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#### 26 **1. Study title**

A Combined Randomised and Observational Study of Surgery for Fractures In the distalRadius in the Elderly (CROSSFIRE).

## 29 **2. Project summary**

30 Fractures of the distal radius are the most common fractures presenting to emergency departments and orthopaedic surgeons.(1) These fractures are more common in the elderly 31 32 (due to osteoporosis and increased risk of falls) and the incidence in this age group is 33 increasing.(1) Considerable practice variation exists in the management of distal radius 34 fractures in the elderly in Australia, (2) ranging from closed reduction (manipulation of the 35 arm to realign the fracture) with cast immobilisation, to open reduction (surgical exposure 36 and realignment of the fracture) with plate fixation. Open reduction and (volar locking) plate 37 fixation is currently the most common treatment provided. While there is evidence showing 38 no significant advantage for some forms of surgical fixation over closed treatment, and no 39 difference between different surgical techniques,[3-15] there is a lack of evidence 40 comparing the two most common treatments used in Australia: volar locked plate fixation 41 versus cast immobilisation. Surgical management of these fractures involves significant 42 costs (implant costs, medical costs, hospital costs) and risks (infection, implant failure, 43 general surgical risks) compared to non-operative management (closed reduction and cast 44 immobilisation in the emergency department). Therefore, high level evidence comparing the 45 current treatment alternatives (plate fixation versus casting) is required in order to address 46 practice variation, justify or avoid costs, and to provide the best clinical outcome for patients 47 with these common fractures.

- 48 This pragmatic, multicentre randomised comparative effectiveness trial aims to determine
- 49 whether (volar locking) plate fixation leads to better pain and function and is more cost-
- 50 effective than closed reduction with cast immobilisation in displaced distal radius fractures in
- 51 adults aged 60 years and older. The trial will compare the two techniques, but will also
- 52 follow patients that are unwilling to be randomised (but consent to follow up) in a separate,
- 53 observational arm. Inclusion of non-randomised patients provides a more complete
- 54 spectrum of fracture presentation, provides practice and outcome insights about standard
- 55 care, and improves the generalisation of the results from the randomised arms.
- 56 Given that plate fixation requires hospital admission and surgery, and that closed reduction
- 57 with cast immobilisation is usually performed in the emergency department without
- admission, the findings have important implications for use of resources (theatre time, bed
- 59 days, staff and implant costs) and may also reduce harms associated with plate fixation
- 60 (infection, implant mal-positioning, tendon rupture and reoperation for implant removal). This

- 61 trial will have significance in Australia, New Zealand and internationally, as it will address an
- 62 important need for evidence supporting surgical practice.

#### 63 **3. Study identification**

- 64 Registered with a World Health Organisation Universal Trial Number (WHO UTN).
- 65 Registered with ANZCTR (Australian and New Zealand Clinical Trials Registry).
- 66 WHO UTN: U1111-1186-3557
- 67 ANZCTR number: ACTRN12616000969460
- 68 Web address: http://www.ANZCTR.org.au/ACTRN12616000969460.aspx
- 69 Date submitted: 12 July 2016
- 70 Date registered: 22 July 2016
- 71 Registered by: Ian Harris and Andrew Lawson

## 72 **4. Sponsor**

- 73 Whitlam Orthopaedic Research Centre, Ingham Institute for Applied Medical Research,
- 74 UNSW Australia.
- 75 Grant funding has been received from NHMRC Project Grant (2016, APP1098550), the
- 76 Australian Orthopaedic Association Research Foundation, AO Trauma Asia Pacific and The
- 77 Lincoln Foundation..

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#### 86 6. Investigators and participating institutions

- 87 The following investigators comprise the CROSSFIRE Study Group
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- 89 A/Prof Justine Naylor Whitlam Orthopaedic Research Centre
- 90 Dr Rajat Mittal Whitlam Orthopaedic Research Centre

91	Andrew Lawson	Whitlam Orthopaedic Research Centre (Project manager)
92	Prof Rachelle Buchbinder	Monash University
93	Prof Rebecca Ivers	The George Institute, University of Sydney
94	Dr Wei Xuan	Ingham Institute for Applied Medical Research
95	A/Prof Herwig Drobetz	Mackay Base Hospital
96	Prof Zsolt Balogh	John Hunter Hospital
97	Dr Manish Gupta	Nepean Hospital
98	A/Prof Martin Richardson	Epworth Hospital
99	Dr Bernard Schick	Prince of Wales Hospital
100	Dr Ian Incoll	Gosford and Wyong Hospitals
101	Dr Geoff Smith	St George and Sutherland Hospitals
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104	Prof Paul Smith	Canberra Hospital
105	Dr Sameer Viswanathan	Campbelltown Hospital
106	Prof Mellick Chehade	Royal Adelaide Hospital
107	Mr Andrew Oppy	Royal Melbourne Hospital
108	Dr Kim Latendresse	Nambour Hospital and Sunshine Coast University Hospital
109	Dr Jeremy Loveridge	Cairns Hospital
110	Mr Phong Tran	Western Health
111	Dr Andrew Clout	Wagga Wagga Base Hospital
112	Dr Jonathan Mulford	Launceston Hospital
113	Dr Leo Zeller	Toowoomba Hospital
114	Dr Kush Shrestha	Darwin Hospital
115	Prof Richard Page	University Hospital Geelong/Barwon Health
116	Dr Stephen Hutchinson	Royal Hobart Hospital
117	Dr Kaushik Hazratwala	Townsville Hospital
118	Dr Jai Sungaran	Concord Hospital

119	Dr Raphael Hau	Northern Health
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121	Prof Pier Yates	Fiona Stanley Hospital
122	Dr Bertram Rieger	Fiona Stanley Hospital
123	Dr Roger Bingham	St Vincent's Hospital
124	Dr James Stoney	St Vincent's Hospital
125	Dr Kirsten Howard	University of Sydney
126	Dr James Wong	Westmead Hospital

## 128 **7. Rationale & background information**

*Epidemiology.* Distal radial fractures are the commonest fractures seen in a hospital setting. (1) They are particularly common in the elderly due to higher rates of falls and prevalence of osteoporosis. In Australia, it is estimated that the number of osteoporotic wrist fractures (in people aged 50 years and over) will increase over 25% from approximately 20,000 in 2013 to over 25,000 in 2022. [16] Direct costs from osteoporotic wrist fractures have been estimated to be over \$130 million dollars per year in Australia.[16] With increasing use of surgical fixation, the cost is expected to increase disproportionately.[16]

136 Current practice. Historically, these fractures have been treated by closed reduction 137 (manipulation of the fracture) and plaster cast immobilisation. Over the last 10-20 years, the 138 use of internal fixation for these fractures has increased more than 5-fold[17] due to the 139 frequent loss of alignment seen with plaster fixation, despite a lack of any clear association 140 between alignment and function in this population.[6] In 2011, CIA and CID published the 141 results of a survey of Australian orthopaedic surgeons showing that nearly half (47%) of 142 surgeons preferred surgical (plate) fixation for the case example used (typical distal radius 143 fracture in a 75 year old female).[2] Since that survey, open reduction and volar locked 144 plating (a form of internal fixation) has continued to increase in popularity to the extent that it 145 is now usual treatment for displaced distal radius fractures in many institutions.

*Comparative trials.* Comparative trials have not shown clear superiority of pain and function
with plate fixation compared to plaster fixation, despite better radiographic appearance with
operative (plate) fixation.

149 The improved radiographic and clinical alignment noted with surgical (plate) fixation is a 150 driver of the preference for surgical fixation amongst surgeons, despite evidence that the residual alignment (or malalignment) is not correlated with pain or function in thesefractures.[18]

153 In 2009, a Cochrane review involving 3,371 mainly elderly female patients concluded that 154 there was a "lack of clear evidence for the surgical management of these fractures".[19] The 155 Cochrane review did not contain any studies comparing plate fixation to closed reduction 156 and cast immobilisation. Surgery has also been associated with significant complications 157 otherwise not seen with non-surgical approaches (Table 1).[20,21]

158 In 2011, a high quality randomised controlled trial (RCT) involving 73 participants aged 65 159 years and older found no difference in patient reported outcomes when volar plating was 160 compared to plaster fixation for unstable distal radius fractures that had redisplaced after 161 initial closed reduction.[4] However, this was a single centre study, limiting generalisability, 162 and it did not report changes in quality of life. Furthermore, this study only included patients 163 in whom the initial closed reduction had failed on first review, a practice not followed in 164 Australia, where the decision to operate is made on initial presentation. In many countries, 165 including Australia, a treatment decision is made on the initial radiographs (degree of 166 displacement) with no trial of closed treatment first. Therefore, the current study reflects that 167 practice by randomising based on the initial radiographs. It is the consideration of many 168 (particularly in Australia and the US) that 'stability' is decided on the initial radiographs 169 (displacement, comminution) and 'reducibility' decided on the post-reduction radiographs.

In 2014, a second randomised trial involving 185 participants aged 65 years and older also
showed no significant benefit to volar locked plating over closed reduction for displaced
distal radius fractures, but this paper had a high rate of crossover and only included the less
common intra-articular fracture type, making interpretation and generalisation difficult.[22]
These two studies are summarised in Table 2.

A third multicentre study investigating volar plate fixation of distal radius fractures in the elderly is currently recruiting in the US.[23] However, the study group decided not to have a cast-only group due to the "predictable loss of alignment", despite a lack of evidence supporting the popularity and perceived effectiveness of volar plate fixation, which drove the development of the study. Instead, volar plating is being compared to techniques that are (by their own admission) no longer in common practice (external fixation and percutaneous wiring).[24]

182 Table 1. Risks and costs of volar plate fixation (intervention) and cast immobilisation

183 (control).

Risks		Volar locked plating	Cast immobilisation (ED)
1.	Infection	Yes	No
2.	Need for implant removal	Yes	No
3.	Tendon rupture / irritation	Yes	No

4.	Implant failure	Yes	No
5.	Implant migration	Yes	No
6.	Chronic regional pain syndrome	Yes	Yes
7.	Wound breakdown	Yes (dehiscence)	Yes (pressure injury)
8.	Loss of reduction	Yes (low)	Yes (high)
Costs			
1.	Implant	Yes (\$1,500)	No
2.	Theatre costs	Yes	No
3.	Surgeon / anaesthetists	Yes	No
4.	Inpatient costs (bed)	Yes (one day)	No (discharge from ED)
5.	Anaesthetic / sedation agents	Yes	Yes
6.	Plaster	Yes	Yes

Justification. Given the increased resource utilisation and risks associated with surgery, a clear benefit is required to make this treatment cost-effective. No clear benefit to surgery has yet been established. Our aim is to definitively quantify the true benefit (if any) and harms of the current standard surgical treatment in Australia, and to determine its costeffectiveness, in comparison to closed reduction and cast immobilisation. Our trial will address the methodological shortcomings of previous trials as outlined in Table 2.

191 <u>Table 2</u>. Comparison of previous RCTs and proposed study, comparing volar plate fixation

192 to casting for distal radius fractures in the elderly.

	Arora et al, 2011	Bartl et al, 2014	Current study	
All dorsally	Yes	No	Yes	
angulated distal				
radius fractures				
Low crossover	Yes	No	N/A	
Treatment assigned	No	Yes	Yes	
on initial presentation				
Multicentre	No	Yes	Yes	
Include general	No	Yes	Yes	
health outcome				
Country	Austria	Germany	Australia / NZ	

193

194 Given the ageing of the Australian population, there will be significant increases in 195 presentations for distal radius fractures and costs will be significant if usual practice is 196 surgery. Given the risk associated with surgery, particularly in older people, who are more 197 prone to comorbidities that may lead to complications and longer hospital stays, there is an 198 important need for a definitive trial to guide practice, reduce unwarranted practice variation, 199 optimise health outcomes and justify use of valuable resources. The results of this trial will 200 not only guide care in Australia and New Zealand but will also have major relevance 201 internationally.

202

#### 203 8. Study hypothesis

#### 204 <u>Primary hypothesis:</u>

Patients aged 60 years and older with displaced fractures of the distal radius managed
operatively using volar locking plate fixation, will have superior patient rated pain and
function at 12 months post-injury compared to those managed non-operatively with closed
reduction and plaster casting.

#### 209 Secondary hypotheses

- There will be a significant difference in complication rates between the two groups
- There will be a significant difference in cost effectiveness between the two groups

## 212 **9. Aims**

- 213 Primary Aim: To determine the comparative effectiveness of operative treatment (volar
- 214 locking plate fixation) versus non operative treatment (closed reduction and cast

immobilisation) for adults aged 60 years and older with displaced distal radius fractures in a

- 216 multicentre randomised controlled trial.
- 217 Secondary Aims: To determine: comparative safety and cost-effectiveness of operative
- treatment versus non operative treatment for adults aged 60 years and older with displaced
- 219 distal radius fractures; comparative effectiveness and safety in a parallel prospective
- 220 observational study.

## 221 **10. Study design**

We will conduct a multicentre randomised controlled trial with an accompanying economic evaluation, as well as a concurrent prospective observational study including all eligible patients who decline participation in the trial and will therefore receive standard care (either plate fixation or closed reduction according to patient preference and usual care for each institution) and consent to be followed up. All participants will be followed up at the same time using the same outcomes measures. Surgeons and participants will not be blinded. The primary outcome (patient reported outcome) will be collected by a blinded assessor.

The use of an observational 'preference' arm in addition to the core RCT addresses criticisms of selection bias in the RCT by following non-randomised patients, and increases generalisability by following a large cohort of patients receiving the same treatment options as the RCT, as part of usual care.(3) This study type has been used in surgical trials[26] and has been recommended as a model for trials of surgery versus non-operative treatment where recruitment rates are expected to be lower than for other RCTs. [27] Our experience from our recently completed, similar multicentre fracture trial [28] is that a third of patients accept randomisation with almost 100% of the remainder consenting to be part of the observational cohort.

#### 238 **11. Methods**

- 239 Setting
- 240 The study will recruit from up to 32 institutions and use 30 site investigators (orthopaedic
- surgeons) that have contributed to the protocol and received departmental approval to
- recruit for this study, from all surgeons within each department.

#### 243 <u>Population</u>

- 244 The study population will include non-institutionalised individuals aged 60 or older
- presenting to participating institutions with a displaced, dorsally angulated distal radius
- fracture, within one week of injury.

#### 247 Inclusion criteria

248 Age 60 years or older 249 Displaced distal radius fracture (AO/OTA 23A or 23C with more than 10° dorsal • 250 angulation, referenced off a line perpendicular to the shaft of the radius or more than 251 3mm shortening or more than 2mm articular step) prior to reduction 252 Medically fit for surgery 253 Independent living (including hostel accommodation) • 254 Low energy injury (fall from less than 1m) • 255 Available for follow up for 12 months 256 Exclusion criteria 257 Patient unable to provide consent (due to cognitive capacity or English proficiency) • 258 Volar angulation • 259 **Diaphyseal extension** • 260 Partial articular fractures eg chauffer, Barton's (AO/OTA 23B) • 261 Associated fracture or dislocation in any other body part that will affect the use of • 262 the involved wrist (ulna styloid fracture will be permitted, as these are usually associated with the fracture under investigation) 263 264 Open injury • 265 Previous wrist fracture on the same side • 266 Medical condition precluding anaesthetic 267 Recruitment

- 268 Potential participants will be screened and those eligible will be approached by members of
- the orthopaedic team. Eligible patients will be provided with the Participant Information
- 270 Sheet, invited to participate and given the opportunity to ask questions. Eligible patients
- who are unwilling to be included in the randomised arm of the study will be invited to
- 272 participate in the observational arm. Written consent will be obtained prior to inclusion in the
- either the randomised or observational arms of the study.

## 274 Randomisation and treatment allocation concealment

- 275 Randomisation will occur immediately after consent has been gained by the recruiting
- 276 orthopaedic team, within one week of the date of the injury. This will occur by the
- 277 orthopaedic team member contacting a central computer-based randomisation service by
- telephone. Participants will be randomized using the method of minimisation.
- 279 Randomisation will be stratified by site, and minimisation, adjusting for gender and age (60-
- 280 74 years and >74 years), will be employed as recommended by the NHMRC Clinical Trials
- 281 Centre who will provide the randomisation service. Minimisation (adaptive stratified
- sampling) aims to reduce imbalance between the groups on prognostic factors which can
- 283 occur despite random allocation of treatment. Here, age and gender will be included in the
- 284 minimization algorithm for randomization.

## 285 <u>Blinding</u>

Due to the nature of the comparisons (surgery versus no surgery), it will not be possible to blind the surgeon (study) investigators or participants. While this may render the trial at risk of performance and detection bias, every effort will be made to ensure that treatment, other than the interventions under study is identical in both groups. The primary outcome (PRWE – patient rated wrist evaluation score at 12 months) will be collected from participants by blinded researchers, by telephone. The statistician will be blinded to the treatment group.

292 Participating surgeons have equipoise regarding the two treatment alternatives.

# 293 Intervention group (plate group)

Surgical fixation using a volar locking plate will be performed within two weeks of initial injury according to usual care of the participating institution, with an orthopaedic surgeon in attendance. This is a commonly performed procedure. Surgical technique and type of plate (make and length) will be surgeon preference. A plaster cast may be applied post operatively but for no longer than two weeks. Active finger movement will be encouraged post operatively. Participants will be reviewed two weeks (10-17 days) after surgery; the wound will be reviewed and sutures removed where necessary. Participants will be provided

301 with a home-exercise program (written information) post-operatively. Referral for outpatient

302 rehabilitation will not be routinely provided but will be permitted. See section below

303 ("Physiotherapy") for more information on post-treatment rehabilitation.

#### 304 Control group (cast group)

305 Participants in this group will be treated with a closed reduction and cast immobilisation, 306 avoiding wrist flexion, within two weeks of the initial injury. This method of casting is 307 consistent with standard casting practice in Australia. Immobilisation of a DRF in flexion has 308 been associated with an increased risk of fracture displacement as well as finger and MCPJ 309 stiffness [29]. Also, immobilisation in a cast that is too restrictive and excessively flexed has 310 been associated with an increased risk of CRPS [30, 31]. The reduction may be performed 311 in the Emergency Department under sedation and local anaesthetic infiltration into the 312 fracture (haematoma block) where possible, but may also be performed in an operating 313 room (according to availability and local practice). The procedure will be performed by an 314 orthopaedic surgeon or registrar. Post reduction radiographs will be taken to assess the 315 fracture alignment after the reduction. The best reduction achievable will be accepted.

- 316 The cast will be removed at six (+/-one) weeks from the initial reduction. Active finger
- 317 movement and light use of the hand will be encouraged immediately. Participants will be
- 318 provided with a home-exercise program (written information). Referral for outpatient
- rehabilitation will not be routinely provided but will be permitted (as above).

## 320 Observational arm

- 321 Patients who do not consent to be randomised will be offered participation in the
- 322 observational arm of the study. Their treatment will consist of either closed reduction and
- 323 cast immobilisation or operative fixation using a volar locking plate (the same two treatment
- 324 options as the RCT arm). Treatment will be decided by patient preference as per usual
- 325 practice at each institution. Post-operative treatment protocols, follow up and outcome
- 326 measures will be the same as the randomised arms.

#### 327 <u>Physiotherapy</u>

- 328 A home exercise program (written information) will be provided to all groups. Outpatient
- 329 physiotherapy will be allowed according to local practice, but not controlled. This is based
- 330 on RCTs and systematic reviews of RCTs that show no benefit, or no sustained (beyond 6
- 331 12 weeks) clinical benefit from outpatient physiotherapy compared to an unassisted home
- 332 program (written information only).[32-36] Attendance at any physical therapy
- 333 (physiotherapy, massage, osteopathy etc.) will be recorded at 3 month follow up.
- 334 <u>Time points</u>
- 335 Participants will have baseline data collected at the time of consent. Participants will be

- followed up in person at 1 week (cast group), 2 weeks (plate group), and 6 weeks by the
- 337 study surgeons as part of usual care and assessed for complications and radiographic
- documentation. Participants will be contacted by telephone by blinded researchers at 3 and
- 339 12 months and 2, 5 and 10 years post initial procedure for assessment of study outcomes.

#### 340 Baseline measures

- 341 Baseline variables will include age, gender, pre-injury difficulty using arm (yes/no), fracture
- 342 type (AO/OTA 23A or 23C), radiographic features (see above), diabetes (yes/no), smoking
- 343 status (current smoker: yes/no), current glucocorticoid treatment: yes/no, osteoporosis
- 344 treatment. Outcome scores (quality of life) and radiographic measures will be recorded at
- baseline. We will also collect treatment preference at baseline, as this may have an
- independent effect on outcome.

## 347 Primary outcome

- 348 Patient Rated Wrist Evaluation (PRWE) [37,38] at twelve (+/-one) months. The PRWE is a
- 349 15-item patient-reported measure of pain and function, specific to the wrist. It is a
- 350 continuous score on a scale from 0 to 100 with higher scores being worse. It is commonly
- used, was developed with patient-input and has been validated for use in patients with
- 352 distal radius fractures.

## 353 <u>Secondary outcomes</u>

- 354 PRWE at 3 months and 2, 5 and 10 years -355 -Disability of the Arm Shoulder and Hand (DASH)[39] at 12 months 356 -EQ5D (5L) (Health related quality of life) at 3 and 12 months and 2, 5 and 10 years 357 Pain (numerical rating scale NRS, 0-10) at 3 and 12 months and 2, 5 and 10 years -358 Patient reported treatment success (at 12 months, 5-point Likert scale) -359 Patient rated bother with appearance (at 12 month and 2, 5 and 10 years, 5-point 360 Likert scale) 361 Complications (including deep infection, reoperation, neuropathy, tendon irritation -362 requiring treatment, tendon rupture, fracture non-union at minimum 6 months, 363 implant failure, complex regional pain syndrome, death) at 3 months, 12 months, 2, 364 5 and 10 years 365 Radiographic measures (shortening [ulnar variance], dorsal angulation, radial tilt, -366 articular step) measured at presentation, post reduction, and between 6 weeks and 367 12 months) 368 Therapy utilisation up to and at 3 months 369 Sample size
  - CROSSFIRE study protocol version 24 , 30th April 2017

- 370 The recent RCT by Arora [4] used a 1:1 allocation, 5% significance and 80% power to
- detect a difference of 10 points on the PRWE, calculating a sample size of 68 participants
- for both groups. Based on a standard deviation (SD) for the PRWE of 23 in the Arora study,
- a 10-point threshold would be less than the commonly used threshold of 0.5SD for a
- 374 clinically important difference [40] and less than the MCID of 12 points determined by
- Walenkamp [41]. Using a 14 point cut off represents 0.6SD and is in line with another
- estimate of the minimum clinically important difference of the PRWE[42]. We consider 14
- points to be the minimum clinical difference necessary to justify the additional costs of
- 378 surgery compared to non-operative treatment.
- A total of 128 patients (64 in each group) will provide 90% power to detect a difference of 14 points on the PRWE scale at a significance level of 0.05. We aim to recruit 160 patients to allow for 20% loss to follow up. The previous RCTs reported loss to follow up rates of 19% [4, 22].
- The observational arm will be a convenience sample of patients not consenting to randomisation. In our experience, this group will comprise approximately 2 participants for every 1 randomised. We will therefore recruit 160 patients into the randomised trial and approximately 300 patients into the observational arm.
- 387 Data Collection
- 388 Primary data collection from site investigators will be paper-based but direct electronic data
- 389 entry will also be allowed. Participant follow up will be by telephone, but the option of
- 390 electronic data capture by participants (incorporating electronic reminders) will be available.
- 391 <u>Analysis</u>
- The primary outcome is the PRWE score at 12 months. An analysis of covariance will be
- 393 used to compare the mean PRWE between the two independent groups. Intention to treat
- analysis will be performed in the primary analysis. A per-protocol analysis (including
- 395 participants according to treatment received) will be added as a secondary analysis.
- 396 Analysis of secondary outcomes will include mixed model analyses, comparing secondary
- 397 outcomes between timepoints. Non-operative treatment will be defined as a minimum of 28
- 398 days in the plaster splint for the purposes of the per-protocol analysis.
- 399 The observational arm will be analysed separately, comparing the same two treatment
- 400 groups against the same outcomes using multivariable linear regression to adjust for
- 401 potential confounders. Results from both arms of the study will be analysed, comparing the
- 402 randomised groups with the observational groups.
- 403 Repeated measures analysis will be performed as a secondary analysis.

- 404 Attempts will be made to minimise missing data, such as obtaining multiple contact details
- 405 at recruitment and using telephone follow up rather than mail. Missing data will be dealt with
- 406 according to the instructions on the use of the outcome tools (PRWE, DASH and EQ-5D-
- 407 5L). If greater than ten percent of data is missing from the randomised sample, then
- 408 missing data will be imputed..

## 409 <u>Cost-effectiveness</u>

410 The costs of both treatment arms, and health service utilisation will be calculated for the 411 cost-effectiveness analysis. A cost effectiveness analysis will be performed from the 412 hospital perspective and a health care funder perspective, and limited to clearly defined, 413 major costs. Costs will be calculated from: 1. Length of stay (if admitted), 2. Theatre costs 414 (based on standard fees for public hospitals in each state), 3. Implant costs, and 4. 415 Outpatient rehabilitation related costs. Using the mean costs and the mean health 416 outcomes in each trial arm, the incremental cost per QALY of the plate group compared 417 with cast group will be calculated; results will be plotted on a cost-effectiveness plane. 418 Bootstrapping will be used to estimate a distribution around costs and health outcomes, and 419 to calculate the confidence intervals around the incremental cost-effectiveness ratios. One-420 way sensitivity analysis will be conducted around key variables and a probabilistic 421 sensitivity analysis to estimate the joint uncertainty in all parameters. A cost-effectiveness 422

422 acceptability curve (CEAC) will be plotted to provide information about the probability that
 423 the intervention is cost-effective, given willingness to pay for each additional QALY gained.

## 424 <u>Crossover</u>

425 The cosmetic difference between non-operative treatment (which commonly results in a 426 visible deformity) and plate fixation (which rarely results in a visible deformity) may be a 427 reason for participants in the non-operative group to cross over. This was not reported to be 428 an issue in the RCT by Arora et al, but was a significant issue in the RCT by Bartl et al, with 429 nearly 50% crossover from non-operative to operative treatment prior to the primary 430 endpoint. However, this was due to surgeon preference based on radiographic appearance. 431 In order to minimise this, the importance of avoiding crossover prior to the primary endpoint will be emphasised with the participating surgeons, and participants will be informed of the 432 433 likely residual deformity, but reassured (in the participant information sheet) that residual 434 deformity is usually well tolerated and is not associated with functional loss or pain. The 435 participating surgeons understand the importance of equipoise and have agreed to 436 participate based on their equipoise and the understanding that cosmetic appearance is not 437 an indication for crossover.

## 438 <u>Stopping rules / interim analysis:</u>

- 439 There will be no interim analysis due to the low risk of adverse events compared to usual
- 440 care, as both treatment groups constitute reasonable and common practice. Adverse
- events will be reported to the administering institution and project manager. These will be
- 442 defined as outlined below and are included in the reported complications listed above
- 443 (secondary outcomes).

## 444 **12. Safety Considerations**

445 The study compares two treatments that comprise usual care. It is not anticipated that either 446 treatment arm will be associated with adverse events above and beyond what is 447 experienced normally with these therapies. An independent data safety monitoring board 448 (DSMB) will be established, however, at the commencement of the trial. The board will 449 convene four months after trial commencement to review study progress and, where 450 appropriate, provide advice on issues regarding the scientific aspects of study conduct 451 (eligibility, recruitment rates, compliance) and any emerging evidence as it relates to the 452 trial. The DSMB will reconvene subsequently to review progress if any recommendations 453 were made after the initial review. If not, the DSMB will only meet as required; that is, if any 454 adverse event (defined below) occurs. The DSMB will be required to decide whether the 455 adverse event is related to the trial interventions or not. If there appears to be an atypical 456 trend in adverse events, trial suspension will be considered. This DSMB will comprise three 457 members who are not investigators (an orthopedic surgeon, a physical therapist, and a 458 statistician /epidemiologist), as well as one investigator.

- 459 Adverse events will be defined as:
- 460 Symptomatic fracture non-union (3 of 4 cortices not united radiographically at minimum
  461 6 months)
- infection (local infection requiring any treatment)
- neuropathy
- tendon irritation (requiring treatment)
- tendon rupture
- Complex regional pain syndrome (diagnosed on basis of presence of dysaesthetic pain,
- 467 hyperaesthesia extending into the hand of the injured limb, vasomotor changes, skin
- 468 atrophy, and diffuse osteopenia)
- 469 Site agreements include provisions for liability and insurance, requiring each site to maintain
- insurance for indemnity relating to activities in the conduct of the study. Participants are
- informed in the patient information and consent form as to what they should do if they suffer
- any injuries or complications as a result of participation in the study.

## 474 **13. Data management**

- 475 Data will be collected by local site investigators and study documents will be submitted
- securely (scanned and emailed) to the project manager at the administering institution.
- 477 Data will be stored in password protected computers and locked filing cabinets within the
- 478 administering institution.
- 479

## 480 **14. Ethical considerations**

- 481 The study will be submitted to a lead ethics committee in NSW for initial ethical
- 482 consideration. Relevant ethics approval from each site will also be necessary if not covered

483 by the original NEAF, together with site-specific approvals.

The study will be registered prior to trial commencement at ANZ Clinical Trials Registry and the protocol will be published, in accordance with The SPIRIT Statement [46,47]. Reporting

- 486 will be according to The CONSORT Statement [48].
- 487 The study satisfies the requirements of the National Statement on Ethical Conduct in
- Human Research (updated March 2014). No financial or other competing interests havebeen identified or declared.
- 490 The investigators consider randomised trials of operative versus non-operative treatment to
- 491 be ethical, provided that the requirements of ethical research have been satisfied, and the
- 492 potential benefits of the study to society outweigh the potential risks to individuals involved
- in the study. Two of the investigators have previously published on ethics in surgical
- research.[49,50] As operative treatment is currently the most common treatment, we see no
- increased harm from surgery than would exist without the presence of the study.
- 496 In this case, we consider the risks of continued operative treatment of distal radius fractures
- 497 without supporting evidence of a clinical advantage over non-operative treatment to be
- 498 unjustified. Risks associated with this study are the risks associated with each of the
- 499 treatments.
- 500 Participants will not be paid. Institutions will receive \$250 reimbursement per participant for
- 501 the randomised group and \$100 per participant for patients declining randomisation (who
- 502 will be offered inclusion in the observational cohort) to compensate for the time given by
- 503 local research support staff in recruitment and data collection.
- **15. Peer review**

- 505 The study has wide support from clinicians as evident from the participating centres; it was
- 506 presented at the annual meeting of the Australasian Orthopaedic Trauma Society in
- 507 Melbourne in October 2014 and drafts of the protocol were sent to members prior to the
- 508 meeting. Further revisions have occurred after dissemination between study group
- 509 members, including orthopaedic clinicians, statisticians and methodologists. The study
- 510 protocol was presented at the 2016 ANZMUSC Scientific Meeting and received
- 511 endorsement from the group. The investigators have published previous RCTs and surgical
- 512 outcome studies, including studies of distal radius fractures.[2,40,41].

# 513 **16. Feasibility**

- 514 The administering institution and many of the included researchers performed the
- 515 CROSSBAT multicentre ankle fracture trial (clinicaltrials.gov, NCT01134094) that has
- recently been completed, having recruiting approximately 450 patients from over 24 centres
- 517 within 3 years, using funding from an Australian Orthopaedic Association grant. The
- administering institution and the CIs have extensive expertise and experience in performing
- and publishing multicentre randomised trials in orthopaedics. A Clinical Trials Coordinator
- 520 housed at the Whitlam Orthopaedic Research Centre (WORC), within the Ingham Institute
- 521 for Applied Medical Research will be assigned to this project.

# 522 **17. Expected outcomes**

- The study will provide definitive evidence of the comparative effectiveness, safety and costeffectiveness of two different but commonly used treatment options for this common
  fracture.
- 526 If the study finds that operative treatment (plating) is not superior to non-operative treatment
- 527 (casting), it will strengthen the existing evidence for non-operative treatment for these
- 528 fractures and therefore influence and change clinical practice.
- 529 If the study finds plating to be superior, and it is found to be cost-effective, it will provide
- 530 high quality evidence to support the current practice of plate fixation.
- 531 Involvement of local surgeons is more likely to lead to acceptance of the results and
- 532 facilitate early practice change within Australia and New Zealand. Inclusion of an
- 533 observational arm will also increase the generalisability of the results by including non-
- randomised patients treated with the same interventions. Due to the frequency and impact
- 535 of this fracture, and continued contention over the treatment options internationally, the
- results of this trial will have impact on fracture treatment globally.
- 537

# 538**18. Dissemination of results and publication policy**

539 The protocol will be published in an open access journal.

540 The results of the study will be presented at national and international orthopaedic scientific

541 meetings such as the Australian Orthopaedic Association (AOA) Annual Scientific Meeting

542 and the American Academy of Orthopaedic Surgeons Annual Scientific Meeting. Results

- 543 will be published in a high impact general medical or surgical journal and will be
- 544 disseminated via various forms of media. The results of the trial will be incorporated in
- 545 clinical recommendations and practice guidelines produced by local professional bodies
- such as the AOA, and government bodies such as the Agency for Clinical Innovation
- 547 (NSW) and similar interstate bodies. A medical education program will include direct
- 548 feedback of the results to participating institutions, including orthopaedic departments,
- 549 emergency departments, general practitioners and physiotherapists. Direct patient targeting
- 550 will be performed by producing patient information sheets available in the emergency
- 551 department.

Authorship will be under the name of "The CROSSFIRE Study Group". This group will
comprise all investigators, including at least one investigator from each contributing
institution.

555 Aggregated, deidentified results will also be made available to participants and participating 556 institutions via the study website, accessed via the WORC website.

557 The de-identified participant-level dataset and statistical code will be made available for 558 collaborative research projects.

559

# 560 **19. Duration of the project / timeline**

561 Ethics approval and site preparation will take approximately 9 months. Recruitment is

562 expected to take 12 months. Data cleaning, analysis and manuscript preparation will take 6

563 months. The study will take 4 years from initiation to manuscript submission. Table 3

- 564 provides a timeline for the study.
- 565

# 566 <u>Table 3</u>. Study timeline (periods in months [m])

	0-6m	7-12m	13-18m	19-24m	25-30m	31-36m	37-42m	43-48m
Ethics approval	Х							
Site preparation	Х	Х						
Recruitment		Х	Х	Х				
Follow up		Х	Х	Х	Х	Х		
Analysis						Х	Х	
Dissemination							Х	Х

567 Data pertaining to 2, 5 and 10 year follow-up will be analysed and published in separate 568 studies.

#### 569 **20. Anticipated problems**

570 Slow recruitment due to local site issues, poor acceptance by potential participants, and 571 greater than expected rates of exclusion criteria (e.g., cognitive state, language proficiency) 572 may prolong the study. This can be addressed by the addition of more sites or prolonging 573 the recruitment period. This is a common fracture, and we have previously achieved high 574 participation rates. In a similar trial of operative versus non-operative treatment of ankle 575 fractures (clinicaltrials.gov, NCT01134094) from a similar number of sites (24 versus 27 for 576 this study) we were able to recruit 440 patients over 3 years, for a fracture that is less 577 common that distal radius fractures in the elderly. With the sample size of 145 and 27 sites 578 recruiting for six months, each site would need to recruit one patient per month. Each 579 institution would treat 2-5 such cases per week.

Interest in the study will be maintained by regular contact from the administering institution
through monthly newsletters and updates by email, and telephone contact and site visits as
required.

#### 583 **21. Project management**

584 A project manager will be assigned to oversee the day-to-day management of the study

including liaising with local sites and ensuring complete data collection at each time pointfor each study participant.

- 587 Overall supervision of the project will be from the CROSSFIRE Study Group (all
- 588 investigators listed above) who will maintain email contact and have regular teleconference
- 589 meetings (bimonthly). Monthly progress emails will be distributed to all investigators.
- 590 Members will also meet for face-to-face meetings twice per year.

## 591 <u>Significance</u>

592 The study will provide definitive evidence of the comparative effectiveness, safety and cost-

effectiveness of two different but commonly used treatment options for this commonfracture.

- 595 If the study finds that operative treatment (plating) is not superior to non-operative treatment
- 596 (casting), it will strengthen the existing evidence for non-operative treatment for these
- 597 fractures and therefore influence and change clinical practice. If the study finds plating to be
- 598 superior, and it is found to be cost-effective, it will provide high quality evidence to support
- the current practice of plate fixation.

- 600 Involvement of local surgeons is more likely to lead to acceptance of the results and
- 601 facilitate early practice change within Australia and New Zealand. Inclusion of an
- 602 observational arm will also increase the generalisability of the results by including non-
- 603 randomised patients treated with the same interventions. Due to the frequency and impact
- of this fracture, and continued contention over the treatment options internationally, the
- results of this trial will have impact on fracture treatment globally.
- 606

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