

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

Identifying information.

The work under consideration for publication.

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Relevant financial activities outside the submitted work.

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Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

Relationships not covered above.

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earning royalties or not

Royalties: Funds are coming in to you or your institution due to your

patent

Barreto



Section 1. Identifying Inform	nation		
1. Given Name (First Name) Jason	2. Surname (Last Name) Barreto		3. Date 07-September-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Na Dr. Kelly M. Pennington	me
5. Manuscript Title The Impact of Antifungal Prophylaxis in	n Lung Transplant Recipier	nts	
6. Manuscript Identifying Number (if you kr White-202003-267OC.R1	now it)		
Section 2. The Work Under C			
The work onder Co	onsideration for Publi		y w link stable was a fally
Did you or your institution at any time rece any aspect of the submitted work (including statistical analysis, etc.)?			
Are there any relevant conflicts of interes	est?		
Section 3. Relevant financial	activities outside the	submitted work.	
Place a check in the appropriate boxes i of compensation) with entities as descri clicking the "Add +" box. You should rep	bed in the instructions. Us	se one line for each entity; a	add as many lines as you need by
Are there any relevant conflicts of intere		e present during the 50 h	nonthis prior to publication.
If yes, please fill out the appropriate info	ormation below.		
Name of Entity	Grant.	n-Financial Other? Cor	nments
stellas Pharma Global Development, Inc.	✓	Resea	irch funding
Section 4. Intellectual Proper	ty Patents & Copyri <u>c</u>	yhts	
Do you have any patents, whether planr	ned, pending or issued, br	oadly relevant to the work?	Yes 🗸 No

Barreto



Section 5. Relationships not covered above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
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Section 6. Disclosure Statement
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Dr. Barreto reports grants from Astellas Pharma Global Development, Inc., outside of the submitted work.

Evaluation and Feedback

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Royalties: Funds are coming in to you or your institution due to your patent

Shah 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fir Nilay	rst Name)	2. Surname (Last Name) Shah	3. Date 03-February-2021
4. Are you the corr	responding author?	Yes ✓ No	Corresponding Author's Name Kelly Pennington
5. Manuscript Title The Impact of Ar		n Lung Transplant Recipien	its
6. Manuscript Ider White-202003-26	ntifying Number (if you kr 570C.R1	now it)	
Section 2.	The Work Under Co	onsideration for Public	cation
any aspect of the s statistical analysis,	ubmitted work (including	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Section 3.	Relevant financial	activities outside the s	ubmitted work.
of compensation clicking the "Add) with entities as descri	ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by se present during the 36 months prior to publication.
Section 4.	Intellectual Proper	rty Patents & Copyri <u>c</u>	yhts
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No

Shah 2



Section 5.	Relationships not covered above			
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✓ Yes, the follow	ving relationships/conditions/circumstances are present (explain below):			
No other relationships/conditions/circumstances that present a potential conflict of interest				
to establish Yale- the Centers of Mo Healthcare Resea of the National Ir	onths, Dr. Shah has received research support through Mayo Clinic from the Food and Drug Administration Mayo Clinic Center for Excellence in Regulatory Science and Innovation (CERSI) program (U01FD005938); edicare and Medicaid Innovation under the Transforming Clinical Practice Initiative (TCPI); the Agency for rch and Quality (R01HS025164; R01HS025402; R03HS025517); the National Heart, Lung and Blood Institute istitutes of Health (NIH) (R56HL130496; R01HL131535); the National Science Foundation; and the Patient nes Research Institute (PCORI) to develop a Clinical Data Research Network (LHSNet).			

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

Section 6.

Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

In the past 36 months, Dr. Shah has received research support through Mayo Clinic from the Food and Drug Administration to establish Yale-Mayo Clinic Center for Excellence in Regulatory Science and Innovation (CERSI) program (U01FD005938); the Centers of Medicare and Medicaid Innovation under the Transforming Clinical Practice Initiative (TCPI); the Agency for Healthcare Research and Quality (R01HS025164; R01HS025402; R03HS025517); the National Heart, Lung and Blood Institute of the National Institutes of Health (NIH) (R56HL130496; R01HL131535); the National Science Foundation; and the Patient Centered Outcomes Research Institute (PCORI) to develop a Clinical Data Research Network (LHSNet).

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Royalties: Funds are coming in to you or your institution due to your patent

Kennedy 1



Section 1. Identifying Inform	aation					
identifying inform						
Given Name (First Name) Cassie	2. Surname (Last Nar Kennedy	me)		3. Date 11-September-2020		
4. Are you the corresponding author?	✓ Yes No					
5. Manuscript Title The Impact of Antifungal Prophylaxis in	n Lung Transplant Rec	cipients				
6. Manuscript Identifying Number (if you kr White-202003-267OC.R1	now it)					
Section 2. The Work Under C	onsideration for P	ublication				
any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere If yes, please fill out the appropriate info	g but not limited to granest? Yes	nts, data monitoring	board, st	ent, commercial, private foundation, etc.) for udy design, manuscript preparation, ity press the "ADD" button to add a row.		
Excess rows can be removed by pressin	Excess rows can be removed by pressing the "X" button.					
Name of Institution/Company	Grant? Personal Fees?	Non-Financial Support?	Other?	Comments		
National Instituted of Health	V			HL128859		
Section 3. Relevant financial	activities outside	the submitted	work.			
Place a check in the appropriate boxes of compensation) with entities as descr clicking the "Add +" box. You should re Are there any relevant conflicts of interesting the second	ibed in the instruction port relationships thatest? Yes	ns. Use one line fo	or each er	ntity; add as many lines as you need by		
Name of Entity	Grant? Personal Fees?	Non-Financial Support?	Other?	Comments		
Baylor University in Houston, TX			✓	honorarium for visiting professor		
American Society of Transplantation			✓	travel support for consensus statement document meeting		
American College of Chest Physicians			✓	travel support for ACCP leadership meeting		

Kennedy 2



Soutien A
Section 4. Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Volume No
Section 5. Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
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Dr. Kennedy reports grants from National Instituted of Health, during the conduct of the study; other from Baylor University in Houston, TX, other from American Society of Transplantation, other from American College of Chest Physicians, outside the submitted work; .

Evaluation and Feedback

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Kennedy 3



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ert testimony, employment, or other affiliations patent **n-Financial Support:** Examples include drugs/equipment

Pennington 1



Section 1.	Identifying Inforn	nation				
1. Given Name (Fi Kelly	rst Name)	2. Surname (Last Name) Pennington		3. Date 06-September-2020		
4. Are you the cor	responding author?	✓ Yes No				
5. Manuscript Title The Impact of A		n Lung Transplant Recipients				
6. Manuscript Ide White-202003-2	ntifying Number (if you ki 67OC.R1	now it)				
Section 2.	Section 2. The Work Under Consideration for Publication					
any aspect of the s statistical analysis,	submitted work (including	g but not limited to grants, data n		mmercial, private foundation, etc.) for esign, manuscript preparation,		
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of compensation clicking the "Add	the appropriate boxes n) with entities as descr	in the table to indicate whether ibed in the instructions. Use o port relationships that were p	er you have financial rel ne line for each entity; a	lationships (regardless of amount add as many lines as you need by nonths prior to publication.		
Section 4.	Intellectual Prope	rty Patents & Copyright	s			
Do you have any	patents, whether plan	ned, pending or issued, broad	ly relevant to the work	? Yes 🗸 No		

Pennington 2



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Dr. Pennington has nothing to disclose.

Evaluation and Feedback

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Pennington 3



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patent

Dykhoff 1



Section 1. Identifying Infor	mation	
1. Given Name (First Name) Hayley	2. Surname (Last Name) Dykhoff	3. Date 08-September-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Kelly Pennington
5. Manuscript Title The Impact of Antifungal Prophylaxis	in Lung Transplant Recipien	nts
6. Manuscript Identifying Number (if you White-202003-267OC.R1	know it)	_
Section 2. The Work Under	Consideration for Public	ation
	ng but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
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Do you have any patents, whether pla		

Dykhoff 2



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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Other: Anything not covered under the previous three boxes

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Royalties: Funds are coming in to you or your institution due to your patent

Yao 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fir Xiaoxi	st Name)	2. Surname (Last Name Yao) 3. Date 27-January-2021
4. Are you the corr	responding author?	Yes ✓ No	Corresponding Author's Name
5. Manuscript Title The Impact of An		Lung Transplant Recip	ients
6. Manuscript Iden White-202003-26	ntifying Number (if you kn 57OC.R1	now it)	
Section 2.	The Work Under Co	onsideration for Pul	olication
any aspect of the su statistical analysis,	ubmitted work (including	but not limited to grants,	om a third party (government, commercial, private foundation, etc.) for data monitoring board, study design, manuscript preparation,
Section 3.	Relevant financial	activities outside th	e submitted work.
of compensation clicking the "Add) with entities as descri	bed in the instructions. port relationships that v	whether you have financial relationships (regardless of amount Use one line for each entity; add as many lines as you need by were present during the 36 months prior to publication .
Section 4.	Intellectual Proper	ty Patents & Copy	rights
Do you have any	patents, whether plan	ned, pending or issued,	broadly relevant to the work? Yes V No

Yao 2



Section 5. Relationships not covered above
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Section 6. Disclosure Statement
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Yao 3



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Royalties: Funds are coming in to you or your institution due to your patent

Sangaralingham 1



Section 1. Ident	ifying Information				
Given Name (First Name Lindsey) 2. Surname (La Sangaralingh	•			
4. Are you the correspondi	ng author? Yes	No Corresponding Author's Name Pennington, Kelly M., M.D.			
5. Manuscript Title The Impact of Antifunga	l Prophylaxis in Lung Transpla	nt Recipients			
6. Manuscript Identifying Number (if you know it)					
Section 2. The W	ork Under Consideration	for Publication			
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No					
Section 3. Releva	ant financial activities out	side the submitted work.			
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Section 4. Intelle	ectual Property Patents	& Copyrights			
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo					

Sangaralingham 2



Section 5. Polationships not sovered above				
Relationships not covered above				
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Section 6. Disalogues Statement				
Disclosure Statement				
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Dr. Sangaralingham has nothing to disclose.				

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Sangaralingham 3



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Royalties: Funds are coming in to you or your institution due to your patent

n-Financial Support: Examples include drugs/equipment

Peters 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fi Steve	rst Name)	2. Surname (Last Name) Peters	3. Date 06-September-2020	
4. Are you the corresponding author?		Yes ✓ No	Corresponding Author's Name Kelly Pennington	
5. Manuscript Title The Impact of Antifungal Prophylaxis in Lung Transplant Recipients			ts	
6. Manuscript Ider White-202003-26	ntifying Number (if you kr 67OC.R1	now it)		
			-	
Section 2.	The Work Under Co	onsideration for Public	ation	
any aspect of the s statistical analysis,	ubmitted work (including	but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,	
Section 3.	Relevant financial	activities outside the s	ubmitted work.	
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Section 4.	Intellectual Proper	rty Patents & Copyri <u>c</u>	yhts	
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo				

Peters 2



Section 5. Polationships not severed above			
Relationships not covered above			
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Razonable 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fir Raymund	, ,	2. Surname (Last Name) Razonable		3. Date 08-September-2020
4. Are you the corresponding author?		Yes No Corresponding Author's Name Kelly Pennington		Jame
5. Manuscript Title The Impact of An		Lung Transplant Recipie	nts	
6. Manuscript Ider White-202003-26	itifying Number (if you kn 57OC.R1	now it)		
Section 2.	The Work Under Co	onsideration for Publi	cation	
any aspect of the si statistical analysis,	ubmitted work (including	but not limited to grants, d		commercial, private foundation, etc.) for design, manuscript preparation,
Section 3.	Relevant financial	activities outside the	submitted work.	
of compensation clicking the "Add) with entities as descri +" box. You should rep	bed in the instructions. Uport relations hips that we	se one line for each entity;	elationships (regardless of amount ; add as many lines as you need by months prior to publication.
•	evant conflicts of intere out the appropriate info			
Name of Entity		Grant? Personal No	n-Financial Other? Co	omments
Roche		✓		
Renegeron		✓		
Section 4.	Intellectual Proper	ty Patents & Copyri	ghts	
Do you have any	patents, whether plani	ned, pending or issued, b	roadly relevant to the worl	k? ☐ Yes 🗸 No

Razonable 2



Section 5. Polationships not severed above				
Relationships not covered above				
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Section 6				
Section 6. Disclosure Statement				
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Dr. Razonable reports grants from Roche, grants from Renegeron, outside the submitted work; .				

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