

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

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Other: Anything not covered under the previous three boxes Pending: The patent has been filed but not issued Issued: The patent has been issued by the agency Licensed: The patent has been licensed to an entity, whether earning royalties or not Povalties: Funds are coming in to you or your institution due to you



Section 1. Ide	entifying Infor	mation		
1. Given Name (First Na Beverly	ime)	2. Surname (Last Name) Assman	3. Date 12-October-2	2020
4. Are you the correspo	onding author?	Yes 🖌 No	Corresponding Author's Name Shalini Mohan	
5. Manuscript Title Tocilizumab in Patien	its Hospitalized v	vith Covid-19 Pneumonia		
6. Manuscript Identifyir 20-30340	ng Number (if you	know it)		

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

🖌 No

Are there any relevant conflicts of interest? Yes

Section 3. Relevant financial activities outside the submitted work.

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No

Are there any relevant conflicts of interest? Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments	
Genentech, A Member of the Roche Group		\checkmark		\checkmark	Employee and Shareholder in Roche	

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🗸 No



Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

✓ No other relationships/conditions/circumstances that present a potential conflict of interest

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Section 6. Disclosure Statement

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Dr. Assman reports personal fees and other from Genentech, A Member of the Roche Group, outside the submitted work; .

Evaluation and Feedback



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Section 1.	Identifying Infor	mation	
1. Given Name (F Rachel	rst Name)	2. Surname (Last Name) Baden	3. Date 21-October-2020
4. Are you the co	responding author?	Yes 🖌 No	Corresponding Author's Name Shalini Mohan
5. Manuscript Titl Tocilizumab in F		vith Covid-19 Pneumonia	
6. Manuscript Ide 20-30340	ntifying Number (if you l	know it)	

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? \checkmark Yes \square No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support <mark>?</mark>	Other?	Comments	
Genentech				✓	I was a PI on this study. My institution received support to perform this study. I did not receive any salary support.	

Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest? \Box Yes \checkmark No

S	e	ct	ic	DI	n	4	

Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🖌 No



Section 5. Relationships not covered above

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Dr. Baden reports other from Genentech, during the conduct of the study; .

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Section 1.	Identifying Inform	nation		
1. Given Name (Fii Miriam	rst Name)	2. Surname Cameron	e (Last Name)	3. Date 23-October-2020
4. Are you the cor	responding author?	Yes	✓ No	Corresponding Author's Name Shalini Mohan
5. Manuscript Title Tocilizumab in P	atients Hospitalized w	ith Covid-19	Pneumonia	
6. Manuscript Ider 20-30340	ntifying Number (if you k	now it)		

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🖌 No

Are there any relevant conflicts of interest?		Yes	
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Are there any relevant conflicts of interest?	Yes	✓	No
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Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?	Yes	🖌 No	
		•	



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Dr. Cameron has nothing to disclose.

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Section 1. Identifying Information	
1. Given Name (First Name) 2. Surname (Last Name) Victoria Chavez	3. Date 12-October-2020
4. Are you the corresponding author? Yes 🖌 No	Corresponding Author's Name Shalini Mohan
5. Manuscript Title Tocilizumab in Patients Hospitalized with Covid-19 Pneumonia	

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Are there any relevant conflicts of interest?		Yes	
---	--	-----	--

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Are there any relevant conflicts of interest?		Yes	\checkmark	No
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Do you have any patents, whether planned, pending or issued, broadly relevant to the work?		Yes	✓ No	c
	1 1		•	



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Section 1. Identifying Infor	mation	
1. Given Name (First Name) Gerard	2. Surname (Last Name) Criner	3. Date 30-November-2020
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name Shalini V. Mohan
5. Manuscript Title Tocilizumab in Patients Hospitalized v	vith Covid-19 Pneumonia	
6. Manuscript Identifying Number (if you 20-30340	know it)	

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🖌 No

Are there any relevant conflicts of interest? Yes

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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments
Galaxo Smith Kline	\checkmark	\checkmark			Consulting Fees
Boehringer Ingelheim	\checkmark	\checkmark			Consulting Fees
Chiesi	\checkmark	\checkmark			Consulting Fees
Mereo	\checkmark	\checkmark			Consulting Fees
Verona		\checkmark			Consulting Fees



Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments
Astra Zeneca	\checkmark	\checkmark			Consulting Fees
Pulmonx	\checkmark	\checkmark			Consulting Fees
Pneumrx	\checkmark	\checkmark			Consulting Fees
BTG		\checkmark			Consulting Fees
Olympus	\checkmark	\checkmark			Consulting Fees
Broncus	\checkmark	\checkmark			Consulting Fees
EOLO		\checkmark			Consulting Fees
NGM		\checkmark			Consulting Fees
Lungpacer	\checkmark	\checkmark			Consulting Fees
Nuvaira	\checkmark	\checkmark			Consulting Fees
ResMed	\checkmark	\checkmark			Consulting Fees
Respironics	\checkmark	\checkmark			Consulting Fees
Fisher Paykel	\checkmark	\checkmark			Consulting Fees
Amgen		\checkmark			Consulting Fees
Medtronic Vascular		\checkmark			Consulting Fees
Auris Health		\checkmark			Consulting Fees
CSA Medical		\checkmark			Consulting Fees
Novartis Pharma AG		\checkmark			Consulting Fees
Intuitive Surgical, Inc		\checkmark			Consulting Fees
Regeneron Healthcare Solutions, Inc		\checkmark			Consulting Fees



HGE Health Care Solutions, LLC						Ownership Interest
Section 4.	Intellectual Proper	tv Pater	nts & Copy	vriahts		
Do you have an	y patents, whether planr				ant to the	e work? 🗌 Yes 🖌 No
Section 5.	Relationships not o	overed a	bove			
	relationships or activities lencing, what you wrote		•		influence	ed, or that give the appearance of
Yes, the follo	owing relationships/cond	ditions/circ	umstances	are present (ex	plain bel	ow):
✓ No other rel	ationships/conditions/ci	rcumstance	es that pres	ent a potential	conflict o	of interest
	nanuscript acceptance, jo					ssary, update their disclosure statements.

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Dr. Criner reports grants and personal fees from Galaxo Smith Kline, grants and personal fees from Boehringer Ingelheim, grants and personal fees from Chiesi, grants and personal fees from Mereo, personal fees from Verona, grants and personal fees from Astra Zeneca, grants and personal fees from Pulmonx, grants and personal fees from Pneumrx, personal fees from BTG, grants and personal fees from Olympus, grants and personal fees from Broncus, personal fees from EOLO, personal fees from NGM, grants and personal fees from Lungpacer, grants and personal fees from Nuvaira, grants and personal fees from ResMed, grants and personal fees from Respironics, grants and personal fees from Fisher Paykel, personal fees from Amgen, personal fees from Medtronic Vascular, personal fees from Auris Health, personal fees from CSA Medical, personal fees from Novartis Pharma AG, personal fees from Intuitive Surgical, Inc, personal fees from Regeneron Healthcare Solutions, Inc, other from HGE Health Care Solutions, LLC , outside the submitted work; .



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This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

3. Relevant financial activities outside the submitted work.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

Definitions.

Entity: government agency, foundation, commercial sponsor, academic institution, etc.

Grant: A grant from an entity, generally [but not always] paid to your organization

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Non-Financial Support: Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes Pending: The patent has been filed but not issued Issued: The patent has been issued by the agency Licensed: The patent has been licensed to an entity, whether earning royalties or not Povalties: Funds are coming in to you or your institution due to you



Section 1.	Identifying Inform	mation	
1. Given Name (Fi Jamie	rst Name)	2. Surname (Last Name) Freedman	3. Date 28-October-2020
4. Are you the cor	responding author?	Yes 🖌 No	Corresponding Author's Name Shalini Mohan
5. Manuscript Title Tocilizumab in P		ith Covid-19 Pneumonia	
6. Manuscript Idei 20-30340	ntifying Number (if you k	xnow it)	

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? \checkmark Yes \checkmark No

Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

No

Are there any relevant conflicts of interest? Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments	
Genentech, Inc		\checkmark		\checkmark	Employee and shareholder of Genentech, Inc	

-	- •	
Sec		n 4
500		

Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🖌 No



Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

✓ No other relationships/conditions/circumstances that present a potential conflict of interest

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Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Freedman reports personal fees and other from Genentech, Inc, outside the submitted work; .

Evaluation and Feedback



Instructions

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Section 1.	Identifying Infor	mation	
. Given Name (First Name) 2. Surname (Last Name) ulia Garcia-Diaz		· · · · ·	3. Date 25-November-2020
4. Are you the corresponding author?		Yes 🖌 No	Corresponding Author's Name Shalini V. Mohan
5. Manuscript Title Tocilizumab in Pa		vith Covid-19 Pneumonia	
6. Manuscript Ider 20-30340	ntifying Number (if you l	know it)	

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? \checkmark Yes \checkmark No

Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

No

Are there any relevant conflicts of interest? Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments	
Astellas Pharma, Inc			\checkmark		Book gifted	

Section 4. Intellectual Property -- Patents & Copyrights Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes



Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

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Section 6. Disclosure Statement

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Dr. Garcia-Diaz reports non-financial support from Astellas Pharma, Inc, outside the submitted work; .

Evaluation and Feedback



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Section 1.	Identifying Inform	nation			
	identifying infor	nation			
1. Given Name (Fi	rst Name)	2. Surname (La	st Name)	3. Date	
Maria		Gonzalez-Lara	ł	23-November-2020	
4. Are you the corresponding author?		Yes 🗸	No	Corresponding Author's Name Shalini Mohan	
5. Manuscript Title Tocilizumab in Pa	e atients Hospitalized w	ith Covid-19 Pne	umonia		
6. Manuscript Ider 20-30340	ntifying Number (if you k	now it)			

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Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

🖌 No

Are there any relevant conflicts of interest? Yes

Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees ?	Non-Financial Support?	Other?	Comments	
Pfizer		\checkmark			honoraria for lectures	
Stendhal		\checkmark			honoraria for lectures	
Grupo Biotoscana		\checkmark			honoraria for advisory boards	

Section	- 21
Jecuon	

Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Ves



Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

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Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Gonzalez-Lara reports personal fees from Pfizer, personal fees from Stendhal, personal fees from Grupo Biotoscana, outside the submitted work; .

Evaluation and Feedback



Instructions

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Section 1.	Identifying Inform	nation	
1. Given Name (Fii Jian	rst Name)	2. Surname (Last Name) Han	3. Date 19-November-2020
4. Are you the cor	responding author?	Yes 🖌 No	Corresponding Author's Name Shalini V. Mohan
5. Manuscript Title Tocilizumab in P		ith Covid-19 Pneumonia	
6. Manuscript Ider 20-30340	ntifying Number (if you k	now it)	

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

🖌 No

Are there any relevant conflicts of interest?	Yes
---	-----

Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
Roche/Genentech		\checkmark			l am an employee of Roche/ Genentech	

Section 4.

Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? 🖌 Yes 🗌 No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.



Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments
63/079,877 RESULTS OF EMPACTA: A RANDOMIZED, DOUBLE-BLIND, PLACEBO-CONTROLLED, MULTICENTER STUDY TO EVALUATE THE EFFICACY AND SAFETY OF TOCILIZUMAB IN HOSPITALIZED PATIENTS WITH COVID-19 PNEUMONIA						Patent was submitted for review. Patent description is shown as in title.

Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

✓ No other relationships/conditions/circumstances that present a potential conflict of interest

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Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Han reports personal fees from Roche/Genentech, outside the submitted work; In addition, Dr. Han has a patent 63/079,877 RESULTS OF EMPACTA: A RANDOMIZED, DOUBLE-BLIND, PLACEBO-CONTROLLED, MULTICENTER STUDY TO EVALUATE THE EFFICACY AND SAFETY OF TOCILIZUMAB IN HOSPITALIZED PATIENTS WITH COVID-19 PNEUMONIA pending.

Evaluation and Feedback



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Section 1.	Identifying Infor	mation	
1. Given Name (Fi Emma	rst Name)	2. Surname (Last Name) Kaplan-Lewis	3. Date 20-November-2020
4. Are you the cor	responding author?	Yes 🖌 No	Corresponding Author's Name Shalini Mohan
5. Manuscript Title Tocilizumab in P		vith Covid-19 Pneumonia	
6. Manuscript Ider 20-30340	ntifying Number (if you l	know it)	

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🖌 No

Are there any relevant conflicts of interest? Yes

Section 3. Relevant financial activities outside the submitted work.

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No

Are there any relevant conflicts of interest? Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
Genentech				V	Site PI for Genentech sponsored clinical trial.	
ViiV		\checkmark			scientific advisory board- received honorarium	
GlaxoSmithKline, LLC.		\checkmark			lecture	



Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes V No

Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

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Dr. Kaplan-Lewis reports other from Genentech, personal fees from ViiV, personal fees from GlaxoSmithKline, LLC., outside the submitted work; .

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

Definitions.

Entity: government agency, foundation, commercial sponsor, academic institution, etc.

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Non-Financial Support: Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes Pending: The patent has been filed but not issued Issued: The patent has been issued by the agency Licensed: The patent has been licensed to an entity, whether earning royalties or not Royalties: Funds are coming in to you or your institution due to your



Section 1.	Identifying Infor	mation	
1. Given Name (F Benjamin	irst Name)	2. Surname (Last Name) Kramer	3. Date 18-November-2020
4. Are you the corresponding author?		Yes 🖌 No	Corresponding Author's Name Shalini Mohan
5. Manuscript Titl Tocilizumab in F		with Covid-19 Pneumonia	
6. Manuscript Ide 20-30340	ntifying Number (if you	know it)	

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

🖌 No

Are there any relevant conflicts of interest? Yes

Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees ?	Non-Financial Support?	Other?	Comments	
Genentech, Inc		\checkmark			Employee	

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? 🖌 Yes

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

No



Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments
63/079,877 RESULTS OF EMPACTA: A RANDOMIZED, DOUBLE-BLIND, PLACEBO-CONTROLLED, MULTICENTER STUDY TO EVALUATE THE EFFICACY AND SAFETY OF TOCILIZUMAB IN HOSPITALIZED PATIENTS WITH COVID-19 PNEUMONIA	√					Patent was submitted for review

Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

✓ No other relationships/conditions/circumstances that present a potential conflict of interest

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Kramer reports personal fees from Genentech, Inc, outside the submitted work; In addition, Dr. Kramer has a patent 63/079,877 RESULTS OF EMPACTA: A RANDOMIZED, DOUBLE-BLIND, PLACEBO-CONTROLLED, MULTICENTER STUDY TO EVALUATE THE

EFFICACY AND SAFETY OF TOCILIZUMAB IN HOSPITALIZED PATIENTS WITH COVID-19 PNEUMONIA pending.

Evaluation and Feedback



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Section 1. Identifying	Information	
1. Given Name (First Name) Martha	2. Surname (Last Name) Mekebeb-Reuter	3. Date 22-October-2020
4. Are you the corresponding auth	hor? Yes 🖌 No	Corresponding Author's Name Shalini Mohan
5. Manuscript Title Tocilizumab in Patients Hospita	alized with Covid-19 Pneumonia	
6. Manuscript Identifying Number 20-30340	r (if you know it)	

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

🖌 No

Are there any relevant conflicts of interest?	Y	'es
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Are there any relevant conflicts of interest?	Yes	✓	No
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Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? 🗌 Yes 🖌 No



Section 5. Relationships not covered above

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Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Mekebeb-Reuter has nothing to disclose.

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Section 1.	Identifying Infor	mation	
1. Given Name (Fin Ferdinando	rst Name)	2. Surname (Last Name) Menezes	3. Date 09-October-2020
4. Are you the corresponding author?		Yes 🖌 No	Corresponding Author's Name Shalini V. Mohan
5. Manuscript Title Tocilizumab in P		vith Covid-19 Pneumonia	
6. Manuscript Ider 20-30340	ntifying Number (if you k	(now it)	_

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

🖌 No

Are there any relevant conflicts of interest?		Yes	
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Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest?	Yes	✓	No
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Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?	Yes	V N	١o



Section 5. Relationships not covered above

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Dr. Menezes has nothing to disclose.

Evaluation and Feedback



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Section 1.	Identifying Information								
1. Given Name (Fi Shalini	rst Name)	2. Surname (Last Name) Mohan	3. Date 17-November-2020						
4. Are you the corresponding author?		Ves No							
5. Manuscript Title Tocilizumab in P		vith Covid-19 Pneumonia							
6. Manuscript Ide	ntifying Number (if you l	(now it)							

20-30340

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? Yes 🖌 No

Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
Genentech, Inc		\checkmark		\checkmark	Employee and shareholder of Genentech, Inc	

Section 4.

Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? 🖌 Yes 🗌 No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.



Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments
63/079,877 RESULTS OF EMPACTA: A RANDOMIZED, DOUBLE-BLIND, PLACEBO-CONTROLLED, MULTICENTER STUDY TO EVALUATE THE EFFICACY AND SAFETY OF TOCILIZUMAB IN HOSPITALIZED PATIENTS WITH COVID-19 PNEUMONIA	✓					RESULTS OF EMPACTA: A RANDOMIZED, DOUBLE- BLIND, PLACEBO- CONTROLLED, MULTICENTER STUDY TO EVALUATE THE EFFICACY AND SAFETY OF TOCILIZUMAB IN HOSPITALIZED PATIENTS WITH COVID-19 PNEUMONIA

Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

✓ No other relationships/conditions/circumstances that present a potential conflict of interest

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Section 6. Disclosure Statement

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Dr. Mohan reports personal fees and other from Genentech, Inc, outside the submitted work; In addition, Dr. Mohan has a patent 63/079,877 RESULTS OF EMPACTA: A RANDOMIZED, DOUBLE-BLIND, PLACEBO-CONTROLLED, MULTICENTER STUDY TO EVALUATE THE EFFICACY AND SAFETY OF TOCILIZUMAB IN HOSPITALIZED PATIENTS WITH COVID-19 PNEUMONIA pending.

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Section 1.	Identifying Information								
1. Given Name (Fi Jeffrey	rst Name)	2. Surname (Last Name) Neidhart	3. Date 23-November-2020						
4. Are you the co	responding author?	Yes 🖌 No	Corresponding Author's Name Shalini Mohan						
5. Manuscript Titl Tocilizumab in F		with Covid-19 Pneumonia							
6. Manuscript Ide 20-30340	ntifying Number (if you	know it)							

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

🖌 No

Are there any relevant conflicts of interest? Yes

Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees ?	Non-Financial Support?	Other?	Comments	
F. Hoffmann-La Roche AG				\checkmark	Investigator Fees	
Roche Products Limited			\checkmark		Travel & Lodging for Investigator Meeting	
Takeda Pharmaceuticals				\checkmark	Investigator Fees	
Pfizer, Inc				\checkmark	Investigator Fees	
AstraZeneca Phamaceuticals LP				\checkmark	Investigator Fees	
Amgen, Inc				\checkmark	Investigator Fees	



Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes V No

Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

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Section 6. Disclosure Statement

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Dr. Neidhart reports other from F. Hoffmann-La Roche AG, non-financial support from Roche Products Limited, other from Takeda Pharmaceuticals, other from Pfizer, Inc, other from AstraZeneca Phamaceuticals LP, other from Amgen, Inc, outside the submitted work; .

Evaluation and Feedback



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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

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Non-Financial Support: Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes Pending: The patent has been filed but not issued Issued: The patent has been issued by the agency Licensed: The patent has been licensed to an entity, whether earning royalties or not Royalties: Funds are coming in to you or your institution due to your



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Lavannya	2. Surname (Last Name) Pandit	3. Date 20-October-2020
4. Are you the corresponding author?	Yes 🗸 No	Corresponding Author's Name Shalini Mohan
5. Manuscript Title Focilizumab in Patients Hospitalized w	ith Covid-19 Pneumonia	

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

🖌 No

Are there any relevant conflicts of interest?		Yes	
---	--	-----	--

Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

Are there any relevant conflicts of interest?		Yes	\checkmark	No
---	--	-----	--------------	----

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?	Yes	🖌 No	



Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

✓ No other relationships/conditions/circumstances that present a potential conflict of interest

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Pandit has nothing to disclose.

Evaluation and Feedback



Instructions

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

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Other: Anything not covered under the previous three boxes Pending: The patent has been filed but not issued Issued: The patent has been issued by the agency Licensed: The patent has been licensed to an entity, whether earning royalties or not Royalties: Funds are coming in to you or your institution due to your



Section 1. Identifying Informat	ion	
	e. Surname (Last Name) Reiss	3. Date 20-November-2020
4. Are you the corresponding author?	Yes 🖌 No Correspon Shalini M	iding Author's Name ohan
5. Manuscript Title Tocilizumab in Patients Hospitalized with	Covid-19 Pneumonia	

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

🖌 No

Are there any relevant conflicts of interest? Yes

Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments	
Genentech		\checkmark			l am an employee of Genentech	

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? 🗸 Yes

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

No



Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments
RESULTS OF EMPACTA: A RANDOMIZED, DOUBLE-BLIND, PLACEBO-CONTROLLED, MULTICENTER STUDY TO EVALUATE THE EFFICACY AND SAFETY OF TOCILIZUMAB IN HOSPITALIZED PATIENTS WITH COVID-19 PNEUMONIA						Patent was submitted for review

Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

✓ No other relationships/conditions/circumstances that present a potential conflict of interest

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

Section 6.

Section 5.

Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Reiss reports personal fees from Genentech, outside the submitted work; In addition, Dr. Reiss has a patent RESULTS OF EMPACTA: A RANDOMIZED, DOUBLE-BLIND, PLACEBO-CONTROLLED, MULTICENTER STUDY TO EVALUATE THE EFFICACY AND SAFETY OF TOCILIZUMAB IN HOSPITALIZED PATIENTS WITH COVID-19 PNEUMONIA pending.

Evaluation and Feedback



Instructions

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

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Section 1. Identifying Inform	ation	
1. Given Name (First Name) Carlos	2. Surname (Last Name) Salama	3. Date 12-October-2020
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name Shalini Mohan
5. Manuscript Title Tocilizumab in Patients Hospitalized wit	h Covid-19 Pneumonia	

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

🖌 No

Are there any relevant conflicts of interest? Yes

Section 3. Relevant financial activities outside the submitted work.

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No

Are there any relevant conflicts of interest? Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
Genentech		\checkmark			Advisory board meeting	

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🗸 No



Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

✓ No other relationships/conditions/circumstances that present a potential conflict of interest

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Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Salama reports personal fees from Genentech, outside the submitted work; .

Evaluation and Feedback



Instructions

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Section 1. Identifying Informa	ation	
1. Given Name (First Name) Reena	2. Surname (Last Name) Shah	3. Date 01-November-202
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name Shalini Mohan
5. Manuscript Title Tocilizumab in Patients Hospitalized witl	h Covid-19 Pneumonia	

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

🖌 No

Are there any relevant conflicts of interest?		Yes	
---	--	-----	--

Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

Are there any relevant conflicts of interest? Yes 🗸 No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?		Yes	No
	1 1		



Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

✓ No other relationships/conditions/circumstances that present a potential conflict of interest

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Section 6. Disclosure Statement

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Dr. Shah has nothing to disclose.

Evaluation and Feedback



Instructions

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Section 1. Identifying Inf	ormation	
1. Given Name (First Name) Linda	2. Surname (Last Name) Yau	3. Date 19-November-2020
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name Shalini Mohan
5. Manuscript Title Tocilizumab in Patients Hospitalize	d with Covid-19 Pneumonia	

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

🖌 No

Are there any relevant conflicts of interest? Yes

Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees ?	Non-Financial Support?	Other?	Comments	
Genentech, Inc.		\checkmark		\checkmark	Employee and Company stock holder	

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? 🖌 Yes

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

No



Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments
63/079,877 RESULTS OF EMPACTA: A RANDOMIZED, DOUBLE-BLIND, PLACEBO-CONTROLLED, MULTICENTER STUDY TO EVALUATE THE EFFICACY AND SAFETY OF TOCILIZUMAB IN HOSPITALIZED PATIENTS WITH COVID-19 PNEUMONIA	√					Patent was submitted for review

Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

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Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Yau reports personal fees and other from Genentech, Inc., outside the submitted work; In addition, Dr. Yau has a patent 63/079,877 RESULTS OF EMPACTA: A RANDOMIZED, DOUBLE-BLIND, PLACEBO-CONTROLLED, MULTICENTER STUDY TO EVALUATE THE

EFFICACY AND SAFETY OF TOCILIZUMAB IN HOSPITALIZED PATIENTS WITH COVID-19 PNEUMONIA pending.

Evaluation and Feedback