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Predictive performance of an automated assay for GFAP and UCH-L1 blood concentrations in mild Traumatic BRAIN Injury: European BRAINI study

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3 **Predictive performance of an automated assay for GFAP and UCH-**
4 **L1 blood concentrations in mild Traumatic BRAIN Injury: European**
5 **BRAINI study**
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ABSTRACT

Introduction: Mild traumatic brain injury (mTBI) is a common cause of clinical consultation in the Emergency Department. Patients with mTBI may undergo brain CT scans based on clinical criteria. However, the proportion of patients with brain lesions on CT is very low. Two serum biomarkers, glial fibrillary acidic protein (GFAP) and ubiquitin carboxy-terminal hydrolase L1 (UCH-L1), have been shown to discriminate patients regarding the presence or absence of brain lesions on initial CT scan when assessed within the first 12 hours after TBI. However, the current technique for measuring serum concentrations of GFAP and UCH-L1 is manual and time consuming, which may hinder its use in routine clinical practice. This study assesses the diagnostic accuracy of an automated assay for the measurement of serum GFAP and UCH-L1 in a cohort of mTBI patients who received a CT scan as the standard of care.

Methods and analysis: This is a prospective multicentre observational study of 1760 mTBI patients recruited in France and Spain across 12 participating sites. Adult patients with an initial Glasgow Coma Scale score of 13-15 and a brain CT-scan underwent blood sampling within 12 hours after TBI. The primary outcome measure is the diagnostic performance of an automated assay measuring serum concentrations of GFAP and UCH-L1 for discriminating between patients with positive and negative findings on brain CT-scans. Secondary outcome measures include the performance of these two biomarkers in predicting the neurological status and quality of life at 1 week and 3 months after the trauma.

Ethics and dissemination: Ethics approval was obtained by the Institutional Review Board of Sud-Ouest Outre Mer III in France (Re#2019-A01525-52) and Hospital 12 de Octubre in Spain (Re#19/322). The results will be presented at scientific meetings and published in peer-reviewed publications.

Trial registration number (ClinicalTrials.gov): NCT04032509

Key words: Traumatic brain injury, biomarkers, diagnostic techniques and procedures, GFAP, UCH-L1, serum, computerized tomography, prognosis.

STRENGTHS AND LIMITATIONS OF THE STUDY

- This is a large prospective multicentre study to validate the value of serum biomarkers GFAP and UCH-L1 in predicting brain CT-scan findings after mTBI.
- The additional value of these two biomarkers will be assessed regarding neurological outcome and quality of life.
- Variability in the management of mTBI patients and in the indications of CT-scans may influence the rate of positive CT scans in the different participating centres, which may have an impact on the statistical power of the study.

INTRODUCTION

Mild traumatic brain injury (mTBI), as defined by a Glasgow Coma Scale (GCS) score of 13-15 [1], represents a frequent admission in the Emergency Department (ED) [2–4]. The initial management includes a non-contrast brain computed tomography (CT) scan if the patient meets specific conditions. However, the prevalence of CT-detected abnormalities is less than 10% among mTBI patients, and less than 1% of them will require neurosurgical procedures. Efforts have been made for decades to optimize the indications for brain CT scans after mTBI such as the New Orleans Criteria [5] and Canadian Head CT Rule [6] as well as national guidelines such as the French guidelines [7]. However, a certain variability exists among physicians regarding CT scan indications and some situations post-TBI may be confusing, e.g. intoxicated patients or patients with hearing loss or speech disturbances. As a consequence, up to 40% of CT scans prescribed in EDs would actually do not follow guideline recommendations [8], reflecting a substantial CT overuse.

Clinical decision rules for initial CT scan can be optimized with the use of an objective parameter that is easily and rapidly assessed. This could be achieved using blood concentrations of brain-damage biomarkers. Among candidates, serum protein S100B is the only biomarker used in Europe. The Scandinavian guidelines for the initial management of mild and moderate head injuries in adults provide recommendations for the use of S100B to rule out the need for head CT in mTBI. Multicentre validation of Scandinavian Guidelines is currently in progress in Sweden (**ClinicalTrials.gov*, 2017, NCT03280485). Unfortunately, the blood concentration of S100B can be affected by several factors such as multiple trauma, skin colour, presence of melanomas, and its clinical utility is limited by the short half-life (3h) of S100B in blood [9].

Recently, serum levels of ubiquitin carboxy-terminal hydrolase-L1 (UCH-L1) and glial fibrillary acidic protein (GFAP), two brain-specific proteins, were found to be elevated in patients with intracranial lesions visible on CT scans [10,11]. Data from the ALERT-TBI trial showed that serum GFAP and UCH-L1 protein concentrations are able to reliably predict the absence of clinically relevant lesions on CT scan in patients with mTBI [12]. The Banyan Brain Trauma Indicator (*Banyan BTI*TM

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3 *Package Insert*) has obtained FDA-clearance in February 2018. This is a manual
4 immunoassay that measures GFAP and UCH-L1 serum concentrations with a
5 sensitivity (95% lower confidence limit) and negative predictive value (95% lower
6 confidence limit) of 97.5% (93.7%) and 99.6% (98.8%), respectively[13]. However,
7 the manual ELISA technique takes 4 hours to provide results, which is too long for
8 mTBI triage in the ED setting. In addition, the cut-offs used in the ALERT-TBI trial
9 need to be externally validated [12,14].

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16 A faster *in vitro* diagnostic (IVD) technique is then required for a possible use in
17 clinical practice, together with an external validation of the diagnostic accuracy of
18 GFAP and UCH-L1 in mTBI patients [15–18]. An automated assay assessing serum
19 concentrations of GFAP and UCH-L1 (VIDAS® BTI assay, bioMérieux, Marcy l'Etoile,
20 France) has been developed. The primary objective of the study is to evaluate the
21 diagnostic performance of the VIDAS® BTI assay in a prospective multicentre cohort
22 of mTBI patients with respect to their brain CT scan findings. The secondary
23 objectives are to assess the ability of the two biomarkers to predict the neurological
24 status and quality of life at 1 week and 3 months after mTBI.
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34 **METHODS AND ANALYSIS**

35 **Study design**

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39 BRAINI is a prospective, multicentre, observational study in France and Spain.
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42 **Study setting**

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44 BRAINI includes 11 sites in France within university hospitals (Grenoble, Lyon
45 Edouard-Herriot, Lyon-Sud, Tours, Nantes, Dijon, Poitiers, Montpellier and Toulouse)
46 and non-university hospitals (Annecy and Villefranche-sur-Saone) and 3 sites in
47 Madrid, Spain, including University Hospital 12 de Octubre, University Hospital
48 Gregorio Marañón and Hospital del Tajo. Each centre was chosen based on
49 documentation with regard to patient availability and experience in mild TBI patient
50 management.
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59 **Study population**

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3 Patients will be included if they meet the following criteria: age >18 years (France)
4 and > 15 years old (Spain), admitted for a mild TBI with GCS score 13-15, requiring
5 brain CT scan as part of standard of care according to the French guidelines [7] or to
6 the in-charge physician in Spain, and 10-mL blood sample obtained as part of routine
7 blood samples within 12 hours after injury.
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12 Patients will be excluded if they have at least one of the following criteria: GCS score
13 3-12 on admission; time of injury unknown; time since injury exceeding 12 hours;
14 primary admission for non-traumatic neurological disorder (e.g., stroke, spontaneous
15 intracranial hematoma); penetrating head injury; mechanical ventilation;
16 neuropsychiatric and neurologic co-morbidities that might interfere with the
17 assessment of outcomes at 1 week and 3 months; venepuncture not feasible; no
18 brain CT scan; subject under judiciary control; pregnant or breastfeeding woman; or
19 participation in another therapeutic study.
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28 **Study outcomes**

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30 The primary outcome measure is the performance of the VIDAS BTI assay in terms
31 of sensitivity, specificity, positive predictive value (PPV), negative predictive value
32 (NPV) and their corresponding lower limit of the 95% confidence interval with respect
33 to brain CT scan findings, i.e., positive vs. negative (see below).
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37 Secondary outcomes are measured at 1 week and 3 months post-TBI and include
38 neurological status, i.e., stable or degraded condition, and quality of life assessed
39 using the QOLIBRI questionnaire (quality of life after TBI) [19–21] at 1 week. At 3
40 months post-TBI, patients will be assessed according to the Extended Glasgow
41 Outcome Scale (GOSE) [22,23], the 5-level EQ-5D version [24], the QOLIBRI scale,
42 and the Rivermead Post-concussion Symptoms Questionnaire (RPQ) [25]. The study
43 design and flow is shown in Fig.1.
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51 **Data collection and data monitoring**

52 At each participating site, data will be collected and entered into the web-based
53 electronic CRF (eCRF) (Medsharing, Fontenay-sous-Bois, France) by clinical
54 research associates under the supervision of the site principal investigators. The
55 study database will be created from the eCRF. Trained research coordinators will
56 monitor the data collection. The study will collect demographic and baseline
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3 information at admission, the reason to prescribe brain CT scan and immediate CT
4 findings by the local radiologist, biological data if indicated by the in-charge
5 physician, a 10-ml study-specific blood sample within 12 hours after trauma, and
6 functional outcomes at 1 week and 3 months. At one week post-TBI, clinical research
7 associates will transmit information regarding the neurological status of the patients
8 to the coordinating centres (University Hospital Grenoble Alpes and University
9 Hospital 12 de Octubre, Madrid). Questionnaires at 3 months will be conducted by
10 trained central outcome assessors during a structured telephone interview.
11 Anonymized data from brain CT images will be transferred to a centralized platform
12 to be evaluated by a trained neuroradiologist. Capture of all data, particularly the
13 functional outcome assessments and CT scan analysis, will be conducted by persons
14 who are blinded to the results of the two biomarkers.
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26 **Data analysis: CT scan**

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28 Brain CT scans are performed as part of the patient standard of care and uploaded to
29 a secure web central database (SHANOIR-INRIA) for archive and central analysis.
30 CT scan findings are classified as CT-negative or CT-positive by the local and one
31 central reading. In case of disagreement between them, an additional central CT-
32 reading will be performed by a third radiologist for final adjudication. The criteria for
33 CT positivity are crucial because the primary objective is related to the CT results
34 (positive/negative). Criteria for CT-positive findings are as follows: a CT scan
35 classified as type II or greater according to the Traumatic Coma Databank (TCDB)
36 classification (range I-V), or a CT scan with the presence of the following lesions: a)
37 epidural haematoma, b) subdural haematoma, c) subarachnoid haemorrhage, d)
38 intraventricular haemorrhage, e) contusion, f) petechial haemorrhage or g) any
39 finding related to diffuse axonal injury (DAI) and depressed skull fracture. Linear skull
40 fractures will be recorded but not used for the definition of CT positive lesions.
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52 **Data analysis: biomarkers**

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54 The 10-ml blood sample will be centrifuged without delay and frozen at -80°C. Serum
55 samples will be transferred to the central storage facility (bioMérieux R&D BioBank,
56 Marcy l'Étoile, France). All measurement procedures will be conducted independently
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3 based on the clinical and CT-status of the patients. The samples will be batch-
4 analysed using fully automated instruments (VIDAS® 3, bioMérieux) with kits to
5 detect the serum concentrations of UCH-L1 and GFAP.
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11 **Statistical considerations**

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13 We formulate the hypothesis that the automated assay measuring the serum
14 concentrations of GFAP and UCH-L1 will have a sensitivity of at least 97% and an
15 NPV of at least 99% to rule out the need for CT scan with accuracy. Assuming a
16 prevalence of 11% of positive brain CT scans, the enrolment of 176 patients with
17 positive CT-scans and 1424 patients with negative CT-scans is required to achieve
18 these indices of performance. . Assuming a 10% rate of loss to follow-up, the study
19 recruitment target is set to 1760 patients in France and in Spain.
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26 For the primary objective, the previously published cut-off values for GFAP and UCH-
27 L1 will be externally validated with respect to the CT scan findings. The levels of
28 GFAP and UCH-L1 obtained with the VIDAS BTI will also be analysed according to
29 the neurological outcome, the presence of posttraumatic symptoms and quality of life
30 (secondary objective). To test whether these biomarkers are independent prognostic
31 factors, multivariable analysis will be conducted using logistic regression for
32 dichotomized values and linear regression for quantitative values. In addition,
33 prediction models will be developed to assess the contribution of biomarkers to
34 existing diagnostic and prognostic tools.
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48 **ETHICS AND DISSEMINATION**

49 Ethics approval was obtained from the CEIm (Comité Ético de Investigación Clínica)
50 of Hospital 12 de Octubre on 20 July 2019, Madrid (Re#19/322) and the study started
51 in August 2019. In France, the protocol was approved by the Institutional Review
52 Board of Sud-Ouest Outre Mer III on 14 November 2019 (Re#2019-A01525-52). The
53 study began in France in Grenoble on 29 November 2019. The National Commission
54 on Informatics and Liberty (France) gave its approval on 31 January 2020
55 (Re#919443).
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3 The results of this study will be presented at national and international meetings
4 and published in peer-reviewed journals. Patients will not be individually notified
5 regarding the results of the study. The principal publication from the study will be in
6 the name of the BRAINI investigators with full credit assigned to all active,
7 collaborating investigators, research coordinators and institutions.
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15 **DISCUSSION**

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17 The protocol and future results of the current study should be analysed taking
18 into account previously published data. In the large CENTER-TBI cohort study, the
19 addition of serum GFAP, measured within 24 h following mTBI was found to improve
20 the prediction of CT abnormalities [18]. Although the combination of GFAP and
21 UCH-L1 did not enhance this performance, compared to GFAP alone [18], it should
22 be noted that GFAP and UCH-L1 were measured within 24-h after TBI using a
23 research-use only (RUO) assay with poor agreement between replicates of
24 biomarker assessments. The present study will evaluate the diagnostic accuracy of
25 the combination of GFAP and UCH-L1, measured within 12 hours post-TBI, using an
26 automated Vidas IVD-platform. In addition, an external validation of the cut-off values
27 for these two biomarkers will be performed. Finally, GFAP and UCH-L1 will be
28 assessed regarding their possible prediction of mid-term neurological outcome and
29 quality of life.
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40 There are some limitations with the BRAINI protocol. First, variability in mTBI
41 management and CT ordering may be expected in Spain, as there is no consensus
42 regarding clinical decision rules for ordering CT, and inter-centre differences may
43 exist in France as well. This may in turn influence the CT-positive prevalence across
44 the sites. Second, differences might occur between local and central CT readings. To
45 mitigate this risk, an additional independent central CT reading will be performed for
46 final adjudication. Third, only mTBI patients with brain CT scans will be included in
47 this study. Patients discharged from the ED following clinical examination without CT
48 scan will not be included; therefore, their GFAP and UCH-L1 concentrations will not
49 be captured. As a consequence, the value of GFAP and UCH-L1 in all TBI
50 presentations will not be determined.
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Author's contribution: MR, AL, VB, JDC, OM, VP and JFP initiated the study design, wrote the study protocol and drafted the manuscript. All are part of the steering committee of the study. All authors attest to have significantly contributed to refinement of the study protocol and approved the final manuscript. All members of BRAINI contributed to the design and application of the protocol.

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Competing interests: VB, OM and VP are employees of bioMérieux.

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Study status: Recruitment started in August 2019. Study completion is planned in June 2021.

For peer review only

Figure Legend

Fig. 1. Study design and flow of the BRAINI study.

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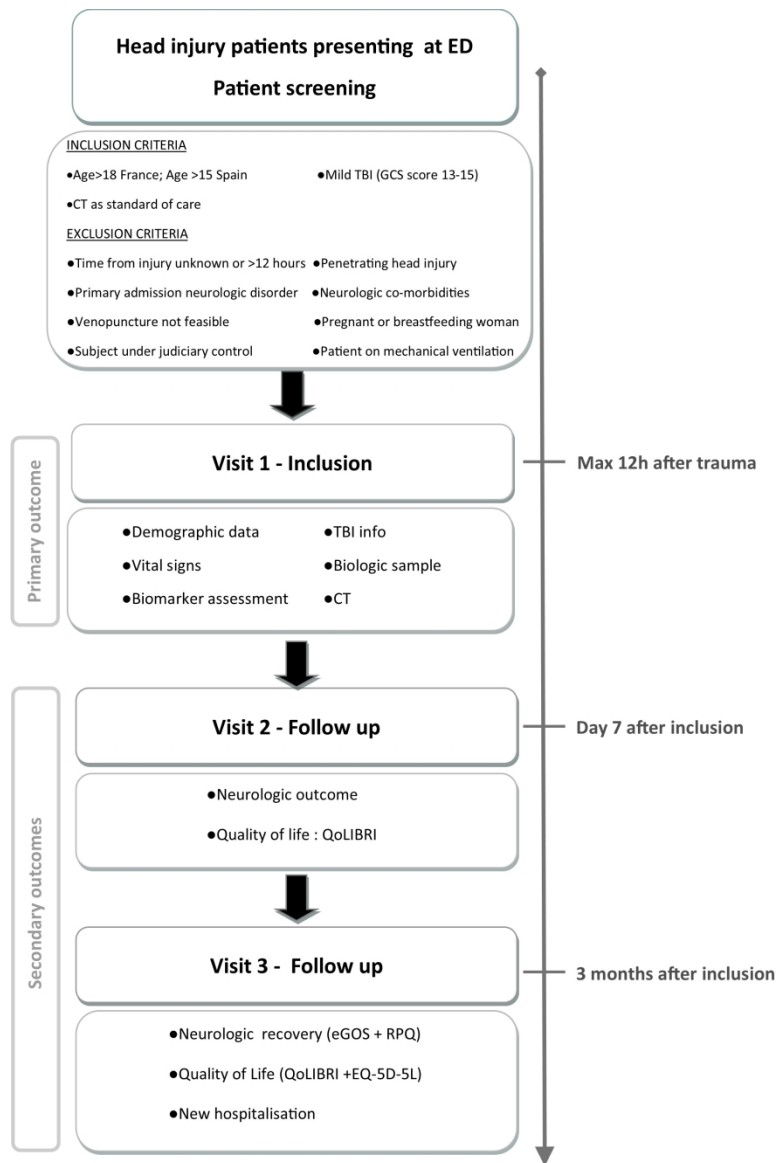


Figure 1. Study design and flow of the BRAINI study.

656x927mm (96 x 96 DPI)

BMJ Open

A study protocol for investigating the performance of an automated blood test measuring GFAP and UCH-L1 in a prospective observational cohort of patients with mild Traumatic BRAIN Injury: European BRAINI study

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A study protocol for investigating the performance of an automated blood test measuring GFAP and UCH-L1 in a prospective observational cohort of patients with mild Traumatic BRAIN Injury: European BRAINI study

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ABSTRACT

Introduction: Mild traumatic brain injury (mTBI) is a common cause of clinical consultation in the Emergency Department. Patients with mTBI may undergo brain CT scans based on clinical criteria. However, the proportion of patients with brain lesions on CT is very low. Two serum biomarkers, glial fibrillary acidic protein (GFAP) and ubiquitin carboxy-terminal hydrolase L1 (UCH-L1), have been shown to discriminate patients regarding the presence or absence of brain lesions on initial CT scan when assessed within the first 12 hours after TBI. However, the current technique for measuring serum concentrations of GFAP and UCH-L1 is manual and time consuming, which may hinder its use in routine clinical practice. This study assesses the diagnostic accuracy of an automated assay for the measurement of serum GFAP and UCH-L1 in a cohort of mTBI patients who received a CT scan as the standard of care.

Methods and analysis: This is a prospective multicentre observational study of 1760 mTBI patients recruited in France and Spain across 16 participating sites. Adult patients with an initial Glasgow Coma Scale score of 13-15 and a brain CT-scan underwent blood sampling within 12 hours after TBI. The primary outcome measure is the diagnostic performance of an automated assay measuring serum concentrations of GFAP and UCH-L1 for discriminating between patients with positive and negative findings on brain CT-scans. Secondary outcome measures include the performance of these two biomarkers in predicting the neurological status and quality of life at 1 week and 3 months after the trauma.

Ethics and dissemination: Ethics approval was obtained by the Institutional Review Board of Sud-Ouest Outre Mer III in France (Re#2019-A01525-52) and Hospital 12 de Octubre in Spain (Re#19/322). The results will be presented at scientific meetings and published in peer-reviewed publications.

Trial registration number (ClinicalTrials.gov): NCT04032509

Key words: Traumatic brain injury, biomarkers, diagnostic techniques and procedures, GFAP, UCH-L1, serum, computerized tomography, prognosis.

STRENGTHS AND LIMITATIONS OF THE STUDY

- This is a large prospective multicentre study to validate the value of serum biomarkers GFAP and UCH-L1 in predicting brain CT-scan findings after mTBI.
- The additional value of these two biomarkers will be assessed regarding neurological outcome and quality of life.
- Variability in the management of mTBI patients and in the indications of CT-scans may influence the rate of positive CT scans in the different participating centres, which may have an impact on the statistical power of the study.

INTRODUCTION

Mild traumatic brain injury (mTBI), as defined by a Glasgow Coma Scale (GCS) score of 13-15 [1], represents a frequent admission in the Emergency Department (ED) [2–4]. The initial management includes a non-contrast brain computed tomography (CT) scan if the patient meets specific conditions. However, the prevalence of CT-detected abnormalities is less than 10% among mTBI patients, and less than 1% of them will require neurosurgical procedures. Efforts have been made for decades to optimize the indications for brain CT scans after mTBI such as the New Orleans Criteria [5] and Canadian Head CT Rule [6] as well as national guidelines such as the French guidelines [7]. However, a certain variability exists among physicians regarding CT scan indications and some situations post-TBI may be confusing, e.g. intoxicated patients or patients with hearing loss or speech disturbances. As a consequence, up to 40% of CT scans prescribed in EDs would actually do not follow guideline recommendations [8], reflecting a substantial CT overuse.

Clinical decision rules for initial CT scan can be optimized with the use of an objective parameter that is easily and rapidly assessed. This could be achieved using blood concentrations of brain-damage biomarkers. Among candidates, serum protein S100B is the only biomarker used in Europe. The Scandinavian guidelines for the initial management of mild and moderate head injuries in adults provide recommendations for the use of S100B to rule out the need for head CT in mTBI. Multicentre validation of Scandinavian Guidelines is currently in progress in Sweden (**ClinicalTrials.gov*, 2017, NCT03280485). Unfortunately, the blood concentration of S100B can be affected by several factors such as multiple trauma, skin colour, presence of melanomas, and its clinical utility is limited by the short half-life (3h) of S100B in blood [9].

Recently, serum levels of ubiquitin carboxy-terminal hydrolase-L1 (UCH-L1) and glial fibrillary acidic protein (GFAP), two brain-specific proteins, were found to be elevated in patients with intracranial lesions visible on CT scans [10,11]. Data from the ALERT-TBI trial showed that serum GFAP and UCH-L1 protein concentrations are able to reliably predict the absence of clinically relevant lesions on CT scan in patients with mTBI [12]. The Banyan Brain Trauma Indicator (*Banyan BTI™ Package Insert*) has obtained FDA-clearance in February 2018. This is a manual immunoassay that measures GFAP and UCH-L1 serum concentrations with a

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3 sensitivity (95% lower confidence limit) and negative predictive value (95% lower
4 confidence limit) of 97.5% (93.7%) and 99.6% (98.8%), respectively[13]. However,
5 the manual ELISA technique takes 4 hours to provide results, which is too long for
6 mTBI triage in the ED setting. In addition, the cut-offs used in the ALERT-TBI trial
7 need to be externally validated [12,14].
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12 A faster *in vitro* diagnostic (IVD) technique is then required for a possible use in
13 clinical practice, together with an external validation of the diagnostic accuracy of
14 GFAP and UCH-L1 in mTBI patients [15–18]. Automated assays assessing serum
15 concentrations of GFAP and UCH-L1 have been developed on the VIDAS® platform
16 (bioMérieux, Marcy l’Etoile, France). The primary objective of the study is to evaluate
17 the diagnostic performance of the VIDAS® GFAP and VIDAS® UCHL-1 assays in a
18 prospective multicentre cohort of mTBI patients with respect to their brain CT scan
19 findings. The secondary objectives are to assess the ability of the two biomarkers to
20 predict the neurological status and quality of life at 1 week and 3 months after mTBI.
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31 **METHODS AND ANALYSIS**

32 **Study design**

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34 BRAINI is a prospective, multicentre, observational study in France and Spain.
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39 **Study setting**

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41 BRAINI includes 12 sites in France within university hospitals (Grenoble, Lyon
42 Edouard-Herriot, Lyon-Sud, Tours, Nantes, Dijon, Poitiers, Montpellier, Toulouse and
43 Bordeaux) and non-university hospitals (Annecy and Villefranche-sur-Saone) and 4
44 sites in Madrid, Spain, including University Hospital 12 de Octubre, University
45 Hospital Gregorio Marañón, Hospital del Tajo and Hospital de La Princesa. Each
46 centre was chosen based on documentation with regard to patient availability and
47 experience in mild TBI patient management.
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55 **Study population**

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57 Patients will be included if they meet the following criteria: age >18 years (France)
58 and > 15 years old (Spain), admitted for a mild TBI with GCS score 13-15, requiring
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3 brain CT scan as part of standard of care according to the French guidelines [7] or to
4 the in-charge physician in Spain, and 10-mL blood sample obtained as part of routine
5 blood samples within 12 hours after injury.
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9 Patients will be excluded if they have at least one of the following criteria: GCS score
10 3-12 on admission; time of injury unknown; time since injury exceeding 12 hours;
11 primary admission for non-traumatic neurological disorder (e.g., stroke, spontaneous
12 intracranial hematoma); penetrating head injury; mechanical ventilation;
13 neuropsychiatric and neurologic co-morbidities that might interfere with the
14 assessment of outcomes at 1 week and 3 months; venepuncture not feasible; no
15 brain CT scan; subject under judiciary control; pregnant or breastfeeding woman; or
16 participation in another therapeutic study.
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19 Patients will be included after verification of the eligibility criteria. In France patient
20 non-opposition to participate in the study must be documented. In Spain written
21 informed consent will be obtained, before inclusion in the study, from the patient or
22 next of kin if the patient is not in condition of giving consent.
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30 31 **Study outcomes**

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33 The primary outcome measure is the performance of the VIDAS® GFAP and
34 VIDAS® UCHL-1 assays in terms of sensitivity, specificity, positive predictive value
35 (PPV), negative predictive value (NPV) and their corresponding lower limit of the 95%
36 confidence interval with respect to brain CT scan findings, i.e., positive vs. negative
37 (see below).
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42 Secondary outcomes are measured at 1 week and 3 months post-TBI and include
43 neurological status, i.e., stable or degraded condition, and quality of life assessed
44 using the QOLIBRI questionnaire (quality of life after TBI) [19–21] at 1 week. At 3
45 months post-TBI, patients will be assessed according to the Extended Glasgow
46 Outcome Scale (GOSE) [22,23], the 5-level EQ-5D version [24], the QOLIBRI scale,
47 and the Rivermead Post-concussion Symptoms Questionnaire (RPQ) [25]. The study
48 design and flow is shown in Fig.1.
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56 57 **Data collection and data monitoring**

58 At each participating site, data will be collected and entered into the web-based
59 electronic CRF (eCRF) (Medsharing, Fontenay-sous-Bois, France) by clinical
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3 research associates under the supervision of the site principal investigators. The
4 study database will be created from the eCRF. Trained research coordinators will
5 monitor the data collection. The study will collect demographic and baseline
6 information at admission, the reason to prescribe brain CT scan and immediate CT
7 findings by the local radiologist, biological data if indicated by the in-charge
8 physician, a 10-ml study-specific blood sample within 12 hours after trauma, and
9 functional outcomes at 1 week and 3 months. At one week post-TBI, clinical research
10 associates will transmit information regarding the neurological status of the patients
11 to the coordinating centres (University Hospital Grenoble Alpes and University
12 Hospital 12 de Octubre, Madrid). Questionnaires at 3 months will be conducted by
13 trained central outcome assessors during a structured telephone interview.
14 Anonymized data from brain CT images will be transferred to a centralized platform
15 to be evaluated by a trained neuroradiologist. Capture of all data, particularly the
16 functional outcome assessments and CT scan analysis, will be conducted by persons
17 who are blinded to the results of the two biomarkers.
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31 **Data analysis: CT scan**

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33 Brain CT scans are performed as part of the patient standard of care and uploaded to
34 a secure web central database (SHANOIR-INRIA) for archive and central analysis.
35 CT scan findings are classified as CT-negative or CT-positive by the local and one
36 central reading. In case of disagreement between them, an additional central CT-
37 reading will be performed by a third radiologist for final adjudication. The criteria for
38 CT positivity are crucial because the primary objective is related to the CT results
39 (positive/negative). Criteria for CT-positive findings are as follows: a CT scan
40 classified as type II or greater according to the Traumatic Coma Databank (TCDB)
41 classification (range I-V), or a CT scan with the presence of the following lesions: a)
42 epidural haematoma, b) subdural haematoma, c) subarachnoid haemorrhage, d)
43 intraventricular haemorrhage, e) contusion, f) petechial haemorrhage or g) any
44 finding related to diffuse axonal injury (DAI) and depressed skull fracture. Linear skull
45 fractures will be recorded but not used for the definition of CT positive lesions.
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Data analysis: biomarkers

The 10-ml blood sample for determination of biomarkers will be collected using gel-separator tubes and allowed to clot for 30 minutes at room temperature (18-25°C) and then centrifuged at 2000G for 15 minutes. Serum will be aliquoted to 1ml cryovials, frozen and stored at -80°C within two hours of the extraction until shipment on dry ice to the central storage facility (bioMérieux R&D bioBank, Marcy l'Étoile, France). All measurement procedures will be conducted independently based on the clinical and CT-status of the patients. The samples will be batch-analysed using fully automated instruments (VIDAS®, bioMérieux) with kits to detect the serum concentrations of UCH-L1 and GFAP.

bioMérieux will perform analytical performance according to Clinical & Laboratory Standards Institute (CLSI) guidelines including precision study, i.e. repeatability and reproducibility of the assays. In the absence of reference standard and reference measurement procedure for GFAP and UCH-L1, the VIDAS® assays will be traceable to an internal standard. The metrological traceability chain defined in accordance with the standard ISO 17511 will ensure the GFAP and UCH-L1 values assigned to calibrators, product calibrator and patient samples.

Statistical considerations

The Banyan BTI™ test for which Bayan biomarkers got FDA clearance is the only IVD reference assay for GFAP and UCHL-1 so far. It will be used as the reference for the VIDAS® GFAP and VIDAS® UCHL-1 assays.

We formulate the hypothesis that the automated assay measuring the serum concentrations of GFAP and UCH-L1 will have a sensitivity of at least 97% and an NPV of at least 99% to rule out the need for CT scan with accuracy. Assuming a prevalence of 11% of positive brain CT scans, the enrolment of 176 patients with positive CT-scans and 1424 patients with negative CT-scans is required to achieve these indices of performance. . Assuming a 10% rate of loss to follow-up, the study recruitment target is set to 1760 patients in France and in Spain.

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3 Cut-off values for UCH-L1 (327 pg/ml) and GFAP (22 pg/ml) applied in the ALERT-
4 TBI study were fixed for the Banyan BTI™ [12]. We will verify the predictive
5 performances of UCH-L1 and GFAP measured on the VIDAS® instrument with these
6 predefined cut-off values. An analysis on whether alternative cut-offs could provide
7 better performance with the VIDAS® BTI, will also be performed based on BRAINI
8 study data. A method comparison between Banyan BTI™ and VIDAS® BTI will be
9 performed and will contribute to optimal cut-offs selection.

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16 The distribution of biomarker levels will be analysed by age, gender and other
17 predictors. As a secondary objective, the levels of GFAP and UCH-L1 obtained with
18 the VIDAS® assays will also be analysed according to the neurological outcome, the
19 presence of posttraumatic symptoms and quality of life (secondary objective). To test
20 whether these biomarkers are independent prognostic factors, multivariable analysis
21 will be conducted using logistic regression for dichotomized variables and linear
22 regression for quantitative variables. In addition, prediction models will be developed
23 to assess the contribution of biomarkers to existing diagnostic and prognostic tools.

31 32 **Patient and public involvement**

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35 No patient involved.

36 37 38 39 **ETHICS AND DISSEMINATION**

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42 Ethics approval was obtained from the CEIm (Comité Ético de Investigación Clínica)
43 of Hospital 12 de Octubre on 20 July 2019, Madrid (Re#19/322) and the study started
44 in August 2019. In France, the protocol was approved by the Institutional Review
45 Board of Sud-Ouest Outre Mer III on 14 November 2019 (Re#2019-A01525-52). The
46 study began in France in Grenoble on 29 November 2019. The National Commission
47 on Informatics and Liberty (France) gave its approval on 31 January 2020
48 (Re#919443).

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55 The results of this study will be presented at national and international meetings
56 and published in peer-reviewed journals. Patients will not be individually notified
57 regarding the results of the study. The principal publication from the study will be in
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3 the name of the BRAINI investigators with full credit assigned to all active,
4 collaborating investigators, research coordinators and institutions.
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10 **DISCUSSION**

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12 The protocol and future results of the current study should be analysed taking
13 into account previously published data. In the large CENTER-TBI cohort study,
14 performance of a panel of six blood biomarkers including UCH-L1 and GFAP showed
15 trends in biomarker ability to improve diagnosis, triage and clinical care in TBI in a
16 wide range of contexts of care (Emergency Room, ward admission and ICU) and
17 severities. GFAP, measured within 24 h following mTBI was found to improve the
18 prediction of CT abnormalities [18]. Although the combination of GFAP and UCH-L1
19 did not enhance this performance, compared to GFAP alone [18], it should be noted
20 that GFAP and UCH-L1 were measured within 24-h after TBI using a research-use
21 only (RUO) assay with poor agreement between replicates of biomarker
22 assessments. No cut-off values for GFAP or UCH-L1 were obtained or predefined in
23 the analysis. The present study will evaluate the diagnostic accuracy on the presence
24 of CT findings of the combination of GFAP and UCH-L1, measured within 12 hours
25 post-TBI, using an automated VIDAS® IVD-platform, in mild TBI patients. In addition,
26 an external validation of the cut-off values for these two biomarkers will be
27 performed. Finally, GFAP and UCH-L1 will be assessed regarding their possible
28 prediction of mid-term neurological outcome and quality of life.
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42 There are some limitations with the BRAINI protocol. First, variability in mTBI
43 management and CT ordering may be expected in Spain, as there is no consensus
44 regarding which clinical decision rules should be used for ordering CT, and inter-
45 centre differences may exist in France as well. This may in turn influence the CT-
46 positive prevalence across the sites. To understand the variability in CT ordering
47 between and within countries and centres, the reason for performing cranial CT will
48 be recorded and analysed in relation to the degree of compliance with clinical
49 decision rules for CT ordering and the percentage of positive CTs in each centre.
50 This information could help understand the generalizability of the results. Second,
51 differences might occur between local and central CT readings. To mitigate this risk,
52 an additional independent central CT reading will be performed for final adjudication.
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3 Third, only mTBI patients with brain CT scans will be included in this study. Patients
4 discharged from the ED following clinical examination without CT scan will not be
5 included; therefore, their GFAP and UCH-L1 concentrations will not be captured. As
6 a consequence, the value of GFAP and UCH-L1 in all TBI presentations will not be
7 determined.
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2
3 study by supplying the assays for the measurement of UCH-L1 and GFAP necessary
4 for this study.
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9 **Competing interests:** VB, OM and VP are employees of bioMérieux.
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16 **Study status:** Recruitment started in August 2019. Study completion is planned in
17 June 2021.
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5 **Figure Legend**
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10 Fig. 1. Study design and flow of the BRAINI study.
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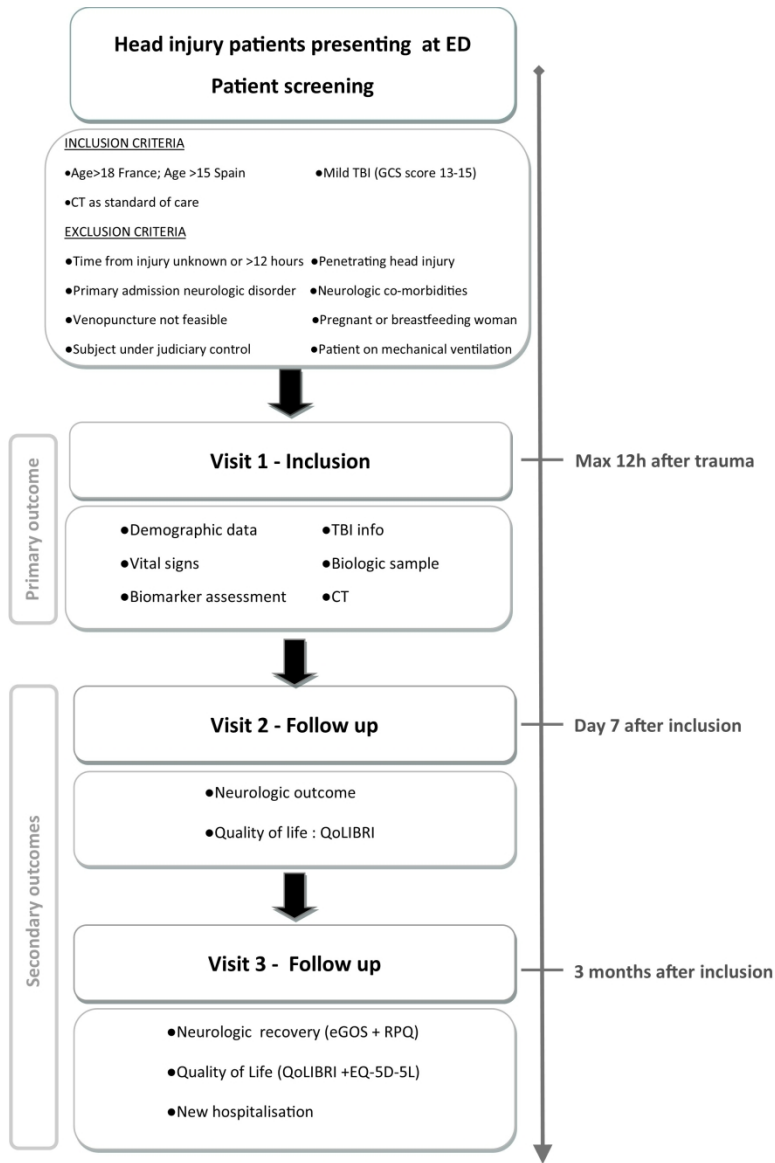


Figure 1. Study design and flow of the BRAINI study.

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