Supplementary Information

Influence of Energy Deficiency on the Subcellular Processes of *Substantia Nigra Pars Compacta* **Cell for Understanding Parkinsonian Neurodegeneration**

Vignayanandam Ravindernath. Muddapu¹, V. Srinivasa Chakravarthy¹^{*};

¹Computational Neuroscience Lab, Department of Biotechnology, Bhupat and Jyoti Mehta School of Biosciences, Indian Institute of Technology Madras, Chennai, India.

***Correspondence:** V. Srinivasa Chakravarthy: *schakra@ee.iitm.ac.in*

SUPPLEMENTARY FIGURES

*SF-1: Schematic of the single-compartment DA neuron model demonstrating the various ion currents in the proposed model of SNc cell*¹ *. See main article for description of the figure.*

*SF-2: Schematic of calcium buffering mechanisms in the proposed model of SNc cell*1,2 *. See main article for description of the figure.*

Supplementary Figure-3

*SF-3: Schematic of energy mechanism pathways in the proposed model of SNc cell*3,4 *. See main article for description of the figure.*

SF-4: Schematic of Dopamine turnover processes in the proposed model of SNc cell^{5,6}. See main article for *description of the figure.*

SF-5: Schematic of molecular pathways in PD pathology in the proposed model of SNc cell^{3,4}. See main *article for description of the figure.*

SF-6: Schematic of Apoptotic pathways in the proposed model of SNc cell^{2,7}. See main article for description *of the figure.*

Supplementary Figure-7

In the proposed model, ATP production by mitochondria was formulated in a single differential equation (Eq. 77) where electron transport system components were simplified to single parameter $\bar{\eta}_{op}$ which represents maximal electron transport chain efficiency. To study the effect of electron transport system components, this paramter was varied and average basal ATP level in the cytoplasm was monitored in the model (Suppl. Fig. 7). As the electron transport system efficiency decreases, the average basal ATP level also decreases. The basal ATP level stabilizes at 1.22 mM for $\bar{\eta}_{op}$ values lower than 0.001 and the non-mitochondrial ATP production pathways (such glycolysis, ATP–creatine phosphate system etc.) contribute to the resultant ATP level.

SF-7: Average basal ATP levels as a function $\bar{\eta}_{op}$ *in the proposed model of SNc.*

In the present model, the TH activity is only regulated by extracellular and cytoplasmic dopamine (Eq. 117) and the other regulatory effects were simulated by modulating a parameter \bar{V}_{synt} which represents maximal flux for levodopa (LDOPA) synthesis (where LDOPA is converted to dopamine instantaneously by aromatic l-amino acid decarboxylase). To study the effect of TH activity on dopamine turnover processes, this parameter was varied and different molecular players (cytoplasmic dopamine, vesicular dopamine, extracellular dopamine, cytoplasmic LDOPA and cytoplasmic reactive oxygen species (ROS)) in dopamine turnover processes were monitored in the model (Figure 2). As $\bar{V}_{s y n t}$ increases, LDOPA increases which leads to increased cytoplasmic dopamine. Increased cytoplasmic dopamine levels result in increased influx of dopamine from cytoplasm into vesicles which leads to increased vesicular dopamine levels resulting in increased release of dopamine into the extracellular space. Due to increased cytoplasmic dopamine, ROS levels increase as a result of excess dopamine. Furthermore, dopamine that did not sequester into vesicles undergoes autooxidation leading to elevated ROS production which results in oxidative stress-induced neuronal death.

SF-8: Effect of \overline{V}_{svt} *on different molecular players in dopamine turnover processes in the proposed model of SNc cell.*

SF-9: Schematic diagram of the interaction between different important players in the proposed model of SNc cell. V, membrane potential voltage; Ca2+, cytoplasmic calcium concentration; ATP, cytoplasmic adenosine triphosphate; DA, extracellular dopamine.

Supplementary Figure-10

At the level of single-cell studies, the neuron model exhibits multiple states, as it is shown in figure 8A; that model exhibits four dynamic regimes (in the model, high basal ATP and low

basal ATP states were observed) in which SNc neuron operates under different energy conditions. The four dynamic regimes are determined by how the basal ATP level behaves under different glucose and oxygen values. The region A was attributed to glucose and oxygen values for which no change in basal ATP level was observed. The region B was attributed to glucose and oxygen values for which there was an initial drop and subsequent return to basal ATP level. The region C was attributed to glucose and oxygen values for which there was an initial drop and a subsequent stabilization at a lower basal ATP level. The region D was attributed to glucose and oxygen values for which basal ATP level fluctuates (between high and low basal ATP levels). The region E was attributed to glucose and oxygen values for which cell undergoes degeneration (Suppl. Fig. 10).

SF-10: Different dynamic regimes in the proposed model of SNc cell under energy deficiency conditions - Basal ATP patterns.

SUPPLEMENTARY TABLES

Supplementary Table-1: Published dopaminergic neuronal models.

18.	Modified ²³	Soma: I_{Ca} , I_{Na} , $I_{Na,S}$, $I_{K,DR}, I_{K,Ca},$ I_L , I_K , I_H	Soma: I_{CaP} (calcium)	Soma: $I_{NMDA},$ I_{AMPA} I_{GABA}	29,30
19.	Single- compartment soma with calcium buffering (CBP) along $I_{K,ATP}$ mediated bursting	Soma: $I_{Ca,L}$, $I_{Na}, I_{K,DR},$ $I_{K,ATP}, I_{L,Ca},$ I_L	Soma: I_{CaP} (calcium)	Soma: I_{NMDA}	31
20.	Modified ¹⁹ model	$I_{Ca,L}, I_{Ca,T},$ $I_{Na}, I_{Na,HCN},$ $I_{K,DR}, I_{K,B},$ $I_{K,Ca}, I_{K,A},$ $I_{K,ERG}, I_L$	Soma: I_{Cap} (calcium)		32

 $I_{Ca,T}$ – T-type calcium current; $I_{Ca,L}$ – L-type calcium current; $I_{Ca,N}$ – N-type calcium current; $I_{Ca,HVA}$ – residual high-voltage activated calcium current; I_{Ca} – calcium current; $I_{K,Ca}$ – calcium-activated (small conductance) potassium current; $I_{K,DR}$ – delayed rectifier potassium current; $I_{K,A}$ –transient outward (4-aminopyridine-sensitive) potassium current; I_H – hyperpolarization-activated cation current; I_B – background current (sodium, potassium, calcium); I_{NaKP} – sodium-potassium pump; I_{CaP} – calcium pump; I_{NaCaX} – sodium-calcium exchanger; I_L – leaky current; I_{Na} – fast spiking (tetrodotoxin-sensitive) sodium current; I_{NMDA} – N-methyl-D-aspartic acid (NMDA) current; I_{AMPA} – alpha-amino-3-hydroxy-5-methyl-4isoxazolepropionic acid (AMPA) current; I_{GABAA} – gamma-aminobutyric acid A-class (GABAA) current; $I_{K,ERG}$ – ERG (ether-a-go-go-related gene) potassium current; $I_{Ca,B}$ – background calcium leak current; $I_{L, Ca}$ – leaky calcium current; CBP – calcium-binding proteins; $I_{L,Na}$ – leaky sodium current; $I_{K,IR}$ – inward rectifying potassium current; $I_{Na,HCN}$ – hyperpolarization-activated cyclic nucleotide (HCN) sodium current; $I_{Na,S}$ – subthreshold sodium current; I_K – intrinsic potassium current; $I_{K,ATP}$ – ATP-sensitive potassium current; $I_{K,B}$ – large conductance potassium current;

Supplementary Table-2: Published dopaminergic terminal models.

 DA – dopamine; $5HT$ – serotonin; DA_c – cytoplasmic DA; DA_v – vesicular DA; DA_e – extracellular DA; DA_a – inactive DA; DA_g – glial DA; TH – tyrosine hydroxylase; $I1$ – competitive TH inhibitor 1; $I2$ – competitive TH inhibitor 2; $LDOPA$ – 3,4dihydroxyphenylalanine; $3MT - 3$ -methoxytyramine; $DOPAC - 3$,4-dihydroxyphenylacetic acid; HVA – homovanillic acid; TYR – tyrosine; $BH₂$ – dihydrobiopterin; $BH₄$ – tetrahydrobiopterin; $TYRPOOL$ – tyrosine pool; $5HT_c$ – cytoplasmic $5HT$; $5HT_v$ – vesicular 5HT; $5HT_e$ – extracellular 5HT; $5HTP - 5$ -hydroxytryptophan; $HIA - 5$ -hydroxyindoleacetic acid; $TRYP$ – tryptophan; $TRYPPOOL$ – tryptophan pool; μM – micromolar; mM – millimolar; ms – millisecond; hr – hour; DA_i – intracellular DA; nmol – nanomole; g – gram; min – minute.

Supplementary Table-3:

Supplementary Table-3.1: Parameter values for ion-channel dynamics of SNc cell model¹.

Supplementary Table-3.2: Steady state values of ion-channel dynamics of SNc cell model¹.

Supplementary Table-3.3: Parameter values of calcium buffering mechanisms of SNc cell $model^{1,2}$.

Supplementary Table-3.4: Steady state values of calcium buffering mechanisms of SNc cell model 1,2 .

Supplementary Table-3.5: Parameter values of energy metabolism of SNc cell model^{3,4}.

Supplementary Table-3.6: Steady state values of energy metabolism of SNc cell model^{3,4}.

Supplementary Table-3.7: Parameter values for DA turnover processes of SNc cell model^{5,6}.

Supplementary Table-3.8: Steady state values of DA turnover processes of SNc cell model^{5,6}.

Supplementary Table-3.9: Parameter values of PD pathology pathways of SNc cell model⁴.

Supplementary Table-3.10: Steady state values of PD pathology pathways of SNc cell model⁴.

Supplementary Table-3.11: Parameter values of apoptotic pathways of SNc cell model⁷.

Supplementary Table-3.12: Steady state values of energy metabolism of SNc cell model⁷.

Supplementary Table-3.13: Parameters for energy consumption processes of SNc cell model.

SUPPLEMENTARY MATERIALS Supplementary Material-1 Receptor Modeling⁴³

AMPA/Kainate Receptors

The simplest model that approximates the kinetics of the fast AMPA/kainate type of glutamate receptors can be represented by the two-state diagram:

$$
C + T \stackrel{(\alpha/\beta)}{\iff} 0 \tag{1}
$$

where, α and β are voltage-independent forward and backward rate constants, C is the closed state of the receptor, \hat{O} is the open state of the receptor, and \hat{T} is the neurotransmitter. If \hat{r} is defined as the fraction of the receptors in the open state, it is then described by the following first-order kinetic equation:

$$
\frac{d(r)}{dt} = \alpha * [T] * (1 - r) - \beta * r \tag{2}
$$

and the postsynaptic current (I_{AMPA}) is given by,

$$
I_{AMPA} = \bar{g}_{AMPA} * r * (V - E_{AMPA})
$$
\n(3)

where, \bar{g}_{AMPA} is the maximal conductance, E_{AMPA} is the reversal potential, V is the postsynaptic membrane potential, $[T]$ is the neurotransmitter, and r is the fraction of the receptors in the open state.

NMDA Receptors

The slower NMDA type of glutamate receptors can be represented with a two-state model similar to AMPA/kainate receptors, with a voltage-dependent term representing magnesium block. Using the scheme in Eqs. 1 and 2, the postsynaptic current is given by

$$
I_{NMDA} = \bar{g}_{NMDA} * r * B(V) * (V - E_{NMDA})
$$
\n⁽⁴⁾

where, \bar{g}_{NMDA} is the maximal conductance, E_{NMDA} is the reversal potential, $B(V)$ is the magnesium block, V is the postsynaptic membrane potential, and r is the fraction of the receptors in the open state.

$$
B(V) = \frac{1}{1 + \left(\frac{[Mg^{2+}]}{3.57} * e^{-0.062 * V}\right)}
$$
(5)

where, $[Mg^{2+}]$ is the external magnesium concentration, and V is the postsynaptic membrane potential.

GABA^A Receptors

GABA^A receptors can also be represented by the scheme in Eqs. 1 and 2, with the postsynaptic current given by

$$
I_{GABA_A} = \bar{g}_{GABA_A} * r * (V - E_{GABA_A})
$$
 (6)

where, \bar{g}_{GABA} is the maximal conductance, E_{GABA} is the reversal potential, V is the postsynaptic membrane potential, and r is the fraction of the receptors in the open state.

GABA^B Receptors

The stimulus dependency of GABAB responses, unfortunately, cannot be handled correctly by a two-state model. The simplest model of GABAB-mediated currents has two variables:

$$
\frac{d(r)}{dt} = K_1 * [T] * (1 - r) - K_2 * r \tag{7}
$$

$$
\frac{d(s)}{dt} = K_3 * r - K_4 * s \tag{8}
$$

and the postsynaptic current (I_{GABA_B}) is given by,

$$
I_{GABA_B} = \bar{g}_{GABA_B} * \frac{s^n}{s^n + K_d} * (V - E_{GABA_B})
$$
\n(9)

where, \bar{g}_{GABA_B} is the maximal conductance, E_{GABA_B} (= V_K) is the reversal potential, V is the postsynaptic membrane potential, r is the fraction of the receptors in the open state, s is the fraction of activated G-proteins, K_d is the dissociation constant of the binding of s on the K⁺ channels, K_1 and K_2 are voltage-independent forward and backward rate constants for r, K_3 and K_4 are voltage-independent forward and backward rate constants for s , and $[T]$ is the neurotransmitter.

Overall Synaptic Current

The overall synaptic input current flux (J_{syn}) to SNc neuron is given by,

$$
J_{syn} = -\frac{1}{F * vol_{cyt}} * (I_{AMPA} + I_{NMDA} + I_{GABA_A} + I_{GABA_B})
$$
(10)

where, I_{AMPA} is the excitatory AMPA synaptic current, I_{NMDA} is the excitatory NMDA synaptic current, I_{GABA_A} is the inhibitory GABA_A synaptic current, I_{GABA_B} is the inhibitory GABA_B synaptic current, F is the Faraday's constant, and vol_{cyl} is the cytosolic volume.

Table-1: Parameter values of receptor models

Constant	Symbol	Value	Units
Faraday's constant	\overline{F}	96485	$\textit{coulomb} * \textit{mole}^{-1}$
Cytosolic volume	vol_{cyt}	$\phi_{cyt} * vol_{pmu}$	pl
Fraction of cytosolic volume	ϕ_{cyt}	0.5	dimensionless
Pacemaking unit (PMU) volume	vol_{pmu}	5	pl
Maximal conductance of AMPA receptor	\bar{g}_{AMPA}	$0.35 - 1$	nS
Maximal conductance of NMDA receptor	\bar{g}_{NMDA}	$0.01 - 0.6$	пS
Concentration of Magnesium	$[Mg^{2+}]$	$1 - 2$	m M

References:

- 1. Francis, F., García, M. R. & Middleton, R. H. A single compartment model of pacemaking in dissasociated substantia nigra neurons: stability and energy analysis. *J. Comput. Neurosci.* **35**, 295–316 (2013).
- 2. Marhl, M., Haberichter, T., Brumen, M. & Heinrich, R. Complex calcium oscillations and the role of mitochondria and cytosolic proteins. *BioSystems* **57**, 75–86 (2000).
- 3. Cloutier, M. & Wellstead, P. The control systems structures of energy metabolism. *J. R. Soc. Interface* **7**, 651–665 (2010).
- 4. Cloutier, M. & Wellstead, P. Dynamic modelling of protein and oxidative metabolisms simulates the pathogenesis of Parkinson's disease. *IET Syst. Biol.* **6**, 65–72 (2012).
- 5. Reed, M. C., Nijhout, H. F. & Best, J. A. Mathematical Insights into the Effects of Levodopa. *Front. Integr. Neurosci.* **6**, 1–24 (2012).
- 6. Tello-Bravo, D. A Mathematical Model of Dopamine Neurotransmission. *ASU Libraries* **Thesis**, (Arizona State University, 2012).
- 7. Hong, J.-Y. *et al.* Computational modeling of apoptotic signaling pathways induced by cisplatin. *BMC Syst. Biol.* **6**, 122 (2012).
- 8. Li, Y., Bertram, R. & Rinzel, J. Modeling N-methyl-D-aspartate-induced bursting in dopamine neurons. *Neuroscience* **71**, 397–410 (1996).
- 9. Amini, B., Clark, J. W. & Canavier, C. C. Calcium dynamics underlying pacemakerlike and burst firing oscillations in midbrain dopaminergic neurons: a computational study. *J. Neurophysiol.* **82**, 2249–61 (1999).
- 10. Canavier, C. C. Sodium dynamics underlying burst firing and putative mechanisms for the regulation of the firing pattern in midbrain dopamine neurons: A computational approach. *J. Comput. Neurosci.* **6**, 49–69 (1999).
- 11. Wilson, C. J. & Callaway, J. C. Coupled oscillator model of the dopaminergic neuron of the substantia nigra. *J. Neurophysiol.* **83**, 3084–3100 (2000).
- 12. Medvedev, G. S., Wilson, C. J., Callaway, J. C. & Kopell, N. Dendritic synchrony and transient dynamics in a coupled oscillator model of the dopaminergic neuron. *J. Comput. Neurosci.* **15**, 53–69 (2003).
- 13. Medvedev, G. S. & Kopell, N. Synchronization and Transient Dynamics in the Chains of Electrically Coupled Fitzhugh--Nagumo Oscillators. *SIAM J. Appl. Math.* **61**, 1762– 1801 (2001).
- 14. Komendantov, A. O. & Canavier, C. C. Electrical coupling between model midbrain dopamine neurons: effects on firing pattern and synchrony. *J. Neurophysiol.* **87**, 1526– 1541 (2002).
- 15. Komendantov, A. O., Komendantova, O. G., Johnson, S. W. & Canavier, C. C. A modeling study suggests complementary roles for GABAA and NMDA receptors and

the SK channel in regulating the firing pattern in midbrain dopamine neurons. *J. Neurophysiol.* **91**, 346–357 (2004).

- 16. Canavier, C. C. & Landry, R. S. An increase in AMPA and a decrease in SK conductance increase burst firing by different mechanisms in a model of a dopamine neuron in vivo. *J. Neurophysiol.* **96**, 2549–2563 (2006).
- 17. Kuznetsov, A. S., Kopell, N. J. & Wilson, C. J. Transient high-frequency firing in a coupled-oscillator model of the mesencephalic dopaminergic neuron. *J. Neurophysiol.* **95**, 932–947 (2006).
- 18. Canavier, C. C., Oprisan, S. a, Callaway, J. C., Ji, H. & Shepard, P. D. Computational model predicts a role for ERG current in repolarizing plateau potentials in dopamine neurons: implications for modulation of neuronal activity. *J. Neurophysiol.* **98**, 3006– 3022 (2007).
- 19. Kuznetsova, A. Y., Huertas, M. A., Kuznetsov, A. S., Paladini, C. A. & Canavier, C. C. Regulation of firing frequency in a computational model of a midbrain dopaminergic neuron. *J. Comput. Neurosci.* **28**, 389–403 (2010).
- 20. Yu, N., Tucker, K. R., Levitan, E. S., Shepard, P. D. & Canavier, C. C. Implications of Cellular Models of Dopamine Neurons for Schizophrenia. in *Progress in molecular biology and translational science* **123**, 53–82 (2014).
- 21. Drion, G., Massotte, L., Sepulchre, R. & Seutin, V. How Modeling Can Reconcile Apparently Discrepant Experimental Results: The Case of Pacemaking in Dopaminergic Neurons. *PLoS Comput. Biol.* **7**, e1002050 (2011).
- 22. Oster, A. M. & Gutkin, B. S. A reduced model of DA neuronal dynamics that displays quiescence, tonic firing and bursting. *J. Physiol. Paris* **105**, 53–58 (2011).
- 23. Ha, J. & Kuznetsov, A. Interaction of NMDA Receptor and Pacemaking Mechanisms in the Midbrain Dopaminergic Neuron. *PLoS One* **8**, e69984 (2013).
- 24. Zakharov, D., Lapish, C., Gutkin, B. & Kuznetsov, A. Synergy of AMPA and NMDA Receptor Currents in Dopaminergic Neurons: A Modeling Study. *Front. Comput. Neurosci.* **10**, 1–11 (2016).
- 25. Qian, K., Yu, N., Tucker, K. R., Levitan, E. S. & Canavier, C. C. Mathematical analysis of depolarization block mediated by slow inactivation of fast sodium channels in midbrain dopamine neurons. *J. Neurophysiol.* **112**, 2779–2790 (2014).
- 26. Yu, N. & Canavier, C. C. A Mathematical Model of a Midbrain Dopamine Neuron Identifies Two Slow Variables Likely Responsible for Bursts Evoked by SK Channel Antagonists and Terminated by Depolarization Block. *J. Math. Neurosci.* **5**, 5 (2015).
- 27. Cullen, M. & Wong-Lin, K. Integrated dopaminergic neuronal model with reduced intracellular processes and inhibitory autoreceptors. *IET Syst. Biol.* **9**, 245–258 (2015).
- 28. Muddapu, V. R., Mandali, A., Chakravarthy, V. S. & Ramaswamy, S. A Computational Model of Loss of Dopaminergic Cells in Parkinson's Disease Due to Glutamate-Induced Excitotoxicity. *Front. Neural Circuits* **13**, 11 (2019).
- 29. Morozova, E. O., Zakharov, D., Gutkin, B. S., Lapish, C. C. & Kuznetsov, A. Dopamine Neurons Change the Type of Excitability in Response to Stimuli. *PLOS Comput. Biol.* **12**, e1005233 (2016).
- 30. Morozova, E. O. *et al.* Contribution of synchronized GABAergic neurons to dopaminergic neuron firing and bursting. *J. Neurophysiol.* **116**, 1900–1923 (2016).
- 31. Knowlton, C., Kutterer, S., Roeper, J. & Canavier, C. C. Calcium dynamics control K-ATP channel-mediated bursting in substantia nigra dopamine neurons: a combined experimental and modeling study. *J. Neurophysiol.* **119**, 84–95 (2018).
- 32. Rumbell, T. & Kozloski, J. Dimensions of control for subthreshold oscillations and spontaneous firing in dopamine neurons. *PLOS Comput. Biol.* **15**, e1007375 (2019).
- 33. Porenta, G. & Riederer, P. A Mathematical Model of the Dopaminergic Synapse: Stability and Sensitivity Analyses, and Simulation of Parkinson's Disease and Aging Processes. *Cybern. Syst.* **13**, 257–274 (1982).
- 34. King, R., Barchas, J. D. & Huberman, B. A. Chaotic behavior in dopamine neurodynamics. *Proc. Natl. Acad. Sci.* **81**, 1244–1247 (1984).
- 35. Justice, J. B., Nicolaysen, L. C. & Michael, A. C. Modeling the dopaminergic nerve terminal. *J. Neurosci. Methods* **22**, 239–252 (1988).
- 36. Qi, Z., Miller, G. W. & Voit, E. O. A mathematical model of presynaptic dopamine homeostasis: Implications for schizophrenia. *Pharmacopsychiatry* **41**, S89–S98 (2008).
- 37. Qi, Z., Miller, G. W. & Voit, E. O. Computational systems analysis of dopamine metabolism. *PLoS One* **3**, e2444 (2008).
- 38. Best, J. A., Nijhout, H. F. & Reed, M. C. Homeostatic mechanisms in dopamine synthesis and release: a mathematical model. *Theor. Biol. Med. Model.* **6**, 21 (2009).
- 39. Reed, M. C., Best, J. & Nijhout, H. F. Passive and active stabilization of dopamine in the striatum. *Biosci. Hypotheses* **2**, 240–244 (2009).
- 40. Dreyer, J. K., Herrik, K. F., Berg, R. W. & Hounsgaard, J. D. Influence of phasic and tonic dopamine release on receptor activation. *J. Neurosci.* **30**, 14273–14283 (2010).
- 41. Dreyer, J. K. & Hounsgaard, J. Mathematical model of dopamine autoreceptors and uptake inhibitors and their influence on tonic and phasic dopamine signaling. *J. Neurophysiol.* **109**, 171–182 (2013).
- 42. Büchel, F. *et al.* Parkinson's disease: dopaminergic nerve cell model is consistent with experimental finding of increased extracellular transport of α-synuclein. *BMC Neurosci.* **14**, 136 (2013).
- 43. Destexhe, A., Mainen, Z. F. & Sejnowski, T. J. Kinetic models of synaptic transmission. *Methods Neuronal Model.* 1–25 (1998).