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Perceptions of health workers on the referral of women with obstetric complications: a qualitative study in rural Sierra Leone

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8	3	Rvan Proos ^{1,3} Hanna Mathéron ^{1,2,4} Jonathan Vas Nunes ^{1,2,4} Abdul Falama ⁵ Patricia Serv			
9 10	5				
11	Л	Kamal ⁵ Martin Peter Grobusch ^{1,4*} Thomas van den Akker ^{3,6}			
12	4	Ramar, Martin Peter Grobusch 2, momas van den Akker 2			
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14	5				
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10 17					
18	6	¹ Masanga Medical Research Unit, Masanga, Sierra Leone			
19					
20	7	2 Macanga Hospital Macanga Sierra Loone			
21	/	-wasanga hospital, wasanga, sierra Leone			
22					
23 24	8	³ Obstetrics and Gynaecology Department, Leiden University Medical Center, Leiden, The			
25					
26	9	Netherlands			
27	-				
28					
29	10	⁴ Center for Tropical Medicine and Travel Medicine, Department of Infectious Diseases,			
30 31					
32	11	Amsterdam University Medical Centers location AMC, Amsterdam Public Health, Amsterdam			
33					
34	12	Infection & Immunity, Amsterdam, The Netherlands			
35					
30 27					
38	13	³ Ionkolili District Health Management Team, Magburaka, Sierra Leone			
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40	14	⁶ Athena Institute, VU University, Amsterdam, The Netherlands			
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47	16	Corresponding author: Prof. Dr. Martin Peter Grobusch, AUMC location AMC,			
48					
49 50	17	Meibergdreef 9, 1105 AZ Amsterdam, The Netherlands; email: <u>m.p.grobusch@amsterdamumc.nl</u>			
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2 3 4	20	ABSTRACT
5 6 7 8	21	Objectives
9 10 11	22	Sierra Leone has one of the highest maternal mortality ratios in the world. Timely and well-
12 13	23	coordinated referrals are necessary to reduce delays in providing adequate care for women
14 15	24	with obstetric complications. This study describes the perspectives of health workers in rural
16 17 18	25	facilities in Sierra Leone concerning the referral of women with obstetric complications.
20 21	26	Design
22 23 24	27	A qualitative research with semi-structured interviews using open-ended questions. Data
25 26 27	28	were analysed by systematic text condensation.
28 29 30	29	Setting
31 32 33	30	Interviews were held with health workers in nine peripheral health units in rural Sierra
34 35 36	31	Leone.
37 38 39	32	Participants
40 41 42	33	19 health workers participated in nine interviews.
43 44 45	34	Results
46 47	35	From the interviews, four major themes emerged: 1) communication between health care
48 49 50	36	workers; 2) underlying influences on decision-making; 3) women's compliance to referral; 4)
51 52 53	37	logistic constraints.
54 55	38	Several factors in rural Sierra Leone are perceived to complicate timely and adequate
56 57 58	39	referral of women in need of emergency obstetric care. Notable among these factors are
59 60	40	fear among women for being referred and fear among health care workers for having

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maternal deaths or severe obstetric complications occur at their own facilities. Furthermore,

42	decision-making of health care workers concerning referral is negatively influenced by a
43	hierarchical culture with high power distance between health care workers.
44	Conclusion
45	Factors identified that complicate timely and adequate referral of women in need of
46	emergency obstetric care must be considered in efforts to reduce maternal mortality.
47	Possible interventions that may reduce delay in referral include increased communication by
48	mobile phones between health workers for advice and feedback regarding referrals, and
49	involvement of influential stakeholders to increase women's compliance to referral.
50	
51	
52	Strengths and limitations of this study
53	 A strength of this study is the purposive and homogenous sampling used for the

- 54 selection of peripheral health units and health workers for the interviews, which is
 - representative of health facilities and health workers in rural Sierra Leone.
 - A strength of this study is the use of open-ended questions alongside an interview guide, ensuring specific topics were discussed while allowing participants to
- 58 introduce and discuss additional topics.
- A limitation of this study is that the data collected using semi-structured interviews
 were not triangulated with quantitative data collected using other methods.
- A limitation of this study is that a limited number of interviews were conducted with
 - a limited number of participants.

2 3 4	63	KEYWORDS
6 7	64	health worker; maternal health; obstetric complications; qualitative study; referral; Sierra
8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 32 4 25 26 27 28 29 30 31 23 34 35 36 37 38 9 40 41 42 43 44 55 56 57 89 60	65	Leve

66 BACKGROUND

Sierra Leone has one of the highest maternal mortality ratios (MMR) in the world. According
to the Sierra Leone Demographic Health survey in 2013, the MMR was 1,165 per 100,000
live births [1]. The need to reduce this extremely high ratio is evident. In order to work
towards meeting the United Nations Sustainable Development Goal target of an MMR below
70 per 100 000 [2], the Ministry of Health and Sanitation of Sierra Leone launched the
Reproductive, Maternal, Newborn, Child and Adolescent Health Strategy in 2017, aiming to
reduce the MMR of 1,165 to 650 by 2021 [3].

An underlying factor of the high MMR in Sierra Leone is the persistent low rate of facilitybased births [1]. Country-wide in 2013, only slightly more than half of the women gave birth in a health facility; 49.7% of women in rural areas versus 68.1% in urban areas [1]. The West-African Ebola outbreak from 2013 to 2016 led to a further reduction in facility-based births as a consequence of reduced possibilities and fear to access the health system during the crisis [4, 5].

Pregnant women in Sierra Leone face many barriers to facility-based birth, including long distances, inability to afford costs of transport and healthcare and lack of trust in health facilities [6]. These factors contribute to a phase-1 delay in deciding to seek healthcare in case of an emergency obstetric complication, as described in the three-phase delay model by Thaddeus and Maine [7]. Even after having decided to seek health care, women are often confronted with delays in phase 2 (transport delay), and phase 3 (delay in diagnosis and treatment at the facility) [8]. Timely and well-coordinated referrals are necessary to reduce delay in receiving adequate obstetric care for women with obstetric complications.

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Currently, there is no literature available analysing aspects of reasons for delay of care
within the obstetric referral system in rural Sierra Leone.

This study describes the perspectives of health workers in rural facilities concerning the referral system for women with obstetric complications. This data will provide better understanding of challenges within the health system faced by women who are referred with emergency obstetric complications in rural Sierra Leone.

94 METHODS

95 Study design and setting

This qualitative study following the COREQ checklist of reporting [9] (Supplementary File 1) was conducted between the 1st of September 2018 and the 15th of March 2019 in Tonkolili District. This district is located in the Northern Province of Sierra Leone and sub-divided into eleven chiefdoms. Three hospitals with Comprehensive Emergency Obstetric and Neonatal Care are located in Tonkolili District. Basic and Comprehensive Emergency Obstetric and Neonatal Care (BEMONC and CEMONC) are services fundamental to provide adequate health care during pregnancy and childbirth. The signal functions of BEmONC and CEmONC centres are summarised in Supplementary File 2. Three chiefdoms in the north of Tonkolili District, Kafe Simiria, Kalansogoia and Sambaya Bendugu, with a combined population of 113,521 (2018), are served by two CEmONC centres, Magburaka Government Hospital and Masanga Hospital, an NGO-supported government hospital. Besides the two CEmONC centres, these chiefdoms are served by fifteen peripheral health units (PHUs), including four BEMONC centres. The maternity services provided at each facility level in these chiefdoms, according to the Ministry of Health and Sanitation, are summarised in Table 1.

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Table 1: Maternity servic	ces purportedly provided at each level of health facility
Maternal and Child	Antenatal care
Health Post (MCHP)	 Nutritional supplementation in pregnancy (e.g. iron,
	folic acid and multivitamins)
	 Risk selection and ensuing referral
	 Malaria intermittent preventive treatment
	Intra- and postpartum care
	 Monitoring of labour by using the partograph
	• Cord clamping
	 Active management of the third stage of labour
	Postnatal care
	 Clinical assessment of the neonate (e.g. fever,
	convulsions, feeding)
	 Exclusive breastfeeding recommendation
	• Cord care
	 Clinical assessment of mother (e.g. temperature,
	blood pressure, bleeding)
	 Family planning counselling
Community Health	MCHP services (see above)
Post (CHP)	
Community Health	MCHP services (see above)
Centre (CHC)	plus
	Maternal anaemia and urine sediment assessment

1 2			
- 3 4 5 6 7		BEmONC services (see supplementary file 2)	
7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23	110	District Hospital • MCHP services (see above) plus • • Maternal anaemia, urine, HIV, malaria and tuberculosis assessment • • Ultrasound scan • CEmONC services (see supplementary file 2)	
24 25	-		
26 27 28 29 30 31 32 33 34 35 36 37	111	are able to provide the full range of signal functions [10, 11]. Therefore, several BEmONC	
	112	and CEmONC signal functions might not actually be provided in practice. Referral occurs	
	113	both in consecutive order starting from Maternal and Child Health Posts (MCHPs) as well as	
	114	between lower level health facilities and district hospitals. Sierra Leone has national	
	115	protocols for emergency obstetric care, including referral indications [12].	
38 39 40	116	The chiefdoms in northern Tonkolili District were selected, since these three combined	
41 42	117	comprise the catchment area for emergency obstetric complications belonging to Masanga	
43 44 45	118	Hospital, for reasons of geography such as impassable rivers and mountains and accessibility	
46 47 48	119	by road.	
49 50 51	120	Study Subject and Public involvement	
52 53	121	The District Health Management Team was involved in the design, conduct, reporting and	
55 56	122	dissemination planning of our research.	
57 58 59 60	123	Data collection	

The qualitative data used in this study was collected using nine semi-structured interviews
conducted by RP (Master of Medicine student, male) between November 2018 and January
2019. Purposive sampling was used to ensure variation between selected facilities where
interviews were conducted. Selected facilities were thus located in different chiefdoms,
provided different levels of care, and had varying accessibilities of the nearest district
hospital (Table 2).

Town	Level	Distance	Travel time
	5	to district	to district
	N	hospital	hospital*
		(km)	(min)
Chiefdom Kafe Simiria			
Mabontor	СНС	18.9	40
Masumbrie	СНС	21.5	40
Makontande	MCHP	28.9	50
Chiefdom Kalansogoia			9
Bumbuna	СНС	42.6	70
Kamasaypana	MCHP	50.0	100
Kemedugu	MCHP	58.5	110
Chiefdom Sambaya Bendugu			
Bendugu	СНС	81.4	150
Kunya	СНР	92.3	180
Dankawalia	MCHP	71.9	135

2 3 4		* Travel time by motorbike du	ring dry season (November –
567		May). Travel time during rainy	season (June – October) will be
/ 8 9		substantially longer. Travel tim	e by ambulance will be shorter.
10 11 12		Roads were unpaved.	
13 14	130	Selected facilities were approac	hed either by telephone calls to the in-charge health worker
15 16	131	or by face-to-face visits to that I	nealth facility. Homogenous sampling was used as each
17 18 19	132	interview was conducted with h	ealth workers of different cadres currently working in the
20 21	133	same health centre. In total, nin	eteen health workers participated in the nine interviews. All
22 23 24	134	participants were explained the	relevance and goals of the research. No facility or individual
25 26	135	health worker refused participa	tion. Respective health worker cadres and competencies of
27 28 29	136	the participants are summarised	d in Table 3.
30 31		Table 3: Cadres and competen	cies of respondents
32			
33 34		Health worker (number	Competencies
35 36 37		interviewed)	
38 30		Maternal and Child Health	2 years training. Competent in basic obstetric care.
40 41 42		aid (MCH aid) (10)	0
42 43 44		State Enrolled Clinical Health	2,5 years training. Competent in basic obstetric care.
45 46 47		Nurse (SECHN) (2)	
48 49		Community Health Assistant	2 years theoretical + 1-year practical training. Competent
50 51		(CHA) (3)	in basic obstetric care.
52 53 54		Community Health Officer	3 years theoretical + 1-year practical training. Competent
55 56		(CHO) (2)	in basic obstetric care. No training in emergency obstetric
57 58 59			care.
60			

2			
3 4		Midwife (2)	SECHN training + 1,5 year-midwifery training. Competent
5 6 7			in emergency obstetric care including oxytocin
7 8 9			administration, manual placenta removal, first treatment
10 11 12			for (pre)eclampsia. No training in vacuum extraction.
13 14	137	The interview guide (Supplemer	ntary File 3) was used as a framework of themes to be
15 16	138	discussed during the interviews.	The guide was initially developed using themes described by
17 18 19	139	Thaddeus and Maine [7], previo	us literature concerning pregnancy and childbirth in Sierra
20 21	140	Leone [6, 13], and preliminary d	iscussions with stakeholders such as medical officers,
22 23 24	141	community health officers (CHO), midwives and logistical officers employed at district
25 26	142	referral hospitals. The guide was	s piloted in one PHU with two health workers. The interviews
27 28 20	143	were held inside the respective	health facilities where only participants and interviewer
29 30 31	144	were present. The interviews we	ere conducted in English, using open-ended questions, and
32 33	145	lasted between 30 and 60 minut	tes each. Data was collected using audio recording. Repeat
34 35 36	146	interviews were not carried out	and transcripts were not returned to participants for
37 38	147	comments and correction for log	gistical reasons: there was no funding or practical possibility
39 40 41	148	to re-visit these widely spread-o	out facilities.
42 43 44	149	Analysis	
45 46 47	150	All audio recordings of interview	vs were transcribed verbatim by RP using Express Scribe
48 49	151	Transcription Software (NCH Software)	ftware, Greenwood Village, Colorado, USA). Content analysis
50 51 52	152	was performed by RP through sy	ystematic text condensation as described by Malterud [14].
53 54	153	Preliminary themes were identif	ied after transcription of the interviews, and were further
55 56 57	154	specified by systematic coding a	nd categorisation of quotes, whereupon a condensate of
58 59 60	155	every theme was written based	on the quotes. Data analysis was performed manually.

1 2 3	450	Ethical Considerations
4 5	156	Ethical Considerations
6 7	157	The study proposal was endorsed by the Masanga Medical Research Unit Scientific Review
8 9 10	158	Committee. Ethical approval was obtained from the Sierra Leone National Ethics and
10 11 12	159	Scientific Review Committee on 29 January 2019. Permission to conduct the study in
13 14 15	160	Tonkolili District was obtained from the District Health Management Team. Written
16 17	161	informed consent was obtained from interview participants.
18 19 20 21	162	RESULTS
22 23	163	From these interviews, four major themes emerged: 1) communication between health care
24 25 26	164	workers, 2) underlying influences on decision making, 3) women's compliance to referral, 4)
27 28	165	logistic constraints.
29 30 31 32	166	1) Importance of communication between staff of different health centres
33 34	167	Giving and receiving advice surrounding referrals. Most health workers mentioned the
35 36 37	168	necessity of asking for advice when having to decide whether to continue management or
38 39	169	refer the woman to a higher-level health centre. One community health assistant (CHA)
40 41 42	170	explains:
43 44 45	171	"I am not saying 100% I know what I am doing. I know myself. I learn, I can just know
46 47	172	my own area and then there are people who know better. I am just a community
48 49 50	173	health officer, assistant in fact." (male CHA, MCHP)
51 52 53	174	Advice was often asked for and given in mobile phone conversations. However, sometimes
54 55	175	higher cadre health workers travelled to health facilities to review women themselves
56 57 58	176	before advice was given. Those asked for advice include CHOs, midwives, the District Health
59 60	177	Sisters (supervising midwives, members of the Tonkolili District Health Management Team),

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3 4	178	the head of a maternity ward or a medical officer at a district hospital. However, one
5 6 7	179	maternal and child health aid (MCH aid) mentioned that if she recognized a woman requiring
, 8 9	180	urgent referral to a higher-level facility, she would not delay by first calling for advice but
10 11	181	rather directly refer the woman to the community health centre (CHC). She would not,
12 13 14	182	however, directly refer the woman to a district hospital or inform the CHC that this woman
15 16	183	likely needed onward referral, since she believed that this decision had to be made by CHC
17 18 19	184	staff.
20 21 22	185	Besides needing advice on whether or not to refer the woman to a higher-level facility,
23 24	186	participants also mentioned a need for advice over the phone regarding clinical
25 26 27	187	management while waiting for the ambulance to arrive, since this could take up to several
28 29	188	hours.
30 31 32	189	Feedback after referral. Many health workers indicated that they were interested in the
33 34 35	190	clinical course after a woman had been referred to the district hospital, as illustrated by one
36 37 38	191	СНО:
39 40	192	"We are highly interested in feedback, because they are lives and when we call on you
41 42 43	193	people to rescue, then we have interest over them." (male CHA, CHC)
44 45 46	194	Health workers in lower level facilities expressed a specific interest in knowing the clinical
47 48	195	management including decisions on mode of birth at the district hospital. These health
49 50 51	196	workers were often approached by relatives of the referred woman requesting updates on
52 53	197	her clinical condition and outcome. Health workers regularly marked their phone numbers
54 55	198	on the referral notes in order to receive feedback. However, many respondents complained
50 57 58 59 60	199	never receiving a response from district hospital staff. Instead, they felt forced to call the

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2 3 4	200	district hospital themselves, and indicated this comprised a communication barrier, since it
5 6 7	201	required them to spend their own mobile phone credits.
8 9 10	202	Similarly, health workers complained of never receiving discharge notes with follow-up
11 12 12	203	information after the woman had been discharged from the district hospital. They generally
13 14 15	204	relied on the information the woman could give them verbally.
16 17 18	205	2) Underlying influences on decision-making
19 20 21	206	Referral, perceived as the safest option for health workers. The necessity of referral, as
22 23 24	207	expressed by one health worker, was often to avoid complications and maternal death
25 26	208	occurring at their own facility.
27 28 29	209	<i>"If a maternal death is here, we are going to suffer."</i> (female SECHN, MCHP)
30 31 32	210	Often, a referred woman was described as 'not my case'. Another health worker mentioned
33 34 35	211	that when a woman was referred to a CHC, it was up to that facility to manage the woman
36 37	212	with the complication and decide what to do. Such transfer of responsibility after referral
38 39 40	213	was further illustrated by a story recounted by an MCH aid about a woman she had recently
41 42 43	214	referred:
44 45	215	"Yes, I delivered her. Male baby. Fresh still birth. So it is not my problem, because I
46 47 48	216	have already referred her." (female MCH aid, MCHP)
49 50 51	217	Endangering behaviour by women requiring referral. Some women did not want to be
52 53	218	referred and health workers were under a lot of pressure from women and relatives while
54 55 56	219	making a referral decision. Women and relatives were at times perceived not to tell the
57 58 59	220	truth when questioned about history, since they wanted to prevent referral. One health
60	221	worker mentioned that he sometimes heard runnours in the community that the point in

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222 time a woman and her relatives indicated as when onset of symptoms occurred was not always correct. Most respondents described that when a woman and her relatives were told 223 that she needed to be referred, they started begging health workers not to refer her but to 224 225 continue clinical management at the same facility. Women and relatives would try to 226 convince them that they, as health workers, would be able to manage the woman with the 227 complication without referral. One MCH aid stated: "They will want us to do everything while we don't have that ability." (female SECHN, 228 229 CHC) A CHA voiced his frustration at the women's and relatives' behaviour and stated that it 230 endangered his own work. 231 3) Women's compliance with referral 232 Influential stakeholders involved to improve compliance. Referral to a district hospital was 233 perceived to come with many fears and worries for a pregnant woman. Examples mentioned 234 by health workers included fear of undergoing surgery, viral haemorrhagic fever (Ebola or 235 236 Lassa virus) infection, blood donation, male health workers and an unfamiliar environment 237 in terms of language and people. Such fears contributed to women returning home instead of travelling to the health centre they were referred to. Respondents identified three 238 influential stakeholders who may potentially reduce fears around referral. The first 239 240 stakeholder was the chief of the village or town, whose advice and instruction were of substantial influence on the women's and relatives' referral compliance. The second group 241

- of stakeholders, recognised by health workers as similarly influential, consisted of relatives
- 243 in Freetown, the capital of Sierra Leone. One state-enrolled community health nurse

60 244 (SECHN) stated:

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"They will always listen to their relatives out there." (female SECHN, MCHP)

These relatives in Freetown were contacted and requested to attempt to convince the woman of the necessity of the referral and to adhere to the referral instructions. Finally, according to the health workers, women who had previously been referred to a district hospital and returned safely had a positive influence on a woman's perceptions regarding referrals to district hospitals.

4) Logistical constraints

Medicine shortage as a burden on the referral system. The logistical constraints of dealing 252 253 with stockouts of medication in PHUs comprised an additional burden on the referral system. Many health workers, especially those working in CHCs, complained of struggling 254 255 with medication shortages. Injectable antibiotics were often mentioned as insufficient for 256 the purposed term. When medication stocks had been exhausted, the health worker was faced with two options. The first option was to request money from the woman to purchase 257 258 medication at a local pharmacy. One health worker described the friction this created with 259 the Free Health Care Scheme for pregnant and lactating women, since it was by law illegal to request money from these women. 260

261 *"I am not going to ask her to pay for the service I am rendering but just to provide the* 262 *drug. But me that is punishable crime, I cannot… Then I be in fault."* (male CHA, CHC)
 263 However, sending a woman directly to the pharmacy to buy medication themselves was not
 264 safe according to several health workers. They expressed their distrust in local pharmacists
 265 as they suspected them of not being properly trained and sometimes giving the wrong
 266 medication as well as administering injectable drugs themselves against regulations.

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Health workers were therefore often forced to resort to referral to a district hospital in order for a woman to access the correct medication. The CHA voiced his desperation: *"So what would you do? You just have to refer."* (male CHA, CHC)

He also expressed his worry about the reaction from the district hospital after receiving such
referrals. He feared that the district hospital would doubt the competency of the health
workers at the PHU referring a woman who could potentially be managed at their own
facility. Finally, some respondents pointed out that referring such a woman exposed them to
additional adverse outcomes such as the relatives falling back on traditional medicine or
going to a local pharmacy, since this was cheaper than paying for referral transportation.
Inadequate ambulance availability. Tonkolili District has a limited number of ambulances
available for the transport of women with emergency obstetric complications. Many health
workers complained about the fact that when they call for an ambulance, they are told that
the ambulance has broken down or to wait since the ambulance is on its way to a different,
sometimes very distant PHU. It was also noted that it sometimes takes a long time before
the ambulance team, comprised of a driver and a nurse, is mobilised at the district hospital
and the ambulance is finally under way. One CHA summarised the problem as:

283 "So sometimes it's very difficult; the time the ambulance is here, the patient is
284 seriously in a critical condition." (male CHA, CHC)

Another problem reported was the road accessibility of certain PHUs. Some of these PHUs
can only be reached by motorbike and on foot. Accessibility is worse during rainy season.
Ambulance transport during the rainy season was even stated to be not possible at all for
several PHUs.

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289 DISCUSSION

This study highlights several aspects of the obstetric referral system in rural Sierra Leone, 290 291 which require attention in order to provide timely and adequate management of women 292 with emergency obstetric complications.

Importance of communication between staff of different health centres 293

The importance of communication between health centres to achieve an effective referral 294 system was widely acknowledged by participating providers. Health care workers generally 295 concurred with each other on the advantages of receiving advice on whether to continue 296 297 management, or to refer a woman instead. However, our findings concerning the practice of waiting for a higher-cadre health worker from a different health facility to arrive and 298 299 personally examine the woman before advising on a referral decision, are in disagreement 300 with protocol and would increase type 2 delay. This delay can be largely abated by adequate use of mobile phones to communicate with higher-cadre health workers for advice regarding 301 302 referral decisions [15], as well as further education for MCH aids who will not need a second 303 opinion anymore. Furthermore, the reluctance of lower-cadre health workers in referring women directly to a district hospital and thereby bypassing the higher-cadre health worker's 304 judgment as well as the reluctance of referring women to a CHC with the advice to further 305 306 refer to a district hospital reveal potentially harmful hierarchy between health workers. 307 From Tanzania, Ueno et al. reported a similar atmosphere of hierarchy and lack of 308 cooperation between different cadres of health workers and levels of health facilities as a 309 challenge to EmOC service delivery [16]. 310 Our findings also imply that following referral, district hospitals need to take initiative in

311 providing health workers in PHUs with feedback in order to improve and encourage future

referrals and follow-up management of the woman after discharge from the district hospital. Studies in Ghana, Burundi and Northern Uganda reported similar demands for feedback after referral [17-19]. Multiple other studies have described related gaps in communication surrounding obstetric referrals [20, 21] and have specified the critical role of communication in an effective referral system [21-24]. Improvement in communication between health facilities and health workers is a necessary first step towards improving the referral system in northern Tonkolili District. Underlying influences on decision-making Our findings point at a mindset of some health workers regarding obstetric referrals that has potential adverse effects on timely management of women with emergency obstetric complications. Maternal mortality and morbidity were seen as tragic events for women and relatives, but also alarming for themselves as health workers. Referral and the ensuing transfer of responsibility was regarded as an option to prevent themselves from being blamed in case of a complication. It is hypothesised that this mind-set is an adverse result of the increased awareness of, and attention to, the high maternal mortality and morbidity rates in Sierra Leone. Obstetric audits have been proven to be an effective method of reducing maternal mortality and morbidity [25-27], but a negative impact on work satisfaction and motivation have also been reported [27, 28]. However, our findings provide limited evidence and further research in rural Sierra Leone is essential to accurately analyse this information.

Another underlying influence which became apparent throughout the interviews was the persuasiveness of women and relatives who did not want to be referred to a different health facility. Such persuasiveness has potential to delay the referral decision made by a health

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35 worker and thus results in phase 1 and phase 2 delays. Also, it may lead to over-confidence of lower-cadre health workers respecting their ability in managing women with obstetric 36 37 complications, as has been previously reported in Sierra Leone by Theuring et al. [13]. 38 Improving women's compliance with referral Fears experienced by women, as reported by health workers, to accept referral to a district 39 hospital such as fear of operations, blood donation, male health workers conducting births, 40 41 and a new environment were largely similar to those previously reported by multiple studies 42 in similar settings [17, 19, 29, 30]. A lingering fear of Ebola in district hospitals in post-Ebola regions including Sierra Leone has been described by several other studies [13, 31, 32]. 43 Three groups of influential stakeholders were identified: local village chiefs, relatives in the 44 capital, Freetown; and women who have previously been referred to a district hospital and 45 have returned safely. Consulting these stakeholders in case of referral refusal will potentially 46 47 increase women's compliance. These stakeholders are understood to be similarly influential

in all rural areas of Sierra Leone.

349 Logistical constraints

The influence of medication shortage in PHUs on the effectiveness of the referral system
becomes apparent through our findings. The combined effect of the Free Health Care
Scheme [33] and the distrust in local pharmacies forces health workers into avoidable
referrals to district hospitals. In turn, these referrals lead women to a choice of options from
traditional medication or buying medication from a local pharmacy. The shortage of
medication in PHUs is a burden on and complicating factor of the referral system in northern
Tonkolili District; however, adequate availability of medication should not avert mandatory

357 referrals of women with obstetric complications, which require management in district358 hospitals.

The shortage of ambulances for transport of women from lower-level health facilities to district hospitals is a commonly reported contributor to phase 2 delay in sub-Saharan Africa [17-19, 34, 35]. Our findings show that this barrier to access to adequate emergency obstetric care is also present in rural Sierra Leone. The inability of ambulances to reach certain PHUs, due to arduous terrain such as steep hills and river crossings and poor road conditions, worsened by seasonal rains, displays the poor infrastructure of rural Sierra Leone.

In February 2019, after our data collection was completed, the Ministry of Health and Sanitation of Sierra Leone and the non-governmental organisation Doctors with Africa CUAMM launched the National Emergency Medical Service (NEMS), which provides free-of-charge ambulance service in all of Sierra Leone. The implementation of the NEMS allows for imperative reduction in delay in access to adequate emergency obstetric care in district hospitals. This reduction in delay is possible as the number of functioning ambulances is increased, and as the travel time is decreased as soon as the ambulances are not only stationed in district hospitals any longer, but also in PHUs.

374 Strengths and Limitations

A strength of this study is the selection of PHUs and health workers for the interviews, which is representative of health facilities and health workers in rural Sierra Leone. Therefore, interventions targeting the obstetric referral system in other areas of rural Sierra Leone can be supported by our findings. Another strength is the use of open-ended questions during the interviews, which allowed the participants to express their own experiences and feelings.

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Additionally, as this study was conducted before the implementation of the NEMS, this study allows for a follow-up study analysing the effect of the NEMS. The authors hypothesise that only the themes 'communication' and 'logistical constraints' have been affected by the NEMS.

A limitation of this study was that the data collected using semi-structured interviews was not triangulated with quantitative data collected with other methods. Additionally, a limited number of interviews were conducted which lowers the quality of evidence. Lastly, the interviews were conducted in English while the participants were more familiar with Krio.

388 CONCLUSION

In the perspectives of health care workers, delay in access to adequate emergency obstetric
care is caused by lack of communication between health workers at different facilities, lack
of involvement of influential stakeholders, medication shortage and lack of ambulance
services. Of note, fear among women and their relatives for them to be referred is another
cause of delay. Furthermore, the decision-making of health care workers concerning referral
is negatively influenced by an atmosphere of hierarchy and fear of having maternal deaths
and other severe complications at their facility.

Interventions that may reduce delay in access to adequate emergency obstetric care include
communication by mobile phones for advice regarding referral decisions and for feedback
after a referral decision has been made. Involvement of influential stakeholders to increase
women's compliance to referral is an additional intervention that may be considered.
This study highlights factors that may complicate timely and adequate referral of women in

400 need of emergency obstetric care. As this delay is an underlying cause of the high MMR in

3 4	402	rural Sierra Leone, these potential sources and causes of delay must be considered in efforts
5 6 7 8 9 10	403	to reduce maternal mortality.
	404	LIST OF ABBREVIATIONS
11 12 13	405	MMR: Maternal Mortality Ratio;
14 15 16 17 18 19 20	406	BEmONC: Basic Emergency Obstetric and Neonatal Care;
	407	CEmONC: Comprehensive Emergency Obstetric and Neonatal Care;
20 21 22	408	MCHP: Maternal and Child Health Post;
23 24 25	409	CHP: Community Health Post;
26 27 28 29 30 31 32 33 34 35 36 37 38	410	CHC: Community Health Centre;
	411	PHU: Peripheral Health Unit;
	412	CHO: Community Health Officer;
	413	CHA: Community Health Assistant;
39 40 41	414	SECHN: State-Enrolled Community Health Nurse;
42 43 44	415	MCH-aid: Maternal and Child Health Aid;
45 46 47	416	NEMS: National Emergency Medical Service
48 49 50 51 52 53 54 55 56	417	DECLARATIONS
	418	Ethics approval and consent to participate
	419	The study proposal was endorsed by the Masanga Medical Research Unit Scientific Review
57 58	420	Committee. Ethical approval was obtained from the Sierra Leone Ethics and Scientific Review
60	421	Committee. Permission to conduct the study in Tonkolili District was obtained from the

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2 3 4 5 6 7	422	District Health Management Team. Written informed consent was obtained from interview
	423	participants.
8 9 10	424	Data availability
11 12 13	425	The datasets used and/or analysed during the current study are available from the
14 15 16	426	corresponding author on reasonable request.
17 18	427	Competing Interests
19 20 21 22	428	All authors declare that they have no competing interests.
23 24 25 26 27 28 29 30 31 32 33 34	429	Funding
	430	This research received no specific grant from any funding agency in the public, commercial
	431	or not-for-profit sectors.
	432	Author Contributions
35 36	433	RP carried out the field work, analysed the data and wrote the first draft of the paper. HM
37 38 39 40 41 42 43 44	434	and JvN conceived the study and contributed to phrasing the study question, data
	435	interpretation and writing of the paper. AF, PSK and MPG contributed to data interpretation
	436	and writing of the paper. TvdA oversaw the conduct of the study and contributed to data
45 46	437	interpretation and writing of the paper. All authors have contributed to the writing of, and
47 48 49	438	approved the final version of the paper.
50 51 52	439	Acknowledgements
53 54 55	440	The authors wish to acknowledge all the staff in health care facilities that participated in the
56 57 58	441	study.
59 60	442	REFERENCES

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SUPPLEMENTARY FILE 1

COREQ checklist of reporting

4						
5 6	COREQ checklist of reporting					
7 8	Торіс	Item No.	Guide Questions/Description	Reported on Page No.		
9 10 11	Domain 1: Research team and reflexivity					
12	Personal characteristics					
13	Interviewer/facilitator	1	Which author/s conducted the interview or focus group?	8		
14	Credentials	2	What were the researcher's credentials? E.g. PhD, MD	8		
15	Occupation	3	What was their occupation at the time of the study?	8		
17	Gender	4	Was the researcher male or female?	8		
18	Experience and training	5	What experience or training did the researcher have?	8		
19	Relationship with			1		
20	participants					
21	Relationship established	6	Was a relationship established prior to study commencement?	10		
22	Participant knowledge of	7	What did the participants know about the researcher? e.g. personal	10		
23 24	the interviewer		goals, reasons for doing the research			
25	Interviewer characteristics	8	What characteristics were reported about the inter viewer/facilitator?	10		
26			e.g. Bias, assumptions, reasons and interests in the research topic			
27	Domain 2: Study design	1		•		
28	Theoretical framework					
29	Methodological orientation	9	What methodological orientation was stated to underpin the study? e.g.	11		
31	and Theory		grounded theory, discourse analysis, ethnography, phenomenology,			
32			content analysis			
33	³ Participant selection					
34	Sampling	10	How were participants selected? e.g. purposive, convenience,	8, 10		
35			consecutive, snowball			
37	Method of approach	11	How were participants approached? e.g. face-to-face, telephone, mail,	10		
38			email			
39	Sample size	12	How many participants were in the study?	10		
40	Non-participation	13	How many people refused to participate or dropped out? Reasons?	10		
41	Setting					
42	Setting of data collection	14	Where was the data collected? e.g. home, clinic, workplace	6, 9, 11		
44	Presence of non-	15	Was anyone else present besides the participants and researchers?	11		
45	participants					
46	Description of sample	16	What are the important characteristics of the sample? e.g. demographic	6, 10		
47			data, date			
48 40	Data collection					
50	Interview guide	17	Were questions, prompts, guides provided by the authors? Was it pilot	11		
51			tested?			
52	Repeat interviews	18	Were repeat inter views carried out? If yes, how many?	11		
53	Audio/visual recording	19	Did the research use audio or visual recording to collect the data?	11		
54 52	Field notes	20	Were field notes made during and/or after the interview or focus group?	N/A		
56	Duration	21	What was the duration of the inter views or focus group?	11		
57	Data saturation	22	Was data saturation discussed?	N/A		
58	Transcripts returned 23 Were transcripts returned to participants for comment and/or correction?					
59 '		1	1	1		

1	Торіс	Item No.	Guide Questions/Description	Reported on		
2				Page No.		
3	Domain 3: analysis and					
4	findings					
6	Data analysis					
7	Number of data coders	24	How many data coders coded the data?	11		
8	Description of the coding	25	Did authors provide a description of the coding tree?	11		
9	tree					
10	Derivation of themes	26	Were themes identified in advance or derived from the data?	11		
11 12 13 14 15	Software	27	What software, if applicable, was used to manage the data?	11		
	Participant checking	28	Did participants provide feedback on the findings?	11		
	Reporting			-		
	Quotations presented	29	Were participant quotations presented to illustrate the themes/findings?	12-17		
16			Was each quotation identified? e.g. participant number			
17	Data and findings consistent	30	Was there consistency between the data presented and the findings?	22		
19	Clarity of major themes	31	Were major themes clearly presented in the findings?	12		
20	Clarity of minor themes	32	Is there a description of diverse cases or discussion of minor themes?	N/A		
21			6	•		

Developed from: Tong A, Sainsbury P, Craig J. Consolidated criteria for reporting qualitative research (COREQ): a 32-item checklist for interviews and focus groups. *International Journal for Quality in Health Care*. 2007. Volume 19, Number 6: pp. 349 – 357

SUPPLEMENTARY FILE 2

Signal functions of BEmONC and CEmONC

Basic Emergency Obstetric and Neonatal Care (BEmONC)

- 1. Parenteral treatment of infections (antibiotics)
- 2. Parenteral treatment of severe pre-eclampsia/eclampsia (e.g., MgSO4)
- 3. Treatment of PPH (e.g., uterotonics)
- 4. Manual vacuum aspiration of retained products of conception
- 5. Assisted vaginal delivery (e.g., vacuum-assisted delivery)
- 6. Manual removal of placenta
- 7. New-born resuscitation

Comprehensive Emergency Obstetric and Neonatal Care (CEmONC)

All components of BEmONC, plus

- 1. Surgical capability, including anaesthesia (e.g., Caesarean Section)
- 2. Blood transfusion
SUPPLEMENTARY FILE 3

Interview Guide Obstetric Referral System Northern Tonkolili District

General

- 1. Describe the catchment area of this health facility (number of villages, terrain).
- 2. Describe this health facility (number and competency of staff, average number of births and referrals).
- 3. Describe the referral options of this health facility (nearest health facility, nearest CHC, nearest district hospital).

Authorisation

- 4. Which of the staff is allowed to decide to refer women to another facility?
- 5. Is there always staff present that is allowed to independently refer women?
- 6. Does the CHO or midwife in the CHC have to be contacted before referring a woman to another facility?

Referral Process

- 7. Describe the steps taken when referring a pregnant or post-partum women.
- 8. Describe how/if referral notes are used in this health facility.
- 9. Describe the benefit of referral notes.
- 10. Describe how/if feedback is received from the referral facility.
- 11. Describe how/if advice is obtained from other health workers before referral.
- 12. Describe how/if national guidelines are used for referring a woman.
- 13. Describe how/if maternity patients without an emergency indication are referred to a different health facility.

Accessibility

- 14. Describe the accessibility of Magburaka Government Hospital from here.
- 15. Describe the accessibility of Masanga Hospital from here.
- 16. If you were to refer 10 women to a hospital, how many of them do you think will actually arrive at the hospital?
- 17. Describe some reasons why referred women sometimes refuse referral.
- 18. Describe transportation options available for referral.

Ambulance Referrals

- 19. Describe the process of ordering an ambulance from Magburaka Government Hospital.
- 20. Describe the process of ordering an ambulance from Masanga Hospital.
- 21. How many times have you ordered an ambulance in the past month?
- 22. How long does it take for the ambulance to arrive at your health facility after you have ordered the ambulance? (from Magburaka/Masanga)
- 23. Which of the staff is allowed to order an ambulance?
- 24. Is there always staff present that is allowed to order an ambulance?

- 25. Does the CHO or midwife in the CHC have to be contacted before ordering an ambulance?
- 26. Is transferral by ambulance free for the woman?
- 27. Describe problems with the current ambulance referral system.
- 28. Describe recommendations for improving the ambulance referral system.

ANC/under 5

- 29. Describe the antenatal care in this health facility (frequency of ANC-days, number of women)
- 30. Describe how/if governmental Maternity Record Cards are used during ANC visits, delivery and postnatal checks.

Masanga Hospital

- 31. Describe recommendations for improving the health care at Masanga Hospital.
- 32. Is Masanga Hospital an official referral hospital in Tonkolili District?
- 33. Is healthcare free for pregnant and lactating women and children under 5 at Masanga Hospital?

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59 60 **SUPPLEMENTARY FILE 4**

Original study protocol

Includes protocol regarding quantitative research which is not included in submitted article

to peer teriew only

The current situation of maternal health in Tonkolili District and the perceptions of health workers at peripheral health units in the catchment area of Masanga Hospital concerning the current referral system of obstetric patients



Lead applicant

Ryan Proos, medical student Leiden University Medical Center, Masanga Hospital Sierra Leone

Correspondence address: e-mail: ryanproos9@gmail.com Tel: +232 76557283

Supervisor

Dr. T. van den Akker, Leiden University Medical Center, Netherlands (Gynaecology and Obstetrics)

Research team

Hanna Mathéron, MD Global Health and Tropical Medicine, Medical Officer Masanga Hospital

Teneh Kamara, midwife at Masanga Hospital Sierra Leone

Background

Sierra Leone is one of the most dangerous countries for women to be pregnant and to give birth. According to the Sierra Leone Demographic Health Survey (2013)¹, Sierra Leone has a maternal mortality ratio (MMR) of 1,165 per 100,000 live births, the highest in the world and more than six times the global average. The UN Sustainable Development Goals (SDGs), adopted in 2015, aim for a reduction of the global maternal mortality ratio to less than 70 per 100,000 live births in 2030. In line with these SDGs, the Ministry of Health and Sanitation of Sierra Leone launched the Reproductive, Maternal, Newborn, Child and Adolescent Health (RMNCAH) Strategy in 2017, which aims for a reduction of preventable deaths of women, children and adolescents and ensuring their well-being². The target is to reduce the MMR of 1,165 per 100.000 live births to 650 per 100.000 live births by 2021. With launching this strategy, the government acknowledges the need for change, but there is still a long way to go to achieve this goal.

Masanga Hospital is situated in Tonkolili district, centrally located in the Northern Province of Sierra Leone. The catchment area of Masanga Hospital comprises of approximately 150,000 inhabitants and 15 peripheral health units (PHUs). Besides Masanga Hospital there are two other hospitals in Tonkolili district, Magburaka Hospital and Lion Heart Hospital in Yele.

Currently, there is no complete and trustworthy overview of maternal health in Tonkolili District. Therefore, a baseline assessment using the WHO Reproductive Health Indicators and the RMNCAH coverage targets is needed to work towards achieving the goal set by the Ministry.

It is hypothesized that the poor referral system is a major causative factor of the current poor maternal health situation in Tonkolili district. Perceptions of health workers at PHUs concerning the referral system of obstetric patients is necessary to identify areas of improvement.

Aims and Objectives

The aim of this research project is to do a retrospective, quantitative descriptive analysis of data collected during project to describe maternal health demography, geography, delivery and complication rates of Tonkolili district, especially in relationship to the catchment area of Masanga Hospital. Additionally, an assessment of health workers' perceptions of the current referral system of obstetric patients will be undertaken.

Research Questions

1. What is the current maternal health situation in Tonkolili District, Sierra Leone, in terms of WHO Reproductive Health Indicators and the RMNCAH coverage target matches?

The baseline assessment shall provide an overview of several WHO Reproductive Health Indicators and RMNCAH coverage targets in Tonkolili district over the period 2016-2018. Data collection will be done in the Peripheral Health Units (PHUs) and the district hospitals (Masanga Hospital, Magburaka Hospital and Lion Heart Hospital, Yele), in collaboration with the District Health Sister (DHS1), District Medical Officer (DMO) and the District Health Information System (DHIS).

The following data will be collected per health facility:

- Level of service provision (MCHP, CHP, CHC, District Hospital)
- Location in the catchment area
- Number of deliveries (spontaneous, assisted, CS)
- Number of live births
- Number of still births
- Number of maternal deaths
- Number of complications (antepartum haemorrhage (APH), postpartum haemorrhage (PPH), pregnancy-induced hypertension (PIH), pre-eclampsia, eclampsia, obstructed labour, post-partum sepsis)
- Number of referrals, reasons for referral
- Number of antenatal care visits
- Number of intermittent preventive treatment (IPT) during ANC

2. What are the perceptions of health workers at peripheral health units in the catchment area of Masanga Hospital concerning the current referral system of obstetric patients?

Perceptions of health workers working in PHUs in the catchment area of Masanga Hospital will be explored in several discussions.

The discussions will focus on the following topics:

- Communication between health centres
- Determinants of referral decision
- Actions taken before and during referral
- Effect of antenatal care on referrals
- Referral constraints

Study Design

This research project is a retrospective, quantitative descriptive analysis. Data collection will be done in collaboration with the District Health Sister (DHS1), District Medical Officer (DMO) and the DHIS.

Patients/Participants

All women that visited a PHU or hospital in Tonkolili district for antenatal care visits, health facility deliveries or pregnancy or delivery complications during the period 2016-2018 will be included in the baseline overview. Selection bias must be considered as not all pregnant women visit a health facility. Reproductive health data is available through the centralized District Health Information System. Access to the District Health Information System will be officially requested. Data is available of approximately 12,000 facility-based live births in the district per year and therefore roughly 36,000 facility-based live births in the specified period. However, it is estimated that 46% of pregnant women in Sierra Leone give birth at home². There is therefore no data available of these homebirths, but these women might have visited a health facility for antenatal care or for post-partum complications.

Health workers at PHUs in the catchment area of Masanga Hospital will be asked to participate in the group discussions focusing on their perceptions of the current obstetric referral system. Written informed consent will be obtained using the attached form. An interview guide will be used.

Statistical Methods

After centralization of the data in SPSS, a baseline overview of the variables named for research question 1 will be made for each PHU and hospital and for Tonkolili district. The qualitative data of the group discussions will be analyzed using systematic text condensation.

Results

The baseline assessment shall provide an overview of several WHO Reproductive Health Indicators and the RMNCAH coverage targets in Tonkolili district over the period 2016-2018. Regional differences in maternal health outcomes in Tonkolili District will be identified. These regional differences will be linked to the accessibility of emergency obstetric care in those regions. This baseline overview will provide a basis for further research and implementation of new interventions, aimed at reaching the goal set by the Ministry.

The perceptions of health workers at PHUs in the catchment area of Masanga Hospital will illuminate L em of wels of hes the health work. n a descriptive article. constraints of the current referral system of obstetric patients. Additionally, the quality of communication between different levels of health facilities concerning referrals will be described. Possible solutions as suggested by the health workers will be described.

The results will be summarized in a descriptive article.

Ethical Considerations

Ethical Approval

Ethical approval will be obtained from the Sierra Leone Ethics and Scientific Review Committee.

Selection of study population and recruitment of research participants

All patients registered at PHUs and hospitals in Tonkolili District for antenatal care visits, deliveries, and pregnancy complications in the period 2016-2018 are selected and included. Patients are free to refuse participation. This refutation must be apparent from the District Health Information System.

Informed consent process

Receiving medical care at a PHU or hospital implicitly indicates given consent to use of registered data for medical research through the District Health Information System. Written informed consent will be obtained from participants in the group discussions. The informed consent form is included in this application.

Risks of participation

Participation in the baseline overview exposes patients to minimal chance of harm. Participation does not include any clinical intervention. Confidentiality and anonymity of patient data is maximally safeguarded.

Participation in the group discussions exposes the health workers to minimal chance of harm. Confidentiality and anonymity of participant data is maximally safeguarded.

Inducements, financial benefits, and financial costs

Participation is not rewarded with any financial, material or healthcare service benefit.

Protection of research participants' privacy and confidentiality

Research participant data is centrally stored in the District Health Information System. This digital system is password protected. To ensure confidentiality, this data is only accessible by the head researcher and supervisors.

Group discussion participant data is stored on password-protected computers in Masanga Hospital. Only the head researcher has access to the names, functions and health facilities of the participants. Reported data is anonymous and cannot be traced back to the specific health facility.

Independence of research and conflicts of interests

Research is conducted on behalf of Masanga Hospital and in collaboration with Tonkolili District. No other parties are involved. The researchers certify that they have no conflicts of interests.

Schedule

1-8 weeks:

- Contact with DMO and DHS, attending the district meetings and the district maternal mortality meetings in Magburaka
- Application for funding
- Application for District Health Information System access

8-20 weeks

- Analysation of DHIS data
- Group discussions

20-28 weeks

- Analysation and illustration of DHIS data
- Writing descriptive article

GANTT chart

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	W1-4	W5-8	W9-12	W13-16	W17-20	W20-24	W24-28
DMO/DHS contact							
Initiation visits in							
PHUs/Hospitals							
DHIS access							
application							
Group discussions							
Data analysis							
Writing				4			
Submitting article							



References

- 1. Sierra Leone Demographic and Health Survey 2013 via the DHS Program STATcompiler. (<u>http://www.statcompiler.com</u>).
- 2. Sierra Leone Reproductive, Maternal, Newborn, Child and Adolescent Health (RMNCAH) strategy 2017-2021, Ministry of Health Sierra Leone

to occurrences

MASP	RESEARCH
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Participant Informed Consent Form

Study title: Perceptions of health workers at peripheral health units in the catchment area of Masanga Hospital concerning the current referral system of obstetric patients

Researcher: Ryan Proos E-mail: ryanproos9@gmail.com

Institute: Masanga Medical Research Unit, Sierra Leone

	Add your initials if
	you agree
I agree that this interview will be recorded and that the data will be analyzed and	
reported anonymously.	
I understand that I can withdraw from this study at any time, without given reason and	
all my data will be deleted.	
I understand I can contact the Sierra Leone Ethics and Scientific Review Committee at	
any time (contact details below*).	

Name of participant:	
Signature:	Date:
Name of person taking consent:	
Signature:	Date:
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* Contact details Sierra Leone Ethics and Scientific Review Committee:

E-mail: efoday@health.gov.sl

** this form needs to be signed and dated in presence of the patient. If preferred, the patient will receive a copy of this form. The forms will be stored in a secure location, ensuring patients privacy.

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Perspectives of health workers on the referral of women with obstetric complications: a qualitative study in rural Sierra Leone

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Date Submitted by the Author:	02-Oct-2020
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Primary Subject Heading :	Health services research
Secondary Subject Heading:	Health services research, Obstetrics and gynaecology, Public health, Qualitative research, Global health
Keywords:	Maternal medicine < OBSTETRICS, PUBLIC HEALTH, Organisation of health services < HEALTH SERVICES ADMINISTRATION & MANAGEMENT, QUALITATIVE RESEARCH

SCHOLARONE[™] Manuscripts



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4	1	Perspectives of health workers on the referral of women with obstetric complications: a
5 6 7	2	qualitative study in rural Sierra Leone
8 9 10	3	Ryan Proos ^{1,3} , Hanna Mathéron ^{1,2,4} , Jonathan Vas Nunes ^{1,2,4} , Abdul Falama ⁵ , Patricia Sery
11 12	4	Kamal ⁵ , Martin Peter Grobusch ^{1,4*} , Thomas van den Akker ^{3,6}
13 14 15 16	5	
17 18 19	6	¹ Masanga Medical Research Unit, Masanga, Sierra Leone
20 21 22	7	² Masanga Hospital, Masanga, Sierra Leone
23 24 25	8	³ Obstetrics and Gynaecology Department, Leiden University Medical Center, Leiden, The
26 27 28	9	Netherlands
29 30 21	10	⁴ Center for Tropical Medicine and Travel Medicine, Department of Infectious Diseases,
31 32 33	11	Amsterdam University Medical Centers location AMC, Amsterdam Public Health, Amsterdam
34 35 36	12	Infection & Immunity, Amsterdam, The Netherlands
37 38 39	13	⁵ Tonkolili District Health Management Team, Magburaka, Sierra Leone
40 41 42	14	⁶ Athena Institute, VU University, Amsterdam, The Netherlands
43 44 45	15	
46 47 48	16	* Corresponding author: Prof. Dr. Martin Peter Grobusch, AUMC location AMC,
49 50	17	Meibergdreef 9, 1105 AZ Amsterdam, The Netherlands; email:
51 52 53	18	m.p.grobusch@amsterdamumc.nl
55 54 55 56	19	
57 58 59 60	20	Word count: Abstract – 294 words; Main text body - 4,528 words

2		
3 4 5	21	ABSTRACT
5 6 7 8	22	Objectives
9 10	23	Sierra Leone has one of the highest maternal mortality ratios in the world. Timely and well-
11 12 13	24	coordinated referrals are necessary to reduce delays in providing adequate care for women
14 15	25	with obstetric complications. This study describes factors affecting timely and adequate
16 17 18	26	referral of women with obstetric complications in rural areas of Sierra Leone as viewed by
19 20	27	health workers in rural health facilities.
21 22 23 24	28	Design
25 26 27	29	A qualitative research with semi-structured interviews using open-ended questions. Data
28 29	30	were analysed by systematic text condensation.
30 31 32	31	Setting
33 34 35 26	32	Interviews were held in nine peripheral health units in rural Sierra Leone.
37 38 39	33	Participants
40 41	34	19 health workers including nurses, midwives and clinical health officers participated in nine
42 43 44	35	interviews.
45 46 47	36	Results
48 49 50	37	From the interviews, four major themes describing possible factors of delay in referral of
51 52 53	38	women in need of emergency obstetric care emerged: 1) communication between health
54 55	39	care workers; 2) underlying influences on decision-making; 3) women's compliance to
56 57 58 59 60	40	referral; 4) logistic constraints.

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41	Several factors in rural Sierra Leone are perceived to complicate timely and adequate
42	referral of women in need of emergency obstetric care. Notable among these factors are
43	fear among women for being referred and fear among health care workers for having
44	maternal deaths or severe obstetric complications occurring at their own facilities.
45	Furthermore, decision-making of health care workers whether to refer a woman or not is
46	negatively influenced by a hierarchical culture with high power distance between health care
47	workers.
48	Conclusion
49	Factors identified that complicate timely and adequate referral of women in need of
50	emergency obstetric care must be considered in efforts to reduce maternal mortality.
51	Possible interventions that may reduce delay in referral include increased communication by
52	mobile phones between health workers for advice and feedback regarding referrals,
53	involvement of influential stakeholders to increase women's compliance to referral, and
54	consistent use of standardised management protocols.
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56	ARTICLE SUMMARY
57	Strengths and limitations of this study
58	• A strength of this study is the purposive and homogenous sampling used for the
59	selection of peripheral health units and health workers for the interviews, which is
60	representative of health facilities and health workers in rural Sierra Leone.

2		
3 4	61	• A strength of this study is the use of open-ended questions alongside an interview
5 6	62	guide, ensuring specific topics were discussed while allowing participants to
7 8 0	63	introduce and discuss additional topics.
9 10 11	64	A limitation of this study is that the data collected using semi-structured interviews
12 13	65	with health workers were not triangulated with data from interviews with women
14 15 16	66	and relatives.
17 18		
19 20	67	KEYWORDS
21 22 23	68	health worker; maternal health; obstetric complications; qualitative study; referral; Sierra
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70 BACKGROUND

Sierra Leone has one of the highest maternal mortality ratios (MMR) in the world. According to the Sierra Leone Demographic Health survey in 2013, the MMR was 1,165 per 100,000 live births [1]. The need to reduce this extremely high ratio is evident. In order to work towards meeting the United Nations Sustainable Development Goal target of an MMR below 70 per 100 000 [2], the Ministry of Health and Sanitation of Sierra Leone launched the Reproductive, Maternal, Newborn, Child and Adolescent Health Strategy in 2017, aiming to reduce the MMR of 1,165 to 650 by 2021 [3].

An underlying factor of the high MMR in Sierra Leone is the persistent low rate of facilitybased births [1]. Country-wide in 2013, only slightly more than half of the women gave birth in a health facility; 49.7% of women in rural areas versus 68.1% in urban areas [1]. The West-African Ebola outbreak from 2013 to 2016 led to a further reduction in facility-based births as a consequence of reduced possibilities and fear to access the health system during the crisis [4, 5].

Pregnant women in Sierra Leone face many barriers to facility-based birth, including long distances, inability to afford costs of transport and healthcare and lack of trust in health facilities [6]. These factors contribute to a phase-1 delay in deciding to seek healthcare in case of an emergency obstetric complication, as described in the three-phase delay model by Thaddeus and Maine [7]. Even after having decided to seek health care, women are often confronted with delays in phase 2 (transport delay), and phase 3 (delay in diagnosis and treatment at the facility) [8]. Shortly before this study was conducted, a new ambulance system was implemented in Sierra Leone, possibly reducing transport delays. Timely and well-coordinated referrals are necessary to further reduce delay in receiving adequate

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obstetric care for women with obstetric complications. Currently, there is no literature
available analysing aspects of reasons for delay of care within the obstetric referral system in
rural Sierra Leone.

This study describes factors affecting timely and adequate referral of women with obstetric complications in rural areas of Sierra Leone through the perspectives of health workers in rural health facilities. This data will provide better understanding of challenges within the health system faced by women who are referred with emergency obstetric complications in rural Sierra Leone.

101 METHODS

2 Study design and setting

This qualitative study using semi-structured interviews and following the Consolidated criteria for reporting qualitative research (COREQ) checklist [9] (Supplementary File 1) was conducted between the 1st of September 2018 and the 15th of March 2019 in Tonkolili District. This district is located in the Northern Province of Sierra Leone and sub-divided into eleven chiefdoms. Three hospitals with Comprehensive Emergency Obstetric and Neonatal Care are located in Tonkolili District. Basic and Comprehensive Emergency Obstetric and Neonatal Care (BEMONC and CEMONC) are services fundamental to provide adequate health care during pregnancy and childbirth. The signal functions of BEMONC and CEMONC centres are summarised in Supplementary File 2. Three chiefdoms in the north of Tonkolili District, Kafe Simiria, Kalansogoia and Sambaya Bendugu, with a combined population of 113,521 (2018), are served by two CEmONC centres, Magburaka Government Hospital and Masanga Hospital, an NGO-supported government hospital. Besides the two CEmONC centres, these chiefdoms are served by fifteen peripheral health units (PHUs), including four BEMONC

- 116 centres. The maternity services provided at each facility level in these chiefdoms, according
 - to the Ministry of Health and Sanitation, are summarised in Table 1.

Health Post (MCHP) Nutritional supplementation in pregnancy (e.g. inclusion of the section and ensuing referral Risk selection and ensuing referral Malaria intermittent preventive treatment Intra- and postpartum care Monitoring of labour by using the partograph Cord clamping Active management of the third stage of labour Postnatal care Clinical assessment of the neonate (e.g. fever, convulsions, feeding) Exclusive breastfeeding recommendation Cord care Clinical assessment of mother (e.g. temperature, blood pressure, bleeding) Family planning counselling Community Health MCHP services (see above) 	Maternal and Child	Antenatal care
folic acid and multivitamins) Risk selection and ensuing referral Malaria intermittent preventive treatment Intra- and postpartum care Monitoring of labour by using the partograph Cord clamping Active management of the third stage of labour Postnatal care Clinical assessment of the neonate (e.g. fever, convulsions, feeding) Exclusive breastfeeding recommendation Cord care Clinical assessment of mother (e.g. temperature, blood pressure, bleeding) Family planning counselling MCHP services (see above)	Health Post (MCHP)	 Nutritional supplementation in pregnancy (e.g. iron
 Risk selection and ensuing referral Malaria intermittent preventive treatment Intra- and postpartum care Monitoring of labour by using the partograph Cord clamping Active management of the third stage of labour Postnatal care Clinical assessment of the neonate (e.g. fever, convulsions, feeding) Exclusive breastfeeding recommendation Cord care Clinical assessment of mother (e.g. temperature, blood pressure, bleeding) Family planning counselling 		folic acid and multivitamins)
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• Monitoring of labour by using the partograph • Cord clamping • Active management of the third stage of labour • Postnatal care • Clinical assessment of the neonate (e.g. fever, convulsions, feeding) • Exclusive breastfeeding recommendation • Cord care • Clinical assessment of mother (e.g. temperature, blood pressure, bleeding) • Family planning counselling • MCHP services (see above)		Intra- and postpartum care
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Clinical assessment of the neonate (e.g. fever, convulsions, feeding)Exclusive breastfeeding recommendationCord careClinical assessment of mother (e.g. temperature, blood pressure, bleeding)Family planning counsellingCommunity HealthMCHP services (see above)		Postnatal care
convulsions, feeding)convulsions, feeding, f		 Clinical assessment of the neonate (e.g. fever,
Community Health•Exclusive breastfeeding recommendation•Cord care•Clinical assessment of mother (e.g. temperature, blood pressure, bleeding)•Family planning counselling		convulsions, feeding)
• Cord care • Clinical assessment of mother (e.g. temperature, blood pressure, bleeding) • Family planning counselling Community Health •		 Exclusive breastfeeding recommendation
 Clinical assessment of mother (e.g. temperature, blood pressure, bleeding) Family planning counselling MCHP services (see above) 		 Cord care
blood pressure, bleeding) Family planning counselling Community Health MCHP services (see above)		• Clinical assessment of mother (e.g. temperature,
 Family planning counselling Community Health MCHP services (see above) 		blood pressure, bleeding)
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3		Community Health	IP services (see above)
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8		• Mat	ernal anaemia and urine sediment assessment
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10			ONC consistent (see consultant of the 2)
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13		District Hospital	IP services (see above)
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25		• CEm	ONC services (see supplementary file 2)
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27	110	However, not all facilities designat	ad to provide DEmONC and CEmONC in rural Sierra Leane
20	118	nowever, not an facilities designation	ed to provide Bemond and Cemond in rural Sierra Leone
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31	119	are able to provide the full range o	f signal functions [10, 11]. Therefore, several BEmONC
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33	120	and CEmONC signal functions migh	t not actually be provided in practice. Referral occurs
34	120	and elinence signal functions might	it not detadily be provided in practice. Referrar occurs
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36	121	both in consecutive order starting	from Maternal and Child Health Posts (MCHPs) as well as
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38	122	between lower level health facilitie	es and district hospitals. Sierra Leone has national
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40	122	protocols for omorgonal obstatric	care including referral indications [12]
41	123	protocols for emergency obstetric	care, including referral indications [12].
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43	124	Tankalili District was some signtly	as leasted, since the district is leasted in your Cierro Leave
44	124	Tonkolli District was conveniently	selected, since the district is located in rural sierra Leone
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46	125	and the catchment area of the hos	pital where three of the authors are employed. The
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48	126	chiefdoms in northern Tonkolili Dis	trict were selected, since these three combined comprise
49	120		the were selected, since these three combined comprise
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51	127	the catchment area for emergency	obstetric complications belonging to Masanga Hospital,
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53	128	for reasons of geography such as ir	nnassable rivers and mountains and accessibility by road
54	120	Tor reasons of geography such as in	npussusie rivers and mountains and decessionity by road.
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56	120	The qualitative data used in this stu	idy was collected using nine semi-structured interviews
57	123	The quantative data used in this sti	any was concluded using time setting to during the setting to during the setting the setti
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59	130	conducted by RP (Master of Medic	ine student, male, first author) between November 2018
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and January 2019. Purposive sampling was used to ensure variation between selected

132 facilities where interviews were conducted. Selection criteria were that facilities were

133 located in different chiefdoms, provided different levels of care, and had varying

134 accessibilities of the nearest district hospital (Table 2).

Town	Level	Distance	Travel time
		to district	to district
		hospital	hospital*
		(km)	(min)
Chiefdom Kafe Simiria	6		
Mabontor	СНС	18.9	40
Masumbrie	СНС	21.5	40
Makontande	MCHP	28.9	50
Chiefdom Kalansogoia		(4
Bumbuna	СНС	42.6	70
Kamasaypana	MCHP	50.0	100
Kemedugu	МСНР	58.5	110
Chiefdom Sambaya Bendugu			
Bendugu	СНС	81.4	150
Kunya	СНР	92.3	180
Dankawalia	МСНР	71.9	135
* Travel time by motorbike du	ring dry	season (Nov	vember –

3 4		substantially longer. Travel tim	e by ambulance will be shorter.
5 6 7		Roads were unpaved.	
8 9	135	Selected facilities were approac	hed either by telephone calls to the in-charge health worker
10 11 12	136	or by face-to-face visits to that I	nealth facility. All health workers working at the selected
13 14	137	facilities at the time of the inter	view were invited to participate in the interview. Thus,
15 16 17	138	homogenous sampling was used	d as each interview was conducted with health workers of
18 19	139	different cadres currently worki	ng in the same health centre. The number of participants per
20 21 22	140	interview ranged from one to fo	our. In total, nineteen health workers participated in the nine
22 23 24	141	interviews. All participants wer	e explained the relevance and goals of the research. No
25 26	142	facility or individual health work	er refused participation. Respective health worker cadres
27 28 29	143	and competencies of the partici	pants are summarised in Table 3.
30 21		Table 2: Cadres and competen	ciac of recoordants
32		Table 5. Caules and competen	cles of respondents
33 34		Health worker (number	Competencies
35 36 37		interviewed)	
38 39		Maternal and Child Health	2 years training. Competent in basic obstetric care.
40 41 42		aid (MCH aid) (10)	0
42 43 44		State Enrolled Clinical Health	2,5 years training. Competent in basic obstetric care.
45 46 47		Nurse (SECHN) (2)	
48 49		Community Health Assistant	2 years theoretical + 1-year practical training. Competent
50 51		(CHA) (3)	in basic obstetric care.
52 53 54		Community Health Officer	3 years theoretical + 1-year practical training. Competent
55 56		(CHO) (2)	in basic obstetric care. No training in emergency obstetric
57 58 59			care.
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Midwife (2)	SECHN training + 1,5 year-midwifery training. Competent
	in emergency obstetric care including oxytocin
	administration, manual placenta removal, newborn
	resuscitation, first treatment for (pre)eclampsia and
	antibiotic administration. No training in remaining signal
	functions.

The interview guide (Supplementary File 3) was used as a framework of themes to be 144 145 discussed during the interviews. The guide was initially developed using themes described by Thaddeus and Maine [7], previous literature concerning pregnancy and childbirth in Sierra 146 147 Leone [6, 13], and preliminary discussions with stakeholders such as medical officers, community health officers (CHO), midwives and logistical officers employed at district 148 149 referral hospitals. The guide was piloted in one PHU with two health workers. The interviews 150 were held inside the respective health facilities where only participants and interviewer 151 were present. The interviews were conducted in English, using open-ended questions, and lasted between 30 and 60 minutes each. Data was collected using audio recording. Repeat 152 153 interviews were not carried out and transcripts were not returned to participants for 154 comments and correction for logistical reasons: there was no funding or practical possibility 155 to re-visit these widely spread-out facilities. The original study protocol (Supplementary File 4) was followed throughout the study. 156

157 **Study Subject and Public involvement**

158 The District Health Management Team was involved in the design, conduct, reporting and
 159 dissemination planning of our research. All participants of the interviews were informed on
 160 relevance and goals of the study.

Analysis

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All audio recordings of interviews were transcribed verbatim by RP using Express Scribe

Transcription Software (NCH Software, Greenwood Village, Colorado, USA). Content analysis

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.64	was performed by RP through systematic text condensation as described by Malterud [14].
.65	After all interviews were transcribed verbatim, all transcripts were read multiple times to
.66	establish an overview of the data. Preliminary themes were identified based on this
.67	overview. Hereafter, all transcripts were once again reviewed line by line, to identify
.68	'meaning units', i.e. text fragments containing some information about the research
.69	question. These meaning units were marked with a code: a label that connects related
.70	meaning units into a code group. These code groups were elaborated from the themes from
.71	the first step of the analysis. Hereafter, the meaning units in each code group were
.72	connected to form a condensate. Lastly, these condensates were synthesized to accurately
.73	reflect the original quotes and some original quotes were included in the text to further
.74	illustrate the data. Data analysis was performed manually. HM was involved in the whole
.75	process of data analysis and gave feedback on the identification of the preliminary themes,
.76	the systematic coding and categorisation of quotes, and the writing of condensates of every
.77	theme based on the quotes.
.78	Ethical Considerations
.79	The study proposal was endorsed by the Masanga Medical Research Unit Scientific Review
.80	Committee. Ethical approval was obtained from the Sierra Leone National Ethics and

Scientific Review Committee on 29 January 2019. Permission to conduct the study in

182 Tonkolili District was obtained from the District Health Management Team. Written

9 183 informed consent was obtained from interview participants.

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184 **RESULTS**

1 2

> From these interviews, four major themes describing possible factors of delay in referral of women in need of emergency obstetric care emerged: 1) communication between health care workers, 2) underlying influences on decision making, 3) women's compliance to referral, 4) logistic constraints.

1) Importance of communication between staff of different health centres

Giving and receiving advice surrounding referrals. Most health workers mentioned the
necessity of asking for advice when having to decide whether to continue management or
refer the woman to a higher-level health centre. One community health assistant (CHA)
explains:

194 *"I am not saying 100% I know what I am doing. I know myself. I learn, I can just know*195 *my own area and then there are people who know better. I am just a community*196 *health officer, assistant in fact."* (male CHA, MCHP)

Advice was often asked for and given in mobile phone conversations. However, sometimes
 higher cadre health workers travelled to health facilities to review women themselves
 before advice was given.

200 *"Sometimes he will come and he will review the patient and tell us to send the* 201 *patient, so we call the ambulance."* (female MCH aid, CHP)

202 Those asked for advice include CHOs, midwives, the District Health Sisters (supervising

- 203 midwives, members of the Tonkolili District Health Management Team), the head of a
- $\frac{1}{7}$ 204 maternity ward or a medical officer at a district hospital. However, one maternal and child
- ⁹ 205 health aid (MCH aid) mentioned that if she recognized a woman requiring urgent referral to

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3 4	206	a higher-level facility, she would not delay by first calling for advice but rather directly refer
5 6 7	207	the woman to the community health centre (CHC). She would not, however, directly refer
8 9	208	the woman to a district hospital or inform the CHC that this woman likely needed onward
10 11 12	209	referral, since she believed that this decision had to be made by CHC staff.
13 14 15	210	Besides needing advice on whether or not to refer the woman to a higher-level facility,
16 17	211	participants also mentioned a need for advice over the phone regarding clinical
18 19 20	212	management while waiting for the ambulance to arrive, since this could take up to several
21 22	213	hours.
23 24 25	214	Feedback after referral. Many health workers indicated that they were interested in the
26 27 28	215	clinical course after a woman had been referred to the district hospital, as illustrated by one
29 30 31	216	CHO:
32 33	217	"We are highly interested in feedback, because they are lives and when we call on you
34 35 36	218	people to rescue, then we have interest over them." (male CHA, CHC)
37 38 39	219	Health workers in lower level facilities expressed a specific interest in knowing the clinical
40 41	220	management including decisions on mode of birth at the district hospital. These health
42 43 44	221	workers were often approached by relatives of the referred woman requesting updates on
45 46	222	her clinical condition and outcome. Health workers regularly marked their phone numbers
47 48 49	223	on the referral notes in order to receive feedback. However, many respondents complained
50 51 52	224	never receiving a response from district hospital staff. Instead, they felt forced to call the
52 53 54	225	district hospital themselves, and indicated this comprised a communication barrier, since it
55 56 57	226	required them to spend their own mobile phone credits.
57 58 59	227	"But they don't give us the feedback for us to know if it was a vacuum delivery or
60	228	what. I have no response" (female MCH aid, MCHP)

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3 4	229	Similarly, health workers complained of never receiving discharge notes with follow-up
5 6 7	230	information after the woman had been discharged from the district hospital. They generally
8 9	231	relied on the information the woman could give them verbally.
10 11 12 13	232	2) Underlying influences on decision-making
14 15	233	Referral, perceived as the safest option for health workers. The necessity of referral, as
16 17 18	234	expressed by one health worker, was often to avoid complications and maternal death
19 20 21	235	occurring at their own facility.
22 23 24	236	<i>"If a maternal death is here, we are going to suffer."</i> (female SECHN, MCHP)
25 26 27	237	Often, a referred woman was described as 'not my case'. Another health worker mentioned
27 28 29	238	that when a woman was referred to a CHC, it was up to that facility to manage the woman
30 31 32	239	with the complication and decide what to do. Such transfer of responsibility after referral
33 34	240	was further illustrated by a story recounted by an MCH aid about a woman she had recently
35 36 37	241	referred:
38 39	242	"Yes, I delivered her. Male baby. Fresh still birth. So it is not my problem, because I
40 41 42	243	have already referred her." (female MCH aid, MCHP)
43 44 45	244	Endangering behaviour by women requiring referral. Some women did not want to be
46 47 48	245	referred and health workers were under a lot of pressure from women and relatives while
49 50	246	making a referral decision. Women and relatives were at times perceived not to tell the
51 52	247	truth when questioned about history, since they wanted to prevent referral. One health
55 55	248	worker mentioned that he sometimes heard rumours in the community that the point in
56 57	249	time a woman and her relatives indicated as when onset of symptoms occurred was not
59 60	250	always correct.

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2 3 4	251	"Nothing of the time stated was really factual, it was not the time, the actual time."
5 6 7	252	(male CHA, MCHP)
8 9 10	253	Most respondents described that when a woman and her relatives were told that she
11 12	254	needed to be referred, they started begging health workers not to refer her but to continue
13 14 15	255	clinical management at the same facility. Women and relatives would try to convince them
16 17	256	that they, as health workers, would be able to manage the woman with the complication
18 19 20	257	without referral. One SECHN stated:
21 22	258	"They will want us to do everything while we don't have that ability." (female SECHN,
23 24 25	259	СНС)
26 27 28	260	A CHA voiced his frustration at the women's and relatives' behaviour and stated that it
29 30 31 32 33 34	261	endangered his own work.
	262	3) Women's compliance with referral
35 36 37	263	Influential stakeholders involved to improve compliance. Referral to a district hospital was
38 39	264	perceived to come with many fears and worries for a pregnant woman. Examples mentioned
40 41 42 43 44	265	by health workers included fear of undergoing surgery, viral haemorrhagic fever (Ebola or
	266	Lassa virus) infection, blood donation, male health workers and an unfamiliar environment
45 46 47	267	in terms of language and people. Such fears contributed to women returning home instead
47 48 49 50 51	268	of travelling to the health centre they were referred to. Respondents identified three
	269	influential stakeholders who may potentially reduce fears around referral. The first
53 54	270	stakeholder was the chief of the village or town, whose advice and instruction were of
55 56 57 58 59 60	271	substantial influence on the women's and relatives' referral compliance.

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272	"My chief is not staying that far from me. So sometimes I call him when there is a
273	case, when there is need for him to come here, as stakeholder, that he can talk to this
274	<i>person."</i> (male CHA, MCHP)
275	The second group of stakeholders, recognised by health workers as similarly influential,
276	consisted of relatives in Freetown, the capital of Sierra Leone. One state-enrolled community
277	health nurse (SECHN) stated:
278	"They will always listen to their relatives out there." (female SECHN, MCHP)
279	These relatives in Freetown were contacted and requested to attempt to convince the
280	woman of the necessity of the referral and to adhere to the referral instructions. Finally,
281	according to the health workers, women who had previously been referred to a district
282	hospital and returned safely had a positive influence on a woman's perceptions regarding
283	referrals to district hospitals.
284	4) Logistical constraints
285	Medicine shortage as a burden on the referral system. The logistical constraints of dealing
286	with stockouts of medication in PHUs comprised an additional burden on the referral
287	system. Many health workers, especially those working in CHCs, complained of struggling
288	with medication shortages. Injectable antibiotics were often mentioned as insufficient for
289	the purposed term.
290	"But mediciation, logistics, is much more paramount. We need IV fluids, we need

- 291 drugs." (male CHA, CHC)
- 292 When medication stocks had been exhausted, the health worker was faced with two
 9 293 options. The first option was to request money from the woman to purchase medication at a

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2 3 4	294	local pharmacy. One health worker described the friction this created with the Free Health
5 6 7	295	Care Scheme for pregnant and lactating women, since it was by law illegal to request money
7 8 9	296	from these women.
10 11 12	297	"I am not going to ask her to pay for the service I am rendering but just to provide the
13 14 15	298	drug. But me that is punishable crime, I cannot Then I be in fault." (male CHA, CHC)
16 17 18	299	However, sending a woman directly to the pharmacy to buy medication themselves was not
18 19 20	300	safe according to several health workers. They expressed their distrust in local pharmacists
21 22 23	301	as they suspected them of not being properly trained and sometimes giving the wrong
24 25	302	medication as well as administering injectable drugs themselves against regulations.
26 27 28	303	Health workers were therefore often forced to resort to referral to a district hospital in order
29 30 31	304	for a woman to access the correct medication. The CHA voiced his desperation:
32 33 34	305	"So what would you do? You just have to refer." (male CHA, CHC)
35 36 37	306	He also expressed his worry about the reaction from the district hospital after receiving such
38 39	307	referrals. He feared that the district hospital would doubt the competency of the health
40 41 42	308	workers at the PHU referring a woman who could potentially be managed at their own
43 44	309	facility. Finally, some respondents pointed out that referring such a woman exposed them to
45 46 47	310	additional adverse outcomes such as the relatives falling back on traditional medicine or
47 48 49 50 51 52 53 54	311	going to a local pharmacy, since this was cheaper than paying for referral transportation.
	312	Inadequate ambulance availability. Tonkolili District has a limited number of ambulances
	313	available for the transport of women with emergency obstetric complications. Many health
55 56 57 58 59 60	314	workers complained about the fact that when they call for an ambulance, they are told that

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3	315	the ambulance has broken down or to wait since the ambulance is on its way to a different.					
4	010	the ambulance has broken down of to walt since the ambulance is of its way to a unclent,					
5	316	sometimes very distant PHU					
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9	317	"If you call the ambulance, at times the ambulance takes three to four hours before					
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11	318	arriving here." (male CHO, CHC)					
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15	319	It was also noted that it sometimes takes a long time before the ambulance team, comprised					
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17	320	of a driver and a nurse, is mobilised at the district hospital and the ambulance is finally under					
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19	321	way. One CHA summarised the problem as:					
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22	322	"So sometimes it's very difficult; the time the ambulance is here, the patient is					
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25	323	seriously in a critical condition." (male CHA, CHC)					
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27	224	Another much law reported uses the read eccessibility of contain DUU to Come of these DUU to					
28	324	Another problem reported was the road accessibility of certain PHUs. Some of these PHUs					
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31	325	can only be reached by motorbike and on foot. Accessibility is worse during rainy season.					
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33	326	Ambulance transport during the rainy season was even stated to be not possible at all for					
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35	327	several PHUs.					
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39	328	DISCUSSION					
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41	329	This study highlights several aspects of the obstetric referral system in rural Sierra Leone					
42	525	This study manifered several aspects of the obstethe referral system in failar sierra Leone,					
43	220	which require attention in order to provide timely and adequate management of women					
44 45	330	which require attention in order to provide timely and adequate management of women					
46	224						
47	331	with emergency obstetric complications.					
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49	332	Importance of communication between staff of different health centres					
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52 53	333	The importance of communication between health centres to achieve an effective referral					
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55	334	system was widely acknowledged by participating providers. Health care workers generally					
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57	335	concurred with each other on the advantages of receiving advice on whether to continue					
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59 60	336	management, or to refer a woman instead. However, our findings concerning the practice of					
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3 4	337	waiting for a higher-cadre health worker from a different health facility to arrive and
5 6 7	338	personally examine the woman before advising on a referral decision, are in disagreement
7 8 9	339	with protocol and would increase type 2 delay. This delay can be largely abated by adequate
10 11	340	use of mobile phones to communicate with higher-cadre health workers for advice regarding
12 13 14	341	referral decisions [15], as well as further education for MCH aids who will not need a second
15 16	342	opinion anymore. Furthermore, the reluctance of lower-cadre health workers in referring
17 18 19	343	women directly to a district hospital and thereby bypassing the higher-cadre health worker's
20 21	344	judgment as well as the reluctance of referring women to a CHC with the advice to further
22 23	345	refer to a district hospital reveal potentially harmful hierarchy between health workers.
24 25 26	346	From Tanzania, Ueno et al. reported a similar atmosphere of hierarchy and lack of
27 28	347	cooperation between different cadres of health workers and levels of health facilities as a
29 30 31	348	challenge to EmOC service delivery [16].
32 33 34	349	Our findings also imply that following referral, district hospitals need to take initiative in
35 36	350	providing health workers in PHUs with feedback in order to improve and encourage future
37 38 39	351	referrals and follow-up management of the woman after discharge from the district hospital.
40 41	352	Studies in Ghana, Burundi and Northern Uganda reported similar demands for feedback
42 43	353	after referral [17-19]. Multiple other studies have described related gaps in communication
45 46	354	surrounding obstetric referrals [20, 21] and have specified the critical role of communication
47 48	355	in an effective referral system [21-24]. Improvement in communication between health
49 50 51	356	facilities and health workers is a necessary first step towards improving the referral system
52 53	357	in northern Tonkolili District.
54 55 56 57	358	Underlying influences on decision-making
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359	Our findings point at a mindset of some health workers regarding obstetric referrals that has
360	potential adverse effects on timely management of women with emergency obstetric
361	complications. Maternal mortality and morbidity were seen as tragic events for women and
362	relatives, but also alarming for themselves as health workers. Referral and the ensuing
363	transfer of responsibility was regarded as an option to prevent themselves from being
364	blamed in case of a complication. It is hypothesised that this mind-set is an adverse result of
365	the increased awareness of, and attention to, the high maternal mortality and morbidity
366	rates in Sierra Leone. Obstetric audits have been proven to be an effective method of
367	reducing maternal mortality and morbidity [25-27], but a negative impact on work
368	satisfaction and motivation have also been reported [27, 28]. However, our findings provide
369	limited evidence and further research in rural Sierra Leone is essential to accurately analyse
370	this information.
371	Another underlying influence which became apparent throughout the interviews was the

persuasiveness of women and relatives who did not want to be referred to a different health
facility. Such persuasiveness has potential to delay the referral decision made by a health
worker and thus results in phase 1 and phase 2 delays. Also, it may lead to over-confidence
of lower-cadre health workers respecting their ability in managing women with obstetric
complications, as has been previously reported in Sierra Leone by Theuring et al. [13].

377 Improving women's compliance with referral

378 Fears experienced by women, as reported by health workers, to accept referral to a district
 379 hospital such as fear of operations, blood donation, male health workers conducting births,
 380 and a new environment were largely similar to those previously reported by multiple studies
 381 in similar settings [17, 19, 29, 30]. A lingering fear of Ebola in district hospitals in post-Ebola

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regions including Sierra Leone has been described by several other studies [13, 31, 32]. Three groups of influential stakeholders were identified: local village chiefs, relatives in the capital, Freetown; and women who have previously been referred to a district hospital and have returned safely. Consulting these stakeholders in case of referral refusal will potentially increase women's compliance. These stakeholders are understood to be similarly influential in all rural areas of Sierra Leone.

Logistical constraints

The influence of medication shortage in PHUs on the effectiveness of the referral system becomes apparent through our findings. The combined effect of the Free Health Care Scheme [33] and the distrust in local pharmacies forces health workers into avoidable referrals to district hospitals. In turn, these referrals lead women to a choice of options from traditional medication or buying medication from a local pharmacy. The shortage of medication in PHUs is a burden on and complicating factor of the referral system in northern Tonkolili District; however, adequate availability of medication should not avert mandatory referrals of women with obstetric complications, which require management in district hospitals.

The shortage of ambulances for transport of women from lower-level health facilities to district hospitals is a commonly reported contributor to phase 2 delay in sub-Saharan Africa [17-19, 34, 35]. Our findings show that this barrier to access to adequate emergency obstetric care is also present in rural Sierra Leone. The inability of ambulances to reach certain PHUs, due to arduous terrain such as steep hills and river crossings and poor road conditions, worsened by seasonal rains, displays the poor infrastructure of rural Sierra Leone.
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405 In February 2019, after our data collection was completed, the Ministry of Health and Sanitation of Sierra Leone and the non-governmental organisation Doctors with Africa 406 CUAMM launched the National Emergency Medical Service (NEMS), which provides free-of-407 charge ambulance service in all of Sierra Leone. The implementation of the NEMS allows for 408 409 imperative reduction in delay in access to adequate emergency obstetric care in district 410 hospitals. This reduction in delay is possible as the number of functioning ambulances is 411 increased, and as the travel time is decreased as soon as the ambulances are not only stationed in district hospitals any longer, but also in PHUs. 412 Strengths and Limitations 413 A strength of this study is the selection of PHUs and health workers for the interviews, which 414 is representative of health facilities and health workers in rural Sierra Leone. Therefore, 415 interventions targeting the obstetric referral system in other areas of rural Sierra Leone can 416 417 be supported by our findings. Another strength is the use of open-ended questions during 418 the interviews, which allowed the participants to express their own experiences and feelings. Additionally, as this study was conducted before the implementation of the NEMS, this study 419 420 allows for a follow-up study analysing the effect of the NEMS. The authors hypothesise that only the themes 'communication' and 'logistical constraints' have been affected by the 421 NEMS. 422 423 A limitation of this study was that the data collected using semi-structured interviews with 424 health workers were not triangulated with data from women and their relatives. However, 425 results from previously conducted research concerning the perspectives of women and their relatives have been discussed to further validate our data. Additionally, a description of the

- 23

1 2		
2 3 4	427	major reasons of referral would have been of added value to our manuscript. Lastly, the
5 6 7	428	interviews were conducted in English while the participants were more familiar with Krio.
, 8 9 10	429	CONCLUSION
11 12 13	430	In the perspectives of health care workers, delay in access to adequate emergency obstetric
14 15	431	care is caused by lack of communication between health workers at different facilities, lack
16 17 18	432	of involvement of influential stakeholders, medication shortage and lack of ambulance
19 20	433	services. Of note, fear among women and their relatives for them to be referred is another
21 22 23	434	cause of delay. Furthermore, the decision-making of health care workers concerning referral
23 24 25	435	is negatively influenced by an atmosphere of hierarchy and fear of having maternal deaths
26 27 28	436	and other severe complications at their facility.
29 30	437	Interventions that may reduce delay in access to adequate emergency obstetric care include
31 32 33	438	communication by mobile phones for advice regarding referral decisions and for feedback
34 35	439	after a referral decision has been made. Involvement of influential stakeholders to increase
36 37 38	440	women's compliance to referral is an additional intervention that may be considered.
39 40	441	Additionally, consistent use of a standardised management protocol at the different levels of
41 42 43	442	health facilities may reduce delay in access to emergency obstetric care.
44 45 46	443	This study highlights factors that may complicate timely and adequate referral of women in
40 47 48	444	need of emergency obstetric care. As this delay is an underlying cause of the high MMR in
49 50	445	rural Sierra Leone, these potential sources and causes of delay must be considered in efforts
51 52 53	446	to reduce maternal mortality.
54 55 56	447	LIST OF ABBREVIATIONS
57 58 59 60	448	MMR: Maternal Mortality Ratio;

2 3 4	449	BEmONC: Basic Emergency Obstetric and Neonatal Care;
5 6 7	450	CEmONC: Comprehensive Emergency Obstetric and Neonatal Care;
8 9 10 11	451	MCHP: Maternal and Child Health Post;
12 13 14	452	CHP: Community Health Post;
15 16 17	453	CHC: Community Health Centre;
18 19 20	454	PHU: Peripheral Health Unit;
21 22 23	455	CHO: Community Health Officer;
24 25 26	456	CHA: Community Health Assistant;
27 28 29	457	SECHN: State-Enrolled Community Health Nurse;
30 31 32	458	MCH-aid: Maternal and Child Health Aid;
33 34 35	459	NEMS: National Emergency Medical Service
30 37 38 30	460	DECLARATIONS
40 41 42	461	Ethics approval and consent to participate
43 44 45	462	The study proposal was endorsed by the Masanga Medical Research Unit Scientific Review
46 47	463	Committee. Ethical approval was obtained from the Sierra Leone Ethics and Scientific Review
48 49 50	464	Committee. Permission to conduct the study in Tonkolili District was obtained from the
50 51 52	465	District Health Management Team. Written informed consent was obtained from interview
53 54 55	466	participants.
56 57 58 59 60	467	Data availability

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2 3 4	468	The datasets used and/or analysed during the current study are available from the
5 6 7	469	corresponding author on reasonable request.
8 9 10	470	Competing Interests
11 12 13	471	All authors declare that they have no competing interests.
14 15 16	472	Funding
17 18 19	473	This research received no specific grant from any funding agency in the public, commercial
20 21 22	474	or not-for-profit sectors.
23 24 25	475	Author Contributions
26 27 28	476	RP carried out the field work, analysed the data and wrote the first draft of the paper. HM
29 30	477	and JvN conceived the study and contributed to phrasing the study question, data
31 32 33	478	interpretation and writing of the paper. AF, PSK and MPG contributed to data interpretation
34 35	479	and writing of the paper. TvdA oversaw the conduct of the study and contributed to data
36 37 38	480	interpretation and writing of the paper. All authors have contributed to the writing of, and
39 40	481	approved the final version of the paper.
41 42 43	482	Acknowledgements
44 45 46	483	The authors wish to acknowledge all the staff in health care facilities that participated in the
47 48 49	484	study.
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SUPPLEMENTARY FILE 1

1 2

COREQ checklist of reporting

3	SUPPLEMENTAR	Y FILE 1		
4 5 6	COREQ checklist	of reporting	g	
7 8	Торіс	Item No.	Guide Questions/Description	Reported on Page No.
9 10 11	Domain 1: Research team and reflexivity			
12	Personal characteristics	-		
13	Interviewer/facilitator	1	Which author/s conducted the interview or focus group?	8
14	Credentials	2	What were the researcher's credentials? E.g. PhD, MD	8
15 16	Occupation	3	What was their occupation at the time of the study?	8
17	Gender	4	Was the researcher male or female?	8
18	Experience and training	5	What experience or training did the researcher have?	8
19 20	Relationship with participants	C		
21	Relationship established	6	Was a relationship established prior to study commencement?	10
22	Participant knowledge of	7	What did the participants know about the researcher? e.g. personal	10
24 25	Interviewer characteristics	8	What characteristics were reported about the inter viewer/facilitator?	10
26	interviewer characteristics	Ū	e.g. Bias, assumptions, reasons and interests in the research topic	10
27	Domain 2: Study design			
28	Theoretical framework			
29 30 31 32	Methodological orientation and Theory	9	What methodological orientation was stated to underpin the study? e.g. grounded theory, discourse analysis, ethnography, phenomenology, content analysis	12
33	Participant selection			1
34 35	Sampling	10	How were participants selected? e.g. purposive, convenience, consecutive, snowball	9, 10
36 37 38	Method of approach	11	How were participants approached? e.g. face-to-face, telephone, mail, email	10
39	Sample size	12	How many participants were in the study?	10
40	Non-participation	13	How many people refused to participate or dropped out? Reasons?	10
41	Setting			
42 13	Setting of data collection	14	Where was the data collected? e.g. home, clinic, workplace	8, 10, 11
44 45	Presence of non-	15	Was anyone else present besides the participants and researchers?	11
46 47	Description of sample	16	What are the important characteristics of the sample? e.g. demographic	6, 10
48			data, date	
49	Data collection			1
50 51	Interview guide	17	Were questions, prompts, guides provided by the authors? Was it pilot tested?	11
52	Repeat interviews	18	Were repeat inter views carried out? If yes, how many?	11
53	Audio/visual recording	19	Did the research use audio or visual recording to collect the data?	11
54 55	Field notes	20	Were field notes made during and/or after the interview or focus group?	N/A
55 56	Duration	21	What was the duration of the inter views or focus group?	11
57	Data saturation	22	Was data saturation discussed?	N/A
58	Transcripts returned	23	Were transcripts returned to participants for comment and/or correction?	11
59 ^I	· · · · · · · · · · · · · · · · · · ·	1		1 -

Торіс	Item No.	Guide Questions/Description	Reporte	
			Page N	
Domain 3: analysis and				
findings				
Data analysis				
Number of data coders	24	How many data coders coded the data?	12	
Description of the coding	25	Did authors provide a description of the coding tree?	12	
tree				
Derivation of themes	26	Were themes identified in advance or derived from the data?	12	
Software	27	What software, if applicable, was used to manage the data?	12	
Participant checking	28	Did participants provide feedback on the findings?	11	
Reporting				
Quotations presented	29	Were participant quotations presented to illustrate the themes/findings?	13-19	
		Was each quotation identified? e.g. participant number		
Data and findings consistent	30	Was there consistency between the data presented and the findings?	24	
Clarity of major themes	31	Were major themes clearly presented in the findings?	13	
Clarity of minor themes	32	Is there a description of diverse cases or discussion of minor themes?	N/A	

Developed from: Tong A, Sainsbury P, Craig J. Consolidated criteria for reporting qualitative research (COREQ): a 32-item checklist for interviews and focus groups. *International Journal for Quality in Health Care*. 2007. Volume 19, Number 6: pp. 349 – 357

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SUPPLEMENTARY FILE 2

Signal functions of BEmONC and CEmONC

Basic Emergency Obstetric and Neonatal Care (BEmONC)

- 1. Parenteral treatment of infections (antibiotics)
- 2. Parenteral treatment of severe pre-eclampsia/eclampsia (e.g., MgSO4)
- 3. Treatment of PPH (e.g., uterotonics)
- 4. Manual vacuum aspiration of retained products of conception
- 5. Assisted vaginal delivery (e.g., vacuum-assisted delivery)
- 6. Manual removal of placenta
- 7. New-born resuscitation

Comprehensive Emergency Obstetric and Neonatal Care (CEmONC)

All components of BEmONC, plus

- 1. Surgical capability, including anaesthesia (e.g., Caesarean Section)
- 2. Blood transfusion

Interview Guide Obstetric Referral System Northern Tonkolili District

General

- 1. Describe the catchment area of this health facility (number of villages, terrain).
- 2. Describe this health facility (number and competency of staff, average number of births and referrals).
- 3. Describe the referral options of this health facility (nearest health facility, nearest CHC, nearest district hospital).

Authorisation

- 4. Which of the staff is allowed to decide to refer women to another facility?
- 5. Is there always staff present that is allowed to independently refer women?
- 6. Does the CHO or midwife in the CHC have to be contacted before referring a woman to another facility?

Referral Process

- 7. Describe the steps taken when referring a pregnant or post-partum women.
- 8. Describe how/if referral notes are used in this health facility.
- 9. Describe the benefit of referral notes.
- 10. Describe how/if feedback is received from the referral facility.
- 11. Describe how/if advice is obtained from other health workers before referral.
- 12. Describe how/if national guidelines are used for referring a woman.
- 13. Describe how/if maternity patients without an emergency indication are referred to a different health facility.

Accessibility

- 14. Describe the accessibility of Magburaka Government Hospital from here.
- 15. Describe the accessibility of Masanga Hospital from here.
- 16. If you were to refer 10 women to a hospital, how many of them do you think will actually arrive at the hospital?
- 17. Describe some reasons why referred women sometimes refuse referral.
- 18. Describe transportation options available for referral.

Ambulance Referrals

- 19. Describe the process of ordering an ambulance from Magburaka Government Hospital.
- 20. Describe the process of ordering an ambulance from Masanga Hospital.
- 21. How many times have you ordered an ambulance in the past month?
- 22. How long does it take for the ambulance to arrive at your health facility after you have ordered the ambulance? (from Magburaka/Masanga)
- 23. Which of the staff is allowed to order an ambulance?
- 24. Is there always staff present that is allowed to order an ambulance?

- 25. Does the CHO or midwife in the CHC have to be contacted before ordering an ambulance?
- 26. Is transferral by ambulance free for the woman?
- 27. Describe problems with the current ambulance referral system.
- 28. Describe recommendations for improving the ambulance referral system.

ANC/under 5

- 29. Describe the antenatal care in this health facility (frequency of ANC-days, number of women)
- 30. Describe how/if governmental Maternity Record Cards are used during ANC visits, delivery and postnatal checks.

Masanga Hospital

31. Describe recommendations for improving the health care at Masanga Hospital.

- 32. Is Masanga Hospital an official referral hospital in Tonkolili District?
- 33. Is healthcare free for pregnant and lactating women and children under 5 at Masanga Hospital?

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Original study protocol

Includes protocol regarding quantitative research which is not included in submitted article

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The current situation of maternal health in Tonkolili District and the perceptions of health workers at peripheral health units in the catchment area of Masanga Hospital concerning the current referral system of obstetric patients



Lead applicant

Ryan Proos, medical student Leiden University Medical Center, Masanga Hospital Sierra Leone

Correspondence address: e-mail: mjproos@gmail.com

Supervisor

Dr. T. van den Akker, Leiden University Medical Center, Netherlands (Gynaecology and Obstetrics)

Research team

Hanna Mathéron, MD Global Health and Tropical Medicine, Medical Officer Masanga Hospital

Background

Sierra Leone is one of the most dangerous countries for women to be pregnant and to give birth. According to the Sierra Leone Demographic Health Survey (2013)¹, Sierra Leone has a maternal mortality ratio (MMR) of 1,165 per 100,000 live births, the highest in the world and more than six times the global average. The UN Sustainable Development Goals (SDGs), adopted in 2015, aim for a reduction of the global maternal mortality ratio to less than 70 per 100,000 live births in 2030. In line with these SDGs, the Ministry of Health and Sanitation of Sierra Leone launched the Reproductive, Maternal, Newborn, Child and Adolescent Health (RMNCAH) Strategy in 2017, which aims for a reduction of preventable deaths of women, children and adolescents and ensuring their well-being². The target is to reduce the MMR of 1,165 per 100.000 live births to 650 per 100.000 live births by 2021. With launching this strategy, the government acknowledges the need for change, but there is still a long way to go to achieve this goal.

Masanga Hospital is situated in Tonkolili district, centrally located in the Northern Province of Sierra Leone. The catchment area of Masanga Hospital comprises of approximately 150,000 inhabitants and 15 peripheral health units (PHUs). Besides Masanga Hospital there are two other hospitals in Tonkolili district, Magburaka Hospital and Lion Heart Hospital in Yele.

Currently, there is no complete and trustworthy overview of maternal health in Tonkolili District. Therefore, a baseline assessment using the WHO Reproductive Health Indicators and the RMNCAH coverage targets is needed to work towards achieving the goal set by the Ministry.

It is hypothesized that the poor referral system is a major causative factor of the current poor maternal health situation in Tonkolili district. Perceptions of health workers at PHUs concerning the referral system of obstetric patients is necessary to identify areas of improvement.

Aims and Objectives

The aim of this research project is to do a retrospective, quantitative descriptive analysis of data collected during project to describe maternal health demography, geography, delivery and complication rates of Tonkolili district, especially in relationship to the catchment area of Masanga Hospital. Additionally, an assessment of health workers' perceptions of the current referral system of obstetric patients will be undertaken.

Research Questions

1. What is the current maternal health situation in Tonkolili District, Sierra Leone, in terms of WHO Reproductive Health Indicators and the RMNCAH coverage target matches?

The baseline assessment shall provide an overview of several WHO Reproductive Health Indicators and RMNCAH coverage targets in Tonkolili district over the period 2016-2018. Data collection will be done in the Peripheral Health Units (PHUs) and the district hospitals (Masanga Hospital, Magburaka Hospital and Lion Heart Hospital, Yele), in collaboration with the District Health Sister (DHS1), District Medical Officer (DMO) and the District Health Information System (DHIS).

The following data will be collected per health facility:

- Level of service provision (MCHP, CHP, CHC, District Hospital)
- Location in the catchment area
- Number of deliveries (spontaneous, assisted, CS)
- Number of live births
- Number of still births
- Number of maternal deaths
- Number of complications (antepartum haemorrhage (APH), postpartum haemorrhage (PPH), pregnancy-induced hypertension (PIH), pre-eclampsia, eclampsia, obstructed labour, post-partum sepsis)
- Number of referrals, reasons for referral
- Number of antenatal care visits
- Number of intermittent preventive treatment (IPT) during ANC

2. What are the perspectives of health workers at peripheral health units in the catchment area of Masanga Hospital concerning the current referral system of women with obstetric complications?

Perspectives of health workers working in PHUs in the catchment area of Masanga Hospital will be explored in several interviews.

The discussions will focus on the following topics:

- Communication between health centres
- Determinants of referral decision
- Actions taken before and during referral
- Effect of antenatal care on referrals
- Referral constraints

Study Design

This research project is a retrospective, quantitative descriptive analysis. Additionally, a qualitative analysis will be done using interviews. Data collection will be done in collaboration with the District Health Sister (DHS1), District Medical Officer (DMO) and the DHIS.

Patients/Participants

All women that visited a PHU or hospital in Tonkolili district for antenatal care visits, health facility deliveries or pregnancy or delivery complications during the period 2016-2018 will be included in the baseline overview. Selection bias must be considered as not all pregnant women visit a health facility. Reproductive health data is available through the centralized District Health Information System. Access to the District Health Information System will be officially requested. Data is available of approximately 12,000 facility-based live births in the district per year and therefore roughly 36,000 facility-based live births in the specified period. However, it is estimated that 46% of pregnant women in Sierra Leone give birth at home². There is therefore no data available of these homebirths, but these women might have visited a health facility for antenatal care or for post-partum complications.

Health workers at PHUs in the catchment area of Masanga Hospital will be asked to participate in the interviews focusing on their perceptions of the current obstetric referral system. Written informed consent will be obtained using the attached form. An interview guide will be used.

Statistical Methods

After centralization of the data in SPSS, a baseline overview of the variables named for research question 1 will be made for each PHU and hospital and for Tonkolili district. The qualitative data of the group discussions will be analyzed using systematic text condensation.

Results

The baseline assessment shall provide an overview of several WHO Reproductive Health Indicators and the RMNCAH coverage targets in Tonkolili district over the period 2016-2018. Regional differences in maternal health outcomes in Tonkolili District will be identified. These regional differences will be linked to the accessibility of emergency obstetric care in those regions. This baseline overview will provide a basis for further research and implementation of new interventions, aimed at reaching the goal set by the Ministry.

The perceptions of health workers at PHUs in the catchment area of Masanga Hospital will illuminate L em of .vels of hes the health wor. n a descriptive article. constraints of the current referral system of obstetric patients. Additionally, the quality of communication between different levels of health facilities concerning referrals will be described. Possible solutions as suggested by the health workers will be described.

The results will be summarized in a descriptive article.

Ethical Considerations

Ethical Approval

Ethical approval will be obtained from the Sierra Leone Ethics and Scientific Review Committee.

Selection of study population and recruitment of research participants

All patients registered at PHUs and hospitals in Tonkolili District for antenatal care visits, deliveries, and pregnancy complications in the period 2016-2018 are selected and included. Patients are free to refuse participation. This refutation must be apparent from the District Health Information System.

Informed consent process

Receiving medical care at a PHU or hospital implicitly indicates given consent to use of registered data for medical research through the District Health Information System. Written informed consent will be obtained from participants in the group discussions. The informed consent form is included in this application.

Risks of participation

Participation in the baseline overview exposes patients to minimal chance of harm. Participation does not include any clinical intervention. Confidentiality and anonymity of patient data is maximally safeguarded.

Participation in the group discussions exposes the health workers to minimal chance of harm. Confidentiality and anonymity of participant data is maximally safeguarded.

Inducements, financial benefits, and financial costs

Participation is not rewarded with any financial, material or healthcare service benefit.

Protection of research participants' privacy and confidentiality

Research participant data is centrally stored in the District Health Information System. This digital system is password protected. To ensure confidentiality, this data is only accessible by the head researcher and supervisors.

Group discussion participant data is stored on password-protected computers in Masanga Hospital. Only the head researcher has access to the names, functions and health facilities of the participants. Reported data is anonymous and cannot be traced back to the specific health facility.

Independence of research and conflicts of interests

Research is conducted on behalf of Masanga Hospital and in collaboration with Tonkolili District. No other parties are involved. The researchers certify that they have no conflicts of interests.

Schedule

1-8 weeks:

- Contact with DMO and DHS, attending the district meetings and the district maternal mortality meetings in Magburaka
- Application for funding
- Application for District Health Information System access

8-20 weeks

- Analysation of DHIS data
- Group discussions

20-28 weeks

- Analysation and illustration of DHIS data
- Writing descriptive article

GANTT chart

	W1-4	W5-8	W9-12	W13-16	W17-20	W20-24	W24-28
DMO/DHS contact			~				
Initiation visits in							
PHUs/Hospitals							
DHIS access							
application			4				
Group discussions							
Data analysis							
Writing				4			
Submitting article							



References

- 1. Sierra Leone Demographic and Health Survey 2013 via the DHS Program STATcompiler. (<u>http://www.statcompiler.com</u>).
- 2. Sierra Leone Reproductive, Maternal, Newborn, Child and Adolescent Health (RMNCAH) strategy 2017-2021, Ministry of Health Sierra Leone

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MASANGA RESEARCH

Participant Informed Consent Form

Study title: Perceptions of health workers at peripheral health units in the catchment area of Masanga Hospital concerning the current referral system of obstetric patients

Researcher: Ryan Proos E-mail: ryanproos9@gmail.com

Institute: Masanga Medical Research Unit, Sierra Leone

	Add your initials if
	you agree
I agree that this interview will be recorded and that the data will be analyzed and	
reported anonymously.	
I understand that I can withdraw from this study at any time, without given reason and	
all my data will be deleted.	
I understand I can contact the Sierra Leone Ethics and Scientific Review Committee at	
any time (contact details below*).	

Name of participant:	
Signature:	Date:
Name of person taking consent:	
Signature:	Date:

* Contact details Sierra Leone Ethics and Scientific Review Committee:

E-mail: efoday@health.gov.sl

** this form needs to be signed and dated in presence of the patient. If preferred, the patient will receive a copy of this form. The forms will be stored in a secure location, ensuring patients privacy.