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Understanding the Complexity of Socioeconomic Disparities in smoking prevalence: Combining Intersectionality theory with analysis of individual heterogeneity and discriminatory accuracy (AIHDA)

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3 **Understanding the Complexity of Socioeconomic Disparities in**
4 **smoking prevalence: Combining Intersectionality theory with**
5 **analysis of individual heterogeneity and discriminatory accuracy**
6 **(AIHDA)**
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11 **Running Title:** Complex Disparities in smoking prevalence
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Abstract (250 words)

Objectives: Socioeconomic disparities in smoking prevalence remains a challenge to public health. The objective of this study was to present a simple methodology that displays intersectional patterns of smoking and quantify heterogeneities within groups to avoid inappropriate and potentially stigmatizing conclusions exclusively based on group averages.

Setting: This is a cross-sectional observational study based on data from the National Health Surveys for Sweden (2004-2016 and 2018) including 136 301 individuals. We excluded people under 30 years of age, or missing information on education, cohabitation or smoking habits. The final sample consisted on 110 044 individuals or 81.4% of the original sample.

Outcome: Applying intersectional analysis of individual heterogeneity and discriminatory accuracy (AIHDA), we investigated the risk of self-reported smoking across 72 intersectional strata defined by age, gender, educational achievement, civil and migration status.

Results: The distribution of smoking habit risk in the population was very heterogeneous. For instance, immigrant men aged 30–44 that lived alone and had low educational achievement had a prevalence of smoking of 54% (95%CI 44–64%), around 9 times higher than native cohabiting women aged 65–84 with high educational achievement that had a prevalence of 6% (95%CI 5–7%). The discriminatory accuracy of the information was moderate.

Conclusion: A more detailed, intersectional mapping of the socioeconomic and demographic disparities of smoking can assist in public health management aiming to eliminate this unhealthy habit from the community. Intersectionality theory together with AIHDA provides information that can guide resource allocation according to the concept proportionate universalism.

Strengths and limitations

- We present an intersectional approach to study the multidimensional socioeconomic disparities in smoking prevalence in Sweden.
- In addition to differences between averages of intersectional strata, we quantify individual heterogeneities around those averages by presenting measurements of discriminatory accuracy.
- Our method is simpler but share crucial advantages with Multilevel AIHDA, such as improved health mapping and assessment of intersectional interaction.
- We use pooled data from Swedish National Health Survey with participation rates spanning from 60.8% 2004 to 42.1% 2018.
- Analysis of Individual Heterogeneity and Discriminatory Accuracy (AIHDA) is a suitable tool to inform whether interventions to reduce socioeconomic health disparities should be universal or target specific groups.

Abbreviations

AIHDA=Analysis of Individual Heterogeneity and Discriminatory Accuracy, AUC=Area

Under receiving operator characteristics Curve, CI=Confidence Interval, DA=Discriminatory

Accuracy, OR=Odds Ratio, PR=Prevalence Ratio, RR=Relative Risk

For peer review only

Introduction

A higher prevalence of smoking among individuals with low socioeconomic position (SEP) compared to higher SEP has been reported in several studies in Sweden [1] and globally [2-5]. The higher prevalence results both from higher rates of initiation [6] and lower rates of successful smoking cessation [7]. In addition to this, other factors like country of birth [8], civil status [9] as well as the age cohort and gender of an individual influence the probability of smoking [10]. Overall the socioeconomic determinants of smoking are multidimensional but few studies until now have empirically confronted this heterogeneity using an intersectional perspective [11-15].

Intersectionality theory, proportionate universalism, and the analysis of individual heterogeneity and discriminatory accuracy (AIHDA)

Launching smoking cessation campaigns is a logical step to reduce socioeconomic differences in smoking prevalence, but the poor evidence that exist [16] suggest that while such interventions may be efficient when it comes to reducing overall smoking prevalence, they seem rather inefficient when it comes to reducing socioeconomic disparities in smoking [17]. Marmot and Bell claim [18] that interventions to reduce socioeconomic health disparities need to address all levels of society and not only those who are worst off. They argue that an efficient approach may be *proportionate universalism* [18, 19] where interventions are universal, i.e. directed towards the whole population (such as tobacco taxes, smoking bans in public) but proportionately more intense among population subgroups with augmented needs where targeted interventions can be launched (i.e. information campaigns in specific neighbourhoods or populations such as pregnant women). However, as argued elsewhere [19-21] successful and efficient implementation of *proportionate universalism* requires development and application of appropriate theories and epidemiologic methodologies.

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5 Intersectionality theory, originating in gender and anti-colonial research [22] is a critical
6 social theory that stresses the need for simultaneous consideration of different social
7 dimensions such as racialized identity, gender and class in order to properly understand the
8 social context acting on individuals. According to intersectionality theory, the social reality is
9 shaped by overlapping systems of oppression that influence distribution of resources and
10 power in society.
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21 The inclusion of intersectionality in epidemiology and public health has been promoted by
22 several scholars [23-26]. A direct consequence of this approach in quantitative analyses is the
23 study of multiple intersectional strata defined by combinations of different social dimensions,
24 since the effect of each social dimension on an individual is intrinsically dependent on the
25 other social identities of that person. This contrasts with the common approach considering
26 one social dimension at the time. Thereby, the intersectional approach may enrich public
27 health research by providing an improved mapping of socioeconomic health disparities. Such
28 socioeconomic heterogeneity can be analyzed by quantifying differences between
29 intersectional strata averages. However, we [20, 25-28] and other scholars [29-31] stress the
30 added relevance of simultaneously quantifying the discriminatory accuracy (DA) of the
31 intersectional categorization for specific outcomes. An intersectional map combined with
32 information on its DA provides an improved picture of the socioeconomic heterogeneity
33 existing in the society. This approach can be used to inform interventions according to the
34 concept of proportionate universalism, where universal measures are paired with actions
35 directed to specific intersectional strata in proportion to their level of risk [18]. The extent to
36 which a universal intervention needs to be proportional can be evaluated by the DA of the
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3 intersectional strata. A low DA suggests the need for universal interventions while a high DA
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5 support more selective interventions.
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10 Adopting a quantitative perspective, in the present study we aim to illustrate how a more
11
12 precise intersectional categorisation combined with the analysis of individual heterogeneity
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14 and DA (AIHDA) improves our understanding of socioeconomic inequalities in smoking and
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16 facilitates the application of proportionate universalism. We do so by analysing the National
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18 Health Surveys (NHS) for Sweden.
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23 **Methods**

24 **Study population**

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30 In this cross-sectional observational study, we used data from all the 14 National Health
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32 Surveys (NHS) for Sweden for the years 2004–2016 and 2018
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34 ([https://www.folkhalsomyndigheten.se/the-public-health-agency-of-sweden/public-health-
35 reporting/](https://www.folkhalsomyndigheten.se/the-public-health-agency-of-sweden/public-health-reporting/)). The NHS is an ongoing collaborative project between the Public Health Agency
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37 of Sweden and the Swedish Association of Local Authorities and Regions (SALAR). The
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39 NHS record self-reported information on health, lifestyle and living conditions. The study has
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41 been conducted annually between 2004 and 2016 and comprised a random sample of 20,000
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43 individuals aged 16–84 years. After 2016 the survey is conducted biannually but with a
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45 random sample of 40,000 individuals. Response rates span from 60.8% 2004 to 42.1% 2018.
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49 Using a unique personal identification number, the Swedish authorities linked the sample
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51 surveys to national register administered at Statistics Sweden to obtain demographical and
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53 socioeconomic information.
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3 For our study we pooled the data from the last 14 surveys, which rendered a sample of
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5 136 301 individuals. Thereafter, we excluded people under 30 years of age, or missing
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7 information on education, cohabitation or smoking habits. The final sample consisted on
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9 110 044 individuals or 81.4% of the original sample (Figure 1).
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13 The present investigation was approved by the Swedish Ethical Review Authority (Dnr:
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15 2019-01793) and the data safety committee at the Public Health Agency of Sweden.
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18 Patient and public involvement

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20 All data from NHS provided to researchers is anonymised, so study participants cannot be
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22 identified. The study participants were not involved in the research process.
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25 Assessment of variables

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27 Smoking status was assessed based on the answer to the question “Do you smoke?”, if the
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29 person answered “Yes” or “Yes, sometimes”, the individual was categorized as a smoker, if
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31 the respondent answered “No” the individual was considered a non-smoker.
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35 We categorized *age* into three groups: 30-44, 45-64 and 65-84-year-old. We classified gender
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37 as a binary variable distinguishing between men and women as more specific information on
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39 gender was not available in the questionnaire. We classified *educational achievement* into
40
41 three categories, as low if the respondent had not completed three years of high school
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43 education, as middle if they had high school education but less than three years of education
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45 after high school and high if the respondent had at least three years of education after high
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47 school. Throughout 2008-2016 respondents were asked “with whom do you share
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49 household?”, we defined *civil status* as living alone if the respondent answered “with no
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51 one”, otherwise as cohabiting. In 2018 that question was not asked so individuals were
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53 defined in the same way according to the information provided by Statics Sweden. We
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55 classified *migration status* as native (i.e., born in Sweden) or immigrant.
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3 As a way of operationalising intersectional contexts, we created 72 strata by combining the
4 three categories of age, the two of gender, the three of educational achievement, the two of
5 migration status and the two categories of civil status. We used 30–45-year-old, native men
6 cohabiting and with high educational achievement as the reference in the comparisons, as this
7 group was assumed to occupy the position of greatest structural privilege. We also included
8 the survey year of the participants using 2018 as reference in all comparisons.
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16 17 Statistical analyses

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20 The first step in our analysis was to obtain the trends in smoking prevalence and the trends in
21 socioeconomic and demographic gradients in smoking between 2004 and 2018 (see
22 supplementary information S1). Thereafter, we performed a stratified analysis aimed to
23 provide a detailed map of the prevalence (i.e., absolute risk) and 95% confidence intervals
24 (CI) of smoking across the intersectional strata. This stratification allows comparing the
25 prevalence of smoking in different strata without any reference (Figure 2).
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34 Thereafter, we performed seven consecutive regression analyses, modelling smoking as the
35 dependent variable and survey year as well as the different demographical and socioeconomic
36 dimensions alone and in combination as explanatory variables. The use of logistic regression
37 to obtain odds ratios (OR) is common but the OR is a good estimation of the relative risk
38 (RR) only when the prevalence of the outcome is very small (rare event assumption) [32].
39 Therefore, for the analysis, rather than logistic regression to obtain ORs, we used Cox
40 proportional hazards regression with a constant follow-up time equal to one to obtain
41 prevalence ratios (PR) [33] with 95% CI.
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53 Model 1 included only survey year, model 2 added age, model 3 added gender, model 4
54 added educational achievement, model 5 added migration status and model 6 added civil
55 status and thus included all the variables that defined the intersectional strata. Finally, the
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3 intersectional model 7 included the same variables as model 6 but in the form of a
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5 multicategorical variable with 72 intersectional strata. Here, we used the 30–45-year-old,
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7 native men cohabiting and with high educational achievement as the reference in the
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9 comparison.
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12 For each model, we quantified its DA by means of the area under the receiver operator
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14 characteristics curve (AUC) [34]. The AUC measures the accuracy of the information
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16 provided by the variables in the model for discriminating individuals who smoke from those
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18 who don't. The AUC takes a value between 0.5 and 1, where 1 indicates perfect
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20 discrimination and 0.5 means that the studied variables have no DA at all. The AUC can even
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22 be used to qualify the size of the intersectional differences. Rather than evaluating the
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24 absolute risk differences between strata, using the AUC we assess the overlapping of the
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26 individual risk predictions (based on the intersectional strata) between smokers and non-
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28 smokers.
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33 There is no fully established practical guideline for the interpretation of the size of the AUC
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35 as a measure of DA when analysing intersectional inequalities. However, based on the
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37 classification provided by Hosmer and Lemeshow [35] we qualify intersectional inequalities
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39 according to the DA as (i) “absent or very small” (AUC= 0.5–0.6), (ii) “moderate” (AUC
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41 >0.6–≤ 0.7), (iii) “large” (AUC >0.7– ≤ 0.8) and (iv) “very large” (AUC >0.8). Evaluating
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43 intersectional differences using only strata prevalence is insufficient as it does not consider
44
45 any overlapping between the strata. Therefore, the AUC provides fundamental information
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47 for evaluation of group differences [36].
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52 We further calculated the incremental change in the AUC value (Δ -AUC) between the
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54 models. The Δ -AUC quantifies the improvement in the DA obtained by a model, in relation
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56 to the previous model [21]. The categorical intersectional variable in model 7 allows for the
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3 capturing of interaction of effects. If any such interaction exists, the DA of model 7 will
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5 increase in comparison with model 6 and the Δ -AUC will thus be positive.
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7 We used STATA version 15.1 and IBM SPSS (Statistical Package for the Social Sciences)
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9 version 25 for PC to perform all statistical analyses.
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13 14 **Results**

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17 Over the whole study period, the prevalence of smoking was 18%. The visual analysis of the
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19 trends indicated that the prevalence of smoking monotonically decreased in Sweden from
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21 25.0% in 2004 to around 11.1% in 2018. We observed consistent differences between groups
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23 defined by age, gender, country of birth, educational achievement and civil status. However,
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25 in absolute terms, such differences were similar along the years (see supplementary
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27 information S1).
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31 Table 1 presents the prevalence of smokers and non-smokers across the included
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33 socioeconomic and demographic variables as well as across survey years. It indicates that the
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35 prevalence of smoking was higher in individuals aged 45-64 years (20.6%) than in both
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37 younger (19.8%) and older people (12.4%). Women and men had similar prevalence of
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39 smoking (17.9% vs 17.8%). As expected, smoking was more common among people with
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41 low (21.7%) and medium (17.0%) educational achievement compared to people with high
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43 educational achievement (11.9%). The prevalence of smoking was higher among immigrants
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45 (23.9%) than among natives (17.0%) and the same was true for individuals living alone
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47 (24.1%) compared to those who were cohabiting (16.5%).
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53 Figure 2 shows the prevalence of smoking across the intersectional strata. We observed the
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55 highest prevalence (54%) among 30-44-year-old immigrant men with low educational
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57 achievement and living alone, and the lowest prevalence (6%) among 65-84-year-old native
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59 women with high educational achievement and living together. The reference stratum (i.e.,
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3 30–45-year-old, native men cohabiting and with high educational achievement) used in the
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5 relative comparisons (Table 2) presented a smoking prevalence of about 12%.

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8 The table 3 informs that the RR of smoking decreases with age, being lowest in the old
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10 population. This age gradient is clear after adjustment for the other variables in the model 6.
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12 Low educational achievement, being immigrant and living alone was associated with a higher
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14 smoking risk. However, there were no age-adjusted gender differences. The AUC in the
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16 model including only survey year was 0.58. In the age adjusted model 2, the AUC was 0.60
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18 and it did not increase when gender was included in model 3. The AUC increased by 0.04
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20 units when including education. It did not increase when adding migration status but further
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22 increased by 0.01 units when including civil status. The AUC of the intersectional model 7
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24 was 0.65, which is identical to the AUC of model 6 indicating an absence of intersectional
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26 interaction.
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33 Table 2 shows the 10 strata with the lowest and the 10 strata with the highest RRs of smoking
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35 using the strata of young native men with high educational achievement and cohabiting as
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37 reference. The lowest RR= 0.55 was observed in older native women with high educational
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39 achievement and cohabiting and the highest RR= 4.45 was observed in young immigrant men
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41 with low educational achievement and living alone. When comparing with the reference
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43 stratum of native young men with high educational achievement and cohabiting, we observed
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45 that low educational achievement, being immigrant and living alone were respectively
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47 present in seven, eight and nine of the ten strata with the highest risk of smoking (see the
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49 Supplementary information S2 for the complete list of RR-values).
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Discussion

Main findings

Our study provides an improved mapping of the distribution of the smoking habit in Sweden compared to unidimensional analyses. Rather than focusing on single socioeconomic and demographical variables, we use an intersectional AIHDA analysis that uncovers the socioeconomic and demographical heterogeneity existing in the country. We also applied the AUC to obtain information on the accuracy of the intersectional grouping for identifying individuals according to their smoking status. We found a moderate AUC= 0.65, which indicates that individual risk of smoking considerably overlaps between the intersectional strata.

We found that the stratum-specific risks were due to the main effects of the different variables used to define the intersectional strata without any interactive component. In fact, the AUC was the same whether the variables were included in the model separately or as a multicategorical variable that allows detection of interaction effects, if they exist.

We found intersectional strata with a rather high RR of smoking. For instance, the prevalence of smoking in young immigrant men with low educational achievement and living alone was 54%. Interestingly, while high educational achievement generally prevents smoking, young immigrant women that lived alone had a relative risk of 2.87 (1.86-4.42) despite their high educational achievement. This indicates that the protective effect of high education may depend on other variables such as migration status and gender. Our finding could hypothetically reflect both smoking culture in the country of birth of the individual or that discrimination on the basis of gender or migration status may contribute to making education a poorer indicator of socioeconomic position in this group.

Relation to previous studies

In spite of the use of different definitions and measurements of smoking habits as well as the use of different indicators of socioeconomic position, many previous publications have shown the existence of socioeconomic, ethnic and demographical differences in smoking [2, 4, 37]. However, as far we known, only a few have considered the intersectional approach [11, 14, 15]. From this perspective our study provides an original contribution. The heterogeneous socioeconomic and demographic distribution of smoking prevalence we found in Sweden is in accordance with recent intersectional research on smoking cessation in the U.S. adult population [15].

Which SEP-indicator that is used influence the hypothesized mediating pathways. In the present study we used educational achievement. High education may influence smoking through both direct effect, such as increased understanding of detrimental health effects of smoking, and indirect effects such as social and material circumstances [38]. The educational gradient, however, might partly be explained by income disparities between people with high or low education [39]. Since income and education capture different aspects of social class, it can be motivated to include both variables in an intersectional matrix. However, we wanted to present a parsimonious model that can be easily applied in public health reports.

Educational achievement is the preferred indicator of socioeconomic position in previous public health reports in Sweden [40]. We performed a sensitivity analysis where we included income instead of education and the results were very similar.

In a comparison of the relative importance of low education on smoking prevalence across age- and gender groups in Denmark and Sweden, Eek et al. found that the effect of low education on smoking prevalence and continuation of smoking was strongest among younger women in Sweden, indicating a failure of tobacco prevention interventions to reach this group

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3 [1]. While immigrant men were clearly overrepresented among the strata with highest
4 prevalence of smoking, this was not the case for women. This pattern was also found by
5 Lindström et al in a study from southern Sweden showing lower rates of smoking among men
6 born in Sweden, but higher rates of smoking among women born in Sweden compared to
7 men and women from most other country groups [8]. The distribution of smoking prevalence
8 across age groups we found is similar to the pattern observed by Ali et al in a study from
9 southern Sweden [41].
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21 Strengths and limitations

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24 The cross-sectional and observational character of this study prevents causal conclusions.
25 However, the variables included in our analyses are to a little extent effected by smoking
26 status, so the causal direction can be presumed to go from sociodemographic variables
27 towards smoking rather than the opposite.
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34 Another weakness in our study is that the participation rates were rather low, especially
35 during the last years. An analyses of the non-participants performed by Statistics Sweden
36 shows that people with low income, people born outside Sweden and people living alone
37 were less likely to be responders [42]. Therefore, if the prevalence of smoking is higher in
38 non-participants, our analysis may have underestimated the existing socioeconomic
39 differences. We also performed a sensitivity analysis in which we utilized data that had been
40 weighted by Statistics Sweden in order to reduce skewness resulting from non-participating
41 individuals. The variables used to perform the weighting were age, gender, educational level,
42 country of birth, civil status and urban/rural [43]. This analysis yielded very similar results,
43 which was expected since the intersectional variable included the weighting variables except
44 rural/urban. Finally, our study represents the Swedish circumstances so the AIHDA-approach
45 needs to be replicated in different contexts and for different health outcomes.
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Implications and future studies

There is a growing body of literature focusing on how to perform quantitative intersectional research [24, 44], with the emergence of multilevel AIHDA (MAIHDA) as a recent example [25, 26, 30]. However, in spite of providing complementary information [30], the fixed effects AIHDA approach we use in our study is rather accessible and share crucial advantages of the MAIHDA. First, the AIHDA provides an intersectional mapping that is more appropriate than unidimensional analyses to identify specifically vulnerable population groups in which interventions could be effective. Second, by going beyond average probabilistic measurements (i.e., prevalence) and also analysing DA we get a quantification of the heterogeneity around the averages [36]. From the AIHDA we found that the DA of our intersectional model was only moderate which indicates the necessity for universal interventions due to a large unexplained heterogeneity. However, we also identified that the three most vulnerable groups (i.e., strata) included immigrant men with low education younger than 65 years. This finding suggest that special preventive measures should be directed to these groups. Research methods that actively involves members of marginalized groups and has the explicit purpose to result in public health improvements are developing and could be one way forward [45]. In addition to this, availability of smoking cessation aid, political and economic changes to reduce socioeconomic disparities between immigrants and natives are potential strategies.

Conclusions

Compared with studies focused on single variables, the intersectional AIHDA offers a better mapping of the socioeconomic and demographical distribution of smoking in Sweden. However, the moderate DA found in the AIHDA analysis suggested the existence of

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3 substantial unexplained heterogeneity in smoking risk within the different intersectional strata
4 defined by age, gender, education, civil status and migration status. An intersectional AIHDA
5 approach is necessary to understand the existing socioeconomic and demographic complexity
6 influencing smoking behaviour. Future studies should identify preventive measures that are
7 guided by proportionate universalism to find practical ways forwards to reduce intersectional
8 disparities in smoking prevalence.
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19 **Footnotes**

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23
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28
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35

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37 preparation of the manuscript.
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44 this study possible.
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49 **Contributors**

50
51 SAF and JM had the original idea of the study. SAF wrote the initial version of the paper,
52 SAF, JM, RPV and ML participated in the design, analysis, interpretation of data and
53 drafting of the article. All authors approved the final version to be published. JM is the
54 guarantor of the article.
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Conflict of interest

There are no conflicts of interest.

Data sharing statement

The original databases from the Public Health Agency of Sweden used in this study are protected by strict rules of confidentiality that cover sensitive individual information including data regarding health and health behaviours. The data can be made available for research after review by a regional Ethics Committee and the Public Health Agency's own safety committee.

Ethics approval

The present investigation was approved by the Swedish Ethical Review Authority (Dnr: 2019-01793) and the data safety committee at the Public Health Agency of Sweden.

License statement

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Tables

Table 1. Distribution (prevalence) of smokers across categories of age, gender, education, migration and civil status in the 110,044 participants in the Swedish National Health Surveys (2004 – 2018). Values are number (and percentage) of individuals.

	Non smokers	Smokers
30-44	22,799 (80.23%)	5,618 (19.77%)
45-64	38,024 (79.41%)	9,862 (20.59%)
65-84	29,575 (87.65%)	4,166 (12.35%)
Female	48,782 (82.08%)	10,653 (17.92%)
Male	41,616 (82.23%)	8,993 (17.77%)
Low	38,791 (78.32%)	10,738 (21.68%)
Middle	27,716 (83.02%)	5,670 (16.98)
High	23,891 (88.06%)	3,238 (11.94%)
Immigrant	10,410 (76.07%)	3,274 (23.93%)
Native	79,988 (83.01%)	16,372 (16.99%)
Cohabiting	75,625 (83.48%)	14,964 (16.52%)
Living Alone	14,773 (75.93%)	4,682 (24.07%)
2004	6,803 (75.03%)	2,264 (24.97%)
2005	3,339 (75.90%)	1,060 (24.10%)
2006	3,450 (77.62%)	995 (22.38%)
2007	3,272 (77.81%)	933 (22.19%)
2008	6,525 (79.07%)	1,727 (20.93%)
2009	6,123 (79.22%)	1,606 (20.78%)
2010	6,718 (80.59%)	1,618 (19.41%)
2011	6,760 (82.56%)	1,428 (17.44%)
2012	6,893 (82.68%)	1,444 (17.32%)
2013	6,770 (83.10%)	1,377 (16.90%)
2014	6,845 (83.74%)	1,329 (16.26%)
2015	6,978 (84.21%)	1,308 (15.79%)
2016	7,086 (88.13%)	954 (11.87%)
2018	12,836 (88.90%)	1,603 (11.10%)

Table 2. Results from the intersectional model 7 indicating the 10 highest and 10 lowest Relative risk (RR) with 95% confidence intervals (CI) of smoking across intersectional strata in the Swedish population using the stratum of young, native, men with high education that were cohabiting as reference in the comparisons.

Age	Gender	Educational achievement	Migration status	Civil status	RR (95% CI)
65-84	Female	High	Native	Cohabiting	0.55 (0.45-0.69)
65-84	Male	High	Native	Cohabiting	0.58 (0.48-0.71)
65-84	Female	High	Immigrant	Cohabiting	0.61 (0.33-1.11)
65-84	Female	Middle	Native	Cohabiting	0.80 (0.66-0.96)
65-84	Female	High	Native	Living alone	0.83 (0.64-1.06)
65-84	Male	Middle	Native	Cohabiting	0.85 (0.73-0.99)
30-44	Female	High	Native	Cohabiting	0.86 (0.74-0.98)
65-84	Male	High	Immigrant	Living alone	0.91 (0.38-2.21)
45-64	Male	High	Native	Cohabiting	0.92 (0.8-1.07)
65-84	Male	Low	Native	Cohabiting	0.96 (0.84-1.11)
30-44	Male	High	Native	Cohabiting	Reference
30-44	Female	High	Immigrant	Living alone	2.87 (1.86-4.42)
30-44	Female	Low	Native	Living alone	2.95 (2.29-3.78)
45-64	Female	Low	Native	Living alone	2.99 (2.61-3.41)
45-64	Male	Middle	Immigrant	Living alone	3.10 (2.26-4.26)
45-64	Female	Low	Immigrant	Living alone	3.22 (2.56-4.06)
30-44	Male	Middle	Immigrant	Living alone	3.33 (2.35-4.71)
30-44	Female	Low	Immigrant	Living alone	3.41 (1.96-5.94)
45-64	Male	Low	Immigrant	Living alone	3.61 (2.90-4.50)
30-44	Male	Low	Immigrant	Cohabiting	3.66 (3.07-4.35)
30-44	Male	Low	Immigrant	Living alone	4.45 (3.29-6.03)
AUC					0.65
ΔAUC compared with model 6					0

Table 3. Relative risks (RR) and 95%-confidence intervals (CI), of smoking among people aged 30-84 included in the National Health Surveys between 2004 and 2018 in relation to survey year, age, gender, education, migration- and civil status. Model 6 includes the same variables as model 5 but as a multicategorical variable, The RRs for model 7 are presented in the table 3. AUC-values with 95%CI representing the discriminatory accuracy and Δ AUC-values of the models are also presented.

	Model 1	Model 2	Model 3	Model 4	Model 5	Model 6
Year						
2004	2.25 (2.11-2.40)	2.07 (1.95-2.21)	2.08 (1.95-2.21)	1.85 (1.74-1.98)	1.88 (1.76-2.00)	1.84 (1.73-1.97)
2005	2.17 (2.01-2.35)	2.01 (1.86-2.17)	2.01 (1.86-2.17)	1.83 (1.69-1.98)	1.85 (1.71-2.00)	1.83 (1.69-1.98)
2006	2.02 (1.86-2.18)	1.87 (1.72-2.02)	1.87 (1.72-2.02)	1.70 (1.57-1.84)	1.71 (1.58-1.85)	1.68 (1.55-1.82)
2007	2.00 (1.84-2.17)	1.86 (1.71-2.01)	1.86 (1.71-2.01)	1.71 (1.57-1.85)	1.65 (1.54-1.77)	1.70 (1.56-1.84)
2008	1.89 (1.76-2.02)	1.76 (1.64-1.88)	1.76 (1.64-1.88)	1.64 (1.53-1.75)	1.66 (1.55-1.78)	1.63 (1.52-1.74)
2009	1.87 (1.75-2.01)	1.75 (1.64-1.89)	1.76 (1.64-1.88)	1.65 (1.54-1.77)	1.62 (1.51-1.73)	1.63 (1.52-1.75)
2010	1.75 (1.63-1.87)	1.70 (1.58-1.82)	1.70 (1.58-1.82)	1.61 (1.50-1.72)	1.46 (1.36-1.57)	1.59 (1.48-1.70)
2011	1.57 (1.46-1.69)	1.53 (1.43-1.64)	1.53 (1.43-1.64)	1.45 (1.35-1.56)	1.47 (1.37-1.58)	1.43 (1.33-1.54)
2012	1.56 (1.45-1.68)	1.53 (1.42-1.64)	1.53 (1.42-1.64)	1.47 (1.37-1.57)	1.45 (1.35-1.56)	1.44 (1.34-1.55)
2013	1.52 (1.42-1.64)	1.49 (1.39-1.60)	1.49 (1.39-1.60)	1.45 (1.35-1.55)	1.45 (1.35-1.56)	1.42 (1.32-1.52)
2014	1.47 (1.36- 1.75)	1.45 (1.35-1.56)	1.45 (1.35-1.56)	1.41 (1.31-1.52)	1.42 (1.32-1.53)	1.39 (1.30-1.50)
2015	1.42 (1.32-1.53)	1.40 (1.30-1.51)	1.40 (1.30-1.51)	1.37 (1.27-1.47)	1.38 (1.28-1.48)	1.35 (1.26-1.46)
2016	1.07 (0.99-1.16)	1.06 (0.97-1.14)	1.06 (0.97-1.14)	1.04 (0.96-1.13)	1.05 (0.97-1.13)	1.03 (0.95-1.11)
2018	Reference	Reference	Reference	Reference	Reference	Reference
Age						
30-44		Reference	Reference	Reference	Reference	Reference
45-64		1.06 (1.03-1.10)	1.06 (1.03-1.10)	0.94 (0.91-0.97)	0.95 (0.91-0.98)	0.93 (0.90-0.96)
65-84		0.68 (0.65-0.71)	0.68 (0.65-0.71)	0.56 (0.54-0.58)	0.57 (0.55-0.59)	0.53 (0.51-0.56)
Gender						
Male			Reference	Reference	Reference	Reference
Female			1.00 (0.97-1.02)	1.02 (0.99-1.05)	1.02 (0.99-1.05)	1.01 (0.98-1.04)
Education						
Low				1.96 (1.88-2.04)	1.96 (1.88-2.04)	1.93 (1.86-2.01)
Middle				1.42 (1.36-1.48)	1.43 (1.37-1.49)	1.42 (1.36-1.49)
High				Reference	Reference	Reference

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Born in Sweden	Native				Reference	Reference
	Immigrant				1.39	1.39
					(1.34-1.45)	(1.24-1.44)
Living alone	Living alone					1.53
	cohabiting					(1.48-1.58)
						Reference
AUC		0.58	0.60	0.60	0.64	0.65
		(0.58-0.59)	(0.60-0.61)	(0.60-0.61)	(0.63-0.64)	(0.64-0.65)
ΔAUC		-	0.02	0.00	0.04	0.01

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Legend to the figures

Figure 1. Flow chart showing the selection of the study population.

Figure 2. Absolute risk (i.e., prevalence) and 99% confidence intervals of smoking in different intersectional strata according the National Health Survey in Sweden between 2004 and 2018.

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Figure 1. Flow chart showing the selection of the study population.

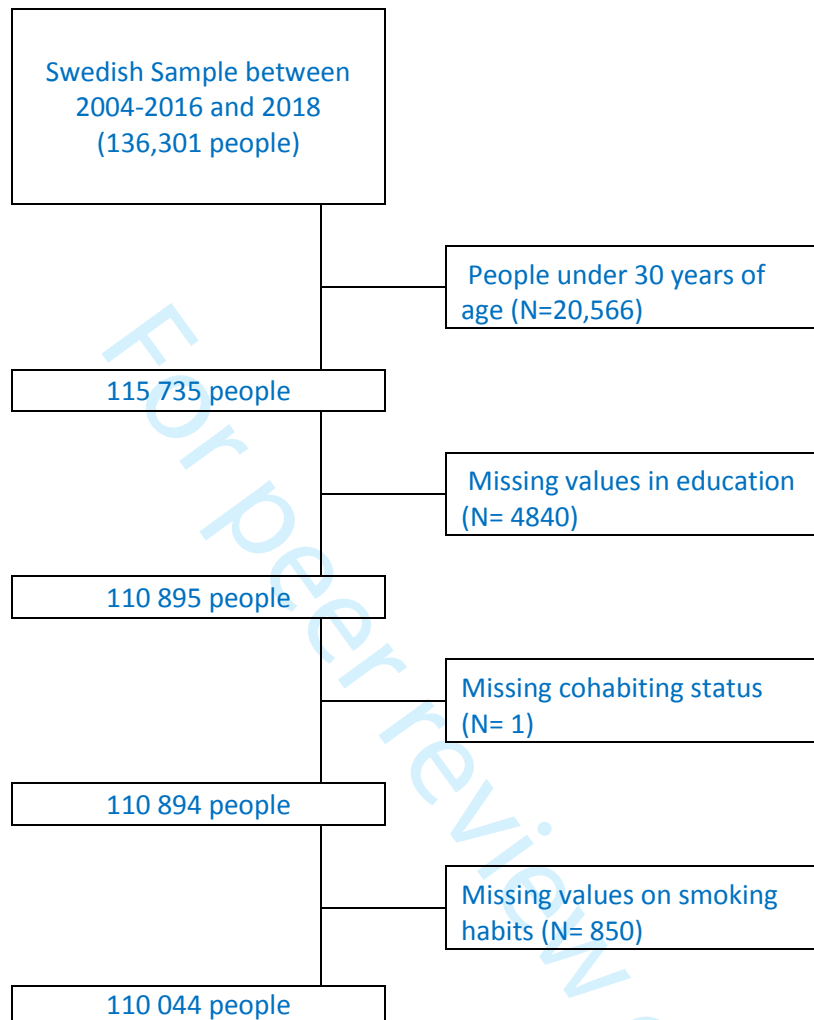
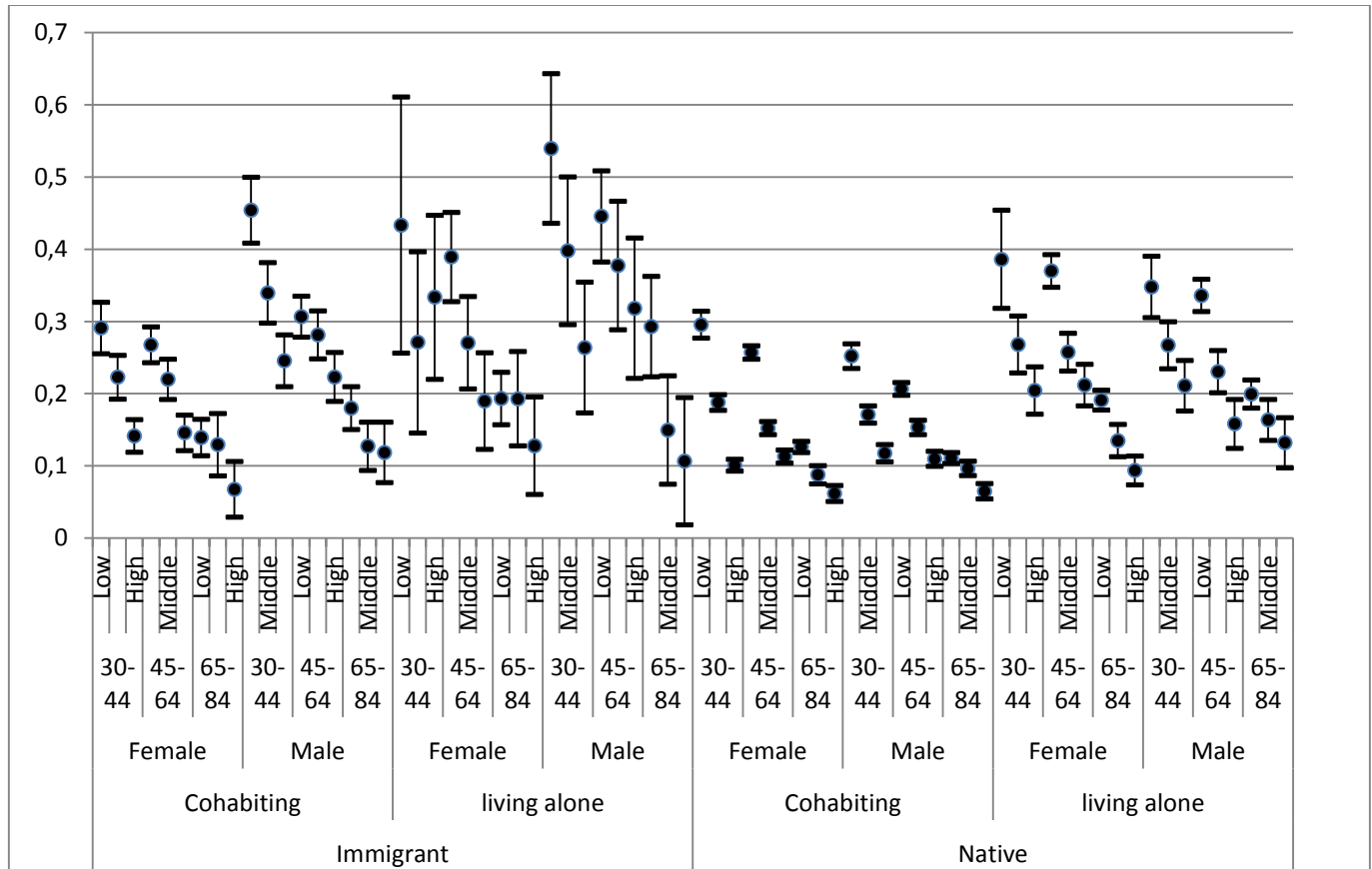


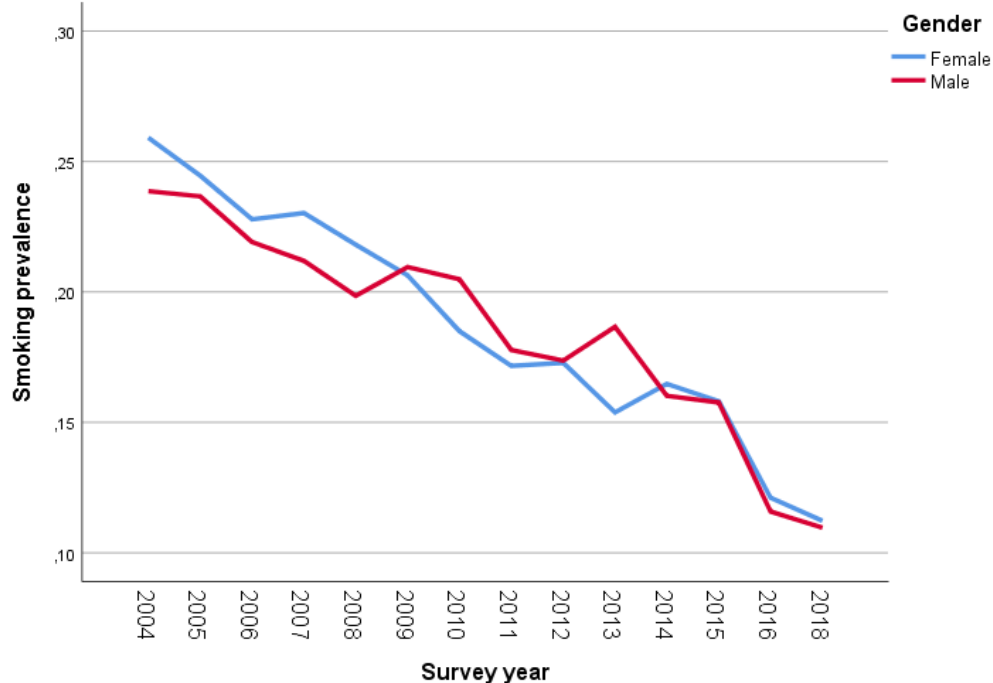
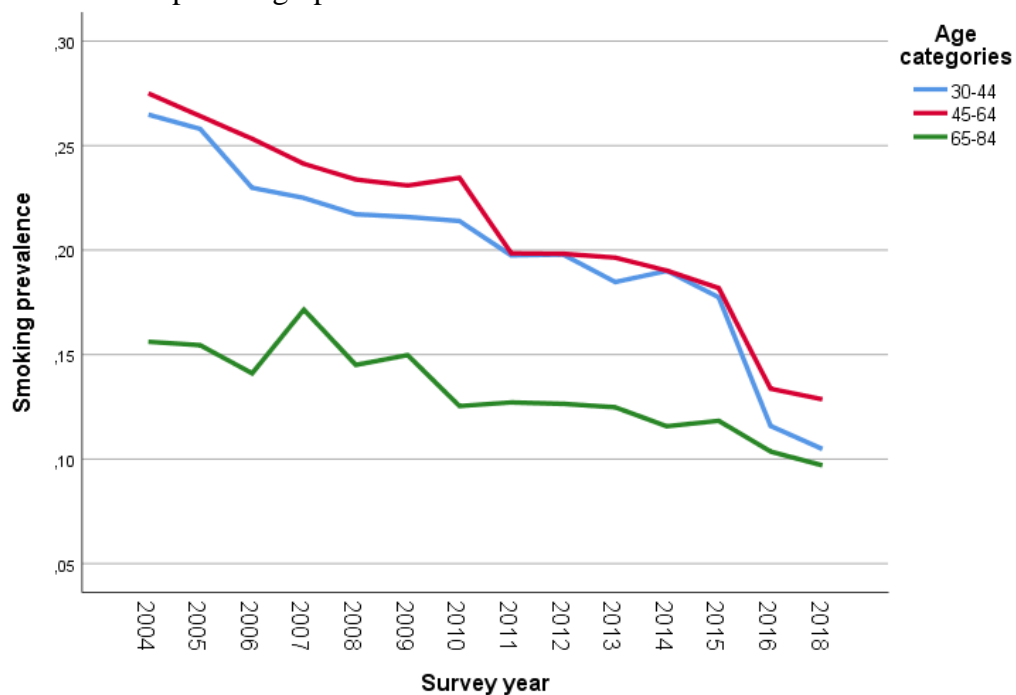
Figure 2. Absolute risk (i.e., prevalence) and 99% confidence intervals of smoking in different intersectional strata according the National Health Survey in Sweden between 2004 and 2018.

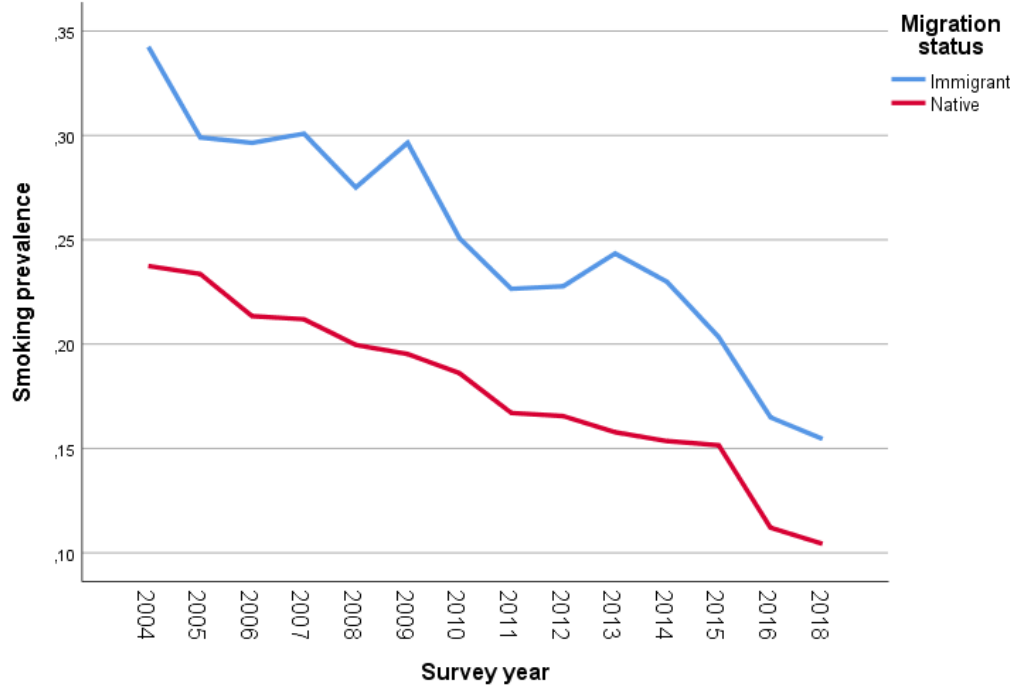
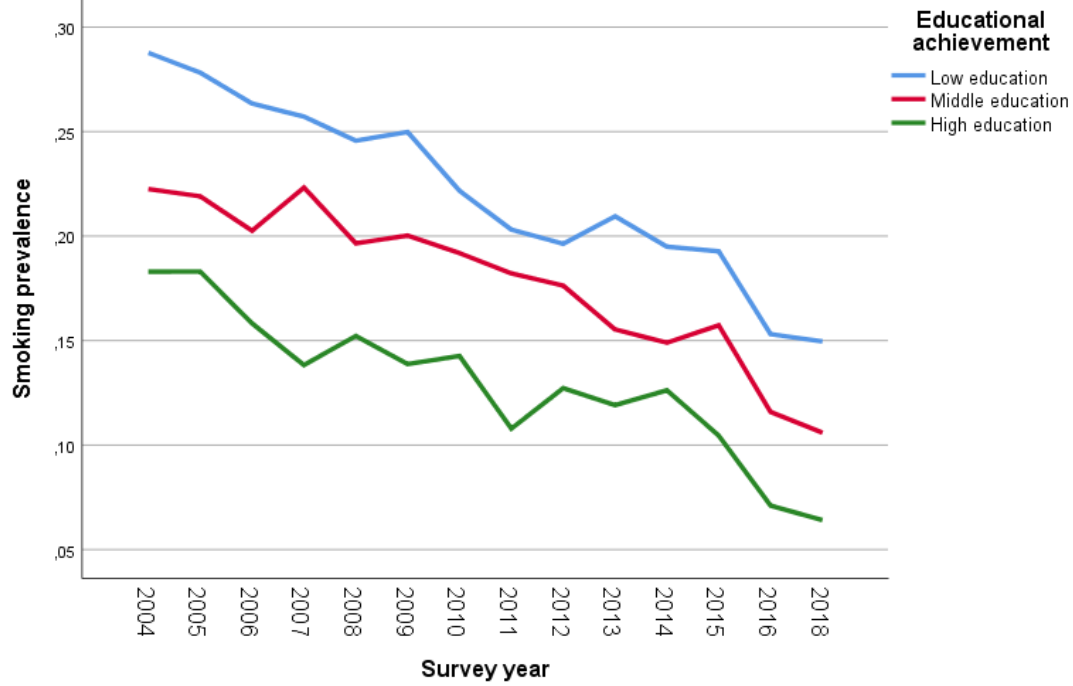


Supplementary material 1

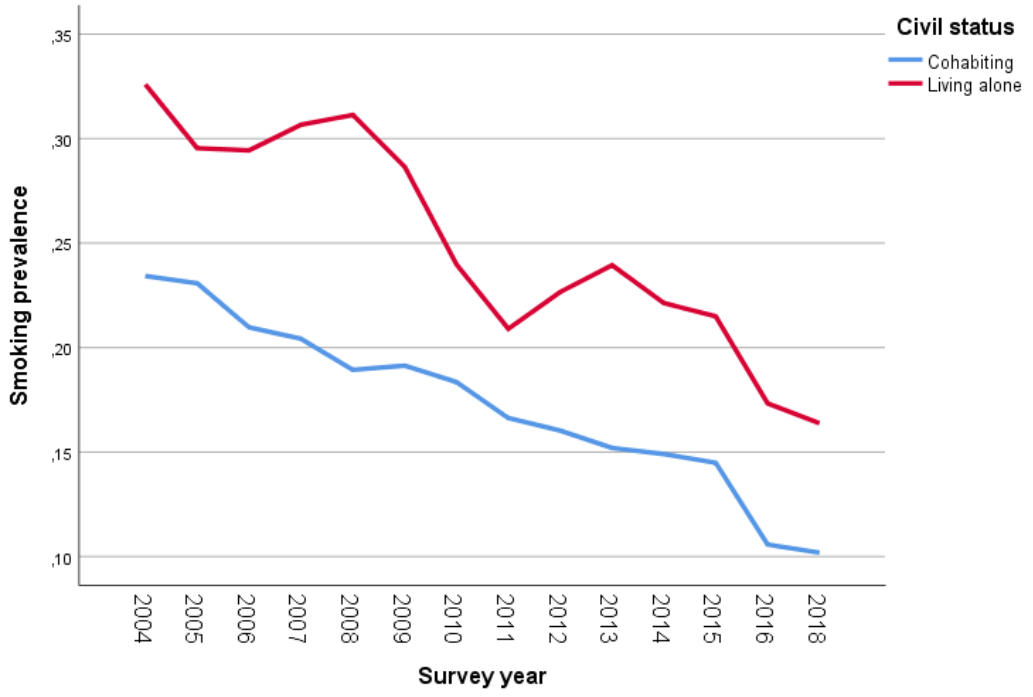
S1

Trends in smoking prevalence in the National Health Surveys for Sweden. Categories of age, gender, educational achievement, migration status and civil status are shown with different lines in the respective graphs.





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Supplementary material 2

S2

Table 2, full version. Results from the intersectional model 7 indicating the 10 highest and 10 lowest Relative risk (RR) with 95% confidence intervals (CI) of smoking across intersectional strata in the Swedish population using the stratum of young, native, men with high education that were cohabiting as reference in the comparisons. The area under the receiver operator characteristic curve (AUC) values with 95%CI and the increment in the AUC (Δ AUC) values in successive models are also presented.

Age	Gender	Educational achievement	Migration status	Civil status	RR (95% CI)
30-44	Female	Low	Immigrant	Cohabiting	2.35 (1.96-2.82)
30-44	Female	Low	Immigrant	Living alone	3.41 (1.96-5.94)
30-44	Female	Low	Native	Cohabiting	2.24 (1.96-2.56)
30-44	Female	Low	Native	Living alone	2.95 (2.29-3.78)
30-44	Female	Middle	Immigrant	Cohabiting	1.83 (1.51-2.21)
30-44	Female	Middle	Immigrant	Living alone	2.33 (1.34-4.05)
30-44	Female	Middle	Native	Cohabiting	1.53 (1.35-1.73)
30-44	Female	Middle	Native	Living alone	2.2 (1.8-2.7)
30-44	Female	High	Immigrant	Cohabiting	1.22 (0.99-1.49)
30-44	Female	High	Immigrant	Living alone	2.87 (1.86-4.42)
30-44	Female	High	Native	Cohabiting	0.86 (0.74-0.98)
30-44	Female	High	Native	Living alone	1.72 (1.39-2.12)
30-44	Male	Low	Immigrant	Cohabiting	3.66 (3.07-4.35)
30-44	Male	Low	Immigrant	Living alone	4.45 (3.29-6.03)
30-44	Male	Low	Native	Cohabiting	1.92 (1.68-2.2)
30-44	Male	Low	Native	Living alone	2.67 (2.21-3.21)
30-44	Male	Middle	Immigrant	Cohabiting	2.84 (2.36-3.43)
30-44	Male	Middle	Immigrant	Living alone	3.33 (2.35-4.71)
30-44	Male	Middle	Native	Cohabiting	1.43 (1.25-1.63)
30-44	Male	Middle	Native	Living alone	2.21 (1.85-2.64)
30-44	Male	High	Immigrant	Cohabiting	2.13 (1.74-2.6)
30-44	Male	High	Immigrant	Living alone	2.32 (1.53-3.5)

1						
2						
3	30-44	Male	High	Native	Cohabiting	Reference
4						
5	30-44	Male	High	Native	Living alone	1.75 (1.41-2.18)
6						
7	45-64	Female	Low	Immigrant	Cohabiting	2.19 (1.88-2.55)
8						
9	45-64	Female	Low	Immigrant	Living alone	3.22 (2.56-4.06)
10						
11	45-64	Female	Low	Native	Cohabiting	2.08 (1.85-2.34)
12						
13	45-64	Female	Low	Native	Living alone	2.99 (2.61-3.41)
14						
15	45-64	Female	Middle	Immigrant	Cohabiting	1.87 (1.56-2.23)
16						
17	45-64	Female	Middle	Immigrant	Living alone	2.23 (1.66-3.01)
18						
19	45-64	Female	Middle	Native	Cohabiting	1.29 (1.14-1.46)
20						
21	45-64	Female	Middle	Native	Living alone	2.16 (1.84-2.53)
22						
23	45-64	Female	High	Immigrant	Cohabiting	1.27 (1.03-1.57)
24						
25	45-64	Female	High	Immigrant	Living alone	1.63 (1.08-2.45)
26						
27	45-64	Female	High	Native	Cohabiting	0.97 (0.84-1.11)
28						
29	45-64	Female	High	Native	Living alone	1.76 (1.46-2.13)
30						
31	45-64	Male	Low	Immigrant	Cohabiting	2.55 (2.18-2.98)
32						
33	45-64	Male	Low	Immigrant	Living alone	3.61 (2.9-4.5)
34						
35	45-64	Male	Low	Native	Cohabiting	1.71 (1.52-1.93)
36						
37	45-64	Male	Low	Native	Living alone	2.77 (2.42-3.17)
38						
39	45-64	Male	Middle	Immigrant	Cohabiting	2.39 (2.01-2.86)
40						
41	45-64	Male	Middle	Immigrant	Living alone	3.1 (2.26-4.26)
42						
43	45-64	Male	Middle	Native	Cohabiting	1.28 (1.12-1.45)
44						
45	45-64	Male	Middle	Native	Living alone	1.92 (1.61-2.31)
46						
47	45-64	Male	High	Immigrant	Cohabiting	1.91 (1.56-2.35)
48						
49	45-64	Male	High	Immigrant	Living alone	2.7 (1.84-3.98)
50						
51	45-64	Male	High	Native	Cohabiting	0.92 (0.8-1.07)
52						
53	45-64	Male	High	Native	Living alone	1.35 (1.04-1.75)
54						
55	65-84	Female	Low	Immigrant	Cohabiting	1.23 (0.98-1.54)
56						
57	65-84	Female	Low	Immigrant	Living alone	1.62 (1.28-2.05)
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59	65-84	Female	Low	Native	Cohabiting	1.1 (0.97-1.25)
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3	65-84	Female	Low	Native	Living alone	1.62 (1.42-1.86)
4						
5	65-84	Female	Middle	Immigrant	Cohabiting	1.18 (0.81-1.71)
6						
7	65-84	Female	Middle	Immigrant	Living alone	1.66 (1.12-2.46)
8						
9	65-84	Female	Middle	Native	Cohabiting	0.8 (0.66-0.96)
10						
11	65-84	Female	Middle	Native	Living alone	1.2 (0.97-1.48)
12						
13	65-84	Female	High	Immigrant	Cohabiting	0.61 (0.33-1.11)
14						
15	65-84	Female	High	Immigrant	Living alone	1.16 (0.65-2.07)
16						
17	65-84	Female	High	Native	Cohabiting	0.55 (0.45-0.69)
18						
19	65-84	Female	High	Native	Living alone	0.83 (0.64-1.06)
20						
21	65-84	Male	Low	Immigrant	Cohabiting	1.57 (1.27-1.95)
22						
23	65-84	Male	Low	Immigrant	Living alone	2.49 (1.84-3.37)
24						
25	65-84	Male	Low	Native	Cohabiting	0.96 (0.84-1.1)
26						
27	65-84	Male	Low	Native	Living alone	1.71 (1.47-2)
28						
29	65-84	Male	Middle	Immigrant	Cohabiting	1.12 (0.82-1.51)
30						
31	65-84	Male	Middle	Immigrant	Living alone	1.29 (0.74-2.24)
32						
33	65-84	Male	Middle	Native	Cohabiting	0.85 (0.73-0.99)
34						
35	65-84	Male	Middle	Native	Living alone	1.47 (1.18-1.83)
36						
37	65-84	Male	High	Immigrant	Cohabiting	1.06 (0.72-1.57)
38						
39	65-84	Male	High	Immigrant	Living alone	0.91 (0.38-2.21)
40						
41	65-84	Male	High	Native	Cohabiting	0.58 (0.48-0.71)
42						
43	65-84	Male	High	Native	Living alone	1.19 (0.88-1.6)
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STROBE Statement for manuscript entitled “Understanding the Complexity of Socioeconomic Disparities in smoking prevalence: Combining Intersectionality theory with analysis of individual heterogeneity and discriminatory accuracy (AIHDA)”

	Item No	Recommendation	
Title and abstract	1	(a) Indicate the study’s design with a commonly used term in the title or the abstract	✓
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	✓
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	✓
Objectives	3	State specific objectives, including any prespecified hypotheses	✓
Methods			
Study design	4	Present key elements of study design early in the paper	✓
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	✓
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants	✓
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	✓
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	✓
Bias	9	Describe any efforts to address potential sources of bias	✓
Study size	10	Explain how the study size was arrived at	✓
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	✓
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	✓
		(b) Describe any methods used to examine subgroups and interactions	✓
		(c) Explain how missing data were addressed	✓
		(d) If applicable, describe analytical methods taking account of sampling strategy	✓
		(e) Describe any sensitivity analyses	✓
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	✓
		(b) Give reasons for non-participation at each stage	✓
		(c) Consider use of a flow diagram	✓
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	✓
		(b) Indicate number of participants with missing data for each variable of interest	✓
Outcome data	15*	Report numbers of outcome events or summary measures	✓

1			
2	Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included
3			✓
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5			
6			(b) Report category boundaries when continuous variables were categorized
7			✓
8			
9			(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period
10			✓
11	Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses
12			✓
13			
14	Discussion		
15	Key results	18	Summarise key results with reference to study objectives
16			✓
17	Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias
18			✓
19			
20	Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence
21			✓
22			
23			
24	Generalisability	21	Discuss the generalisability (external validity) of the study results
25			✓
26	Other information		
27	Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based
28			✓
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*Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.

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Understanding the complexity of socioeconomic disparities in smoking prevalence in Sweden: a cross-sectional study applying intersectionality theory

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4 1 **Understanding the complexity of socioeconomic disparities in smoking**
5 2 **prevalence in Sweden: a cross-sectional study applying intersectionality**
6 3 **theory**

8 4 **Running Title:** Complex Disparities in smoking prevalence
9 5

10 6 **Journal BMJ-Open**
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1 **Abstract (254 words)**

2 **Objectives:** Socioeconomic disparities in smoking prevalence remains a challenge to public
3 health. The objective of this study was to present a simple methodology that displays
4 intersectional patterns of smoking and quantify heterogeneities within groups to avoid
5 inappropriate and potentially stigmatizing conclusions exclusively based on group averages.

6 **Setting:** This is a cross-sectional observational study based on data from the National Health
7 Surveys for Sweden (2004-2016 and 2018) including 136 301 individuals. We excluded
8 people under 30 years of age, or missing information on education, household composition or
9 smoking habits. The final sample consisted on 110 044 individuals or 80.7% of the original
10 sample.

11 **Outcome:** Applying intersectional analysis of individual heterogeneity and discriminatory
12 accuracy (AIHDA), we investigated the risk of self-reported smoking across 72 intersectional
13 strata defined by age, gender, educational achievement, migration status and household
14 composition.

15 **Results:** The distribution of smoking habit risk in the population was very heterogeneous. For
16 instance, immigrant men aged 30–44 with low educational achievement that lived alone had a
17 prevalence of smoking of 54% (95%CI 44–64%), around 9 times higher than native women
18 aged 65–84 with high educational achievement and living with other(s) that had a prevalence
19 of 6% (95%CI 5–7%). The discriminatory accuracy of the information was moderate.

20 **Conclusion:** A more detailed, intersectional mapping of the socioeconomic and demographic
21 disparities of smoking can assist in public health management aiming to eliminate this
22 unhealthy habit from the community. Intersectionality theory together with AIHDA provides
23 information that can guide resource allocation according to the concept proportionate
24 universalism.

1 **Strengths and limitations**

- 2 • We present an intersectional approach to study the multidimensional socioeconomic
3 disparities in smoking prevalence in Sweden.
- 4 • In addition to differences between averages of intersectional strata, we quantify
5 individual heterogeneities around those averages by presenting measurements of
6 discriminatory accuracy.
- 7 • Our method is simpler but share crucial advantages with Multilevel AIHDA, such as
8 improved health mapping and assessment of intersectional interaction.
- 9 • We use pooled data from Swedish National Health Survey with participation rates
10 spanning from 60.8% 2004 to 42.1% 2018.
- 11 • Analysis of Individual Heterogeneity and Discriminatory Accuracy (AIHDA) is a
12 suitable tool to inform whether interventions to reduce socioeconomic health
13 disparities should be universal or target specific groups.

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1 **Abbreviations**

2 AIHDA=Analysis of Individual Heterogeneity and Discriminatory Accuracy, AUC=Area

3 Under receiving operator characteristics Curve, CI=Confidence Interval, DA=Discriminatory

4 Accuracy, OR=Odds Ratio, PR=Prevalence Ratio, SDH=Social Determinants of Health

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1 Introduction

2 A higher prevalence of smoking among individuals with low socioeconomic position (SEP)
3 compared to higher SEP has been reported in several studies in Sweden [1] and globally [2-
4 5]. The higher prevalence results both from higher rates of initiation [6] and lower rates of
5 successful smoking cessation [7]. In addition to this, other factors like country of birth [8],
6 household composition [9], age and gender influence the probability of smoking [10].

7 Overall, socioeconomic determinants of smoking are multidimensional but few studies have
8 empirically confronted this heterogeneity using an intersectional perspective [11-15].

9 Intersectionality theory, proportionate universalism, and the analysis of individual 10 heterogeneity and discriminatory accuracy (AIHDA)

11 Structural interventions including raised tobacco taxes and smoking free zones can reduce
12 smoking prevalence [16], most among people with low SEP [17]. In UK, health-care based
13 smoking cessation aid has reduced disparities in smoking rates between privileged and
14 socioeconomically deprived areas, although this effect was modest [18]. However, a review
15 of the efficacy of non-health care interventions targeting behavioural factors among people
16 with low education [19] concludes that there is a lack of evidence that such interventions
17 oriented towards individual determinants of health are efficient when it comes to reducing
18 socioeconomic disparities in smoking [20]. Marmot and Bell claim [21] that interventions to
19 reduce socioeconomic health disparities need to address all levels of society and not only
20 those who are worst off. They argue that an efficient approach may be *proportionate*
21 *universalism* [21, 22] where interventions are universal, i.e. directed towards the whole
22 population (such as tobacco taxes, smoking bans in public) but proportionately more intense
23 among population subgroups with augmented needs where targeted interventions can be
24 launched (i.e. information campaigns in specific neighbourhoods or populations such as
25 pregnant women). However, as argued elsewhere [22-24] successful and efficient

1 implementation of *proportionate universalism* requires development and application of
2 appropriate theories and epidemiologic methodologies.

3 Intersectionality theory is a critical social theory [25] that stresses the need for simultaneous
4 consideration of different social dimensions such as racialized identity, gender and class in
5 order to properly understand the social context acting on individuals. According to
6 intersectionality theory, the social reality is shaped by overlapping systems of oppression that
7 influence distribution of resources and power in society.

8 The inclusion of intersectionality in epidemiology and public health has been promoted by
9 several scholars [26-29]. A direct consequence of this approach in quantitative analyses is the
10 study of multiple intersectional strata defined by combinations of different social dimensions,
11 since the effect of each social dimension on an individual is intrinsically dependent on other
12 social identities of that person. This contrasts with the common approach considering one
13 social dimension at the time. Thereby, the intersectional approach may enrich public health
14 research by providing an improved mapping of socioeconomic health disparities [26, 30].
15 Such socioeconomic heterogeneity can be analyzed by quantifying differences between
16 intersectional strata averages. However, we [23, 28, 29, 31, 32] and other scholars [33-35]
17 stress the added relevance of simultaneously quantifying the discriminatory accuracy (DA) of
18 the intersectional categorization for specific outcomes. An intersectional map combined with
19 information on its DA provides an improved picture of the socioeconomic heterogeneity
20 existing in the society. This approach can be used to inform interventions according to the
21 concept of proportionate universalism. The extent to which a universal intervention needs to
22 be proportional can be evaluated by the DA of the intersectional strata. A low DA suggests
23 the need for universal interventions while a high DA supports more selective interventions.
24 This idea aligns with the distinctions made by McCall between anti-, and inter-categorical

1 intersectional approaches [36]. According to the anti-categorical intersectionality, the
2 categorizations adopted in quantitative research are simplified and contribute to stereotypes
3 and perpetuations of inequalities. The inter-categorical intersectionality, on the other hand,
4 accepts categorizations since they can be useful in the study of intersectional inequities. The
5 finding of a low DA would support the anti-categorical standpoint that the categorizations
6 lack relevance for the studied outcome. If the DA is high, this would rather support the inter-
7 categorical standpoint that intersectional matrix provides worthy information. A moderate
8 DA does not give full support to neither the anti- nor inter-categorical intersectionality.

9 Adopting a quantitative perspective, in the present study we aim to illustrate how a more
10 precise intersectional categorization combined with AIHDA improves our understanding of
11 smoking prevalence and facilitates the application of proportionate universalism.

12 **Methods**

13 **Study population**

14 In this cross-sectional observational study, we used data from all the 14 National Health
15 Surveys (NHS) for Sweden for the years 2004–2016 and 2018
16 ([https://www.folkhalsomyndigheten.se/the-public-health-agency-of-sweden/public-health-
17 reporting/](https://www.folkhalsomyndigheten.se/the-public-health-agency-of-sweden/public-health-reporting/)). The NHS is an ongoing collaborative project between the Public Health Agency
18 of Sweden and the Swedish Association of Local Authorities and Regions. The NHS record
19 self-reported information on health, lifestyle and living conditions. The study has been
20 conducted annually between 2004 and 2016 and comprised a random sample of 20,000
21 individuals aged 16–84 years. After 2016 the survey is conducted biannually but with a
22 random sample of 40,000 individuals. Response rates span from 60.8% 2004 to 42.1% 2018.
23 Using a unique personal identification number, the Swedish authorities linked the sample

1 surveys to national register administered at Statistics Sweden to obtain demographical and
2 socioeconomic information.

3 For our study we pooled the data from the last 14 surveys, which rendered a sample of
4 136 301 individuals. Thereafter, we excluded people younger than 30 years. The lower age
5 limit of 30 years was chosen since most individuals in Sweden that will complete a three-year
6 education after high school do so before this age [37] and educational status was the indicator
7 of socioeconomic position chosen in this study. We also excluded people with missing
8 information on education, household composition or smoking habits. The final sample
9 consisted on 110 044 individuals or 80.7% of the original sample (Figure 1).

10 The present investigation was approved by the Swedish Ethical Review Authority (Dnr:
11 2019-01793) and the data safety committee at the Public Health Agency of Sweden.

12 Patient and public involvement

13 All data from NHS provided to researchers is anonymized, so study participants cannot be
14 identified. The study participants were not involved in the research process.

15 Assessment of variables

16 Smoking status was assessed based on the answer to the question “Do you smoke?”, if the
17 person answered “Yes” or “Yes, sometimes”, the individual was categorized as a smoker, if
18 the respondent answered “No” the individual was considered a non-smoker.

19 We categorized *age* into three groups: 30-44, 45-64 and 65-84-year-old. We classified gender
20 as a binary variable distinguishing between men and women as more specific information on
21 gender was not available in the questionnaire. We classified *educational achievement* into
22 three categories, as low if the respondent had not completed three years of high school
23 education, as middle if they had high school education but less than three years of education
24 after high school and high if the respondent had at least three years of education after high

1 school. Throughout 2008-2016 respondents were asked “with whom do you share
2 household?”, we defined *household composition* as living alone if the respondent answered
3 “with no one”, otherwise as living with other(s). In 2018 that question was not asked so
4 individuals were defined in the same way according to the linked information provided by
5 Statics Sweden. We classified *migration status* as native (i.e., born in Sweden) or immigrant.
6 As a way of operationalising intersectional contexts, we created 72 strata by combining the
7 three categories of age, the two of gender, the three of educational achievement, the two of
8 migration status and the two categories of household composition. We used 30–45-year-old,
9 native men living with other(s) and with high educational achievement as the reference in the
10 comparisons, as this group was assumed to occupy the position of greatest structural
11 privilege. This choice was based on unidimensional assumptions of structural privilege for
12 young compared to old [38], men compared to women, high SEP compared to low SEP [39],
13 natives compared to immigrants [40] and those living with other(s) compared to people living
14 alone [41]. We also included the survey year of the participants using 2018 as reference in all
15 comparisons.

16 **Statistical analyses**

17 The first step in our analysis was to obtain the trends in smoking prevalence and the trends in
18 socioeconomic and demographic gradients in smoking between 2004 and 2018 (see
19 supplementary information S1). Thereafter, we performed a stratified analysis aimed to
20 provide a detailed map of the prevalence (i.e., absolute risk) and 95% confidence intervals
21 (CI) of smoking across the intersectional strata. This stratification allows comparing the
22 prevalence of smoking in different strata without any reference (Figure 2).

23 Thereafter, we performed seven consecutive regression analyses, modelling smoking as the
24 dependent variable and survey year as well as the different demographical and socioeconomic

1 dimensions alone and in combination as explanatory variables. The use of logistic regression
2 to obtain odds ratios (OR) is common but the OR is a good estimation of the relative risk
3 only when the prevalence of the outcome is very small (rare event assumption) [42].
4 Therefore, for the analysis, rather than logistic regression to obtain ORs, we used Cox
5 proportional hazards regression with a constant follow-up time equal to one to obtain
6 prevalence ratios (PR) [43] with 95% CI.

7 Model 1 included only survey year, model 2 added age, model 3 added gender, model 4
8 added educational achievement, model 5 added migration status and model 6 added
9 household composition and thus included all the variables that defined the intersectional
10 strata. Finally, the intersectional model 7 included the same variables as model 6 but in the
11 form of a multicategorical variable with 72 intersectional strata. Here, we used the 30–45-
12 year-old, native men living with other(s) and with high educational achievement as the
13 reference in the comparison.

14 For each model, we quantified its DA by means of the area under the receiver operator
15 characteristics curve (AUC) [44]. The AUC measures the accuracy of the information
16 provided by the variables in the model for discriminating individuals who smoke from those
17 who don't. The AUC takes a value between 0.5 and 1, where 1 indicates perfect
18 discrimination and 0.5 means that the studied variables have no DA at all. The AUC can even
19 be used to qualify the size of the intersectional differences. Rather than evaluating the
20 absolute risk differences between strata, using the AUC we assess the overlapping of the
21 individual risk predictions (based on the intersectional strata) between smokers and non-
22 smokers.

23 There is no fully established practical guideline for the interpretation of the size of the AUC
24 as a measure of DA when analysing intersectional inequalities. However, based on the cut-off
25 values provided by Hosmer and Lemeshow [45] but using more neutral denominations we

1 qualify intersectional inequalities according to the DA as (i) “absent or very small” (AUC=
2 0.5–0.6), (ii) “moderate” (AUC >0.6–≤ 0.7), (iii) “large” (AUC >0.7– ≤ 0.8) and (iv) “very
3 large” (AUC >0.8). Evaluating intersectional differences using only strata prevalence is
4 insufficient as it does not consider any overlapping between the strata. Therefore, the AUC
5 provides fundamental information for evaluation of group differences [46].

6 We further calculated the incremental change in the AUC value (Δ -AUC) between the
7 models. The Δ -AUC quantifies the improvement in the DA obtained by a model, in relation
8 to the previous model [24]. The categorical intersectional variable in model 7 allows for the
9 capturing of interaction of effects. If any such interaction exists, the DA of model 7 will
10 increase in comparison with model 6 and the Δ -AUC will thus be positive.

11 We used STATA version 15.1 and IBM SPSS (Statistical Package for the Social Sciences)
12 version 25 for PC to perform all statistical analyses.

13 Results

14 Over the whole study period, the prevalence of smoking was 18%. The visual analysis of the
15 trends indicated that the prevalence of smoking monotonically decreased in Sweden from
16 25.0% in 2004 to around 11.1% in 2018. While sex-differences were small throughout the
17 period and the sex-category with highest smoking prevalence changed, we observed
18 consistent differences between groups defined by age, country of birth, educational
19 achievement and household composition. In absolute terms, the gaps between subgroups
20 were static except for differences between age categories that narrowed in later years (see
21 supplementary information S1).

22 Table 1 presents the prevalence of smokers and non-smokers across the included
23 socioeconomic and demographic variables as well as across survey years. It indicates that the
24 prevalence of smoking was higher in individuals aged 45-64 years (20.6%) than in both

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3 1 younger (19.8%) and older people (12.4%). Women and men had similar prevalence of
4
5 2 smoking (17.9% vs 17.8%). As expected, smoking was more common among people with
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7 3 low (21.7%) and medium (17.0%) educational achievement compared to people with high
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9 4 educational achievement (11.9%). The prevalence of smoking was higher among immigrants
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11 5 (23.9%) than among natives (17.0%) and the same was true for individuals living alone
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13 6 (24.1%) compared to those who were living with other(s) (16.5%).
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17 7 Figure 2 shows the prevalence of smoking across the intersectional strata. We observed the
18
19 8 highest prevalence (54%) among 30-44-year-old immigrant men with low educational
20
21 9 achievement and living alone, and the lowest prevalence (6%) among 65-84-year-old native
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23 10 women with high educational achievement and living together. The reference stratum (i.e.,
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25 11 30–45-year-old, native men living with other(s) and with high educational achievement) used
26
27 12 in the relative comparisons (Table 2) presented a smoking prevalence of about 12%.
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32 13 The table 3 informs that the PR of smoking decreases with age, being lowest in the old
33
34 14 population. This age gradient is clear after adjustment for the other variables in the model 6.
35
36 15 Low educational achievement, being immigrant and living alone was associated with a higher
37
38 16 smoking risk. However, there were no age-adjusted gender differences. The AUC in the
39
40 17 model including only survey year was 0.58. In the age adjusted model 2, the AUC was 0.60
41
42 18 and it did not increase when gender was included in model 3. The AUC increased by 0.04
43
44 19 units when including education. It did not increase when adding migration status but further
45
46 20 increased by 0.01 units when including household composition. The AUC of intersectional
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48 21 model 7 was 0.66, with 95%CI overlapping the AUC of model 6 indicating no conclusive
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50 22 intersectional interaction.
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56 23 Table 2 shows the 10 strata with the lowest and the 10 strata with the highest PRs of smoking
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58 24 using the strata of young native men with high educational achievement and living with
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1 other(s) as reference. The lowest PR= 0.55 was observed in older native women with high
2 educational achievement and living with other(s) and the highest PR= 4.45 was observed in
3 young immigrant men with low educational achievement and living alone. When comparing
4 with the reference stratum of native young men with high educational achievement and living
5 with other(s), we observed that low educational achievement, being immigrant and living
6 alone were respectively present in seven, eight and nine of the ten strata with the highest risk
7 of smoking (see the Supplementary information S2 for the complete list of PR-values).

8 Discussion

9 Main findings

10 Our study provides an improved mapping of the distribution of the smoking habit in Sweden
11 compared to unidimensional analyses. Rather than focusing on single socioeconomic and
12 demographical variables, we use an intersectional AIHDA analysis that uncovers the
13 socioeconomic and demographical heterogeneity existing in the country. We also applied the
14 AUC to obtain information on the accuracy of the intersectional grouping for identifying
15 individuals according to their smoking status. We found a moderate AUC= 0.66, which
16 indicates that individual risk of smoking considerably overlaps between the intersectional
17 strata and that neither the anti-categorical nor the inter-categorical intersectionality
18 approaches are fully supported. We found that the stratum-specific risks were due to the main
19 effects of the different variables used to define the intersectional strata without any
20 conclusive interactive component.

21 We found intersectional strata with a rather high prevalence of smoking. For instance, the
22 prevalence of smoking in young immigrant men with low educational achievement and living
23 alone was 54%. Interestingly, while high educational achievement generally prevents

1 smoking, young immigrant women that lived alone had a PR of 2.87 (1.86-4.42) despite their
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1 smoking, young immigrant women that lived alone had a PR of 2.87 (1.86-4.42) despite their
2 high educational achievement. This indicates that the protective effect of high education may
3 depend on other variables such as migration status and gender. Our finding could
4 hypothetically reflect both smoking culture in the country of birth of the individual or that
5 discrimination on the basis of gender or migration status may contribute to making education
6 a poorer indicator of socioeconomic position in this group.

7 Relation to previous studies

8 In spite of the use of different definitions and measurements of smoking habits as well as the
9 use of different indicators of socioeconomic position, many previous publications have
10 shown the existence of socioeconomic, ethnic and demographical differences in smoking [2,
11 4, 47]. However, as far we known, only a few have considered the intersectional approach
12 [11, 14, 15]. The heterogeneous distribution of smoking prevalence we found in Sweden is in
13 accordance with recent intersectional research on smoking cessation in the U.S. adult
14 population [15].

15 High education may influence smoking through both direct effects, such as increased
16 understanding of detrimental health effects of smoking, and indirect effects such as social and
17 material circumstances [48]. Educational achievement is the preferred indicator of
18 socioeconomic position in previous public health reports in Sweden [49]. We performed a
19 sensitivity analysis where we included income instead of education and the results were very
20 similar and are provided as supplementary material (S3).

21 In a comparison of the relative importance of low education on smoking prevalence across
22 age- and gender groups in Denmark and Sweden, Eek et al. found that the effect of low
23 education on smoking prevalence and continuation of smoking was strongest among younger

1 women in Sweden, indicating a failure of tobacco prevention interventions to reach this group
2 [1]. While immigrant men were clearly overrepresented among the strata with highest
3 prevalence of smoking, this was not the case for women. This pattern was also found by
4 Lindström et al in a study from southern Sweden showing lower rates of smoking among men
5 born in Sweden, but higher rates of smoking among women born in Sweden compared to
6 men and women from most other country groups [8]. These differences were attributable to
7 different smoking prevalence in the countries of origins of the immigrants, potentially
8 representing different stages of the smoking transition. The distribution of smoking
9 prevalence across age groups we found is similar to the pattern observed by Ali et al in a
10 study from southern Sweden [50].

11 Strengths and limitations

12 The cross-sectional and observational character of this study prevents causal conclusions.
13 However, the variables included in our analyses are to a little extent effected by smoking
14 status, so the causal direction can be presumed to go from sociodemographic variables
15 towards smoking rather than the opposite.

16 A weakness in our study is that the participation rates were rather low, especially during the
17 last years. An analyses of the non-participants performed by Statistics Sweden shows that
18 people with low income, people born outside Sweden and people living alone were less likely
19 to be responders [51]. Therefore, if the prevalence of smoking is higher in non-participants,
20 our analysis may have underestimated the existing socioeconomic differences. In a sensitivity
21 analysis we utilized data that had been weighted by Statistics Sweden in order to reduce
22 skewness resulting from non-participating individuals. The variables used to perform the
23 weighting were age, gender, educational level, country of birth, household composition and
24 urban/rural [52]. These results were very similar, which was expected since the intersectional

1 variable included all weighting variables except rural/urban. Our study represents the
2 Swedish circumstances so the AIHDA-approach should be replicated in different contexts.
3
4 A further limitation of this study is the simple categorizations of the dimensions incorporated
5 in the intersectional matrix. Gender was binary defined which neglects the existence of
6 numerous gender identities. Migration status was binary defined as natives and immigrants,
7 which may hide heterogeneity in smoking prevalence. A more detailed classification with
8 four categories (i.e., Sweden, Nordic countries, Europe and Outside Europe) shows that all
9 the categories except women born outside Europe had a higher prevalence than the
10 individuals born in Sweden (see supplementary material 4). The used categorizations stem in
11 part from the information available in the survey and in part from the aim of presenting a
12 parsimonious intersectional model that is easier to adopt in public health analyses and by the
13 fact that several strata would be empty or contain very few individuals if the intersectional
14 matrix was expanded.
15
16 We also performed a sensitivity analysis excluding “sometimes smokers” from the smoker
17 category. As expected, overall prevalence was lower, 11% compared to 18%, and
18 intersectional disparities larger. The AUC of the intersectional model 7 was 0.70 compared to
19 0.66 in the main analysis. Our main results combined with the results from the sensitivity
20 analysis reflect the existence of socioeconomic disparities not only in prevalence, but also in
21 intensity, of smoking [53]. Our results therefore may underestimate the intersectional
22 disparities in health hazards attributable to smoking.

23 **Implications and future studies**

24 There is a growing body of literature focusing on how to perform quantitative intersectional
25 research [27, 36], with the emergence of multilevel AIHDA (MAIHDA) as a recent example

1 [28, 29, 34]. However, in spite of providing complementary information [34], the fixed
2 effects AIHDA approach we use in our study is rather accessible and share crucial advantages
3 of the MAIHDA. First, the AIHDA provides an intersectional mapping that is more
4 appropriate than unidimensional analyses to identify specifically vulnerable population
5 groups in which interventions could be effective. Second, by going beyond average
6 probabilistic measurements (i.e., prevalence) and also analysing DA we get a quantification
7 of the heterogeneity around the averages [46]. From the AIHDA we found that the DA of our
8 intersectional model was only moderate which indicates the necessity for universal
9 interventions due to a large unexplained heterogeneity. However, we also identified that the
10 three most vulnerable groups (i.e., strata) included immigrant men with low education
11 younger than 65 years. This finding suggest that special preventive measures should be
12 directed to these groups. Furthermore, research methods that actively involves members of
13 marginalized groups and has the explicit purpose to result in public health improvements are
14 developing and could be one way forward [54].

15 Interventions to reduce smoking prevalence should address Social Determinants of Health
16 (SDH) at all levels. Examples targeted directly at smoking include increased tobacco
17 taxation, smoke free zones and public anti-smoking campaigns [55]. Stigmatization is a
18 negative side effect of such interventions that need to be taken into account, especially for
19 low SEP groups [56]. Qualitative intersectional research has provided important insights into
20 how the stigma of smoking interacts with identities of low class, country of birth, being a bad
21 mother and may be in conflict with norms of femininity [57].

22 Equal access to education, housing and healthy recreation, regardless of gender,
23 socioeconomic status, migration status and household composition, is important to reduce
24 smoking prevalence. Therefore, institutions outside the health care system play an important

1 role to redistribute resources and access to SDH [58, 59], in order to counterweight the
2 accelerating tendency of accumulation of resources among a very rich minority that
3 characterizes modern capitalism [60]. This requires political decisions that prioritize
4 population health aims more than market oriented reforms that exacerbate health inequities
5 [61]. Health politics should adopt an intersectional perspective when redistributing resources
6 in order to reduce the complex disparities in smoking revealed in this study.

7 Conclusions

8 Compared with studies focused on single variables, the intersectional AIHDA offers a better
9 mapping of the socioeconomic and demographical distribution of smoking in Sweden.
10 However, the moderate DA found in the AIHDA analysis suggested the existence of
11 substantial unexplained heterogeneity in smoking risk within the different intersectional strata
12 defined by age, gender, education, household composition and migration status. An
13 intersectional AIHDA approach is necessary to understand the existing socioeconomic and
14 demographic complexity influencing smoking behaviour. Future studies should identify
15 preventive measures that are guided by proportionate universalism to find practical ways
16 forwards to reduce intersectional disparities in smoking prevalence.

17 Footnotes

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7 Contributors

8 SAF and JM had the original idea of the study. SAF wrote the initial version of the paper,
9 SAF, JM, RPV and ML participated in the design, analysis, interpretation of data and
10 drafting of the article. All authors approved both the original and revised versions to be
11 published. JM is the guarantor of the article.

12 Conflict of interest

13 There are no conflicts of interest.

14 Data sharing statement

15 The original databases from the Public Health Agency of Sweden used in this study are
16 protected by strict rules of confidentiality that cover sensitive individual information
17 including data regarding health and health behaviours. The data can be made available for
18 research after review by a regional Ethics Committee and the Public Health Agency's own
19 safety committee.

20 Ethics approval

21 The present investigation was approved by the Swedish Ethical Review Authority (Dnr:
22 2019-01793) and the data safety committee at the Public Health Agency of Sweden.

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1 **Tables**

Table 1. Distribution (prevalence) of smokers across categories of age, gender, education, migration and household composition in the 110,044 participants in the Swedish National Health Surveys (2004 – 2018). Values are number (and percentage) of individuals.

	Non smokers	Smokers
30-44	22,799 (80.23%)	5,618 (19.77%)
45-64	38,024 (79.41%)	9,862 (20.59%)
65-84	29,575 (87.65%)	4,166 (12.35%)
Female	48,782 (82.08%)	10,653 (17.92%)
Male	41,616 (82.23%)	8,993 (17.77%)
Low	38,791 (78.32%)	10,738 (21.68%)
Middle	27,716 (83.02%)	5,670 (16.98)
High	23,891 (88.06%)	3,238 (11.94%)
Immigrant	10,410 (76.07%)	3,274 (23.93%)
Native	79,988 (83.01%)	16,372 (16.99%)
Living with other(s)	75,625 (83.48%)	14,964 (16.52%)
Living Alone	14,773 (75.93%)	4,682 (24.07%)
2004	6,803 (75.03%)	2,264 (24.97%)
2005	3,339 (75.90%)	1,060 (24.10%)
2006	3,450 (77.62%)	995 (22.38%)
2007	3,272 (77.81%)	933 (22.19%)
2008	6,525 (79.07%)	1,727 (20.93%)
2009	6,123 (79.22%)	1,606 (20.78%)
2010	6,718 (80.59%)	1,618 (19.41%)
2011	6,760 (82.56%)	1,428 (17.44%)
2012	6,893 (82.68%)	1,444 (17.32%)
2013	6,770 (83.10%)	1,377 (16.90%)
2014	6,845 (83.74%)	1,329 (16.26%)
2015	6,978 (84.21%)	1,308 (15.79%)
2016	7,086 (88.13%)	954 (11.87%)
2018	12,836 (88.90%)	1,603 (11.10%)

Table 2. Results from the intersectional model 7 indicating the 10 highest and 10 lowest Prevalence Ratio (PR) with 95% confidence intervals (CI) of smoking across intersectional strata in the Swedish population using the stratum of young, native, men with high education that were living with other(s) (LWO) as reference in the comparisons.

Age	Gender	Educational achievement	Migration status	Household composition	PR (95% CI)
65-84	Female	High	Native	LWO	0.55 (0.45-0.69)
65-84	Male	High	Native	LWO	0.58 (0.48-0.71)
65-84	Female	High	Immigrant	LWO	0.61 (0.33-1.11)
65-84	Female	Middle	Native	LWO	0.80 (0.66-0.96)
65-84	Female	High	Native	Living alone	0.83 (0.64-1.06)
65-84	Male	Middle	Native	LWO	0.85 (0.73-0.99)
30-44	Female	High	Native	LWO	0.86 (0.74-0.98)
65-84	Male	High	Immigrant	Living alone	0.91 (0.38-2.21)
45-64	Male	High	Native	LWO	0.92 (0.8-1.07)
65-84	Male	Low	Native	LWO	0.96 (0.84-1.11)
30-44	Male	High	Native	LWO	Reference
30-44	Female	High	Immigrant	Living alone	2.87 (1.86-4.42)
30-44	Female	Low	Native	Living alone	2.95 (2.29-3.78)
45-64	Female	Low	Native	Living alone	2.99 (2.61-3.41)
45-64	Male	Middle	Immigrant	Living alone	3.10 (2.26-4.26)
45-64	Female	Low	Immigrant	Living alone	3.22 (2.56-4.06)
30-44	Male	Middle	Immigrant	Living alone	3.33 (2.35-4.71)
30-44	Female	Low	Immigrant	Living alone	3.41 (1.96-5.94)
45-64	Male	Low	Immigrant	Living alone	3.61 (2.90-4.50)
30-44	Male	Low	Immigrant	LWO	3.66 (3.07-4.35)
30-44	Male	Low	Immigrant	Living alone	4.45 (3.29-6.03)
AUC					0.66 (0.65-0.66)
Δ AUC compared with model 6					0.01

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Table 3. Prevalence ratios (PR) and 95%-confidence intervals (CI), of smoking among people aged 30-84 included in the National Health Surveys between 2004 and 2018 in relation to survey year, age, gender, education, migration status and household composition. Model 7 includes the same variables as model 6 but as a multicategorical variable, The PRs and AUC for model 7 are presented in the table 2. AUC-values with 95%CI representing the discriminatory accuracy and Δ AUC-values of the models are also presented.

	Model 1	Model 2	Model 3	Model 4	Model 5	Model 6
Year						
2004	2.25 (2.11-2.40)	2.07 (1.95-2.21)	2.08 (1.95-2.21)	1.85 (1.74-1.98)	1.88 (1.76-2.00)	1.84 (1.73-1.97)
2005	2.17 (2.01-2.35)	2.01 (1.86-2.17)	2.01 (1.86-2.17)	1.83 (1.69-1.98)	1.85 (1.71-2.00)	1.83 (1.69-1.98)
2006	2.02 (1.86-2.18)	1.87 (1.72-2.02)	1.87 (1.72-2.02)	1.70 (1.57-1.84)	1.71 (1.58-1.85)	1.68 (1.55-1.82)
2007	2.00 (1.84-2.17)	1.86 (1.71-2.01)	1.86 (1.71-2.01)	1.71 (1.57-1.85)	1.65 (1.54-1.77)	1.70 (1.56-1.84)
2008	1.89 (1.76-2.02)	1.76 (1.64-1.88)	1.76 (1.64-1.88)	1.64 (1.53-1.75)	1.66 (1.55-1.78)	1.63 (1.52-1.74)
2009	1.87 (1.75-2.01)	1.75 (1.64-1.89)	1.76 (1.64-1.88)	1.65 (1.54-1.77)	1.62 (1.51-1.73)	1.63 (1.52-1.75)
2010	1.75 (1.63-1.87)	1.70 (1.58-1.82)	1.70 (1.58-1.82)	1.61 (1.50-1.72)	1.46 (1.36-1.57)	1.59 (1.48-1.70)
2011	1.57 (1.46-1.69)	1.53 (1.43-1.64)	1.53 (1.43-1.64)	1.45 (1.35-1.56)	1.47 (1.37-1.58)	1.43 (1.33-1.54)
2012	1.56 (1.45-1.68)	1.53 (1.42-1.64)	1.53 (1.42-1.64)	1.47 (1.37-1.57)	1.45 (1.35-1.56)	1.44 (1.34-1.55)
2013	1.52 (1.42-1.64)	1.49 (1.39-1.60)	1.49 (1.39-1.60)	1.45 (1.35-1.55)	1.45 (1.35-1.56)	1.42 (1.32-1.52)
2014	1.47 (1.36- 1.75)	1.45 (1.35-1.56)	1.45 (1.35-1.56)	1.41 (1.31-1.52)	1.42 (1.32-1.53)	1.39 (1.30-1.50)
2015	1.42 (1.32-1.53)	1.40 (1.30-1.51)	1.40 (1.30-1.51)	1.37 (1.27-1.47)	1.38 (1.28-1.48)	1.35 (1.26-1.46)
2016	1.07 (0.99-1.16)	1.06 (0.97-1.14)	1.06 (0.97-1.14)	1.04 (0.96-1.13)	1.05 (0.97-1.13)	1.03 (0.95-1.11)
2018	Reference	Reference	Reference	Reference	Reference	Reference
Age						
30-44		Reference	Reference	Reference	Reference	Reference
45-64		1.06 (1.03-1.10)	1.06 (1.03-1.10)	0.94 (0.91-0.97)	0.94 (0.91-0.98)	0.93 (0.90-0.96)
65-84		0.68 (0.65-0.71)	0.68 (0.65-0.71)	0.56 (0.54-0.58)	0.57 (0.55-0.59)	0.53 (0.51-0.56)
Gender						
Male			Reference	Reference	Reference	Reference
Female			1.00 (0.97-1.02)	1.02 (0.99-1.05)	1.02 (0.99-1.05)	1.01 (0.98-1.04)
Education						
Low				1.96 (1.88-2.04)	1.96 (1.88-2.04)	1.93 (1.86-2.01)
Middle				1.42 (1.36-1.48)	1.43 (1.37-1.49)	1.42 (1.36-1.49)
High				Reference	Reference	Reference

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other(s)1.53
(1.48-1.58)
Reference

AUC	0.58 (0.58-0.59)	0.60 (0.60-0.61)	0.60 (0.60-0.61)	0.64 (0.63-0.64)	0.64 (0.64-0.65)	0.65 (0.65-0.66)
Δ AUC	-	0.02	0.00	0.04	0.00	0.01

For peer review only

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1 Legend to the figures

2 **Figure 1.** Flow chart showing the selection of the study population.

3
4 **Figure 2.** Absolute risk (i.e., prevalence) and 95% confidence intervals of smoking in
5 different intersectional strata according the National Health Survey in Sweden between 2004
6 and 2018.

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Figure 1. Flow chart showing the selection of the study population.

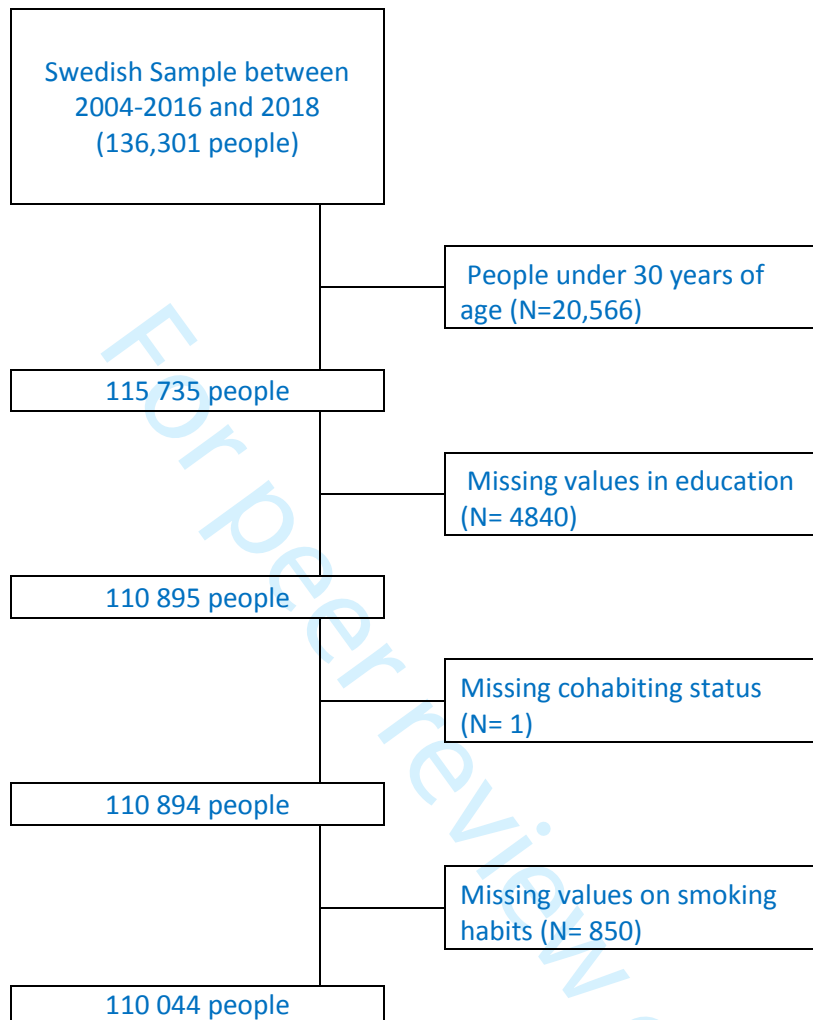
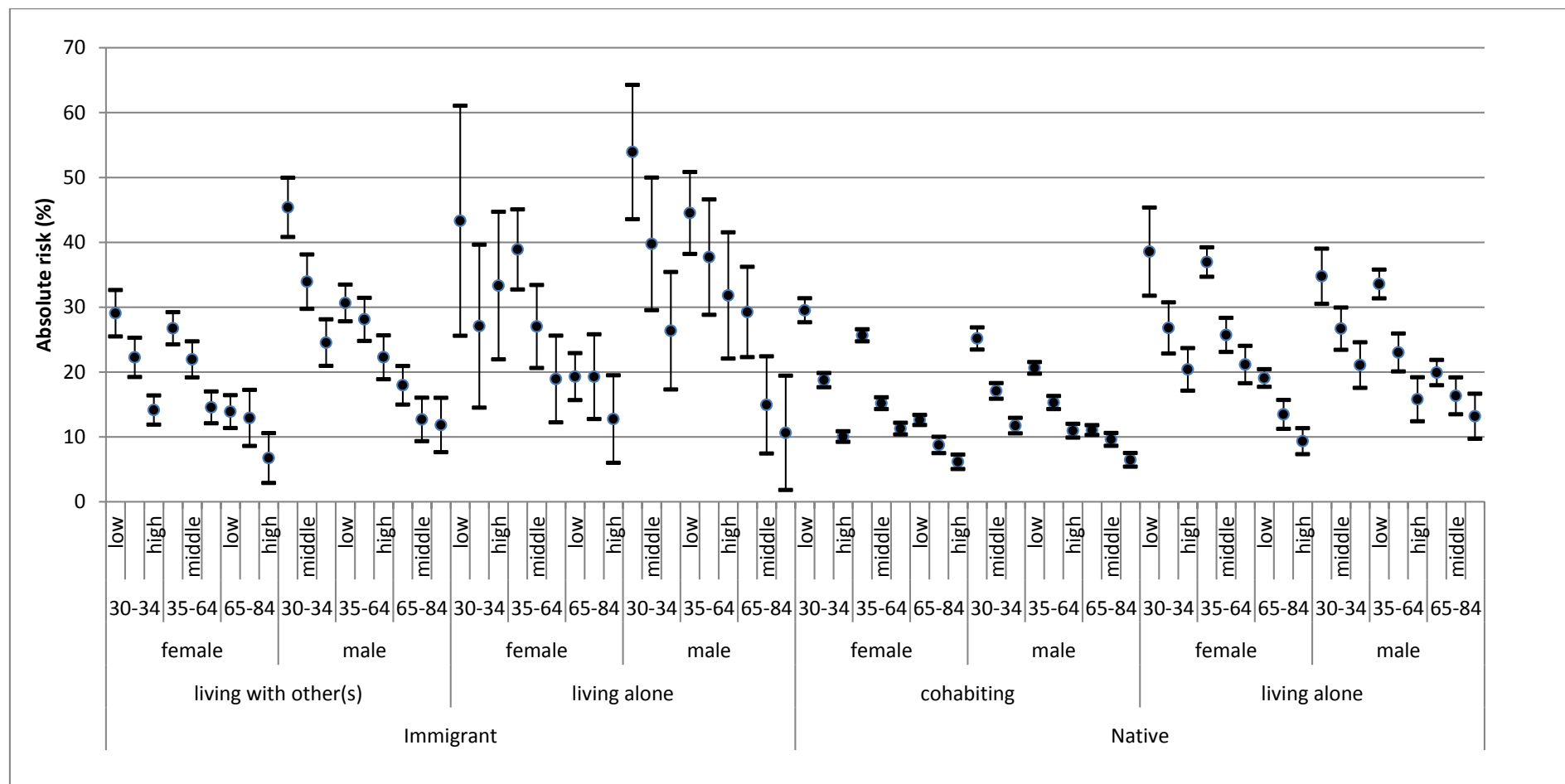


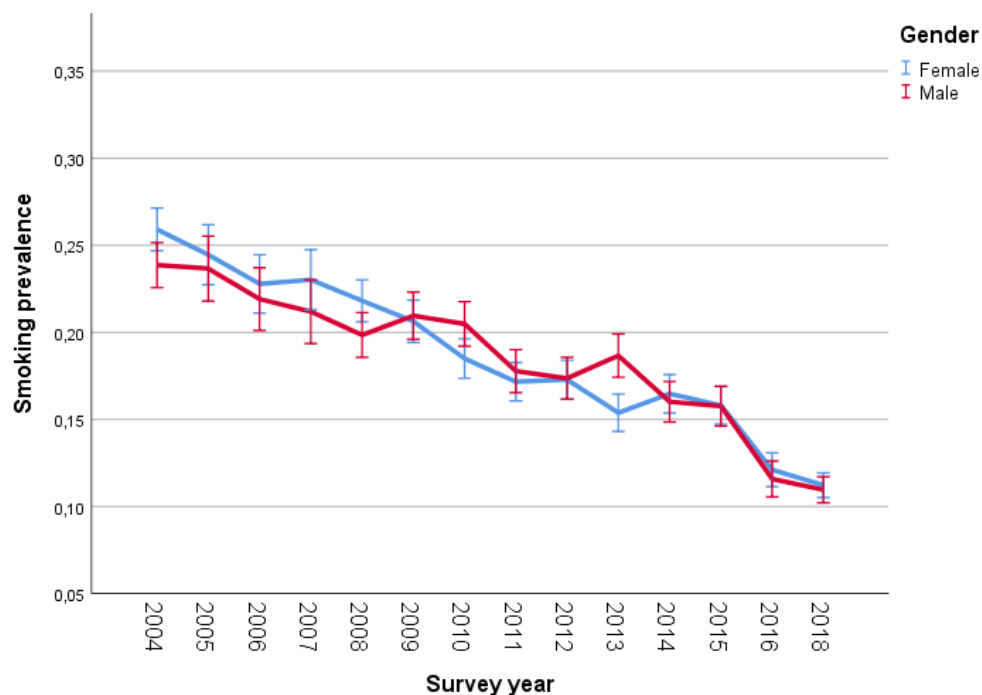
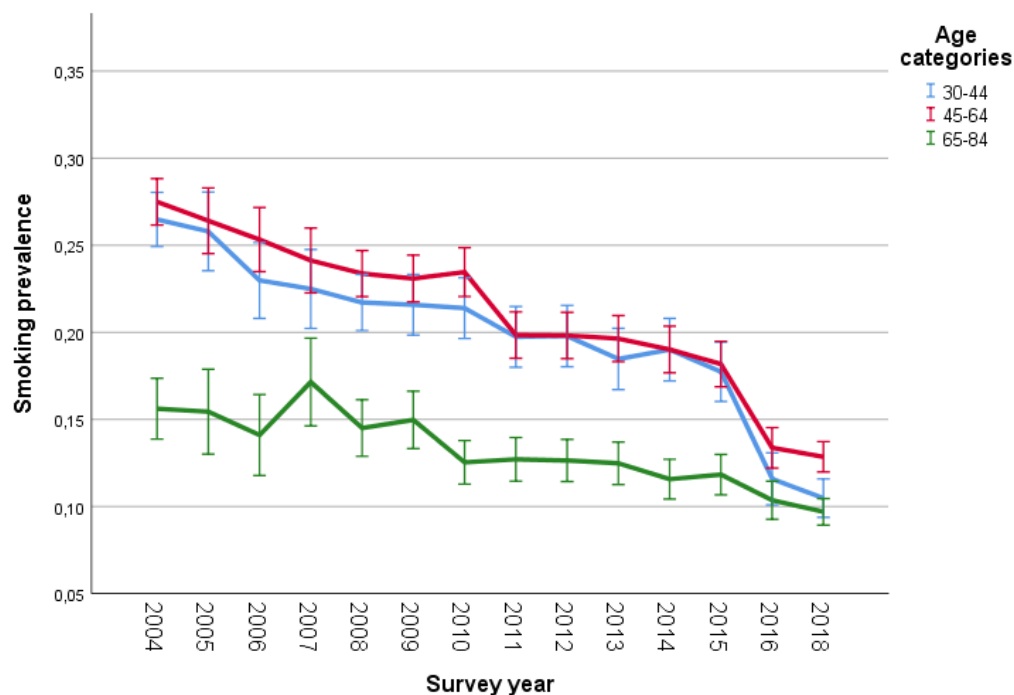
Figure 2. Absolute risk (i.e., prevalence) and 95% confidence intervals of smoking in different intersectional strata according the National Health Survey in Sweden between 2004 and 2018.

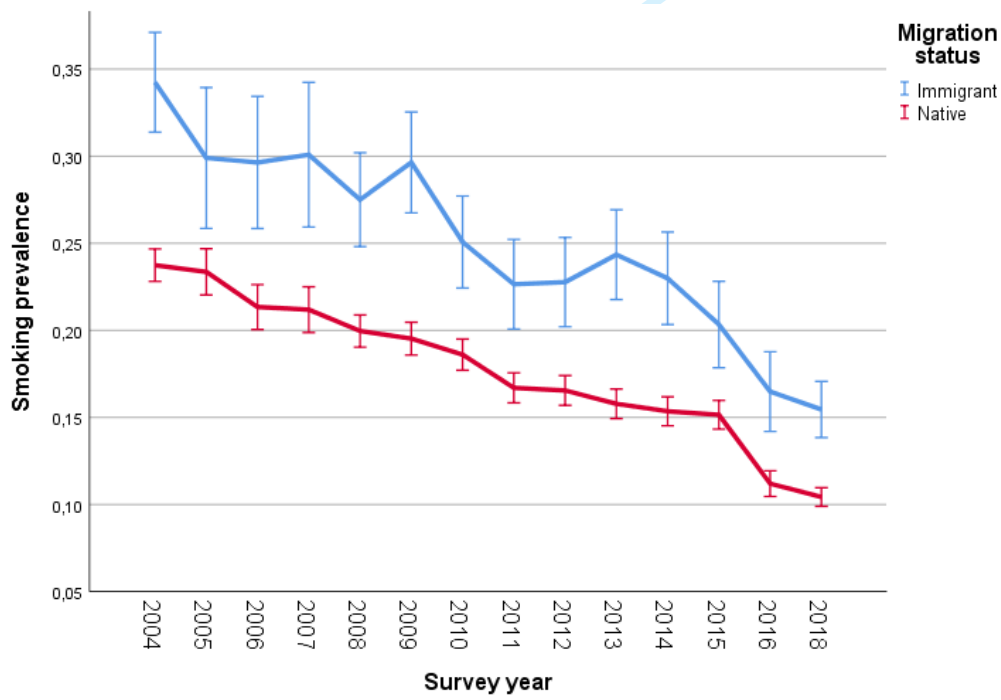
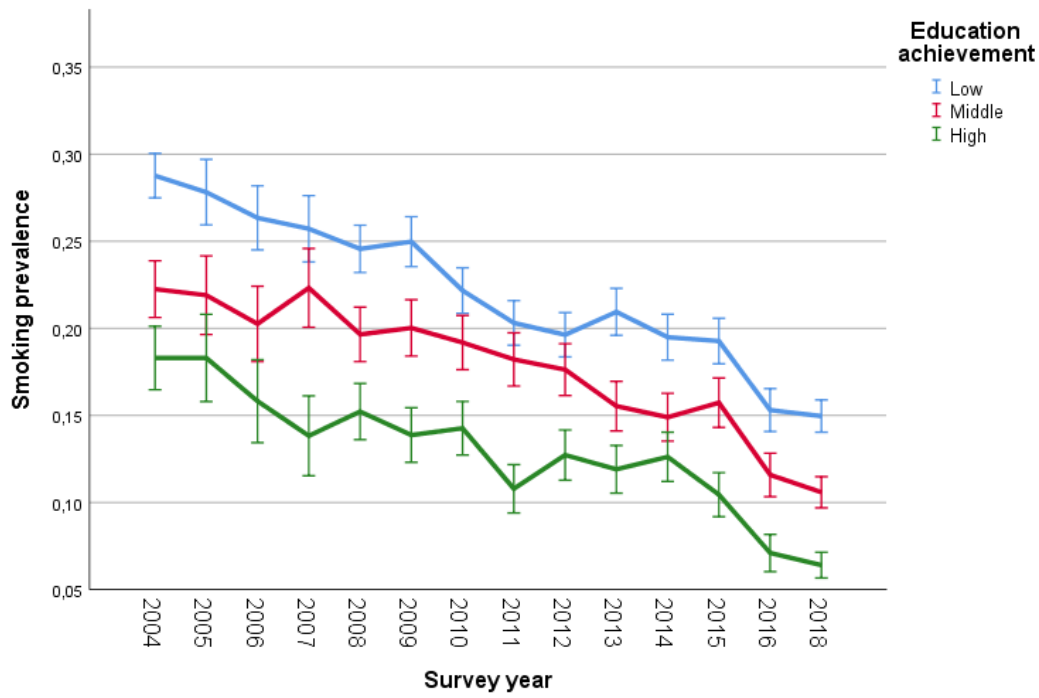


Supplementary material 1

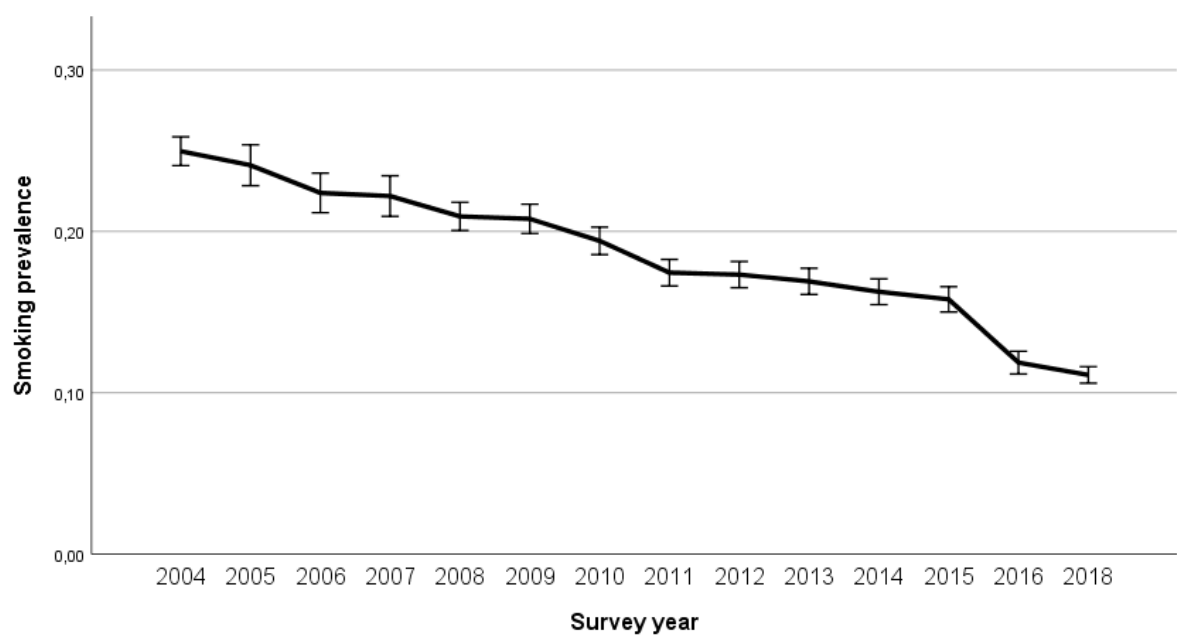
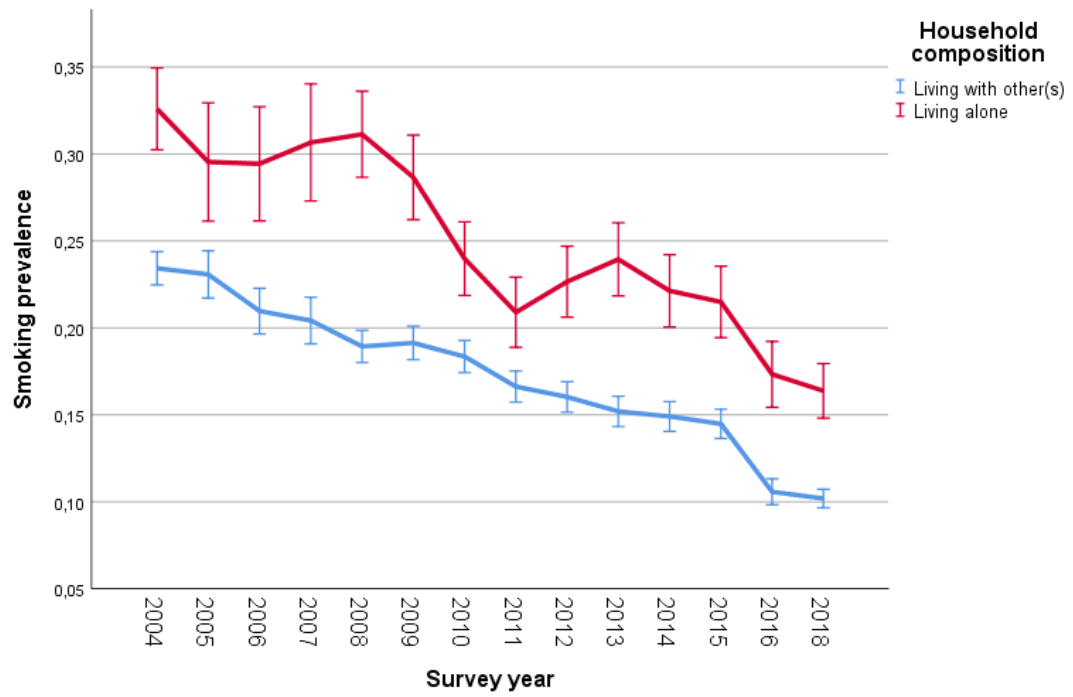
S1.

Trends in smoking prevalence in the National Health Surveys for Sweden. Categories of age, gender, educational achievement, migration status and household composition are shown with different lines and 95% confidence intervals in the respective graphs.





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Supplementary material 2

S2

Table 2, full version. Results from the intersectional model 7 indicating the Prevalence Ratios (PR) with 95% confidence intervals (CI) of smoking across intersectional strata in the Swedish population using the stratum of young, native, men with high education that were living with other(s) (LWO) as reference in the comparisons.

Age	Gender	Educational achievement	Migration status	Household composition	PR (95% CI)
30-44	Female	Low	Immigrant	LWO	2.35 (1.96-2.82)
30-44	Female	Low	Immigrant	Living alone	3.41 (1.96-5.94)
30-44	Female	Low	Native	LWO	2.24 (1.96-2.56)
30-44	Female	Low	Native	Living alone	2.95 (2.29-3.78)
30-44	Female	Middle	Immigrant	LWO	1.83 (1.51-2.21)
30-44	Female	Middle	Immigrant	Living alone	2.33 (1.34-4.05)
30-44	Female	Middle	Native	LWO	1.53 (1.35-1.73)
30-44	Female	Middle	Native	Living alone	2.2 (1.8-2.7)
30-44	Female	High	Immigrant	LWO	1.22 (0.99-1.49)
30-44	Female	High	Immigrant	Living alone	2.87 (1.86-4.42)
30-44	Female	High	Native	LWO	0.86 (0.74-0.98)
30-44	Female	High	Native	Living alone	1.72 (1.39-2.12)
30-44	Male	Low	Immigrant	LWO	3.66 (3.07-4.35)
30-44	Male	Low	Immigrant	Living alone	4.45 (3.29-6.03)
30-44	Male	Low	Native	LWO	1.92 (1.68-2.2)
30-44	Male	Low	Native	Living alone	2.67 (2.21-3.21)
30-44	Male	Middle	Immigrant	LWO	2.84 (2.36-3.43)
30-44	Male	Middle	Immigrant	Living alone	3.33 (2.35-4.71)
30-44	Male	Middle	Native	LWO	1.43 (1.25-1.63)
30-44	Male	Middle	Native	Living alone	2.21 (1.85-2.64)
30-44	Male	High	Immigrant	LWO	2.13 (1.74-2.6)
30-44	Male	High	Immigrant	Living alone	2.32 (1.53-3.5)
30-44	Male	High	Native	LWO	Reference

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2						
3	30-44	Male	High	Native	Living alone	1.75 (1.41-2.18)
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5	45-64	Female	Low	Immigrant	LWO	2.19 (1.88-2.55)
6						
7	45-64	Female	Low	Immigrant	Living alone	3.22 (2.56-4.06)
8						
9	45-64	Female	Low	Native	LWO	2.08 (1.85-2.34)
10						
11	45-64	Female	Low	Native	Living alone	2.99 (2.61-3.41)
12						
13	45-64	Female	Middle	Immigrant	LWO	1.87 (1.56-2.23)
14						
15	45-64	Female	Middle	Immigrant	Living alone	2.23 (1.66-3.01)
16						
17	45-64	Female	Middle	Native	LWO	1.29 (1.14-1.46)
18						
19	45-64	Female	Middle	Native	Living alone	2.16 (1.84-2.53)
20						
21	45-64	Female	High	Immigrant	LWO	1.27 (1.03-1.57)
22						
23	45-64	Female	High	Immigrant	Living alone	1.63 (1.08-2.45)
24						
25	45-64	Female	High	Native	LWO	0.97 (0.84-1.11)
26						
27	45-64	Female	High	Native	Living alone	1.76 (1.46-2.13)
28						
29	45-64	Male	Low	Immigrant	LWO	2.55 (2.18-2.98)
30						
31	45-64	Male	Low	Immigrant	Living alone	3.61 (2.9-4.5)
32						
33	45-64	Male	Low	Native	LWO	1.71 (1.52-1.93)
34						
35	45-64	Male	Low	Native	Living alone	2.77 (2.42-3.17)
36						
37	45-64	Male	Middle	Immigrant	LWO	2.39 (2.01-2.86)
38						
39	45-64	Male	Middle	Immigrant	Living alone	3.1 (2.26-4.26)
40						
41	45-64	Male	Middle	Native	LWO	1.28 (1.12-1.45)
42						
43	45-64	Male	Middle	Native	Living alone	1.92 (1.61-2.31)
44						
45	45-64	Male	High	Immigrant	LWO	1.91 (1.56-2.35)
46						
47	45-64	Male	High	Immigrant	Living alone	2.7 (1.84-3.98)
48						
49	45-64	Male	High	Native	LWO	0.92 (0.8-1.07)
50						
51	45-64	Male	High	Native	Living alone	1.35 (1.04-1.75)
52						
53	65-84	Female	Low	Immigrant	LWO	1.23 (0.98-1.54)
54						
55	65-84	Female	Low	Immigrant	Living alone	1.62 (1.28-2.05)
56						
57	65-84	Female	Low	Native	LWO	1.1 (0.97-1.25)
58						
59	65-84	Female	Low	Native	Living alone	1.62 (1.42-1.86)
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65-84	Female	Middle	Immigrant	LWO	1.18 (0.81-1.71)
65-84	Female	Middle	Immigrant	Living alone	1.66 (1.12-2.46)
65-84	Female	Middle	Native	LWO	0.8 (0.66-0.96)
65-84	Female	Middle	Native	Living alone	1.2 (0.97-1.48)
65-84	Female	High	Immigrant	LWO	0.61 (0.33-1.11)
65-84	Female	High	Immigrant	Living alone	1.16 (0.65-2.07)
65-84	Female	High	Native	LWO	0.55 (0.45-0.69)
65-84	Female	High	Native	Living alone	0.83 (0.64-1.06)
65-84	Male	Low	Immigrant	LWO	1.57 (1.27-1.95)
65-84	Male	Low	Immigrant	Living alone	2.49 (1.84-3.37)
65-84	Male	Low	Native	LWO	0.96 (0.84-1.1)
65-84	Male	Low	Native	Living alone	1.71 (1.47-2)
65-84	Male	Middle	Immigrant	LWO	1.12 (0.82-1.51)
65-84	Male	Middle	Immigrant	Living alone	1.29 (0.74-2.24)
65-84	Male	Middle	Native	LWO	0.85 (0.73-0.99)
65-84	Male	Middle	Native	Living alone	1.47 (1.18-1.83)
65-84	Male	High	Immigrant	LWO	1.06 (0.72-1.57)
65-84	Male	High	Immigrant	Living alone	0.91 (0.38-2.21)
65-84	Male	High	Native	LWO	0.58 (0.48-0.71)
65-84	Male	High	Native	Living alone	1.19 (0.88-1.6)

Supplementary material 3 S3

Sensitivity analysis where income tertiles were used as indicators of socioeconomic position instead of educational achievement.

Table 1. Results from the intersectional model 7 indicating the 10 highest and 10 lowest Prevalence Ratio (PR) with 95% confidence intervals (CI) of smoking across intersectional strata in the Swedish population using the stratum of young, native, men with high income that were living with other(s) (LWO) as reference in the comparisons.

Age	Gender	Income	Migration status	Civil status	RR (95% CI)
65-84	Male	High	Immigrant	LWO	0.51 (0.07-3.61)
65-84	Female	High	Immigrant	Living alone	0.64 (0.37-1.08)
65-84	Male	High	Native	Living alone	0.64 (0.55-0.74)
65-84	Female	High	Native	Living alone	0.66 (0.56-0.78)
65-84	Female	High	Native	LWO	0.71 (0.45-1.12)
65-84	Male	Middle	Native	Living alone	0.74 (0.65-0.83)
65-84	Female	Middle	Native	Living alone	0.76 (0.67-0.86)
65-84	Male	High	Immigrant	Living alone	0.77 (0.51-1.15)
65-84	Male	Low	Native	Living alone	0.87 (0.76-0.99)
65-84	Male	High	Native	LWO	0.91 (0.57-1.46)
30-44	Male	High	Native	LWO	Reference
30-44	Male	High	Immigrant	Living alone	2.37 (0.98-5.73)
45-64	Male	Low	Immigrant	LWO	2.53 (2.14-2.98)
65-84	Female	High	Immigrant	Living alone	2.58 (0.96-6.89)
30-44	Female	High	Immigrant	Living alone	2.62 (0.98-7.02)
45-64	Male	Middle	Immigrant	Living alone	2.63 (1.86-3.7)
30-44	Female	Low	Immigrant	Living alone	2.64 (1.89-3.68)
30-44	Male	Middle	Immigrant	Living alone	2.66 (1.74-4.08)
30-44	Male	Low	Immigrant	LWO	2.73 (2.32-3.2)
30-44	Male	Low	Immigrant	Living alone	2.94 (2.31-3.74)
45-64	Male	Low	Immigrant	Living alone	2.96 (2.45-3.58)
AUC					0.65
ΔAUC compared with model 6					0.01

Table 2. Prevalence ratios (PR) and 95%-confidence intervals (CI), of smoking among people aged 30-84 included in the National Health Surveys between 2004 and 2018 in relation to survey year, age, gender, income, migration- and household composition. Model 7 includes the same variables as model 6 but as a multicategorical variable, The PRs for model 7 are presented in the table 1. AUC-values with 95%CI representing the discriminatory accuracy and Δ AUC-values of the models are also presented.

	Model 1	Model 2	Model 3	Model 4	Model 5	Model 6
Year						
2004	2.25 (2.11-2.40)	2.08 (1.95-2.21)	2.08 (1.95-2.21)	1.85 (1.74-1.98)	1.88 (1.76-2.00)	1.84 (1.73-1.97)
2005	2.17 (2.01-2.35)	2.01 (1.86-1.17)	2.01 (1.95-2.21)	1.83 (1.69-1.98)	1.85 (1.71-2.00)	1.83 (1.69-1.98)
2006	2.02 (1.86-2.18)	1.87 (1.72-2.02)	1.87 (1.72-2.02)	1.70 (1.57-1.84)	1.71 (1.58-1.85)	1.68 (1.55-1.82)
2007	2.00 (1.84-2.17)	1.86 (1.71-2.01)	1.86 (1.71-2.01)	1.71 (1.57-1.85)	1.73 (1.59-1.87)	1.70 (1.56-1.84)
2008	1.89 (1.76-2.02)	1.76 (1.64-1.88)	1.76 (1.64-1.88)	1.64 (1.53-1.75)	1.65 (1.54-1.77)	1.63 (1.52-1.74)
2009	1.87 (1.75-2.01)	1.75 (1.64-1.88)	1.76 (1.64-1.88)	1.65 (1.54-1.77)	1.66 (1.55-1.78)	1.63 (1.52-1.75)
2010	1.75 (1.63-1.87)	1.70 (1.58-1.82)	1.70 (1.58-1.82)	1.61 (1.50-1.72)	1.62 (1.51-1.73)	1.59 (1.48-1.70)
2011	1.57 (1.46-1.69)	1.53 (1.43-1.64)	1.53 (1.43-1.64)	1.45 (1.35-1.56)	1.46 (1.36-1.57)	1.43 (1.33-1.54)
2012	1.56 (1.45-1.68)	1.53 (1.42-1.64)	1.53 (1.42-1.64)	1.47 (1.37-1.57)	1.47 (1.37-1.58)	1.44 (1.34-1.55)
2013	1.52 (1.42-1.64)	1.49 (1.39-1.60)	1.49 (1.39-1.60)	1.45 (1.35-1.55)	1.45 (1.35-1.56)	1.42 (1.32-1.52)
2014	1.46 (1.36-1.57)	1.45 (1.35-1.56)	1.45 (1.35-1.56)	1.41 (1.31-1.52)	1.42 (1.32-1.53)	1.39 (1.30-1.50)
2015	1.42 (1.32-1.53)	1.40 (1.30-1.51)	1.40 (1.30-1.51)	1.37 (1.27-1.47)	1.38 (1.28-1.48)	1.35 (1.26-1.46)
2016	1.07 (0.99-1.16)	1.06 (0.97-1.14)	1.06 (0.97-1.14)	1.04 (0.96-1.13)	1.05 (0.97-1.13)	1.03 (0.95-1.11)
2018	Reference	Reference	Reference	Reference	Reference	Reference
Age						
30-44		Reference	Reference	Reference	Reference	Reference
45-64		1.06 (1.03-1.10)	1.06 (1.03-1.10)	0.94 (0.91-0.97)	0.94 (0.91-0.98)	0.93 (0.90-0.96)
65-84		0.68 (0.65-0.71)	0.68 (1.86-0.71)	0.56 (0.53-0.58)	0.57 (0.55-0.59)	0.53 (0.51-0.56)
Gender						
Male			Reference	Reference	Reference	Reference
Female			1.00 (0.97-1.02)	1.02 (0.99-1.05)	1.02 (0.99-1.05)	1.01 (0.98-1.04)
Income						
Low				1.96 (1.88-2.04)	1.96 (1.88-2.04)	1.93 (1.86-2.01)
Middle				1.42 (1.36-1.48)	1.43 (1.37-1.45)	1.42 (1.36-1.49)
High				Reference	Reference	Reference

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Born in Sweden	Native					Reference	Reference
	Immigrant					1.39 (1.34-1.45)	1.39 (1.34-1.44)
Household composition	Living alone						1.53 (1.48-1.58)
	Living with other(s)						Reference
AUC		0.58 (0.58-0.59)	0.60 (0.60-0.61)	0.60 (0.60-0.61)	0.64 (0.63-0.64)	0.64 (0.64-0.64)	0.64 (0.64-0.65)
Δ AUC		-	0.02	0.00	0.04	0.00	0.01

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For peer review only

Supplementary material 4

S4. Smoking prevalence across regions of birth among 110 044 individuals responding National Health Surveys during 2004 – 2016 and 2018. Both everyday smokers and sometimes smokers are included in the proportions presented.

Region of birth	Women	Men
Sweden	17.5%	16.4%
Nordic countries	21.7%	23.0%
Europe	24.0%	27.1%
Outside Europe	16.3%	32.5%

For peer review only

STROBE Statement for manuscript entitled “Understanding the Complexity of Socioeconomic Disparities in smoking prevalence: Combining Intersectionality theory with analysis of individual heterogeneity and discriminatory accuracy (AIHDA)”

	Item No	Recommendation	
Title and abstract	1	(a) Indicate the study’s design with a commonly used term in the title or the abstract	✓ p1
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	✓ p2
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	✓ p5-7
Objectives	3	State specific objectives, including any prespecified hypotheses	✓ p7
Methods			
Study design	4	Present key elements of study design early in the paper	✓ p7-8
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	✓ p7-8
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants	✓ p8
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	✓ p8-9
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	✓ p9
Bias	9	Describe any efforts to address potential sources of bias	✓ p15-17
Study size	10	Explain how the study size was arrived at	NA
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	✓ p8-9
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	✓p9 -11
		(b) Describe any methods used to examine subgroups and interactions	✓ 11
		(c) Explain how missing data were addressed	✓p8,15
		(d) If applicable, describe analytical methods taking account of sampling strategy	NA
		(e) Describe any sensitivity analyses	✓ 14-16
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	✓ p7-8
		(b) Give reasons for non-participation at each stage	✓ p8
		(c) Consider use of a flow diagram	✓ Fig.1
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	✓, S.4
		(b) Indicate number of participants with missing data for each variable of interest	NA, excluded

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Outcome data	15*	Report numbers of outcome events or summary measures	✓ p11
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	✓ fig.2, tab2, S2
		(b) Report category boundaries when continuous variables were categorized	✓ 8
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	NA
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	✓ 15-17
Discussion			
Key results	18	Summarise key results with reference to study objectives	✓ p13-14
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	✓ p15-16
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	✓ p13-16
Generalisability	21	Discuss the generalisability (external validity) of the study results	✓ p16
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	✓ p18-19

*Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.