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# **The short-term effects of cold spells on hospitalizations for chronic obstructive pulmonary disease: a time-series study in Beijing, China**



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# **The short-term effects of cold spells on hospitalizations for chronic obstructive**

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# **pulmonary disease: a time-series study in Beijing, China**

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- **Keywords:** cold spells, chronic obstructive pulmonary disease, hospitalizations, intensity
- 23 and duration

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> ND METHODS<br>pital of China, is located in the northern part of China (3<br>area covers 16410.54 km<sup>2</sup>, with more than 21 million po<br>ical semi-humid continental monsoon climate with four<br>review of more than 21 million po<br>review 98 term effects of cold spells on the risk of hospitalizations for COPD with time-series 99 methods. (2) to investigate the modification effect of cold spell intensities and durations 100 by fitting different definitions. (3) to identify potentially vulnerable populations through 101 stratified analyses. The results could help better understand the relationship between 102 extremely cold events and COPD hospitalizations, and provide scientific evidence in 103 policymaking for the prevention and the intervention of COPD. **MATERIALS AND METHODS Data collection** 107 Beijing, the capital of China, is located in the northern part of China (39°56′N, 108 116°20'E). The area covers 16410.54 km<sup>2</sup>, with more than 21 million population in 2016. 109 Beijing has a typical semi-humid continental monsoon climate with four distinctive 110 seasons. 111 Daily hospitalizations for COPD from January 1, 2012, to December 31, 2016, were 112 collected from Beijing Municipal Health Commission Information Center covering all the 113 secondary and tertiary hospitals in Beijing. All case files consisted of the following 114 information: admission date, discharge date, age, gender, address, diagnosis and 115 International Classification of Diseases  $10<sup>th</sup>$  revision (ICD-10) diagnostic code. Those 116 among Beijing residents with COPD as the primary discharge diagnosis (coded as J44) 117 were included in the study. The study was approved by the ethical committee of Peking 118 Union Medical College Hospital. All the data for the analysis were anonymous at 119 collection. 120 We collected the daily 2012–2016 meteorological data in Beijing from the China 121 Meteorological Data Sharing Service System, including daily mean temperature (°C),

122 daily mean relative humidity (%) and daily mean air pressure (hPa). For the same period,

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I Influenza Center. Influenza epidemics (a binary variately high influenza incidence) were defined when the profor influenza exceeded 30% of the maximum seasonal<br>son was defined from the 27<sup>th</sup> week of the previous yea<br>ear 123 the daily air quality index (AQI) was obtained from the China National Environmental 124 Monitoring Centre. The AQI value denotes the maximum value of individual air quality 125 indexes (IAQI) of six monitored air pollutants (particulate matter with aerodynamic diameter<2.5μm, particulate matter with aerodynamic diameter<10μm, nitrogen dioxide, 127 sulfur dioxide, carbon monoxide, and ozone). Considering the impact of influenza on 128 COPD exacerbations,[19] we also accessed the data on influenza isolates from the 129 Chinese National Influenza Center. Influenza epidemics (a binary variable representing 130 days with relatively high influenza incidence) were defined when the proportion of 131 isolates positive for influenza exceeded 30% of the maximum seasonal level (Influenza 132 surveillance season was defined from the  $27<sup>th</sup>$  week of the previous year to the  $26<sup>th</sup>$  week 133 of the following year).[20] **Cold spell definitions** 136 The definition of cold spells varied across the research field due to distinct climatic 137 features and temperature variations in different regions. As to the prior studies, cold 138 spells were usually defined based on their temperature thresholds and durations.[4, 12, 139 21] Instead of specific temperatures as thresholds, percentiles of temperature were 140 shown to have a better model fit according to quasi-Poisson Akaike Information Criterion 141 (Q-AIC).[4] Moreover, some researchers suggested that daily mean temperature is 142 superior to the minimum or maximum temperature as an indicator to define cold spells 143 because it reflects the exposure throughout the day rather than a short period.[17, 22] 144 Therefore, we defined cold spell episodes as days when daily mean temperature at or 145 below the 10<sup>th</sup>, 5<sup>th</sup> or 3<sup>rd</sup> percentile for at least 2, 3 or 4 consecutive days of the study 146 period.[18] To avoid the possible biases caused by a few extreme summer events, we 147 restricted the study period to the five coldest adjacent months (from November of the

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# **Patient and public involvement**

201 Patients were not involved in development of the research question and outcome

202 measures, study design or conduct of this study.

# **RESULTS**

### **Data description**

206 Table 1 shows the descriptive statistics of the study population, meteorological

207 variables and AQI during the cold seasons (November to March) from 2012 to 2016 in

208 Beijing. There was a total of 84,571 COPD hospitalizations throughout the study period.

209 Among these cases, 63.6% were males and 36.4% were females. 83.9% of all patients

210 were aged 65 years and above. The average of daily mean temperature, relative

211 humidity, air pressure, and AQI were 0.9°C (range, -16.0-18.0°C), 46.6% (range, 8.0-

212 98.0%), 1025.3hPa (range, 1005.0-1044.0hPa) and 126.3 (range, 17.0-485.0),

213 respectively.

the descriptive statistics of the study population, meteory the descriptive statistics of the study population, meteory of March) from 2<br>as a total of 84,571 COPD hospitalizations throughout t<br>ses, 63.6% were males and 36. 214 Table 2 shows the overview information of cold spells under different definitions. More

215 days were defined as cold spell days with higher temperature thresholds and shorter

- 216 duration. We observed the most cold spell episodes and days in four years with the
- 217 definition of periods for at least 2 days and daily mean temperature below or at the  $10<sup>th</sup>$ 
	- 218 percentile (-6℃). In contrast, there were only 2 cold spell episodes and 10 cold spell days
- 219 if we defined cold spells as periods for 4 or more consecutive days when daily mean
- 220 temperature was below or at the  $3<sup>rd</sup>$  percentile (-8°C).
	- **Effects of cold spells under different definitions**

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223 Figure 1 depicts the lag structures of associations between cold spells under 9 different 224 definitions and COPD hospitalizations of the total population. All cold spells had impacts 225 on the risks of hospitalizations for COPD and most trends of their lagged effects were 226 non-linear with two patterns. One was that the relative risk (RR) of hospitalizations for 227 COPD reached maximum on the days (lag0) of cold spells, then decreased and 228 remained significant for 10-16 days (lag10-lag16). The other one was that the RR 229 became significant on the  $3<sup>rd</sup>$  or  $4<sup>th</sup>$  day (lag3 or lag4) after exposure to cold spells, then 230 gradually reached the maximum at about the  $8<sup>th</sup>$  day (lag8) and lasted till the 15<sup>th</sup>-17<sup>th</sup> 231 days (lag15-lag17).

Int on the 3<sup>rd</sup> or 4<sup>th</sup> day (lag3 or lag4) after exposure to the maximum at about the 8<sup>th</sup> day (lag8) and lasted ti 7).<br>The the cumulative effects of cold spells on COPD hospital<br>T.<br>T. the cumulative effects of cold sp 232 Table 3 shows the cumulative effects of cold spells on COPD hospitalizations under 233 different definitions. For each definition, the cumulative relative risk (CRR) increased with 234 longer cumulative lags, with the highest CRR at lag0-21. Among 9 different definitions, 235 the CRRs of cold spells at lag0-21 increased as the definition had a longer duration or 236 lower temperature threshold. The maximum CRR over lag0-21 when the temperature 237 threshold was set at the 10<sup>th</sup> percentile appeared at the duration≥4 consecutive days. In 238 comparison, the CRR values of the duration≥3 consecutive days and ≥2 consecutive 239 days were lower. Likewise, when the duration was set for at least 4 consecutive days, the 240 maximum CRR over lag0-21 appeared at the temperature range defined as ≤3<sup>rd</sup> 241 percentile, while the CRR of temperature threshold at the  $5<sup>th</sup>$  and  $10<sup>th</sup>$  percentile were 242 lower. The lowest Q-AIC value (7769.8) indicating the best model fit was observed in 243 Model 3 (Table 2). Hence, the optimal cold spell definition was daily mean temperature  $\leq$  10<sup>th</sup> percentile (-6°C) with at least 4 consecutive days during the study period. The 245 optimal model was able to find the most significant single-day lagged effect earliest (lag0) 246 and remained significant till the  $14<sup>th</sup>$  day (lag14) (Figure 1). 247 Table 4 and Figure 2 reveal the results for the subgroup analyses of gender and age

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> 248 based on the optimal cold spell definition. The effects of cold spells were similar between 249 males and females, with the most significant single-day lagged effect both occurred at 250 lag0 (Z=0.041, P=0.48). The cumulative effects at lag0-21 of two genders also differed 251 slightly (Z=-0.730, P=0.23). Additionally, in the subgroups stratified by the age, the most 252 significant single-day lagged effect and cumulative effect for people aged≥65 years were 253 at lag0 and at lag0-21, respectively. However, no significant effect of cold spells was 254 observed in those aged 0-64 years. The results of sensitivity analyses indicated that the 255 effect estimates of cold spells under the optimal definition on COPD hospitalizations were 256 still robust. (See Supplementary Materials, Tables S1–S5).

# **DISCUSSION**

e aged 0-64 years. The results of sensitivity analyses in<br>of cold spells under the optimal definition on COPD hos<br>Supplementary Materials, Tables S1–S5).<br>We showed that cold spells were associated with increas<br>dverse impac 259 In this study, we showed that cold spells were associated with increase hospitalizations 260 for COPD. The adverse impacts of cold spells varied with their durations and intensities. 261 Based on the statistical model fit, the optimal definition of cold spells was daily mean 262 temperature less than or equal to the  $10<sup>th</sup>$  percentile lasting for at least 4 consecutive 263 days during the study period. The elderly seemed more sensitive to cold spells than the 264 younger, while the susceptibility difference between genders was not noticeable. 265 Our finding is in accordance with previous studies reporting an excess of COPD 266 hospitalizations,[12] emergency visits[26] and mortality[4, 6, 26] associated with cold 267 spells. For instance, Monteiro et al. reported significant effects of cold spells identified by 268 various indices on COPD hospitalizations with a lagged effect of at least two weeks.[12] 269 Several underlying mechanisms may explain for elevated COPD morbidity and mortality 270 attributable to extremely cold events. Firstly, cold exposure has been found to be related 271 to a decline in lung function (FEV<sub>1</sub>, FVC and PEF) among COPD patients.[27, 28] 272 Secondly, Koskela et al. reported that cold air could directly induce bronchoconstriction,

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273 leading to excessive dyspnea in patients with COPD.[29] Thirdly, cold exposure may 274 suppress the immune response and increase susceptibility to viral infections in 275 humans.[30] Meanwhile, the transmission efficiency of the influenza virus is inversely 276 correlated with ambient temperature.[31] Fourthly, the cold temperature may provoke 277 airway inflammation and mucin hypersecretion in airway epithelium, which results in 278 COPD morbidity and mortality by blocking airways and causing recurrent infections.[32, 279 33]

the CRR values of COPD hospitalizations increased wisity of cold spells. Some prior studies have similar finding that the duration and the intensity affect the health risks minimum Q-AIC value criterion, the optimal defini 280 We found that the CRR values of COPD hospitalizations increased with longer duration 281 and higher intensity of cold spells. Some prior studies have similar findings,[17, 18] 282 indicating that both the duration and the intensity affect the health risks of cold spells. 283 According to the minimum Q-AIC value criterion, the optimal definition of cold spells was 284 the daily average temperature at or below the  $10<sup>th</sup>$  percentile with 4 or more consecutive 285 days. Compared with other definitions, this one had a lower intensity and longer duration, 286 and the most significant single-day lagged effect of cold spells on COPD hospitalizations 287 appeared earliest (lag0). Our results agree with a study in Porto showing that the 288 moderately low temperature with long periods contributed greater to COPD exacerbation 289 than extremely low temperature with shorter-lasting days.[12] However, studies on some 290 other diseases defined the optimal cold spell definitions with a threshold at the  $5<sup>th</sup>$ 291 percentile or at least 2 days duration,[18, 24] indicating different definitions may apply to 292 different outcomes. In addition, some studies reported the temporal changes of people's 293 adaption capacities to cold spells during recent decades under climate change.[5, 34] 294 Overall, more effective cold spell definitions and warning systems adapted to regional 295 climate, specific diseases, and dynamic changes of the population's sensitivity should be 296 further studied and implemented in the future.

 

297 In the subgroup analyses based on the optimal cold spell definition, we found that the

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- 342 intensities and the durations of cold spells. The elderly are more vulnerable to COPD
- 343 hospitalizations during cold spell periods. These findings provide scientific foundations for
- 344 comprehensive public health strategies to reduce cold spell-related COPD
- 345 hospitalizations in Beijing, China.

**Contributors:** YL and YC are joint first author and designed the study. ZF obtained the

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> 348 original data and funding. DK, XL, JF, YZ and ZC preprocessed the data. YL, YC, and YZ 349 analyzed the data. YL and YC drafted the manuscript. XZ, KX, CJ and ZF reviewed and 350 edited the manuscript. All authors have read and approved the final manuscript. ZF is the 351 study guarantor.

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- 355 2017-I2M-2-001], and National Natural Science Foundation [91643208, 41450006].
- **Competing interests:** None declared.
- **Ethical approval:** This study was approved by the ethical review committee of Peking
- 358 Union Medical College Hospital.
- **Data sharing statement:** No additional data are available.

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COPD, chronic obstructive pulmonary disease; P25, the 25<sup>th</sup> percentile; P75, the 75<sup>th</sup> percentile; SD,

standard deviation.

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## **Table 2** Overview information of cold spells under different definitions

Q-AIC, quasi-Poisson Akaike Information Criterion.

### **Table 3** Cumulative relative risk of cold spells under different definitions

on COPD hospitalizations of the total population in Beijing, 2012-2016.



CI, confidence interval; CRR, cumulative relative risk.

\**p*<0.05.

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# **Table 4** Cumulative relative risk of cold spells on COPD hospitalizations

# stratified by gender and age in Beijing, 2012-2016.



SRR, currence COL COL COLL COLL COLL COLL COLL CI, confidence interval; CRR, cumulative relative risk.

\**p*<0.05.



Figure 1 Lag-response relationships between cold spells under different definitions and AECOPD hospitalizations of the total population in Beijing, 2012-2016.

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# **Supplementary Materials**

# **Supplementary Tables**

**Table S1** The cumulative effects of cold spells under the optimal definition using different degrees of freedom for lag dimension in the DLM model

**Table S 2** The cumulative effects of cold spells under the optimal definition using different degrees of freedom for time per year in the DLM model

**Table S 3** The cumulative effects of cold spells under the optimal definition using different degrees of freedom for relative humidity in the DLM model

**Table S4** The cumulative effects of cold spells under the optimal definition using different degrees of freedom for air pressure in the DLM model

**Table S5** The cumulative effects of cold spells under the optimal definition using different degrees of freedom for air quality index in the DLM model

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CI, confidence interval; df, degree of freedom; RR, relative risk.

 $^{\ast}$ P<0.05.

 $\mathrm{^{a}}$ Used in the study.

df for time	Group	or incedition time per year in the DENTINOUCH	CRR (95% CI)		
per year		Lag0	$Lag0-7$	$Lag0-14$	$Lag0-21$
3 <sup>a</sup>	Total	1.042	1.249	1.343	1.394
		$(1.013 - 1.072)^{*}$	$(1.136 - 1.374)^{*}$	$(1.206 - 1.496)^{*}$	$(1.193 - 1.630)^{*}$
	Male	1.042	1.243	1.316	1.342
		$(1.011 - 1.074)^{*}$	$(1.123 - 1.375)^{*}$	$(1.173 - 1.477)^{*}$	$(1.136 - 1.586)^{*}$
	Female	1.041	1.257	1.383	1.476
		$(1.005 - 1.077)^{*}$	$(1.119 - 1.411)^{*}$	$(1.215 - 1.574)^{*}$	$(1.211 - 1.783)^{*}$
	Age < 65	1.017	1.120	1.159	1.107
		$(0.972 - 1.064)$	$(0.963 - 1.303)$	$(0.977 - 1.376)$	$(0.862 - 1.422)$
	Age $\geq 65$	1.046	1.275	1.382	1.456
		$(1.017 - 1.077)^{*}$	$(1.158 - 1.404)^{*}$	$(1.240 - 1.540)^{*}$	$(1.244 - 1.705)^{*}$
4	Total	1.023	1.150	1.218	1.233
		$(0.992 - 1.055)$	$(1.033 - 1.280)^{*}$	$(1.078 - 1.376)^{*}$	$(1.036 - 1.467)^{*}$
	Male	1.029	1.173	1.226	1.225
		$(0.997 - 1.063)$	$(1.045 - 1.316)^{*}$	$(1.074 - 1.399)^{*}$	$(1.015 - 1.480)^{*}$
	Female	1.011	1.107	1.196	1.232
		$(0.974 - 1.050)$	$(0.971 - 1.262)$	$(1.034 - 1.385)^{*}$	$(0.998 - 1.520)$
	Age $<65$	1.010	1.077	1.105	1.056
		$(0.961 - 1.061)$	$(0.906 - 1.280)$	$(0.907 - 1.345)$	$(0.795 - 1.401)$
	Age $\geq 65$	1.026	1.166	1.244	1.272
		$(0.995 - 1.058)$	$(1.046 - 1.300)^{*}$	$(1.100 - 1.406)^{*}$	$(1.067 - 1.517)^{*}$
5	Total	1.022	1.144	1.208	1.222
		$(0.990 - 1.056)$	$(1.008 - 1.298)^{*}$	$(1.031 - 1.415)^{*}$	$(0.978 - 1.526)$
	Male	1.030	1.171	1.222	1.223
		$(0.994 - 1.066)$	$(1.021 - 1.343)^{*}$	$(1.027 - 1.452)^{*}$	$(0.960 - 1.559)$
	Female	1.009	1.093	1.174	1.203
		$(0.970 - 1.050)$	$(0.938 - 1.275)$	$(0.972 - 1.418)$	$(0.923 - 1.569)$
	Age $<65$	1.011	1.082	1.127	1.127
		$(0.959 - 1.066)$	$(0.883 - 1.327)$	$(0.874 - 1.453)$	$(0.788 - 1.612)$
	Age $\geq 65$	1.025	1.158	1.227	1.245
		$(0.992 - 1.059)$	$(1.019 - 1.316)^{*}$	$(1.045 - 1.440)^{*}$	$(0.994 - 1.559)$

**Table S 2** The cumulative effects of cold spells under the optimal definition using different degrees of freedom for time per year in the DLM model ÷,

CI, confidence interval; df, degree of freedom; RR, relative risk.  $^{\circ}$ P < 0.05.

<sup>a</sup>Used in the study.

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CI, confidence interval; df, degree of freedom; RR, relative risk.  $^{\ast}$ P < 0.05.

<sup>a</sup>Used in the study.

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**Table S 4** The cumulative effects of cold spells under the optimal definition using different degrees of freedom for air pressure in the DLM model

CI, confidence interval; df, degree of freedom; RR, relative risk.  $^{\ast}$ P < 0.05.

<sup>a</sup>Used in the study.

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**Table S 5** The cumulative effects of cold spells under the optimal definition using different degrees of freedom for air quality index in the DLM model

AQI, air quality index; CI, confidence interval; df, degree of freedom; RR, relative risk.  $^{\ast}$ P<0.05.

<sup>a</sup>Used in the study.

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- 22 hospitalizations, intensity and duration

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- 48 elderly are at particular risk of AECOPD hospitalizations triggered by cold spells.
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# **Strengths and limitations of this study:**

- This study was the first to examine the association between cold spells and
- 52 AECOPD hospitalizations in China.
- The study assessed the effects of cold spells under different definitions on AECOPD
- 54 hospitalizations to find out the optimal cold spell definition on the issue.
- The ecological design cannot imply causality definitely, while limited information on
- 56 individual-level factors and inevitable exposure measurement errors may lead to
- 57 bias.
- The data from one specific city limited the extrapolation of the findings.
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# **INTRODUCTION**

ssessed the effects of cold spells under different definitions to find out the optimal cold spell definition on the isseal design cannot imply causality definitely, while limited wel factors and inevitable exposure measure 61 The Intergovernmental Panel on Climate Change (IPCC) has predicted that human 62 activities and global climate change cause variations in frequency, intensity and duration 63 of many extreme weather events, including heatwaves and cold spells.[1] Although the 64 amount of cold spells may decrease over most land areas due to global warming, a few 65 recent studies found that the persistent shift of the Arctic polar vortex and Arctic 66 amplification associated with global warming could lead to increased extremely cold 67 events in mid-latitudes.[2, 3]. Over the last few years, the impacts of cold spells on 68 human health have gained growing attention from the public. Many studies have reported 69 positive relationships between cold spells and mortality[4-6] while the impacts of cold 70 spells on hospital visits or admissions are under-examined. 71 Chronic obstructive pulmonary disease (COPD) is one of the common respiratory

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studies have indicated that COPD has higher rates of e<br>ith lower temperatures.[9-13] We hypothesized that colvids of extremely cold weather, may be more detrimen<br>id cause more hospitalizations for acute exacerbations<br>adies 72 diseases characterized by poorly reversible limitation of airflow.[7] Owing to its high 73 prevalence, morbidity, mortality and economic burden globally, COPD has been an 74 important public health concern and will remain a huge challenge for healthcare 75 practitioners in the foreseeable future.[8] Thus, it is crucial to identify the risk factors of 76 COPD to improve strategies on prevention and intervention. Given the projected climate 77 change, extreme temperature events potentially pose threats to COPD patients. Many 78 epidemiological studies have indicated that COPD has higher rates of exacerbation and 79 hospitalization with lower temperatures.[9-13] We hypothesized that cold spells, defined 80 as prolonged periods of extremely cold weather, may be more detrimental to COPD 81 patients, and could cause more hospitalizations for acute exacerbations (AECOPD).[14] 82 However, few studies have been carried out on the association between cold spells and 83 AECOPD hospitalizations.[15] 84 As the world's largest country by population, China shoulders the enormous burden of 85 COPD. A national cross-sectional study from 2012 to 2015 showed that the prevalence of 86 COPD among Chinese adults aged 20 years and older was 8.6% (an estimated of 99.9 87 million COPD patients).[16] On the other hand, with most areas located in mid-latitudes, 88 China has experienced several severe cold spells in recent years. The cold spells in 89 2008 resulted in a significantly higher all-cause mortality in subtropical China and 90 estimated losses exceeding \$22.3 billion.[17, 18] Moreover, the public now has a better 91 perception of the potential risks of extreme temperatures in China, especially those with

92 chronic conditions.[19] Since no relevant studies have been reported in China, it is of

93 great value to assess the association between cold spells and AECOPD hospitalizations

94 to build prevention and adaption strategies suitable to local conditions (e.g., climate type,

95 socio-demographic status of residents), which may be different from other regions.

96 Cold spells have been defined differently due to the heterogeneity of climate and

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### **Statistical methods**

162 In the analyses, the dependent variable was the number of daily AECOPD 163 hospitalizations following a quasi-Poisson distribution. Hence, we adopted a distributed 164 lag model (DLM) [30] with a quasi-Poisson generalized linear regression model. To 165 investigate the effects of cold spells on AECOPD hospitalizations, we compared the 166 counts of AECOPD admissions during cold spell days with those during non-cold spell 167 days, after adjusting for relative humidity, atmospheric pressure, AQI, seasonality, long-168 term trends, statutory holiday, influenza epidemics, and day of the week (DOW). The Q-169 AIC was employed to choose the optimal cold spell definition and degrees of freedom 170 (df). The model was established as follows:

  $Y_t$ ~quasiPoisson $(\mu_t)$ 

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270 intensities. Based on the statistical model fit, the optimal definition of cold spells was daily

271 mean temperature less than or equal to the 10<sup>th</sup> percentile lasting for at least 4

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272 consecutive days during the study period. The elderly seemed more sensitive to cold 273 spells than the younger, while the susceptibility difference between genders was not 274 noticeable.

on COPD hospitalizations with a lagged effect of at least<br>review only mechanisms may explain for elevated COPD morbid<br>tremely cold events. Firstly, cold exposure has been for<br>tremely cold events. Firstly, cold exposure has 275 Our finding is in accordance with previous studies reporting an excess of AECOPD 276 hospitalizations,[15] emergency visits[33] and mortality[4, 6, 33] associated with cold 277 spells. For instance, Monteiro et al. reported significant effects of cold spells identified by 278 various indices on COPD hospitalizations with a lagged effect of at least two weeks.[15] 279 Several underlying mechanisms may explain for elevated COPD morbidity and mortality 280 attributable to extremely cold events. Firstly, cold exposure has been found to be related 281 to a decline in lung function  $(FEV_1, FVC$  and PEF) among COPD patients.[34, 35] 282 Secondly, Koskela et al. reported that cold air could directly induce bronchoconstriction, 283 leading to excessive dyspnea in patients with COPD.[36] Thirdly, cold exposure may 284 suppress the immune response and increase susceptibility to viral infections in 285 humans.[37] Meanwhile, the transmission efficiency of the influenza virus is inversely 286 correlated with ambient temperature.[38] Fourthly, the cold temperature may provoke 287 airway inflammation and mucin hypersecretion in airway epithelium, which results in 288 COPD morbidity and mortality by blocking airways and causing recurrent infections.[39, 289 40] 290 We found that the CRR values of AECOPD hospitalizations increased with longer 291 duration and higher intensity of cold spells. Some prior studies had similar findings,[20, 292 21] indicating that both the duration and the intensity affect the health risks of cold spells. 293 According to the minimum Q-AIC value criterion, the optimal definition of cold spells was 294 the daily average temperature at or below the  $10<sup>th</sup>$  percentile with 4 or more consecutive 295 days. Compared with other definitions, this one had a lower intensity and longer duration,

296 and the most significant single-day lagged effect of cold spells on AECOPD

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is, it has been reported that staying indoor and wearing witality in extremely cold weather.[44] Tseng et al. sugge<br>eived inhaled medicine were less affected by cold temp<br>tion.[12] Therefore, keeping warm, minimizing the o 322 3 weeks, urging for effective and practical guidelines for preventions, particularly for 323 COPD patients during cold spells in China. Both the government and individuals should 324 take practical actions. The meteorological departments should improve early warning 325 systems with timely forecast and publication of extremely cold events. Moreover, the 326 government should exert great efforts to raise public awareness of the health hazards of 327 cold spells and ensure adequate public and medical services coping with cold spells.[4] 328 As for individuals, it has been reported that staying indoor and wearing warm clothing 329 could reduce mortality in extremely cold weather.[44] Tseng et al. suggested that COPD 330 patients who received inhaled medicine were less affected by cold temperature-related 331 COPD exacerbation.[12] Therefore, keeping warm, minimizing the outdoor activities and 332 taking medications regularly are vital measures to fight against cold spells for individuals 333 with COPD, especially for the elderly. 334 The main strengths of our study are as follows: Firstly, to the best of our knowledge, 335 this is the first study to investigate the relationship between cold spells and AECOPD 336 hospitalizations in China. Secondly, we controlled air quality and influenza epidemics as 337 confounding factors, which were not included by some previous studies. Lee et al. have 338 reported that a higher influenza virus detection rate was correlated with AECOPD.[14] A 339 previous study from Beijing has found significant associations between short-term 340 exposures to air pollution and hospitalizations for AECOPD.[23] Existing literatures have 341 also shown that both air pollution and influenza epidemics could contribute to cold-related 342 health effects.[45, 46] Moreover, air pollutants may interact with viral infections to 343 precipitate AECOPD rather than acting alone. Feng and colleagues reported that ambient PM<sub>2.5</sub> was associated with influenza-like illness risk in Beijing in the flu season.[47] 345 Further research on the interactions among cold spells, air pollution and influenza on 346 AECOPD is therefore needed. Thirdly, we identified the elderly more vulnerable to cold

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> For exposures. Moreover, people are more likely to stay in<br>
> during extremely cold days in northern China, so inevit<br>
> rors may lead to bias. Fourthly, due to the limited availa<br>
> Fartes of influenza isolations were from the 347 spells by stratified analyses, which guide more targeted prevention strategies. 348 Meanwhile, the study also has several limitations: Firstly, as an ecological study, the 349 association between cold spells and AECOPD hospitalizations does not imply causality. 350 Secondly, different socio-economic status or other factors on an individual level might be 351 confounding factors and were not considered in the association. Thirdly, the 352 meteorological variables and AQI were all from monitoring stations, not reflecting the 353 individual level of exposures. Moreover, people are more likely to stay indoors with 354 heating systems during extremely cold days in northern China, so inevitable exposure 355 measurement errors may lead to bias. Fourthly, due to the limited availability of local 356 data, the positive rates of influenza isolations were from the northern part of China but 357 not only Beijing. Further studies with local influenza data included as a continuous 358 variable in the model are warranted. Lastly, the data from only one city weakens the 359 extrapolation validity of the study.

## **CONCLUSION**

362 Our study demonstrates that short-term exposure to cold spells is associated with an 363 increased risk of AECOPD hospitalizations. The cumulative effects increased with the 364 intensities and the durations of cold spells. The elderly are more vulnerable to AECOPD 365 hospitalizations during cold spell periods. These findings provide scientific foundations for 366 comprehensive public health strategies to reduce cold spell-related AECOPD 367 hospitalizations in Beijing, China.

**Contributors:** ZF obtained the original data and funding. ZF, XJ, YL and YC designed the

370 study. DK, XL, JF, YZ and ZC preprocessed the data. YL, YC, and YZ analyzed the data.

371 YL and YC drafted the manuscript. XZ, KX, CJ and ZF reviewed and edited the

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373 guarantor.

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**Competing interests:** None declared.

**Ethical approval:** This study was approved by the ethical review committee of Peking

380 Union Medical College Hospital.

March 12 Puts **Data sharing statement:** No additional data are available.

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 the risk of influenza-like-illness: a time-series analysis in Beijing, China. *Environmental health : a global access science source* 2016;**15**:17. 

# **Figure legend/caption:**

- **Figure 1** Lag-response relationships between cold spells under different definitions and
- 504 AECOPD hospitalizations of the total population in Beijing, 2012-2016.
	- ships b.<br>
	in Beijing, 20.<br>
	Conditions on the Conditions of Conditions on the Conditions of Conditions of Conditions on the Conditions of Conditions on the Conditions of Conditions of Conditions of Conditions of Conditions **Figure 2** Lag-response relationships between cold spells and AECOPD hospitalizations
- 507 stratified by gender and age in Beijing, 2012-2016.

**Table 1** Statistic summary of daily hospitalizations for AECOPD (counts per day),

5382 71.1(25.8) 12.0 49.0 75.0<br>
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3074 40.6(17.7) 7.0 27.0 39.0<br>
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7097 93.8(34.3) 19.0 67.0 95.0<br>
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ture / 0.9(5.4) -16.0 -3.0 0.0<br>
y(%) / 46.6(20.4) 8.0 30.0 43.0<br>
Pa) / 1025.3(6.5 1005. 1021. 1026.0<br> meteorological variables and air quality index in cold seasons (November-March) in Beijing, China, 2012–2016. Total Mean (SD) Min P25 Media n P75 Max AECOPD hospitalizations 8457 1 111.7(41.0 ) 22.0 78.0 113.0 141.0 226.0 Gender Male 5382 9 71.1(25.8) 12.0 49.0 75.0 89.0 158.0 Female 3074 2 40.6(17.7) 7.0 27.0 39.0 53.0 110.0 Age 0-64 years old 1360 0 18.0(8.3) 2.0 12.0 18.0 23.0 43.0 ≥65 years old 7097 1 93.8(34.3) 19.0 67.0 95.0 118.0 189.0 Environmental variables Mean temperature (℃) / 0.9(5.4) -16.0 -3.0 0.0 4.0 18.0 Relative humidity (%) / 46.6(20.4) 8.0 30.0 43.0 61.0 98.0 Air pressure (hPa) / 1025.3(6.5 1005. 1021. 1026.0 1030. 1044.

AECOPD, acute exacerbation of chronic obstructive pulmonary disease; P25, the 25<sup>th</sup> percentile; P75,

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17.0 61.0 97.0 170.0 485.0

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the 75<sup>th</sup> percentile; SD, standard deviation.

Air quality index

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Per review only

**Table 2** Overview information of cold spells under different definitions

Q-AIC, quasi-Poisson Akaike Information Criterion.

### **Table 3** Cumulative relative risk of cold spells under different definitions

on AECOPD hospitalizations of the total population in Beijing, 2012-2016.



AECOPD, acute exacerbation of chronic obstructive pulmonary disease; CI, confidence interval; CRR,

cumulative relative risk.

\**p*<0.05.

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# Table 4 Cumulative relative risk of cold spells<sup>#</sup> on AECOPD hospitalizations

# stratified by gender and age in Beijing, 2012-2016.



AECOPD, acute exacerbation of chronic obstructive pulmonary disease; CI, confidence interval; CRR,

cumulative relative risk.

#The optimal cold spell definition was daily mean temperature ≤10th percentile (-6 ℃) with at least 4

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consecutive days during the study period.

\**p*<0.05.



Figure 1 Lag-response relationships between cold spells under different definitions and AECOPD hospitalizations of the total population in Beijing, 2012-2016.

161x159mm (300 x 300 DPI)

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and age in Beijing, 2012-2016.

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# **Supplementary Materials**

# **Supplementary Tables**

**Table S1** The cumulative effects of cold spells under the optimal definition using different degrees of freedom for lag dimension in the DLM model

**Table S 2** The cumulative effects of cold spells under the optimal definition using different degrees of freedom for time per year in the DLM model

**Table S 3** The cumulative effects of cold spells under the optimal definition using different degrees of freedom for relative humidity in the DLM model

**Table S4** The cumulative effects of cold spells under the optimal definition using different degrees of freedom for air pressure in the DLM model

**Table S5** The cumulative effects of cold spells under the optimal definition using different degrees of freedom for air quality index in the DLM model

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**Table S 1** The cumulative effects of cold spells under the optimal definition using different degrees of freedom for lag dimension in the DLM model



CI, confidence interval; df, degree of freedom; RR, relative risk.

 $^{\circ}$ P<0.05.

 $\mathrm{^{a}}$ Used in the study.

df for time		or incedition thing per year in the DENT moder CRR (95% CI)					
per year	Group	Lag0	$Lag0-7$	$Lag0-14$	$Lag0-21$		
3 <sup>a</sup>	Total	1.042	1.249	1.343	1.394		
		$(1.013 - 1.072)^{*}$	$(1.136 - 1.374)^{*}$	$(1.206 - 1.496)^{*}$	$(1.193 - 1.630)^{*}$		
	Male	1.042	1.243	1.316	1.342		
		$(1.011 - 1.074)^{*}$	$(1.123 - 1.375)^{*}$	$(1.173 - 1.477)^{*}$	$(1.136 - 1.586)^{*}$		
	Female	1.041	1.257	1.383	1.476		
		$(1.005 - 1.077)^{*}$	$(1.119 - 1.411)^{*}$	$(1.215 - 1.574)^{*}$	$(1.211 - 1.783)^{*}$		
	Age < 65	1.017	1.120	1.159	1.107		
		$(0.972 - 1.064)$	$(0.963 - 1.303)$	$(0.977 - 1.376)$	$(0.862 - 1.422)$		
	$Age \ge 65$	1.046	1.275	1.382	1.456		
		$(1.017 - 1.077)^{*}$	$(1.158 - 1.404)^{*}$	$(1.240 - 1.540)^{*}$	$(1.244 - 1.705)^{*}$		
$\overline{4}$	Total	1.023	1.150	1.218	1.233		
		$(0.992 - 1.055)$	$(1.033 - 1.280)^{*}$	$(1.078 - 1.376)^{*}$	$(1.036 - 1.467)^{*}$		
	Male	1.029	1.173	1.226	1.225		
		$(0.997 - 1.063)$	$(1.045 - 1.316)^{*}$	$(1.074 - 1.399)^{*}$	$(1.015 - 1.480)^{*}$		
	Female	1.011	1.107	1.196	1.232		
		$(0.974 - 1.050)$	$(0.971 - 1.262)$	$(1.034 - 1.385)^{*}$	$(0.998 - 1.520)$		
	Age $<65$	1.010	1.077	1.105	1.056		
		$(0.961 - 1.061)$	$(0.906 - 1.280)$	$(0.907 - 1.345)$	$(0.795 - 1.401)$		
	Age $\geq 65$	1.026	1.166	1.244	1.272		
		$(0.995 - 1.058)$	$(1.046 - 1.300)^{*}$	$(1.100 - 1.406)^{*}$	$(1.067 - 1.517)^{*}$		
5	Total	1.022	1.144	1.208	1.222		
		$(0.990 - 1.056)$	$(1.008 - 1.298)^{*}$	$(1.031 - 1.415)^{*}$	$(0.978 - 1.526)$		
	Male	1.030	1.171	1.222	1.223		
		$(0.994 - 1.066)$	$(1.021 - 1.343)^{*}$	$(1.027 - 1.452)^{*}$	$(0.960 - 1.559)$		
	Female	1.009	1.093	1.174	1.203		
		$(0.970 - 1.050)$	$(0.938 - 1.275)$	$(0.972 - 1.418)$	$(0.923 - 1.569)$		
	Age $<65$	1.011	1.082	1.127	1.127		
		$(0.959 - 1.066)$	$(0.883 - 1.327)$	$(0.874 - 1.453)$	$(0.788 - 1.612)$		
	Age $\geq 65$	1.025	1.158	1.227	1.245		
		$(0.992 - 1.059)$	$(1.019 - 1.316)^{*}$	$(1.045 - 1.440)^{*}$	$(0.994 - 1.559)$		

**Table S 2** The cumulative effects of cold spells under the optimal definition using different degrees of freedom for time per year in the DLM model -

CI, confidence interval; df, degree of freedom; RR, relative risk.  $^{\circ}$ P<0.05.

<sup>a</sup>Used in the study.

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CI, confidence interval; df, degree of freedom; RR, relative risk.  $^{\ast}$ P < 0.05.

<sup>a</sup>Used in the study.



**Table S 4** The cumulative effects of cold spells under the optimal definition using different degrees of freedom for air pressure in the DLM model  $\overline{\phantom{0}}$ 

CI, confidence interval; df, degree of freedom; RR, relative risk.  $^{\ast}$ P < 0.05.

<sup>a</sup>Used in the study.

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AQI, air quality index; CI, confidence interval; df, degree of freedom; RR, relative risk.  $^{\ast}$ P<0.05.

<sup>a</sup>Used in the study.



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\*Give information separately for exposed and unexposed groups.

**Note:** An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine.org/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at www.strobe-statement.org.

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