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# **BMJ Open**

#### ED Falls: A Longitudinal Analysis of ED Revisits and Hospitalizations between Patients who Fell and Patients who did not Fall

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#### ED Falls: A Longitudinal Analysis of ED Revisits and Hospitalizations between Patients who Fell and Patients who did not Fall

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Keywords: Geriatrics, Older Adult Falls, Injury Prevention, ED Revisits Word Count: 1817 References: 15 Meetings: None Funding: none Conflict of Interest: none Acknowledgements: none

#### Abstract:

Objective: Older adult falls are a national issue comprising 3 million emergency department (ED) visits and significant mortality. We sought to understand whether ED revisits and hospitalizations for fallers differed from non-fall patients using a longitudinal, statewide cohort of patients.

Design: A 5 year, longitudinal observational analysis using the non-public Patient Discharge Database and the Emergency Department Data from the California Office of Statewide Health Planning and Development to assess outcomes for fallers and non-fall patients, defined as anyone who did not carry a fall diagnosis during this time period.

Setting: 2005-2010 non-public Patient Discharge Database and the Emergency Department Data from the state of California.

Participants: Older adults 65 years and older

Main Outcome Measure: ED revisits and hospitalizations for fallers and non-fall patients,

Results: Patients who came to the ED with an index visit of a fall were more likely to be discharged home after their fall (61.1% vs 45.0%, p <0.001). Patients who came to the ED for non-fall related visit were more likely to be hospitalized (52.6% vs 35.7%, p<0.001). Fallers who were discharged or hospitalized after their index visit were more likely to come back to the ED for a fall related complaint compared to non-fallers (median time: 151 days vs 352 days, p<0.001 and hospitalized: 45 days vs 119 days, p<0.01) and fallers who were initially discharged also returned to the ED sooner for a non-fall related complaint (median time: 325 days vs 352 days, p<0.001).

Conclusion: Fall patients tend to be discharged home more often, but returned to the ED sooner compared to their non-fall counterparts. Given a faller's rates of ED revisits and hospitalizations, EDs should consider a fall as a poor prognostic indicator for future healthcare utilization.

#### Strengths and Limitations of This Study:

- Our study suggests that older adults who fall tend to be discharged home more often
- All fallers who were discharged or hospitalized returned to the ED sooner compared to their non-fall counterparts for both a fall related and non-fall related complaint.
- The use of administrative data limits our understanding of other associated variables such as comorbidities.
- The nature of the data does not allow us to understand the reason for fall, which is important for fall prevention purposes.

# Background

Falls from older adults comprise nearly 3 million ED visits annually and account for 10% of all ED visits among those greater than age 65.<sup>1,2</sup> Mortality from falls increased by 110% from 1999-2016<sup>3</sup> and will rise as the population ages.

Adverse event rates for older patients who present to the ED after a fall is high. Over 70% of these patients are discharged after their ED visit, with the remaining 30% admitted to the hospital.<sup>1</sup> Approximately, 36%-44% of patients who come to the ED after experiencing a fall experience a subsequent adverse event, including recurrent falls, ED visits or death within one year.<sup>4,5</sup> However, it is not clear whether these adverse event rates are higher than those of non-fall patients. Identifying such patients can help risk stratify when deciding disposition, referring to outpatient services and recommending enrollment into community based falls-prevention programs. To date, most studies on ED fall patients listing high adverse event rates are limited to one or few sites, are cross sectional or have no controls.<sup>2,5</sup>

We sought to explore whether the rate of ED revisits and hospitalizations among older fall patients differ significantly from non-fall patients in a large statewide cohort of ED patients that could be tracked longitudinally, with a specific interest on revisits for fall-related complaints. Targeting at-risk older adults, particularly those discharged to home or home health care, is an underexplored, cost-effective mechanism with potential to reduce ED revisits and improve patient care.

#### Methods

#### Data Sources

To determine the rate of ED revisits and hospitalizations for elderly patients who present to the ED after a fall, we used de-identified, patient-level data for the 2005-2010 non-public Patient Discharge Database (PDD) and the Emergency Department Data (EDD) from the California Office of Statewide Health Planning and Development (OSHPD). The PDD captures demographic and clinical data for all admissions to non-Department of Veterans Affairs hospitals in California. The EDD provides data on all ED encounters, including those patients discharged from the ED. We also used hospital utilization data to capture hospital characteristics.

We included all adult patients aged 65 and older that were seen in the ED. Fall patients were defined as patients who came for a fall-related complaint between 1/1/2005-12/31/2010 with the International Classification of Disease (ICD) E codes E880.x-E888.x included anywhere in their visit. (See Figure 1) Non-fall patients were defined as all older patients seen in the ED between 1/1/2005-12/31/2010 with any other diagnosis. The censor time for death was 12/31/2011. The decision to use patient-level in lieu of visit-level data stemmed from the need to look at both patient characteristics and longitudinal outcomes on disposition and revisits. As such, we obtained data including age, sex, ethnicity/race, payer type, and whether the visit was at a teaching vs. nonteaching hospital. We calculated income based on zipcode as a proxy<sup>6</sup> and then examined disposition of the patient from the ED or after hospitalization (ED death, or

discharge from ED or hospital to an acute care facility, skilled nursing home, or home with visiting nurse). This study was exempted by our institutional review boards.

#### Patient and Public Involvement

This research was done without patient or public involvement. Patients were not invited to comment on the study design and were not consulted to develop patient relevant outcomes or interpret the results. Patients were not invited to contribute to the writing or editing of this document for readability or accuracy.

#### <u>Outcomes</u>

We examined the frequency of various dispositions from the ED between geriatric fall and nonfall patients. Our primary outcome was disposition and the median time to ED revisits for a fall between fall and non-fall patients. Our secondary outcome was the median time to an ED revisit for any reason between fall and non-fall patients. We also examined the frequency of at least one ED revisit for a fall as well as an ED revisit for any reason at 7 and 30 days, 6 months and 1 year among fall and non-fall patients. We also performed a Kaplan-Meyer analysis for time to revisit for any reason, controlling for age, sex, race, insurance, teaching and median income. For the sake of brevity, we termed those older adult patients who presented for a fallrelated complaint as "fallers" and those who did not fall as a "non-fallers."

#### Statistical Analysis

We calculated differences in demographics using Wilcoxon, t or  $\chi^2$  test where appropriate. We calculated Kaplan-Meier survival curves for time to ED revisit controlling for age, sex, race, insurance, teaching hospital, and median income.

All analyses were completed using SAS 9.4.

#### Results

The fall cohort predominantly consisted of females who were 79.5 years compared to the nonfall cohort who were primarily men with an average age of 74.7 years (p<0.001). Fallers were also predominantly non-Hispanic white (71.3% vs 63.1%, p<0.001), seen primarily in nonteaching hospitals (92.5% vs 90.9%, p<0.001) with Medicare as their primary insurance (87% vs 80.9%, p<0.001). While non-fallers also predominantly used Medicare as their primary payer, they notably had a higher mix of non-Medicare primary payers, including commercial insurers (private), Medicaid and self-pay, compared to fallers. (Table 1). Overall, fallers made approximately 4.78 visits per patient while non-fallers made 3.30 visits per patient. (Table 2)

Patients who came to the ED with an index visit of a fall were more likely to be discharged home after their fall (61.1% vs 45.0%, p<0.001) or sent directly to a skilled nursing facility (SNF) or an acute care facility from the ED (1.5% vs 0.3%, 0.2% vs 0.04%, respectively, p<0.001). Patients who came to the ED for non-fall related visit were more likely to be hospitalized (52.6% vs 35.7%); however, fallers who were admitted were more often transferred to a SNF or an acute care facility post-hospitalization compared to non-fallers (47.5% vs 13.9% and 8.5% vs 4.9%, respectively, p<0.001) whereas non-fallers were more often discharged home posthospitalization (61.3% vs 23.3%, p<0.001). (Table 3)

Fallers who were discharged after their index visit were more likely to come back to the ED for both a fall and non-fall related complaint compared to non-fallers (median time 151 days and 325 days vs 352 days, p<0.001). (Table 3)

Fallers who were initially hospitalized returned to the ED sooner for another fall related complaint compared to non-fall patients (45 days vs 119 days, p<0.001) but non-fallers returned earlier to the ED for any reason (excluding falls) compared to fallers (119 days vs 242 days, p<0.001). (see Table 3) Furthermore, based on a Kaplan-Meyer analysis, non-fallers had a lower probability of returning to the ED compared to fallers at each time point after adjusting for age, sex, race, insurance, teaching, median income. (Figure 2 and Table 4).

It is worth noting that we could not calculate the rate of ED return amongst non-fallers for a fall related visit as this would have placed them into the fallers cohort.

#### Discussion

Older adults who present to the emergency department with a fall between 2005-2010 were more likely to be older, female, non-Hispanic white, covered by Medicare and primarily present to community facilities as compared to those patients who presented to the ED for a non-fall related complaint. Furthermore, fall patients were discharged home more often, but returned to the ED sooner for both a fall and non-fall related complaint compared to their non-fall counterparts (p<0.001). This study is unique in that it is the first statewide, longitudinal study examining disposition and ED revisits of patients who came to the ED for a fall and compared fallers to all other older adults using a statewide database of approximately 3.8 million patients, but similar outcomes to a retrospective cohort study looking at fall related 30-day readmissions using the Hospital Cost and Utilization Project data<sup>7</sup>.

This database shows that fallers appear to be a high-risk patient population who return to the ED much sooner than patients who did not fall for a second fall related complaint regardless of whether they were admitted or discharged from their index ED visit. Often, fallers may minimize their reason for falling and are reluctant to engage in fall prevention efforts on their own.<sup>8</sup> Also, most EDs do not do a comprehensive fall evaluation, thus missing many opportunities to address the risk factor that lead to the fall or prevent future falls.<sup>9, 10</sup> Although this study does not delineate the underlying reason for a fall, our findings suggest that this patient population warrants close evaluation, workup, and follow-up to assess their reasons for falling and potential intervention.

Among hospitalized patients, non-fallers returned to the ED sooner than fallers for any other non-fall related reason (P<0.001). This may be due to a sicker case-mix of non-fall patients reflected through the higher percentage of Medicaid amongst non-fallers or the higher

percentage of non-fallers being treated at teaching hospitals containing tertiary services,<sup>11, 12</sup> or that more non-fallers were discharged home without services post-hospitalization. However, this difference warrants further investigation.

#### Limitations

There were many limitations to this study including those inherent to the retrospective nature of this analysis. First, it is possible that what we classified as an index visit for a fall may not have been the actual first visit for a fall. Although some index visits for a fall may have occurred outside the state of California, we expect this number to be minimal. Second, because we are using administrative data, we have limited understanding of other important variables including functional status, comorbidities and relative frailty of patients, which could contribute to the observed result. Third, as with any administrative dataset, there are potential errors due to miscoding, data linkage and missing data. However, these would not bias our study unless these errors were distributed unevenly across both categories of patients, which would be unlikely. Furthermore, while the dataset is statewide, results cannot be generalized across the entire country or other healthcare systems. Last, we do not have a reason for the fall, which is often important for fall prevention and may provide a better sense as to why patients who presented initially for a fall-related complaint are returning to the ED sooner than patients who did not fall.

#### Conclusion

This epidemiological study suggests that patients who fall are a sick patient population who are more likely to return to the ED for a second fall regardless of whether they are discharged or admitted and are more likely to return for any reason if discharged. Given the increasing rates of falls over time,<sup>2</sup> providers should recognize the significance of a fall as a risk factor for future healthcare utilization. Multiple studies have shown the benefit of multifactorial falls prevention interventions to decrease the rates of recurrent falls<sup>13,14</sup> with a recent Cochrane review underscoring the benefit of exercise and physical therapy based programs as a particularly beneficial modality to decrease the rate of injurious falls.<sup>15</sup> Further studies should look at the cause of falls as an indicator for outcomes and EDs should consider urgently referring discharged fall patients to physical therapy or exercise program and evidence-based falls prevention programs.

#### **Contribution Statement**

KNS and SL conceived the initial study. FL undertook the statistical analysis. KNS and SL drafted the manuscript and FL, HE and ET contributed substantially to its revision. KNS takes responsibility for the paper as a whole.

#### **Data Sharing:**

Data may be obtained from a third party but are not publicly available. The data are not publicly available but can be obtained through written request to the California Office of Statewide Health Planning and Development

	Fall (N=997524)	NonFall (N=2805508)	P value
Age	79.5 ± 8.3	74.7 ± 7.9	<0.001
Sex			
Male	336060 (33.7%)	1298346 (46.3%)	<0.001
Female	661152 (66.3%)	1506065 (53.7%)	
Other	312 (0.0%)	1097 (0.0%)	
Ethnicity/race			
Non-Hispanic White	710852 (71.3%)	1770408 (63.1%)	<0.001
Non-Hispanic Black	38699 (3.9%)	167215 (6.0%)	
Hispanic	133594 (13.4%)	433837 (15.5%)	
Asian	26611 (2.7%)	145804 (5.2%)	
Other	68661 (6.9%)	220746 (7.9%)	
Unknown	19107 (1.9%)	67498 (2.4%)	
Median income	67290 ± 24323	66563 ± 24330	<0.001
Payer type (Primary Insurance)			
Self pay	14471 (1.5%)	57962 (2.1%)	<0.001
Medicare	867863 (87.0%)	2269251 (80.9%)	
Medicaid	19220 (1.9%)	106590 (3.8%)	
Commercial Insurance and Commercial HMO	82435 (8.3%)	331897 (11.8%)	
Other	13301 (1.3%)	39099 (1.4%)	
Missing	30 (0.0%)	170 (0.0%)	
Teaching Hospital			
No	922366 (92.5%)	2550886 (90.9%)	<0.001
Yes	75158 (7.5%)	254622 (9.1%)	

Table 1. Demographics of elderly	v patients who present to I	D after fall
Table 1. Demographics of eldern	y patients who present to i	

#### 

# Table 2. Fall versus Non-Fall Generalized Visit Patterns

Fall patients   997524   4769880	Non fall patients 2805508	Total
		3803032
	9245450	14015330
4.78 ± 5.18 <sup>(1)</sup>	3.30 ± 3.58	3.69 ± 4.12
$3(2-6)^{(2)}$	2 (1 -4)	2 (1 -5)
		0.40 ± 0.86
	NA	0 (0 -1)
		, , , , , , , , , , , , , , , , , , ,
	1.53±1.05 1(1-2) 291025 (29.17%)	1 (1 -2) NA 291025

Table 3. Disposition after initial ED visit for all patients, Time to ED Revisit for a Fall and Time
to ED Revisit for Any Reason

	Frequency of Disposition Type after Initial ED Visit			Median Time to ED Revisit amongst Fall patients				Median Time to ED revisit for Non-Fall Patients		
	Index Visit: Fall	Index Visit:			Reason for ED Revisit: Fall		for ED : Any on	Reason for ED Revisit: Any Reason		
	n	days	P value	n	days	n	days	n	days	P value
Discharge home from ED	609822 (61.13%)	1263272 (45.03%)	<0.001	437197	151.0	191925	325.0	524237	352.0	<0.002
Discharge With home health service from ED	1519 (0.15%)	1453 (0.05%)		993	58.0	432	114.0	623	83.0	
Directly to Skilled Nursing Facility from ED	15387 (1.54%)	9081 (0.32%)		11456	71.0	5247	137.0	5087	111.0	
Directly to Acute Care (IRF, LTCH) from ED	2007 (0.20%)	1166 (0.04%)		1509	59.0	771	96.0	654	97.0	
ED death	521 (0.05%)	12208 (0.44%)		0		0		0		
Other	11819 (1.18%)	43910 (1.57%)		9321	30.0	5403	9.0	24444	47.0	
Blank	101 (0.01%)	220 (0.01%)		76	68.5	41	67.0	98	1.0	
Hospitalization after ED visit	356348 (35.72%)	1474198 (52.55%)	<0.001	269385	45.0	109751	242.0	956264	119.0	<0.00
Then to Acute Care (IRF, LTCH) after hospitalization	30363 (8.52%)	72214 (4.90%)		28514	5.0	12452	69.0	64385	4.0	
Then to SNF after hospitalization	169084 (47.45%)	204991 (13.91%)		134491	40.0	53465	246.0	150005	35.0	
Then to residential care after hospitalization	4181 (1.17%)	11056 (0.75%)		3338	64.0	1645	137.0	7950	86.0	

	Frequency of Disposition Type after Initial ED Visit			Median Time to ED Revisit amongst Fall patients			Median Time to ED revisit for Non-Fall Patients			
	Index Visit: Fall	Index Visit: Nonfall		Reason for ED Revisit: Fall		Reason for ED Revisit: Any Reason		Reason for ED Revisit: Any Reason		
	n	days	P value	n	days	n	days	n	days	P value
Discharge home after hospitalization	83178 (23.34%)	903245 (61.27%)		61352	119.0	25276	313.0	594246	192.0	
Discharge home with health services after hospitalization	47871 (13.43%)	200618 (13.61%)		35425	99.0	14819	272.0	129308	148.0	
Invalid/blank	64 (0.02%)	178 (0.01%)		41	9.0	17	67.0	91	7.0	
Other	3551 (1.00%)	16484 (1.12%)		2556	17.0	1207	85.0	10279	44.0	

#### \*Any Reason excludes any visit pertaining to a fall

# Table 4: Time to ED revisit, fall vs. nonfallers, adjusted for age, sex, race, insurance, teaching, median income.

Days	Fall	Nonfall
7	0.88	0.94
30	0.78	0.87
182	0.57	0.74
365	0.45	0.66
1826	0.18	0.38 p=0.00

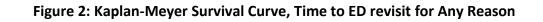
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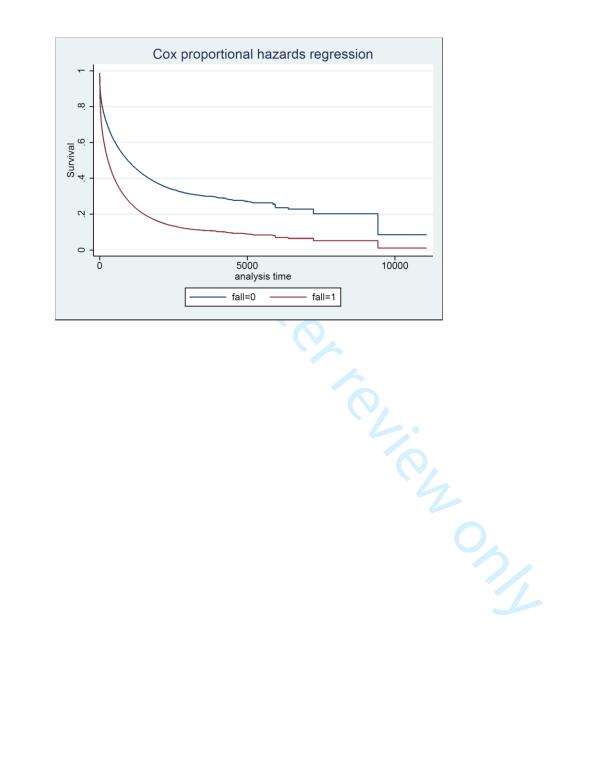
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#### Figure 1: Diagnostic E-Codes

- <u>E880</u> Accidental fall on or from stairs or steps
- E881 Accidental fall on or from ladders or scaffolding
- E882 Accidental fall from or out of building or other structure
- E883 Accidental fall into hole or other opening in surface
- E884 Other accidental falls from one level to another
- <u>E885</u> Accidental fall on same level from slipping tripping or stumbling
- <u>E886</u> Fall on same level from collision, pushing, or shoving, by or with other person
- E887 Fracture, cause unspecified
- E888 Other and unspecified fall

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# STROBE Statement—Checklist of items that should be included in reports of cohort studies

	Item No	Recommendation	Pag No
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the	
		abstract	
		(b) Provide in the abstract an informative and balanced summary of what was	2
		done and what was found	
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being	2
		reported	
Objectives	3	State specific objectives, including any prespecified hypotheses	2
Methods			
Study design	4	Present key elements of study design early in the paper	2, 3
Setting	5	Describe the setting, locations, and relevant dates, including periods of	2, 3
		recruitment, exposure, follow-up, and data collection	
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of	2, 3
		participants. Describe methods of follow-up	
		(b) For matched studies, give matching criteria and number of exposed and	
		unexposed	
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and	2, 3
		effect modifiers. Give diagnostic criteria, if applicable	
Data sources/	8*	For each variable of interest, give sources of data and details of methods of	2, 3
measurement		assessment (measurement). Describe comparability of assessment methods if	
		there is more than one group	
Bias	9	Describe any efforts to address potential sources of bias	2, 3
Study size	10	Explain how the study size was arrived at	2, 3
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable,	2, 3
		describe which groupings were chosen and why	
Statistical methods	12	(a) Describe all statistical methods, including those used to control for	2, 3
		confounding	
		(b) Describe any methods used to examine subgroups and interactions	
		(c) Explain how missing data were addressed	
		(d) If applicable, explain how loss to follow-up was addressed	
		(e) Describe any sensitivity analyses	
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially	3
		eligible, examined for eligibility, confirmed eligible, included in the study,	
		completing follow-up, and analysed	
		(b) Give reasons for non-participation at each stage	
		(c) Consider use of a flow diagram	
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social)	3, 6
		and information on exposures and potential confounders	
		(b) Indicate number of participants with missing data for each variable of interest	
		(c) Summarise follow-up time (eg, average and total amount)	
Outcome data	15*	Report numbers of outcome events or summary measures over time	3,6

Main results	16	( <i>a</i> ) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	3, 6, 7, 8
		(b) Report category boundaries when continuous variables were categorized	
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	3, 6, 7, 8
Discussion			
Key results	18	Summarise key results with reference to study objectives	4
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision.	4
		Discuss both direction and magnitude of any potential bias	
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations,	4
		multiplicity of analyses, results from similar studies, and other relevant evidence	
Generalisability	21	Discuss the generalisability (external validity) of the study results	4, 5
Other informati	on		
Funding	22	Give the source of funding and the role of the funders for the present study and, if	5
i ununig			

\*Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine.org/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at http://www.strobe-statement.org.

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#### Emergency Department Falls: A Longitudinal Analysis of Revisits and Hospitalizations between Patients who Fell and Patients who did not Fall

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#### Emergency Department Falls: A Longitudinal Analysis of Revisits and Hospitalizations between Patients who Fell and Patients who did not Fall

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#### Abstract:

Objective: Older adult falls are a national issue comprising 3 million emergency department (ED) visits and significant mortality. We sought to understand whether ED revisits and hospitalizations for fallers differed from non-fall patients through a secondary analysis of a longitudinal, statewide cohort of patients.

Design: We performed a secondary analysis using the non-public Patient Discharge Database and the Emergency Department Data from the California Office of Statewide Health Planning and Development. This is a five-year, longitudinal observational dataset which was used to assess outcomes for fallers and non-fall patients, defined as anyone who did not carry a fall diagnosis during this time period.

Setting: 2005-2010 non-public Patient Discharge Database and the Emergency Department Data from the state of California.

Participants: Older adults 65 years and older

Main Outcome Measure: ED revisits and hospitalizations for fallers and non-fall patients

Results: Patients who came to the ED with an index visit of a fall were more likely to be discharged home after their fall (61.1% vs 45.0%, p <0.001). Fallers who were discharged or hospitalized after their index visit were more likely to come back to the ED for a fall related complaint compared to non-fallers (median time: 151 days vs 352 days, p<0.001 and hospitalized: 45 days vs 119 days, p<0.01) and fallers who were initially discharged also returned to the ED sooner for a non-fall related complaint (median time: 325 days vs 352 days, p<0.001).

Conclusion: Fall patients tend to be discharged home more often after their index visit, but returned to the ED sooner compared to their non-fall counterparts. Given a faller's rates of ED revisits and hospitalizations, EDs should consider a fall as a poor prognostic indicator for future healthcare utilization.

#### Strengths and Limitations of This Study:

- This is the first statewide, longitudinal secondary data analysis examining disposition and ED revisits of patients who came to the ED for a fall and compared fallers to all other older adults using a statewide database of approximately 3.8 million patients
- The use of administrative data limits our understanding of other associated variables such as comorbidities and true identification of a patient's index visit for a fall.
- The nature of the data does not allow us to understand the reason for fall, which is important for fall prevention purposes.

#### Background

Falls from older adults comprise nearly 3 million ED visits annually and account for 10% of all ED visits among those greater than age 65.<sup>1,2</sup> Mortality from falls increased by 110% from 1999-2016<sup>3</sup> and will rise as the population ages.

Adverse event rates for older patients who present to the ED after a fall is high. Over 70% of these patients are discharged after their ED visit, with the remaining 30% admitted to the hospital.<sup>1</sup> Approximately, 36%-44% of patients who come to the ED after experiencing a fall experience a subsequent adverse event, including recurrent falls, ED visits or death within one year.<sup>4,5</sup> Previous community based falls-prevention have helped prevent ED use and future hospitalizations. For instance, Mikolaizak et al found that older fallers who adhered to a paramedic initiated assessment and intervention had fewer falls and fall-related ED presentations at 6 months.<sup>6</sup> The PROFET trial showed that a multifactorial intervention for ED falls patients decreased recurrent falls and the odds of hospital admission at 12 months.<sup>7</sup> However, it is not clear whether these adverse event rates are higher than those of non-fall patients. Identifying such patients can help risk stratify when deciding disposition, referring to outpatient services and recommending enrollment into community based falls-prevention programs. To date, most studies on ED fall patients listing high adverse event rates are limited to one or few sites, are cross sectional or have no controls.<sup>2,5</sup>

We sought to explore whether the rate of ED revisits and hospitalizations among older fall patients differ significantly from non-fall patients in a large statewide cohort of ED patients that could be tracked longitudinally, with a specific interest on revisits for fall-related complaints. We hypothesized that fallers would revisit the ED and have more hospitalizations than their non-fall counterparts. Targeting at-risk older adults, particularly those discharged to home or home health care through community-based interventions or non-pharmacological clinical trials, is an underexplored, cost-effective mechanism with potential to reduce ED revisits and improve patient care.

#### Methods

#### Data Sources

To determine the rate of ED revisits and hospitalizations for elderly patients who present to the ED after a fall, we used de-identified, patient-level data for the 2005-2010 non-public Patient Discharge Database (PDD) and the Emergency Department Data (EDD) from the California Office of Statewide Health Planning and Development (OSHPD). The PDD captures demographic and clinical data for all admissions to non-Department of Veterans Affairs hospitals in California. The EDD provides data on all ED encounters, including those patients discharged from the ED. We also used hospital utilization data to capture hospital characteristics.

We included all adult patients aged 65 and older that were seen in the ED. Fall patients were defined as patients who came for a fall-related complaint between 1/1/2005-12/31/2010 with the International Classification of Disease (ICD) E codes E880.x-E888.x included anywhere in their visit. (See Figure 1) Non-fall patients were defined as all older patients seen in the ED between

1/1/2005-12/31/2010 with any other diagnosis. The censor time for death was 12/31/2011. More specifically, if a patient had non-fall visit before the fall visit for those aged>65, that specific non-fall visit was not counted. However, if he/she had a non-fall visit after a fall visit, that was counted. For patients who never had a fall visit, all of their non-fall visits were counted.

The decision to use patient-level in lieu of visit-level data stemmed from the need to look at both patient characteristics and longitudinal outcomes on disposition and revisits. As such, we obtained data including age, sex, ethnicity/race, payer type, and whether the visit was at a teaching vs. nonteaching hospital. We calculated income based on zipcode as a proxy<sup>8</sup> and then examined disposition of the patient from the ED or after hospitalization (ED death, or discharge from ED or hospital to an acute care facility, skilled nursing home, or home with visiting nurse). This study used de-identified data but was approved by the institutional review board.

#### Patient and Public Involvement

This research was done without patient or public involvement. Patients were not invited to comment on the study design and were not consulted to develop patient relevant outcomes or interpret the results. Patients were not invited to contribute to the writing or editing of this document for readability or accuracy.

#### <u>Outcomes</u>

We examined the frequency of various dispositions (e.g. where the patient was discharged to) from the ED between geriatric fall and non-fall patients. Our primary outcome was disposition and the median time to ED revisits for a fall between fall and non-fall patients. Our secondary outcome was the median time to an ED revisit for any reason between fall and non-fall patients. We also examined the frequency of at least one ED revisit for a fall as well as an ED revisit for any reason at 7 and 30 days, 6 months and 1 year among fall and non-fall patients. We also performed a Kaplan-Meyer analysis for time to revisit for any reason, controlling for age, sex, race, insurance, teaching and median income. For the sake of brevity, we termed those older adult patients who presented for a fall-related complaint as "fallers" and those who did not fall as a "non-fallers."

### Statistical Analysis

We calculated differences in demographics using Wilcoxon, t or  $\chi 2$  test where appropriate. We tested for differences of Frequency of Disposition Type after Initial ED Visit between fall and non-fall patients using  $\mathbb{D}^2$  test. To access the median times to the ED revisits, we used a Cox model with a type 3 test of the effect of the 8-way classifications. To access survival rate to ED revisit, we fit a Cox model for the association of fall vs. non-fall with time to each event, adjusting for age, sex, race, insurance, teaching hospital, and median income. All analyses were completed using SAS 9.4.

### Results

The fall cohort predominantly consisted of females who were 79.5 years compared to the non-fall cohort who were primarily men with an average age of 74.7 years (p<0.001). Fallers were also predominantly non-Hispanic white (71.3% vs 63.1%, p<0.001), seen primarily in non-teaching

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hospitals (92.5% vs 90.9%, p<0.001) with Medicare as their primary insurance (87% vs 80.9%, p<0.001). While non-fallers also predominantly used Medicare as their primary payer, they notably had a higher mix of non-Medicare primary payers, including commercial insurers (private), Medicaid and self-pay, compared to fallers. (Table 1). Overall, fallers had a total of 4.76 million visits between 2005-2011 orapproximately 4.78 visits per patient while non-fallers made 9.24 million visits in this time span or approximately 3.30 visits per patient). (Table 2a) and 2b)

Patients who came to the ED with an index visit of a fall were more likely to be discharged home after their fall (61.1% vs 45.0%, p<0.001) or sent directly to a skilled nursing facility (SNF) or an acute care facility from the ED (1.5% vs 0.3%, 0.2% vs 0.04%, respectively, p<0.001). Patients who came to the ED for non-fall related visit were more likely to be hospitalized (52.6% vs 35.7%); however, fallers who were admitted were more often transferred to a SNF or an acute care facility post-hospitalization compared to non-fallers (47.5% vs 13.9% and 8.5% vs 4.9%, respectively, p<0.001) whereas non-fallers were more often discharged home post-hospitalization (61.3% vs 23.3%, p<0.001). (Table 3)

Fallers who were discharged after their index visit were more likely to come back to the ED for both a fall and non-fall related complaint compared to non-fallers (median time 151 days and 325 days vs 352 days, p<0.001). (Table 3)

Fallers who were initially hospitalized returned to the ED sooner for another fall related complaint compared to non-fall patients (45 days vs 119 days, p<0.001) but non-fallers returned earlier to the ED for any reason (excluding falls) compared to fallers (119 days vs 242 days, p<0.001). (see Table 3) Furthermore, based on a Kaplan-Meyer analysis, non-fallers had a lower probability of returning to the ED compared to fallers at each time point after adjusting for age, sex, race, insurance, teaching, median income. (Figure 2 and Table 4).

It is worth noting that we could not calculate the rate of ED return amongst non-fallers for a fall related visit as this would have placed them into the fallers cohort.

#### Discussion

Older adults who present to the emergency department with a fall between 2005-2010 were more likely to be older, female, non-Hispanic white, covered by Medicare and primarily present to community facilities as compared to those patients who presented to the ED for a non-fall related complaint. Furthermore, fall patients were discharged home more often, but returned to the ED sooner for both a fall and non-fall related complaint compared to their non-fall counterparts (p<0.001). This study is unique in that it is the first statewide, longitudinal secondary data analysis examining disposition and ED revisits of patients who came to the ED for a fall and compared fallers to all other older adults using a statewide database of approximately 3.8 million patients, but similar outcomes to a retrospective cohort study looking at fall related 30-day readmissions using the Hospital Cost and Utilization Project data.<sup>9</sup>

This database shows that fallers appear to be a high-risk patient population who return to the ED much sooner than patients who did not fall for a second fall related complaint regardless of whether they were admitted or discharged from their index ED visit. Often, fallers may minimize their reason for falling and are reluctant to engage in fall prevention efforts on their own.<sup>10</sup> Also, most EDs do not do a comprehensive fall evaluation, thus missing many opportunities to address the risk factor that lead to the fall or prevent future falls.<sup>11, 12</sup> Although this study does not delineate the underlying reason for a fall or reason for their return ED visit, our findings suggest that this patient population warrants close evaluation, workup, and follow-up to assess their reasons for falling and potential intervention.

Among hospitalized patients, non-fallers returned to the ED sooner than fallers for any other non-fall related reason (P<0.001). This may be due to a sicker case-mix of non-fall patients reflected through the higher percentage of Medicaid amongst non-fallers or the higher percentage of non-fallers being treated at teaching hospitals containing tertiary services,<sup>13, 14</sup> or that more non-fallers were discharged home without services post-hospitalization. However, this difference warrants further investigation.

#### Limitations

There were many limitations to this study including those inherent to the retrospective nature of this analysis. First, it is possible that what we classified as an index visit for a fall may not have been the actual first visit for a fall. Although some index visits for a fall may have occurred outside the state of California, we expect this number to be minimal. Second, because we are using administrative data, we have limited understanding of other important variables including functional status, comorbidities and relative frailty of patients, which could contribute to the observed result. Third, as with any administrative dataset, there are potential errors due to miscoding, data linkage and missing data. However, these would not bias our study unless these errors were distributed unevenly across both categories of patients, which would be unlikely. Furthermore, while the dataset is statewide, results cannot be generalized across the entire country or other healthcare systems. Last, we do not have a reason for the fall, which is often important for fall prevention and may provide a better sense as to why patients who did not fall.

#### Conclusion

This epidemiological study suggests that patients who fall are a sick patient population who are more likely to return to the ED for a second fall regardless of whether they are discharged or admitted and are more likely to return for any reason if discharged. Given the increasing rates of falls over time,<sup>2</sup> providers should recognize the significance of a fall as a risk factor for future healthcare utilization. Multiple studies have shown the benefit of multifactorial falls prevention interventions to decrease the rates of recurrent falls<sup>7,15</sup> with a recent Cochrane review underscoring the benefit of exercise and physical therapy based programs as a particularly beneficial modality to decrease the rate of injurious falls.<sup>16</sup> While the most recent STRIDE trial

did not show a benefit for community based falls prevention for at risk individuals, it did not assess prevention activities for ED patients after a fall and it also acknowledges that behavior modification through exercise, one of the most important interventions for future fall prevention, was not underscored.<sup>17,18</sup> Qualitative data indicates that patients who present to the ED may have more willingness for falls prevention<sup>19</sup> and programs should continue to capitalize on this motivation for secondary fall prevention strategies.<sup>20</sup> Further studies should also look at the cause of falls and patients' associated comorbidities as indicators for outcomes. EDs should also consider urgently referring discharged fall patients to physical therapy or an evidence-based exercise and/or falls prevention program.

**Contribution Statement:** KNS and SL conceived the initial study. FL undertook the statistical analysis. KNS and SL drafted the manuscript and FL, HE and ET contributed substantially to its revision. KNS takes responsibility for the paper as a whole.

Funding: None

#### Competing Interests: None

**Data Sharing:** Data may be obtained from a third party but are not publicly available. The data are not publicly available but can be obtained through written request to the California Office of Statewide Health Planning and Development.

#### **Figure Legend:**

Figure 1: Falls Diagnostic E-Codes

Figure 2: Kaplan-Meyer Survival Curve, Time to ED revisit for Any Reason

#### Table 1. Comparison of Demographics of Elderly Falls patients to Patients who did Not Fall

	Fall (N=997524)	Non-Fall (N=2805508)	P value
Age	79.5 ± 8.3	74.7 ± 7.9	<0.002
Gender			
Male	336060 (33.7%)	1298346 (46.3%)	<0.00
Female	661152 (66.3%)	1506065 (53.7%)	
Other	312 (0.03%)	1097 (0.04%)	
Ethnicity/race			
Non-Hispanic White	710852 (71.3%)	1770408 (63.1%)	<0.00
Non-Hispanic Black	38699 (3.9%)	167215 (6.0%)	
Hispanic	133594 (13.4%)	433837 (15.5%)	
Asian	26611 (2.7%)	145804 (5.2%)	
Other	68661 (6.9%)	220746 (7.9%)	
Unknown	19107 (1.9%)	67498 (2.4%)	
Median income	67290 ± 24323	66563 ± 24330	<0.00
Payer type (Primary Insurance)			
Self-pay	14471 (1.5%)	57962 (2.1%)	<0.00
Medicare	867863 (87.0%)	2269251 (80.9%)	
Medicaid	19220 (1.9%)	106590 (3.8%)	
Commercial Insurance and Commercial HMO	82435 (8.3%)	331897 (11.8%)	
Other	13301 (1.3%)	39099 (1.4%)	
Missing	30 (0.0%)	170 (0.0%)	
Teaching Hospital			
No	922366 (92.5%)	2550886 (90.9%)	<0.00
Yes	75158 (7.5%)	254622 (9.1%)	

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# Table 2a: Breakdown of All Visits by Year

	Fall patients	Non-fall patients	Total
Number of patients	997524	2805508	3803032
Total visits	4769880	9245450	14015330
2005	491604	1310892	1802496
2006	554715	1258444	1813159
2007	615788	1234484	1850272
2008	687179	1280194	1967373
2009	746467	1315321	2061788
2010	807063	1370785	2177848
2011	867064	1475330	2342394

# Table 2b. Fall versus Non-Fall Generalized Visit Patterns

	Fall patients	Non fall patients	Total
Number of patients	997524	2805508	3803032
Total visits	4769880	9245450	14015330
# visits per patient	4.78 ± 5.18 <sup>(1)</sup>	3.30 ± 3.58	3.69 ± 4.12
	3 (2 – 6) <sup>(2)</sup>	2 (1 -4)	2 (1 -5)
	1.53± 1.05	ΝΑ	0.40 ± 0.86
# visits for fall	1 (1 -2)	NA	0 (0 -1)
9/ rovisit for fall	291025		
%revisit for fall	(29.17%)	4	

<sup>(1)</sup>Mean ± std

<sup>(2)</sup>median (IQR)

# Table 3. Type of Disposition after Initial ED visit for all patients (a), Time to ED Revisit for Fallers (b) and Time to ED Revisit for Non-Fall Patients (c)

	<u>Frequenc</u> <u>after l</u>	<u>Median Time to ED Revisit</u> amongst Fall patients (b)			<u>Median Time</u> <u>to ED revisit for</u> <u>Non-Fall</u> <u>Patients (c)</u>					
	Index Visit: Fall	Index Visit: Non-fall		Reason for ED Revisit: Fall		Reason for ED Revisit: Any Reason		Reason for ED Revisit: Any Reason		
	N	days	P value	n	days	n	days	n	days	P value
Discharge home from ED	609822 (61.13%)	1263272 (45.03%)	<0.001	437197	151.0	191925	325.0	524237	352.0	<0.001
Discharge With home health service from ED	1519 (0.15%)	1453 (0.05%)		993	58.0	432	114.0	623	83.0	
Directly to Skilled Nursing Facility from ED	15387 (1.54%)	9081 (0.32%)		11456	71.0	5247	137.0	5087	111.0	
Directly to Acute Care (IRF, LTCH) from ED	2007 (0.20%)	1166 (0.04%)		1509	59.0	771	96.0	654	97.0	
ED death	521 (0.05%)	12208 (0.44%)		0		0		0		
Other	11819 (1.18%)	43910 (1.57%)		9321	30.0	5403	9.0	24444	47.0	
Blank	101 (0.01%)	220 (0.01%)		76	68.5	41	67.0	98	1.0	
Hospitalization after ED visit	356348 (35.72%)	1474198 (52.55%)	<0.001	269385	45.0	109751	242.0	956264	119.0	<0.001
Then to Acute Care (IRF, LTCH) after hospitalization	30363 (8.52%)	72214 (4.90%)		28514	5.0	12452	69.0	64385	4.0	
Then to SNF after hospitalization	169084 (47.45%)	204991 (13.91%)		134491	40.0	53465	246.0	150005	35.0	

	<u>Frequency</u> after I	<u>Median Time to ED Revisit</u> amongst Fall patients (b)				<u>Median Time</u> <u>to ED revisit for</u> <u>Non-Fall</u> <u>Patients (c)</u>				
	Index Index Visit Visit: Fall Non-fall			Revisit: Fall Re		Reason for ED Revisit: Any Reason		Reason for ED Revisit: Any Reason		
	N	days	P value	n	days	n	days	n	days	P valu
Then to residential care after hospitalization	4181 (1.17%)	11056 (0.75%)		3338	64.0	1645	137.0	7950	86.0	
Discharge home after hospitalization	83178 (23.34%)	903245 (61.27%)		61352	119.0	25276	313.0	594246	192.0	
Discharge home with health services after hospitalization	47871 (13.43%)	200618 (13.61%)		35425	99.0	14819	272.0	129308	148.0	
Invalid/blank	64 (0.02%)	178 (0.01%)		41	9.0	17	67.0	91	7.0	
Other	3551 (1.00%)	16484 (1.12%)		2556	17.0	1207	85.0	10279	44.0	

\*Any Reason excludes any visit pertaining to a fall

Table 4: Survival Time to ED revisit, fall vs. non-fallers, adjusted for age, sex, race, insurance, teaching, median income. (p=0.000)

Days	Fall	Non-fall
7	0.88	0.94
30	0.78	0.87
182	0.57	0.74
365	0.45	0.66
1826	0.18	0.38

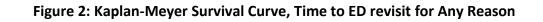
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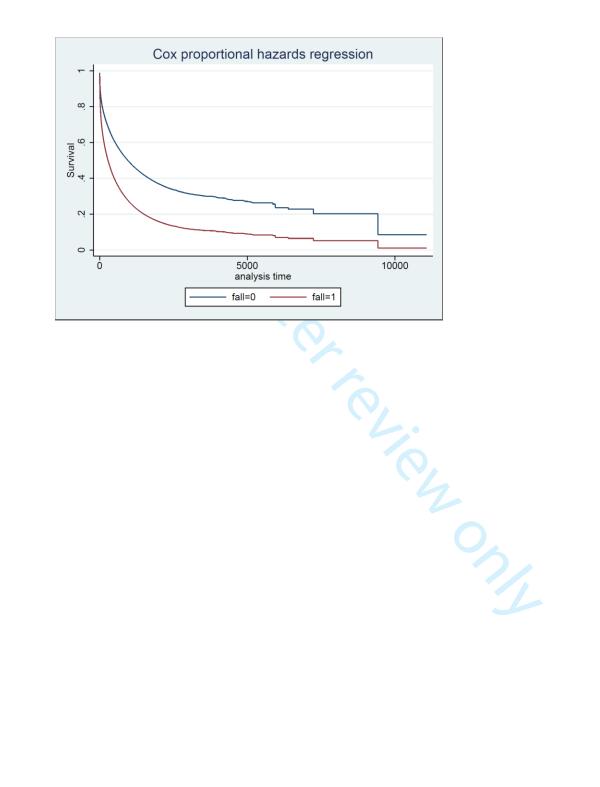
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#### Figure 1: Diagnostic E-Codes

- <u>E880</u> Accidental fall on or from stairs or steps
- E881 Accidental fall on or from ladders or scaffolding
- E882 Accidental fall from or out of building or other structure
- E883 Accidental fall into hole or other opening in surface
- E884 Other accidental falls from one level to another
- <u>E885</u> Accidental fall on same level from slipping tripping or stumbling
- <u>E886</u> Fall on same level from collision, pushing, or shoving, by or with other person
- E887 Fracture, cause unspecified
- E888 Other and unspecified fall

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# STROBE Statement—Checklist of items that should be included in reports of cohort studies

	Item No	Recommendation	Page No
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the	
		abstract	
		(b) Provide in the abstract an informative and balanced summary of what was	2
		done and what was found	
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	2
Objectives	3	State specific objectives, including any prespecified hypotheses	2
Methods			
Study design	4	Present key elements of study design early in the paper	2, 3
Setting	5	Describe the setting, locations, and relevant dates, including periods of	2, 3
		recruitment, exposure, follow-up, and data collection	
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of	2, 3
		participants. Describe methods of follow-up	
		(b) For matched studies, give matching criteria and number of exposed and	
		unexposed	
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and	2, 3
		effect modifiers. Give diagnostic criteria, if applicable	
Data sources/	8*	For each variable of interest, give sources of data and details of methods of	2, 3
measurement		assessment (measurement). Describe comparability of assessment methods if	
		there is more than one group	
Bias	9	Describe any efforts to address potential sources of bias	2, 3
Study size	10	Explain how the study size was arrived at	2, 3
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable,	2, 3
		describe which groupings were chosen and why	
Statistical methods	12	(a) Describe all statistical methods, including those used to control for	2, 3
		confounding	
		(b) Describe any methods used to examine subgroups and interactions	
		(c) Explain how missing data were addressed	
		(d) If applicable, explain how loss to follow-up was addressed	
		( <u>e</u> ) Describe any sensitivity analyses	
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially	3
		eligible, examined for eligibility, confirmed eligible, included in the study,	
		completing follow-up, and analysed	
		(b) Give reasons for non-participation at each stage	
		(c) Consider use of a flow diagram	
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social)	3,6
		and information on exposures and potential confounders	
		(b) Indicate number of participants with missing data for each variable of interest	
		(c) Summarise follow-up time (eg, average and total amount)	
			3,6

Main results	16	( <i>a</i> ) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	3, 6, 7, 8
		(b) Report category boundaries when continuous variables were categorized	
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	3, 6, 7, 8
Discussion			
Key results	18	Summarise key results with reference to study objectives	4
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	4
Interpretation 20 Give a cautious overall interpretation of re-		Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	4
Generalisability	21	Discuss the generalisability (external validity) of the study results	4, 5
Other informati	on		
Funding	22	Give the source of funding and the role of the funders for the present study and, if	5
		applicable, for the original study on which the present article is based	

\*Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine.org/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at http://www.strobe-statement.org.

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