
182	<u>Quantitative study:</u> The sample size is based on estimating prevalence to a certain level
183	of precision as defined by a 95% confidence interval. Assuming a potentially low prevalence of
184	just 20% (which is less than the NICU and CHD population owing to their underlying baseline
185	disease, (31-35)), a sample size of 204 child participants would be sufficient to estimate feeding
186	difficulty prevalence. Anticipating a 40% drop-out, as often seen with online surveys, (36, 37), an
187	initial recruitment of 340 participants is required. We anticipate enrolling those participants
188	from 10 PICUs over a 12-month period. It is expected that recruitment numbers will vary across
189	the sites and across the recruitment period, accounting for seasonal admissions involving
190	healthy children being admitted for bronchiolitis and other respiratory and/or septic illness in
191	the winter months. Recruitment targets will be discussed at each site set up, with the allowance
192	of over-recruiting in larger sites where possible.
193	<u>Qualitative study</u> : A realistic and pragmatic sample size of 15 to 20 parents/caregivers
194	will be interviewed at 3 and 6 months after PICU discharge, with the aim of increasing research
195	knowledge in this unknown field. We recognise that we may not achieve data saturation with

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2					
3 4	196	this sample size, as the	re are many different influ	ences and variables sur	rounding the child's
5 6	197	PICU admission, parent/caregivers feeding experiences and survivorship journeys. However, this			
7 8	198	limitation will be acknowledged, investigated and discussed in the data analysis and future			
9 10 11	199	reporting of any study r	results, including the impa	ict this may have on the	study's credibility and
12 13	200	generalisability.			
14 15	201	Sampling strategy			
16 17	202	<u>Quantitative str</u>	udy: Initially, convenience	sampling will be used t	o identify and recruit
18 19 20	203	previously healthy child	lren aged ≥ 37 weeks gest	ational age and ≤ 4 yea	rs who have survived an
21 22	204	admission to PICU and their parents/caregivers. During the recruitment period, monthly			
23 24	205	progress will be monitored by the lead researcher (KM) and a proportional quota sampling			
25 26	206	strategy will be used to recruit a sample representative to the UK PICU population in terms of			
27 28 29	207	age. Recruitment strategies will be employed against the population strata taken from annual			
30 31	208	UK PICU admission data	a,(4) (Table 2). To increase	our understanding of t	he experiences that
32 33	209	both fathers and mothers have after their child has survived intensive care, we are encouraging			
34 35	210	both fathers and mothe	ers to complete the paren	tal questionnaires wher	e possible.
36 37 38	211				
39 40	212	Table 2: Propor	rtional quota sampling sti	rategy	
41		Strata (age)	UK PICU population	Pro-rata	Quota sample
42					
43		Less than 1 year	45%	153	217
44 45					
45 46		1 year old	11%	37	53
47					

6%

9%

70%

213

2 years old

Total

3 and 4 years old

57 58 59

56

48

49 50

51 52

53 54 55 20

30

240 (70%)

28

42

340 (100%)

214	<u>Qualitative study:</u> A purposeful sampling strategy will be used to interview a range of
-----	---

- 215 parents/caregivers based on reason for admission, age of child admitted to PICU and gender of
- 216 parent (Table 3). This will ensure that not just mothers, or parents/caregivers of planned surgery
- 217 or parents/caregivers of babies are only interviewed, for example.

218 Table 3: Sampling framework for interviews

Inclusion criteria	Rationale
Parents/caregivers of children enrolled into	To be able to compare experiences with
The PIES Study	quantitative data from the survey
Mothers and fathers	To obtain experiences of both mothers and
	fathers
Emergency and planned admission	To obtain experiences of parents/caregivers
	dealing with both planned and emergency
	admission as there is often psychological
	sequalae associated with emergency verses
	planned admissions to PICU (38)
Age of child:	To obtain differing experiences of feeding
• ≤ 6 months (or pre-weaned babies)	during significant developmental feeding
• > 6 months to 1 year	milestones for example weaning verses
• > 1 year to 2 years	autonomous child self-feeding during pre-
 > 2 years to 4 years 	school years (39)

220 Study Measures

221 Longitudinal follow-up survey

1 2		
3	222	The outcome measures for the longitudinal follow-up survey have been selected based
5 6	223	on their validity, reliability, use in previous paediatric populations and ease of use for
7 8	224	participants. Pre-existing validated questionnaires will be used to measure feeding difficulty
9 10 11	225	assessment, parental stress, parental feeding styles and child behaviour. To obtain longitudinal
11 12 13	226	outcome data and potentially identify acute and/or chronic feeding difficulties, data from the
14 15	227	questionnaires will be collected at four-time points: at PICU discharge (retrospective data), 1, 3
16 17	228	and 6 months after PICU discharge. The outcomes measures and time points are outlined in
18 19	229	Table 4. The questionnaires have also been selected according to age of the child participant, in
20 21 22	230	addition to tested psychometric properties.
23 24	231	Feeding difficulty assessment measures:
25 26		
27 28	232	 Infant feeding questionnaire,(40) (7 items; up to 9 month old babies)
29 30	233	• Behavioral Pediatric Feeding Assessment Scale, (41) (35 items; 9 months old to 7 years).
31 32 33	234	Parental stress measure:
33 34 35	235	Parental Stress Scale,(42) (18 items).
36 37	255	
38 39	236	Parental feeding style measures:
40 41	237	 Infant feeding questionnaire,(43) (25 items; up to 2 years)
42 43 44	238	 Child feeding questionnaire, (44) (28 items; from 2 years onwards).
45 46	239	Child behaviour measures
47 48	239	<u>Child behaviour measures</u>
49 50	240	• Infant behaviour questionnaire – very short version, (45) (36 items; up to 12 months)
51 52	241	• Child behaviour questionnaire – very short version, (46) (35 items; from 1 year)
53 54 55	242	Demographic Information:
55 56 57		
58 59		
60		For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml

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1		
2 3 4	243	At each survey, parental factors, family variables and socio-economic data will be
5 6	244	collected to identify any relationship between family background and the development of
7 8 9	245	feeding difficulties for young survivors of critical illness. This includes parental/caregiver:
10 11 12	246	• Ethnic origin
13 14 15	247	• Age
16 17 18	248	• Gender
19 20 21	249	Highest level of education
21 22 23 24	250	Living situation
24 25 26 27	251	Employment status
27 28 29 30	252	Siblings in household.
31 32	253	Routinely collected clinical PICU data:
33 34 35	254	For all recruited patients, data already recorded during the child's PICU admission will
36 37	255	be captured on a paper or electronic Case Report Form completed by the RC research nurse,
38 39 40	256	a clinical team member delegated by the local PI or by the Chief Investigator at a later date.
41 42	257	The variables of interest have been identified as:
43 44 45	258	• Length of PICU stay (in hours)
46 47 48	259	Length of intubation (in hours)
49 50 51	260	• Length of mechanical invasive ventilation (in hours)
52 53 54	261	Number of (re) intubations
55 56 57	262	• Type of ETT (oral or nasal)
58 59 60		For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml

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2						
3 4	263	• Length of n	on-invasive ventilatio	on (in hours and	l mode)	
5 6 7	264	Inotrope re	quirement (yes/no)			
8 9 10	265	Mode of fee	eding during PICU ad	mission (entera	II, bolus or contir	nuous, parental
11 12 13	266	nutrition, oral diet,	location of feeding to	ube)		
14 15	267	• Time from e	extubation to comme	ence oral feedin	g (in hours)	
16 17 18 19	268	Mode of fee	eding at PICU dischar	ge		
20 21	269	Documented ev	vidence of gastric into	olerance (vomit	ing, diarrhea, ab	dominal
22 23	270	distention).				
24 25 26	271					
27 28 29	272	Table 4: Data collection me	easures and time poi	nts		
30			Baseline	1 month	3 months	6 months
31			Daseinie	THIOHUI	SHIORUIS	omonths
32		Timepoint	(retrospective	(after	(after PICU	(after PICU
33 34			data)	PICU	discharge)	discharge)
35				discharge)		
36		Enrolment:				
37 38						
38 39		Eligibility screening	X		~	
40		(daily)				
41						

	Baseline	1 month	3 months	6 months
Timepoint	(retrospective	(after	(after PICU	(after PICU
	data)	PICU	discharge)	discharge)
		discharge)		
Enrolment:				
Eligibility screening	Х			
(daily)				
Recruitment	Х			
Assessments:				
Demographic	Х			
information				
Routinely collected	Х			
clinical PICU data				
Parental/caregivers	x			
reports of feeding history				
(prior to PICU admission)				

Feeding difficulty				
assessment measures				
Infant Feeding	X	X	X	X
Questionnaire				
or				
Behavioral Pediatric				
Feeding Assessment				
Scale				
Parental stress measure				
Parental Stress Scale	x	x	x	x
Parental feeding style				
measures				
Infant Feeding	x	X	X	X
Questionnaire				
or				
Child Feeding		6		
Questionnaire		1.		
Child behaviour				
measures				
Infant Behavior	X	X	X	X
Questionnaire (very-				
short version)				
or				
Early Childhood Behavior			1	
Questionnaire				
Qualitative interviews:				
Invitation	X			
Interviews			X	x

274 Qualitative study

58 59

60

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2		
3 4	275	The main aim of the semi-structured qualitative interviews are to develop an in-depth
5 6	276	understanding of how parents/caregivers of previously healthy young children (\leq 4 years old)
7 8 9	277	who survive critical illness construct, experience and make sense of their survivorship journey
9 10 11	278	from PICU admission, specifically looking at feeding experiences and parental-child behaviours.
12 13	279	Parents will be interviewed at approximately 3 and 6 months post-PICU discharge so that they
14 15	280	can describe how and/or if their experiences are changing (or have changed) along the PICU
16 17	281	survivorship journey.
18 19 20	282	
21 22	283	Study procedures
23 24	284	Quantitative study: Over a 12-month period, each site will screen all children admitted
25 26	285	to PICU and invite all eligible children and their parents/caregivers to participate in the study.
27 28 29	286	Site investigators (or their designated nominee) who are part of the PICU clinical care team will
30 31	287	determine eligibility. Parents/caregivers could be approached to take part in the study when the
32 33	288	child is still in PICU, near to or at discharge, on the High Dependency Unit or hospital ward soon
34 35	289	after being discharged from PICU. Once informed consent has been obtained,
36 37	290	parents/caregivers will be asked to complete baseline questionnaires (paper or online options
38 39 40	291	available). Parent/caregiver contact details will be obtained and securely recorded on a
41 42	292	password protected database to enable follow-up survey distribution at 1, 3 and 6 months.
43 44	293	Follow-up survey data will be collected using either online or paper questionnaires as agreed by
45 46	294	the parents/caregivers at recruitment. Two fortnightly reminders will be sent for the follow-up
47 48 49	295	surveys as reminder letters, telephone calls, messages or email by the lead researcher (KM) as
49 50 51	296	agreed with the participant at recruitment. As there is such a small-time frame between 1 and 3
52 53	297	month assessments, if no response is received following the 1-month survey, participants will
54		
55 56		
57		
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still be approached at 3 months. If there is no response at this point however, they will not be
approached again at 6 months.

Qualitative study: During recruitment into the multicentred survey, parents/caregivers will be invited to take part in the qualitative interviews. Those who consent to an interview will be approached by the lead researcher (KM) at the time in which reminders of the follow-up survey are sent (at 1, 3 and 6 months) either by reminder letters, telephone call, messages or email as agreed at recruitment. Semi-structured open-ended questions will be used as the primary method of data collection to allow the parent/caregiver to describe their story, communicate their experiences, feelings and PICU survivorship journey. In response to PPI feedback highlighting the lack of spare time that parents/caregivers of young children often face, telephone and internet (i.e. Microsoft teams: Microsoft 365, UK) interviews will be conducted at a time convenient for the parent/caregiver which could include evenings and 4.04 weekends. Data analysis All data obtained will be analysed. In circumstances where participants are deemed lost to follow-up, any data supplied will be analysed and used where appropriate, even if it can only

315 be used to describe the cohort at baseline. A pragmatic approach to missing data will be used,

316 whereby data will be analysed as much as possible. Data from non-responders will be used

317 within the analysis to observe for nonresponse bias.

318 Quantitative study data analysis

319 Descriptive statistics will be used to present the demographic data information taken 320 from the routinely collected clinical PICU data. All child and parent/caregiver outcome measures

321 will be calculated, including means, SD, medians and IQRs for continuous variables and

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2		
3 4	322	frequency counts and percentages for categorical data. Data will be examined for normality,
5 6	323	outliers and for missing data. Statistical analysis will be completed using the IBM Statistical
7 8	324	Package for Social Science (SPSS) and statistical significance will be set at $p < 0.05$.
9 10 11	325	Analyses related to the study specific objectives include the following:
11 12 13	326	<u>Objective 1</u> : To characterise and measure the prevalence of feeding difficulties in
14 15	327	previously healthy children (\leq 4 years) who survive critical illness during the first 6 months after
16 17	328	PICU discharge. From the feeding difficulty assessment measures, descriptive statistics
18 19	329	(frequency counts and percentages) will be used to identify the numbers and types of feeding
20 21 22	330	difficulties at each time point collected and for different age groups.
22 23 24	331	Objective 2: To identify clinical predictors for the development of feeding difficulties in
25 26	332	previously healthy young children (\leq 4 years) who survive critical illness. The information from
27 28	333	the routinely collected clinical PICU data will be used to identify any clinical predictors for the
29 30	334	development of feeding difficulties, such as length of intubation and time to commence oral
31 32 33	335	feeding. Statistical analysis will involve multiple +/- linear regressions to see if we can predict
34 35	336	feeding difficulty questionnaire scores from the clinical variables.
36 37	337	<u>Objective 3:</u> To identify parental/caregiver feeding styles for previously healthy young
38 39	338	<i>children (≤ 4 years) who survive critical illness.</i> Descriptive statistics will be initially performed to
40 41	339	identify the frequency of participants in each parental feeding style, to identify the majority.
42 43 44	340	This will then be repeated at each time point collected, to identify a change (or not) in parental
45 46	341	feeding style across the 6 months from PICU discharge. If have enough data, differences
47 48	342	between mother feeding styles and father feeding styles will be calculated using Mann-Whitney
49 50	343	U (non- parametric) or t-Test (parametric) tests as appropriate. The relationship between
51 52	344	parental feeding style and feeding difficulty score will also be tested using the same statistical
53 54		
55	345	tests.
56 57		
58		
50		

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2		
3 4	346	<u>Objective 4:</u> To measure parental stress in parents/caregivers of previously healthy
5 6	347	young children (≤ 4 years) who survive critical illness. Using the scores from the parental stress
7 8	348	scale, average parental stress scores for all participants will be calculated at all time points.
9 10 11	349	Average parental stress score at each time point, for those parents of children with and without
12 13	350	feeding difficulties, will also be presented to identify the trajectories of parental stress over time
14 15	351	and between the two groups. Correlation and regression analysis will be used to investigate
16 17 18	352	relationships between increasing feeding difficulty score and increasing parental stress score
19 20	353	<u>Objective 5</u> : To identify behaviours of previously healthy young children (\leq 4 years) who
21 22	354	survive critical illness. Frequency of children in each temperament category from the Infant and
23 24	355	Early Child Behavior questionnaires will be calculated and presented at each data collection time
25 26 27	356	point, so observe for changes over time. The relationship between infant/child temperament
28 29	357	and feeding difficulty score; and parental feeding style and parental stress score will be assessed
30	358	using Mann-Whitney U (non- parametric) or t-Test (parametric) and regression models where
31		
32 33	359	appropriate.
32	359 360	appropriate. Qualitative study data analysis
32 33 34 35 36 37		
32 33 34 35 36	360	Qualitative study data analysis
32 33 34 35 36 37 38 39 40 41 42	360 361	Qualitative study data analysis All interviews will be audio-recorded and transcribed verbatim. All data will be imported
32 33 34 35 36 37 38 39 40 41 42 43 44	360 361 362	Qualitative study data analysis All interviews will be audio-recorded and transcribed verbatim. All data will be imported into a qualitative data analysis package (NVivo), which will assist in managing, sorting and coding
32 33 34 35 36 37 38 39 40 41 42 43	360 361 362 363	Qualitative study data analysis All interviews will be audio-recorded and transcribed verbatim. All data will be imported into a qualitative data analysis package (NVivo), which will assist in managing, sorting and coding the vast data set. Data analysis will be largely conducted by KM, with the other researchers
32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49	360 361 362 363 364	Qualitative study data analysis All interviews will be audio-recorded and transcribed verbatim. All data will be imported into a qualitative data analysis package (NVivo), which will assist in managing, sorting and coding the vast data set. Data analysis will be largely conducted by KM, with the other researchers (ASD, LVM) verifying the findings for consistencies and discrepancies to maximise credibility and
32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51	360 361 362 363 364 365	Qualitative study data analysis All interviews will be audio-recorded and transcribed verbatim. All data will be imported into a qualitative data analysis package (NVivo), which will assist in managing, sorting and coding the vast data set. Data analysis will be largely conducted by KM, with the other researchers (ASD, LVM) verifying the findings for consistencies and discrepancies to maximise credibility and reliability,(47). Data analysis will involve three stages:1) narrative analysis, 2) thematic analysis
32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53	 360 361 362 363 364 365 366 	Qualitative study data analysis All interviews will be audio-recorded and transcribed verbatim. All data will be imported into a qualitative data analysis package (NVivo), which will assist in managing, sorting and coding the vast data set. Data analysis will be largely conducted by KM, with the other researchers (ASD, LVM) verifying the findings for consistencies and discrepancies to maximise credibility and reliability,(47). Data analysis will involve three stages:1) narrative analysis, 2) thematic analysis and 3) integration and will look to answer study <u>objective 6:</u> To develop an in-depth
32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52	 360 361 362 363 364 365 366 367 	Qualitative study data analysis All interviews will be audio-recorded and transcribed verbatim. All data will be imported into a qualitative data analysis package (NVivo), which will assist in managing, sorting and coding the vast data set. Data analysis will be largely conducted by KM, with the other researchers (ASD, LVM) verifying the findings for consistencies and discrepancies to maximise credibility and reliability,(47). Data analysis will involve three stages:1) narrative analysis, 2) thematic analysis and 3) integration and will look to answer study <u>objective 6:</u> To develop an in-depth understanding of how parents/caregivers of previously healthy young children (≤ 4 years old)

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60

1 2		
2 3 4	370	
5 6	371	Stage 1: Narrative analysis: The first stage of analysis will involve analysing the content
7 8	372	of the data from each participant's interview using the Clandinin and Connelly's (48) method of
9 10 11	373	narrative inquiry. This framework uses three domains to structure the analysis: temporality,
12 13	374	sociality and place, (49). The analysis focuses on the actual storylines that are told and emotions
14 15	375	that are used to tell the story, the societal and cultural impact on the story and the influence of
16 17	376	the place in which the experience occurs,(48). An additional consideration of the actual words
18 19 20	377	and language, both verbal and nonverbal, used throughout the narrative will also be used during
20 21 22	378	the analysis,(48).
23 24	379	Stage 2: Thematic analysis: The second stage of analysis will involve a thematic analysis
25 26	380	approach, whereby repeated patterns across the stage 1 analysis will be identified, leading to
27 28 29	381	the detection of codes and themes across the entire data set, (50). This will enable meaning and
29 30 31	382	patterns to emerge from the data.
32 33	383	Stage 3: Data integration: The final step of the qualitative data analysis will involve
34 35	384	integrating the narrative and thematic analysis. The individual stories will be re-told in a
36 37 38	385	coherent manner and then the key themes across the entire data set will be presented. This will
39 40	386	provide a detailed description and understanding of the survivorship journey of
41 42	387	parents/caregivers of previously health children who survive critical illness.
43 44	388	
45 46 47	389	Data integration strategy of quantitative and qualitative data
47 48 49	390	The quantitative data from the survey and the qualitative data from the interviews will
50 51	391	be analysed concurrently as they are collected and then integrated to answer the overarching
52 53	392	research questions and aims. The qualitative data will strengthen the survey findings by adding
54 55 56	393	the human perspective, exploring behaviour, feelings and experiences of the parents/caregivers
57 58		
59 60		For peer review only - http://bmiopen.bmi.com/site/about/guidelines.xhtml

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394	told by them,(51). The information gained from the interviews will assist interpretation and
395	analysis of the survey results, drawing conclusions to the clinical significance of the results with
396	implications for clinical practice,(52).
397	
398	Public and patient involvement
399	Guided by the NIHR INVOLVE recommendations, (53), involvement of families of childre
400	recently discharged from PICU was sought during the study design process. Six parents
401	volunteered to provide guidance and advice during an organised coffee morning. Collectively,
402	the importance of the study was recognised, and recommendations made to the recruitment
403	process and data collection methods. Feedback included using an online questionnaire for ease
404	of use and to increase follow-up completion. The survey questions were also piloted by parents
405	assessing the clarity of the questionnaires and their instructions and to consider the burden of
406	completing all four questionnaires. Offering home, telephone and internet interviews was also
407	suggested for the interviews.
408	
409	Ethics and Dissemination
410	Informed consent
411	Parents/caregivers will be approached to take part in The PIES study once the child
412	meets the inclusion/exclusion criteria. After being given an ethically approved Participant
413	Information Sheet (PIS), parents/caregivers will be given at least 48 hours to consider
	participation, unless they are happy to give informed consent before this time. It is anticipated
414	purception, unless they are happy to give informed consent science this time. It is unlespaced
414 415	that the children eligible for the study will be too young and/or too ill to participate directly in

2		
3 4	417	information needed for the follow-up survey distribution (e.g. mail addresses, telephone
5 6	418	numbers) and informed consent will be obtained to allow the sharing of this personal data.
7 8	419	Researching sensitive and emotive topics
9		
10 11 12	420	It is recognised that parents/caregivers of previously healthy young children who have
13 14	421	survived critical illness may have psychological sequalae (i.e. post-traumatic stress disorder)
15 16	422	following their child's admission to PICU,(38). Although not specifically asking about their PICU
17 18 19	423	experience, completing the survey and taking part in the interviews may raise potentially
20 21	424	distressing issues around difficult feeding and/or mealtime behaviours following the PICU
22 23	425	admission. Initial instances of distress will be dealt by the researcher and supported by the PICU
24 25	426	psychology team at the researchers host institution. The researcher will also signpost the
26 27	427	participants to the Patient Advice and Liaison Services (PALS), clinical psychology team based at
28 29	428	Southampton Children's Hospital and other local healthcare teams.
30		
30 31		
31		
31 32	429	Burden
31		
31 32 33	429 430	Burden The survey is compiled of four separate pre-existing validated questionnaires, asked at
31 32 33 34 35 36 37		
31 32 33 34 35 36 37 38 39	430	The survey is compiled of four separate pre-existing validated questionnaires, asked at
31 32 33 34 35 36 37 38	430 431	The survey is compiled of four separate pre-existing validated questionnaires, asked at four separate timepoints during the enrolment and follow-up (at recruitment, at 1, 3 and 6
31 32 33 34 35 36 37 38 39 40 41 42 43 44	430 431 432	The survey is compiled of four separate pre-existing validated questionnaires, asked at four separate timepoints during the enrolment and follow-up (at recruitment, at 1, 3 and 6 months after PICU discharge). The questionnaires include Likert scales, yes/no answers and
31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46	430431432433	The survey is compiled of four separate pre-existing validated questionnaires, asked at four separate timepoints during the enrolment and follow-up (at recruitment, at 1, 3 and 6 months after PICU discharge). The questionnaires include Likert scales, yes/no answers and drop-down options. The survey questions and instructions have been piloted by parents of
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31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47	 430 431 432 433 434 435 	The survey is compiled of four separate pre-existing validated questionnaires, asked at four separate timepoints during the enrolment and follow-up (at recruitment, at 1, 3 and 6 months after PICU discharge). The questionnaires include Likert scales, yes/no answers and drop-down options. The survey questions and instructions have been piloted by parents of young children, assessing the clarity of the questionnaires, the instructions and consideration of the time and mental burden in completing all four questionnaires. Average time for survey
31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50	 430 431 432 433 434 435 436 	The survey is compiled of four separate pre-existing validated questionnaires, asked at four separate timepoints during the enrolment and follow-up (at recruitment, at 1, 3 and 6 months after PICU discharge). The questionnaires include Likert scales, yes/no answers and drop-down options. The survey questions and instructions have been piloted by parents of young children, assessing the clarity of the questionnaires, the instructions and consideration of the time and mental burden in completing all four questionnaires. Average time for survey completion was 15 minutes, with follow-up surveys thought to be quicker. We endeavour to

some participants might find taking part in the study beneficial because they will have the time and space to think about issues which are important to them. **Ethical review** The Yorkshire and The Humber – South Yorkshire Research Ethics Committee has reviewed the study protocol and provided favourable opinion (Ref: 20/YH/0160). The Health Research Authority has also approved the protocol (IRAS: 279171). This study has been extensively peer reviewed through the University of Southampton and forms the PhD study of the first author. Methods of dissemination This paper is part of the dissemination plan of the PIES study, by presenting the project background, providing a detailed description of methods and procedures used to collect and analyse the data. Other dissemination plans involve local, national and international audiences including academics, health care professionals, healthcare commissioners, charities and the public. Dissemination will include written and oral feedback to the PPI group, local PICU charity and each recruitment centre. Presentations to local and national research and clinical teams will take place, including research meetings and conferences. The findings from this study will contribute to addressing the significant gaps in the literature by investigating the prevalence of and predictors for feeding difficulties experienced by previously healthy young children who survive critical illness and explore the effect on parental feeding experiences, behaviours and stress. It is anticipated that the expected outputs of this proposed project will be in terms of high quality, peer-reviewed scientific publications and conference presentations. During the informed consent process, parents/caregivers will be asked if they would like a lay summary of any study findings sent to them at the end of the study.

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- 613 management though the ALEA database/eCRF.

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615 **Conflict of interest**

- 616 None declared

618 Statement of authorship

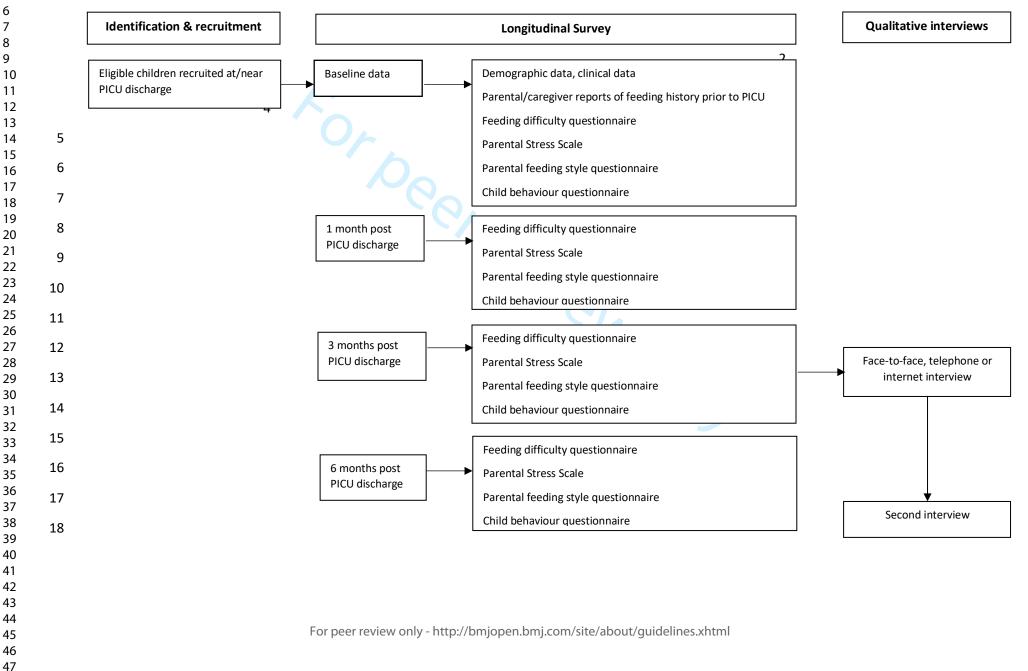
- Liezon 619 Authors made the following contribution to the manuscript:
- 620 (1) KM formulated the original research idea, conducted the literature searching and is the
 - 621 chief investigator for the study,
- 622 (2) KM drafted the manuscript from the ethically approved protocol (which was originally
- 623 supported by LVM and ASD)
- 624 (3) LVM, ASD and KM reviewed and revised the manuscript for important intellectual
 - 625 content, and (4) all authors provided final approval of the version to be submitted.

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2 3	626	
4	020	
5 6	627	Data Statement
7 8 9	628	Technical appendix, statistical code, and dataset for The PIES study will be available from the
9 10 11	629	University of Southampton Institutional Research Repository, ePrints Soton
12 13	630	(https://eprints.soton.ac.uk/)
14 15	631	
16 17 18	632	Funding
19 20	633	This report describes independent research arising from a personal Clinical Doctoral Research
21 22	634	Fellowship for Kathryn Morton, supported jointly by the University of Southampton and
23 24	635	University Hospital Southampton NHS Foundation Trust, England.
25 26 27	636	
28 29	637	Figure 1 for schematic overview of the study design
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Figure 1: Overview of The PIES study design



Reporting checklist for protocol of a clinical trial.

Based on the SPIRIT guidelines.

Instructions to authors

Complete this checklist by entering the page numbers from your manuscript where readers will find each of the items listed below.

Your article may not currently address all the items on the checklist. Please modify your text to include the missing information. If you are certain that an item does not apply, please write "n/a" and

provide a short explanation.

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In your methods section, say that you used the SPIRITreporting guidelines, and cite them as:

Chan A-W, Tetzlaff JM, Altman DG, Laupacis A, Gøtzsche PC, Krleža-Jerić K, Hróbjartsson A, Mann H, Dickersin K, Berlin J, Doré C, Parulekar W, Summerskill W, Groves T, Schulz K, Sox H, Rockhold FW, Rennie D, Moher D. SPIRIT 2013 Statement: Defining standard protocol items for clinical trials. Ann Intern Med. 2013;158(3):200-207

Page
Reporting Item Number
Administrative

information

Title

#1Descriptive title identifying the study design, population,1interventions, and, if applicable, trial acronym

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1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18	Trial registration	<u>#2a</u>	Trial identifier and registry name. If not yet registered, name of intended registry	N/A
	Trial registration: data set	<u>#2b</u>	All items from the World Health Organization Trial Registration Data Set	NA
	Protocol version	<u>#3</u>	Date and version identifier	3
	Funding	<u>#4</u>	Sources and types of financial, material, and other support	27
19 20 21	Roles and	<u>#5a</u>	Names, affiliations, and roles of protocol contributors	1 and 25
22 23 24	responsibilities:			
25 26	contributorship			
27 28 29	Roles and	<u>#5b</u>	Name and contact information for the trial sponsor	27
30 31	responsibilities:			
32 33 34 35 36	sponsor contact			
	information			
37 38 39	Roles and	<u>#5c</u>	Role of study sponsor and funders, if any, in study	N/A
40 41	responsibilities:		design; collection, management, analysis, and	
42 43	sponsor and funder		interpretation of data; writing of the report; and the	
44 45 46			decision to submit the report for publication, including	
47 48			whether they will have ultimate authority over any of	
49 50			these activities	
51 52 53	Roles and	<u>#5d</u>	Composition, roles, and responsibilities of the	N/A
54 55 56 57 58	responsibilities:		coordinating centre, steering committee, endpoint	
	committees		adjudication committee, data management team, and	
59 60	Fc	or peer rev	view only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

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1 2			other individuals or groups overseeing the trial, if	
3 4			applicable (see Item 21a for data monitoring committee)	
5 6 7	Introduction			
8 9 10	Background and	<u>#6a</u>	Description of research question and justification for	
11 12	rationale		undertaking the trial, including summary of relevant	From
13 14			studies (published and unpublished) examining benefits	page 4
15 16 17			and harms for each intervention	page .
17 18 19	Background and	#6b	Explanation for choice of comparators	N/A
20 21	rationale: choice of	<u></u>		
22 23	comparators			
24 25	comparators			
26 27 28	Objectives	<u>#7</u>	Specific objectives or hypotheses	6
28 29 30	Trial design	<u>#8</u>	Description of trial design including type of trial (eg,	7
31 32			parallel group, crossover, factorial, single group),	
33 34			allocation ratio, and framework (eg, superiority,	
35 36 37			equivalence, non-inferiority, exploratory)	
38 39				
40 41	Methods:			
42 43	Participants,			
44 45	interventions, and			
46 47 48	outcomes			
49 50	Study setting	<u>#9</u>	Description of study settings (eg, community clinic,	7 and 10
51 52			academic hospital) and list of countries where data will	
53 54			be collected. Reference to where list of study sites can	
55 56 57			be obtained	
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1 2	Eligibility criteria	<u>#10</u>	Inclusion and exclusion criteria for participants. If	11
3 4			applicable, eligibility criteria for study centres and	
5 6 7			individuals who will perform the interventions (eg,	
7 8 9 10			surgeons, psychotherapists)	
11 12	Interventions:	<u>#11a</u>	Interventions for each group with sufficient detail to allow	N/A
13 14	description		replication, including how and when they will be	
15 16 17			administered	
18 19 20	Interventions:	<u>#11b</u>	Criteria for discontinuing or modifying allocated	N/A
21 22	modifications		interventions for a given trial participant (eg, drug dose	
23 24			change in response to harms, participant request, or	
25 26 27			improving / worsening disease)	
28 29 30	Interventions:	<u>#11c</u>	Strategies to improve adherence to intervention	N/A
30 31 32	adherance		protocols, and any procedures for monitoring adherence	
33 34 35			(eg, drug tablet return; laboratory tests)	
36 37	Interventions:	<u>#11d</u>	Relevant concomitant care and interventions that are	N/A
38 39 40	concomitant care		permitted or prohibited during the trial	
41 42 42	Outcomes	<u>#12</u>	Primary, secondary, and other outcomes, including the	7
43 44 45			specific measurement variable (eg, systolic blood	
46 47			pressure), analysis metric (eg, change from baseline,	
48 49			final value, time to event), method of aggregation (eg,	
50 51 52			median, proportion), and time point for each outcome.	
52 53 54			Explanation of the clinical relevance of chosen efficacy	
55 56 57			and harm outcomes is strongly recommended	
58 59 60	Fc	or peer rev	iew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

1 2	Participant timeline	<u>#13</u>	Time schedule of enrolment, interventions (including any	8
3 4			run-ins and washouts), assessments, and visits for	
5 6 7			participants. A schematic diagram is highly	
7 8 9 10			recommended (see Figure)	
10 11 12	Sample size	<u>#14</u>	Estimated number of participants needed to achieve	12
13 14			study objectives and how it was determined, including	
15 16 17			clinical and statistical assumptions supporting any	
17 18 19			sample size calculations	
20 21	Recruitment	#15	Strategies for achieving adequate participant enrolment	14
22 23	Reclutiment	<u>#15</u>		14
24 25			to reach target sample size	
26 27	Methods:			
28 29 30	Assignment of			
31 32	interventions (for			
33 34 35	controlled trials)			
36 37	Allocation: sequence	<u>#16a</u>	Method of generating the allocation sequence (eg,	N/A
57				,, .
38 39	generation		computer-generated random numbers), and list of any	,, .
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38 39 40 41 42 43	generation		computer-generated random numbers), and list of any	
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38 39 40 41 42 43 44 45 46 47 48 49 50	generation		computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that	
38 39 40 41 42 43 44 45 46 47 48 49	generation		computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that is unavailable to those who enrol participants or assign	
38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54	generation	<u>#16b</u>	computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that is unavailable to those who enrol participants or assign	N/A
38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56		<u>#16b</u>	computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that is unavailable to those who enrol participants or assign interventions	
38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55	Allocation	<u>#16b</u>	computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that is unavailable to those who enrol participants or assign interventions Mechanism of implementing the allocation sequence (eg,	

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1			sealed envelopes), describing any steps to conceal the	
2 3			sequence until interventions are assigned	
4 5	A.I. (*	114.0		N1/A
6 7	Allocation:	<u>#16c</u>	Who will generate the allocation sequence, who will enrol	N/A
8 9	implementation		participants, and who will assign participants to	
10 11			interventions	
12 13	Blinding (masking)	#170	Who will be blinded after assignment to interventions (og	N/A
14 15	Blinding (masking)	<u>#17a</u>	Who will be blinded after assignment to interventions (eg,	IN/A
16 17			trial participants, care providers, outcome assessors,	
18 19			data analysts), and how	
20 21	Blinding (masking):	#17b	If blinded, circumstances under which unblinding is	N/A
22 23		<u></u>		,, .
24 25	emergency		permissible, and procedure for revealing a participant's	
26 27	unblinding		allocated intervention during the trial	
28 29	Methods: Data			
30 31	collection,			
32 33	management, and			
34 35	•			
36 37	analysis			
38 39	Data collection plan	<u>#18a</u>	Plans for assessment and collection of outcome,	15
40 41			baseline, and other trial data, including any related	
42 43			processes to promote data quality (eg, duplicate	
44 45				
16			measurements, training of assessors) and a description	
46 47 48			measurements, training of assessors) and a description	
47 48 49			of study instruments (eg, questionnaires, laboratory tests)	
47 48 49 50 51			of study instruments (eg, questionnaires, laboratory tests) along with their reliability and validity, if known.	
47 48 49 50 51 52 53			of study instruments (eg, questionnaires, laboratory tests)	
47 48 49 50 51 52 53 54 55			of study instruments (eg, questionnaires, laboratory tests) along with their reliability and validity, if known.	
47 48 49 50 51 52 53 54 55 56 57			of study instruments (eg, questionnaires, laboratory tests) along with their reliability and validity, if known. Reference to where data collection forms can be found, if	
47 48 49 50 51 52 53 54 55 56	Fo	r peer rev	of study instruments (eg, questionnaires, laboratory tests) along with their reliability and validity, if known. Reference to where data collection forms can be found, if	

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1 2	Data collection plan:	<u>#18b</u>	Plans to promote participant retention and complete	15
3 4	retention		follow-up, including list of any outcome data to be	
5 6 7			collected for participants who discontinue or deviate from	
8 9			intervention protocols	
10 11 12	Data management	<u>#19</u>	Plans for data entry, coding, security, and storage,	N/A
13 14			including any related processes to promote data quality	
15 16 17			(eg, double data entry; range checks for data values).	
18 19			Reference to where details of data management	
20 21 22			procedures can be found, if not in the protocol	
23 24	Statistics: outcomes	<u>#20a</u>	Statistical methods for analysing primary and secondary	15
25 26			outcomes. Reference to where other details of the	onwards
27 28 29			statistical analysis plan can be found, if not in the	
30 31 32			protocol	
33 34	Statistics: additional	<u>#20b</u>	Methods for any additional analyses (eg, subgroup and	15
35 36 37	analyses		adjusted analyses)	onwards
38 39 40	Statistics: analysis	<u>#20c</u>	Definition of analysis population relating to protocol non-	15
40 41 42	population and		adherence (eg, as randomised analysis), and any	
43 44	missing data		statistical methods to handle missing data (eg, multiple	
45 46			imputation)	
47 48 49 50	Methods: Monitoring			
51 52	Data monitoring:	<u>#21a</u>	Composition of data monitoring committee (DMC);	N/A
53 54 55	formal committee		summary of its role and reporting structure; statement of	
56 57			whether it is independent from the sponsor and	
58 59 60	Fo	r peer rev	iew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

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1			competing interests; and reference to where further	
2 3 4 5 6 7 8 9 10 11			details about its charter can be found, if not in the	
			protocol. Alternatively, an explanation of why a DMC is	
			not needed	
	Data monitoring:	<u>#21b</u>	Description of any interim analyses and stopping	N/A
12 13 14	interim analysis		guidelines, including who will have access to these	
14 15 16			interim results and make the final decision to terminate	
17 18 19			the trial	
20 21	Harms	<u>#22</u>	Plans for collecting, assessing, reporting, and managing	No
22 23			solicited and spontaneously reported adverse events and	
24 25 26			other unintended effects of trial interventions or trial	
27 28			conduct	
29 30 31	Auditing	<u>#23</u>	Frequency and procedures for auditing trial conduct, if	No
32 33			any, and whether the process will be independent from	
34 35 36 37 38 39 40 41			investigators and the sponsor	
	Ethics and			
	dissemination			
42 43 44	Research ethics	<u>#24</u>	Plans for seeking research ethics committee / institutional	21
45 46 47	approval		review board (REC / IRB) approval	
48 49 50	Protocol	<u>#25</u>	Plans for communicating important protocol modifications	21
51 52	amendments		(eg, changes to eligibility criteria, outcomes, analyses) to	
53 54 55 56 57			relevant parties (eg, investigators, REC / IRBs, trial	
			participants, trial registries, journals, regulators)	
58 59 60	Fc	r peer rev	iew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

1 2	Consent or assent	<u>#26a</u>	Who will obtain informed consent or assent from potential	14 and
3 4			trial participants or authorised surrogates, and how (see	19
5 6 7			Item 32)	
, 8 9 10	Consent or assent:	<u>#26b</u>	Additional consent provisions for collection and use of	N/A
11 12	ancillary studies		participant data and biological specimens in ancillary	
13 14 15			studies, if applicable	
16 17 18	Confidentiality	<u>#27</u>	How personal information about potential and enrolled	14/15
19 20			participants will be collected, shared, and maintained in	
21 22			order to protect confidentiality before, during, and after	
23 24 25			the trial	
26 27	Declaration of	<u>#28</u>	Financial and other competing interests for principal	N/A
28 29 30	interests		investigators for the overall trial and each study site	
31 32 33	Data access	<u>#29</u>	Statement of who will have access to the final trial	26
34 35			dataset, and disclosure of contractual agreements that	
36 37 38			limit such access for investigators	
39 40	Ancillary and post	<u>#30</u>	Provisions, if any, for ancillary and post-trial care, and for	N/A
41 42	trial care		compensation to those who suffer harm from trial	
43 44 45			participation	
46 47	Dissemination policy:	<u>#31a</u>	Plans for investigators and sponsor to communicate trial	21
48 49 50	trial results		results to participants, healthcare professionals, the	
50 51 52			public, and other relevant groups (eg, via publication,	
53 54			reporting in results databases, or other data sharing	
55 56			arrangements), including any publication restrictions	
57 58 59				
60	Fo	r peer rev	iew only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

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1 2	Dissemination policy:	<u>#31b</u>	Authorship eligibility guidelines and any intended use of	N/A
3 4 5	authorship		professional writers	
6 7 8	Dissemination policy:	<u>#31c</u>	Plans, if any, for granting public access to the full	N/A
9 10	reproducible		protocol, participant-level dataset, and statistical code	
11 12 13	research			
14 15 16	Appendices			
17 18	Informed consent	<u>#32</u>	Model consent form and other related documentation	No
19 20 21	materials		given to participants and authorised surrogates	
22 23 24	Biological specimens	<u>#33</u>	Plans for collection, laboratory evaluation, and storage of	N/A
24 25 26			biological specimens for genetic or molecular analysis in	
27 28			the current trial and for future use in ancillary studies, if	
29 30			applicable	
31 32 33	None The SPIRIT chec	klist is d	distributed under the terms of the Creative Commons Attributed	tion
34 35 36	License CC-BY-ND 3.0	. This c	hecklist can be completed online using <u>https://www.goodrep</u>	<u>oorts.org/</u> , a
37 38	tool made by the EQUA		etwork in collaboration with Penelope.ai	
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