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Full Title:	The chromosome-level draft genome of a diploid plum (<i>Prunus salicina</i>)	
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Abstract:	<p>Background: Plums are one of the most economically important Rosaceae fruit crops, and contain dozens of species distributed across the world. Until now, only limited genomic information is available for the genetic studies and breeding programs of plums. <i>Prunus salicina</i>, an important diploid plum species, plays a predominant role in modern commercial plums production. Here we selected <i>P. salicina</i> for whole-genome sequencing and presented a chromosome-level genome assembly through the combination of PacBio sequencing, Illumina sequencing and Hi-C technology.</p> <p>Findings: The assembly had a total size of 284.2 Mb, with contig N50 of 1.8Mb and Scaffold N50 of 32.3 Mb. 96.56% of the assembled sequences were anchored onto eight pseudochromosomes and a total of 24,448 protein-coding genes were identified. Phylogenetic analysis showed that <i>P. salicina</i> had closer relationship with <i>P. mume</i> and <i>P. armeniaca</i>, with <i>P. salicina</i> diverging from their common ancestor approximately 9.05 million years ago (Mya). 146 gene families were expanded during <i>P. salicina</i> evolution, and some cell wall-related GO terms were significantly enriched. It was noteworthy that members in the DUF579 family, a new class involved in xylan biosynthesis, were significantly expanded in <i>P. salicina</i>, which provided new insight into the xylan metabolism in plums.</p> <p>Conclusions: We constructed the first high-quality chromosome-level plum genome using PacBio, Illumina and Hi-C technologies. This work provides a valuable resource for facilitating plum breeding programs and studying the genetic diversity mechanisms of plums and <i>Prunus</i> species.</p>	
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Response to Reviewers:	<p>Dear Editor and Reviewers,</p> <p>We would like to thank you for helpful suggestions on our manuscript entitled "The chromosome-level draft genome of a diploid plum (<i>Prunus salicina</i>)" (GIGA-D-20-00195). Following the comments and suggestions, we rewrote the entire manuscript and re-organized the structure of the article. Our genome data have been submitted to Genome Database for Rosaceae (GDR) and received the accession number tfGDR1044. The reviewer's questions regarding heterozygosity, peach physical map and inter-specific hybrid were answered in detail. We carefully proofread the manuscript and corrected inappropriate words and expressions. We have studied the comments carefully and revised the manuscript according to reviewers' suggestions, and we expected that it would meet the publication requirement of GigaScience. A point by point response to the reviewers' comments and questions and the main corrections in the paper were provided below.</p> <p>Reviewer reports:</p> <p>--Reviewer #1:</p> <p># This manuscript reports high-quality assembly and annotation for one Japanese plum (<i>P. salicina</i>) genome. Phylogenetic analysis has been performed based on the identification of orthologous genes. The genomic data are interesting and should be useful for the community, however, the authors do not put forward any clear research question and respective hypotheses. Therefore, the study will be of limited relevance for an international readership. Most importantly, I identified substantial shortcomings that cannot be alleviated on the basis of the data and analyses presented. The main points raised are summarized below:</p> <p>The manuscript is poorly prepared. Material and Methods, Results and Discussion sections are not clearly identified and this does not help to estimate the scope and importance of the results presented. Review and discussion on published results in the similar topics and/or related species appeared insufficient. Material & Methods, Results, mixed with discussion, were not clearly presented. It is fine for results and discussion to be combined, but the results still should be presented first, clearly, then followed by relevant discussion. It also requires a proper Material & Methods section, even presented as supplemental information, but at least clearly identified from the results section. This paper needs substantial improvement of its content organization and clarity to be clear and understandable, before it could be re-submitted as a new manuscript. An alternative would be to present it as a short communication but the decision remains to the editorial board.</p> <p>Response: Thanks for your suggestions. We are very sorry for the inconvenience due to the poor content organization. For the preparation of our original manuscript, we download several published papers in 'Data Note' section, and take them as reference to arrange the contents of our manuscript. We mainly focus on how to describe our data and ignore the content structures. According to the suggestions from you and editor, the content organizations are significantly improved, and the Methods and Results sections could be clearly identified in our revised manuscript. We hope the clear content structure could make it more convenient for your review.</p> <p># The choice of the methodology for genome assembly is also raising question. Japanese plum is self-incompatible, at least in most accessions, and thus highly heterozygous. It is not clear how the authors disentangled the two expected haplotypes (therefore the two sets of 8 pseudomolecules for <i>P. salicina</i>). By the way, it is not clear why they assembled the accession 'Sanyueli', in particular. What is the level of heterozygosity in 'Sanyueli'.</p> <p>Response: Thanks very much for your kindly suggestions. (1) Assembling the highly heterozygous Japanese plum genome have long been challenging as a result of its self-incompatible nature [1]. The short Illumina reads and</p>

even hybrid assembly strategies have always been problematic to de novo assemble any complex plant genome having highly heterozygous sequences. However, the problem has been greatly alleviated with the advent of new sequencing technologies as well as accompanying advances in genome assembly algorithms.

In recent years, the single-molecule, real-time (SMRT) PacBio sequencing and chromosome conformation capture (Hi-C) techniques have been used to make significant advances in improving the assembly of plant genomes at the chromosomal level. The PacBio sequencing can generate long reads which overcomes the restriction of the short reads generated from the Illumina sequencing platform [2]. The Hi-C technology has become available to generate reliable chromosome-scale de novo genome assemblies, and the Hi-C data can also be used to phase genome onto separate haplotypes at chromosomal-scale, since homologous chromosomes occupy distinct territories in nuclei, which could be used to distinguish different haplotypes [3].

Moreover, continuous optimizations for the genome assembly algorithms are helpful for us to disentangle the two expected haplotypes of Japanese plum genome. Just as you mentioned, the haplotype phasing is a key problem in heterozygous genome assemblies. The newer generation of genome assemblers, such as FALCON-Phase, Purge Haplotigs and FALCON-Unzip, are able to separate allelic contigs and have considerably improved the quality of highly heterozygous diploid genome [4]. In our study, the pipeline of 'Purge Haplotigs' [5] was used to remove the redundant sequences caused by genomic heterozygosity.

Based on the integration of PacBio sequencing, Hi-C technology and latest generation of genome assemblers, a series of high quality complex plant genomes have been obtained recently, such as the genome of rubber tree (heterozygosity rate of ~1.6%) [6], cushion willow (~0.71%) [7], Camellia sinensis var. sinensis (~1.22%) [8] and Durian (~1.14%) [9]. In our study, the level of heterozygosity for the Japanese plum 'Sanyueli' was about 0.7% (estimated by k-mer analysis), which was significantly lower than many published complex genomes. Therefore, we think it is not a major problem to assemble the Japanese plum genome and disentangle the two expected haplotypes.

(2) The accession 'Sanyueli' is an early-maturing and high-yielding Japanese plum variety and widely cultivated in South China. Besides the economic importance, 'Sanyueli' also has great value in breeding and scientific research for its lowest chilling requirements among the cultivated Japanese plum varieties [10]. Moreover, the preliminary genome survey results show that the heterozygosity rate (~0.7%) of 'Sanyueli' is not very high. Therefore, 'Sanyueli' is selected for the subsequent genome sequencing and assembly in our study.

Authors used the peach physical map and genome assembly to align the metascaffolds onto 8 pseudo-molecules, corresponding to the eight haploid Prunus chromosomes. How did the authors handle the genomic re-arrangements (translocation, inversions, deletions) between peach and plum? Why didn't they use Japanese plum genetic maps which were previously published?

Response: Thank you very much for your kindly suggestions.

(1) We think there might be some misunderstandings, the peach physical map was not used in the genome assembly process in our study. The chromosome-level de novo genome assembly of Prunus salicina was generated using an integrated strategy that combined PacBio sequencing, Illumina sequencing and Hi-C technology. We used Hi-C to cluster and order contigs of this draft genome assembly into 8 pseudo-molecules, which cover ~96.56% of the total contig length. The genomic data from peach were only used as references in the gene annotation, orthogroup identification and phylogenetic analysis.

(2) Since the peach physical map and genome assembly were not used to align the scaffolds in our study, the genomic re-arrangements between peach and plum were not considered in the genome assembly process. For the Hi-C assisted assembly, we applied LACHESIS to cluster, order, and orient the assembly contigs onto pseudo-molecules.

(3) Genetic maps are useful tools for guiding scaffold anchoring into pseudo-chromosome assembly [11]. Up to now, only a few genetic linkage maps of Japanese plums have been reported [12-15], and there are still several problems in using them for the assisted genome assembly: ① Most of the parents are not local varieties of Japanese plums; ②The marker numbers and chromosome coverage are limited, and several large gaps are found; ③The original data for most of the genetic maps are not available.

Moreover, the mapping algorithms used to build genetic maps can sometimes place markers at incorrect locations, which could lead to errors in the genome assembly [16]. The Hi-C technology employed in our study is a novel strategy combining capture of chromatin interaction within the nucleus and next-generation sequencing. Hi-C data can effectively identify linkage between contigs or scaffolds, allowing contigs being linked to nearly whole chromosome-scale [4]. This method has been widely used in many species and dramatically improved genome assemblies. For example, Jibrán et al. [17] demonstrated that Hi-C analysis had vastly improved the black raspberry genome assembly, yielding a N50 contig size for the Hi-C guided assembly of 31,759,000 bp versus the N50 scaffold size of 48,488 bp for the previously genetic maps-assisted assembled genome of VanBuren et al. [18].

(4) Overall, compared to the published relatively low-density Japanese plum genetic maps, we think that the Hi-C technology has more advantages in the genome assembly. It is certain that the available high-density Japanese plum genetic maps could be used as an important supplement for the improvement of our genome assembly in the future.

P. salicina is inter-fertile with many other *Prunus* species, *P. mume* and *P. armeniaca* included, especially in China. This has been profoundly documented (see Zhang et al, 2018. DOI: 10.1038/s41467-018-04093-z). How did the authors check the fact that cv. 'Sanyueli' is pure Japanese plum and not an inter-specific hybrid?

Response: Thank you very much for your kindly suggestions.

(1) According to the paper you mentioned, there also might be introgression events in Japanese plum cultivars from *Prunus* species. The interspecific cross-compatibility is found among the diploid plum and non-plum species within the subgenus *Prunophora* [19]. Moreover, the diploid plums can also be hybridised with species from the subgenera *Amygdalus* (peach and almond) and *Cerasus* (cherry) but with less fertility [20]. Many interspecific hybrids have been reported and widely cultivated. For example, *Prunus simonii* might be a type of natural hybridization between *P. salicina* and *P. armeniaca* [21]; 'Santa Rosa' is a complex hybrid containing a mixture of *P. salicina*, *P. saimonii*, and *P. Americana* [22].

(2) 'Sanyueli' is a traditional landraces of Japanese plum and widely cultivated in South China, especially in Guangdong Province. 'Sanyueli' has long cultivation history and has been recorded in local gazetteers of Nanhua County in 1843 [23].

(3) Japanese plum originates in China, has a long cultivation history and wide geographical distribution ranging from the southern to the northern areas of the country. 'Sanyueli' is a low-chilling requirement and cold-sensitive Japanese plum variety, mainly distributed in the south of Japanese plum cultivation regions in China [10, 24].

According to the most widely accepted classification [25], *Prunophora* subgenus could be subdivided into the sections *Euprunus* (plum species native to Europe and Asia), *Prunocerasus* (plum species native to North America) and *Armeniaca* (apricot species). Among the species of *Euprunus* and *Prunocerasus* sections, only Japanese plum is widely found in South China region, according to the germplasm resources investigation [10]. Other plum species are not well adapted to the climate in South China, because the winter temperatures could not meet their chilling requirements for normal flowering in most years. The distribution characteristics of plums show that the natural outcrossing between 'Sanyueli' and other plum species in recent years might be considered as rare events.

Among the species in Section Armeniaca, only *Prunus mume* is also widely found in South China, which is overlapped with the distribution of 'Sanyueli'. However, the differences in flowering time might reduce the possibility of natural outcrossing. As far as we know, there are no reports about the natural hybrids between *Prunus mume* and *Prunus salicina*. Boonprakob et al. [26] found that the *P. mume* produce semi-fertile hybrids in crosses with plum species. The interspecific hybrids between *P. mume* cv. Baigo and *P. salicina* cv. Sordum were created with manual hybridization by Hakoda et al. [27], and the hybrids can be easily distinguished with their parents according to the morphological characteristics like flower size and leaf shape.

(4) Overall, the above analyses indicate that the cv. 'Sanyueli' is most likely not from the recent interspecific hybridization. We think it could be a suitable candidate material for the Japanese plum genome sequencing. However, we could not rule out the possibility of the introgression from other germplasms like *P. mume* during the long-term cultivation and domestication of 'Sanyueli'. In the future work, we will perform the whole-genome re-sequencing project for various germplasms within *Prunophora* subgenus. We think the project will help us to better understand the genetic background of 'Sanyueli' and other varieties of Japanese plum.

Given those issues, the analyses appear rudimentary/descriptive and biased, the main conclusions not reliable enough and the previous studies on diversity and genetic studies in Japanese plums not taken into account.

Response: We agree that the analyses in our study maybe not comprehensive enough and the main conclusions need further experimental verification. However, our paper is submitted as a Data Note, which aims to incentivize and more rapidly release data before subsequent detailed analysis has been carried out, so we mainly focuses on presenting the genome data in our manuscript. We have actually noticed the previous studies on diversity and genetic studies in Japanese plum, and carefully selected cv. 'Sanyueli' for genome sequencing. We think that the completion of our high-quality Japanese plum genome will help to measure and characterize the genetic diversity and determine how this diversity relates to the tremendous phenotypic diversity among plum cultivars.

This situation is aggravated by the fact that in many instances, writing is not clear and terminology inappropriate, with many awkward or incorrect sentences (for ex. In the abstract, what does 'hold the center of the *Prunus*' mean or what is a 'typical' diploid plum species for the authors?). Attention should be given to using correct terms. A substantial English proofreading is required.

Response: Thank you very much for your kindly suggestions. We are sorry for the unclear writing and inappropriate terminology in our original manuscript. We reorganize the article structures and carefully modify the incorrect sentences that you pointed out. The substantial English proofreading is implemented, and the inappropriate words and expressions are corrected in revised manuscript.

Reviewer #2:

The authors report the first chromosome-level genome assembly of plum (*P. salicina*), which is an economically important fruit crop and therefore provide a useful resource for the research community of this fruit tree. They also provided a phylogenetic analysis with *P. nume* and *P. armenica* and studied gene family expansion in *P. salicina* evolution investigating in particular xylan metabolism which might have an impact on fruit quality.

I believe that the paper is well written and provides a useful resource for the community therefore I would welcome its publication once a few, mostly minor, issues are addressed.

I have seen that the data is/will be available on public repositories but I did not see the assembled sequences and the usual services like BLAST that would make the

genome truly available for the community. I am not sure whether authors intend to publish this data on their own web-server alongside GigaDB, but I would also recommend to submit sequences/gene predictions to specialized databases like the Genome Database for Rosaceae (GDR) which will make this data easily available for the rosaceae community.

Response: According to your suggestion, we have submitted our genome data to GDR and received the accession number tfGDR1044. The genome data will be available through the link https://www.rosaceae.org/publication_datasets. (Line 435)

Detailed comments

line 31: "Plums are the economically important" I believe should be "Plums are one of the most economically important... and are produced"

Response: We have corrected it according to your suggestion. (Line 31)

line 64: originate should be originates

Response: We corrected accordingly. (Line 63)

line 88: some references here are missing like Daccord et.al, 2017 for the apple GDDH13 genome and Linsmith et al, 2019 for European Pear. The published genomes of Prunus avium, Prunus armenica and Prunus dulcis are also ignored here. I am not an expert in Prunus, but perhaps authors should also consider providing a collinearity analysis with avium and dulcis.

Response: Thanks very much for your kindly suggestions. The references you mentioned have been added in the revised manuscript (Apple GDDH13, Ref 15; European Pear, Ref 17; Sweet cherry, Ref 27; Apricot, Ref 29; Almond, Ref 24)(Line 88-89).According to your suggestion, the collinearity analysis between P. salicina, P. avium and P. dulcis was performed in revised manuscript (Figure 3B, Line 375-379).

line 105: conversation should be conservation

Response: We corrected accordingly. (Line 108)

line 119: I guess that by "with unknown bases (N) than 10%" authors mean "with more than 10% unknown bases (N)", and with more than 50% low quality bases... Please rephrase.

Response: We rephrase the sentence according to your suggestion. (Line 124)

line 145: "were used to estimate the genomic information" I would rephrase this to say that they were used to perform a kmer analysis to estimate the genome size.

Response: Thanks very much for your kindly suggestions. We corrected accordingly. (Line 141)

lines 156-158: this is what FALCON does, so in my opinion there is no need to repeat this here.

Response: We corrected accordingly. (Line 146)

line 189: I would remove approaches.

Response: We corrected accordingly. (Line 174)

line 194: In table 1 it would be interesting to have more information on CEGMA and BUSCO like the % of duplicated genes vs unique etc. which are in the supplementary material

Response: According to your suggestions, more detailed information about CEGMA and BUSCO were added in Table 1.

line 195: It would be interesting to see how many telomeric sequences are recovered at each end of the assembled chromosomes to show how complete they are. I believe this could be a nice addition to this paragraph.

Response: Thanks very much for your kindly suggestions. According to your suggestions, the telomere sequences were identified by BLASTN searches using tandem repeats of the telomere repeat motif (TTTAGGG), and the results were exhibited in Table S5.

#line 211: remove "of"

Response: We corrected accordingly. (Line 342)

#line 213-214: any comment on why transferase activity and phloem development were enriched?

Response: Thanks very much for your kindly suggestions. The possible causes for the significant enrichment of sieve element occlusion genes in 'phloem development' were discussed in revised manuscript. (Line 348-350)

#line 221-222: maybe authors should have added the protein sequences from *Pyrus Communis* as well. In the gene family identification paragraph *Pyrus communis* is actually mentioned, therefore this might just be an oversight here.

Response: Thanks very much for your kindly suggestions. Sequences from *Prunus salicina* and other 16 sequenced rosids species, including *Pyrus Communis*, were actually used in the gene family identification (Line 240). However, only 7 species (*Prunus persica*, *Prunus avium*, *Prunus mume*, *Pyrus bretschneideri*, *Malus domestica*, *Fragaria vesca* and *Arabidopsis thaliana*) were selected in the homology-based gene prediction (Line 198-199). The *Pyrus Communis* was not included because the *Pyrus bretschneideri* was selected as the representative of pear.

#line 224: SwisssProt should be SwissProt

Response: We corrected accordingly. (Line 220)

#Lines 245-247: It is not clear to me if authors used only Interpro results to annotate the plum proteins with the Gene Ontology? In this case, why did they also perform the BLAST search against NR and SwissProt? Otherwise, how did they use the BLAST results to retrieve the GO terms? Please explain.

Response: We only used the Interpro results to annotate the Japanese plum proteins with the Gene Ontology (GO). The GO IDs for each gene were assigned according to the corresponding InterPro entry. The InterPro database, which includes 14 member databases, integrates diverse information about protein families, domains and functional sites [28]. The InterPro databases group one or more related member databases signatures, and provides additional overarching functional annotations, including GO terms wherever possible. The BLAST search against NR and SwissProt databases were also performed in our study, because they were not integrated into the InterPro databases and had different focuses and distinctive signatures. The NR dataset include the non-redundant protein sequences from GenPept, SwissProt, PIR, PDF, PDB, and NCBI Refseq, and the annotations might be more comprehensive. SwissProt is a curated protein sequence database [29], which might be able to provide the high quality annotation.

#Figure 2: The quality of the figure I saw is quite low and it is difficult to read the names. This might be due to the pdf version I have seen, but please double-check

Response: We checked the quality of Figure 2 again, and found that the low figure quality was due to the PDF version that you have seen. The original figure in TIFF format could be downloaded through the link "Click here to access/download" at the top right corner of the PDF pages.

#Figure 3: *P. armeniaeca* should be *P. armenica*

Response: According to your suggestion, we corrected the scientific name of apricot (in Figure 3) to *P. armeniaca*.

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Additional Information:

Question	Response
<p>Are you submitting this manuscript to a special series or article collection?</p>	<p>No</p>
<p>Experimental design and statistics</p> <p>Full details of the experimental design and statistical methods used should be given in the Methods section, as detailed in our Minimum Standards Reporting Checklist. Information essential to interpreting the data presented should be made available in the figure legends.</p> <p>Have you included all the information requested in your manuscript?</p>	<p>Yes</p>
<p>Resources</p> <p>A description of all resources used, including antibodies, cell lines, animals and software tools, with enough information to allow them to be uniquely identified, should be included in the Methods section. Authors are strongly encouraged to cite Research Resource Identifiers (RRIDs) for antibodies, model organisms and tools, where possible.</p> <p>Have you included the information requested as detailed in our Minimum Standards Reporting Checklist?</p>	<p>Yes</p>
<p>Availability of data and materials</p> <p>All datasets and code on which the conclusions of the paper rely must be either included in your submission or deposited in publicly available repositories (where available and ethically appropriate), referencing such data using a unique identifier in the references and in the “Availability of Data and Materials” section of your manuscript.</p>	<p>Yes</p>

Have you have met the above requirement as detailed in our [Minimum Standards Reporting Checklist?](#)

1 **The chromosome-level draft genome of a diploid**
2 **plum (*Prunus salicina*)**

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30 **Abstract**

31 **Background:** Plums are one of the most economically important Rosaceae fruit crops,
32 and contain dozens of species distributed across the world. Until now, only limited
33 genomic information is available for the genetic studies and breeding programs of
34 plums. *Prunus salicina*, an important diploid plum species, plays a predominant role
35 in modern commercial plums production. Here we selected *P. salicina* for
36 whole-genome sequencing and presented a chromosome-level genome assembly
37 through the combination of PacBio sequencing, Illumina sequencing and Hi-C
38 technology. **Findings:** The assembly had a total size of 284.2 Mb, with contig N50 of
39 1.8Mb and scaffold N50 of 32.3Mb. 96.56% of the assembled sequences were
40 anchored onto eight pseudochromosomes and a total of 24,448 protein-coding genes
41 were identified. Phylogenetic analysis showed that *P. salicina* had closer relationship
42 with *P. mume* and *P. armeniaca*, with *P. salicina* diverging from their common
43 ancestor approximately 9.05 million years ago (Mya). 146 gene families were
44 expanded during *P. salicina* evolution, and some cell wall-related GO terms were
45 significantly enriched. It was noteworthy that members in the DUF579 family, a new
46 class involved in xylan biosynthesis, were significantly expanded in *P. salicina*, which
47 provided new insight into the xylan metabolism in plums. **Conclusions:** We
48 constructed the first high-quality chromosome-level plum genome using PacBio,
49 Illumina and Hi-C technologies. This work provides a valuable resource for
50 facilitating plum breeding programs and studying the genetic diversity mechanisms of
51 plums and *Prunus* species.

52

53 **Background**

54 Plums are one of the most economically important Rosaceae fruit crops and are
55 produced throughout the world. About 12.6 million tons of plums (include sloes) are
56 produced per year (FAOSTAT 2018, <http://faostat.fao.org/>), and the fruits are widely
57 used for fresh consumption and processing like canning and beverages [1]. There are
58 19-40 species of plums distributed across Asia, Europe and America. Plums have
59 great diversity and are considered as a link between the major subgenera in the genus
60 *Prunus* [2].

61 *Prunus salicina*, commonly called the Japanese plum or Chinese plum, is an
62 important diploid ($2x=2n=16$) plum species that predominates in the modern
63 commercial production of plums (Fig. 1). *P. salicina* originates in China and its fruits
64 are mostly used for fresh consumption for their characteristic taste [3]. Cultivars of *P.*
65 *salicina* have wide variability in phenology, fruit size and shape, flavour, firmness,
66 aroma, texture, phenolic composition, antioxidant activity and both skin and pulp
67 color [4].

68 However, the genetic and genomic information for *P. salicina* as well as most plum
69 species were scarce [5]. The availability of a fully sequenced and annotated genome
70 will help to measure and characterize the genetic diversity and determine how this
71 diversity relates to the tremendous phenotypic diversity among plum cultivars. The
72 genomic information is essential to support many of the studies involved in
73 fundamental questions about plums biology and genetics. Moreover, genome-based
74 tools could be developed to improve breeding works of plums, which were usually
75 hindered by the high degree of heterozygosity, self-incompatible and long juvenile
76 stage [2, 5, 6].

77 The fruit firmness, one of the most important indices of plum quality, is closely
78 associated with cell wall compositions [2]. Xylan is a major component of secondary
79 cell walls [7], and the xylan metabolism is involved in various aspects of plant growth
80 and development like fruit ripening and softening [8]. According to previous studies,
81 the plum species presented more xylose (the main component of xylan) compared to

82 other *Prunus* species, and plums were regarded as one of the richest natural sources of
83 xyliot [9, 10]. The relatively high levels of xylan-related metabolites may be
84 associated with the distinct mechanisms of the xylan metabolism in plums, and the
85 available plum genomic information will be helpful to better understand the
86 mechanism at molecular level.

87 Genome resources are already available for a number of Rosaceae fruit crops [11],
88 including apple [12-15], peach [16], pear [17-20], strawberry [21, 22], almond [23,
89 24], black raspberry [25], sweet cherry [26, 27], apricot [28, 29], loquat [30] and
90 *Prunus mume* [31]. However, whole-genome sequencing and chromosome-level
91 assembly for plums have not been reported until now. In this study, *P. salicina* was
92 selected for the whole-genome sequencing as a genomic reference. A high-quality
93 chromosome-level *de novo* genome assembly of *P. salicina* was generated using an
94 integrated strategy that combines PacBio sequencing, Illumina sequencing and Hi-C
95 technology. The assembly has a total size of 284.2 Mb with contig N50 of 1.8Mb and
96 scaffold N50 of 32.3 Mb, and vast majority (96.56%) of the assembled sequence was
97 anchored onto eight pseudochromosomes. The availability of the high-quality
98 chromosome-scale genome sequences not only provides fundamental knowledge
99 regarding plum biology but also presents a valuable resource for genetic diversity
100 analysis and breeding programs of plums and other *Prunus* crops.

101

102 **Methods**

103 **Sample collection**

104 The *Prunus salicina* Lindl. cv. ‘Sanyueli’, a Japanese plum landrace originating from
105 Southern China, was selected for genome sequencing and assembly. ‘Sanyueli’ has a
106 cultivation history of more than 200 years and many distinctive characteristics,
107 including early-maturation, high-yield and low chilling requirements. The samples of
108 the ‘Sanyueli’ were kept at the Horticultural Germplasm Conservation Center of
109 South China Agricultural University (SCAU) for breeding and research in Guangzhou,
110 Guangdong Province, China (113°22'4" N, 23°9'5" E). Total genomic DNA was

111 extracted from fresh young leaves of 5-year-old *P. salicina* tree using the CTAB
112 method [32]. Samples from a total of six tissues, including leaf, flower, branch, young
113 fruit pericarp, young fruit pulp and matured fruit, were collected from the same *P.*
114 *salicina* tree. Total RNA was extracted from the six tissues using E.N.Z.A.[®] Plant
115 RNA kit (OMEGA).

116

117 **Library construction and sequencing**

118 A combination of PacBio single-molecule real-time (SMRT) sequencing, Illumina's
119 paired-end sequencing and Hi-C technology was applied. For PacBio sequencing,
120 SMRT libraries were constructed using the PacBio 20-kb protocol
121 (<https://www.pacb.com/>). The Illumina DNA paired-end libraries were constructed
122 with an insert size of 350 bp, and sequencing was performed on the Illumina HiSeq
123 4000 platform according to the manufacturer's instructions. Reads with adaptors, with
124 more than 10% unknown bases (N) and with more than 50% low-quality bases (≤ 5)
125 were filtered out to gain the clean data for further analysis.

126 The Hi-C library was prepared using the standard procedures. The young leaves of
127 the same *P. salicina* tree were used as starting materials. Nuclear DNA from young
128 leaves was cross-linked in situ, extracted, and digested with DpnII restriction
129 endonuclease. The 5' overhangs of the digested fragments were biotinylated, and the
130 resulting blunt ends were ligated. The cross-links were reversed after ligation,
131 proteins were removed to release the DNA molecules. The purified DNA was sheared
132 to a mean fragment size of 350 bp and ligated to adaptors, followed by purification
133 through biotin-streptavidin-mediated pull down. The quality of Hi-C sequencing was
134 evaluated with HiCUP [33].

135 The RNA-seq libraries for the six tissues of *P. salicina* were constructed according
136 to the manufacturer's protocols, and were sequenced by Illumina HiSeq 4000 in
137 paired-end 150bp mode.

138

139 **Genome size estimation and *de novo* assembly**

140 Sequencing data from the Illumina library were used to perform a k-mer analysis to

141 estimate the genome size of *P. salicina*. Quality-filtered reads were subjected to
142 17-mer frequency distribution analysis using SOAPdenovo (SOAPdenovo, RRID:
143 SCR_010752) [34].

144 The *de novo* assembly of the *P. salicina* genome was carried out using the
145 FALCON assembler (FALCON, RRID: SCR_016089) [35], followed by the polishing
146 with Quiver [36] and Pilon (Pilon, RRID: SCR_014731) [37]. The PacBio subreads
147 were subsequently processed by a self-correction of errors using FALCON [35]
148 according to the manufacturer's instructions with the following parameters:
149 length_cutoff = 7,000, length_cutoff_pr = 4,000, max_diff = 100, max_cov = 100. The
150 draft assembly was further polished using Quiver [36]. The pipeline of 'Purge
151 Haplotigs' was used to remove the redundant sequences caused by genomic
152 heterozygosity [38]. Finally, the Illumina reads were mapped back to the assembly
153 and the remaining errors were corrected by Pilon [37].

154 Clean Hi-C reads were aligned to the assembled genome with BWA aligner (BWA,
155 RRID: SCR_010910) with default parameters [39]. Only uniquely aligned read pairs
156 whose mapping quality more than 20 were remained for further analysis. Invalid read
157 pairs, including dangling-end and self-cycle, relegation, and dumped products, were
158 filtered by HiCUP [33]. The valid interaction pairs were used to cluster, order, and
159 orient the assembly contigs onto pseudochromosomes by LACHESIS (LACHESIS,
160 RRID:SCR_017644; parameters: CLUSTER_N = 8, CLUSTER_MIN_RE_SITES
161 = 1157, CLUSTER_MAX_LINK_DENSITY = 5, CLUSTER
162 _NONINFORMATIVE_RATIO = 0) [40]. The Juicebox [41] was applied to build the
163 interaction matrices and complete the visual correction.

164

165 **Genome quality evaluation**

166 To evaluate the coverage of the assembly, the paired-end Illumina short reads were
167 aligned to the assembly using BWA. RNA-seq reads from six tissues of *P. salicina*
168 were mapped against our assembly using Hisat with default parameters [42]. The
169 SNPs were counted to evaluate the accuracy of the genome assembly. For CEGMA
170 (Core Eukaryotic Genes Mapping Approach; CEGMA, RRID: SCR_015055)

171 evaluation, a set of highly reliable conserved protein families that occur in a range of
172 model eukaryotes were build and then the 248 core eukaryotic genes were mapped to
173 the genome [43]. Genome completeness was also accessed using BUSCO
174 (Benchmarking Universal Single-Copy Orthologs; RRID: SCR_015008) analysis
175 which included a set of 1440 single-copy orthologous genes [44].

176

177 **Repeat annotations**

178 To annotate repeat elements in the *P. salicina* genome, a combined strategy based on
179 homology searching and *de novo* prediction was applied. For homology-based
180 prediction, interspersed repeats were identified using RepeatMasker
181 (<http://www.repeatmasker.org>) (RepeatMasker, RRID: SCR_012954) and
182 RepeatProteinMask (RepeatProteinMask, RRID: SCR 012954) [45] to search against
183 the Repbase database [46]. For *de novo* prediction, RepeatScout
184 (<http://www.repeatmasker.org/>) (RepeatScout, RRID:SCR 014653) [47],
185 RepeatModeler (<http://www.repeatmasker.org/RepeatModeler/>) RepeatModeler
186 (RRID:SCR_015027), and LTR_Finder (http://tlife.fudan.edu.cn/tlife/ltr_finder/)
187 (LTR_Finder, RRID:SCR_015247) [48] were used to identify *de novo* involved
188 repeats. Tandem repeats were also *de novo* predicted using Tandem Repeats Finder
189 (TRF) [49].

190 Telomere sequences were identified by BLASTN searches of both ends of the
191 pseudochromosomes using four tandem repeats of the telomere repeat motif
192 (TTTAGGG) with e-value cut-off of 0.003.

193

194 **Gene annotations**

195 A combination of three approaches, including homology-based prediction, *de novo*
196 prediction and transcriptome-based prediction, was used to predict the protein-coding
197 genes within *P. salicina* genome. For homology-based prediction, the homologous
198 protein sequences of *Prunus persica*, *Prunus avium*, *Prunus mume*, *Pyrus*
199 *bretschneideri*, *Malus domestica*, *Fragaria vesca* and *Arabidopsis thaliana* were
200 obtained from NCBI database and mapped onto the *P. salicina* genome using TblastN

201 (TBLASTN; RRID:SCR_011822) (E-value $\leq 1e-5$) [50], and then the matching
202 proteins were aligned to the homologous genome sequences for accurate spliced
203 alignments with GeneWise (GeneWise, RRID:SCR_015054) [51] to define gene
204 models. For *de novo* prediction, Augustus (Augustus, RRID: SCR_008417) [52],
205 GlimmerHMM (GlimmerHMM, RRID: SCR_002654) [53], SNAP (SNAP, RRID:
206 SCR_002127) [54], GeneID (GeneID, RRID: SCR_002473) [55] and Genescan
207 (Genescan, RRID: SCR_012902) [56] were used to predict the coding regions of
208 genes. For transcriptome-based predictions, RNA-seq data from six tissues were used
209 for genome annotation, processed by HISAT2 (HISAT2, RRID: SCR_015530) [42],
210 and Stringtie (StringTie, RRID: SCR_016323) [57]. RNA-seq data were also *de novo*
211 assembled with Trinity (Trinity, RRID: SCR_013048) [58]. The assembled sequences
212 were aligned against *P. salicina* genome with PASA (Program to Assemble Spliced
213 Alignment, PASA, RRID: SCR_014656) [59], and the effective alignments were
214 assembled to gene structures. Gene models predicted by all of the methods were
215 integrated by EVIDENCEModeler (EVIDENCEModeler, RRID: SCR_014659) [59]. To
216 update the gene models, PASA was further used to generate UTRs [59].

217

218 **Gene functions**

219 The functional annotation of protein-coding genes within *P. salicina* genome was
220 carried out by aligning protein sequences against SwissProt [60] and NR databases
221 using BLASTp (with a threshold of E-value $\leq 1e-5$). The protein motifs and domains
222 were annotated by searching against InterPro (InterPro, RRID: SCR_006695) [61] and
223 Pfam (Pfam, RRID: SCR_004726) database [62] with InterProScan (InterProScan,
224 RRID: SCR_005829) [63]. Gene Ontology (GO) terms for each gene were retrieved
225 according to the corresponding InterPro entry. KEGG pathway was mapped by the
226 constructed gene set to identify the best match for each gene [64].

227

228 **Non-coding RNA annotation**

229 The tRNAs were predicted using the program tRNAscan-SE (tRNAscan-SE, RRID:
230 SCR_010835) [65], and rRNA genes were annotated using BLASTN (BLASTN,

231 RRID: SCR_001598) tool with E-value of 1e-5 against rRNA sequences from several
232 relative plant species. miRNA and snRNA were identified by searching against the
233 Rfam (Rfam, RRID:SCR_007891) database [66] with default parameters using the
234 INFERNAL software (INFERNAL, RRID:SCR_011809)[67].

235

236 **Gene family construction**

237 OrthoFinder version 2.3.3 (OrthoFinder, RRID:SCR_017118) [68] was used to
238 classify the orthogroups of proteins from *P. salicina* and 16 other sequenced rosids
239 species, including *P. armeniaca*, *P. mume*, *P. persica*, *P. dulcis*, *P. avium*, *P. yedoensis*,
240 *M. domestica*, *P. bretschneideri*, *Pyrus communis*, *F. vesca*, *Potentilla micrantha*,
241 *Rosa chinensis*, *Rosa multiflora*, *Rubus occidentalis*, *Morus notabilis* and *A. thaliana*.

242

243 **Phylogenetic tree and divergence time estimation**

244 For phylogenetic tree construction, proteins of single-copy orthogroups (i.e., the
245 orthogroups which contain none or only one genes for each species) presented in at
246 least 70% of species were selected and aligned with MAFFT version 6.846b (MAFFT,
247 RRID: SCR_011811) [69]. After determination of the best substitution model for each
248 orthogroup with IQ-TREE version 1.7-beta12 (IQ-TREE, RRID: SCR_017254) [70],
249 the maximum likelihood phylogenetic tree across the 17 plant species was constructed
250 using IQ-TREE with the parameter (-p -bb 1000), setting *A. thaliana* as outgroup.

251 The divergence time of each node in the phylogenetic tree was estimated with
252 Bayesian Evolutionary Analysis Sampling Trees (BEAST, RRID: SCR_010228)
253 [71]. Two fossil constraints and a secondary calibration node were applied. The fossil
254 *Prunus wutuensis* (age: Early Eocene, minimum age of 55.0 Mya) and the fossil
255 *Rubus acutiformis* (age: Middle Eocene, minimum age of 41.3 Mya) were placed at
256 the stem *Prunus* and *Rubus*, respectively [72]. For the secondary calibration node, the
257 divergence of Rosoideae and Amygdaloideae at 100.7 Mya was dated according to
258 Xiang et al. [72]. The Markov chain Monte Carlo was reported 10,000,000 times with
259 1000 steps.

260

261 **Gene family expansion and contraction analysis**

262 For gene family expansion and contraction analysis, the ancestral gene content of
263 each cluster at each node was investigated with CAFÉ version 3.1 (CAFÉ, RRID:
264 SCR_005983) [73], basing on the phylogeny and gene numbers per orthogroup in
265 each species, the gene family expansions/contractions at each branch were determined
266 with p -value < 0.001 .

267

268 **Genome synteny analysis**

269 A Python version of MCScan (minspan=100; MCScan, RRID: SCR_017650;
270 <https://github.com/tanghaibao/jcvi/wiki/MCscan>) was employed to analyze the
271 synteny between the *P. salicina* genome and other genomes within *Prunus* following
272 the approaches of Haibao Tang [74].

273

274 **Positively selected gene analysis**

275 The ratios of nonsynonymous to synonymous substitutions (Ka/Ks) were calculated
276 using the Codeml program with the free-ratio model as implemented in the PAML
277 (PAML, RRID: SCR_014932) package [75]. The positive selection analysis was
278 performed using the Codeml program with the optimized branch-site model as
279 implemented in the PAML package. The positively selected genes were subjected to
280 GO functional annotation.

281

282 **Gene Ontology enrichment analysis**

283 The Gene Ontology (GO) enrichment analysis for the specific groups of genes (e.g.
284 tandem duplication and expanded genes) were performed using R package ‘topGO’
285 [76], setting all *P. salicina* genes as background. The lowest-level GO terms under
286 enrichment (p -value < 0.01) were focused, and p -value was calculated using a ‘classic’
287 algorithm with the ‘fisher’ test. The lowest-level GO terms was based on the directed
288 acyclic graph (DAG) of GO, with the parameter ‘nodeSize = 100’.

289

290 **The identification of the DUF579 family members**

291 For the identification of the DUF579 family members, the hidden Markov model
292 (HMM) profile corresponding to the DUF579 domain (PF04669) was downloaded
293 from Pfam database (<http://pfam.sanger.ac.uk/>), and subsequently exploited for the
294 genome of *P. salicina*, *P. persica*, *P. mume*, *P. armeniaca*, *P. dulcis* and *A. thaliana*
295 using HMMER 3.0. The default parameters were employed and the cutoff value was
296 set to 0.01.

297

298 **Results and Discussion**

299 **Genome sequencing and assembly**

300 We sequenced and assembled the genome of *P. salicina* using a combination of
301 short-read sequencing from Illumina Hiseq, SMRT sequencing from PacBio and Hi-C
302 technology. For the Illumina sequencing, a total of approximately 26.6 Gb (85.4 ×
303 coverage) short reads was obtained (Table S1). A total of ~53.0 Gb long-sequencing
304 reads were generated by PacBio Sequel platform. After removing adaptors within
305 sequences, about 52.9 Gb (169.7 × coverage) subreads were obtained (Table S1). The
306 subreads have a mean length of 13.2 kb (Table S2). About 59.1 Gb (189.5 × coverage)
307 sequencing data generated from Hi-C library was produced (Table S1). The quality of
308 Hi-C sequencing was evaluated with HiCUP [33], and the effect rate was
309 approximately 28.10% (Table S3).

310 In the genome assembly process, Illumina sequencing data were used for the
311 genome survey and polishing of preliminary contigs, PacBio long reads were used for
312 contig assembly and Hi-C reads were used for chromosome-level scaffolding. Based
313 on the total number of k-mers (19,341,904,177), the estimated *P. salicina* genome size
314 was calculated to be approximately 311.82 Mb (Figure S1). The heterozygous and
315 repeat sequencing ratios were 0.70% and 54.49%, respectively (Table S4). The *de*
316 *novo* genome assembly of *P. salicina* with a total length of 284.2 Mb (Table 1) was
317 yielded. As shown in Fig. 1, the Hi-C assisted genome assembly was anchored onto
318 the eight pseudochromosomes with lengths ranging from 23.70 to 54.53 Mb (Table
319 S5). Five regions of tandemly repeated telomeric repeat sequences were identified on

320 three pseudochromosomes (Table S5). The total length of pseudochromosomes
321 accounted for 96.56% of the genome sequences (Figure 1), with contig N50 of 1.78
322 Mb and scaffold N50 of 32.32 Mb (Table1; Table S6).

323

324 **Evaluation of the genome assembly**

325 To assess the genome assembly quality, the Illumina clean data were aligned to the *P.*
326 *salicina* genome, with the mapping rate of 96.93%. A total of 98.81% assembled
327 genome was covered by the reads and the mapping coverage with at least 4×, 10×,
328 20× was 98.48 %, 98.06% and 97.13%, respectively (Table1; Table S7). The RNA-seq
329 reads were mapped against the genome assembly, and the percentage of aligned reads
330 ranged from 92.44% to 95.25% (Table1; Table S8). A total of 3,668 homozygous
331 SNPs were identified, accounting for only 0.0015% of the reference genome (Table
332 S9). The low rate of homozygous SNPs suggested that the assembly had a high base
333 accuracy. 234 Core Eukaryotic Genes (CEGs) out of the complete set of 248 CEGs
334 (94.35%) were covered by the assembly, and 229 (92.34%) of these were complete
335 (Table1; Table S10). BUSCO analysis based on single copy orthologs set showed that
336 95.7% of the expected genes were identified as complete, 1.3% were fragmented, and
337 only 3.0% were missing (Table1; Table S11). These results verified the high quality of
338 the presently generated *P. salicina* genome assembly

339

340 **Genome annotation**

341 The results of the repeat annotations found that 48.28% of the assembly was covered
342 with transposable elements (TE). Among them, long terminal repeat (LTR)
343 retrotransposons represented the greatest proportion, making up 42.10% of the
344 genome (Table1; Table S12). The TE percentage and density of duplicates resulted
345 from tandem duplications were shown in Figure 1. Tandem duplicates occurred for
346 9.8% of the genes (Table 1) and were preferentially enriched in ‘transferase activity
347 (GO: 0016758 and GO: 0016747)’ and ‘phloem development (GO: 0010088)’ (Figure
348 S2). The significant enrichment of the sieve element occlusion genes in ‘phloem
349 development’, which were involved in wound sealing of the phloem [77], might be

350 associated with specific requirements during the damage response in *P. salicina*.

351 For gene annotations, we predicted 24,448 non-redundant protein-coding genes in
352 *P. salicina*. There were 24,209 genes (~99.0%) that could be assigned to eight
353 pseudochromosomes (Table 1), and the gene density was shown in Figure 1. The
354 average number of exons per gene, and average CDS length were 4.97 and 1,157.42,
355 respectively (Table 2). Further gene functional annotation showed that 23,931 (97.9%)
356 protein-coding genes were successfully annotated (Table 1; Table S13). For the
357 identification of non-coding RNA (ncRNA) genes, a total of 627 miRNA, 960 tRNA,
358 273 rRNA and 2,023 snRNA in the *P. salicina* genome were predicted (Table S14).

359

360 **Evolution of the *P. salicina* genome**

361 The genome sequences of the representative sequenced rosid species were collected
362 and subjected to comparative genomic analysis with *P. salicina* to reveal the genome
363 evolution and divergence of *P. salicina*. A total of 15,751 orthogroups containing
364 23,265 genes were found in *P. salicina*. Moreover, 1,010 genes which were specific to
365 *P. salicina* were identified. A comparison of the predicted proteomes among the 17
366 species indicated that 9,616, 10,447, 11,098, 13,963 and 15,512 orthogroups were
367 shared between *P. salicina* and Rosids, Rosales, Rosaceae, Amygdaloideae and
368 *Prunus*, respectively.

369 The phylogenetic analysis confirmed the close relationship among *P. salicina*, *P.*
370 *mume* and *P. armeniaca*. The molecular clock of these plant genomes was also
371 calculated. The data indicated that *P. salicina* diverged from the ancestor of *P. mume*
372 and *P. armeniaca* approximately 9.05 Mya, from the ancestor of *P. persica* and
373 *P.dulcis* 11.12 Mya (Figure 2).

374 We also explored the genome syntenic blocks between *P. salicina* and the other
375 representative *Prunus* species. As shown in Fig. 3, our genome assembly of *P.*
376 *salicina* exhibited a high level of genome synteny with all the other *Prunus* genomes,
377 especially the genomes of *P. avium* and *P. dulcis*. Significantly fewer inversions were
378 found in *P. salicina* vs *P. avium* and *P. salicina* vs *P. dulcis* than that in *P. salicina* vs *P.*
379 *mume* and *P. salicina* vs *P. armeniaca*.

380

381 **Expansion and contraction of gene families in *P. salicina***

382 The gene family analysis showed that during the evolution of *P. salicina*, 146 gene
383 families were expanded and 500 gene families were contracted. The functional
384 enrichment on Gene Ontology of those expanded gene families identified 60
385 significantly enriched GO terms (p -value < 0.05) (Table S15; Figure S3).

386 It was noteworthy that genes from the expanded families were enriched in a series
387 of cell wall related processes, such as ‘cell wall polysaccharide metabolic process
388 (GO: 0010383)’, ‘hemicellulose metabolic process (GO: 0010410)’ and ‘regulation of
389 cellular biosynthetic process (GO: 0031326)’. Specially, genes in ‘xylan biosynthetic
390 process (GO: 0045492)’, which corresponded to the DUF579 family [78], were
391 significantly expanded. Further investigation showed that the major copy differences
392 were found in Clade II, which consisted of orthologs of IRX15/IRX15L [78], with
393 seven members in *P. salicina* and only two to four members in other *Prunus* species
394 (Figure 4). It was reported that IRX15 and IRX15L defined a new class of genes
395 involved in xylan biosynthesis [79, 80]. The species-specific expansion of this new
396 subclade might contribute to the relatively high content of xylan-related metabolites
397 (like xylose and xyliot) in plum [9, 10], which provided new insight into the xylan
398 metabolism in plum.

399 Moreover, the FRS (FAR1-related sequence) gene family, which played multiple
400 roles in a wide range of cellular processes [81], was also significantly expanded in the
401 phylogeny (GO: 000945), and the family expansion may be related to the genetic and
402 phenotypic diversity in *P. salicina*.

403

404 **Positively selected genes in *P. salicina***

405 The Ka/Ks ratios for all the 2,314 single-copy orthologs shared with the sequenced
406 *Prunus* species were calculated. A total of 213 candidate genes in *P. salicina*
407 underwent positive selection ($P < 0.05$). Most of them were enriched in the GO terms
408 involved in ‘monooxygenase activity (GO: 0004497)’ and ‘enzyme inhibitor activity
409 (GO: 0004857)’ (Figure S4). It was noteworthy that the category ‘monooxygenase

410 activity' was also found in the enriched GO terms for the expanded gene families in *P.*
411 *salicina*, which might provide valuable candidate genes for further functional
412 investigations.

413

414 **Conclusions**

415 To our knowledge, this is the first report of the chromosome-level genome assembly
416 of plums using Illumina and PacBio sequencing platforms with Hi-C technology. The
417 assembly had a total size of 284.2 Mb, the contig and scaffold N50 reached 1.8 Mb
418 and 32.3 Mb, respectively. A total of 24,448 protein-coding genes were predicted, and
419 23,931 genes (97.9%) have been annotated. Phylogenetic analysis indicated that *P.*
420 *salicina* was closely related to *P. mume* and *P. armeniaca*. Expanded gene families in
421 *P. salicina* were significantly enriched in several cell-wall related processes.
422 Remarkably, the *P. salicina*-specific expansion of the xylan biosynthesis-related
423 DUF579 family provided new insight into the xylan metabolism in plums. Given the
424 economic and evolutionary importance of *P. salicina*, the genomic data in this study
425 offer a valuable resource for facilitating plum breeding programs and studying the
426 genetic basis for agronomic and adaptive divergence of plum and *Prunus* species.

427

428 **Availability of supporting data and materials**

429 This Whole Genome Shotgun project has been deposited at DDBJ/ENA/GenBank
430 under the accession WERZ00000000. The version described in this paper is version
431 WERZ01000000. The raw sequencing data are available through the NCBI Sequence
432 Read Archive (SRA) via accession numbers from SRR10233497 to SRR10233505,
433 via the Project PRJNA574159. The transcriptome data are available through the NCBI
434 SRA (from SRR10235674 to SRR10235679). The genome data have also been
435 submitted to Genome Database for Rosaceae (Accession number: tfGDR1044). All
436 the annotation tables containing results of an analysis of the draft genome are
437 available at Figshare (<https://doi.org/10.6084/m9.figshare.9973469>).

438

439 **Additional files**

440 **Table S1** Statistics of *P. salicina* genome sequencing data.

441 **Table S2** Statistics of characteristics of PacBio long-reads.

442 **Table S3** Statistics of Hi-C sequencing data.

443 **Table S4** Estimation of the genome size using k-mer analysis.

444 **Table S5** Summary of assembled 8 pseudochromosomes of *P. Salicina*.

445 **Table S6** Summary of the genome assembly of *P. Salicina*.

446 **Table S7** Statistics of mapping ratio in genome.

447 **Table S8** Summary of the transcriptome and their mapping rate on the genome
448 assembly.

449 **Table S9** Number and density of SNPs in *P. salicina* genome.

450 **Table S10** Assessment of CEGMA.

451 **Table S11** Summary of BUSCO analysis results according to prediction.

452 **Table S12** Detailed classification of repeat sequences.

453 **Table S13** Statistics of functional annotation.

454 **Table S14** Summary of non-coding RNA.

455 **Table S15** List of the Gene ontology terms significantly enriched in the expanded
456 gene families of *P. salicina*

457 **Figure S1** 17-mer frequency distribution in *P. salicina* genome.

458 **Figure S2** Gene ontology enrichment of the tandemly duplicated genes in *P. salicina*.

459 **Figure S3** Gene ontology enrichment of *P. salicina*-expanded genes.

460 **Figure S4** Gene ontology enrichment of the positively selected genes in *P. salicina*.

461

462 **Authors' Contributions**

463 Y.H.H. conceived the study. C.Y.L., C.F. and J.T.W. performed bioinformatics
464 analysis. W.Z.P., J.J.H. and J.J.P. collected the samples and extracted the DNA. C.Y. L.
465 and C. F. wrote the manuscript. All authors read and approved the final manuscript.

466

467 **Abbreviations**

468 BLAST: Basic Local Alignment Search Tool; BEAST: Bayesian Evolutionary
469 Analysis Sampling Trees; bp: base pair; BUSCO: Benchmarking Universal
470 Single-Copy Orthologs; CEGMA: Core Eukaryotic Genes Mapping Approach; CTAB:
471 cetyltrimethylammonium bromide; EVM: EVIDENCEModeler; Gb: gigabase pair; GO:
472 Gene Ontology; Hi-C: high-throughput chromosome conformation capture; kb:
473 kilobase pair; KEGG: Kyoto Encyclopedia of Genes and Genomes; Mb: megabase
474 pair; miRNA: microRNA; Mya: million years ago; NCBI: National Center for
475 Biotechnology Information; PacBio: Pacific Biosciences; PAML: phylogenetic
476 analysis by maximum likelihood; PASA: Program to Assemble Spliced Alignments;
477 RNA-seq: RNA sequencing; rRNA: ribosomal RNA; SMRT: single-molecule
478 real-time; SnRNA, small nuclear RNA; SNP: single-nucleotide polymorphism; TRF:
479 Tandem Repeats Finder; tRNA: transfer RNA.

480

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486

487 **Competing interests**

488 The authors declare no competing interests.

489

490 **Figure Legends**

491 **Figure 1** The genome and photograph of *P. salicina*. Landscape of the *P. salicina*
492 genome, comprising 8 pseudochromosomes that cover ~96.56% of assembly (A);
493 Concentric circles, from outermost to innermost, showing TE percentage (red; B);
494 gene density (green; C); density of duplicates resulted from tandem duplications (blue;
495 D); (E) photograph of *P. salicina*.

496

497 **Figure 2** Evolution of *P. salicina* genome and orthogroups. (A) The phylogeny,
498 divergence time and orthogroup expansions/contractions for 17 rosids species. The
499 tree was constructed by maximum likelihood method using 341 single copy
500 orthogroups. All nodes have 100% bootstrap support. Divergence time was estimated
501 on a basis of three calibration points (blue circles). Blue bar indicates 95% HPD
502 (highest posterior density) for each node. The numbers in red and green indicate the
503 numbers of orthogroups that have expanded and contracted along particular branches,
504 respectively. (B) The comparison of genes among 17 rosids. The grey bars indicate
505 the genes belonging to 9,616 rosids-shared orthogroups in each of 17 rosids. The grey
506 + green bars indicate the genes belonging to 10,447 rosales-shared orthogroups in
507 each of 16 rosales. The grey + green + pink bars indicate the genes belonging to
508 11,098 Rosaceae-shared orthogroups in each of 15 Rosaceae. The grey + green + pink
509 + yellow bars indicate the genes belonging to 13,963 rosaceae-shared orthogroups in
510 each of ten Amygdaloideae. The grey + green + pink + yellow + blue bars indicate the
511 genes belonging to 15,512 *Prunus*-shared orthogroups in each of seven *Prunus*
512 species. The red and stripe bars indicate the genes in species-specific orthogroups and
513 unassigned genes, respectively. The white bars indicate the remaining genes for each
514 genome.

515

516 **Figure 3** Chromosome-level collinearity patterns between *P. salicina*, *P. mume* and *P.*
517 *armeniaca* (A) and between *P. salicina*, *P. avium* and *P. dulcis* (B). The numbers
518 indicate the pseudochromosome order generated from the original genome sequence.

519 The pseudochromosome 1 and 8 in *P. avium* and *P. dulcis* are reversed. Each gray line
520 represents one block. The inverted regions are highlighted with brown color.

521 .

522 **Figure 4** The significant expansion of the DUF579 family members in *P. salicina*. (A)
523 Phylogenetic tree of the DUF579 proteins from *P. salicina* (red circle), *P. persica*
524 (hollow inverted triangle), *P. mume* (solid triangle), *P. armeniaca* (hollow diamond), *P.*
525 *dulcis* (solid diamond) and *A. thaliana* (solid square). (B) The summary of the
526 numbers of clade members in DUF579 family.

527

528 **Table 1** Summary of genome assembly and annotation for *P. salicina*

529

	Number or percentage
Assembly feature	
Total length of scaffolds (bp)	284,209,110
Number of scaffolds	75
N50 of scaffolds (bp)	32,324,625
Total length of contigs (bp)	284,189,410
Number of contigs	272
N50 of contigs (bp)	1,777,944
Mapping rate by reads from short-insert libraries	96.93%
Assembled CEGs	94.35%
Completely assembled CEGs	92.34%
Complete BUSCOs	95.7%
Complete and single-copy BUSCOs	86.5%
Complete and duplicated BUSCOs	9.2%
Fragmented BUSCOs	1.3%
Missing BUSCOs	3.0%
RNA-Seq evaluation	92.44%-95.25%
Genome annotation	
Percentage of transposable elements (TE)	48.28%
Percentage of long terminal repeat (LTR) retrotransposon	42.1%
No. of predicted protein-coding genes	24,448
No. of genes assigned to pseudochromosomes	24,209 (99.0%)
No. of genes annotated to public database	23,930 (97.9%)
No. of genes annotated to GO database	13,484 (55.2%)
No. of genes duplicated by tandem duplications	2,384(9.8%)

530

531 **Table 2** Statistics of predicted protein-coding genes.

	Gene set	Number	Average transcript length (bp)	Average CDS length (bp)	Average exons per gene	Average exons length (bp)	Average intron length (bp)
<i>De novo</i> prediction	Augustus	23,592	2,627.71	1167.83	4.80	243.43	384.45
	GlimmerHMM	39,985	5,450.51	747.07	3.14	238.12	2200.59
	SNAP	24,882	2,876.50	728.45	4.22	172.73	667.66
	Geneid	33,780	3,829.40	899.99	4.44	202.74	851.78
	Genscan	21,882	8,251.09	1355.87	6.34	213.98	1292.13
Homolog prediction	<i>Pyrus bretschneideri</i>	20,265	3,119.83	1356.17	4.74	286.35	472.06
	<i>Malus domestica</i>	20,010	2,920.17	1361.30	4.65	292.56	426.72
	<i>Prunus mume</i>	23,064	3,038.66	1346.19	4.78	281.67	447.84
	<i>Prunus persica</i>	28,915	2,296.51	1099.56	4.06	270.55	390.64
	<i>Arabidopsis thaliana</i>	28,284	2,071.73	973.28	3.67	265.51	412.07
	<i>Fragaria vesca</i>	22,927	2,994.24	1380.61	4.59	300.66	449.24
RNA-seq	<i>Prunus avium</i>	22,715	3,077.20	1351.28	4.74	284.86	461.03
	PASA	196,264	3,913.86	1008.68	5.16	195.60	698.88
	Transcripts	42,450	11,076.28	2360.92	6.85	344.83	1490.64
	EVM	27,981	2,736.70	1061.73	4.57	232.52	469.68
	PASA-update*	27,594	2,784.15	1092.82	4.64	235.59	464.83
	Final set*	24,448	2,988.45	1157.42	4.97	233.09	461.72

532 * UTR regions were contained

533

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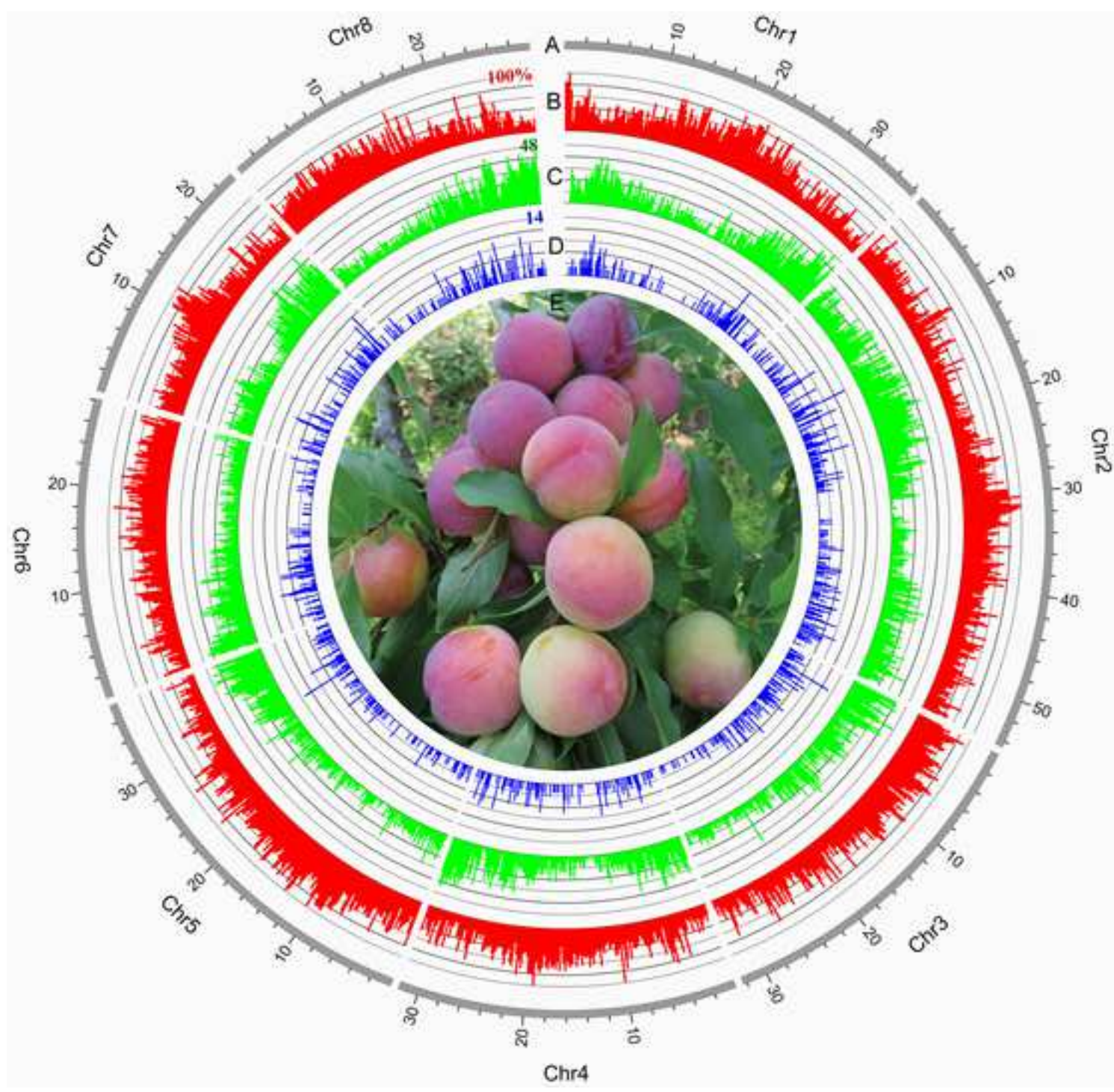
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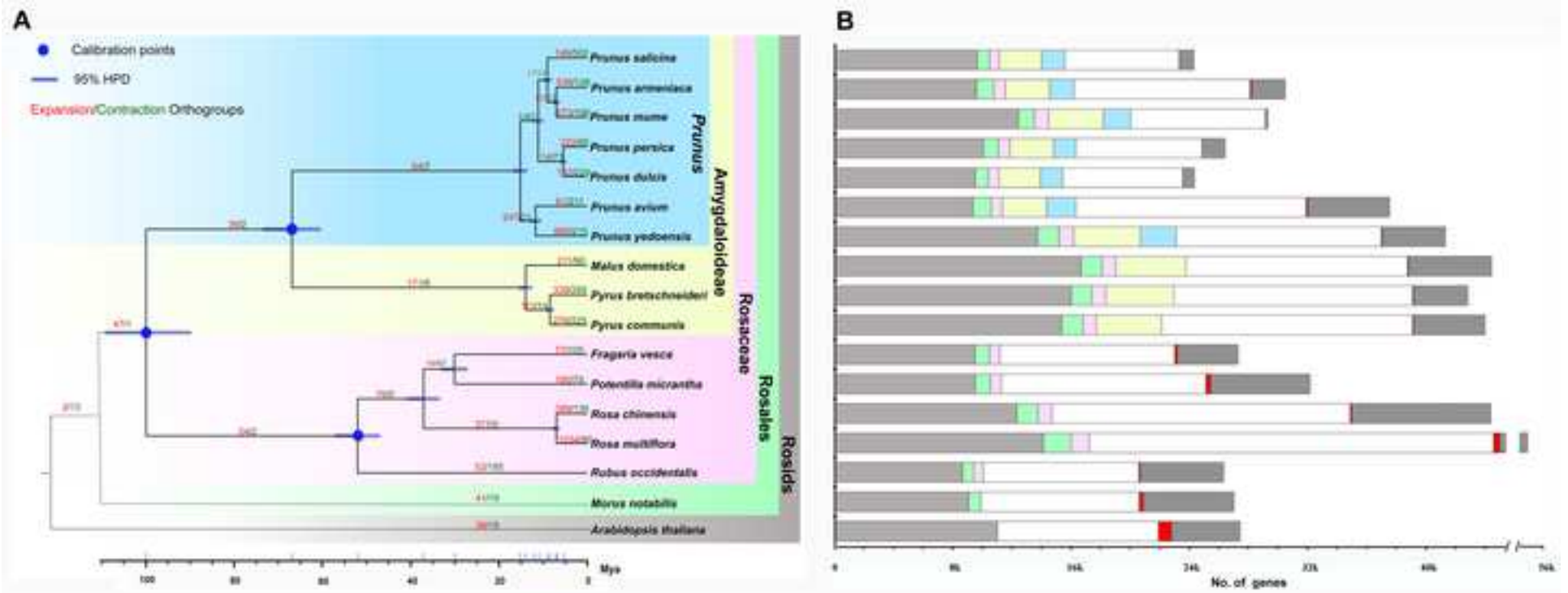
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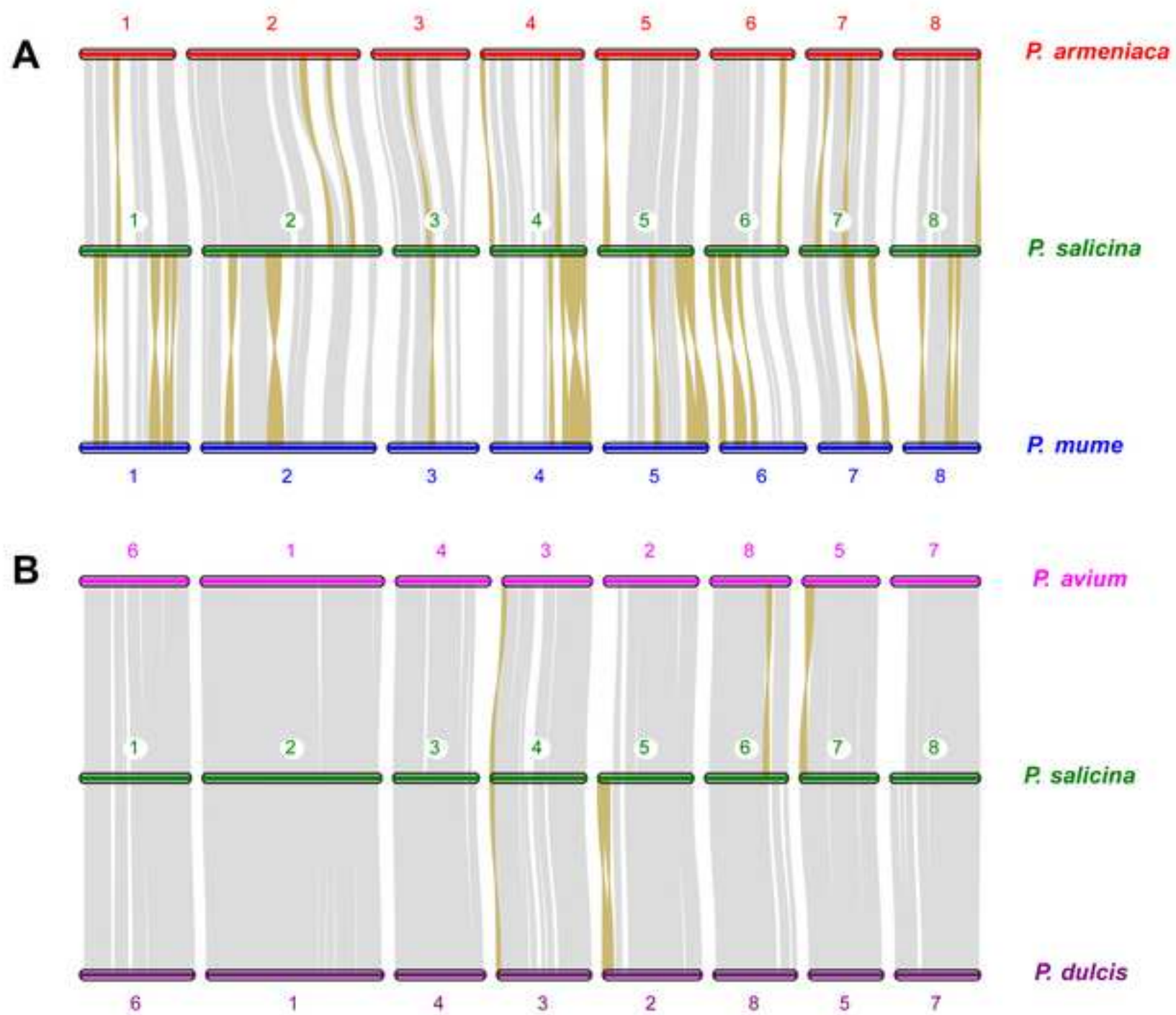
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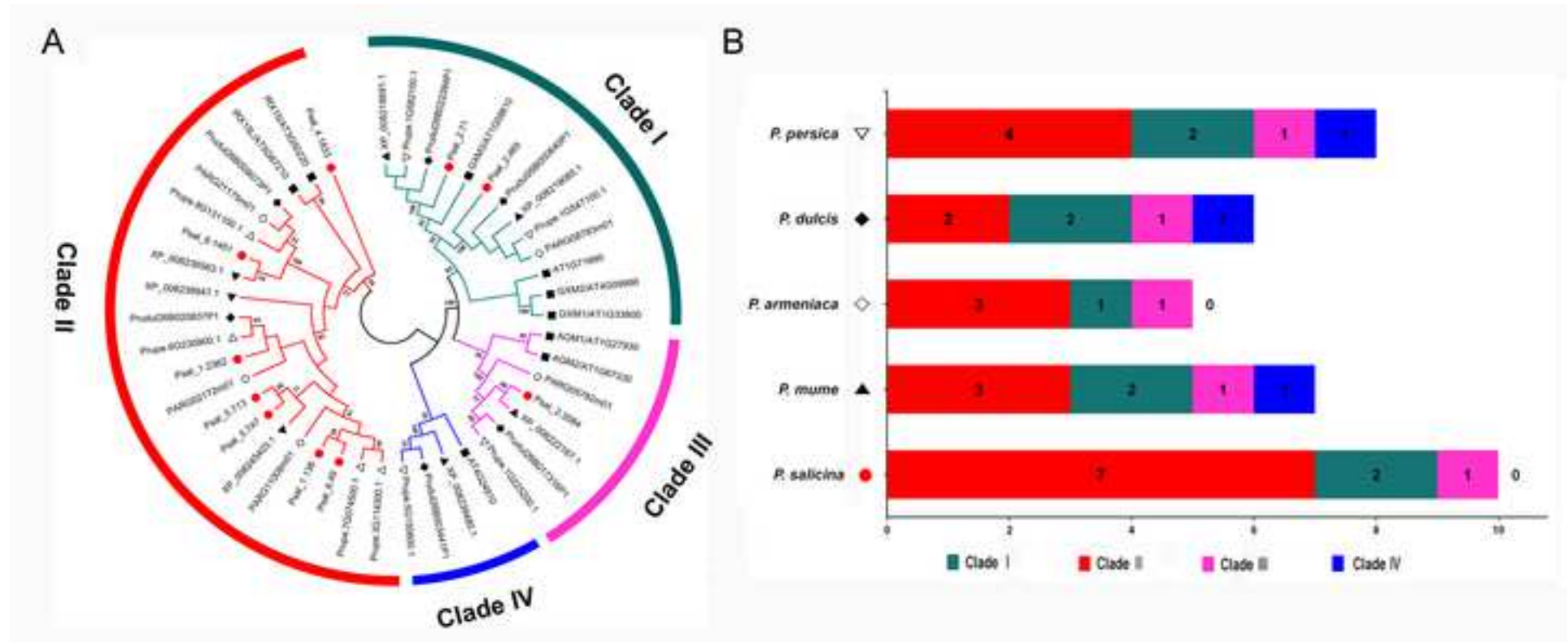
Figure 1

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Supplementary Material
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