

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

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Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Benos 1



Section 1. Identifying Inform	nation		
1. Given Name (First Name) Panayiotis	2. Surname (Last Name) Benos		3. Date 11-July-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author	
5. Manuscript Title Respiratory Tract Dysbiosis is Associate	d With Worse Outcomes ir	n Mechanically-Ventil	ated Patients
6. Manuscript Identifying Number (if you kr Blue-201912-2441OC.R1	now it)		
Section 2. The Work Under Co	onsideration for Public	cation	
any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere	g but not limited to grants, da est? Yes No ormation below. If you hav	ta monitoring board, st	ent, commercial, private foundation, etc.) for cudy design, manuscript preparation, ity press the "ADD" button to add a row.
Name of Institution/Company	Grant? Personal Nor	n-Financial Other?	Comments
National Institutes of Health (NHLBI)	V		U01HL137159, R01HL140963
Section 3. Relevant financial	activities outside the s	submitted work.	
Place a check in the appropriate boxes in of compensation) with entities as describled clicking the "Add +" box. You should replace there any relevant conflicts of interest.	ibed in the instructions. Us port relationships that wer	se one line for each ei	ntity; add as many lines as you need by
Section 4. Intellectual Proper	rty Patents & Copyric	ghts	
Do you have any patents, whether plan	ned, pending or issued, br	oadly relevant to the	work? ☐ Yes ✓ No

Benos 2



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Dr. Benos reports grants from National Institutes of Health (NHLBI), during the conduct of the study; .

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Benos 3



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Shah 1



Section 1.	Identifying Inform	ation			
1. Given Name (Fi Faraaz	rst Name)	2. Surname (Last Name) Shah		3. Date 10-July-202	0
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Georgios Kits	g Author's Name sios	
5. Manuscript Title Respiratory Tract		d with Worse Outcomes	in Mechanically-	Ventilated Patients	
6. Manuscript Ider Blue-201912-244	ntifying Number (if you kn 110C.R1	ow it)			
Section 2.	The Work Under Co	onsideration for Pub	lication		
any aspect of the s statistical analysis, Are there any rel If yes, please fill o	ubmitted work (including etc.)? evant conflicts of intere	but not limited to grants, st? Yes No	data monitoring bo	overnment, commercial, privocation of the privocati	ipt preparation,
Name of Institut	ion/Company	Grant? Personal N	on-Financial Support	ther? Comments	
NIH		✓			
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Section 3.	Relevant financial	activities outside the	submitted wo	ork.	
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Do you have any	patents, whether planr	ned, pending or issued,	broadly relevant	to the work? Yes	✓ No

Shah 2



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Dr. Shah reports grants from NIH, during the conduct of the study; .

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Section 1. Identifying Inform	nation		
1. Given Name (First Name) Haopu	2. Surname (Last Name) Yang		3. Date 10-July-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Autho	or's Name
5. Manuscript Title Respiratory Tract Dysbiosis is Associate	d with Worse Outcomes ir	n Mechanically-Ventila	ated Patients
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Continue 2			
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any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of interesting the submitted work (including statistical analysis, etc.)?	g but not limited to grants, da		ent, commercial, private foundation, etc.) for udy design, manuscript preparation,
Section 3. Relevant financial	activities outside the	submitted work.	
Place a check in the appropriate boxes of compensation) with entities as descr clicking the "Add +" box. You should re	ibed in the instructions. U	se one line for each er	ntity; add as many lines as you need by
Are there any relevant conflicts of interest			
If yes, please fill out the appropriate info	ormation below.		
Name of Entity	Grant	n-Financial other?	Comments
China Scholarship Council			A scholarship for Yang to "pursue study in the USA"
Section 4. Intellectual Proper	rty Patents & Copyri	ghts	
Do you have any patents, whether plan	ned, pending or issued, b	roadly relevant to the	work? ☐ Yes ✓ No



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Dr. Yang reports other from China Scholarship Council, outside the submitted work; .

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Morris 1



Section 1.	Identifying Inform	ation		
1. Given Name (Fi Alison	rst Name)	2. Surname (Last Name) Morris		3. Date 10-July-2020
4. Are you the cor	responding author?	☐ Yes ✓ No	Corresponding Auth	or's Name
5. Manuscript Title Respiratory Tract		d with Worse Outcomes	in Mechanically-Ventil	ated Patients
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any aspect of the s statistical analysis, Are there any rel If yes, please fill o	ubmitted work (including etc.)? evant conflicts of intere	but not limited to grants, est? Yes No ormation below. If you h	data monitoring board, s	nent, commercial, private foundation, etc.) for tudy design, manuscript preparation, etc.) tity press the "ADD" button to add a row.
Name of Institut	ion/Company	Grant? Personal N	on-Financial Support? Other	Comments
NIH		✓		
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of compensation clicking the "Add Are there any rel	n) with entities as descri I +" box. You should rep evant conflicts of intere	bed in the instructions. port relationships that west? Yes V	Use one line for each e ere present during th	cial relationships (regardless of amount entity; add as many lines as you need by the 36 months prior to publication .
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Do you have any	patents, whether plani	ned, pending or issued,	broadly relevant to the	e work? ☐ Yes ✓ No

Morris 2



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Dr. Morris reports grants from NIH, during the conduct of the study; .

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Qin 1



Section 1. Identifying Inform	mation	
1. Given Name (First Name) Shulin	2. Surname (Last Name) Qin	3. Date 10-July-2020
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Georgios Kitsios, MD PhD
5. Manuscript Title Respiratory Tract Dysbiosis is Associat	ed with Worse Outcomes ir	n Mechanically-Ventilated Patients.
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Qin 2



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Issued: The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Evankovich 1



Section 1. Identifying Inform	mation	
1. Given Name (First Name) John	2. Surname (Last Name) Evankovich	3. Date 20-July-2020
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Georgios Kitsios
5. Manuscript Title Respiratory Tract Dysbiosis is Associate	ed with Worse Outcomes in	n Mechanically-Ventilated Patients.
6. Manuscript Identifying Number (if you k Blue-201912-2441OC.R1	now it)	_
Section 2. The Work Under C	Consideration for Public	cation
	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
Section 3. Relevant financia	activities outside the s	submitted work.
of compensation) with entities as desc	ribed in the instructions. Use port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication .
Section 4. Intellectual Prope	rty Patents & Copyri	ghts
Do you have any patents, whether plan	nned, pending or issued, br	roadly relevant to the work? Yes V No

Evankovich 2



Section 5. Polationships not severed above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
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Section 6. Disclosure Statement
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Dr. Evankovich has nothing to disclose.

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Evankovich 3



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Royalties: Funds are coming in to you or your institution due to your patent



Section 1.	Identifying Inform	ation	
1. Given Name (First Name) Libing		2. Surname (Last Name) Yang	3. Date 20-July-2020
4. Are you the corresponding author?		Yes ✓ No	Corresponding Author's Name
5. Manuscript Title Respiratory Tract		d with Worse Outcomes in	Mechanically-Ventilated Patients
6. Manuscript Ider	ntifying Number (if you kn	now it)	
Section 2.	The Work Under Co	onsideration for Public	cation
any aspect of the s statistical analysis,	titution at any time recei ubmitted work (including	ive payment or services from but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
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of compensation clicking the "Add) with entities as descri	bed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by se present during the 36 months prior to publication.
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	Relationships not covered above
	elationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest
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Section 6.	
Section 6.	Disclosure Statement
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Dr. Yang has not	thing to disclose.

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McVerry 1



Cartinus				
Section 1. Identifying Inforn	nation			
1. Given Name (First Name) Bryan	2. Surname (Last Name) McVerry		3. Date 13-July-2020	
4. Are you the corresponding author?	Yes ✓ No	Yes No Corresponding Author's Na Georgios Kitsios		
5. Manuscript Title Respiratory Tract Dysbiosis is Associate	d with Worse Outcomes in	Mechanically-Ventilate	ed Patients.	
6. Manuscript Identifying Number (if you ki Blue-201912-2441OC.R1	now it)	_		
Continue 2				
Section 2. The Work Under C	onsideration for Public	cation		
Did you or your institution at any time rece any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of inter- If yes, please fill out the appropriate inf- Excess rows can be removed by pressin	g but not limited to grants, da est? Yes No ormation below. If you hav	ta monitoring board, stud	ly design, manuscript preparation,	
Name of Institution/Company	Grant? Personal Nor	n-Financial upport?	Comments	
NIH	✓			
Section 3. Relevant financial	activities outside the s	submitted work.		
Place a check in the appropriate boxes of compensation) with entities as descr clicking the "Add +" box. You should re Are there any relevant conflicts of inter If yes, please fill out the appropriate info	ibed in the instructions. Us port relationships that wer est?	se one line for each enti	ity; add as many lines as you need	
Name of Entity	Grant'	n-Financial upport?	Comments	
Baver Pharmaceuticals, Inc.				

McVerry 2



Section 4. Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo
Section 5. Relationships not covered above
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Dr. McVerry reports grants from NIH, during the conduct of the study; grants from Bayer Pharmaceuticals, Inc., outside the submitted work; .

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McVerry 3



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Fitch 1



Section 1. Identifying Inform	nation			
Given Name (First Name) Adam	2. Surname (Last Name) Fitch	3. Date 29-July-2020		
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Georgios Kitsios		
5. Manuscript Title Respiratory Tract Dysbiosis is Associate	ed with Worse Outcomes in	Mechanically-Ventilated Patients		
6. Manuscript Identifying Number (if you k 201912-2441OC.R1	now it)			
Section 2. The Work Under C	onsideration for Public	cation		
	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ita monitoring board, study design, manuscript preparation,		
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Do you have any patents, whether plan	nned, pending or issued, br	oadly relevant to the work? Yes V No		

Fitch 2



Section 5.					
Section 5.	Relationships not covered above				
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?				
Yes, the follo	Yes, the following relationships/conditions/circumstances are present (explain below):				
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Section 6.	Disclosure Statement				
Based on the about below.	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box				

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Bain 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fi William	. , ,	2. Surname (Last Name) Bain	3. Date 10-July-2020
4. Are you the corresponding author?		Yes ✓ No	Corresponding Author's Name Kitsios, Georgios
5. Manuscript Title Respiratory Trac		d with Worse Outcomes in	Mechanically-Ventilated Patients
6. Manuscript Ide Blue-201912-244	ntifying Number (if you kr 41OC.R1	now it)	
			_
Section 2.	The Work Under C	onsideration for Public	cation
any aspect of the s statistical analysis,	ubmitted work (including	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
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of compensation clicking the "Add	the appropriate boxes i n) with entities as descri	in the table to indicate who ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount e one line for each entity; add as many lines as you need by e present during the 36 months prior to publication .
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Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes 🗸 No

Bain 2



Section 5.	
	Relationships not covered above
	elationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
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Dr. Bain has noth	ning to disclose.

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Fair 1



Section 1.	Identifying Inform	nation	
1. Given Name (First Name) 2. Surname (Las Katherine Fair		2. Surname (Last Name) Fair	3. Date 10-July-2020
4. Are you the cor	responding author?	☐ Yes ✓ No	Corresponding Author's Name Kitsios, Georgios
5. Manuscript Titl Respiratory Trac		d with Worse Outcomes i	in Mechanically-Ventilated Patients
6. Manuscript Ide Blue-201912-24	ntifying Number (if you kr 41OC.R1	now it)	
Section 2.			
Section 2.	The Work Under C	onsideration for Publi	ication
any aspect of the s statistical analysis, Are there any rel	submitted work (including	g but not limited to grants, d	n a third party (government, commercial, private foundation, etc.) for lata monitoring board, study design, manuscript preparation,
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of compensation clicking the "Add Are there any rel	n) with entities as descr	ibed in the instructions. U port relationships that we est?	hether you have financial relationships (regardless of amount Use one line for each entity; add as many lines as you need by ere present during the 36 months prior to publication .
Name of Entity		Grant	On-Financial Support? Comments
Section 4.	Intellectual Prope	rty Patents & Copyri	ights
Do you have any	patents, whether plan	ned, pending or issued, b	proadly relevant to the work? Yes V No

Fair 2



Section 5.	Salatianakina nat assauad akassa
	Relationships not covered above
	ationships or activities that readers could perceive to have influenced, or that give the appearance of cing, what you wrote in the submitted work?
Yes, the following	ng relationships/conditions/circumstances are present (explain below):
✓ No other relation	onships/conditions/circumstances that present a potential conflict of interest
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Royalties: Funds are coming in to you or your institution due to your patent

Kitsios 1



Section 1.	Identifying Inform	nation				
1. Given Name (Fi Georgios	rst Name)	2. Surname (Last Nan Kitsios	ne)		3. Date 10-July-2020	
4. Are you the cor	responding author?	✓ Yes No				
5. Manuscript Title Respiratory Tract	e t Dysbiosis is Associate	d with Worse Outcom	es in Mechanica	lly-Ventilated P	Patients	
6. Manuscript Ider Blue-201912-244	ntifying Number (if you kr I1OC.R1	now it)				
	l					
Section 2.	The Work Under C	onsideration for P	ublication			
any aspect of the s statistical analysis,	ubmitted work (including	but not limited to gran			ommercial, private foundation, etc.) for esign, manuscript preparation,	•
Section 3.	Relevant financial	activities outside t	he submitted	work.		
of compensation clicking the "Add Are there any rel) with entities as descr	ibed in the instructior port relationships tha est?	is. Use one line fo	or each entity;	lationships (regardless of amount add as many lines as you need by months prior to publication.	
		Crant? Personal	Non-Financial	3		
Name of Entity		Grant? Personal Fees?	Support?	Other? Co	mments	
Karius, Inc		✓		Kariu	eived a research grant from is Inc to study pneumonia ogens	_
Section 4.	Intellectual Prope	rty Patents & Cop	yrights			
Do you have any	patents, whether plan	ned, pending or issue	d, broadly releva	ant to the work	? Yes Vo	

Kitsios 2



Section 5. Relationships not covered above
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✓ Yes, the following relationships/conditions/circumstances are present (explain below):
No other relationships/conditions/circumstances that present a potential conflict of interest
Mr Haopu Yang and Ms Libing Yang (co-authors in this manuscript) are Medical Students at the Tsinghua University School of Medicine in China and visiting scholars at the University of Pittsburgh School of Medicine. Dr. Kitsios has been a research mentor for Mr Haopu Yang and Ms Libing Yang during their visiting scholarship.
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Section 6. Disclosure Statement
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Dr. Kitsios reports grants from Karius, Inc, outside the submitted work; and Mr Haopu Yang and Ms Libing Yang (co-authors in this manuscript) are Medical Students at the Tsinghua University School of Medicine in China and visiting scholars at the University of Pittsburgh School of Medicine. Dr. Kitsios has been a research mentor for Mr Haopu Yang and Ms Libing Yang during their visiting scholarship

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Kitsios 3



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Li 1



Section 1. Identifyir	ng Information				
1. Given Name (First Name) Kelvin	2. Surname (Last Name) Li	3. Date 10-July-2020			
4. Are you the corresponding au	uthor? Yes ✓ No	Corresponding Author's Name Georgios Kitsios			
5. Manuscript Title Respiratory Tract Dysbiosis is	Associated with Worse Outcomes in	Mechanically-Ventilated Patients			
6. Manuscript Identifying Numb Blue-201912-2441OC.R1	er (if you know it)				
Section 2. The Work	Under Consideration for Public	ation			
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No					
Section 3. Relevant	financial activities outside the s	ubmitted work.			
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication . Are there any relevant conflicts of interest? Yes Vo					
Section 4. Intellectu	al Property Patents & Copyrig	hts			
Do you have any patents, wh	ether planned, pending or issued, bro	oadly relevant to the work? Yes V No			

Li 2



Section 5. Polationships not sovered above				
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Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?				
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Wang 1



Section 1. Identifying Inform	nation				
Given Name (First Name) Xiaohong	2. Surname (Last Name) Wang	3. Date 13-July-2020			
4. Are you the corresponding author?	✓ Yes No				
5. Manuscript Title Respiratory Tract Dysbiosis is Associate	ed with Worse Outcomes in Mechanically-Ventilated F	Patients			
6. Manuscript Identifying Number (if you know it) Blue-201912-2441OC.R1					
Section 2. The Work Under C	onsideration for Publication				
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Wang 2



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Methé 1



Section 1. Iden	tifying Informa	tion			
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4. Are you the corresponding author? ✓ Yes No					
5. Manuscript Title Respiratory Tract Dysbi	osis is Associated	with Worse Outcome	s in Mechanically-Ventilated P	Patients	
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Section 4. Intel					
Intel	lectual Property	y Patents & Copy	rights		
Do you have any paten	ts, whether planne	ed, pending or issued	, broadly relevant to the work	?	

Methé 2



Section 5. Belationships not solvered above				
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