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Protocol for a quasi-experimental study to assess the feasibility, acceptability and costs of multiple first-lines artemisinin-based combination therapies for uncomplicated malaria in the health district of Kaya, Burkina Faso.

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3 1 **Protocol for a quasi-experimental study to assess the feasibility, acceptability and**
4 **costs of multiple first-lines artemisinin-based combination therapies for**
5 **uncomplicated malaria in the health district of Kaya, Burkina Faso.**
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3 26 **1. Abstract:**
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6 27 Introduction
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8 28 A simultaneous deployment of multiple first-line therapies (MFT) for uncomplicated malaria
9 29 using artemisinin-based combination therapies (ACTs), as demonstrated by mathematical
10 30 models, may extend the useful therapeutic life of the current ACTs. This is possible by
11 31 reducing drug pressure and slowing the spread of resistance without putting patients' life at
12 32 risk. We hypothesized that a simultaneous deployment of three different ACTs, is feasible,
13 33 acceptable and can achieve high coverage rate if potential barriers are well identified and
14 34 well addressed.
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20 35 Methods and analysis
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22 36 We plan to conduct a quasi-experimental study in the health district of Kaya, in Burkina Faso.
23 37 We will be investigating a simultaneous deployment of three ACTs, Artemether-Lumefantrin,
24 38 Pyronaridine-Artesunate, Dihydroartemisinin-Piperaquine, targeting three segments of the
25 39 population: Pregnant women, children under five and individuals of five years old and above.
26 40 The study will be rolled out through four overlapping phases: formative phase, the MFT
27 41 deployment phase, the monitoring and evaluation phase and the post-evaluation phase. The
28 42 formative phase will help to generate baseline information and to develop MFT deployment
29 43 tools. It will be followed by the the MFT deployment in the catchment area. The monitoring
30 44 and evaluation phase will start in parallel with the deployment of MFT. Cross-sectional
31 45 surveys using desk review, qualitative and quantitative research methods will be used to
32 46 assess study outcomes. A statistical analysis plan will be written and agreed according to the
33 47 principal features stated in the protocol before the closure of the databases for statistical
34 48 analysis. Content analysis will be used for the qualitative data.
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43 49 Ethics and dissemination
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45 50 The ethics committee for health research in Burkina Faso approved the study (Clearance
46 51 N°2018-8-113). Study findings will be disseminated through feedback meetings with local
47 52 communities, national workshop, oral presentations at congresses, seminars and publication
48 53 in peer-reviewed scientific journals.
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54 55 **ClinicalTrials.gov Identifier:** NCT04265573
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3 58 **2. Strengths and limitations of this study**
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- 6 59 - Theoretical models have shown that simultaneous deployment of ACTs for
7 60 uncomplicated malaria case management may extend the useful therapeutic
8 61 life of the current ACTs by reducing drug pressure and slowing the spread of
9 62 resistance without putting lives at risk.
10
11 62
12 63 - This quasi-experimental study aims at assessing the feasibility, the
13 64 acceptability and the cost of MFT strategy deploying three ACTs targeting
14 65 three segments of the population for managing uncomplicated malaria.
15 66
16 66 - This study will provide shreds of evidence for policymakers to facilitate the
17 67 rapid uptake of the strategy aiming at preventing the emergence and the
18 67 spread of *P. falciparum* resistance to the ACTs in malaria-endemic areas.
19 68
20 68
21 69 - The study will not look at the changes in molecular markers of resistance of
22 69 ACTs before and after the deployment of the MFT.
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86 3. Introduction

87 Despite consolidated control efforts, malaria still remains the leading cause of morbidity and
88 mortality in Burkina Faso. The transmission is intense and perennial but peaks during the
89 rainy season. In 2016, a total of 9,362,608 uncomplicated malaria cases, 423,214 severe
90 malaria cases and 3,974 malaria imputable deaths were recorded in all health facilities in
91 Burkina Faso. Malaria was then responsible for 45% and 31% of outpatients visits at the
92 peripheral health facilities and at medical centres/hospitals level respectively. At the
93 peripheral health facilities, 60.4% of all-causes hospital admission and 40% of all-causes
94 deaths were associated with malaria.(1) The main malaria vectors are *Anopheles gambiae*,
95 *Anopheles arabiensis* and *Anopheles funestus*.(2)

96 Malaria control strategy in Burkina relies on: i) an integrated approach combining vector
97 control tools, ii) chemoprevention in vulnerable groups (intermittent preventive treatment
98 during pregnancy (IPTp) and the seasonal malaria chemoprevention (SMC) in children less
99 than five years of age); and iii) prompt and adequate malaria case management both at
100 community (CCMm) and health facility levels. (2)

101 One of the main threats to malaria control and elimination is the emergence and the spread
102 of artemisinin-resistant *P. falciparum* parasites. Artemisinin resistance was first reported in
103 Western Cambodia characterised by slow parasite clearance,(3,4) increased artemisinin-
104 based combination therapies (ACTs) treatment failure rates,(5) and recently linked with point
105 mutations in the “propeller” region of a *P. falciparum* Kelch protein.(6) Artemisinin resistance
106 has since spread, emerged independently, or both in other areas of mainland Southeast Asia
107 (7–12). To address this real threat, different approaches have been proposed, or are being
108 developed such as the research and development of new antimalarial agents,(13) the
109 concept of triple combination therapy in which two slowly eliminated partner drugs are
110 combined with an artemisinin derivative,(14) the deployment of multiple first-line therapies
111 (MFT) containing drugs with different or opposing selection pressures.(15) Although current
112 ACTs still remain effective across sub-Saharan African countries, there is a need to promote
113 new strategies optimising their use when slow progress is made in the development of new
114 alternatives drugs.

115 In this study, we will evaluate to what extent the MFT strategy is feasible and acceptable.
116 We will also document how much it will cost to the health system to deploy such strategy.

117

118 4. Methods and analysis

119 4.1 Study site

120 The study will be conducted in the health district of Kaya. A research platform, the Kaya
121 Health and Demographic Surveillance System (Kaya-HDSS) has been established in this
122 district since 2007 by the “Institut de Recherche en Sciences de la Santé” (IRSS) team. The
123 district is located in the north-central region, 100 km from Ouagadougou, the capital of
124 Burkina Faso. It covers four municipalities, including one urban and three rural with an
125 estimated population of 365,585 inhabitants in 2016. (1) The district has forty (40) first-level
126 public health facilities including 39 primary healthcare centres and one medical centre with a
127 surgery unit. In addition, there are four private and confessionnal health facilities. The health
128 district has the regional hospital of Kaya, which is the referral hospital for the north-central
129 region. In 2016, the number of uncomplicated malaria cases recorded in the district was
130 150,796. The incidences of malaria in 2016 were 1.1 per person-year and 0.4 per person-
131 year, respectively, in children less than five years of age and in the total population. The
132 number of malaria cases treated with ACTs was 149,256 in the same year. (1)

133 In the district, healthcare is provided by the primary health centres, the medical centre and
134 the regional hospital centre. Outpatient and inpatient services, vaccination services, child
135 healthcare and antenatal care services are available 24 hours per day and 7 days per week.
136 All health centres maintain a drug store where generic drugs are available. A free health care
137 policy is in place in Burkina Faso since April 2016, covering children under five years of age
138 and pregnant women.

139 As per World Health Organisation (WHO) recommendation, all cases of clinical malaria in
140 Burkina Faso should be biologically confirmed with a malaria rapid diagnostic test (mRDT) or
141 microscopy, when available, before any treatment. As per National Malaria Control
142 programme guidelines, Artesunate-Amodiaquine or Artemether-Lumefantrin or
143 Dihydroartemisinin-Piperaquine are the recommended first-line drugs for the treatment of
144 uncomplicated malaria.(16)

145 4.2 Study design

147 This is a quasi-experimental study aiming at assessing the feasibility, acceptability and the
148 costs of an MFT programme for uncomplicated malaria. The programme will be rolled out
149 through four overlapping phases: the formative phase, the MFT deployment phase, the
150 monitoring and evaluation phase and the post-evaluation phase as illustrated in **figure 1**. A
151 mixed-method, desk reviews, quantitative and qualitative surveys will be conducted to
152 assess the programme outcomes. The programme is anticipated to last 22 months.

1
2
3 153 Three ACTs will be deployed at the health facility level. Each of them will be assigned to the
4 154 management of uncomplicated malaria in a segment of the population as follows:
5 155 Pyronaridine-Artesunate (Pyr-AS) for children under five, Artemether-Lumefantrin (AL) for
6 156 pregnant women and Dihydroartemisinin-Piperaquine (DHA-PQ) for individuals equal or more
7 157 than 5 years. All these three ACTs are registered in Burkina Faso. The community case
8 158 management of malaria will continue to be carried out following the current recommendations
9 159 of the national malaria control programme, with the AL given across all age categories
10 160 except pregnant women. Sufficient stocks of ACTs will be secured for the MFT deployment
11 161 period to avoid both stock-outs and expiring of drugs. The supply chain of the ACTs will
12 162 comply with the official drugs distribution channel illustrated in **figure 2**. No parallel supply
13 163 chain will be admitted. Any personnel involved in the implementation of the MFT programme
14 164 will be identified and provided appropriate training for his/her role and responsibilities.
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24 166 **4.3 Study objectives**

25 167 The objectives of the different phases of the programme are as follows:

26 168 - **Formative phase**

- 27 169 • General objective

28 170 To generate baseline information and develop intervention tools for the MFT
29 171 programme for uncomplicated malaria.

- 30 172 • Specific objectives

- 31 173 ○ To consolidate the programme working group;
- 32 174 ○ To assess the perceptions and expectations of the Health system's key
33 175 stakeholders and the community members about the MFT programme;
- 34 176 ○ To document any perceived or existing obstacles/threats to the
35 177 implementation of the MFT programme;
- 36 178 ○ To assess the treatment-seeking behaviour for febrile episodes
37 179 /malaria;
- 38 180 ○ To assess the morbidity and the mortality related to febrile
39 181 episodes/malaria;
- 40 182 ○ To develop a training manual, promotional and educational tools for
41 183 optimising the implementation and uptake of the programme;
- 42 184 ○ To develop tools for the monitoring and evaluation of the MFT
43 185 programme implementation.

44 186 45 187 - **MFT deployment phase**

- 46 188 • General objective

1
2
3 189 To implement the MFT for uncomplicated malaria that is feasible, acceptable
4 190 and can achieve a high coverage rate.

5 191 • Specific objectives

- 6 192 ○ To train and sensitise the key stakeholders/implementers of the MFT
7 193 programme;
- 8 194 ○ To ensure continuous availability of study medicines delivered through
9 195 the official drug supply chain system in Burkina Faso;
- 10 196 ○ To promote adherence to the MFT programme within the study
11 197 communities.

12 198
13 199 - **Monitoring and evaluation phase**

14 200 • General objective

15 201 To assess the feasibility, the acceptability, the costs and the effects of the
16 202 MFT programme for the management of uncomplicated malaria cases.

17 203 • Specific objectives

- 18 204 ○ To ensure continuous monitoring of the MFT deployment progress;
- 19 205 ○ To assess the implementation of the MFT for uncomplicated malaria;
- 20 206 ○ To determine the coverage of febrile episodes/malaria promptly and
21 207 appropriately managed by health workers;
- 22 208 ○ To determine the adherence of caregivers/febrile patients to ACT
23 209 treatment regimen;
- 24 210 ○ To assess the acceptability of the MFT deployment strategy;
- 25 211 ○ To determine the costs for the implementation of the MFT programme
26 212 at district level;
- 27 213 ○ To assess the quality of care provided / performance of health workers;
- 28 214 ○ To assess the impact of the MFT programme on the morbidity and
29 215 mortality related to febrile episodes/malaria;
- 30 216 ○ To assess the effects of the MFT programme on treatment-seeking
31 217 behaviour for fever episodes/malaria.

32 218
33 219 **4.4 Study outcomes**

34 220 The study outcomes, the methods of assessment, the time-points for assessment, the
35 221 targeted populations and the data collections tools are summarised in **table 1** (Supplemental
36 222 materials).

37 223
38 224 **4.5 Sampling strategies**

225 **Quantitative surveys**

226 The true prevalence of fever episode/malaria at the community level in the programme
227 settings and in the context of seasonal malaria chemoprevention is not well known.
228 Considering a prevalence ranging between 30% and 60% during the high transmission
229 season of malaria before the deployment of the MFT programme and a modest reduction of
230 10% during the deployment of the MFTs, a sample size of 450 in each survey group (children
231 under five, children 5-15 years of age and 16-40 years of age) will be needed, with a
232 confidence level of 95% and a desired power of 80% and an imponderable rate of 10%.
233 There is no formal sample size calculation for pregnant women. These will be recruited
234 exhaustively as long as the fieldworkers identify them during the surveys at the community
235 level. We will not perform any pregnancy testing at home. Pregnant women will be enrolled
236 only with proof of ongoing pregnancy as documented in their antenatal visit cards.

238 **Qualitative surveys**

239 The sampling strategy for the collection of the qualitative data are summarised in **table 2**.

240 **4.6 Data collection approaches**

241 **Quantitative surveys**

242 *Household surveys*

243 A two-stage sampling process will be used. First, a sample of the targeted villages will be
244 randomly selected from the list of all the villages in the health district of Kaya. Within selected
245 villages, households will be randomly visited using a "random walk" method. Children less
246 than five years of age, pregnant women, individuals aged 5 to 15 years and individuals aged
247 16 to 40 years (adult population) will be invited to take part in the survey until the sample size
248 of the village is reached. A household is defined as a family unit where the head of the
249 household and his spouse (s) and other relatives live together and share their income.
250 A paper questionnaire will be used to collect information from caregivers of children less than
251 five years old, pregnant women, individuals, aged 5 to 15 years and 16 to 40 years.

252 *Healthcare services utilisation*

253 Information on the utilisation of health facilities by the community will be collected from the
254 health facility registers and through interviews with target populations during the household
255 surveys. The registers will provide a utilisation rate of the health facility, using as the
256 denominator the total number of individuals in the target age groups living in the study area
257 obtained from the HDSS. The interviews with target population will provide a coverage rate.
258 For example, the proportion of fever episodes or confirmed malaria cases treated by the
259 health workers according to the MFT programme. The denominator will be the total number
260 of fever episodes or confirmed malaria cases that occurred in the four weeks preceding the

1
2
3 261 household surveys or the total number of cases of fever episodes/malaria seen at the health
4 262 facility level.

6
7 263 *Update of HDSS data*

8 264 An exhaustive assessment of malaria-related morbidity and mortality will be conducted
9 265 through the updates of the HDSS and comparing data from the year before the project with
10 266 those generated during the year of deployment of the pilot MFT programme.

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14
15 268 **Qualitative surveys**

16
17 269 *In-depth interviews*

18 270 In-depth individual interviews (IDI) will be conducted with diverse respondents including
19 271 stakeholders of the Health system (Central, intermediate and peripheral level), health system
20 272 managers, health care providers and community members (key opinion leaders, pregnant
21 273 women, mothers of children under 5 years of age, and adult population). IDI will be
22 274 completed to establish the range of perceptions on the pilot MFT programme, and sources of
23 275 care and overview of the intervention. The interviews will be conducted in the local language
24 276 or in the language best-spoken by the participant and by trained social scientists using
25 277 structured interview guides

26
27
28 278 *Focus group discussion*

29 279 Focus group discussions (FGDs) will be held with household heads (male participants),
30 280 mothers (female caregivers), and adult's population, the potential beneficiaries of the care at
31 281 the health facility level and health workers (HWs) in the programme catchment area. The aim
32 282 will be to obtain data on perceptions of the pilot MFT programme and perceptions of
33 283 fever/malaria case and its management. The discussion will be conducted in the language or
34 284 the best-spoken language by the participants, using structured interview guides. The
35 285 discussions will be recorded and then transcribed and translated into English for analysis.
36 286 The FGDs will gather 8-12 participants per session.

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39 287

40 288 **Assessment of the cost to the health service of offering the MFT programme**

41 289 The time utilised by HWs will be captured by asking them (possibly a sample) to keep a time
42 290 log of their activities on the programme (diary). The value of their time will be obtained from
43 291 estimates of opportunity costs (minimum wage, the average salary of the area). The
44 292 programme accounting system will provide information on expenditures over the one and half
45 293 year of the programme. Many of the resources such as programme personnel salaries,
46 294 supplies, utilities and transport as well as mRDTs and ACTs will be considered. A visit to the

295 programme offices will serve to develop an inventory of capital goods like equipment,
296 furniture, vehicles and size of offices.

297 For the personnel not directly involved in the intervention but still providing time for the
298 programme, a questionnaire will be designed to capture their time during oral interviews at
299 their place of work (health centres, regional offices...). With regards to the various
300 preparatory activities during the start-up phase, the research team will develop and keep an
301 inventory of all the resources utilised for identifying training workshops for HWs, development
302 of IEC material and community mobilisation campaigns.

303

304 **4.7 Data management and analysis**

305 ***Quantitative data***

306 Every month, health facilities-based summary data will be collected by the field supervisors
307 using a standard data extraction form and monitoring report template. These data will be
308 shared with the district health office and a copy will be sent to the research institution. Poor
309 quality data issues and other queries will be managed regularly rather than at the end of the
310 study to maximize data completeness and quality, and the timeliness of final analysis. All the
311 data collected at both the health facility and the community level will be checked before being
312 double entered independently in the database.

313 Efforts will be made during the study to track missing data and minimize its degree as no
314 attempt will be made to impute for missing data during the final analysis. All the data entered
315 will be checked for inconsistencies and corrections will be made accordingly. A statistical
316 analysis plan will be written and agreed in accordance with the principal features stated in
317 the protocol before freezing the database for statistical analysis.

318

319 ***Qualitative data***

320 Data management will begin during data collection by transcribing and translating each
321 audio-recorded IDI and FGD and expanded notes when audio recordings are not available.
322 All transcripts will be typed into a word processing programme and stored on password-
323 protected computers. All participants will be assigned unique identification codes to facilitate
324 data tracking and no identifiable information will be collected. Data management logs may be
325 created to track and monitor data collection and transcription.

326 As soon as possible after the interviews are conducted, each transcript will be read carefully
327 by the study investigators in order to: (1) ask any question from the text that may be unclear;
328 (2) point out areas in which interviewing and transcription techniques could be improved and
329 (3) identify recurrent themes and areas for future probing.

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3 330 Data-derived codes developed through inductive coding and retrieving will be used during
4
5 331 analysis. A priori codes for retrieving text for key concepts related to the overall objectives
6
7 332 also will be applied to the data. Investigators will determine a coding frame to be used based
8
9 333 on the topic guides and the first few transcripts available for analysis. New codes will be
10
11 334 added as necessary during transcript analysis. The qualitative data software program QSR
12
13 335 NVivo, will be used to organize all qualitative data and prepare them for analysis. Procedures
14
15 336 will be put into place to check for inter-coding discrepancies. Once all the transcripts will be
16
17 337 coded, textual coding reports will be produced. Data reduction techniques will be used to
18
19 338 examine codes in detail for sub-themes and patterns across the transcripts.

18 339 **5. Ethics and dissemination**

21 340 The study protocol and informed consent documents were approved by the National Ethics
22
23 341 Committee for the Research on Health in Burkina Faso. The study will be performed in
24
25 342 accordance with the ethical principles stated in the Declaration of Helsinki 1964, as revised in
26
27 343 Fortaleza in 2013.

28 344 All participants in the different surveys of the formative and evaluation phases will be asked
29
30 345 to voluntarily give written informed consent before any study-specific data collection. A copy
31
32 346 of the informed consent form will be shared with each participant. Participant's full name will
33
34 347 not be written on any data collection instruments and an identification codes will be assigned
35
36 348 to each participant. Participants names will not be written in the transcripts of the interviews.
37
38 349 Unique identification numbers will also be assigned to each participant during data analysis
39
40 350 activities. All electronic files will be password protected and stored on password-protected
41
42 351 computers. The copies of all the informed consent forms will be stored securely in a locked
43
44 352 cabinet at the research institution offices, separately from study questionnaires or interview
45
46 353 transcripts. Names will not be included in any formal or informal presentation and in any
47
48 354 manuscript.

46 355 There are few anticipated risks associated with participation in the different surveys. Some
47
48 356 participants may feel uncomfortable or embarrassed when asked questions about their
49
50 357 current practices or their attitudes towards malaria case management. The research staff will
51
52 358 make all the effort to protect individual participants' privacy and confidentiality. There are no
53
54 359 direct benefits to participants from taking part in the various surveys. However, the
55
56 360 information provided by the participants will be immediately used to inform the design of MFT
57
58 361 pilot programme and later will be useful to generate evidence to support decision-makers on
59
60 362 the adoption of the MFT strategy for uncomplicated malaria.

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3 363 Any protocol's amendment will be agreed upon between the investigators and MMV project
4 364 management team in the form of a written amendment. Amended protocols will then be
5 365 submitted for ethical clearance.
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8 366 The findings of this study will be disseminated through feedback meetings for reporting to
9 367 local communities, national workshop with researchers, policy-makers, and oral
10 368 presentations at national and international congresses, conferences, and seminars. We will
11 369 also submitted the results to peer-reviewed scientific journals for publications.
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16 370 **6. Patient and public involvement**

17
18 371 Study participants were not involved in the development of the research question, outcome
19 372 measures, and in the design of the study. They will not be also involved in the recruitment
20 373 procedures. Data will be collected from households, study participants both at the community
21 374 level and at the health facility level. Households in the study area will be randomly selected
22 375 and potential subjects living within the selected households and who meet the eligibility
23 376 criteria will be invited to participate in the study after obtaining informed consent. Study
24 377 results will be disseminated to the study populations through feedback meetings with the
25 378 communities' leaders and representatives.
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32 379 **7. Discussion**

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34
35 380 The MFT programme has not yet been implemented in a structured way in sub-Saharan
36 381 Africa. This study will be among the first to generate evidence of the feasibility, acceptability
37 382 and cost of MFT strategy.
38
39

40 383 The MFT is one of the potential strategy that could effectively mitigate the enormous threat in
41 384 the fighth against malaria which is the emergence and spread of artemisinin resistance.
42 385 Indeed, the loss of artemisinin effectiveness would likely result in the loss of all ACTs.
43 386 Therefore, there is urgent need to identify and to implement in sub-Saharan Africa a better
44 387 way to optimise the use of these ACTs that could provide a much higher long-term barrier to
45 388 the emergence and/or the spread of resistance and thus protecting them. Theoretical models
46 389 showed that the simultaneous deployment of MFT for uncomplicated malaria is a promising
47 390 strategy to extend the useful therapeutic life of the current ACTs by reducing drug pressure
48 391 and slowing the spread of resistance without putting lives at risk. The development of
49 392 feasible strategies for implementing MFT is therefore recommended.(17,18) Treating half of
50 393 the population with one ACT and the other half with a different ACT would reduce the fitness
51 394 of resistant parasites approximately as well as treating half as many patients.(19) Therefore,
52 395 there is an advantage in deploying two or more ACTs. There are several scenarios for
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3 396 implementing MFT strategy depending on the target: i) the partition of the ACTs market by
4 397 segments of the same population: paediatric patients, pregnant women, adults patients, ii)
5 398 the distribution of one ACT for home-based care and use a different ACT in the clinic, iii) the
6 399 mosaic distribution of ACTs i.e. an alternating distribution of different ACTs in the same
7 400 population over a given period of time... (20)

11 401 The deployment of the MFT, whatever the strategy, would present several challenges
12 402 including planning for the right distribution channel of the ACTs, the management of the
13 403 logistics, the compensation of the higher costs of some ACTs, the adjustment of health
14 404 system delivery due to a lower preference for some drugs. Findings from this research are
15 405 pivotal to inform stakeholders on the future of this strategy.

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3 478 **9. Acknowledgements:**
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15 484 **10. Authors' contributions**
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17 485 MS, AMT, IS and SBS conceived the study. MS, AMT, IS, ABT and SBS developed the
18 486 study protocol. YN, AKK, NB, AH, YS, SK participated in the finalization of the protocol. MS
19 487 wrote the first draft of the manuscript. MS, AMT, IS, JMTK, YN, DH, AKK, NB, AB, AH, FD,
20 488 YS, SK, ABT, SBS critically reviewed the manuscript. MS, AMT, IS, JMTK, YN, DH, AKK,
21 489 NB, AB, AH, FD, YS, SK, ABT, SBS approved the final version of the manuscript.
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32 492 **12. Competing interests' statement:** None declared.
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36 494 **13. Ethics approval:** Comité d'éthique pour la recherche en santé (CERS), Burkina Faso
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41 496 **14. Provenance and peer review:** Not commissioned, externally peer reviewed.
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Figures and tables

Table 2: Sampling strategy

Target group	Data Collection methods and sample size	Sampling strategy
The national malaria control programme	3 IDI	All personnel with a key role in malaria case management strategy delivery
Central essential drugs store (CAMEG)	3 IDI	All personnel with a key role in ACTs ordering and inventory management
North-central health region (Head of the health region, Lead pharmacist, Responsible of the disease control service)	3 IDI	All personnel with a key role in malaria case management strategy delivery
Health district management team of Kaya (Head of the health district, Lead pharmacist Responsible for the district-level healthcare provision)	3 IDI	All personnel with a key role in malaria case management strategy delivery
Head of the local health facilities	5 IDI, 4 FGD	5 heads of the local health facilities in the health district of Kaya will be randomly selected and invited for the IDI. The 40 heads of local health facilities will be allocated randomly into for groups for FGD.
Essential drugs stores managers at health facilities level	5 IDI, 4 FGD	5 essential drugs storekeepers of the local health facilities in the health district of Kaya will be randomly selected and invited for the IDI. The 40 essential drugs storekeepers of local health facilities will be allocated randomly into for groups for FGD.
Local health workers	10 FGD	10 local health facilities in the health district of Kaya will be randomly selected and the local health workers in charge of malaria case management in pregnant women, children under five and individuals of five years old and above, will be grouped for the conduct of the FGD.
Community key opinion leaders	10 IDI, 4 FGD	10 local health facilities in the health district of Kaya will be randomly selected, and the head of the health facilities will appoint a community leader per their respective health area for the conduct of the IDI. Subsequently, 4 community leaders per 10 health facility previously selected will be identified by the head of the health facility to constitute the group for the conduct of the FGD.
Heads of household (male participants)	5 FGD	During the household surveys, villages will be selected at random within the health district of Kaya. Households will be selected at random via the random walk method, with the interval selected dependent on village size and the head of households (males) will be invited for the conduct of FGD.

Caregivers of children under five (female participants)	5 FGD	During the household surveys, villages will be selected at random within the health district of Kaya. Caregivers (mothers of children under five) will be selected at random via the random walk method, with the interval selected dependent on village size and will be invited for the conduct of FGD.
Pregnant women	5 FGD	During the household surveys, villages will be selected at random within the health district of Kaya. Pregnant women will be selected at random via the random walk method, with the interval selected dependent on village size and will be invited for the conduct of FGD.
Adult participants	5 FGD	During the household surveys, villages will be selected at random within the health district of Kaya. Adult potential participants will be selected at random via the random walk method, with the interval selected dependent on village size and will be invited for the conduct of FGD.
Total of interviews	32 IDI and 42 FGD	

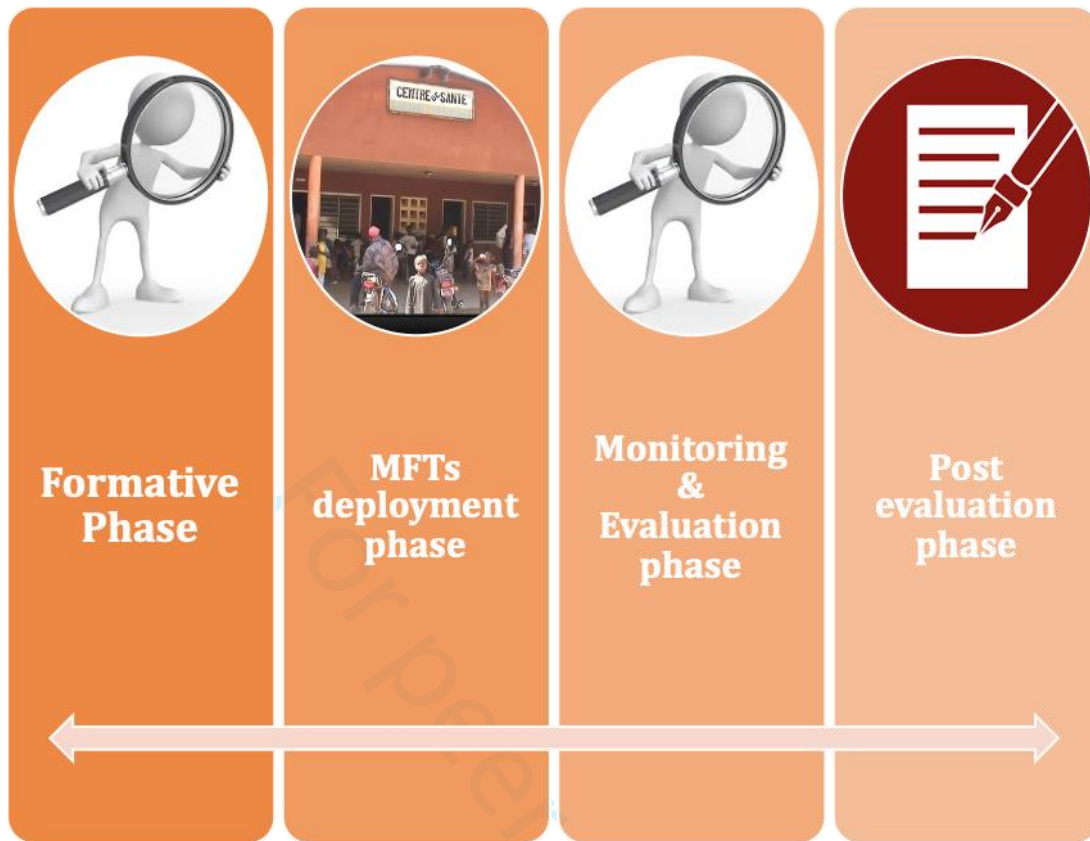
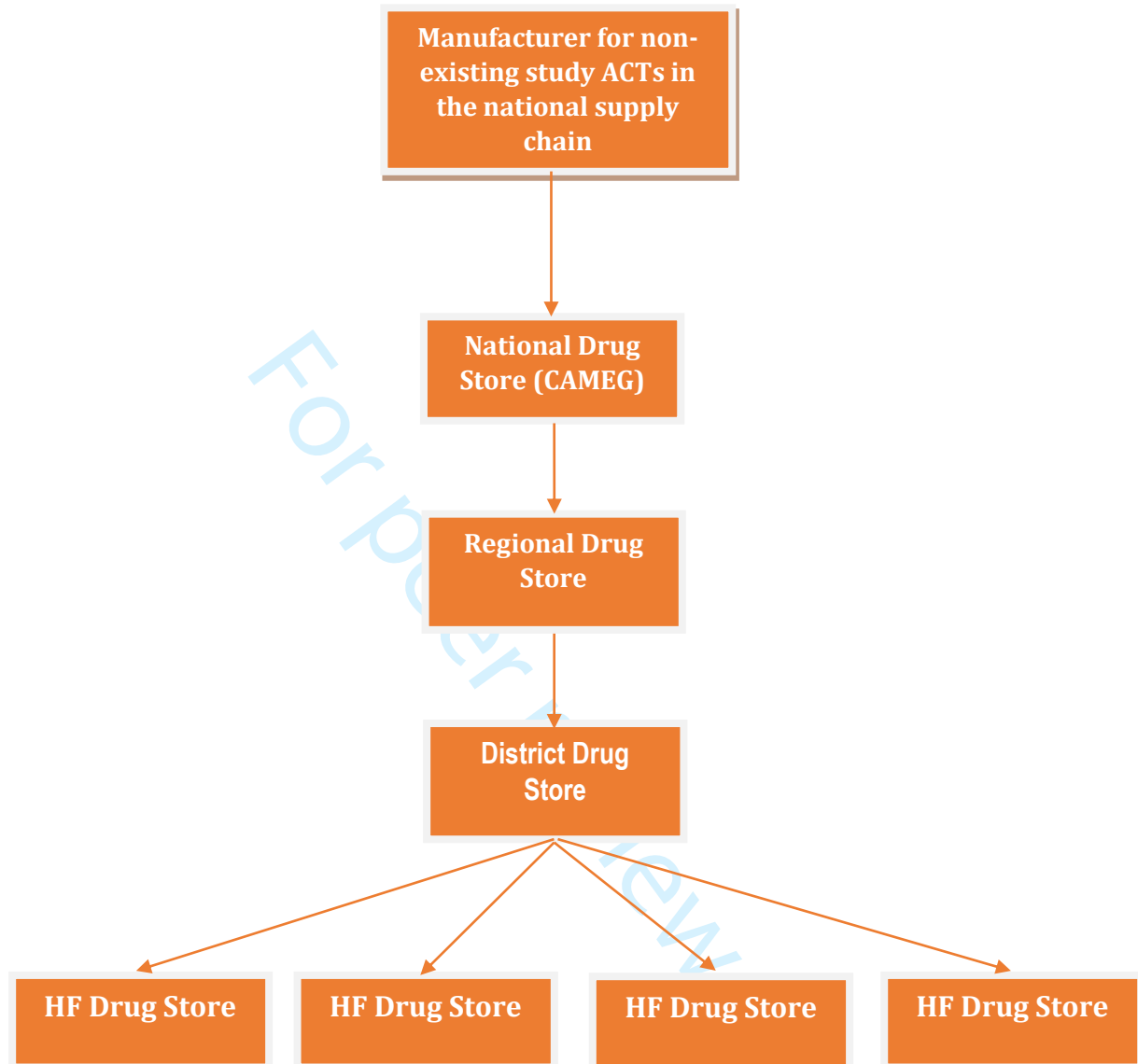


Figure 1: Design of the programme



HF: health facility

Figure 2: Artemisinin-based combination therapies flow in the framework of the MFT programme

Table 1: Outcomes to be measured during the monitoring and evaluation phase

Outcomes	Indicators	Numerator/ Denominator	Methods of assessment	Time point for assessment	Targeted populations	Data collection tools
Outcome 1: the implementation of the MFT pilot programme	- Average number of monitoring visits per health facility and drugs stores involved in the study per annum	<u>Numerator:</u> Number of monitoring visits per health facility and drugs stores involved in the study per annum <u>Denominator:</u> Number of health facility and drugs stores involved in the study	Health facility-based survey Central, regional and district-based surveys	End of MFTs deployment phase	Health facilities Drugs stores	Monitoring visit report template
	- Average number of monitoring visit on which drugs stores have stock out of any dose of study ACTs per annum	<u>Numerator:</u> Number of monitoring visit on which drugs stores have stock out of any dose of study ACTs per annum <u>Denominator:</u> Number of drugs stores involved in the study	Health facility-based survey Central, regional and district-based surveys	End of MFTs deployment phase	Drugs stores	Monitoring visit report template
	- Average number of monitoring visit on which health facilities have stock out of mRDTs per annum	<u>Numerator:</u> Number of monitoring visit on which health facilities have stock out of mRDTs per annum <u>Denominator:</u> Number of health facilities involved in the study	Health facility-based survey Central, regional and district-based surveys	End of MFTs deployment phase	Drugs stores	Monitoring visit report template
Outcome 2: The coverage of febrile episode/malaria promptly and appropriately managed by health workers according to the MFT pilot programme	- Proportion of febrile episode seen at the health facility level	<u>Numerator:</u> Number of febrile episodes taken to a health facility <u>Denominator:</u> Number of febrile episodes	Household survey	During MFTs deployment phase at peak malaria season	Caregivers, pregnant women, adults	KAP questionnaire
	- Proportion of febrile episode seen at health facility level (HFL) within 24 hours	<u>Numerator:</u> Number of febrile episodes taken to a health facility within 24h <u>Denominator:</u> Number of febrile episodes	Household survey Health facility-based survey	During MFTs deployment phase at peak malaria season	Caregivers, pregnant women, adults Registers of health facility	KAP questionnaire Data extraction forms

				End of MFTs deployment phase		
	Proportion of febrile episode seen at HFL within 24 hours and tested for parasitemia	<p><u>Numerator:</u> Number of febrile episodes seen at the health facility and tested for parasitemia</p> <p><u>Denominator:</u> Number of febrile episodes taken to a health facility</p>	Household survey Health facility-based survey	During MFTs deployment phase at peak malaria season End of MFTs deployment phase	Caregivers, pregnant women, adults Registers of health facility	KAP questionnaire Data extraction forms
	Proportion of febrile episode seen at HFL within 24 hours with positive diagnostic test who were given ACT according to the MFTs strategy	<p><u>Numerator:</u> Number of febrile episodes seen at a health facility with a positive diagnostic test and treated with ACT according to the MFTs strategy</p> <p><u>Denominator:</u> Number of febrile episodes with a positive diagnostic test</p>	Household survey Health facility-based survey	During MFTs deployment phase at peak malaria season End of MFTs deployment phase	Caregivers, pregnant women, adults Registers of health facility	KAP questionnaire Data extraction forms
	Proportion of febrile episode seen at HFL within 24 hours with a negative diagnostic test who did not receive any antimalarial.	<p><u>Numerator:</u> Number of febrile episodes seen at a health facility with a negative diagnostic test and not treated with ACT according to the MFTs strategy</p> <p><u>Denominator:</u> Number of febrile episodes with a negative diagnostic test</p>	Household survey Health facility-based survey	During MFTs deployment phase at peak malaria season End of MFTs deployment phase	Caregivers, pregnant women, adults Registers of health facility	KAP questionnaire Data extraction forms
	Proportion of febrile episode seen at HFL within 24 hours with a negative diagnostic test who received an ACT.	<p><u>Numerator:</u> Number of febrile episodes seen at a health facility with a negative diagnostic test and treated with ACT</p> <p><u>Denominator:</u> Number of febrile episodes with a negative diagnostic test</p>	Household survey Health facility-based survey	During MFTs deployment phase at peak malaria season End of MFTs deployment phase	Caregivers, pregnant women, adults Registers of health facility	KAP questionnaire Data extraction forms

<p><u>Outcome 3:</u> the adherence of caregivers/febrile patients to ACTs treatment schedule provided by health workers according to MFTs pilot programme</p>	<p>Proportion of febrile episodes treated with ACTs adhering to ACT treatment schedule (timing and doses) by HWs according to MFTs strategy</p>	<p><u>Numerator:</u> Number of febrile episodes treated with ACT provided by health workers according to MFTs strategy adhering to ACT treatment schedule (timing and dosing)</p> <p><u>Denominator:</u> Number of febrile episodes treated with ACT provided by health workers according to MFTs strategy</p>	<p>Household surveys</p>	<p>During MFTs deployment phase at peak malaria season</p>	<p>Caregivers, adults, pregnant women</p>	<p>KAP Questionnaire</p>
<p><u>Outcome 4:</u> The acceptability of the MFT pilot programme</p>	<ul style="list-style-type: none"> - Number of favourable and unfavourable opinions from various profiles of informants about the intervention, including health agents of different levels and authorities, concerning the MFTs pilot programme. - Proportion of community resources people (mothers/caregivers, health agents, heads of household (male participants) community leaders) with favourable opinions to the MFTs pilot programme and their reasons - Proportion of community resources people (mothers/caregivers, health agents, heads of household (male participants) community leaders) with unfavourable opinions to the MFTs pilot programme and their reasons 	<p><u>Numerator:</u> Exploration of selected informants' opinions</p> <p>Comparison of different opinions</p> <p><u>Denominator:</u> Caregivers, pregnant women, adults, community leaders, health workers, health authorities</p>	<p>Qualitative surveys</p> <p>Household surveys</p>	<p>During MFTs deployment phase at peak malaria season</p> <p>End of MFTs deployment phase</p>	<p>Caregivers, adults, pregnant women, key community leaders, health workers, health authorities</p>	<p>FGD, IDI guides</p> <p>KAP questionnaire</p>
<p><u>Outcome 5:</u> the cost of the MFT pilot programme</p>	<p>- Cost per additional febrile episode receiving prompt treatment or confirmed negative diagnosis</p>	<p><u>Numerator:</u> Total economic cost, cost savings</p> <p><u>Denominator:</u> Additional number of febrile episodes given ACT with a negative parasitological test within 24 hours</p>	<p>Household survey</p> <p>Health facility-based survey</p>	<p>During MFTs deployment phase at peak malaria season</p> <p>End of MFTs deployment phase</p>	<p>Caregivers, pregnant women, adults</p> <p>Health workers</p>	<p>Cost data collection forms</p> <p>KAP questionnaire</p> <p>Data extraction forms at the health facility level</p>

					Registers of health facility	
	- Cost per additional inappropriate antimalarial treatment avoided	<p><u>Numerator:</u> Total economic cost, cost savings</p> <p><u>Denominator:</u> Additional number of confirmed negative episodes not treated with an ACT</p>	<p>Household survey</p> <p>Health facility-based survey</p>	<p>During MFTs deployment phase at peak malaria season</p> <p>End of MFTs deployment phase</p>	<p>Caregivers, pregnant women, adults</p> <p>Health workers</p> <p>Registers of health facility</p>	<p>Cost data collection forms</p> <p>KAP questionnaire</p> <p>Data extraction forms at the health facility level</p>
	- Cost per additional febrile episode appropriate managed for malaria with a confirmed diagnosis	<p><u>Numerator:</u> Total economic cost, cost savings</p> <p><u>Denominator:</u> Additional number of febrile episodes treated with ACT or with a negative parasitological test within 24 hours</p>	<p>Household surveys</p> <p>Health facility-based surveys</p>	<p>During MFTs deployment phase at peak malaria season</p> <p>End of MFTs deployment phase</p>	<p>Caregivers, adults, pregnant women, health workers</p> <p>Registers of health facilities,</p>	<p>KAP Questionnaire, Cost data collection forms</p> <p>Data extraction forms at the health facility level</p>
	- Cost per capita of intervention (provider and societal perspective)	<p><u>Numerator:</u> Total economic cost-Cost savings</p> <p>Total financial cost-cost saving</p> <p><u>Denominator:</u> Population of the area</p>	<p>Household surveys</p> <p>Health facility-based surveys</p>	<p>During MFTs deployment phase at peak malaria season</p> <p>End of MFTs deployment phase</p>	<p>Caregivers, pregnant women, adults</p> <p>Health workers</p> <p>Registers of health facility</p>	<p>Cost data collection forms</p> <p>Census data collection forms</p>

	- Cost to the HWs to participate in the MFTs strategy	<u>Numerator:</u> Economic costs to HWs participating in the MFTs strategy <u>Denominator:</u> Number of HWs	Health facility-based surveys	End of MFTs deployment phase	Health workers Registers of health facility	Cost data collection forms
Outcome 6: the quality of care provided /performance of health workers	- Proportion of episode of uncomplicated fever seen by HWs tested for malaria parasitemia	<u>Numerator:</u> Number of episodes of uncomplicated fever seen by HWs tested with mRDT/blood smear <u>Denominator:</u> Number of uncomplicated fever episodes/malaria fever seen by HWs	Health facility-based surveys Household surveys	End of MFTs deployment phase During MFTs deployment phase at peak malaria season	Registers of health facilities Caregivers, adults, pregnant women, health workers	Data extraction forms KAP questionnaire
	- Proportion of uncomplicated fever episodes/malaria seen by HW, tested positive and treated with the correct dose of ACT according to MFTs pilot programme	<u>Numerator:</u> Number of uncomplicated fever episodes/Malaria seen by HWs, tested positive and treated with the correct dose of ACT according to MFTs pilot programme <u>Denominator:</u> Number of uncomplicated fever episodes/malaria seen by HWs and tested positive.	Health facility-based surveys Household surveys	End of MFTs deployment phase During MFTs deployment phase at peak malaria season	Registers of health facilities Caregivers, adults, pregnant women, health workers	Data extraction forms KAP questionnaire
	- Proportion of febrile episodes treated with ACTs according to MFTs pilot programme by HWs provided with appropriate dosing advice	<u>Numerator:</u> Number of uncomplicated febrile episodes/malaria treated with ACTs according to MFTs approach by HWs provided with appropriate dosing advice <u>Denominator:</u> Number of uncomplicated febrile episodes/malaria treated with ACTs according to MFTs pilot programme by HWs	Health facility-based surveys Household surveys	End of MFTs deployment phase During MFTs deployment phase at peak malaria season	Registers of health facilities Caregivers, adults, pregnant women, health workers	Data extraction forms KAP questionnaire

	Proportion of uncomplicated febrile episodes/malaria seen by HWs provided with advice on danger signs	<p><u>Numerator:</u> Number of uncomplicated febrile episodes/malaria seen by HWs provided with advice on danger signs</p> <p><u>Denominator:</u> Number of uncomplicated febrile episodes/malaria seen by HWs</p>	Health facility-based surveys	End of MFTs deployment phase	Registers of health facilities	Data extraction forms
			Household surveys	During MFTs deployment phase at peak malaria season	Caregivers, adults, pregnant women, health workers	KAP questionnaire
<u>Outcome 7:</u> the impact of MFT pilot programme on malaria incidence	Incidence of uncomplicated febrile episode/malaria within 4 weeks preceding the survey, before and during the MFTs deployment	<p><u>Numerator:</u> Number of uncomplicated febrile episode/malaria within 4 weeks preceding the survey, before and during the MFTs deployment</p> <p><u>Denominator:</u> Number of persons per segments of the population</p>	Household surveys,	MFTs deployment phase at the peak of the malaria season	Caregivers, adults, pregnant women	KAP Questionnaire,
	Proportion of uncomplicated febrile episode/malaria seen at health facility level before and during the MFTs deployment in the study area	<p><u>Numerator:</u> Number of uncomplicated febrile episodes/malaria seen by HWs at HFL during study periods (before and during the MFTs deployment) per segments of the population</p> <p><u>Denominator:</u> Number of person-years per segments of the population during the study periods</p>	Health facility level	End of MFTs deployment phase	Registers of health facilities	Data extraction forms
<u>Outcome 8:</u> the impact of the MFT pilot programme on malaria mortality	Mortality rate related to febrile episode/malaria before and during the pilot MFTs deployment	<p><u>Numerator:</u> Number of malaria-associated deaths before and during the pilot MFTs deployment phase per segments of the population</p> <p><u>Denominator:</u> Number of person-years per segments of the population</p>	Household surveys,	End of MFTs deployment phase	Caregivers, adults, pregnant women	HDSS mortality data collection forms
			Health facility level		Registers of health facilities	Data extraction forms
<u>Outcome 9:</u> the effect of MFT pilot programme on	Proportion of individuals with fever in the last four weeks for whom advice or treatment was sought	<u>Numerator:</u> Number of individuals with fever in the last four weeks for whom advice or treatment was sought	Household surveys	MFTs deployment phase at the	Caregivers, adults,	KAP Questionnaire

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treatment-seeking behaviour for fever episodes/malaria		<u>Denominator: Number of individuals with fever in the last four weeks</u>		peak of the malaria season	pregnant women	
	Proportion of individuals with fever in the last four week who sought treatment at HFL within 24 hours	<u>Numerator: Number of individuals with fever in the last four weeks who sought treatment at HFL within 24 hours</u> <u>Denominator: Number of individuals with fever in the last four weeks</u>	Household surveys	MFTs deployment phase at the peak of the malaria season	Caregivers, adults, pregnant women	KAP Questionnaire
	Source of advice or care for those suffering from fever in the last four weeks	<u>Numerator: Number per type of source of advice or care for those suffering from fever in the last four weeks</u> <u>Denominator: Number of individual suffering from fever in the last four weeks who sought advice or care</u>	Household surveys	MFTs deployment phase at the peak of the malaria season	Caregivers, adults, pregnant women	KAP Questionnaire

STROBE Statement—Checklist of items that should be included in reports of *cross-sectional studies*

	Item No	Recommendation
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract (b) Provide in the abstract an informative and balanced summary of what was done and what was found
Introduction		
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported
Objectives	3	State specific objectives, including any prespecified hypotheses
Methods		
Study design	4	Present key elements of study design early in the paper
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group
Bias	9	Describe any efforts to address potential sources of bias
Study size	10	Explain how the study size was arrived at
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding (b) Describe any methods used to examine subgroups and interactions (c) Explain how missing data were addressed (d) If applicable, describe analytical methods taking account of sampling strategy (e) Describe any sensitivity analyses
Results		
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed (b) Give reasons for non-participation at each stage (c) Consider use of a flow diagram
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders (b) Indicate number of participants with missing data for each variable of interest
Outcome data	15*	Report numbers of outcome events or summary measures
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included (b) Report category boundaries when continuous variables were categorized (c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses

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Discussion		
Key results	18	Summarise key results with reference to study objectives
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence
Generalisability	21	Discuss the generalisability (external validity) of the study results
Other information		
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based

*Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.

BMJ Open

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3 1 **Protocol for a quasi-experimental study to assess the feasibility, acceptability and**
4 **costs of multiple first-lines artemisinin-based combination therapies for**
5 **uncomplicated malaria in the health district of Kaya, Burkina Faso.**
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1
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3 26 **1. Abstract:**
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6 27 Introduction
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8 28 A simultaneous deployment of multiple first-line therapies (MFT) for uncomplicated malaria
9
10 29 using artemisinin-based combination therapies (ACTs), as demonstrated by mathematical
11
12 30 models, may extend the useful therapeutic life of the current ACTs. This is possible by
13
14 31 reducing drug pressure and slowing the spread of resistance without putting patients' life at
15
16 32 risk. We hypothesized that a simultaneous deployment of three different ACTs, is feasible,
17
18 33 acceptable and can achieve high coverage rate if potential barriers are well identified and
19
20 34 well addressed.

21 35 Methods and analysis

22 36 We plan to conduct a quasi-experimental study in the health district of Kaya, in Burkina Faso.
23
24 37 We will be investigating a simultaneous deployment of three ACTs, Artemether-Lumefantrin,
25
26 38 Pyronaridine-Artesunate, Dihydroartemisinin-Piperaquine, targeting three segments of the
27
28 39 population: Pregnant women, children under five and individuals of five years old and above.
29
30 40 The study will be rolled out through four overlapping phases: formative phase, the MFT
31
32 41 deployment phase, the monitoring and evaluation phase and the post-evaluation phase. The
33
34 42 formative phase will help to generate baseline information and to develop MFT deployment
35
36 43 tools. It will be followed by the the MFT deployment in the catchment area. The monitoring
37
38 44 and evaluation phase will start in parallel with the deployment of MFT. Cross-sectional
39
40 45 surveys using desk review, qualitative and quantitative research methods will be used to
41
42 46 assess study outcomes. Univariate, bivariate and multivariate analysis including logistic
43
44 47 regression and interrupted time series analysis approach will be used to assess quantitative
45
46 48 study outcomes. Content analysis will be used for the qualitative data.

43 49 Ethics and dissemination

44
45 50 The ethics committee for health research in Burkina Faso approved the study (Clearance
46
47 51 N°2018-8-113). Study findings will be disseminated through feedback meetings with local
48
49 52 communities, national workshop, oral presentations at congresses, seminars and publication
50
51 53 in peer-reviewed scientific journals.

52 54

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55 55 **ClinicalTrials.gov Identifier:** NCT04265573
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3 58 **2. Strengths and limitations of this study**
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- 6 59 - Theoretical models have shown that simultaneous deployment of ACTs for
7 60 uncomplicated malaria case management may extend the useful therapeutic
8 61 life of the current ACTs by reducing drug pressure and slowing the spread of
9 62 resistance without putting lives at risk.
10
11 63 - This quasi-experimental study aims at assessing the feasibility, the
12 64 acceptability and the cost of MFT strategy deploying three ACTs targeting
13 65 three segments of the population for managing uncomplicated malaria.
14
15 66 - This study will provide shreds of evidence for policymakers to facilitate the
16 67 rapid uptake of the strategy aiming at preventing the emergence and the
17 68 spread of *P. falciparum* resistance to the ACTs in malaria-endemic areas.
18
19 69 - The study will not look at the changes in molecular markers of resistance of
20 70 ACTs before and after the deployment of the MFT.
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86 3. Introduction

87 Despite consolidated control efforts, malaria still remains the leading cause of morbidity and
88 mortality in Burkina Faso. The transmission is intense and perennial but peaks during the
89 rainy season. In 2018, a total of 11,463,808 uncomplicated malaria cases, 506,513 severe
90 malaria cases and 4,294 malaria imputable deaths were recorded in all health facilities in
91 Burkina Faso. Malaria was then responsible for 41.3% and 25.9% of outpatients visits at the
92 peripheral health facilities and at medical centres/hospitals level respectively. At the
93 peripheral health facilities, 57% of all-causes hospital admission and 36.3% of all-causes
94 deaths were associated with malaria.(1) The main malaria vectors are *Anopheles gambiae*,
95 *Anopheles arabiensis* and *Anopheles funestus*.(2)

96 Malaria control strategy in Burkina relies on: i) an integrated approach combining vector
97 control tools, ii) chemoprevention in vulnerable groups (intermittent preventive treatment
98 during pregnancy (IPTp) and the seasonal malaria chemoprevention (SMC) in children less
99 than five years of age); and iii) prompt and adequate malaria case management both at
100 community (CCMm) and health facility levels. (2)

101 As per World Health Organisation (WHO) recommendation, all cases of clinical malaria in
102 Burkina Faso should be biologically confirmed with a malaria rapid diagnostic test (mRDT) or
103 microscopy, when available, before any treatment. As per National Malaria Control
104 Programme (NMCP) guidelines, three artemisinin based combination therapies (ACTs),
105 Amodiaquine-Artesunate (AQ-AS) or Artemether-Lumefantrin (AL) or Dihydroartemisinin-
106 Piperaquine (DHA-PQ) are the recommended first-line drugs for the treatment of
107 uncomplicated malaria. (3) The granules for oral suspension of Pyronaridine-Artesunate
108 (PYR-AS) prequalified by WHO, has been registered in Burkina Faso and is available at
109 private pharmacies across the country. Several studies conducted in sub-Saharan Africa
110 including Burkina Faso have demonstrated the safety and the efficacy of these four ACTs
111 (AS-AQ, AL, DHA-PQ and PYR-AS) against uncomplicated malaria. (4–7) Oral quinine is
112 recommended for the management of uncomplicated malaria cases in pregnant woman as
113 well as ACTs except the first trimester of pregnancy. Four ACTs (DHA-PQ, AL, AS-AQ and
114 Artesunate-Mefloquine) shown to be safe and effective in pregnant women in sub-Saharan
115 Africa including Burkina Faso as reported by the PREGACT Study group. (8)

116 One of the main threats to malaria control and elimination is the emergence and the spread
117 of artemisinin-resistant *P. falciparum* parasites. Artemisinin resistance was first reported in
118 Western Cambodia characterised by slow parasite clearance,(9,10) increased ACTs
119 treatment failure rates,(11) and recently linked with point mutations in the “propeller” region

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2
3 120 of a *P. falciparum* Kelch protein.(12) Artemisinin resistance has since spread, emerged
4 121 independently, or both in other areas of mainland Southeast Asia (13–18). To address this
5 122 real threat, different approaches have been proposed, or are being developed such as the
6 123 research and development of new antimalarial agents,(19) the concept of triple combination
7 124 therapy in which two slowly eliminated partner drugs are combined with an artemisinin
8 125 derivative,(20) the deployment of multiple first-line therapies (MFT) containing drugs with
9 126 different or opposing selection pressures.(21) Although current ACTs still remain effective
10 127 across sub-Saharan African countries, there is a need to promote new strategies optimising
11 128 their use when slow progress is made in the development of new alternatives drugs.

12 129 In this study, we will evaluate to what extent the MFT strategy is feasible and acceptable. We
13 130 will also document how much it will cost to the health system to deploy such strategy.

14 131

15 132 **4. Methods and analysis**

16 133 **4.1 Study site**

17 134 The study will be conducted in the health district of Kaya. A research platform, the Kaya
18 135 Health and Demographic Surveillance System (Kaya-HDSS) has been established in this
19 136 district since 2007 by the “Institut de Recherche en Sciences de la Santé” (IRSS) team. (22)
20 137 The district is located in the north-central region, 100 km from Ouagadougou, the capital of
21 138 Burkina Faso. It covers four municipalities, including one urban and three rural with an
22 139 estimated population of 1,687,858 inhabitants in 2018. (1) The district has forty (40) first-level
23 140 public health facilities including 39 primary healthcare centres and one medical centre with a
24 141 surgery unit. In addition, there are four private and confessional health facilities. The health
25 142 district has the regional hospital of Kaya, which is the referral hospital for the north-central
26 143 region. In 2018, the number of uncomplicated malaria cases recorded in the district was
27 144 191,771. The incidences of malaria in 2018 were 1.27 per person-year and 0.4 per person-
28 145 year, respectively, in children less than five years of age and in the total population. The
29 146 number of malaria cases treated with ACTs was 188,527 in the same year. (1)

30 147 In the district, healthcare is provided by the primary health centres, the medical centre and
31 148 the regional hospital centre. Outpatient and inpatient services, vaccination services, child
32 149 healthcare and antenatal care services are available 24 hours per day and 7 days per week.
33 150 All health centres maintain a drug store where generic drugs are available. A free health care
34 151 policy is in place in Burkina Faso since April 2016, covering children under five years of age
35 152 and pregnant women.

4.2 Study design

This is a quasi-experimental study aiming at assessing the feasibility, acceptability and the costs of a MFT programme for uncomplicated malaria. The programme will be rolled out through four overlapping phases: the formative phase, the MFT deployment phase, the monitoring and evaluation phase and the post-evaluation phase as illustrated in **figure 1**. A mixed-method, desk reviews, quantitative and qualitative surveys will be conducted to assess the programme outcomes. The programme is anticipated to last 22 months, from 2019 to 2021.

Three ACTs will be deployed at the health facility level. Each of them will be assigned to the management of uncomplicated malaria in a segment of the population as follows: PYR-AS for children under five, AL for pregnant women and DHA-PQ for individuals equal or more than 5 years. The community case management of malaria will continue to be carried out following the current recommendations of the NMCP, with the AL given across all age categories except pregnant women. Sufficient stocks of ACTs will be secured for the MFT deployment period to avoid both stock-outs and expiring of drugs. The supply chain of the ACTs will comply with the official drugs distribution channel illustrated in **figure 2**. No parallel supply chain will be admitted. Any personnel involved in the implementation of the MFT programme will be identified and provided appropriate training for his/her role and responsibilities. The inclusion and non-inclusion criteria for the three study ACTs are, as follows:

- For PYR-AS: inclusion criteria is the age less than five years and weight equal and more than 5 kilogrammes. The non – inclusion criteria is the know hypersensitivity to a component of PYR-AS.
- For DHA-PQ: inclusion criteria is the age equal and more than five years and non-inclusion is the know hypersensitivity to a component of DHA-PQ.
- For AL: inclusion criteria is pregnant woman and non-inclusion criteria is first trimester of pregnancy and know hypersensitivity to one component of AL.

4.3 Study objectives

The objectives of the different phases of the programme are as follows:

- **Formative phase**
 - General objective
 - To generate baseline information and develop intervention tools for the MFT programme for uncomplicated malaria.
 - Specific objectives
 - To consolidate the programme working group;

- 1
2
3 189 ○ To assess the perceptions and expectations of the Health system's key
4 190 stakeholders and the community members about the MFT programme;
5 191 ○ To document any perceived or existing obstacles/threats to the
6 192 implementation of the MFT programme;
7 193 ○ To assess the treatment-seeking behaviour for febrile episodes
8 194 /malaria;
9 195 ○ To assess the morbidity and the mortality related to febrile
10 196 episodes/malaria;
11 197 ○ To develop a training manual, promotional and educational tools for
12 198 optimising the implementation and uptake of the programme;
13 199 ○ To develop tools for the monitoring and evaluation of the MFT
14 200 programme implementation.

21 201
22 202
23 202 - ***MFT deployment phase***

- 24 203 • General objective
25 204 To implement the MFT for uncomplicated malaria that is feasible, acceptable
26 205 and can achieve a high coverage rate.
27 206 • Specific objectives
28 207 ○ To train and sensitise the key stakeholders/implementers of the MFT
29 208 programme;
30 209 ○ To ensure continuous availability of study medicines delivered through
31 210 the official drug supply chain system in Burkina Faso;
32 211 ○ To promote adherence to the MFT programme within the study
33 212 communities.

34 213
35 214 - ***Monitoring and evaluation phase***

- 36 215 • General objective
37 216 To assess the feasibility, the acceptability, the costs and the effects of the
38 217 MFT programme for the management of uncomplicated malaria cases.
39 218 • Specific objectives
40 219 ○ To ensure continuous monitoring of the MFT deployment progress;
41 220 ○ To assess the implementation of the MFT for uncomplicated malaria;
42 221 ○ To determine the coverage of febrile episodes/malaria promptly and
43 222 appropriately managed by health workers;
44 223 ○ To determine the adherence of caregivers/febrile patients to ACT
45 224 treatment regimen;

- 1
2
3 225 ○ To assess the acceptability of the MFT deployment strategy;
4 226 ○ To determine the costs for the implementation of the MFT programme
5 at district level;
6 227
7
8 228 ○ To assess the quality of care provided / performance of health workers;
9 229 ○ To assess the impact of the MFT programme on the morbidity and
10 mortality related to febrile episodes/malaria;
11 230
12 231 ○ To assess the effects of the MFT programme on treatment-seeking
13 behaviour for fever episodes/malaria.
14 232
15
16 233

17 234 **4.4 Study outcomes**

19 235 The study outcomes, the methods of assessment, the time-points for assessment, the
20 236 targeted populations and the data collections tools are summarised in **Supplementary table**
21 237 **1** .
22
23
24 238

25 239 **4.5 Sampling strategies**

27 240 **Quantitative surveys**

29 241 The true prevalence of fever episode/malaria at the community level in the programme
30 242 settings and in the context of seasonal malaria chemoprevention is not well known.
31 243 Considering a prevalence ranging between 30% and 60% during the high transmission
32 244 season of malaria before the deployment of the MFT programme and a modest reduction of
33 245 10% during the deployment of the MFT, a sample size of 450 in each survey group (children
34 246 under five, children 5-15 years of age and 16-40 years of age) will be needed, with a
35 247 confidence level of 95% and a desired power of 80% and an imponderable rate of 10%.
36
37 248 There is no formal sample size calculation for pregnant women. These will be recruited
38 249 exhaustively as long as the fieldworkers identify them during the surveys at the community
39 250 level. We will not perform any pregnancy testing at home. Pregnant women will be enrolled
40 251 only with proof of ongoing pregnancy as documented in their antenatal visit cards.
41
42 252

48 253 **Qualitative surveys**

49 254 The sampling strategy for the collection of the qualitative data are summarised in **table 1**.
50 255
51
52

53 256 **4.6 Data collection approaches**

54 257 **Quantitative surveys**

56 258 *Household surveys*

57 259 A two-stage sampling process will be used. First, a sample of the targeted villages will be
58 260 randomly selected from the list of all the villages in the health district of Kaya. Within selected
59
60

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2
3 261 villages, households will be randomly visited using a “random walk” method. Children less
4
5 262 than five years of age, pregnant women, individuals aged 5 to 15 years and individuals aged
6
7 263 16 to 40 years (adult population) will be invited to take part in the survey until the sample size
8
9 264 of the village is reached. A household is defined as a family unit where the head of the
10
11 265 household and his spouse (s) and other relatives live together and share their income.
12
13 266 A paper questionnaire will be used to collect information from caregivers of children less than
14
15 267 five years old, pregnant women, individuals, aged 5 to 15 years and 16 to 40 years.

268 *Healthcare services utilisation*

16 269 Information on the utilisation of health facilities by the community will be collected from the
17
18 270 health facility registers and through interviews with target populations during the household
19
20 271 surveys. The registers will provide a utilisation rate of the health facility, using as the
21
22 272 denominator the total number of individuals in the target age groups living in the study area
23
24 273 obtained from the Kaya-HDSS. The interviews with target population will provide a coverage
25
26 274 rate. For example, the proportion of fever episodes or confirmed malaria cases treated by
27
28 275 the health workers according to the MFT programme. The denominator will be the total
29
30 276 number of fever episodes or confirmed malaria cases that occurred in the four weeks
31
32 277 preceding the household surveys or the total number of cases of fever episodes/malaria
33
34 278 seen at the health facility level.

33 279 *Update of Kaya-HDSS data*

34 280 An exhaustive assessment of malaria-related morbidity and mortality will be conducted
35
36 281 through the updates of the Kaya-HDSS and comparing data from the year before the project
37
38 282 with those generated during the year of deployment of the MFT programme.

39 283 **Qualitative surveys**

40
41 284 The qualitative surveys will be carried out by social scientists (interviewers/facilitators)
42
43 285 holding a Bachelor/Master degree in socio-anthropology under the coordination of a senior
44
45 286 social scientist. They will be recruited through a call for application and then well-trained on
46
47 287 the objectives of the study, the specific standard operating procedures, the data collection
48
49 288 tools and the process of consent taking. The training sessions will be followed by pre-tests
50
51 289 on the ground in order to address any misunderstanding of the interview guides, the process
52
53 290 of data collection and to adapt the interview guides before the commencement of surveys.

52 291 *In-depth interviews*

53 292 In-depth individual interviews (IDI) will be conducted with diverse respondents including
54
55 293 stakeholders of the Health system (Central, intermediate and peripheral level), health system
56
57 294 managers, health care providers and community members (key opinion leaders, pregnant
58
59 295 women, mothers of children under 5 years of age, and adult population). IDI will be
60 296 completed to establish the range of perceptions on the MFT programme, and sources of care

1
2
3 297 and overview of the intervention. The interviews will be conducted in the local language or in
4 298 the language best- spoken by the participant and by trained social scientists using structured
5 299 interview guides

300 *Focus group discussion*

301 Focus group discussions (FGDs) will be held with household heads (male participants),
302 mothers (female caregivers), and adult's population, the potential beneficiaries of the care at
303 the health facility level and health workers (HWs) in the programme catchment area. The aim
304 will be to obtain data on perceptions of the MFT programme and perceptions of fever/malaria
305 case and its management. The discussion will be conducted in the language or the best-
306 spoken language by the participants, using structured interview guides. The discussions will
307 be recorded and then transcribed and translated into French for analysis. The FGDs will
308 gather 8-12 participants per session.

309 310 ***Assessment of the cost to the health service of offering the MFT programme***

311 The time utilised by HWs will be captured by asking them (possibly a sample) to keep a time
312 log of their activities on the programme (diary). The value of their time will be obtained from
313 estimates of opportunity costs (minimum wage, the average salary of the area). The
314 programme accounting system will provide information on expenditures over the one and half
315 year of the programme. Many of the resources such as programme personnel salaries,
316 supplies, utilities and transport as well as mRDTs and ACTs will be considered. A visit to the
317 programme offices will serve to develop an inventory of capital goods like equipment,
318 furniture, vehicles and size of offices.

319 For the personnel not directly involved in the intervention but still providing time for the
320 programme, a questionnaire will be designed to capture their time during oral interviews at
321 their place of work (health centres, regional offices...). With regards to the various
322 preparatory activities during the start-up phase, the research team will develop and keep an
323 inventory of all the resources utilised for identifying training workshops for HWs, development
324 of communication material and community mobilisation campaigns.

325 326 **4.7 Data management and analysis**

327 ***Quantitative data***

328 Every month, health facilities-based summary data will be collected by the field supervisors
329 using a standard data extraction form and monitoring report template. These data will be
330 shared with the district health office and a copy will be sent to the research institution. Poor
331 quality data issues and other queries will be managed regularly rather than at the end of the

1
2
3 332 study to maximize data completeness and quality, and the timeliness of final analysis. All the
4
5 333 data collected at both the health facility and the community level will be checked before being
6
7 334 double entered independently in the database.

8 335 Efforts will be made during the study to track missing data and minimize its degree as no
9
10 336 attempt will be made to impute for missing data during the final analysis. All the data entered
11
12 337 will be checked for inconsistencies and corrections will be made accordingly. Basic
13
14 338 descriptive univariate and bivariate analysis will be undertaken to describe socio-
15
16 339 demographic and economic characteristics of the study populations, communities'
17
18 340 knowledge, attitudes and practices regarding febrile episodes/malaria and access to effective
19
20 341 malaria case management including diagnostic and treatment before and during the MFT
21
22 342 deployment. Appropriate statistics including proportions, means, medians, interquartile
23
24 343 ranges, standard deviations and confidence intervals will be computed. The effect of the MFT
25
26 344 on treatment seeking behavior for febrile episode/ malaria and on the malaria incidence will
27
28 345 be assessed using multivariate analysis including logistic regression and the approach of
29
30 346 interrupted time series analysis respectively. The effect of the MFT on febrile episode/malaria
31
32 347 related mortality will be assessed using the mortality rate ratios derived from data collected
33
34 348 one calendar year before and one calendar year along the implementation of the study.

349 **Qualitative data**

350 Data management will begin during data collection by transcribing and translating each
351
352 audio-recorded IDI and FGD and expanded notes when audio recordings are not available.
353
354 All transcripts will be typed into a word processing programme and stored on password-
355
356 protected computers. All participants will be assigned unique identification codes to facilitate
357
358 data tracking and no identifiable information will be collected. Data management logs will be
359
360 created to track and monitor data collection and transcription.

361
362 As soon as possible after the interviews are conducted, each transcript will be read carefully
363
364 by the study investigators in order to: (1) ask any question from the text that may be unclear;
365
366 (2) point out areas in which interviewing and transcription techniques could be improved and
367
368 (3) identify recurrent themes and areas for future probing.

369
370 Data-derived codes developed through inductive coding and retrieving will be used during
371
372 analysis. A priori codes for retrieving text for key concepts related to the overall objectives
373
374 also will be applied to the data. Investigators will determine a coding frame to be used based
375
376 on the topic guides and the first few transcripts available for analysis. New codes will be
377
378 added as necessary during transcript analysis. The qualitative data software program QSR
379
380 NVivo, will be used to organize all qualitative data and prepare them for analysis. Procedures
381
382 will be put into place to check for inter-coding discrepancies. Once all the transcripts will be

1
2
3 367 coded, textual coding reports will be produced. Data reduction techniques will be used to
4 368 examine codes in detail for sub-themes and patterns across the transcripts.

7 369 **5. Ethics and dissemination**

9
10 370 The study protocol and informed consent documents were initially approved by the National
11 371 Ethics Committee for the Research on Health in Burkina Faso (Clearance N°2018-8-113).
12 372 The approval has a lifespan of one year and therefore must be renewed every year. The
13 373 study will be performed in accordance with the ethical principles stated in the Declaration of
14 374 Helsinki 1964, as revised in Fortaleza in 2013.

15 375 All participants in the different surveys of the formative and evaluation phases will be asked
16 376 to voluntarily give written informed consent before any study-specific data collection. A copy
17 377 of the informed consent form will be shared with each participant. Participant's full name will
18 378 not be written on any data collection instruments and an identification codes will be assigned
19 379 to each participant. Participants names will not be written in the transcripts of the interviews.
20 380 Unique identification numbers will also be assigned to each participant during data analysis
21 381 activities. All electronic files will be password protected and stored on password-protected
22 382 computers. The copies of all the informed consent forms will be stored securely in a locked
23 383 cabinet at the research institution offices, separately from study questionnaires or interview
24 384 transcripts. Names will not be included in any formal or informal presentation and in any
25 385 manuscript.

26 386 There are few anticipated risks associated with participation in the different surveys. Some
27 387 participants may feel uncomfortable or embarrassed when asked questions about their
28 388 current practices or their attitudes towards malaria case management. The research staff will
29 389 make all the effort to protect individual participants' privacy and confidentiality. There are no
30 390 direct benefits to participants from taking part in the various surveys. However, the
31 391 information provided by the participants will be immediately used to inform the design of MFT
32 392 programme and later will be useful to generate evidence to support decision-makers on the
33 393 adoption of the MFT strategy for uncomplicated malaria.

34 394 Any protocol's amendment will be agreed upon between the investigators and MMV project
35 395 management team in the form of a written amendment. Amended protocols will then be
36 396 submitted for ethical clearance.

37 397 The findings of this study will be disseminated through feedback meetings for reporting to
38 398 local communities, national workshop with researchers, policy-makers, and oral

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3 399 presentations at national and international congresses, conferences, and seminars. We will
4 400 also submit the results to peer-reviewed scientific journals for publications.

7 401 **6. Patient and public involvement**

10 402 Study participants were not involved in the development of the research question, outcome
11 403 measures, and in the design of the study. They will not be also involved in the recruitment
12 404 procedures. Data will be collected from households, study participants both at the community
13 405 and at the health facility levels. Households in the study area will be randomly selected and
14 406 potential subjects living within the selected households and who meet the eligibility criteria
15 407 will be invited to participate in the study after obtaining informed consent. Study results will
16 408 be disseminated to the study populations through feedback meetings with the communities'
17 409 leaders and representatives.

24 410 **7. Discussion**

26 411 The MFT strategy has not yet been implemented in a structured way in sub-Saharan Africa.
27 412 This study will be among the first to generate evidence of the feasibility, acceptability and
28 413 cost of MFT strategy.

31 414 The MFT is one of the potential strategy that could effectively mitigate the enormous threat in
32 415 the fight against malaria which is the emergence and spread of artemisinin resistance.
33 416 Indeed, the loss of artemisinin effectiveness would likely result in the loss of all ACTs.
34 417 Therefore, there is urgent need to identify and to implement in sub-Saharan Africa a better
35 418 way to optimise the use of these ACTs that could provide a much higher long-term barrier to
36 419 the emergence and/or the spread of resistance and thus protecting them. Theoretical models
37 420 showed that the simultaneous deployment of MFT for uncomplicated malaria is a promising
38 421 strategy to extend the useful therapeutic life of the current ACTs by reducing drug pressure
39 422 and slowing the spread of resistance without putting lives at risk. The development of
40 423 feasible strategies for implementing MFT is therefore recommended.(23,24) Treating half of
41 424 the population with one ACT and the other half with a different ACT would reduce the fitness
42 425 of resistant parasites approximately as well as treating half as many patients.(25) Therefore,
43 426 there is an advantage in deploying two or more ACTs. There are several scenarios for
44 427 implementing MFT strategy depending on the target: i) the partition of the ACTs market by
45 428 segments of the same population: paediatric patients, pregnant women, adults patients, ii)
46 429 the distribution of one ACT for home-based care and use a different ACT in the clinic, iii) the
47 430 mosaic distribution of ACTs i.e. an alternating distribution of different ACTs in the same
48 431 population over a given period of time... (26)

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3 432 In this study, we opted for the segmentation of the total population and we allocated an ACT
4 433 to each given segment of the population to be able to take account the ACTs registered and
5 434 available in the country. PYR-AS, AL and DHA-PQ and will be assigned respectively to
6 435 children less than five years of age, pregnant women after the first semester of pregnancy
7 436 and individuals five years of age and above. Indeed, AS-AQ will not be used in this study
8 437 because the SMC is underway in the country using the sulfadoxine-pyrimethamine +
9 438 amodiaquine. In order to avoid much pressure on amodiaquine and to protect the molecule,
10 439 the NMCP in Burkina Faso is planning to remove it in the near future in its guidelines for
11 440 uncomplicated malaria case management. The choice of AL for pregnant women is justified
12 441 by its effectiveness and its good safety profile as well in this vulnerable population. (8) In
13 442 addition, the CCMm strategy is also underway in the country using AL. Then, we aim to
14 443 alleviate the pressure on AL, by reducing its use at the health facility level and assigning it to
15 444 a small proportion of the population (pregnant women). PYR-AS will be assigned to the
16 445 children under - five for several reasons such as, the good effectiveness and safety profile,
17 446 (4-6,27) the availability of a child friendly formulation which does not interact with food intake
18 447 and the easy dosage of the drug (single daily dose for three days) that can improve
19 448 treatment compliance. Finally, DHA-PQ will be assigned to the rest of the population,
20 449 individuals five years of age and above. This drug also has shown to be safe, efficacious and
21 450 effective in preventing incident infections. (5,7,27) The absence of pediatric formulation
22 451 prevents its use in children less than five.

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35 452 The deployment of the MFT, whatever the strategy, would present several challenges
36 453 including planning for the right distribution channel of the ACTs, the management of the
37 454 logistics, the compensation of the higher costs of some ACTs, the adjustment of health
38 455 system delivery due to a lower preference for some drugs. A potential limitation of this study
39 456 is that it will look at the changes in molecular markers of resistance of ACTs before and after
40 457 the deployment of the MFT. Findings from this research are pivotal to inform stakeholders on
41 458 the future of this strategy.

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3 550 **9. Acknowledgements:**
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15 556 **10. Authors' contributions**
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17 557 MS, AMT, IS and SBS conceived the study. MS, AMT, IS, ABT and SBS developed the
18 558 study protocol. YN, AKK, NB, AH, YS, SK participated in the finalization of the protocol. MS
19 559 wrote the first draft of the manuscript. MS, AMT, IS, JMTK, YN, DH, AKK, NB, AB, AH, FD,
20 560 YS, SK, ABT, SBS critically reviewed the manuscript. MS, AMT, IS, JMTK, YN, DH, AKK,
21 561 NB, AB, AH, FD, YS, SK, ABT, SBS approved the final version of the manuscript.
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32 564 **12. Competing interests' statement:** None declared.
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37 566 **13. Ethics approval:** Comité d'éthique pour la recherche en santé (CERS), Burkina Faso
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42 568 **14. Provenance and peer review:** Not commissioned, externally peer reviewed.
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3 **Figures:**
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8 **Figure 1:** Design of the programme
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12 **Figure 2:** Artemisinin-based combination therapies flow in the framework of the MFT
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Table:**Table 1:** Sampling strategy for qualitative surveys

Target group	Data Collection methods and sample size	Sampling strategy
The national malaria control programme	3 IDI	All personnel with a key role in malaria case management strategy delivery
Central essential drugs store (CAMEG)	3 IDI	All personnel with a key role in ACTs ordering and inventory management
North-central health region (Head of the health region, Lead pharmacist, Responsible of the disease control service)	3 IDI	All personnel with a key role in malaria case management strategy delivery
Health district management team of Kaya (Head of the health district, Lead pharmacist Responsible for the district-level healthcare provision)	3 IDI	All personnel with a key role in malaria case management strategy delivery
Head of the local health facilities	5 IDI, 4 FGD	5 heads of the local health facilities in the health district of Kaya will be randomly selected and invited for the IDI. The 40 heads of local health facilities will be allocated randomly into for groups for FGD.
Essential drugs stores managers at health facilities level	5 IDI, 4 FGD	5 essential drugs storekeepers of the local health facilities in the health district of Kaya will be randomly selected and invited for the IDI. The 40 essential drugs storekeepers of local health facilities will be allocated randomly into for groups for FGD.
Local health workers	10 FGD	10 local health facilities in the health district of Kaya will be randomly selected and the local health workers in charge of malaria case management in pregnant women, children under five and individuals of five years old and above, will be grouped for the conduct of the FGD.
Community key opinion leaders	10 IDI, 4 FGD	10 local health facilities in the health district of Kaya will be randomly selected, and the head of the health facilities will appoint a community leader per their respective health area for the conduct of the IDI. Subsequently, 4 community leaders per 10 health facility previously selected will be identified by the head of the health facility to constitute the group for the conduct of the FGD.
Heads of household (male participants)	5 FGD	During the household surveys, villages will be selected at random within the health district of Kaya. Households will be selected at random via the random walk method, with the interval selected dependent on village size and the head of households (males) will be invited for the conduct of FGD.

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Caregivers of children under five (female participants)	5 FGD	During the household surveys, villages will be selected at random within the health district of Kaya. Caregivers (mothers of children under five) will be selected at random via the random walk method, with the interval selected dependent on village size and will be invited for the conduct of FGD.
Pregnant women	5 FGD	During the household surveys, villages will be selected at random within the health district of Kaya. Pregnant women will be selected at random via the random walk method, with the interval selected dependent on village size and will be invited for the conduct of FGD.
Adult participants	5 FGD	During the household surveys, villages will be selected at random within the health district of Kaya. Adult potential participants will be selected at random via the random walk method, with the interval selected dependent on village size and will be invited for the conduct of FGD.
Total of interviews	32 IDI and 42 FGD	

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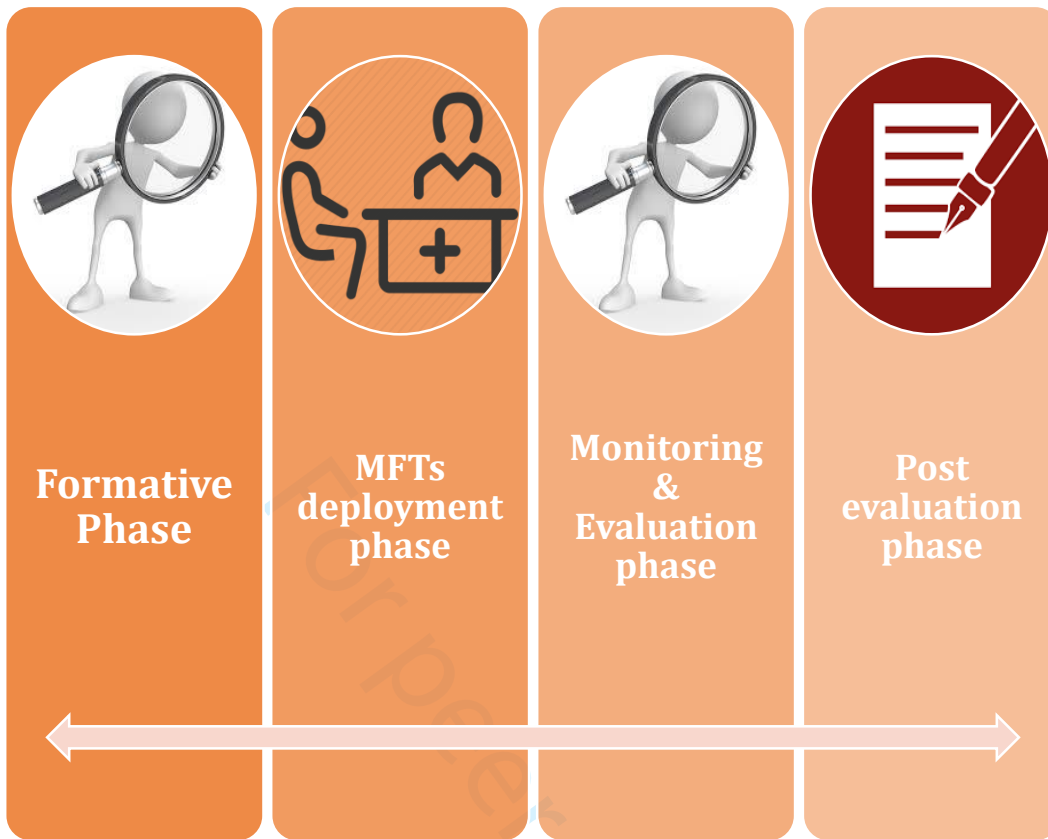
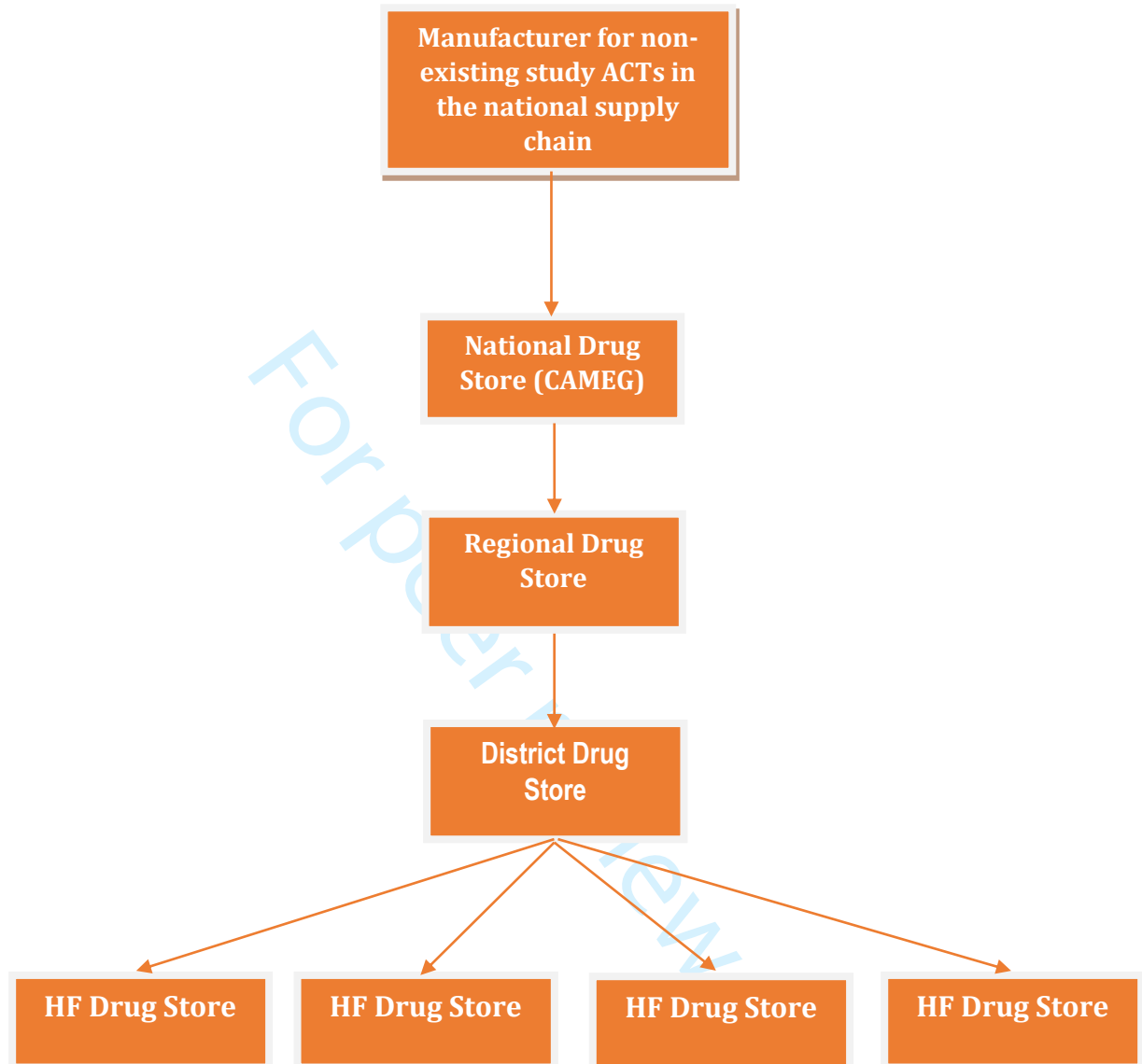


Figure 1: Design of the programme

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HF: health facility

Figure 2: Artemisinin-based combination therapies flow in the framework of the MFT programme

Table 1: Outcomes to be measured during the monitoring and evaluation phase

Outcomes	Indicators	Numerator/ Denominator	Methods of assessment	Time point for assessment	Targeted populations	Data collection tools
Outcome 1: the implementation of the MFT pilot programme	- Average number of monitoring visits per health facility and drugs stores involved in the study per annum	<u>Numerator:</u> Number of monitoring visits per health facility and drugs stores involved in the study per annum <u>Denominator:</u> Number of health facility and drugs stores involved in the study	Health facility-based survey Central, regional and district-based surveys	End of MFTs deployment phase	Health facilities Drugs stores	Monitoring visit report template
	- Average number of monitoring visit on which drugs stores have stock out of any dose of study ACTs per annum	<u>Numerator:</u> Number of monitoring visit on which drugs stores have stock out of any dose of study ACTs per annum <u>Denominator:</u> Number of drugs stores involved in the study	Health facility-based survey Central, regional and district-based surveys	End of MFTs deployment phase	Drugs stores	Monitoring visit report template
	- Average number of monitoring visit on which health facilities have stock out of mRDTs per annum	<u>Numerator:</u> Number of monitoring visit on which health facilities have stock out of mRDTs per annum <u>Denominator:</u> Number of health facilities involved in the study	Health facility-based survey Central, regional and district-based surveys	End of MFTs deployment phase	Drugs stores	Monitoring visit report template
Outcome 2: The coverage of febrile episode/malaria promptly and appropriately managed by health workers according to the MFT pilot programme	- Proportion of febrile episode seen at the health facility level	<u>Numerator:</u> Number of febrile episodes taken to a health facility <u>Denominator:</u> Number of febrile episodes	Household survey	During MFTs deployment phase at peak malaria season	Caregivers, pregnant women, adults	KAP questionnaire
	- Proportion of febrile episode seen at health facility level (HFL) within 24 hours	<u>Numerator:</u> Number of febrile episodes taken to a health facility within 24h <u>Denominator:</u> Number of febrile episodes	Household survey Health facility-based survey	During MFTs deployment phase at peak malaria season	Caregivers, pregnant women, adults Registers of health facility	KAP questionnaire Data extraction forms

				End of MFTs deployment phase		
	Proportion of febrile episode seen at HFL within 24 hours and tested for parasitemia	<p><u>Numerator:</u> Number of febrile episodes seen at the health facility and tested for parasitemia</p> <p><u>Denominator:</u> Number of febrile episodes taken to a health facility</p>	Household survey Health facility-based survey	During MFTs deployment phase at peak malaria season End of MFTs deployment phase	Caregivers, pregnant women, adults Registers of health facility	KAP questionnaire Data extraction forms
	Proportion of febrile episode seen at HFL within 24 hours with positive diagnostic test who were given ACT according to the MFTs strategy	<p><u>Numerator:</u> Number of febrile episodes seen at a health facility with a positive diagnostic test and treated with ACT according to the MFTs strategy</p> <p><u>Denominator:</u> Number of febrile episodes with a positive diagnostic test</p>	Household survey Health facility-based survey	During MFTs deployment phase at peak malaria season End of MFTs deployment phase	Caregivers, pregnant women, adults Registers of health facility	KAP questionnaire Data extraction forms
	Proportion of febrile episode seen at HFL within 24 hours with a negative diagnostic test who did not receive any antimalarial.	<p><u>Numerator:</u> Number of febrile episodes seen at a health facility with a negative diagnostic test and not treated with ACT according to the MFTs strategy</p> <p><u>Denominator:</u> Number of febrile episodes with a negative diagnostic test</p>	Household survey Health facility-based survey	During MFTs deployment phase at peak malaria season End of MFTs deployment phase	Caregivers, pregnant women, adults Registers of health facility	KAP questionnaire Data extraction forms
	Proportion of febrile episode seen at HFL within 24 hours with a negative diagnostic test who received an ACT.	<p><u>Numerator:</u> Number of febrile episodes seen at a health facility with a negative diagnostic test and treated with ACT</p> <p><u>Denominator:</u> Number of febrile episodes with a negative diagnostic test</p>	Household survey Health facility-based survey	During MFTs deployment phase at peak malaria season End of MFTs deployment phase	Caregivers, pregnant women, adults Registers of health facility	KAP questionnaire Data extraction forms

<p><u>Outcome 3:</u> the adherence of caregivers/febrile patients to ACTs treatment schedule provided by health workers according to MFTs pilot programme</p>	<p>Proportion of febrile episodes treated with ACTs adhering to ACT treatment schedule (timing and doses) by HWs according to MFTs strategy</p>	<p><u>Numerator:</u> Number of febrile episodes treated with ACT provided by health workers according to MFTs strategy adhering to ACT treatment schedule (timing and dosing)</p> <p><u>Denominator:</u> Number of febrile episodes treated with ACT provided by health workers according to MFTs strategy</p>	<p>Household surveys</p>	<p>During MFTs deployment phase at peak malaria season</p>	<p>Caregivers, adults, pregnant women</p>	<p>KAP Questionnaire</p>
<p><u>Outcome 4:</u> The acceptability of the MFT pilot programme</p>	<ul style="list-style-type: none"> - Number of favourable and unfavourable opinions from various profiles of informants about the intervention, including health agents of different levels and authorities, concerning the MFTs pilot programme. - Proportion of community resources people (mothers/caregivers, health agents, heads of household (male participants) community leaders) with favourable opinions to the MFTs pilot programme and their reasons - Proportion of community resources people (mothers/caregivers, health agents, heads of household (male participants) community leaders) with unfavourable opinions to the MFTs pilot programme and their reasons 	<p><u>Numerator:</u> Exploration of selected informants' opinions</p> <p>Comparison of different opinions</p> <p><u>Denominator:</u> Caregivers, pregnant women, adults, community leaders, health workers, health authorities</p>	<p>Qualitative surveys</p> <p>Household surveys</p>	<p>During MFTs deployment phase at peak malaria season</p> <p>End of MFTs deployment phase</p>	<p>Caregivers, adults, pregnant women, key community leaders, health workers, health authorities</p>	<p>FGD, IDI guides</p> <p>KAP questionnaire</p>
<p><u>Outcome 5:</u> the cost of the MFT pilot programme</p>	<p>- Cost per additional febrile episode receiving prompt treatment or confirmed negative diagnosis</p>	<p><u>Numerator:</u> Total economic cost, cost savings</p> <p><u>Denominator:</u> Additional number of febrile episodes given ACT with a negative parasitological test within 24 hours</p>	<p>Household survey</p> <p>Health facility-based survey</p>	<p>During MFTs deployment phase at peak malaria season</p> <p>End of MFTs deployment phase</p>	<p>Caregivers, pregnant women, adults</p> <p>Health workers</p>	<p>Cost data collection forms</p> <p>KAP questionnaire</p> <p>Data extraction forms at the health facility level</p>

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					Registers of health facility	
	- Cost per additional inappropriate antimalarial treatment avoided	<p><u>Numerator:</u> Total economic cost, cost savings</p> <p><u>Denominator:</u> Additional number of confirmed negative episodes not treated with an ACT</p>	<p>Household survey</p> <p>Health facility-based survey</p>	<p>During MFTs deployment phase at peak malaria season</p> <p>End of MFTs deployment phase</p>	<p>Caregivers, pregnant women, adults</p> <p>Health workers</p> <p>Registers of health facility</p>	<p>Cost data collection forms</p> <p>KAP questionnaire</p> <p>Data extraction forms at the health facility level</p>
	- Cost per additional febrile episode appropriate managed for malaria with a confirmed diagnosis	<p><u>Numerator:</u> Total economic cost, cost savings</p> <p><u>Denominator:</u> Additional number of febrile episodes treated with ACT or with a negative parasitological test within 24 hours</p>	<p>Household surveys</p> <p>Health facility-based surveys</p>	<p>During MFTs deployment phase at peak malaria season</p> <p>End of MFTs deployment phase</p>	<p>Caregivers, adults, pregnant women, health workers</p> <p>Registers of health facilities,</p>	<p>KAP Questionnaire, Cost data collection forms</p> <p>Data extraction forms at the health facility level</p>
	- Cost per capita of intervention (provider and societal perspective)	<p><u>Numerator:</u> Total economic cost-Cost savings</p> <p>Total financial cost-cost saving</p> <p><u>Denominator:</u> Population of the area</p>	<p>Household surveys</p> <p>Health facility-based surveys</p>	<p>During MFTs deployment phase at peak malaria season</p> <p>End of MFTs deployment phase</p>	<p>Caregivers, pregnant women, adults</p> <p>Health workers</p> <p>Registers of health facility</p>	<p>Cost data collection forms</p> <p>Census data collection forms</p>

	- Cost to the HWs to participate in the MFTs strategy	<p><u>Numerator:</u> Economic costs to HWs participating in the MFTs strategy</p> <p><u>Denominator:</u> Number of HWs</p>	Health facility-based surveys	End of MFTs deployment phase	Health workers Registers of health facility	Cost data collection forms
Outcome 6: the quality of care provided /performance of health workers	- Proportion of episode of uncomplicated fever seen by HWs tested for malaria parasitemia	<p><u>Numerator:</u> Number of episodes of uncomplicated fever seen by HWs tested with mRDT/blood smear</p> <p><u>Denominator:</u> Number of uncomplicated fever episodes/malaria fever seen by HWs</p>	Health facility-based surveys Household surveys	End of MFTs deployment phase During MFTs deployment phase at peak malaria season	Registers of health facilities Caregivers, adults, pregnant women, health workers	Data extraction forms KAP questionnaire
	- Proportion of uncomplicated fever episodes/malaria seen by HW, tested positive and treated with the correct dose of ACT according to MFTs pilot programme	<p><u>Numerator:</u> Number of uncomplicated fever episodes/Malaria seen by HWs, tested positive and treated with the correct dose of ACT according to MFTs pilot programme</p> <p><u>Denominator:</u> Number of uncomplicated fever episodes/malaria seen by HWs and tested positive.</p>	Health facility-based surveys Household surveys	End of MFTs deployment phase During MFTs deployment phase at peak malaria season	Registers of health facilities Caregivers, adults, pregnant women, health workers	Data extraction forms KAP questionnaire
	- Proportion of febrile episodes treated with ACTs according to MFTs pilot programme by HWs provided with appropriate dosing advice	<p><u>Numerator:</u> Number of uncomplicated febrile episodes/malaria treated with ACTs according to MFTs approach by HWs provided with appropriate dosing advice</p> <p><u>Denominator:</u> Number of uncomplicated febrile episodes/malaria treated with ACTs according to MFTs pilot programme by HWs</p>	Health facility-based surveys Household surveys	End of MFTs deployment phase During MFTs deployment phase at peak malaria season	Registers of health facilities Caregivers, adults, pregnant women, health workers	Data extraction forms KAP questionnaire

	Proportion of uncomplicated febrile episodes/malaria seen by HWs provided with advice on danger signs	<p><u>Numerator:</u> Number of uncomplicated febrile episodes/malaria seen by HWs provided with advice on danger signs</p> <p><u>Denominator:</u> Number of uncomplicated febrile episodes/malaria seen by HWs</p>	Health facility-based surveys	End of MFTs deployment phase	Registers of health facilities	Data extraction forms
			Household surveys	During MFTs deployment phase at peak malaria season	Caregivers, adults, pregnant women, health workers	KAP questionnaire
<u>Outcome 7:</u> the impact of MFT pilot programme on malaria incidence	Incidence of uncomplicated febrile episode/malaria within 4 weeks preceding the survey, before and during the MFTs deployment	<p><u>Numerator:</u> Number of uncomplicated febrile episode/malaria within 4 weeks preceding the survey, before and during the MFTs deployment</p> <p><u>Denominator:</u> Number of persons per segments of the population</p>	Household surveys,	MFTs deployment phase at the peak of the malaria season	Caregivers, adults, pregnant women	KAP Questionnaire,
	Proportion of uncomplicated febrile episode/malaria seen at health facility level before and during the MFTs deployment in the study area	<p><u>Numerator:</u> Number of uncomplicated febrile episodes/malaria seen by HWs at HFL during study periods (before and during the MFTs deployment) per segments of the population</p> <p><u>Denominator:</u> Number of person-years per segments of the population during the study periods</p>	Health facility level	End of MFTs deployment phase	Registers of health facilities	Data extraction forms
<u>Outcome 8:</u> the impact of the MFT pilot programme on malaria mortality	Mortality rate related to febrile episode/malaria before and during the pilot MFTs deployment	<p><u>Numerator:</u> Number of malaria-associated deaths before and during the pilot MFTs deployment phase per segments of the population</p> <p><u>Denominator:</u> Number of person-years per segments of the population</p>	Household surveys,	End of MFTs deployment phase	Caregivers, adults, pregnant women	HDSS mortality data collection forms
			Health facility level		Registers of health facilities	Data extraction forms
<u>Outcome 9:</u> the effect of MFT pilot programme on	Proportion of individuals with fever in the last four weeks for whom advice or treatment was sought	<u>Numerator:</u> Number of individuals with fever in the last four weeks for whom advice or treatment was sought	Household surveys	MFTs deployment phase at the	Caregivers, adults,	KAP Questionnaire

treatment-seeking behaviour for fever episodes/malaria		<u>Denominator: Number of individuals with fever in the last four weeks</u>		peak of the malaria season	pregnant women	
	Proportion of individuals with fever in the last four week who sought treatment at HFL within 24 hours	<u>Numerator: Number of individuals with fever in the last four weeks who sought treatment at HFL within 24 hours</u> <u>Denominator: Number of individuals with fever in the last four weeks</u>	Household surveys	MFTs deployment phase at the peak of the malaria season	Caregivers, adults, pregnant women	KAP Questionnaire
	Source of advice or care for those suffering from fever in the last four weeks	<u>Numerator: Number per type of source of advice or care for those suffering from fever in the last four weeks</u> <u>Denominator: Number of individual suffering from fever in the last four weeks who sought advice or care</u>	Household surveys	MFTs deployment phase at the peak of the malaria season	Caregivers, adults, pregnant women	KAP Questionnaire



Standards for Reporting Implementation Studies: the StaRI checklist for completion

The StaRI standard should be referenced as: Pinnock H, Barwick M, Carpenter C, Eldridge S, Grandes G, Griffiths CJ, Rycroft-Malone J, Meissner P, Murray E, Patel A, Sheikh A, Taylor SJC for the StaRI Group. Standards for Reporting Implementation Studies ([StaRI statement](#)). *BMJ* 2017;356:i6795

The detailed Explanation and Elaboration document, which provides the rationale and exemplar text for all these items is: Pinnock H, Barwick M, Carpenter C, Eldridge S, Grandes G, Griffiths C, Rycroft-Malone J, Meissner P, Murray E, Patel A, Sheikh A, Taylor S, for the StaRI group. Standards for Reporting Implementation Studies ([StaRI Explanation and Elaboration document](#)). *BMJ Open* 2017 2017;7:e013318

Notes: A key concept of the StaRI standards is the dual strands of describing, on the one hand, the implementation strategy and, on the other, the clinical, healthcare, or public health intervention that is being implemented. These strands are represented as two columns in the checklist.

The primary focus of implementation science is the implementation strategy (column 1) and the expectation is that this will always be completed.

The evidence about the impact of the intervention on the targeted population should always be considered (column 2) and either health outcomes reported or robust evidence cited to support a known beneficial effect of the intervention on the health of individuals or populations.

The StaRI standards refers to the broad range of study designs employed in implementation science. Authors should refer to other reporting standards for advice on reporting specific methodological features. Conversely, whilst all items are worthy of consideration, not all items will be applicable to, or feasible within every study.

Checklist item	Reported on page #	Implementation Strategy	Reported on page #	Intervention
		“Implementation strategy” refers to how the intervention was implemented		“Intervention” refers to the healthcare or public health intervention that is being implemented.
Title and abstract				
Title	1	1		Identification as an implementation study, and description of the methodology in the title and/or keywords
Abstract	2	2		Identification as an implementation study, including a description of the implementation strategy to be tested, the evidence-based intervention being implemented, and defining the key implementation and health outcomes.
Introduction				
Introduction	3	4-5		Description of the problem, challenge or deficiency in healthcare or public health that the intervention being implemented aims to address.
Rationale	4	4-5		The scientific background and rationale for the implementation strategy (including any underpinning theory/framework/model, how it is expected to achieve its effects and any pilot work).
				The scientific background and rationale for the intervention being implemented (including evidence about its effectiveness and how it is expected to achieve its effects).

Aims and objectives	5	6-8	The aims of the study, differentiating between implementation objectives and any intervention objectives.		
Methods: description					
Design	6	6	The design and key features of the evaluation, (cross referencing to any appropriate methodology reporting standards) and any changes to study protocol, with reasons		
Context	7	5	The context in which the intervention was implemented. (Consider social, economic, policy, healthcare, organisational barriers and facilitators that might influence implementation elsewhere).		
Targeted 'sites'	8	5	The characteristics of the targeted 'site(s)' (e.g locations/personnel/resources etc.) for implementation and any eligibility criteria.		The population targeted by the intervention and any eligibility criteria.
Description	9	6	A description of the implementation strategy		A description of the intervention
Sub-groups	10	6	Any sub-groups recruited for additional research tasks, and/or nested studies are described		
Methods: evaluation					
Outcomes	11	8	Defined pre-specified primary and other outcome(s) of the implementation strategy, and how they were assessed. Document any pre-determined targets		Defined pre-specified primary and other outcome(s) of the intervention (if assessed), and how they were assessed. Document any pre-determined targets
Process evaluation	12	8-12	Process evaluation objectives and outcomes related to the mechanism by which the strategy is expected to work		
Economic evaluation	13	8-12	Methods for resource use, costs, economic outcomes and analysis for the implementation strategy		Methods for resource use, costs, economic outcomes and analysis for the intervention
Sample size	14	8	Rationale for sample sizes (including sample size calculations, budgetary constraints, practical considerations, data saturation, as appropriate)		
Analysis	15	10-12	Methods of analysis (with reasons for that choice)		
Sub-group analyses	16	11-12	Any a priori sub-group analyses (e.g. between different sites in a multicentre study, different clinical or demographic populations), and sub-groups recruited to specific nested research tasks		

Results					
Characteristics	17	Not applicable	Proportion recruited and characteristics of the recipient population for the implementation strategy		Proportion recruited and characteristics (if appropriate) of the recipient population for the intervention
Outcomes	18	Not applicable	Primary and other outcome(s) of the implementation strategy		Primary and other outcome(s) of the Intervention (if assessed)
Process outcomes	19	Not applicable	Process data related to the implementation strategy mapped to the mechanism by which the strategy is expected to work		
Economic evaluation	20	Not applicable	Resource use, costs, economic outcomes and analysis for the implementation strategy		Resource use, costs, economic outcomes and analysis for the intervention
Sub-group analyses	21	Not applicable	Representativeness and outcomes of subgroups including those recruited to specific research tasks		
Fidelity/adaptation	22	Not applicable	Fidelity to implementation strategy as planned and adaptation to suit context and preferences		Fidelity to delivering the core components of intervention (where measured)
Contextual changes	23	Not applicable	Contextual changes (if any) which may have affected outcomes		
Harms	24	Not applicable	All important harms or unintended effects in each group		
Discussion					
Structured discussion	25	13-14	Summary of findings, strengths and limitations, comparisons with other studies, conclusions and implications		
Implications	26	14	Discussion of policy, practice and/or research implications of the implementation strategy (specifically including scalability)		Discussion of policy, practice and/or research implications of the intervention (specifically including sustainability)
General					
Statements	27	12-13	Include statement(s) on regulatory approvals (including, as appropriate, ethical approval, confidential use of routine data, governance approval), trial/study registration (availability of protocol), funding and conflicts of interest		