SCN5A **Mutation Type and a Genetic Risk Score Associate Variably with Brugada Syndrome Phenotype in** *SCN5A* **Families**

Running title: *Wijeyeratne & Tanck et. al; A Genetic Risk Score associates with BrS phenotype*

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Abstract:

Background - Brugada syndrome (BrS) is characterized by the type 1 Brugada ECG pattern. Pathogenic rare variants in *SCN5A* (mutations) are identified in 20% of BrS families in whom incomplete penetrance and genotype-negative phenotype-positive individuals are observed. E1784K-*SCN5A* is the most common *SCN5A* mutation identified. We determined the association of a BrS genetic risk score (BrS-GRS) and *SCN5A* mutation type on BrS phenotype in BrS families with *SCN5A* mutations.

Methods - Subjects with a spontaneous type 1 pattern or positive/negative drug challenge from cohorts harboring *SCN5A* mutations were recruited from 16 centers (n=312). Single nucleotide polymorphisms (SNP) previously associated with BrS at genome-wide significance were studied in both cohorts: rs11708996, rs10428132 and rs9388451. An additive linear genetic model for the BrS-GRS was assumed (6 SNP risk alleles).

Results - In the total population (n=312), BrS-GRS \geq 4 risk alleles yielded an odds ratio (OR) of 4.15 for BrS phenotype (95%CI:1.45-11.85, p=0.0078). Amongst *SCN5A*-positive individuals (n=258), BrS-GRS \geq 4 risk alleles yielded an odds ratio (OR) of 2.35 (95%CI:0.89-6.22, p=0.0846). In *SCN5A*-negative relatives (n=54), BrS-GRS ≥4 alleles yielded and OR of 22.29 (95%CI:1.84-269.30, p=0.0146). Among E1784K-*SCN5A* positive family members (n=79), hosting ≥4 risk alleles gave an OR=5.12 (95%CI:1.93-13.62, p=0.0011).

Conclusions - Common genetic variation is associated with variable expressivity of BrS phenotype in *SCN5A* families, explaining in part incomplete penetrance and genotype-negative phenotype-positive individuals. *SCN5A* mutation genotype and a BrS-GRS associate with BrS phenotype but the strength of association varies according to presence of a *SCN5A* mutation and severity of loss of function.

Key words: Brugada syndrome; genetics, human; risk score; SCN5A; single nucleotide polymorphism genetics

Nonstandard Abbreviations and Acronyms

Introduction

Brugada syndrome (BrS) is characterized by the type 1 Brugada ECG pattern, present either spontaneously or after provocation with a sodium channel blocking agent.¹ Pathogenic rare variants (mutations) in the *SCN5A* gene, encoding the Nav1.5 sodium channel, are identified in 20% of cases.2,3 Incomplete penetrance and variable expression is common in BrS pedigrees with *SCN5A* mutations, suggesting a complex inheritance wherein other genetic variants may affect the phenotype.2 Genotype-negative individuals from *SCN5A*-positive pedigrees have shown the type 1 Brugada ECG pattern.² Furthermore, common genetic variation has been associated with BrS in probands, independent of *SCN5A* status.4

 The E1784K*-SCN5A* mutation (c.5350G>A; ClinVar ID: 9377) is the most common *SCN5A* mutation identified in BrS, identified in 3% of unrelated BrS cases^{3,5} and is absent in the

gnomAD database. Furthermore, E1784K-*SCN5A* exhibits incomplete penetrance and can manifest as a mixed clinical phenotype of long QT syndrome and/or BrS, even amongst affected individuals from the same pedigree.6,7 These properties make E1784K*-SCN5A* an optimal target for studying potential genetic modifiers.8

We hypothesized that common genetic variation previously associated with $BrS⁴$, and a genetic risk score derived thereof (BrS-GRS), is associated with a type 1 Brugada ECG pattern in genotype-positive individuals from BrS families hosting *SCN5A* mutations as well as in genotype-negative relatives. We then explored the effects of *SCN5A* mutation type on the likelihood of a type 1 Brugada ECG pattern.

Methods

The data that support the findings of this study are available from the corresponding author upon reasonable request. IRB approval was obtained, according to the guidelines noted in Instructions to Authors. The full methods are available as supplemental data.

Results

Clinical characteristics

The total cohort comprised of 312 individuals from families harboring *SCN5A* mutations. The individuals that fulfilled inclusion criteria had the presence or absence of the BrS phenotype definitively established and had undergone complete SNP genotyping (Figure 1). These 312 individuals were recruited from 137 families. The median family size was 1 (Q1-Q3: 1-2); four families had between 10 and 20 individuals and a single family contributed 31 individuals.

Figure 1 illustrates the breakdown of included cases according to *SCN5A* genotype and mutation type.

Clinical characteristics are described and compared in Table 1. Subjects hosting *SCN5A*-E1784K, when compared to individuals harboring loss-of-function mutations causing haploinsufficiency and other missense *SCN5A* mutations, were younger and more likely to be female. As would be expected when comparing individuals with an overlap syndrome to those with conduction disease, they exhibited longer QT intervals and shorter PR intervals and QRS durations on their presenting ECGs.

Seventy-nine individuals were E1784K*-SCN5A* positive. Fifty-seven (72%) with E1784K*-SCN5A* had BrS phenotype (10 spontaneous; 47 drug-induced). Amongst the 179 individuals harboring loss-of-function mutations causing haploinsufficiency and other missense *SCN5A* mutations, 164 (92%) had BrS phenotype (78 spontaneous; 61 drug-induced; 25 unspecified). Importantly, 6/54 (17%) *SCN5A* negative subjects displayed a drug-induced BrS phenotype. The associations of *SCN5A* mutation and/or BrS-GRS with the spontaneous BrS phenotype are similar to those described in both spontaneous and drug induced BrS combined, but were less accurate with higher p-values (data not shown).

SCN5A **mutation associations (Figure 2)**

Amongst *SCN5A* families the presence of an *SCN5A* mutation was associated with an odds ratio (OR) of 51.98 (95%CI:20.02-134.93, p<0.0001) for BrS phenotype. In all three *SCN5A* mutation type subgroups, i.e. E1784K*-SCN5A,* loss-of-function mutations causing haploinsufficiency and missense mutations other than E1784K*-SCN5A*, genotype positive patients were at an increased risk of BrS compared to genotype negative patients, but the odds ratios differed significantly (p*interaction*=0.004) between the mutation types.

Amongst *SCN5A* genotype positive individuals only, both loss-of-function mutations causing haploinsufficiency and other missense mutations had an increased risk of BrS compared to E1784K*-SCN5A* with OR = 6.11 (95%CI:1.78-20.97, p=0.0040) and OR = 3.44 (95%CI:1.35- 8.75, p=0.0095), respectively.

Brugada Syndrome Genetic Risk Score

The BrS-GRS was calculated for each subject in the total cohort as described. A weighted BrS-GRS was also tested, but this did not outperform the non-weighted BrS-GRS (data not shown). Figure 3 shows the distribution of proportion of subjects according to numbers of risk alleles (range 0-6) for the total cohort and subsets of *SCN5A* mutations. In the total population, the odds ratio per allele was 1.46 (95%CI 1.11-1.94, p=0.0076) and individuals with a BrS-GRS \geq 4 risk alleles had an OR=4.15 (95%CI 1.45-11.85, p=0.0078) for BrS phenotype compared to individuals with a GRS <4 risk alleles.

The BrS-GRS effects per allele and ≥4 risk alleles appeared smaller in *SCN5A* genotype positives, but this was not significant (p*interaction* = 0.090 and 0.076, respectively). Within *SCN5A* genotype positives only, the BrS-GRS effects per allele and ≥ 4 risk alleles were significantly different between mutation types ($p_{interaction} = 0.0096$ and $\langle 0.0001$, respectively).

SCN5A genotype-positive relatives (n=258) yielded an OR=1.25 (95%CI 0.92-1.71, $p=0.1571$) for BrS phenotype per risk allele. Individuals with a BrS-GRS ≥ 4 risk alleles had an $OR = 2.35$ (95%CI:0.89-6.22, p=0.0846) for BrS phenotype compared to individuals with a GRS <4 risk alleles. *SCN5A* genotype-negative relatives (n=54) yielded an OR for BrS phenotype of 2.71 per risk allele (95%CI 0.98-7.43, p=0.0535). *SCN5A* genotype-negative individuals with a BrS-GRS \geq 4 risk alleles had an OR=22.29 (95%CI 1.84-269.30, p=0.0146) for BrS phenotype compared to individuals with a BrS-GRS <4 risk alleles (Figures 4 and 5).

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SCN5A loss-of-function mutations causing haploinsufficiency

For subjects hosting loss-of-function *SCN5A* mutations causing haploinsufficiency the association between the BrS-GRS and BrS phenotype appeared the strongest (OR per risk allele of 5.18; 95%CI:2.07-12.93, p=0.0004). Since there were no BrS negative cases that had more than 2 risk alleles, the OR of subjects with \geq 4 risk alleles was infinite compared to subjects with <4 risk alleles (Figures 3, 4 and 5).

SCN5A-E1784K

When examining E1784K*-SCN5A* positive family members alone, a weaker BrS-GRS performance was found: OR=1.49 (95%CI 1.09-2.04, p=0.0135) per risk allele. Individuals with a BrS-GRS \geq 4 risk alleles had an OR=5.12 (95%CI:1.93-13.62, p=0.0011) for BrS phenotype compared to individuals with a GRS <4 risk alleles (Figures 4 and 5).

Other missense SCN5A mutations

For individuals hosting other *SCN5A* mutations there was no statistically significant association between the BrS-GRS and BrS phenotype (OR per risk allele=0.88, 95%CI 0.58-1.32, $p=0.5271$). Subjects with ≥ 4 risk alleles had an OR=1.03 (95%CI:0.20-5.35, p=0.9705) for BrS phenotype compared to those with less than 4 risk alleles (Figures 4 and 5).

Discussion

Historically, BrS was considered an autosomal dominant monogenic disorder. In 2013, a common variant GWAS comparing index cases of BrS to healthy controls indicated association with common genetic variation, regardless of presence of an *SCN5A* mutation.⁴ While that work identified susceptibility loci, up to now, the variable expression of the BrS phenotype in members of families with *SCN5A* mutations has remained unexplained. Here, for the first time

we report that common genetic variation, in the form of a BrS-GRS, correlates with the BrS phenotype in individuals from families with *SCN5A* loss-of-function mutations causing haploinsufficiency and the recurrent mutation E1784K-*SCN5A*. Furthermore, our study extends beyond the findings of the original GWAS by emphasizing the role of common variation in expression of the BrS phenotype independent of the presence of an *SCN5A* mutation. The BrS-GRS explained in part the variable expression of BrS phenotype in both *SCN5A*-positive and *SCN5A*-negative relatives. There was significant heterogeneity of the strength of association of different types of *SCN5A* mutation (loss-of-function causing haploinsufficiency, E1784K and other missense) and their associated BrS-GRS with BrS phenotype indicating a variable biological effect of common and rare variants on disease susceptibility. These findings support a complex polygenic architecture for BrS and are an important proof of principle in cardiac genetics.

A BrS-GRS and variability in BrS phenotype within affected families

We sought to investigate whether a BrS-GRS is associated with BrS phenotype. The score demonstrated association with BrS phenotype in pedigrees carrying pathogenic or likely pathogenic *SCN5A* variants, reflecting the cumulative effect of the three SNPs (six risk alleles) on BrS phenotype. The BrS-GRS was then tested separately in the subset of families harboring loss-of-function *SCN5A* mutations causing haploinsufficiency, detecting a strong effect size and a near infinite OR when harboring four or more risk alleles. This may reflect the small numbers of Brugada negative cases with loss-of-function mutations causing haploinsufficiency and that chromosome 3 risk alleles in *trans* with the mutant allele are more likely to have a more potent effect by further altering the expression of already haplo-insufficient wild-type *SCN5A*.

Families with missense *SCN5A* mutations other than E1784K-*SCN5A* showed no significant associations with the BrS-GRS whilst the E1784K-*SCN5A* subset exhibited a significant association, albeit weaker than for loss-of-function mutations causing haploinsufficiency. The reasons for this difference are likely to be complex. Firstly, E1784K-*SCN5A* is considered a relatively mild missense mutation in its biophysical and clinical consequences and showed lower penetrance in our study compared to other missense mutations (72% vs 90% respectively, Table 1).⁹ The association of the BrS-GRS may therefore reflect a greater impact of common variation in this setting. Secondly, the diversity of the other included missense *SCN5A* mutations may have led to a weaker power for evaluating the BrS-GRS compared to E1784K-SCN5A families. Each mutation is expected to have different severity of biophysical defects with the potential for variable effects of SNPs on the lesion. Furthermore, due to the small size and heterogeneity of the total cohort, there was insufficient power to analyze chromosomal phasing between *SCN5A* mutations and the SNPs of interest. The other missense *SCN5A* mutation group was therefore a less homogeneous group to test for associations than a large group of families with a single mutation such as E1784K-*SCN5A*. More homogeneous samples, particularly founder populations, may be more appropriate for future studies of how common variants modify phenotype. Interestingly in *SCN5A* genotype-negative relatives, the association of BrS-GRS \geq 4 risk alleles with BrS phenotype was even more apparent. In fact, the OR was greater than that of E1784K-*SCN5A* in isolation. This supports a greater strength of association of common variation with the likelihood of BrS phenotype in the absence of a *SCN5A* mutation.

These results therefore reveal the potential for clinical utility of incorporating common genetic variation in the form of a genetic risk score in genetic diagnostics for rare disease. It is

expected, however, that additional SNPs underlie the complex genetic nature of BrS and a larger GWAS is needed to identify other common variants that could be incorporated to improve the power of an optimized BrS-GRS for diagnostic purposes. This will also require further investigation of greater numbers of relatives with integration of haplotype structure and detailed knowledge of *SCN5A* variants' biophysical properties.

Association of rare *SCN5A* **variation with BrS phenotype and common variants**

While common variation in the form of a BrS-GRS has clear independent association with BrS phenotype, the strongest contribution comes from the presence of an *SCN5A* mutation. However, not all *SCN5A* BrS susceptibility mutations have comparable functional effects. The OR for the BrS phenotype associated with E1784K-*SCN5A* is significantly lower than for other missense *SCN5A* mutations but is greatest in loss-of-function mutations causing haploinsufficiency. Furthermore, the OR of the BrS-GRS for BrS phenotype varied according to *SCN5A* mutation and was strongest in genotype negative relatives. This suggests that there may be an interaction and synergy of common and rare variation affecting sodium channel function whereby a certain level of impairment is necessary to achieve a threshold where BrS phenotype can manifest. This further supports a polygenic genetic architecture underlying the condition.¹⁰

Genotype-phenotype mismatch in BrS and its implications

The proposed polygenic model of heritability in BrS may explain the paradox of clinically affected mutation-negative individuals in *SCN5A* families, first demonstrated by Probst et al.2 Indeed, 12% of *SCN5A*-negative relatives showed a drug-induced BrS phenotype. Importantly, cascade genetic screening in *SCN5A* pedigrees can result in *SCN5A* genotype-negative relatives being discharged from further follow-up. A small proportion of these individuals may still be at risk of developing a BrS phenotype. Conversely, these findings also raise further questions about

the specificity of drug provocation tests for BrS in the absence of a gold standard test for the condition. The prevalence of the type 1 Brugada ECG pattern after drug provocation testing has already been shown to be much higher than expected $(4%)$ in healthy controls.¹¹ Indeed recent data have associated a similar BrS polygenic risk score with the ajmaline induced type 1 pattern.¹² The Shanghai consensus document downgraded the diagnostic certainty offered by such a result when found in isolation.¹³ The likelihood of a drug-induced type 1 Brugada ECG pattern indicating a diagnosis of BrS is considered greater, however, if an individual had a family history of premature autopsy negative SCD and/or BrS. The significance of a drug-induced type 1 Brugada ECG pattern in *SCN5A* genotype-negative relatives is therefore uncertain in *SCN5A* BrS families. Other, as yet unknown, polygenic and acquired contributions to the risk of developing BrS phenotype may be present in these *SCN5A* genotype-negative relatives.

BrS phenotype-positive *SCN5A* genotype-negative individuals may be identified due to clinical evaluation taking place either prior to genetic studies being available, or prior to determination of the pathogenicity of a detected rare *SCN5A* variant. There is insufficient followup data available, however, in the literature to determine if these individuals subsequently develop arrhythmic events. In the meantime, these patients may be offered monitoring for evidence of evolving risk and lifestyle advice such as avoidance of prescription sodium channel blocking drugs, cocaine and alcohol intoxication, and treatment of fever.¹⁴ Asymptomatic *SCN5A*-negative relatives of autopsy-negative SCD victims, who go on to have a positive ajmaline test, have been managed with this strategy. During follow-up, a spontaneous type 1 Brugada ECG pattern and/or clinically significant arrhythmic events developed in 17% of these individuals.15 This may be a worthwhile approach in BrS *SCN5A* family members, regardless of genotype status, although further prospective research will be required.

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Future perspective: an optimized BrS-GRS

There is already strong association of a BrS-GRS \geq 4 risk alleles utilizing only three SNPs with BrS phenotype. We propose that an optimized BrS-GRS employing additional SNPs emerging from a larger GWAS could act as a complementary approach to quantifying the probability of developing BrS phenotype. Furthermore, incorporating phasing of SNPs could further refine the predictive accuracy of a BrS-GRS, especially in *SCN5A* families where SNPs in *trans* to the *SCN5A* mutant allele would be expected to have more pronounced effects than SNPs in *cis*. An optimized and validated GRS may therefore aid decision-making over follow-up in *SCN5A* families and determining whether preventative and monitoring strategies for BrS should be instituted.^{1,13} A GRS-based approach may even replace the unnecessary use of drug challenge and form part of clinical genetic testing in BrS.

Limitations

BrS phenotype was defined in accordance with the 2013 HRS/EHRA/APHRS guidelines. Other guidelines have been proposed due to concerns over the specificity of the sodium channel blocker-induced BrS phenotype.^{1,13,15} These guidelines maintain the same definition of the type 1 Brugada ECG pattern and give extra weight to a family history of BrS. We therefore used the same ECG definition for BrS phenotype in this study. We also treated spontaneous and druginduced BrS phenotype as one group for analysis purposes. This was due to low numbers, the similarity of findings in spontaneous BrS (data not shown) as well as the consistency of the association demonstrated by the BrS GWAS regardless of whether the phenotype was druginduced or spontaneous.4

A smaller proportion of the *SCN5A* genotype-negative cases underwent sodium channel blocker challenge, probably reflecting variation in local clinical practice. Furthermore, only a

relatively small proportion of *SCN5A* genotype-positive relatives were found to be BrS phenotype-negative after drug challenge. Both factors likely weakened the power to detect associations.

 Due to the heterogeneity of the total cohort, there was insufficient power to analyze chromosomal phasing between the *SCN5A* mutations and the SNPs of interest at this chromosomal locus - rs11708996 (*SCN5A*) and rs10428132 (*SCN10A*) - and therefore SNP interactions. These potential interactions may explain why the weighted model for the BrS-GRS did not show additional significance over the additive model. Furthermore, families of Japanese and other non-Caucasian ancestry were included but due to small numbers could not be analysed separately. This was offset, however, by the three SNPs used to create the BrS-GRS having been replicated in Japanese BrS cases 16 .

Conclusions

Common genetic variation explains in part, the variable expression of BrS phenotype in families with sodium channel disease. Association of common variants was cumulative leading to a BrS-GRS associated with BrS phenotype in both genotype positive and negative subjects i.e. independent of the presence of an *SCN5A* mutation. *SCN5A* mutations and the BrS-GRS also show differing effect sizes on BrS phenotype according to variant type, further confirming a complex polygenic architecture underlying BrS. These findings have important implications in BrS *SCN5A* families where a *SCN5A*-negative relative may still develop a BrS phenotype. Further work is required to elucidate other genetic factors to develop an optimized BrS-GRS that may become a surrogate marker for BrS phenotype in *SCN5A* families, form part of clinical genetic testing, obviate drug provocation testing and guide follow-up.

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Table 1. Clinical characteristics of (a) the total cohort broken down by genotype status; (b) comparing families harboring loss-of-function causing haploinsufficiency, other missense mutations and E1784K-*SCN5A*. a)

 $BrS = Brugada syndrome$; QTc interval = QT interval corrected by Bazzett's formula. * In 26 cases (25 genotype positive) specific data on the spontaneity of the type 1 pattern were missing. † overall p-value (chi-square test) testing the distribution of the three mutation types among *SCN5A* genotype positive vs. *SCN5A* genotype negative individuals.

Figure Legends:

Figure 1. Flow diagram summarizing inclusion and numbers of individuals separated by genotype and BrS phenotype in each cohort.

Figure 2. Risk of Brugada Syndrome in patients carrying an *SCN5A* mutation, Loss-of-function mutations causing haploinsufficiency, missense mutations other than *SCN5A*, and E1784K-*SCN5A*. The odds ratio (OR) and 95% confidence interval for each mutation type are shown (adjusted for sex and age). The p-values denote the levels of significance of the odds ratios for American
Peart
Association Brugada Syndrome comparing each cohort to negative genotype using GEE.

Figure 3. Cumulative number of risk alleles at the three loci and the associated likelihood of BrS phenotype showing performance of the BrS-GRS for prediction of BrS phenotype in mutation positive individuals in the (a) total cohort; (b) individuals from families harboring E1784K-*SCN5A*); (c) individuals from families harboring loss-of-function *SCN5A* mutations causing haploinsufficiency; (d) other missense *SCN5A* mutations. Distribution of numbers of risk alleles hosted by individuals with BrS phenotype (black bars) in each cohort are shown vs family members ascertained to be BrS phenotype-negative (white bars). Each bar represents the proportion of individuals carrying the corresponding number of risk alleles as a percentage of the total number of individuals with the corresponding phenotype, i.e. denominator for the white bars being the total number of individuals with no BrS within the cohort, and the denominator for the black bars being the total number of individuals with BrS within the cohort.

Figure 4. Risk per additional risk allele in a linear model in the total cohort; genotype negative individuals; genotype positive individuals from families harboring loss-of-function mutations causing haploinsufficiency; genotype positive individuals from families harboring E1784K-*SCN5A*; genotype positive individuals from families harboring other missense *SCN5A* mutations. The odds ratio (OR) per additional risk allele and 95% confidence interval are shown (adjusted for sex and age). The p-values denote the levels of significance of the odds ratios per additional risk allele for Brugada Syndrome in each cohort using GEE. The OR and 95%CI for Genotype positive: Loss-of-function causing haploinsufficiency cohort are not shown as these are off the scale of this figure.

Figure 5. Risk of Brugada Syndrome in patients carrying ≥4 risk alleles in the total cohort; genotype negative individuals; genotype positive individuals from families harboring loss-offunction mutations causing haploinsufficiency; genotype positive individuals from families harboring E1784K-SCN5A; genotype positive individuals from families harboring other missense SCN5A mutations. The odds ratio (OR) and 95% confidence interval for a cut off of \geq 4 risk alleles are shown (adjusted for age and sex). The p-values denote the level of significance of the odds ratios for this cut-off for Brugada Syndrome for each cohort using GEE.

Number of riskalleles

$\vert b \rangle$

c)

d)

