

Instructions

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Identifying information.

2. The work under consideration for publication.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

Relationships not covered above.

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Other: Anything not covered under the previous three boxes

Pending: The patent has been filed but not issued **Issued:** The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent



Section 1. Identifying Inform	mation		
 Given Name (First Name) Lindsey 	Surname (Last Name)Baden		3. Date 23-December-2020
4. Are you the corresponding author?	Yes ✓ No	Corresponding Auth Hana El-Sahly	or's Name
5. Manuscript Title Efficacy and Safety of mRNA-1273 SAR	S-CoV-2 Vaccine		
6. Manuscript Identifying Number (if you k 20-35389	now it)		
Section 2. The Work Under C	Consideration for Publ	ication	
any aspect of the submitted work (includin statistical analysis, etc.)? Are there any relevant conflicts of inter	g but not limited to grants, drest? Yes No formation below. If you ha	lata monitoring board, st	ent, commercial, private foundation, etc.) for sudy design, manuscript preparation, ity press the "ADD" button to add a row.
Name of Institution/Company	Grant	on-Financial Other?	Comments
NIH/NIAID	✓		Grant support for the COVE study
Section 3. Relevant financial	activities outside the	submitted work.	
	ribed in the instructions. Leport relationships that we rest? Yes No	Jse one line for each e	cial relationships (regardless of amount ntity; add as many lines as you need by e 36 months prior to publication.
Name of Entity	Grant	on-Financial Other?	Comments
Ragon Institute	✓		HIV Vaccine Development
NIH/NIAID	V		Vaccine Development including for HIV and COVID
Gates Foundation	✓		HIV Vaccine Development



Name of Entity	Grant? Personal Fees?	Non-Financial Support?	Other?	Comments		
Wellcome Trust	✓		Va	ccine Development		
Section 4. Intellectual Propert	y Patents & Cop	pyrights				
Do you have any patents, whether plann Section 5.		ed, broadly releva	nt to the wo	ork? Yes 🗸	☑ No	
Relationships not c	overed above					
Are there other relationships or activities potentially influencing, what you wrote i	n the submitted wo	rk?			pearance of	
✓ Yes, the following relationships/cond	litions/circumstance	s are present (exp	olain below)	:		
No other relationships/conditions/cir	cumstances that pre	esent a potential o	conflict of in	iterest		
Dr. Baden is a Deputy Editor at the New E trials conducted in collaboration with the (CoVPN), International AIDS Vaccine Initial Foundation, and the Ragon Institute.	e NIH, HIV Vaccine Tr	rials Network (HV	TN), COVID	Vaccine Prevention	n Network	
At the time of manuscript acceptance, jo On occasion, journals may ask authors to					closure statem	nents.
Section 6. Disclosure Stateme	nt					
Based on the above disclosures, this form below.		generate a disclos	sure stateme	ent, which will app	pear in the box	

3

Dr. Baden reports grants from NIH/NIAID, during the conduct of the study; grants from Ragon Institute, grants from NIH/NIAID, grants from Gates Foundation, grants from Wellcome Trust, outside the submitted work; and Dr. Baden is a Deputy Editor at the New England Journal of Medicine. Dr. Baden is involved in HIV and COVID vaccine clinical trials conducted in collaboration with the NIH, HIV Vaccine Trials Network (HVTN), COVID Vaccine Prevention Network (CoVPN), International AIDS Vaccine Initiative (IAVI), Crucell/Janssen, Moderna, Military HIV Research Program (MHRP), Gates Foundation, and the

Ragon Institute. .



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Royalties: Funds are coming in to you or your institution due to your patent

Bennett 1



Section 1. Identifying Inform	ation		
identifying inform	ation		
1. Given Name (First Name) Hamilton	2. Surname (Last Name) Bennett		3. Date 21-December-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's	s Name
5. Manuscript Title Efficacy and Safety of mRNA-1273 SARS	-CoV-2 Vaccine		
6. Manuscript Identifying Number (if you kn 20-35389	ow it)	_	
Section 2. The Work Under Co	onsideration for Public	cation	
Did you or your institution at any time receing any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere	but not limited to grants, da		
Section 3. Relevant financial a	activities outside the	submitted work.	
Place a check in the appropriate boxes in of compensation) with entities as describled clicking the "Add +" box. You should repart there any relevant conflicts of interesting the second conflicts of interesting	bed in the instructions. Use ort relationships that we st?	se one line for each enti re present during the 3	ty; add as many lines as you need by
Name of Entity	Grant? Personal Fees? S	n-Financial upport?	Comments
Moderna, Inc			mployee of Moderna, Inc. and hold ock and stock options
Section 4. Intellectual Proper	ty Patents & Copyric	ghts	
Do you have any patents, whether planr	ned, pending or issued, br	oadly relevant to the wo	ork? ☐ Yes ✓ No

Bennett 2



Section 5. Relationships not severed above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
Disclosure Statement
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Ms. Bennett reports personal fees from Moderna, Inc, outside the submitted work; .

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Royalties: Funds are coming in to you or your institution due to your patent

Brosz 1



Section 1.	Identifying Inform	ation			
1. Given Name (Firs Adam	it Name)	2. Surname (Last N Brosz	ame)	3. Date 28-Dece	ember-2020
4. Are you the corre	esponding author?	Yes ✓ No	Correspor Hana El-S	nding Author's Name Sahly	
5. Manuscript Title Efficacy and Safet	y of mRNA-1273 SARS	-CoV-2 Vaccine			
6. Manuscript Ident 20-35389	tifying Number (if you kn	ow it)			
Section 2.	The Work Under Co	onsideration for	Publication		
any aspect of the su statistical analysis, e Are there any rele If yes, please fill ou	bmitted work (including tc.)? vant conflicts of intere	but not limited to grast? Yes community	ants, data monitorir	n (government, commercial, p ng board, study design, manu n one entity press the "AC	uscript preparation,
Name of Institution	on/Company	Grant? Persona Fees?	Non-Financial	Other? Comments	
NIH/NIAID		✓			
Section 3.	Relevant financial a	activities outsid	the submitted	work.	
of compensation) clicking the "Add Are there any rele	with entities as descri	bed in the instructi oort relationships th —	ons. Use one line	ave financial relationship: for each entity; add as ma during the 36 months pr	ny lines as you need by
Section 4.	Intellectual Proper	ty Patents & C	opyrights		
Do you have any լ	oatents, whether planr	ned, pending or iss	ued, broadly relev	ant to the work? Yes	✓ No

Brosz 2



Section 5. Polationships not sovered above
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Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
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Dr. Brosz reports grants from NIH/NIAID, during the conduct of the study; .

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Brosz 3



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Royalties: Funds are coming in to you or your institution due to your patent

Corey 1



Section 1. Identifying Inform	nation	
Given Name (First Name) Lawrence	2. Surname (Last Name) Corey	3. Date 22-December-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Hana El-Sahly
5. Manuscript Title Efficacy and Safety of mRNA-1273 SARS	S-CoV-2 Vaccine	
6. Manuscript Identifying Number (if you kr 20-35389	now it)	
Section 2. The Work Under Co	onsideration for Public	cation
any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere	est? Yes No ormation below. If you have g the "X" button.	a third party (government, commercial, private foundation, etc.) for ita monitoring board, study design, manuscript preparation, we more than one entity press the "ADD" button to add a row.
Name of Institution/Company	Grant? Personal Nor	n-Financial other? Comments
NIH/NIAID	✓	
Section 3. Relevant financial	activities outside the s	ubmitted work.
of compensation) with entities as descr clicking the "Add +" box. You should re Are there any relevant conflicts of intere	ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.
Section 4. Intellectual Proper	rty Patents & Copyric	phts
Do you have any patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No

Corey 2



Section 5.	
Section 5.	Relationships not covered above
	elationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest
	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements rnals may ask authors to disclose further information about reported relationships.
Cartinuc	
Section 6.	Disclosure Statement
Based on the abo	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box
Dr. Corey report	s grants from NIH/NIAID, during the conduct of the study; .

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Creech 1



Section 1. Identifying Inform	ation				
Given Name (First Name) Buddy	2. Surna Creech	me (Last Name	2)		3. Date 30-December-2020
4. Are you the corresponding author?	Yes	√ No	Correspond Hana El-Sa	_	or's Name
5. Manuscript Title Efficacy and Safety of mRNA-1273 SARS	-CoV-2 Va	ccine			
6. Manuscript Identifying Number (if you kn 20-35389	ow it)				
Section 2. The Work Under Co	nsidera	tion for Pul	blication		
Did you or your institution at any time receirany aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of interelf yes, please fill out the appropriate info	but not lin	nited to grants Yes	, data monitoring	board, st	udy design, manuscript preparation,
Excess rows can be removed by pressing					,,,
Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support	Other?	Comments
National Institutes of Health	✓				
Section 3. Relevant financial a	activitie	s outside th	ne submitted	work.	
Place a check in the appropriate boxes in of compensation) with entities as descril clicking the "Add +" box. You should rep Are there any relevant conflicts of intere If yes, please fill out the appropriate info	oed in the ort relation st? ✓	e instructions onships that versely No.	. Use one line fo were present d	or each e	ntity; add as many lines as you need by
Name of Entity	Grant?	Personal Fees?	Non-Financial Support	Other?	Comments
Merck	✓				
Horizon Pharma		✓			Consultation for care of children with chronic granulomatous disease
Astellas		\checkmark			Data and Safety Monitoring Board for a pneumococcal vaccine study

Creech 2



Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments
GSK	✓	✓			Consultation regarding S. aureus vaccine development
Section 4. Intellectual Propert	v Pate	ents & Coi	ovriahts		
Do you have any patents, whether plann				nt to the	work? Yes 🗸 No
Section 5. Relationships not c	overed	above			
Are there other relationships or activities potentially influencing, what you wrote i				nfluence	d, or that give the appearance of
Yes, the following relationships/cond					
✓ No other relationships/conditions/cir	cumstan	ces that pro	esent a potential (conflict of	finterest
At the time of manuscript acceptance, jo On occasion, journals may ask authors to					
Section 6. Disclosure Stateme	nt				
Based on the above disclosures, this form below.	n will auto	omatically	generate a disclos	sure state	ment, which will appear in the box
Dr. Creech reports grants from National fees from Horizon Pharma, personal fees					

Evaluation and Feedback

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4. Intellectual Property.

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Relationships not covered above.

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Other: Anything not covered under the previous three boxes

Pending: The patent has been filed but not issued **Issued:** The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Deng 1



Section 1. Identifying Info	ormation	
1. Given Name (First Name) Weiping	2. Surname (Last Name) Deng	3. Date 20-December-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Hana El-Sahly
5. Manuscript Title Efficacy and Safety of mRNA-1273 S	ARS-CoV-2 Vaccine	
6. Manuscript Identifying Number (if yo 20-35389	ou know it)	_
Section 2. The Work Unde	r Consideration for Public	ation
	ding but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Section 3. Relevant finance	cial activities outside the s	ubmitted work.
of compensation) with entities as de	escribed in the instructions. Used report relationships that were terest?	ether you have financial relationships (regardless of amount e one line for each entity; add as many lines as you need by e present during the 36 months prior to publication .
Name of Entity	Grant? Personal Nor	Other? Comments
Moderna Inc.		Employee of Moderna Inc.
Section 4. Intellectual Pro	perty Patents & Copyric	hts
Do you have any patents, whether p	planned, pending or issued, br	oadly relevant to the work? Yes Vo

Deng 2



Section 5. Polationships not severed above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Deng reports personal fees from Moderna Inc., outside the submitted work; .

Evaluation and Feedback

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Deng 3



Instructions

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Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

1

Diemert



Section 1. Identifying Inform	nation		
1. Given Name (First Name) David	2. Surname (Last Name) Diemert		3. Date 19-December-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Auth	or's Name
5. Manuscript Title Efficacy and Safety of mRNA-1273 SARS	-CoV-2 Vaccine		
6. Manuscript Identifying Number (if you kr 20-35389	now it)		
Section 2. The Work Under Co	onsideration for Publi	cation	
Did you or your institution at any time received any aspect of the submitted work (including statistical analysis, etc.)?			ent, commercial, private foundation, etc.) for audy design, manuscript preparation,
Are there any relevant conflicts of interes		_	
If yes, please fill out the appropriate info Excess rows can be removed by pressing	-	e more than one ent	ity press the "ADD" button to add a row.
Name of Institution/Company	Grant	n-Financial other?	Comments
NIH	V		I received salary support (percent effort) from the grant that funded this clinical trial at my Institution.
Section 3. Palement financial			
Relevant financial	activities outside the s	submitted work.	
Place a check in the appropriate boxes i of compensation) with entities as descri clicking the "Add +" box. You should rep	bed in the instructions. Us	se one line for each e	ntity; add as many lines as you need by
Are there any relevant conflicts of interest	est? Yes ✓ No		
Section 4. Intellectual Proper	rty Patents & Copyri	ghts	
Do you have any patents, whether plan			work? ☐ Yes ✓ No

Diemert 2



Section 5.	
Section 5.	Relationships not covered above
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest
	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements rnals may ask authors to disclose further information about reported relationships.
Section 6.	Disclosure Statement
Based on the abo	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box
Dr. Diemert repo	orts grants from NIH, during the conduct of the study; .

Evaluation and Feedback

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Diemert 3



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Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

El Sahly 1



Section 1. Identifying Inform	ation		
1. Given Name (First Name) Hana	2. Surname (Last Name) El Sahly		3. Date 23-December-2020
4. Are you the corresponding author?	✓ Yes No		
5. Manuscript Title Efficacy and Safety of mRNA-1273 SARS	-CoV-2 Vaccine		
6. Manuscript Identifying Number (if you kn 20-35389	ow it)	_	
Section 2. The Work Under Co	nsideration for Public	ation	
Did you or your institution at any time receiany aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intereif yes, please fill out the appropriate info Excess rows can be removed by pressing	but not limited to grants, dat st? Yes No rmation below. If you have	ta monitoring board, st	udy design, manuscript preparation,
Name of Institution/Company	Grant	n-Financial Other?	Comments
NIH	V		NIH funding to perform the study UM1 Al148575-01S2
Section 3. Polovant financial		ما در ام معنا در ام	
Place a check in the appropriate boxes in of compensation) with entities as described clicking the "Add +" box. You should repart there any relevant conflicts of interests."	oed in the instructions. Us ort relationships that were	ether you have financ e one line for each er	ntity; add as many lines as you need by
Continu A			
Section 4. Intellectual Proper	ty Patents & Copyrig	hts	
Do you have any patents, whether planr	ned, pending or issued, bro	oadly relevant to the	work? ☐ Yes ✓ No

El Sahly 2



Section 5.	
Section 5.	Relationships not covered above
	elationships or activities that readers could perceive to have influenced, or that give the appearance of ncing, what you wrote in the submitted work?
Yes, the follow	ving relationships/conditions/circumstances are present (explain below):
✓ No other relat	ionships/conditions/circumstances that present a potential conflict of interest
	nuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements nals may ask authors to disclose further information about reported relationships.
Section 6.	Disclosure Statement
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Dr. El Sahly repor	ts grants from NIH, during the conduct of the study.

Evaluation and Feedback

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El Sahly 3



Instructions

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Royalties: Funds are coming in to you or your institution due to your patent

Essink 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fi Brandon	rst Name)	2. Surname (Last Name) Essink		3. Date 22-December-2020
4. Are you the cor	responding author?	✓ Yes No		
5. Manuscript Title Efficacy and Safe	e ety of mRNA-1273 SARS	s-CoV-2 Vaccine		
6. Manuscript Ider 20-35389	ntifying Number (if you kr	now it)		
Section 2.	The Work Under Co	onsideration for Publ	ication	
any aspect of the s statistical analysis,	ubmitted work (including	but not limited to grants, c	m a third party (government, co data monitoring board, study de	ommercial, private foundation, etc.) for esign, manuscript preparation,
Section 3.	Relevant financial	activities outside the	submitted work.	
of compensation clicking the "Add) with entities as descri	ibed in the instructions. Uport relations hips that we	Jse one line for each entity; a	lationships (regardless of amount add as many lines as you need by nonths prior to publication .
Section 4.	Intellectual Proper	rty Patents & Copyr	ights	
Do you have any	patents, whether plan	ned, pending or issued, k	proadly relevant to the work	? ☐ Yes ✓ No

Essink 2



Section 5.	
	Relationships not covered above
	elationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest
	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements rnals may ask authors to disclose further information about reported relationships.
Section 6.	Disclosure Statement
Based on the abo	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box
Dr. Essink has no	othing to disclose.

Evaluation and Feedback

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Essink 3



Instructions

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Definitions.

Fierro

Entity: government agency, foundation, commercial sponsor, academic institution, etc.

Grant: A grant from an entity, generally [but not always] paid to your organization

Personal Fees: Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speakers bureaus, expert testimony, employment, or other affiliations

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Royalties: Funds are coming in to you or your institution due to your patent

1

administrative support, etc.



Section 1.	Identifying Inform	nation	
1. Given Name (Fi	rst Name)	2. Surname (Last Name) Fierro	3. Date 28-December-2020
4. Are you the cor	responding author?	☐ Yes ✓ No	Corresponding Author's Name Hana El-Sahly
5. Manuscript Title Efficacy and Safe	ety of mRNA-1273 SARS	5-CoV-2 Vaccine	
6. Manuscript Ider 20-35389	ntifying Number (if you kr	now it)	
			_
Section 2.	The Work Under C	onsideration for Public	cation
any aspect of the s statistical analysis,	ubmitted work (including	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Section 3.	Relevant financial	activities outside the s	submitted work.
of compensation clicking the "Add) with entities as descri	ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.
Section 4.	Intellectual Prope	rty Patents & Copyric	ghts
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No

Fierro 2



Section 5.	
	Relationships not covered above
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest
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Based on the abo	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box
Dr. Fierro has no	othing to disclose.

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Fierro 3



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Follmann 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fi Dean	rst Name)	2. Surname (Last Name) Follmann	3. Date 21-December-2020
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Hana El Sahly
5. Manuscript Title Efficacy and Safe	e ety of mRNA-1273 SARS	5-CoV-2 Vaccine	
6. Manuscript Ide 20-35389	ntifying Number (if you kr	now it)	
Section 2.	The Work Under C	onsideration for Public	cation
any aspect of the s statistical analysis,	submitted work (including	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Section 3.	Relevant financial	activities outside the s	submitted work.
of compensation clicking the "Add	n) with entities as descr	ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.
Section 4.	Intellectual Prope	rty Patents & Copyrig	ghts
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No

Follmann 2



Section 5.	
Section 5.	Relationships not covered above
	elationships or activities that readers could perceive to have influenced, or that give the appearance of ncing, what you wrote in the submitted work?
Yes, the follow	ving relationships/conditions/circumstances are present (explain below):
✓ No other relat	ionships/conditions/circumstances that present a potential conflict of interest
	nuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements nals may ask authors to disclose further information about reported relationships.
Section 6.	Disclosure Statement
Based on the abo below.	ve disclosures, this form will automatically generate a disclosure statement, which will appear in the box
Dr. Follmann has	nothing to disclose.

Evaluation and Feedback

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Follmann 3



Instructions

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Other: Anything not covered under the previous three boxes

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Issued: The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Frey 1



1. Given Name (First Name)	2 C (I+ N)		2.0.4
Sharon	2. Surname (Last Name) Frey		3. Date 30-December-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author	or's Name
5. Manuscript Title Efficacy and Safety of mRNA-1273 SARS	S-CoV-2 Vaccine		
5. Manuscript Identifying Number (if you kr 20-35389	now it)	_	
Section 2. The Work Under Co	onsideration for Publi	cation	
Did you or your institution at any time rece iny aspect of the submitted work (including tatistical analysis, etc.)? Are there any relevant conflicts of intere f yes, please fill out the appropriate info excess rows can be removed by pressin	y but not limited to grants, da est? ✓ Yes ☐ No ormation below. If you have	ata monitoring board, st	udy design, manuscript preparation,
lame of Institution/Company	Grant	n-Financial Other?	Comments
	Grant	Other•	Comments I am the Saint Louis Univesity's (SLU) Vaccine and Treatment Evaluation Unit (VTEU) CoVPN site PI for this Moderna mRNA vaccine trial. DMID, NIAID provides funding directly to SLU.
lame of Institution/Company	Fees? S	Other•	I am the Saint Louis Univesity's (SLU) Vaccine and Treatment Evaluation Unit (VTEU) CoVPN site PI for this Moderna mRNA vaccine trial. DMID, NIAID provides funding directly to
ID Continue 2	Fees? S	other•	I am the Saint Louis Univesity's (SLU) Vaccine and Treatment Evaluation Unit (VTEU) CoVPN site PI for this Moderna mRNA vaccine trial. DMID, NIAID provides funding directly to

Frey 2



Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
NIAID	✓				I am also the SLU VTEU site PI for the J&J Janssen SARS-CoV-2 phase 3 mRNA Vaccine trial. DMID, NIAID provides funding directly to SLU.
Section 4. Intellectual Propert	y Pate	ents & Co _l	pyrights		
Do you have any patents, whether plann	ed, pend	ing or issue	ed, broadly releva	nt to the	work? Yes V No
Section 5. Relationships not c	overed	above			
Are there other relationships or activities potentially influencing, what you wrote i				influence	d, or that give the appearance of
Yes, the following relationships/cond					
At the time of manuscript acceptance, jo On occasion, journals may ask authors to	urnals wi	ll ask autho	ors to confirm and	d, if neces	sary, update their disclosure statements.
Section 6. Disclosure Stateme	nt				
Based on the above disclosures, this form below.		omatically	generate a disclos	sure state	ment, which will appear in the box
Dr. Frey reports grants from NIAID, durin	ng the co	nduct of th	e study; grants fro	om NIAID	o, outside the submitted work; .

Frey 3



Evaluation and Feedback

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Frey 4



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Royalties: Funds are coming in to you or your institution due to your patent

Gilbert 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fi Peter	rst Name)	2. Surname (Last Name) Gilbert		Date -December-2020
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Hana El-Sahly	
5. Manuscript Title Efficacy and Safe	ety of mRNA-1273 SARS	-CoV-2 Vaccine		
6. Manuscript Ider 20-35389	ntifying Number (if you kn	now it)		
	ı			
Section 2.	The Work Under Co	onsideration for Pub	ication	
any aspect of the s statistical analysis, Are there any rel If yes, please fill o	ubmitted work (including etc.)? evant conflicts of intere	sbut not limited to grants, east? Yes No prmation below. If you ha	m a third party (government, commo data monitoring board, study design ave more than one entity press th	n, manuscript preparation,
Name of Institut	ion/Company	Grant? Personal No	on-Financial Other? Commo	ents
NIH NIAID		✓		
	I			
Section 3.	Relevant financial	activities outside the	submitted work.	
of compensation clicking the "Add Are there any rel) with entities as descri	bed in the instructions. boort relationships that w	hether you have financial relatio Jse one line for each entity; add ere present during the 36 mon t	as many lines as you need by
Section 4.	Intellectual Proper	ty Patents & Copyr	ights	
Do you have any	patents, whether plani	ned, pending or issued, l	proadly relevant to the work?	Yes ✓ No

Gilbert 2



Section 5.	
Section 5.	Relationships not covered above
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest
	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements rnals may ask authors to disclose further information about reported relationships.
Section 6.	Disclosure Statement
Based on the abo	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box
Dr. Gilbert repor	rts grants from NIH NIAID, during the conduct of the study; .

Evaluation and Feedback

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Gilbert 3



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Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Graham 1



Section 1. Identifying	Information					
1. Given Name (First Name) Barney	2. Surname Graham	e (Last Name)			3. Date 80-December-2020	
4. Are you the corresponding author	or? Yes	✓ No	Correspon Hana El-S	ding Author's Namo	e	
5. Manuscript Title Efficacy and Safety of mRNA-12	73 SARS-CoV-2 Vacc	ine				
6. Manuscript Identifying Number 20-35389	(if you know it)		_			
Continue						
Section 2. The Work U	nder Consideratio	on for Publi	cation			
Did you or your institution at any ti any aspect of the submitted work (i statistical analysis, etc.)? Are there any relevant conflicts	ncluding but not limite	ed to grants, da				etc.) for
Section 3. Relevant fin	ancial activities o	outside the	submitted	work.		
Place a check in the appropriate of compensation) with entities a clicking the "Add +" box. You sh Are there any relevant conflicts	ns described in the ir ould report relations	nstructions. U ships that we	se one line f	or each entity; ad	d as many lines as you nee	ed by
Section 4. Intellectual	Property Paten	ts & Copyri	ghts			
Do you have any patents, wheth If yes, please fill out the appropr Excess rows can be removed by	iate information bel	ow. If you ha	-		Yes No the "ADD" button to add	a row.
Patent?	Pending? Issued	? Licensed?	Royalties?	Licensee?	Comments	
Patent Application No. NO/2018/081318	7					
Prefusion Coronavirus Spike Proteins						

Graham 2



Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments	
US Patent Application No. 62/972,886	✓						
2019-nCoV Vaccine							
Section 5. Relationshi	ps not cove	ered abo	ove				
Are there other relationships or potentially influencing, what yo			•	eive to have	influenced, or tha	at give the appearance of	
Yes, the following relationsh	•			•	•	-+	
No other relationships/conditions/circumstances that present a potential conflict of interest At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.							
Section 6. Disclosure S	tatement						
Based on the above disclosures, below.	this form wi	ll automa	atically gene	erate a disclo	sure statement, v	vhich will appear in the box	
Dr. Graham reports In addition	, Dr. Graham	has a pat	tent Patent	Application I	No. WO/2018/081	318	
Prefusion Coronavirus Spike Pro	oteins and Th	neir Use p	pending, and	d a patent US	S Patent Applicati	on No. 62/972,886	
2019-nCoV Vaccine pending.							

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Graham 3



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Han 1



Section 1. Identifying Inform	nation		
1. Given Name (First Name) Shu	2. Surname (Last Name) Han		3. Date 20-December-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author	's Name
5. Manuscript Title Efficacy and Safety of mRNA-1273 SARS	5-CoV-2 Vaccine		
6. Manuscript Identifying Number (if you kr 20-35389	now it)		
Section 2. The Work Under C	onsideration for Publi	cation	
any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere		ata monitoring board, stud	dy design, manuscript preparation,
Section 3. Relevant financial	activities outside the	submitted work.	
Place a check in the appropriate boxes of compensation) with entities as descr clicking the "Add +" box. You should re Are there any relevant conflicts of interesting the second	ibed in the instructions. U port relationships that we est? ✓ Yes No ormation below.	se one line for each ent re present during the :	ity; add as many lines as you need by
Name of Entity	Grant? Personal No	n-Financial Other?	Comments
Moderna Inc.			imployee of Moderna Inc. and hold tock and stock options
Section 4. Intellectual Proper	rty Patents & Copyri	ghts	
Do you have any patents, whether plan	ned, pending or issued, b	roadly relevant to the w	vork? ☐ Yes 🗸 No

Han 2



Section 5.	
Section 5.	Relationships not covered above
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Dr. Han reports	personal fees and other from Moderna Inc., outside the submitted work; .

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Han 3



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Royalties: Funds are coming in to you or your institution due to your patent

Ivarsson 1



Section 1.	Identifying Inform	nation				
1. Given Name (F Melanie	irst Name)	2. Surnar Ivarsson	me (Last Name)			3. Date 22-December-2020
4. Are you the co	rresponding author?	Yes	✓ No	Correspondin Hana El-Sahl	_	Name
5. Manuscript Titl Efficacy and Safe	e ety of mRNA-1273 SAR:	S-CoV-2 Va	ccine			
6. Manuscript Ide 20-35389	ntifying Number (if you k	now it)				
Section 2.	The Work Under C	onsidera	tion for Publ	cation		
any aspect of the statistical analysis Are there any re	submitted work (including	g but not lim				commercial, private foundation, etc.) for design, manuscript preparation,
Section 3.	Relevant financial	activities	outside the	submitted w	ork.	
of compensation clicking the "Add Are there any re	n) with entities as descr	ribed in the port relation est?	instructions. Unships that we Yes \(\begin{array}{c}\) No	se one line for	each entity	relationships (regardless of amount r; add as many lines as you need by s months prior to publication.
Name of Entity		Grant?	Personal No	on-Financial Support?	other?	omments
Moderna			✓		√ Em _l	ployment and share options
Section 4.	Intellectual Prope	rty Pate	ents & Copyri	ghts		
Do you have any	y patents, whether plar	ned, pendi	ing or issued, b	roadly relevant	to the wor	rk? ☐ Yes 🗸 No

lvarsson 2



Section 5. Relationships not severed above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Ivarsson reports personal fees and other from Moderna, outside the submitted work; .

Evaluation and Feedback

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lvarsson 3



Instructions

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

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Other: Anything not covered under the previous three boxes

Pending: The patent has been filed but not issued **Issued:** The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether

earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Janes 1



Section 1.	Identifying Inform	ation		
1. Given Name (Fi Holly	rst Name)	2. Surname (Last Name) Janes		3. Date 28-December-2020
4. Are you the cor	responding author?	☐ Yes ✓ No	Corresponding Author's Nar Hana El-Sahly	me
5. Manuscript Title Efficacy and Safe	ety of mRNA-1273 SARS	-CoV-2 Vaccine		
6. Manuscript Ider 20-35389	ntifying Number (if you kn	now it)		
	ı			
Section 2.	The Work Under Co	onsideration for Publ	ication	
any aspect of the s statistical analysis, Are there any rel If yes, please fill of Excess rows can	ubmitted work (including etc.)? evant conflicts of intere out the appropriate info be removed by pressing	est? Yes No ormation below. If you ha g the "X" button.	lata monitoring board, study de	ss the "ADD" button to add a row.
Name of Institut	ion/Company	Grant	Support? Other Con	nments
National Institutes of	Health	\checkmark		
	ı			
Section 3.	Relevant financial	activities outside the	submitted work.	
of compensation clicking the "Add Are there any rel) with entities as descri	bed in the instructions. Uport relationships that w	Jse one line for each entity; a	ationships (regardless of amount dd as many lines as you need by nonths prior to publication.
Section 4.	Intellectual Proper	ty Patents & Copyr	ights	
Do you have any	patents, whether plant	ned, pending or issued, k	proadly relevant to the work?	☐ Yes ✓ No

Janes 2



Section 5. Relationships not severed above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
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Section 6. Disclosure Statement
Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Janes reports grants from National Institutes of Health, during the conduct of the study; .

Evaluation and Feedback

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Janes 3



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patent

Khetan 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fi	rst Name)	2. Surname (Last Name) Khetan	3. Date 30-December-2020
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Hana El-Sahly
5. Manuscript Title Efficacy and Safe	ety of mRNA-1273 SARS	S-CoV-2 Vaccine	
6. Manuscript Ider 20-35389	ntifying Number (if you kr	now it)	
			_
Section 2.	The Work Under C	onsideration for Public	cation
any aspect of the s statistical analysis,	ubmitted work (including	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
Section 3.	Relevant financial	activities outside the s	submitted work.
of compensation clicking the "Add) with entities as descri	ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication .
Section 4.	Intellectual Proper	rty Patents & Copyric	ghts
Do you have any			roadly relevant to the work? Yes V No

Khetan 2



Section 5.	
	Relationships not covered above
	elationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest
	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements rnals may ask authors to disclose further information about reported relationships.
Section 6.	Disclosure Statement
Based on the abo	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box
Dr. Khetan has n	othing to disclose.

Evaluation and Feedback

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Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Knightly 1



Section 1. Identifying Inform	ation		
1. Given Name (First Name) Conor	2. Surname (Last Name) Knightly		3. Date 19-December-2020
4. Are you the corresponding author?	Yes ✓ No	Corresponding Autho	or's Name
5. Manuscript Title Efficacy and Safety of mRNA-1273 SARS	-CoV-2 Vaccine		
6. Manuscript Identifying Number (if you kn 20-35389	ow it)	_	
Section 2. The Work Under Co	onsideration for Public	ration	
Did you or your institution at any time receir any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere	but not limited to grants, da		
Section 3. Relevant financial a	activities outside the s	submitted work.	
Place a check in the appropriate boxes in of compensation) with entities as describled clicking the "Add +" box. You should repart there any relevant conflicts of interesting the second conflicts of interesting	bed in the instructions. Use ort relationships that we st?	se one line for each en re present during the	itity; add as many lines as you need by
Name of Entity	Grant? Personal Fees? S	n-Financial upport?	Comments
Moderna, Inc.			Employee of Moderna and hold stock and stock options
Section 4. Intellectual Proper	ty Patents & Copyri	ghts	
Do you have any patents, whether planr	ned, pending or issued, bi	oadly relevant to the	work? Yes V

Knightly 2



Section 5.	
Section 5.	Relationships not covered above
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest
	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements rnals may ask authors to disclose further information about reported relationships.
Section 6.	Disclosure Statement
Based on the abo	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box
Dr. Knightly repo	orts personal fees and other from Moderna, Inc., outside the submitted work; .

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Royalties: Funds are coming in to you or your institution due to your patent

Kotloff 1



Section 1. Identifying Inform	nation	
Given Name (First Name) Karen	2. Surname (Last Name) Kotloff	3. Date 19-December-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Hana El-Sahly
5. Manuscript Title Efficacy and Safety of mRNA-1273 SAR	S-CoV-2 Vaccine	
6. Manuscript Identifying Number (if you k 20-35389	now it)	
Section 2. The Work Under C	ionsideration for Public	ation
any aspect of the submitted work (includin statistical analysis, etc.)? Are there any relevant conflicts of inter	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	activities outside the	ubmitted work.
of compensation) with entities as descri	ribed in the instructions. Useport relationships that werest?	ether you have financial relationships (regardless of amount e one line for each entity; add as many lines as you need by e present during the 36 months prior to publication .
Name of Entity	Grant? Personal Nor	o-Financial Other? Comments
NIH	✓	for conduct of a trial of Novavax's COVID vaccine
Section 4. Intellectual Prope	rty Patents & Copyrig	phts
Do you have any patents, whether plar	nned, pending or issued, br	oadly relevant to the work? Yes V No

Kotloff 2



Section 5.	
	Relationships not covered above
	elationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest
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Section 6.	Disclosure Statement
Based on the abo below.	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box
Dr. Kotloff repor	ts grants from NIH, outside the submitted work; .

Evaluation and Feedback

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Kotloff 3



Instructions

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Leav 1



Section 1. Identifying Infor	mation	
1. Given Name (First Name) Brett	2. Surname (Last Name) Leav	3. Date 20-December-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Hana El-Sahly
5. Manuscript Title Efficacy and Safety of mRNA-1273 SA	RS-CoV-2 Vaccine	
6. Manuscript Identifying Number (if you 20-35389	know it)	
Section 2. The Work Under	Consideration for Publi	cation
any aspect of the submitted work (includi statistical analysis, etc.)? Are there any relevant conflicts of inte	ng but not limited to grants, da	a a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
Section 3. Relevant financia	al activities outside the	submitted work.
of compensation) with entities as des	cribed in the instructions. Useport relationships that we erest? Yes No	nether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication .
Name of Entity	Grant? Personal No	n-Financial Other? Comments
Moderna Inc.		Brett Leav is an employee of Moderna Inc.
Section 4. Intellectual Prop	erty Patents & Copyri	ghts
Do you have any patents, whether pla	inned, pending or issued, bi	roadly relevant to the work? Yes V No

Leav 2



Section 5. Relationships not covered above
kelationships not covered above
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Leav 3



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Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Ledgerwood 1



Section 1.	Identifying Inform	nation	
1. Given Name (First Name) Julie		2. Surname (Last Name) Ledgerwood	3. Date 21-December-2020
4. Are you the corresponding author?		Yes ✓ No	Corresponding Author's Name Hana El-Sahly
5. Manuscript Title Efficacy and Safe	ety of mRNA-1273 SARS	S-CoV-2 Vaccine	
6. Manuscript Ider 20-35389	ntifying Number (if you kr	now it)	
Section 2.	The Work Under Co	onsideration for Public	ation
any aspect of the s statistical analysis,	ubmitted work (including	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Section 3.	Relevant financial	activities outside the s	ubmitted work.
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication . Are there any relevant conflicts of interest? Yes Vo			
Section 4.	Intellectual Prope	rty Patents & Copyri <u>c</u>	jhts
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No

Ledgerwood 2



Section 5.	
Section 5.	Relationships not covered above
	elationships or activities that readers could perceive to have influenced, or that give the appearance of ncing, what you wrote in the submitted work?
Yes, the follow	ving relationships/conditions/circumstances are present (explain below):
✓ No other relat	cionships/conditions/circumstances that present a potential conflict of interest
	nuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements nals may ask authors to disclose further information about reported relationships.
Section 6.	Disclosure Statement
Based on the abo below.	ve disclosures, this form will automatically generate a disclosure statement, which will appear in the box
Dr. Ledgerwood	has nothing to disclose.

Evaluation and Feedback

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Ledgerwood 3



Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

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Royalties: Funds are coming in to you or your institution due to your patent

Marovich 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fii Mary	rst Name)	2. Surname (Last Name) Marovich	3. Date 19-December-2020
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Hana El-Sahly
5. Manuscript Title Efficacy and Safe	e ty of mRNA-1273 SARS	S-CoV-2 Vaccine	
6. Manuscript Ider 20-35389	ntifying Number (if you kr	now it)	
Section 2.	The Work Under C	onsideration for Public	cation
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Section 3.	Relevant financial	activities outside the s	ubmitted work.
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Section 4.	Intellectual Proper	rty Patents & Copyric	yhts
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? ☐ Yes ✓ No

Marovich 2



Section 5.			
Section 5.	Relationships not covered above		
	elationships or activities that readers could perceive to have influenced, or that give the appearance of ncing, what you wrote in the submitted work?		
Yes, the follow	wing relationships/conditions/circumstances are present (explain below):		
✓ No other relat	✓ No other relationships/conditions/circumstances that present a potential conflict of interest		
	nuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements rnals may ask authors to disclose further information about reported relationships.		
Section 6.	Disclosure Statement		
Based on the abo	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box		
Dr. Marovich has	nothing to disclose.		

Evaluation and Feedback

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Marovich 3



Instructions

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Royalties: Funds are coming in to you or your institution due to your patent

Mascola 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fii John	rst Name)	2. Surname (Last Name) Mascola	3. Date 29-December-2020
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Hana El-Sahly
5. Manuscript Title Efficacy and Safe	ety of mRNA-1273 SARS	S-CoV-2 Vaccine	
6. Manuscript Ider 20-35389	ntifying Number (if you kr	now it)	
Section 2.	The Work Under C	onsideration for Public	cation
any aspect of the s statistical analysis,	ubmitted work (including	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ita monitoring board, study design, manuscript preparation,
Section 3.	Relevant financial	activities outside the s	submitted work.
of compensation clicking the "Add	the appropriate boxes i	in the table to indicate wh ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.
Section 4.	Intellectual Proper	rty Patents & Copyric	yhts
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No

Mascola 2



Section 5.	
Section 5.	Relationships not covered above
	elationships or activities that readers could perceive to have influenced, or that give the appearance of named in the submitted work?
Yes, the follow	ving relationships/conditions/circumstances are present (explain below):
✓ No other relat	ionships/conditions/circumstances that present a potential conflict of interest
	nuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements nals may ask authors to disclose further information about reported relationships.
Section 6.	Disclosure Statement
Based on the aborbelow.	ve disclosures, this form will automatically generate a disclosure statement, which will appear in the box
Dr. Mascola has n	nothing to disclose.

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Mascola 3



Instructions

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McGettigan 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fii John	rst Name)	2. Surname (Last Name) McGettigan	3. Date 22-December-2020
4. Are you the corresponding author?		☐ Yes ✓ No	Corresponding Author's Name Hana El-Sahly
5. Manuscript Title Efficacy and Safe	ety of mRNA-1273 SARS	S-CoV-2 Vaccine	
6. Manuscript Ider 20-35389	ntifying Number (if you kr	now it)	
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of compensation clicking the "Add) with entities as descri	ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by se present during the 36 months prior to publication.
Section 4.	Intellectual Prope	rty Patents & Copyric	yhts
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No

McGettigan 2



Section 5. Relationships not covered above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
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Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. McGettigan has nothing to disclose.

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McGettigan 3



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Miller 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fi	rst Name)	2. Surname (Last Name) Miller		3. Date 22-December-2020
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Auth	or's Name
5. Manuscript Title Efficacy and Safe	e ty of mRNA-1273 SARS	5-CoV-2 Vaccine		
6. Manuscript Ider 20-35389	ntifying Number (if you kr	now it)		
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any aspect of the s statistical analysis,	ubmitted work (including	g but not limited to grants, o		ent, commercial, private foundation, etc.) fo cudy design, manuscript preparation,
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of compensation) with entities as descri	ibed in the instructions.	Use one line for each e	cial relationships (regardless of amount ntity; add as many lines as you need by e 36 months prior to publication.
Are there any rel	evant conflicts of intere	est? ✓ Yes No		
If yes, please fill o	out the appropriate info	ormation below.		
Name of Entity		Grant? Personal No	on-Financial Other?	Comments
Moderna Inc.				Employee of Moderna Inc. and hold stock and stock options
Section 4.	Intellectual Prope	rty Patents & Copyr	ights	
Do you have any	patents, whether plan	ned, pending or issued, l	broadly relevant to the	work? ☐ Yes ✓ No

Miller 2



Section 5.	
Section 5.	Relationships not covered above
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Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
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Dr. Miller report	s personal fees and other from Moderna Inc., outside the submitted work; .

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Miller 3



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Neuzil 1



Section 1.	Identifying Inforr	nation				
1. Given Name (First Name) Kathleen		2. Surname Neuzil	2. Surname (Last Name) Neuzil			3. Date 21-December-2020
4. Are you the co	rresponding author?	Yes	Yes No Corresponding Author's Na Hana El-Sahly			's Name
5. Manuscript Titl Efficacy and Safe	e ety of mRNA-1273 SAR	S-CoV-2 Vacc	ine			
6. Manuscript Ide 20-35389	ntifying Number (if you k	now it)				
Section 2.	The Work Under C	Consideration	on for Pu	blication		
any aspect of the statistical analysis Are there any re	submitted work (includin	g but not limit	ed to grant	s, data monitoring		t, commercial, private foundation, etc.) for dy design, manuscript preparation,
Section 3. Relevant financial activities outside the submitted work.						
of compensation clicking the "Add Are there any re	n) with entities as desc	ribed in the interior relation rest? Ye	nstructions ships that es \(\Boxed{\text{N}}\)	s. Use one line fo	or each enti	al relationships (regardless of amount ity; add as many lines as you need by 36 months prior to publication .
Name of Entity			Personal Fees	Non-Financial Support?	Other?	Comments
Pfizer		✓			□ c	CVD receives grants from Pfizer to onduct clinical trials of COVID accines. Dr. Neuzil receives no salary upport on this grant.
NIH		✓			☐ fr o	Or. Neuzil receives salary support rom NIH to participate in overall organization of COVID vaccine trials, and for participation in vaccine trials.

Neuzil 2



Section 4. In	tellectual Property Patents & Copyrights
Do you have any pat	ents, whether planned, pending or issued, broadly relevant to the work? Yes Volume
Section 5.	elationships not covered above
	ionships or activities that readers could perceive to have influenced, or that give the appearance of ng, what you wrote in the submitted work?
Yes, the following	g relationships/conditions/circumstances are present (explain below):
✓ No other relation	ships/conditions/circumstances that present a potential conflict of interest
	script acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. s may ask authors to disclose further information about reported relationships.
Section 6. Di	sclosure Statement
Based on the above below.	disclosures, this form will automatically generate a disclosure statement, which will appear in the box
Dr. Neuzil reports gr	ants from Pfizer, grants from NIH, outside the submitted work; .

Evaluation and Feedback

 $Please\ visit\ \underline{http://www.icmje.org/cgi-bin/feedback}\ to\ provide\ feedback\ on\ your\ experience\ with\ completing\ this\ form.$

Neuzil 3



Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

Relationships not covered above.

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Royalties: Funds are coming in to you or your institution due to your patent

Novak 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fi Richard	rst Name)	2. Surname (Last Name) Novak	3. Date 20-December-2020
4. Are you the cor	responding author?	☐ Yes 🗸 No	Corresponding Author's Name Hana El-Sahly
5. Manuscript Title Efficacy and Safe	e ety of mRNA-1273 SARS	5-CoV-2 Vaccine	
6. Manuscript Idea	ntifying Number (if you kr	now it)	
			_
Section 2.	The Work Under C	onsideration for Public	cation
any aspect of the s statistical analysis,	submitted work (including	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Section 3.	Relevant financial	activities outside the s	submitted work.
of compensation clicking the "Add	n) with entities as descr	ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.
Section 4.	Intellectual Prope	rty Patents & Copyric	yhts
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No

Novak 2



Section 5.	
Section 5.	Relationships not covered above
	elationships or activities that readers could perceive to have influenced, or that give the appearance of ncing, what you wrote in the submitted work?
Yes, the follow	ving relationships/conditions/circumstances are present (explain below):
✓ No other relat	cionships/conditions/circumstances that present a potential conflict of interest
	nuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements nals may ask authors to disclose further information about reported relationships.
Section 6.	Disclosure Statement
Based on the abo below.	ve disclosures, this form will automatically generate a disclosure statement, which will appear in the box
Dr. Novak has no	thing to disclose.

Evaluation and Feedback

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Novak 3



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Pajon 1



Section 1. Identifying Inform	nation		
1. Given Name (First Name) Rolando	2. Surname (Last Name) Pajon		3. Date 20-December-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Hana El-Sahly	Name
5. Manuscript Title Efficacy and Safety of mRNA-1273 SARS	-CoV-2 Vaccine		
6. Manuscript Identifying Number (if you kr 20-35389	now it)	_	
Section 2. The Work Under Co	onsideration for Public	cation	
Did you or your institution at any time rece any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere	but not limited to grants, da		
Section 3. Relevant financial	activities outside the s	ubmitted work.	
Place a check in the appropriate boxes i of compensation) with entities as descri clicking the "Add +" box. You should rep Are there any relevant conflicts of intered If yes, please fill out the appropriate info	bed in the instructions. Us port relationships that werest?	se one line for each entity	y; add as many lines as you need by
Name of Entity	Grant? Personal Fees? S	n-Financial other?	Comments
Moderna			nm an Employee of Moderna Inc. d I own stocks in the Company
Section 4. Intellectual Proper	ty Patents & Copyric	jhts	
Do you have any patents, whether plan	ned, pending or issued, br	oadly relevant to the wo	rk? Yes 🗸 No

Pajon 2



Section 5.	
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Dr. Pajon report	s personal fees and other from Moderna, outside the submitted work; .

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Polakowski 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fi	rst Name)	2. Surname (Last Name) Polakowski	3. Date 19-December-2020
4. Are you the cor	responding author?	☐ Yes ✓ No	Corresponding Author's Name Hana El-Sahly
5. Manuscript Title Efficacy and Safe	ety of mRNA-1273 SARS	S-CoV-2 Vaccine	
6. Manuscript Ider 20-35389	ntifying Number (if you kr	now it)	
			-
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Section 3.	Relevant financial	activities outside the s	submitted work.
of compensation clicking the "Add	the appropriate boxes i	in the table to indicate who ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by se present during the 36 months prior to publication .
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Polakowski 2



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Rouphael 1



Section 1. Identify	ving Information	
1. Given Name (First Name) Nadine	2. Surname (Last Nar Rouphael	ame) 3. Date 19-December-2020
4. Are you the corresponding	author? Yes V No	Corresponding Author's Name Hana El-Sahly
5. Manuscript Title Efficacy and Safety of mRN	A-1273 SARS-CoV-2 Vaccine	
6. Manuscript Identifying Nur 20-35389	nber (if you know it)	
Section 2. The Wo	rk Under Consideration for P	Publication
any aspect of the submitted w statistical analysis, etc.)? Are there any relevant conf	ork (including but not limited to gran	es from a third party (government, commercial, private foundation, etc.) fo ents, data monitoring board, study design, manuscript preparation,
Section 3. Relevan	t financial activities outside	the submitted work.
of compensation) with enti- clicking the "Add +" box. You Are there any relevant conf	ties as described in the instruction ou should report relationships tha	te whether you have financial relationships (regardless of amount ons. Use one line for each entity; add as many lines as you need by at were present during the 36 months prior to publication .
Name of Entity	Grant? Personal Fees?	Non-Financial Support? Comments
Pfizer	V	Clinical trials research
Merck	✓	Clinical trials research
Sanofi-Pasteur	✓	Clinical trials research
Eli Lilly	✓	Clinical trials research
Quidal		Clinical trials research

Rouphael 2



Section 4. Intellectual Property Patents & Copyrights			
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Volume			
Section 5. Relationships not covered above			
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?			
Yes, the following relationships/conditions/circumstances are present (explain below):			
No other relationships/conditions/circumstances that present a potential conflict of interest			
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.			
Section 6. Disclosure Statement			
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.			
Dr. Rouphael reports grants from Pfizer, grants from Merck, grants from Sanofi-Pasteur, grants from Eli Lilly, grants from Quidel, outside the submitted work; .			

Evaluation and Feedback

 $Please\ visit\ \underline{http://www.icmje.org/cgi-bin/feedback}\ to\ provide\ feedback\ on\ your\ experience\ with\ completing\ this\ form.$

Rouphael 3



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patent

Schwartz 1



Section 1. Identifying Inform	ation		
1. Given Name (First Name) Howard	Surname (Last Name) Schwartz		3. Date 28-December-2020
4. Are you the corresponding author?	Yes ✓ No	Corresponding Auth	nor's Name
5. Manuscript Title Efficacy and Safety of mRNA-1273 SARS-	CoV-2 Vaccine		
6. Manuscript Identifying Number (if you known 20-35389	ow it)		
		_	
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Name of Institution/Company	Grant? Personal Nor	n-Financial other	Comments
NIH	✓		Research Centers of America supported as trial site
Section 3. Relevant financial a	activities outside the s	ubmitted work.	
Place a check in the appropriate boxes ir of compensation) with entities as described clicking the "Add +" box. You should report there any relevant conflicts of interesting the section 4.	oed in the instructions. Us ort relationships that wer st? Yes 🗸 No	e one line for each e e present during th	entity; add as many lines as you need by
Section 4. Intellectual Propert	ty Patents & Copyric	jhts	
Do you have any patents, whether plann	ed, pending or issued, br	oadly relevant to the	e work? ☐ Yes ✓ No

Schwartz 2



Section 5.	
Section 5.	Relationships not covered above
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Dr. Schwartz rep	ports grants from NIH, during the conduct of the study; .

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Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Segall 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fi Nathan	rst Name)	2. Surname (Last Name) Segall	3. Date 30-December-2020
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Hana M. El Sahly
5. Manuscript Title Efficacy and Safe	ety of mRNA-1273 SARS	S-CoV-2 Vaccine	
6. Manuscript Ider 20-35389	ntifying Number (if you kr	now it)	
			-
Section 2.	The Work Under C	onsideration for Public	cation
any aspect of the s statistical analysis,	ubmitted work (including	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Section 3.	Relevant financial	activities outside the s	submitted work.
of compensation clicking the "Add) with entities as descri	ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by se present during the 36 months prior to publication.
Section 4.	Intellectual Proper	rty Patents & Copyric	yhts
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? ☐ Yes ✓ No

Segall 2



Section 5.	
Section 5.	Relationships not covered above
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest
	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements rnals may ask authors to disclose further information about reported relationships.
c vi c	
Section 6.	Disclosure Statement
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Dr. Segall has no	othing to disclose.

Evaluation and Feedback

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Segall 3



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This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Solis 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fi	rst Name)	2. Surname (Last Name) Solis	3. Date 21-December-2020
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Hana El-Sahly
5. Manuscript Title Efficacy and Safe	ety of mRNA-1273 SARS	5-CoV-2 Vaccine	
6. Manuscript Ider 20-35389	ntifying Number (if you kr	now it)	
Section 2.	The Work Under C	onsideration for Public	cation
any aspect of the s statistical analysis,	ubmitted work (including	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ita monitoring board, study design, manuscript preparation,
Section 3.	Relevant financial	activities outside the s	submitted work.
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Section 4.	Intellectual Proper	rty Patents & Copyric	ghts
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No

Solis 2



Section 5.	
	Relationships not covered above
	elationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest
	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements rnals may ask authors to disclose further information about reported relationships.
Section 6.	Disclosure Statement
Based on the abo below.	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box
Dr. Solis has not	hing to disclose.

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Spector 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fii Stephen	rst Name)	2. Surname (Last Name) Spector	3. Date 21-December-2020
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Hana El-Sahly
5. Manuscript Title Efficacy and Safe	ety of mRNA-1273 SARS	S-CoV-2 Vaccine	
6. Manuscript Ider 20-35389	ntifying Number (if you kr	now it)	
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Section 4.	Intellectual Proper	rty Patents & Copyri	ghts
Do you have any	patents, whether plan	ned, pending or issued, br	roadly relevant to the work? Yes V No

Spector 2



Section 5.	
Section 5.	Relationships not covered above
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Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
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Section 6.	Disclosure Statement
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Dr. Spector has i	nothing to disclose.

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Royalties: Funds are coming in to you or your institution due to your patent

Zaks 1



Section 1. Identifying Inforn	nation				
1. Given Name (First Name) Tal	2. Surname (Last Name) Zaks		3. Date 20-December-2020		
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Auth Hana El-Sahly	or's Name		
5. Manuscript Title Efficacy and Safety of mRNA-1273 SARS	5-CoV-2 Vaccine				
6. Manuscript Identifying Number (if you know it) 20-35389					
Section 2. The Work Under C	onsideration for Publ	cation			
statistical analysis, etc.)? Are there any relevant conflicts of intere	est? Yes V No				
Section 3. Relevant financial	activities outside the	submitted work.			
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Name of Entity	Grant? Personal No	n-Financial Other	Comments		
Moderna Inc.			Employee of Moderna Inc. and hold stock options		
Section 4. Intellectual Prope	rty Patents & Copyri	ghts			
Do you have any patents, whether plan	ned, pending or issued, b	roadly relevant to the	ework?		

Zaks 2



Section 5. Relationships not severed above				
Relationships not covered above				
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?				
Yes, the following relationships/conditions/circumstances are present (explain below):				
✓ No other relationships/conditions/circumstances that present a potential conflict of interest				
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.				
Section 6. Disclosure Statement				
Disclosure Statement				
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.				
Dr. Zaks reports personal fees and other from Moderna Inc., outside the submitted work; .				

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Zhou 1



Section 1.	Identifying Inform	nation			
Given Name (First Honghong	t Name)	2. Surname (Last Nam Zhou	3. Date 19-December-2020		
4. Are you the corre	sponding author?	☐ Yes ✓ No	Corresponding Author's Name Hana El-Sahly		
5. Manuscript Title Efficacy and Safety	y of mRNA-1273 SARS	-CoV-2 Vaccine			
6. Manuscript Identifying Number (if you know it) 20-35389					
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any aspect of the sul statistical analysis, et Are there any relev	bmitted work (including	but not limited to gran	from a third party (government, commercial, private foundation, etc.) for its, data monitoring board, study design, manuscript preparation,		
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Name of Entity		Grant? Personal Fees?	Non-Financial Support? Comments		
Moderna			I am an employee of Moderna TX		
Section 4.	ntellectual Proper	ty Patents & Cop	yrights		
Do you have any p	patents, whether plan	ned, pending or issue	d, broadly relevant to the work? Yes V No		

Zhou 2



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Dr. Zhou reports personal fees from Moderna, outside the submitted work; .

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