SUPPLEMENTAL MATERIAL

Development of an Electronic Phenotyping Algorithm for Cardioembolic Stroke

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SUPPLEMENTAL METHODS

Mass General Brigham Biobank

For developing the feature extraction algorithms of features based on free text cardiology reports, we collected EHR data from a subset of 30,716 individuals in the Mass General Brigham Biobank as of December 2018 using the Research Patient Database Repository (RPDR). The subjects included in this analysis were a convenience sample comprising individuals with available genomic data, though genomic data were not utilized in this analysis.

Algorithm for Ascertaining Stroke Events

A combination of ICD9 and ICD10 codes were used to ascertain stroke events for patients missing stroke dates in the Massachusetts General Hospital and Brigham and Women's Hospital prospective ischemic stroke registry. The list of ICD9 stroke codes used were 433.01, 433.11, 433.21, 433.31, 433.81, 433.91, 434.01, 434.11, and 434.91. The list of ICD10 stroke codes used were I63.00, I63.011, I63.012, I63.019, I63.02, I63.031, I63.032, I63.033, I63.039, I63.09, I63.10, I63.111, I63.112, I63.113, I63.119, I63.12, I63.131, I63.132, I63.133, I63.139, I63.19, I63.20, I63.211, I63.212, I63.219, I63.22, I63.231, I63.232, I63.233, I63.239, I63.29, I63.30, I63.311, I63.312, I63.319, I63.321, I63.322, I63.323, I63.329, I63.331, I63.332, I63.339, I63.341, I63.342, I63.343, I63.349, I63.39, I63.40, I63.411, I63.412, I63.413, I63.419, I63.421, I63.422, I63.423, I63.429, I63.431, I63.432, I63.433, I63.439, I63.441, I63.442, I63.443, I63.449, I63.49, I63.50, I63.511, I63.512, I63.513, I63.519, I63.521, I63.522, I63.523, I63.529, I63.531, I63.532, I63.539, I63.541, I63.542, I63.543, I63.549, I63.59, I63.6, I63.8, and I63.9.

Data Preparation for NLP Algorithm Development

We obtained free-form text notes from cardiology reports, including echocardiogram reports, in the EHR using RPDR. We pre-processed them to make them usable for natural language processing (NLP). First, we removed language abnormalities from the cardiology reports. Specifically, we lowercased the text, replaced single underscores with a space, removed two consecutive hyphens (to remove excessive hyphens while preserving negative signs), added a space after a colon, added a space after a semi-colon, added a space after a comma, replaced multiple consecutive question marks with one question mark, expanded contractions common in the English-language, and removed single apostrophes followed by an "s". Cardiology reports tend to have designated sections with several headings. Sometimes, headings were capitalized, so lowercasing the text could risk losing the possibility of finding specific search terms under a specified report section. Similarly, excessive whitespaces were not removed since multiple whitespaces could be used to delineate separate sections in cardiology reports. Stop words could have been removed, but removal substantially increased pre-processing run-time. In addition, stop words proved useful for manually reviewing reports, which included ambivalent language. Punctuation marks could have also been removed but were chosen to remain since sentence units had to be preserved for identifying specific search terms in individual sentences. After performing these pre-processing steps, we finally attained a clean corpus for data analysis.

NLP Algorithm Development

We developed NLP regular expressions algorithms for 11 cardioembolic stroke features (**Figure I**). Features included mitral stenosis, left atrial appendage thrombus, left ventricular thrombus, akinetic left ventricular segment, mitral valve prolapse, mitral annulus calcification, left atrial turbulence, delayed emptying velocity, atrial septal aneurysm, patent foramen ovale, and hypokinetic left ventricular segment. Algorithms and test characteristics for identification of each feature are summarized in **Table IV**.

Each NLP regular expressions algorithm (except for delayed emptying velocity and hypokinetic left ventricular segment) consisted of three types of rules: neutral rules, positive rules, and negation rules. First, strings matching the neutral rules were removed since their presence do not indicate whether the feature was present nor absent. For example, phrases containing the feature and "reason" were removed since physicians will often list the reasons behind the administration of a cardiology report at the heading of a cardiology report. Similarly, phrases containing the feature and one of the words: "eval" for evaluate, "exclude", "assess", "rule out", "r/o" for rule out, "icd-", and "diagnosis", were removed from the cardiology report. Second, cases of cardiology reports matching the negative rules were positively flagged for the feature. Third, cases of cardiology reports matching the negative rules will have their positive flags removed. After applying neutral, positive, and negation regular expression rules, we finally attained the covariates based on NLP regular expressions algorithms.

We developed a procedure for developing regular expressions rules. First, for each feature, a cardiology domain expert defined the search criteria for a feature: including search terms to search for and optionally, specific report locations to search in. Second, we searched for phrases which included those search terms in the cleaned corpus of cardiology reports using R packages 'quanteda' and 'corpus'. Third, after identifying the most common phrases including those search terms, regular expressions were written to match patterns in those common phrases and these regular expressions were used to define the positive rules and negation rules. Fourth, we reiteratively applied the regular expressions to cardiology reports and manually reviewed them for additional search terms and regular expressions to improve and develop additional neutral, positive, and negation rules. In this way, the NLP regular expressions algorithms were reiteratively revised to achieve a positive predictive value of $\geq 80\%$ based on chart reviews for samples of 50 reports.

For the second step in the procedure for developing regular expressions rules, we developed a process for searching common phrases, which include specified search terms. First, R package quanteda tokens() function was used to tokenize the corpus into unigrams with the symbols removed and separators removed. Second, quanteda kwic() function was used to locate keywords in context by identifying an n-sized window of words around the search term. Third, data manipulation using R package dplyr was applied to filter for (or against) specific words before and/or after the search term to identify desirable phrases indicating the presence of the cardioembolic stroke feature. Fourth, R package corpus term_stats() function was applied to tabulate a frequency table for counting the occurrence of phrases of length n (n-grams) containing the search term, for phrases that occur at least 2 times. Since it was uncertain as to how long a phrase of words should be for capturing a feature, we tested the n-gram phrase length from 1 to 40 and counted the resulting number of phrases generated from each length. From this testing, a plot of the length of the phrases against the number of resulting phrases was generated. If the phrase length was 1, we would yield only a few (e.g. 1-2) results, which consist of a single

word containing the search term. As the phrase length increased, the number of phrases including the search term increased. We searched for long phrases containing the search term since longer phrases were more informative for indicating the presence or absence of the feature. However, if the phrase length containing the search term was too high, the number of phrases including the search term started to decrease, because the phrase was too long and thus too unique. So, the frequency counts for these long phrases drop to 1 and do not meet the minimum count criteria of occurring at least 2 times. To allow for flexibility and add robustness, a range of n-gram length was chosen based on and around the peak of the graph in the plot (e.g. from 8 to 13 since peak was at 11). Fifth, R package corpus term stats() function was applied again, now using the chosen range of n-gram length for tabulating the frequency of long phrases containing the search term. Sixth, since some phrases commonly occurred, usually with the same first 2 words, albeit with slight variation in their diction (e.g. "there is no evidence of left ventricular thrombus" vs. "there is no obvious evidence of left ventricular thrombus"), the length of phrases could be computed for each phrase and the phrases could be grouped by the concatenation of the first 2 words in each phrase. Then the longest phrase within each group was computed. Representative, long phrases were tabulated in descending count order to display the most common and informative phrases containing the search terms. These representative, long phrases were then analyzed for regular expressions that would capture the presence or absence of a cardioembolic stroke feature.

Example: NLP Algorithm for Akinetic Left Ventricular Segment

The NLP regular expressions algorithm for akinetic left ventricular segment required identification of specific sections in the echocardiogram report. First, we removed phrases satisfying the neutral rules in the cleaned corpus. Second, we identified cases that contain one of the search terms. Third, we separated the report into sentences by applying R package tokenizers function tokenize sentences() onto the original corpus text before pre-processing since the preprocessed corpus did not retain enough information (e.g. whitespaces, punctuation marks) for facile sentence tokenization. R package tokenizers function tokenize sentences() was used since splitting text into sentences based on periods did not always work as periods were also used as decimal points. Fourth, we searched for sentences containing the desired cardiology report section heading (e.g. "left ventr"). Fifth, we searched for sentences containing the desired search term (e.g. "akine" and "dyskine") and applied negation rules for the search term. Sixth, we found the location of all of the other report section headings, such as "venous", "pulmonic valve", "pericardium", "interatrial septum", "conclusions", "dyssynchrony", "pericardial disease", "pulmonary valve", "right ventr", "interventricular septum", "left atrium", "right atrium", "tricuspid valve", "aortic valve", "mitral valve", "interatrial septum", and "report end". This list of report section headings was constructed based on experience from reiteratively refining the algorithm. Finally, we identified positive cases of when the search term was between the desired section heading and another section heading. Additional effort was required to identify the search terms under specific report sections, because the search terms could also appear under other report sections.

Example: NLP Algorithm for Delayed Left Atrial Appendage Emptying Velocity

The NLP regular expressions algorithm for delayed emptying velocity was challenging since this feature could be reported in different formats, either as a number, a range of numbers, or as a qualitative description. From applying R code like that of **Figure II**, phrases containing "velocity" were extracted. Manual examination of the phrases showed that there were various formats used to express the positive cases of delayed emptying velocity (Table VI) and the negative cases of delayed emptying velocity (Table VII). These different formats guided the development of an NLP algorithm through a combination of if/else logic and regular expressions.

Example: NLP Algorithm for Left Ventricular Ejection Fraction

Extracted left ventricular ejection fraction quantities less than or equal to 40 were considered to qualify for the hypokinetic left ventricular segment feature. The transthoracic echocardiogram reports and transesophageal echocardiogram reports from MGH and BWH were analyzed for developing regular expression-based algorithms for extracting left ventricular ejection fraction (Figure III).

SUPPLEMENTAL TABLES

| High-risk sources | | Data Sources | | Time window of feature (relative to stroke) |
|----------------------|---|-------------------------|--------|---|
| 1 | Mechanical prosthetic valve | ICD codes, | | Before or up to 90d |
| | and Bioprosthetic cardiac | Procedure | | after stroke |
| | valve | codes | | |
| 2 | Mitral stenosis | ICD codes | Echo | Before or up to 90d |
| | | | report | after stroke |
| 3 | Atrial fibrillation (including | ICD codes | | Before or up to 90d |
| | lone atrial fibrillation) | | | after stroke |
| 4 | Left atrial/atrial appendage | | Echo | 90d before or after |
| | thrombus | LOD 1 | report | stroke |
| | Intracardiac thrombus* | ICD codes | | 90d before or after |
| | <u> </u> | | | stroke |
| 5 | Sick sinus syndrome | ICD codes | | Before or up to 90d |
| | | ICD 1 | | after stroke |
| 6 | Recent myocardial infarction | ICD codes, CPT codes | | <=4 weeks prior by definition |
| 7 | (<4 weeks) Left ventricular thrombus | CP1 codes | Echo | |
| / | Left ventricular thrombus | | Report | Before or up to 90d after stroke |
| 8 | Dilated cardiomyopathy | ICD codes | Kepoli | Before or up to 90d |
| 0 | Dilated cardiomyopathy | ICD codes | | after stroke |
| 9 | Akinetic left ventricular | | Echo | Before or up to 90d |
| , | segment | | report | after stroke |
| 10 | Atrial myxoma | ICD codes | | Before or up to 90d |
| | | | | after stroke |
| 11 | Infective endocarditis | ICD codes | | 90d before or after |
| | | | | stroke |
| Medium- | | | | |
| risk sources | | | | |
| 12 | Mitral valve prolapse | ICD codes | Echo | Before or up to 90d |
| | | | report | after stroke |
| 13 | Mitral annulus calcification | ICD codes | Echo | Before or up to 90d |
| | | | report | after stroke |
| 14 | Left atrial turbulence (smoke) | | Echo | 90d before or after |
| | | | report | stroke |
| 15 | Atrial septal aneurysm | ICD codes | Echo | Before or up to 90d |
| | | | report | after stroke |

| 16 | Patent foramen ovale | ICD codes | Echo | Before or up to 90d |
|----|--|------------|--------|---------------------|
| | | | report | after stroke |
| 17 | Atrial flutter | ICD codes | | Before or up to 90d |
| | | | | after stroke |
| 18 | Nonbacterial thrombotic | ICD codes | | 90d before or after |
| | endocarditis | | | stroke |
| 19 | Congestive heart failure | ICD codes | | Before or up to 90d |
| | | | | after stroke |
| 20 | Hypokinetic left ventricular | | Echo | Before or up to 90d |
| | segment | | report | after stroke |
| 21 | Myocardial infarction (>4 | ICD codes, | | Between 6 mos to 4 |
| | weeks, <6 months) | Procedure | | weeks after by |
| | | codes | | definition |
| | Delayed emptying velocity [†] | | Echo | 90d before or after |
| | | | report | stroke |

(1) **Mechanical prosthetic valve and Bioprosthetic cardiac valve.** Under TOAST, Mechanical prosthetic valve is a high-risk source and Bioprosthetic cardiac valve is a medium-risk source. Under this electronic phenotyping algorithm, we merge these two features into one feature since there is not enough resolution to discriminate between them. Many procedure codes could be used for either type of valve.

(2) **Mitral stenosis.** Under TOAST, Mitral stenosis with atrial fibrillation is a high-risk source and Mitral stenosis without atrial fibrillation is a medium-risk source. Under this electronic phenotyping algorithm, we treat mitral stenosis and atrial fibrillation as separate features.

(3) **Atrial fibrillation.** Under TOAST, atrial fibrillation and lone atrial fibrillation are treated as two separate features, where Atrial fibrillation (other than lone atrial fibrillation) is a high-risk source and lone atrial fibrillation is a medium-risk source. Under this electronic phenotyping algorithm, this feature has been subordinated into one "Atrial Fibrillation" feature.

(4) **Left atrial/atrial appendage thrombus**. Under this electronic phenotyping algorithm, an additional sub-feature, "Non-specific intracardiac thrombus", was created based on ICD codes since there are no ICD codes specific for left atrial appendage thrombus.

(16) **Patent foramen ovale**. Under this electronic phenotyping algorithm, patent foramen ovale feature consists of two sub-features: patent foramen ovale and atrial septal defect since they both render similar clinical effects.

* **Intracardiac thrombus**. An additional ICD-based feature was developed for intracardiac thrombus since ICD codes existed for intracardiac thrombus albeit not particularly for left atrial appendage thrombus.

† Delayed emptying velocity. An NLP feature was developed for delayed left atrial appendage emptying velocity since expert knowledge deemed it clinically relevant to cardioembolism.

| Code Type | Code | Code Description | | |
|--------------|-----------------------|---|--|--|
| Mitral ster | nosis | | | |
| ICD9 | 394.0 | Mitral stenosis | | |
| ICD9 | 394.2 | Mitral stenosis with insufficiency | | |
| ICD9 | 396.0 | Mitral valve stenosis and aortic valve stenosis | | |
| ICD9 | 396.1 | Mitral valve stenosis and aortic valve insufficiency | | |
| ICD9 | 746.5 | Congenital mitral stenosis | | |
| ICD10 | I05.0 | Rheumatic mitral stenosis | | |
| ICD10 | I05.2 | Rheumatic mitral stenosis with insufficiency | | |
| ICD10 | I34.2 | Nonrheumatic mitral (valve) stenosis | | |
| ICD10 | Q23.2 | Congenital mitral stenosis | | |
| Atrial fibri | illation (incl | uding lone atrial fibrillation) | | |
| ICD9 | 427.3 | Atrial fibrillation and flutter | | |
| ICD9 | 427.31 | Atrial fibrillation | | |
| ICD10 | I48 | Atrial fibrillation and flutter | | |
| ICD10 | I48.0 | Paroxysmal atrial fibrillation | | |
| ICD10 | I48.1 | Persistent atrial fibrillation | | |
| ICD10 | I48.2 | Chronic atrial fibrillation | | |
| ICD10 | I48.9 | Unspecified atrial fibrillation and atrial flutter | | |
| ICD10 | I48.91 | Unspecified atrial fibrillation | | |
| Intracardia | Intracardiac thrombus | | | |
| ICD10 | 123.6 | Thrombosis of atrium, auricular appendage, and ventricle as current complications following acute myocardial infarction | | |
| ICD10 | I51.3 | Intracardiac thrombosis, not elsewhere classified | | |
| Sick sinus | syndrome | | | |
| ICD9 | 427.81 | Sinoatrial dysfunction | | |
| ICD10 | I49.5 | Sick sinus syndrome | | |
| Atrial myx | koma | | | |
| ICD9 | 212.7 | Benign neoplasm of heart | | |
| ICD10 | D15.1 | Benign neoplasm of heart | | |
| Recent my | vocardial inf | arction (<4weeks) | | |
| ICD9 | 410 | Acute myocardial infarction | | |
| ICD9 | 410.0 | Acute myocardial infarction, of anterolateral wall | | |
| ICD9 | 410.01 | Acute myocardial infarction, of anterolateral wall, initial episode of care | | |
| ICD9 | 410.1 | Acute myocardial infarction, of other anterior wall | | |
| ICD9 | 410.11 | Acute myocardial infarction, of other anterior wall, initial episode of care | | |
| ICD9 | 410.21 | Acute myocardial infarction, of inferolateral wall, initial episode of care | | |
| ICD9 | 410.3 | Acute myocardial infarction, of inferoposterior wall | | |
| ICD9 | 410.31 | Acute myocardial infarction, of inferoposterior wall, initial episode of care | | |

Table II. ICD codes and procedure codes for algorithm dictionary

| ICD9 ICD9 ICD9 ICD9 | 410.4 410.41 | Acute myocardial infarction, of other inferior wall Acute myocardial infarction, of other inferior wall, initial episode of care |
|------------------------------|-----------------|---|
| ICD9 | 410.41 | Acute myocardial infarction of other inferior wall initial episode of care |
| L | | reate my content information, or other interior wan, initial episode of care |
| | 410.51 | Acute myocardial infarction, of other lateral wall, initial episode of care |
| ICD9 | 410.6 | Acute myocardial infarction, true posterior wall infarction |
| ICD9 | 410.61 | Acute myocardial infarction, true posterior wall infarction, initial episode of care |
| ICD9 | 410.7 | Acute myocardial infarction, subendocardial infarction |
| ICD9 | 410.71 | Acute myocardial infarction, subendocardial infarction, initial episode of care |
| ICD9 | 410.8 | Acute myocardial infarction, of other specified sites |
| ICD9 | 410.81 | Acute myocardial infarction, of other specified sites, initial episode of care |
| ICD9 | 410.9 | Acute myocardial infarction, unspecified site |
| ICD9 | 410.91 | Acute myocardial infarction, unspecified site, initial episode of care |
| ICD10 | I21 | St elevation (stemi) and non-st elevation (nstemi) myocardial infarction |
| ICD10 | I21.0 | St elevation (stemi) myocardial infarction of anterior wall |
| ICD10 | I21.01 | St elevation (stemi) myocardial infarction involving left main coronary artery |
| ICD10 | I21.02 | St elevation (stemi) myocardial infarction involving left anterior descending coronary artery |
| ICD10 | I21.09 | St elevation (stemi) myocardial infarction involving other coronary artery of anterior wall |
| ICD10 | I21.1 | St elevation (stemi) myocardial infarction of inferior wall |
| ICD10 | I21.11 | St elevation (stemi) myocardial infarction involving right coronary artery |
| ICD10 | I21.19 | St elevation (stemi) myocardial infarction involving other coronary artery of inferior wall |
| ICD10 | I21.2 | St elevation (stemi) myocardial infarction of other sites |
| ICD10 | I21.21 | St elevation (stemi) myocardial infarction involving left circumflex coronary artery |
| ICD10 | I21.29 | St elevation (stemi) myocardial infarction involving other sites |
| ICD10 | I21.3 | St elevation (stemi) myocardial infarction of unspecified site |
| ICD10 | I21.4 | Non-st elevation (nstemi) myocardial infarction |
| Dilated cardi | iomyopath | У |
| ICD9 | 425.4 | Other primary cardiomyopathies |
| ICD10 | I42.0 | Dilated cardiomyopathy |
| Infective End | docarditis | |
| ICD9 | 421 | Acute and subacute endocarditis |
| ICD9 | 421.9 | Acute endocarditis, unspecified |
| ICD9 | 424.9 | Endocarditis, valve unspecified |
| ICD9 | 424.90 | Endocarditis, valve unspecified, unspecified cause |
| ICD9 | 424.91 | Endocarditis in diseases classified elsewhere |
| ICD9 | 424.99 | Other endocarditis, valve unspecified |
| ICD10 | I33 | Acute and subacute endocarditis |
| ICD10 | I33.0 | Acute and subacute infective endocarditis |
| | I33.9 | Acute and subacute endocarditis, unspecified |

| ICD10 | I38 | Endocarditis, valve unspecified | | | |
|---------------------------|------------------------------|--|--|--|--|
| ICD10 | I39 | Endocarditis and heart valve disorders in diseases classified elsewhere | | | |
| Mitral valve | prolapse | | | | |
| ICD10 | I34.1 | Nonrheumatic mitral (valve) prolapse | | | |
| Mitral annul | Mitral annulus calcification | | | | |
| ICD10 | I34.8 | Other nonrheumatic mitral valve disorders | | | |
| Patent foran | nen ovale (| including atrial septal defect) | | | |
| ICD10 | I23.1 | Atrial septal defect as current complication following acute myocardial infarction | | | |
| ICD10 | Q21.1 | Atrial septal defect | | | |
| ICD9 Procedure Code | 35.41 | Enlargement Of Existing Atrial Septal Defect | | | |
| Atrial flutter | r | | | | |
| ICD9 | 427.32 | Atrial flutter | | | |
| ICD10 | I48.3 | Typical atrial flutter | | | |
| ICD10 | I48.4 | Atypical atrial flutter | | | |
| ICD10 | I48.92 | Unspecified atrial flutter | | | |
| Nonbacteria | l thrombot | ic endocarditis | | | |
| ICD9 | 391.1 | Acute rheumatic endocarditis | | | |
| ICD10 | I01.1 | Acute rheumatic endocarditis | | | |
| ICD10 | M32.11 | Endocarditis in systemic lupus erythematosus | | | |
| Congestive | heart failur | e | | | |
| ICD9 | 402.01 | Malignant hypertensive heart disease with congestive heart failure | | | |
| ICD9 | 402.11 | Benign hypertensive heart disease with congestive heart failure | | | |
| ICD9 | 402.91 | Unspecified hypertensive heart disease with congestive heart failure | | | |
| ICD9 | 404.01 | Hypertensive heart and renal disease, malignant, with congestive heart failure | | | |
| ICD9 | 404.03 | Hypertensive heart and renal disease, malignant, with congestive heart failure and renal failure | | | |
| ICD9 | 404.11 | Hypertensive heart and renal disease, benign, with congestive heart failure | | | |
| ICD9 | 404.13 | Hypertensive heart and renal disease, benign, with congestive heart failure and renal failure | | | |
| ICD9 | 404.91 | Hypertensive heart and renal disease, unspecified, with congestive heart failure | | | |
| ICD9 | 404.93 | Hypertensive heart and renal disease, unspecified, with congestive heart failure and renal failure | | | |
| ICD9 | 428.0 | Congestive heart failure | | | |
| ICD10 | I11.0 | Hypertensive Heart Disease With Heart Failure | | | |
| ICD10 | I13.0 | Hypertensive Heart And Chronic Kidney Disease With Heart Failure And Stage 1 Through Stage 4 Chronic Kidney Disease, Or Unspecified Chronic Kidney Disease | | | |
| ICD10 | I13.2 | Hypertensive Heart And Chronic Kidney Disease With Heart Failure And With Stage 5 Chronic Kidney Disease, Or End Stage Renal Disease | | | |
| ICD10 | 150.20 | Unspecified Systolic (Congestive) Heart Failure | | | |

| ICD10 | 150.21 | Acute Systolic (Congestive) Heart Failure |
|------------|------------|---|
| ICD10 | 150.22 | Chronic Systolic (Congestive) Heart Failure |
| ICD10 | 150.23 | Acute On Chronic Systolic (Congestive) Heart Failure |
| ICD10 | 150.30 | Unspecified Diastolic (Congestive) Heart Failure |
| ICD10 | I50.31 | Acute Diastolic (Congestive) Heart Failure |
| ICD10 | 150.32 | Chronic Diastolic (Congestive) Heart Failure |
| ICD10 | 150.33 | Acute On Chronic Diastolic (Congestive) Heart Failure |
| ICD10 | 150.40 | Unspecified Combined Systolic (Congestive) And Diastolic (Congestive) Heart Failure |
| ICD10 | I50.41 | Acute Combined Systolic (Congestive) And Diastolic (Congestive) Heart Failure |
| ICD10 | 150.42 | Chronic Combined Systolic (Congestive) And Diastolic (Congestive) Heart Failure |
| ICD10 | 150.43 | Acute On Chronic Combined Systolic (Congestive) And Diastolic (Congestive) Heart Failure |
| ICD10 | I50.814 | Right heart failure due to left heart failure |
| ICD10 | 150.9 | Heart failure, unspecified |
| Myocardial | infarction | (>4 weeks, <6 months) |
| ICD9 | 410 | Acute myocardial infarction |
| ICD9 | 410.0 | Acute myocardial infarction, of anterolateral wall |
| ICD9 | 410.00 | Acute myocardial infarction, of anterolateral wall, episode of care unspecified |
| ICD9 | 410.01 | Acute myocardial infarction, of anterolateral wall, initial episode of care |
| ICD9 | 410.02 | Acute myocardial infarction, of anterolateral wall, subsequent episode of care |
| ICD9 | 410.1 | Acute myocardial infarction, of other anterior wall |
| ICD9 | 410.10 | Acute myocardial infarction, of other anterior wall, episode of care unspecified |
| ICD9 | 410.11 | Acute myocardial infarction, of other anterior wall, initial episode of care |
| ICD9 | 410.12 | Acute myocardial infarction, of other anterior wall, subsequent episode of care |
| ICD9 | 410.2 | Acute myocardial infarction, of inferolateral wall |
| ICD9 | 410.20 | Acute myocardial infarction, of inferolateral wall, episode of care unspecified |
| ICD9 | 410.21 | Acute myocardial infarction, of inferolateral wall, initial episode of care |
| ICD9 | 410.22 | Acute myocardial infarction, of inferolateral wall, subsequent episode of care |
| ICD9 | 410.3 | Acute myocardial infarction, of inferoposterior wall |
| ICD9 | 410.30 | Acute myocardial infarction, of inferoposterior wall, episode of care unspecified |
| ICD9 | 410.31 | Acute myocardial infarction, of inferoposterior wall, initial episode of care |
| ICD9 | 410.32 | Acute myocardial infarction, of inferoposterior wall, subsequent episode of care |
| ICD9 | 410.4 | Acute myocardial infarction, of other inferior wall |
| ICD9 | 410.40 | Acute myocardial infarction, of other inferior wall, episode of care unspecified |

| ICD9 | 410.41 | Acute myocardial infarction, of other inferior wall, initial episode of care |
|-------|--------|---|
| ICD9 | 410.42 | Acute myocardial infarction, of other inferior wall, subsequent episode of care |
| ICD9 | 410.50 | Acute myocardial infarction, of other lateral wall, episode of care unspecified |
| ICD9 | 410.51 | Acute myocardial infarction, of other lateral wall, initial episode of care |
| ICD9 | 410.52 | Acute myocardial infarction, of other lateral wall, subsequent episode of care |
| ICD9 | 410.6 | Acute myocardial infarction, true posterior wall infarction |
| ICD9 | 410.60 | Acute myocardial infarction, true posterior wall infarction, episode of care unspecified |
| ICD9 | 410.61 | Acute myocardial infarction, true posterior wall infarction, initial episode of care |
| ICD9 | 410.62 | Acute myocardial infarction, true posterior wall infarction, subsequent episode of care |
| ICD9 | 410.7 | Acute myocardial infarction, subendocardial infarction |
| ICD9 | 410.70 | Acute myocardial infarction, subendocardial infarction, episode of care unspecified |
| ICD9 | 410.71 | Acute myocardial infarction, subendocardial infarction, initial episode of care |
| ICD9 | 410.72 | Acute myocardial infarction, subendocardial infarction, subsequent episode of care |
| ICD9 | 410.8 | Acute myocardial infarction, of other specified sites |
| ICD9 | 410.80 | Acute myocardial infarction, of other specified sites, episode of care unspecified |
| ICD9 | 410.81 | Acute myocardial infarction, of other specified sites, initial episode of care |
| ICD9 | 410.82 | Acute myocardial infarction, of other specified sites, subsequent episode of care |
| ICD9 | 410.9 | Acute myocardial infarction, unspecified site |
| ICD9 | 410.90 | Acute myocardial infarction, unspecified site, episode of care unspecified |
| ICD9 | 410.91 | Acute myocardial infarction, unspecified site, initial episode of care |
| ICD9 | 410.92 | Acute myocardial infarction, unspecified site, subsequent episode of care |
| ICD9 | 412 | Old myocardial infarction |
| ICD10 | I21 | St elevation (stemi) and non-st elevation (nstemi) myocardial infarction |
| ICD10 | I21.0 | St elevation (stemi) myocardial infarction of anterior wall |
| ICD10 | I21.01 | St elevation (stemi) myocardial infarction involving left main coronary artery |
| ICD10 | I21.02 | St elevation (stemi) myocardial infarction involving left anterior descending coronary artery |
| ICD10 | I21.09 | St elevation (stemi) myocardial infarction involving other coronary artery of anterior wall |
| ICD10 | I21.1 | St elevation (stemi) myocardial infarction of inferior wall |
| ICD10 | I21.11 | St elevation (stemi) myocardial infarction involving right coronary artery |
| ICD10 | I21.19 | St elevation (stemi) myocardial infarction involving other coronary artery of inferior wall |
| ICD10 | I21.2 | St elevation (stemi) myocardial infarction of other sites |

| ICD10 | I21.21 | St elevation (stemi) myocardial infarction involving left circumflex coronary artery |
|-------|--------|--|
| ICD10 | I21.29 | St elevation (stemi) myocardial infarction involving other sites |
| ICD10 | I21.3 | St elevation (stemi) myocardial infarction of unspecified site |
| ICD10 | I21.4 | Non-st elevation (nstemi) myocardial infarction |
| ICD10 | I22 | Subsequent st elevation (stemi) and non-st elevation (nstemi) myocardial infarction |
| ICD10 | I22.0 | Subsequent st elevation (stemi) myocardial infarction of anterior wall |
| ICD10 | I22.1 | Subsequent st elevation (stemi) myocardial infarction of inferior wall |
| ICD10 | I22.2 | Subsequent non-st elevation (nstemi) myocardial infarction |
| ICD10 | I22.8 | Subsequent st elevation (stemi) myocardial infarction of other sites |
| ICD10 | I22.9 | Subsequent st elevation (stemi) myocardial infarction of unspecified site |
| ICD10 | 125.2 | Old myocardial infarction |
| | | valve or bioprosthetic mechanical valve |
| | 1 | * * |
| CPT | 33361 | Transcatheter aortic valve replacement (TAVR/TAVI) with prosthetic valve; percutaneous femoral artery approach |
| CPT | 33362 | Transcatheter aortic valve replacement (TAVR/TAVI) with prosthetic valve; open femoral artery approach |
| СРТ | 33363 | Transcatheter aortic valve replacement (TAVR/TAVI) with prosthetic valve; open axillary artery approach |
| СРТ | 33364 | Transcatheter aortic valve replacement (TAVR/TAVI) with prosthetic valve; open iliac artery approach |
| СРТ | 33365 | Transcatheter aortic valve replacement (TAVR/TAVI) with prosthetic valve; transaortic approach (eg, median sternotomy, mediastinotomy) |
| СРТ | 33366 | Transcatheter aortic valve replacement (TAVR/TAVI) with prosthetic valve; transapical exposure (eg, left thoracotomy) |
| СРТ | 33367 | Transcatheter aortic valve replacement (TAVR/TAVI) with prosthetic valve; cardiopulmonary bypass support with percutaneous peripheral arterial and venous cannulation (eg, femoral vessels) (List separately in addition to code for primary procedure) |
| СРТ | 33368 | Transcatheter aortic valve replacement (TAVR/TAVI) with prosthetic valve; cardiopulmonary bypass support with open peripheral arterial and venous cannulation (eg, femoral, iliac, axillary vessels) (List separately in addition to code for primary procedure) |
| СРТ | 33369 | Transcatheter aortic valve replacement (TAVR/TAVI) with prosthetic valve; cardiopulmonary bypass support with central arterial and venous cannulation (eg, aorta, right atrium, pulmonary artery) (List separately in addition to code for primary procedure) |
| СРТ | 33405 | Replacement, aortic valve, with cardiopulmonary bypass; with prosthetic valve other than homograft or stentless valve |
| СРТ | 33406 | Replacement, aortic valve, open, with cardiopulmonary bypass; with allograft valve (freehand) |
| СРТ | 33410 | Replacement, aortic valve, open, with cardiopulmonary bypass; with stentless tissue valve |
| СРТ | 33411 | Replacement, aortic valve; with aortic annulus enlargement, noncoronary cusp |
| СРТ | 33412 | Replacement, aortic valve; with transventricular aortic annulus enlargement (Konno procedure) |

| CPT | 33418 | Transcatheter mitral valve repair, percutaneous approach, including transseptal puncture when performed; initial prosthesis |
|-------------------|-------|--|
| СРТ | 33419 | Transcatheter mitral valve repair, percutaneous approach, including transseptal puncture when performed; additional prosthesis(es) during same session (List separately in addition to code for primary procedure) |
| CPT | 33430 | Replacement, mitral valve, with cardiopulmonary bypass |
| ICD9 | 35.05 | Endovascular replacement of aortic valve |
| Procedure | 55.05 | Endovasedia replacement of dorite valve |
| Code | | |
| ICD9 | 35.06 | Transapical replacement of aortic valve |
| Procedure | 55.00 | |
| Code | | |
| ICD9 | 35.07 | Endovascular Replacement Of Pulmonary Valve |
| Procedure | 55.07 | Endovaseular Replacement of Fullionary Valve |
| Code | | |
| ICD9 | 35.08 | Transapical Replacement Of Pulmonary Valve |
| Procedure | 55.00 | |
| Code | | |
| ICD9 | 35.09 | Endovascular Replacement Of Unspecified Heart Valve |
| Procedure | 55.09 | Endovascular Replacement of Onspectfied fleatt valve |
| Code | | |
| ICD9 | 35.20 | Onen and other replacement of unenexified beart value |
| Procedure | 55.20 | Open and other replacement of unspecified heart valve |
| | | |
| Code ICD9 | 35.21 | On an and other works of a setie value with the set |
| Procedure | 33.21 | Open and other replacement of aortic valve with tissue graft |
| | | |
| Code ICD9 | 35.22 | Other werls server af service welve |
| Procedure | 33.22 | Other replacement of aortic valve |
| | | |
| Code ICD9 | 35.23 | Deale constant of mitted and the second distance of the |
| Procedure | 33.23 | Replacement of mitral valve with tissue graft |
| Code | | |
| ICD9 | 35.24 | Other replacement of mitral valve |
| Procedure | 55.24 | Other replacement of mitral valve |
| | | |
| Code ICD9 | 35.25 | Open And Other Benlessment Of Bulmenery Velve With Tierus Creft |
| - | 55.25 | Open And Other Replacement Of Pulmonary Valve With Tissue Graft |
| Procedure Code | | |
| ICD9 | 35.26 | Open And Other Replacement Of Pulmonary Valve |
| Procedure | 33.20 | Open And Other Replacement Of Pulmonary Valve |
| | | |
| Code | 25.27 | Onen And Othen Benlessment Of Thisward Value With Tissue Cart |
| ICD9 Dracedure | 35.27 | Open And Other Replacement Of Tricuspid Valve With Tissue Graft |
| Procedure | | |
| Code | 25.20 | |
| ICD9 | 35.28 | Open And Other Replacement Of Tricuspid Valve |
| Procedure | | |
| Code | | |

| ICD9 | 35.97 | Percutaneous mitral valve repair with implant |
|-------------|-------------|--|
| Procedure | | |
| Code | | |
| Hypokinetic | left ventri | cular segment |
| ICD9 | 414.1 | Aneurysm of heart |
| ICD9 | 414.10 | Aneurysm of heart (wall) |
| ICD9 | 414.19 | Other aneurysm of heart |
| ICD10 | I23.1 | Atrial septal defect as current complication following acute myocardial infarction |
| ICD10 | I25.3 | Aneurysm of heart |

Table III. Initial and final search criteria for each echo report feature.

| Feature | Cardiology Report Type | Search Location | Initial Search Terms for Search Criteria | Final Search Terms for Search Criteria |
|---|---|--|--|---|
| Mitral stenosis (with atrial fibrillation, without atrial fibrillation) | | | mitral stenosis | mitral stenosis |
| Left atrial/atrial appendage thrombus | Transesopha geal echo report (not transthoracic echo report), | Under [left atrium field] | thrombus, clot | left atrial appendage < thrombus thrombus < left atrial appendage left atrial appendage < clot clot < left atrial appendage |
| Left ventricular thrombus | | | left ventricular thrombus | lv thrombus lv clot left ventr (thromb clot) (thromb clot) left ventr thromb clot lv left ventr (apex apical) (thromb clot) |
| Akinetic left ventricular segment | | Under [left ventricular function field] | akinesis, dyskinesis | "akine" under [Left Ventricle] field "dyskine" under [Left Ventricle] field [See Example: NLP Algorithm for Search Terms in Specified Report Location (Akinetic Left Ventricular Segment)] |
| Mitral valve prolapse | | Under [mitral valve field] | prolapse, flail leaflet | mitral prolapse |
| Mitral annulus calcification | | Under [mitral valve field] | calcification | mitral annul* calcif* |
| Left atrial turbulence (smoke) | Transesopha geal echo report (not transthoracic echo report) | | smoke | smoke, then in sentences with smoke, find "left atr", "laa", "la appendage", "left atrial appendage", "appendage" left atrium < spontaneous echo contrast |

| | | | spontaneous echo contrast < (laa la appendage left atr left atrial appendage appendage) |
|--|--|---|--|
| Delayed emptying velocity | | | [See Example: NLP Algorithm for Features Reported in Different Formats (Delayed Emptying Velocity)] |
| Atrial septal aneurysm | | atrial septal aneurysm, interatrial septal aneurysm | atrial sept < aneurysm aneurysm < atrial sept interatrial sept < aneurysm aneurysm < interatrial sept |
| Patent foramen ovale | | patent foramen ovale, shunting, atrial septal defect | patent foramen ovale pfo atrial septal defect interatrial (shunt communication) intracards shunt residual shunt |
| Hypokinetic left ventricular segment | [See derived left ventricular ejection fraction field] | Use LVEF ≤ 40% | LV EF ejection fraction left ventricular function |

Note that "Akinetic left ventricular segment" feature includes "akinesis" and "dyskinesis" of the left ventricle and is considered separate from "Hypokinetic left ventricular segment". In addition, "<" denotes "before"; for example, the final search term "left atrial appendage < thrombus" denotes that phrases wherein "left atrial appendage" appears before "thrombus" were identified for extraction.

| Cardioembolic Feature based on NLP | | Number of Charts Reviewed | Number of Cases Detected | Number of Cases Without Feature (Total = 440985) | |
|---|------|---------------------------------|--------------------------------|---|--|
| Mitral Stenosis | 94% | 100 | 919 | 440066 | |
| Left atrial appendage thrombus | 90% | 100 | 142 | 440843 | |
| Left ventricular thrombus | 94% | 50 | 104 | 440881 | |
| Akinetic left ventricular segment | 92% | 100 | 2411 | 438574 | |
| Mitral valve prolapse | 88% | 100 | 1248 | 439737 | |
| Mitral annulus calcification | 100% | 50 | 10021 | 430964 | |
| Left atrial turbulence | 100% | 100 | 360 | 440625 | |
| Delayed emptying velocity | 96% | 50 | 330 | 440655 | |
| Atrial septal aneurysm | 98% | 100 | 277 | 440708 | |
| Patent foramen ovale (including atrial septal defect) | 98% | 100 | 2070 | 438915 | |
| Hypokinetic left ventricular segment | 94% | 50 | 8703 | 54257 (378025 NA's) | |

Table IV. Descriptive summary of algorithms of NLP-based TOAST-based features

The Mass General Brigham Biobank was used to test and develop the algorithms for NLP-based features. The algorithms were applied to the entire dataset to detect the prevalence of the TOAST-based features and adjudication was performed to assess their PPVs.

| | | MGH |
|--|--|--------------|
| | | Stroke |
| | | Registry |
| Total number of patients | | 1598 |
| 1 | | |
| Stroke patients | | 1598 |
| | | (100%) |
| Stroke patients without either | | 107 |
| TEE/TTE/echo not clearly labeled as | | (6.7%) |
| TEE/TTE | | |
| Stroke patients with either TEE/TTE/echo | | 1491 |
| not clearly labeled as TEE/TTE | | (93.3%) |
| | 1 | |
| Stroke patients with TEE | | 218 |
| | | (13.6%) |
| | Stroke patients with TEE within 4 weeks | |
| | before or after stroke event | (5.3%) |
| | | |
| | Stroke patients with TEE within 90 | 113 |
| | weeks before or after stroke event | (7.1%) |
| | | |
| | Stroke patients with TEE within 4 weeks | 49 |
| | to 6 months stroke after event | (3.1%) |
| | | . , |
| Stroke patients with TTE | | 792 |
| 1 | | (49.6%) |
| | Stroke patients with TTE within 4 weeks | 585 |
| | before or after stroke event | (36.6%) |
| | Stroke patients with TTE within 90 | 629 |
| | weeks before or after stroke event | (39.4%) |
| | | () |
| | Stroke patients with TTE within 4 weeks to 6 months stroke after event | /9 (4.9%) |
| Other last methods and the literation of the lit | | × , |
| Stroke patients with echocardiogram (not | | 1044 |
| clearly labeled as TEE/TTE) | | (65.3%) |
| | Stroke patients with echoes not clearly | 888 |
| | labeled as TEE/TTE within 4 weeks | (55.6%) |
| | before or after stroke event | (33.070) |
| | | 959 |
| | Stroke patients with echoes not clearly | |
| | labeled as TEE/TTE within 90 days before or after stroke event | (60.0%) |
| | | |

Table V. Summary of echocardiograms among patients in MGH Stroke Registry

| | Stroke patients with echoes not clearly labeled as TEE/TTE within 4 weeks to 6 months stroke after event | 95 (5.9%) | | | |
|--|--|--------------|--|--|--|
| TEE=transesophageal echocardiogram; TTE=Transthoracic echocardiogram | | | | | |
| | | | | | |

Modifier Secondary Positive **Sentence Structure** Number Unit Keywords Keywords "emptying" "left atrial In same sentence Check if Check if Check if appendage" "less 0.40 less than m/s (change or "la m/s" 0.40 m/s units) appendage" or "laa" "velocit." "diastolic" Check if Check if <1 → "low", "reduced" correct scale "ejection" Check if Range: *"<*0.40*"* Get first m/s number in range "Filling and emptying 1 number velocit*" \rightarrow Get 1st OR number "Inflow and outflow 2 numbers velocit*" \rightarrow Get 1st OR number "left atrial appendage velocity systolic filling velocity 0.5 m/s and diastolic emptying velocity 0.6 m/s." "velocit" "left atrial Difference sentences Check if Check if Check if appendage" "less 0.40 <0.40 m/s m/s (change In target or "la sentence m/s" units) appendage" or "laa" In prior sentence Check if "emptying" Check if "low", <1 > "reduced" correct scale "diastolic" Range: Get first number in range "ejection"

Table VI. Different formats for reporting positive cases of delayed emptying velocity

| Secondary | Negative | Sentence Structure | Modifier | Number | Unit |
|-----------------|------------|--------------------|----------|----------|----------|
| Keywords | Keywords | | | | |
| "aortic valve", | "velocit." | Same sentence | >0.4 m/s | Check if | Check if |
| "aorta", | | | | >0.4 m/s | m/s |
| "transaortic" | | | | | (change |
| | | | | | units) |
| "mitral valve" | | | Greater | | |
| "regurgitant" | | | "normal" | | |
| "tricuspid" | | | | | |
| "pulmonary", | | | | | |
| "pv" | | | | | |
| "vein", | | | | | |
| "veins", | | | | | |
| "venous" | | | | | |
| "lvad" | | | | | |
| "Systolic | | | | | |
| emptying | | | | | |
| velocity" | | | | | |
| "lvot" | | | | | |
| "transgastric" | | | | | |
| "transvalvular" | | | | | |

Table VII. Different formats for reporting negative cases of delayed emptying velocity

| Variables | Multivariable-adjusted | P-value | | |
|--------------------------------------|---------------------------------|----------------------|--|--|
| A ~~ | OR (95% CI) | 0.11 | | |
| Age | 0.0301 (0.00699 - 0.119) | 0.11 | | |
| Gender (Male) | 1.0164 (0.997 - 1.037) | 0.02 | | |
| Atrial fibrillation | 0.5417 (0.322 - 0.909) | 2 x10 ⁻¹⁶ | | |
| Atrial flutter | 20.1595 (12 - 34.891) | 0.98 | | |
| Akinetic left ventricular segment | 0.9851 (0.363 - 2.768) | 0.79 | | |
| Atrial myxoma | 1.1381 (0.432 - 2.827) | 0.99 | | |
| Atrial septal aneurysm | NA | 0.02 | | |
| Congestive heart failure | 0.033 (0.001 - 0.449) | 0.42 | | |
| Dilated cardiomyopathy | 0.7716 (0.406 - 1.443) | 0.59 | | |
| Delayed Emptying Velocity | 1.2045 (0.606 - 2.375) | 0.99 | | |
| Hypokinetic left ventricular segment | 2321740.4733 (1.06E-55 - NA) | 0.0000331 | | |
| Infective endocarditis | 5.1265 (2.38 - 11.189) | 0.01 | | |
| Intracardiac Thrombus | 9.9354 (1.68 - 56.921) | NA | | |
| Left atrial appendage thrombus | NA | 0.63 | | |
| Left atrial turbulence | 3.1467 (0.0549 - 221.118) | 0.78 | | |
| Left ventricular thrombus | 1.7904 (0.0355 - 71.746) | 0.94 | | |
| Mitral annulus calcification | 1.1277 (0.0546 - 40.397) | 0.19 | | |
| Mechanical and bioprosthetic cardiac | | | | |
| valve | 0.6686 (0.364 - 1.205) | 0.12 | | |
| Myocardial infarction | | | | |
| (>4 weeks, <6 months) | 6.7377 (0.682 - 83.105) | 0.11 | | |
| Recent myocardial infarction | | | | |
| (<4 weeks) | 0.2688 (0.0474 - 1.252) | 0.15 | | |
| Mitral stenosis | 1.6784 (0.823 - 3.415) | 0.64 | | |
| Mitral valve prolapse | 1.3786 (0.365 - 5.4) | 0.76 | | |
| Nonbacterial thrombotic endocarditis | 1.4969 (0.107 - 20.61) | NA | | |
| Patent foramen ovale | NA | 0.5 | | |
| Sick sinus syndome | 0.7891 (0.389 - 1.551) | 0.0021 | | |

Table VIII. Multivariable logistic regression model applied to MGH Stroke Registry

Some cardioembolic features are similar to one another, such as Atrial fibrillation and Atrial flutter; Akinetic left ventricular segment and Hypokinetic left ventricular segment; Delayed emptying velocity and Left atrial turbulence; and Congestive heart failure and Dilated Cardiomyopathy. These similarities are confirmed by moderate levels of positive correlation in Figure V as well as by similarly signed coefficients in Table VIII. Multivariable logistic regression model applied to MGH Stroke Registry

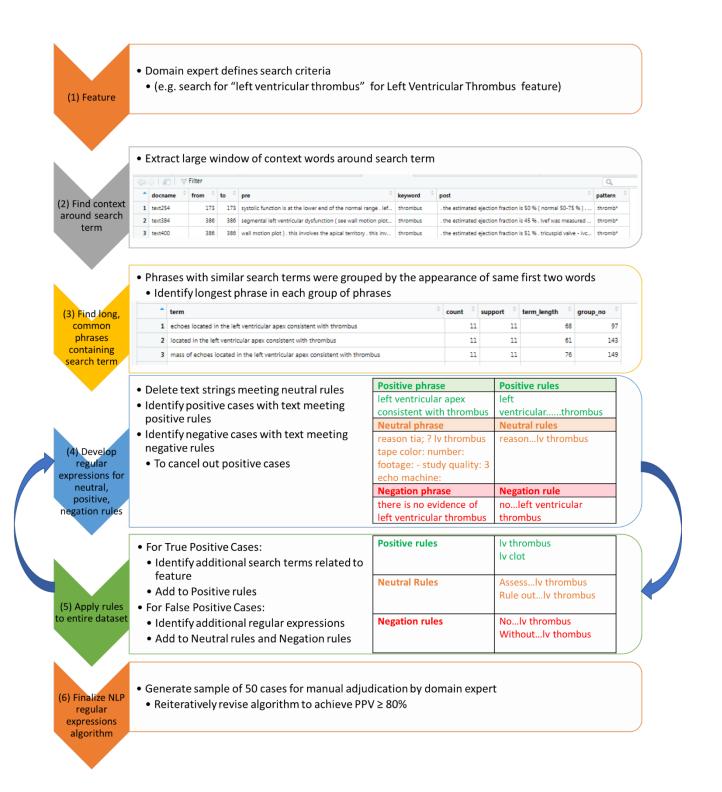
| Table IX. Random forest model performance under inclusion of PFO compared to |
|--|
| exclusion of PFO |

| | Accuracy | Sensitivity | Specificity | Pos Pred | Neg | F1 | AUC |
|---------------|------------|-------------|-------------|----------|---------|---------|---------|
| | | | | Value | Pred | Score | |
| | | | | | Value | | |
| Random Forest | 0.92 (0.89 | 0.94 | 0.88 | 0.94 | 0.88 | 0.94 | 0.91 |
| without PFO | to 0.95) | (0.90 - | (0.82 - | (0.91 - | (0.80 - | (0.92 - | (0.89 - |
| | | 0.97) | 0.94) | 0.97) | 0.93) | 0.96) | 0.94) |
| Random Forest | 0.92 (0.89 | 0.94 | 0.88 | 0.94 | 0.88 | 0.94 | 0.91 |
| with PFO | to 0.94) | (0.91 - | (0.80 - | (0.91 - | (0.82 - | (0.92 - | (0.87 - |
| | | 0.96) | 0.93) | 0.97) | 0.93) | 0.96) | 0.94) |

A comparison of model performance shows that the removal of PFO had minimal effect on model performance.

SUPPLEMENTAL FIGURES

Figure I. Process for feature extraction by identifying rules and regular expressions.



Domain expert clinicians defined the search criteria and search terms for a TOAST cardioembolic stroke feature. For each search term, a window of words was extracted to show the context around a certain keyword. The results were filtered to identify the presence of other keywords in the search term. Then similar phrases containing the search term were group together. Out of each group of phrases, the longest phrase was chosen to represent each group of phrases since longer phrases tend to contain more information. Then regular expressions were devised based on these phrases. Rules for identifying positive, neutral, and negatives usages of the search term were developed, such that neutral usages could be removed, positive usages were flagged, and then cancelled out in the presence of negative usages. NLP algorithms based on rules and regular expressions were iteratively improved to include more rules and search terms. Our NLP algorithms were manually adjudicated by a domain expert and iteratively revised until PPV $\geq 80\%$ was achieved.

Figure II. Example R script for finding common long phrases containing search term.

```
library (quanteda) # An R package for the quantitative analysis of textual
data
library(corpus) # An R package for term statistics
library(dplyr) # An R package for data manipulation
### set working directory
setwd(...)
#### load transformed text file
load(file="docs.transf.RData")
#### quanteda - find phrases containing the keywords from entire corpus
docs.transf.quanteda <- quanteda::corpus(docs.transf)</pre>
doc.tokens.1 <- quanteda::tokens(docs.transf.quanteda, remove numbers = F,</pre>
remove punct = F,
         remove symbols = T, remove separators = T, ngrams = 1, skip = 0L,
         concatenator = " ")
#### find keywords in context around thrombus/thrombi
#### window of 30 was chosen to help capture long 1-2 sentences, rather than
paragraphs, around search term
contexts <- as.data.frame(quanteda::kwic(doc.tokens.1, pattern = "thromb*",
window=30, valuetype = "glob"))
#### search for phrases with other keywords in search term
contexts1 <- contexts %>% filter(grep1("left ventr",pre) | grep1("left
ventr", post)
         grepl("left ventr", pre) | grepl("left ventr", post))
View (contexts1)
#### data manipulation for finding phrases containing search term in a
sentence
contexts2 <- contexts1 %>%
mutate(new pre = gsub("\\s*.*\\.", "", pre)) %>%
 mutate(new post = gsub("\\s*\\..*","",post)) %>%
 filter(!grepl("left atr", new pre), !grepl("left atr", new post),
   !grepl("laa", new pre), !grepl("laa", new post),
   !grep1("appendage", new pre), !grep1("appendage", new post)) %>%
 select(new pre, keyword, new post) %>%
 mutate(text=paste(new pre,keyword,new post)) %>%
 select(text) %>%
 arrange (text)
#### estimate the best length(s) of phrases containing search terms
al <- c()
for(i in 1:40) {
a <- corpus::term stats(contexts2, ngrams = i, subset = (grepl("thromb",
term)), min count = 2)
a1 <- c(a1, nrow(a))
}
plot(a1,
```

```
main="Length of Phrases Containing Keyword vs. Number of Phrases Occurring
>=2",
  xlab = "Length of Phrases Containing Keyword",
  ylab = "Number of Phrases Occurring >=2")
which.max(al)
#### from plot al, we estimate the best length(s) of phrases are around 8 to
13
## a0 is a frequency table of the most common phrases of length 8 to 13
a0 <- corpus::term stats(contexts2, ngrams = 8:13, subset = (grep1("thromb",
term)), min count = 2, types = F)
View(a0)
## al is a frequency table of the most common phrases of length 8 to 13,
including columns for each word in the phrase
a <- corpus::term stats(contexts2, ngrams = 8:13, subset = (grep1("thromb",
term)), min count = 2, types = T)
#### compute phrase length
#### compute group number to group phrases by first two words
a2 <- a %>% mutate(term length = nchar(str squish(a$term))) %>%
mutate(group no = as.integer(factor(paste(type1, type2))))
View(a2)
#### group phrases by first two words (can change two),
#### find longest phrase in each group,
#### generate frequency table for long phrases to find most common long
phrases
a3 <- a2 %>% arrange(group no, desc(term length)) %>%
 group by (group no) %>%
 filter(row number()==1) %>%
 select(-starts with("type")) %>%
 arrange(desc(count))
View(a3)
#### visual inspection of contexts2 and a3
#### informs us to search for the following phrases
#### for positive rules:
# left ventricular thrombus
# "left ventr", "thrombus" in same sentence
# thrombus is present within the left ventricular apex
# left ventricular apex consistent with thrombus
# echoes within the ventricular apex c / w thrombus
# lv thrombus
# thrombus in the left ventricle
#### for negation rules:
# left ventricular thrombus can not be excluded
# no obvious evidence of left ventricular thrombus
# no obvious lv thrombus
```

docs.transf was a character vector wherein each element contains a cardiac report. Quanteda's kwic() function extracted the window of words around a keyword in a search term. Data manipulation was applied to find the entire search term from the extraction. Then we graphed the number of unique phrases containing the keyword over the length of the phrase (n) containing the keyword, where the phrase occurs at least twice. The shortest phrase containing the keyword was the keyword itself, so the number of unique phrases, occurring at least twice, containing the keyword would be minimal (close to 1). The longest phrase containing the keyword would be a very long sentence with extraneous information; however, they do not occur more than once, so they would be omitted and the total number of unique phrases would be close to minimal. The option "min_count" in the Corpus's term_stats() function excluded phrases with extraneous information such that such phrases would not occur more than once.

Between 1 and large n, there was an optimal length of phrase containing the keyword. At this length, there would be greater and more various information within each phrase, so that the number of unique phrases would be high. Each feature we developed had its own the optimal length of phrase. The graph was used to find the optimal length of phrase, for which there would be a peak of the number of unique phrases containing the keyword. Based on the peak in the graph, a range of lengths around the optimal length, say 8 to 13 in the above example, was used to compute the frequency table of the most common phrases of the range lengths (8 to 13).

While the most common phrases were found, many of the common phrases were very alike, since they may differ by a word or two. Our approach to this problem was to group the most common phrases by their first two words and then find the longest phrase within each grouping. Finally, we obtained a frequency table for long and popular phrases containing the search term. Based on this table, regular expressions were devised. Iteratively testing the algorithms helped identify additional positive, neutral, and negative rules.

Figure III. Left ventricular ejection fraction (LVEF) regular expressions algorithm.

library(stringr)

```
#### LVEF code
pat <- "LV\\s?(\\w+\\s){0,4}EF\\s(\\w+\\s){0,4}\\d+|LV\\s?EF (\\w+\\s){0,4}is</pre>
(\w+\s) {0,4} \d+\s?(-\to) \s?\d+\LV\s?EF at
\\d+|((L?V?EF:*|Ejection\\s+fraction\\s+is|ejection\\s+fraction\\s+of|ejectio
n\\s+fraction\\s+is|ejection\\s+fraction\\s+is \\D* at|The calculated LVEF
\\D*is\\D*|LVEF is|LVEF estimated at|left\\s+ventricular function
is|ejection\\s+fraction\\s+is \\D* of)\\s+(\\S+)\\s*\\%)"
b <- stringr::str extract(corpus.txt, pat) # find phrases of "LVEF"
p <- gsub("'", "", b, fixed=F)</pre>
d <- gsub(":", "", p, fixed=F)</pre>
e <- gsub(",", "", d, fixed=F)</pre>
f <- gsub("[a-z]+", "", e, fixed=F, ignore.case=T)</pre>
g <- gsub("%", "", f, fixed=F)
n = 5
h = substr(g, (nchar(g)+1)-n, nchar(g))
h <- trimws(q, "both")</pre>
i <- strsplit(h, "-")</pre>
j <- lapply(i, as.numeric)</pre>
k <- lapply(j, mean, na.rm=T) #take mean when \\1 group measurement or range
(i.e., "45-50%")
z <- as.numeric(unlist(k))</pre>
car$LVEF <- z
car$LVEF[which(car$LVEF == 0)] <- NA</pre>
sum(is.na(car$LVEF))
# if LVEF <= 40%, then hypokinetic left ventricular segment present
ret <- as.numeric(z <= 40)</pre>
```

Previous research has developed a regular expressions algorithm for extracting left ventricular ejection fraction.¹ Extracted left ventricular ejection fraction quantities less than or equal to 40 qualifies as hypokinetic left ventricular segment (also called "reduced ejection fraction").

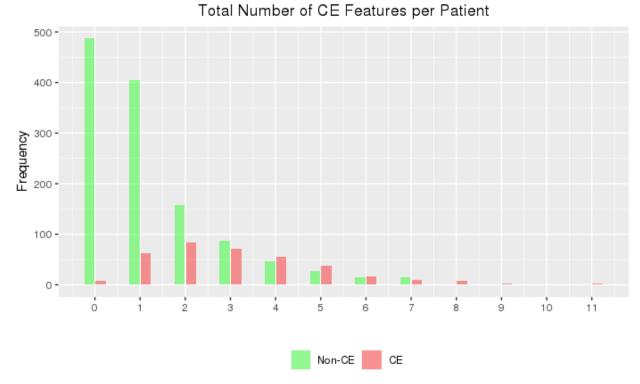
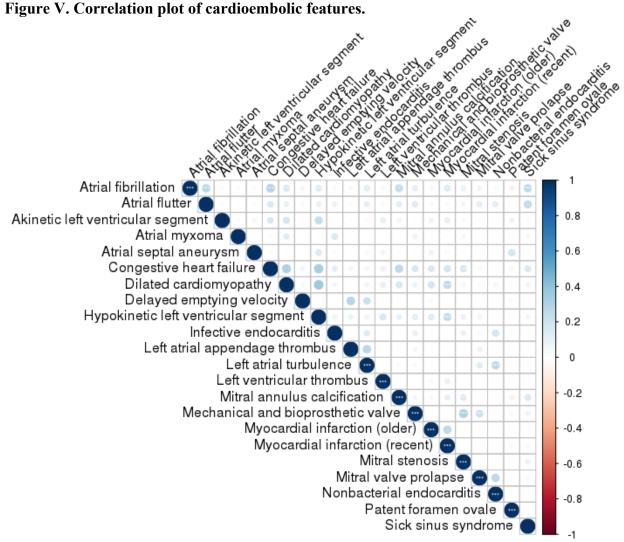


Figure IV. Total number of cardioembolic stroke features per patient.

Histogram of the total number of TOAST cardioembolic stroke features per patient. The histogram shows that cardioembolic stroke patients tend to have a greater number of TOAST cardioembolic stroke features per patient meanwhile non-cardioembolic stroke patients tend to have 0-2 total number of TOAST cardioembolic stroke features.



Correlation plot of TOAST cardioembolic features. Pairs of variables with correlation greater than 0.3 were Atrial fibrillation and Cardiac heart failure; Cardiac heart failure and Hypokinetic left ventricular segment; Dilated cardiomyopathy and Hypokinetic left ventricular segment; Mitral annulus calcification and Mitral stenosis; and Mechanical and bioprosthetic valve and Myocardial infarction (recent). Correlation matrix did not show that any pair of features having correlation greater than 0.4.