

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Section 1. Ide	ntifying Inform	ation		
1. Given Name (First Na Jessica	me)	2. Surname (Last Name Atwell		Date July-2020
4. Are you the correspo	nding author?	Yes 🖌 No	Corresponding Author's Name Ruth Karron	
5. Manuscript Title Live-attenuated vacci	nes prevent respir	atory syncytial virus-a:	ssociated illness in young children	
6. Manuscript Identifyin	g Number (if you kn	ow it)		

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

🖌 No

Are there any relevant conflicts of interest? Yes

Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

No

Are there any relevant conflicts of interest? \checkmark Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
Novavax	\checkmark				To the Center for American Indian Health for RSV vaccine phase III clinical trial	
AstraZeneca / MedImmune	\checkmark				To the Center for American Indian Health for RSV monoclonal antibody clinical trial (phase III)	



Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Ves

Section 5. Relationships not covered above

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Dr. Atwell reports grants from Novavax, grants from AstraZeneca / MedImmune, outside the submitted work; .

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Section 1.	Identifying Inform	nation		
1. Given Name (First Name) Mariam		2. Surname (Last Name) Aziz		3. Date 16-July-2020
4. Are you the corresponding author?		Yes 🖌 No	Corresponding Author's Na Ruth Karron	me
5. Manuscript Titl Live-attenuated		ratory syncytial virus-ass	ociated illness in young child	ren
6. Manuscript Ide	ntifying Number (if you k	now it)		
Section 2.	The Work Under C	onsideration for Pub	lication	
	submitted work (including		m a third party (government, co data monitoring board, study de	mmercial, private foundation, etc.) for sign, manuscript preparation,
	levant conflicts of inter	est? 🗌 Yes 🖌 No		
Continue 2				
Section 3.	Relevant financial	activities outside the	submitted work.	
Place a check in	the appropriate boxes	in the table to indicate w	hether you have financial rel	ationships (regardless of amount

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Are there any relevant conflicts of interest?	Yes	🗸 N	١o
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Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?		Yes	\checkmark	No
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Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

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Dr. Aziz has nothing to disclose.

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Section 1.	Identifying Inform	mation	
1. Given Name (First Name) Ursula		2. Surname (Last Name) Buchholz	3. Date 07-May-2020
4. Are you the corresponding author?		Yes 🖌 No	Corresponding Author's Name Ruth Karron
5. Manuscript Title Live-attenuated		iratory syncytial virus-asso	ociated illness in young children
6. Manuscript Ider	ntifying Number (if you k	(now it)	
Section 2.	The Work Under O	Consideration for Publ	ication
	ubmitted work (includin		m a third party (government, commercial, private foundation, etc.) for data monitoring board, study design, manuscript preparation,
Are there any rel	evant conflicts of inte	rest? 🖌 Yes 🗌 No	
lf yes, please fill o	out the appropriate in	formation below. If you ha	ave more than one entity press the "ADD" button to add a row.

Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees	Non-Financial Support	Other?	Comments	
Sanofi Pasteur				\checkmark	CRADA support to NIH	

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Are there any relevant conflicts of interest? \checkmark Yes \square No

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Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? 🗸 Yes 🗌 No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments	
Patents on live-attenuated RSV	\checkmark	\checkmark	\checkmark	\checkmark	Sanofi Pasteur	Patents are transferred to NIH	

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Dr. Buchholz reports research support from Sanofi Pasteur during the conduct of the study; research support from Sanofi Pasteur, outside the submitted work; in addition, Dr. Buchholz has a patent patents on live-attenuated RSV with royalties paid to the NIH by Sanofi Pasteur.

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Section 1. Identifying Info	rmation		
1. Given Name (First Name) Peter	2. Surname (Last Name) Collins		Date 6-May-2020
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name Ruth Karron	
5. Manuscript Title Live-attenuated vaccines prevent res	piratory syncytial virus-ass	ociated illness in young childrer	1
6. Manuscript Identifying Number (if you	know it)		
Section 2. The Work Under	Consideration for Pub	ication	
Did you or your institution at any time re any aspect of the submitted work (include statistical analysis, etc.)?			-
Are there any relevant conflicts of inte	erest? 🖌 Yes 🗌 No		
If yes, please fill out the appropriate in	nformation below. If you h	ave more than one entity press	the "ADD" button to add a row.

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Name of Institution/Company	Grant?	Personal Fees	Non-Financial Support	Other?	Comments	
Sanofi Pasteur				\checkmark	CRADA support to NIH	

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1. Given Name (Fi Coleen	rst Name)	2. Surname (Last Name) Cunningham		3. Date 06-May-2020			
4. Are you the cor	responding author?	Yes 🖌 No	Corresponding Author's Na Karron, Ruth	me			
	5. Manuscript Title Live-attenuated vaccines prevent respiratory syncytial virus-associated illness in young children						
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any aspect of the s statistical analysis,	ubmitted work (including etc.)?	g but not limited to grants, c	n a third party (government, co lata monitoring board, study de	mmercial, private foundation, etc.) for esign, manuscript preparation,			
	etc.)? evant conflicts of inter	rest? 🗸 Yes 🗌 No					

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a rou	w.
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NIH	\checkmark				for conduct of study	

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No

Are there any relevant conflicts of interest? \checkmark Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees	Non-Financial Support <mark>?</mark>	Other?	Comments	
Sanofi		\checkmark			consulting	



Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Ves

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Section 1.	Identifying Infor	mation		
1. Given Name (Fi Jennifer	rst Name)	2. Surname (Last Name) Libous		3. Date 06-May-2020
4. Are you the cor	responding author?	Yes 🖌 No	Corresponding Author's Na Ruth A. Karron	me
5. Manuscript Title Live-attenuated		viratory syncytial virus-ass	ociated illness in young child	Iren
6. Manuscript Idei	ntifying Number (if you l	know it)		
Section 2.	The Work Under (Consideration for Pub	lication	
	ubmitted work (includir		m a third party (government, co data monitoring board, study de	mmercial, private foundation, etc.) for esign, manuscript preparation,
Are there any rel	evant conflicts of inte			

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a ro	w.
Excess rows can be removed by pressing the "X" button.	

Name of Institution/Company	Grant?	Personal Fees	Non-Financial Support <mark>?</mark>	Other?	Comments	
US National Institutes of Health	\checkmark					

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Are there any relevant conflicts of interest? Yes 🗸 No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🗸 No



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Section 1. Identifying Inform	ation	
1. Given Name (First Name) Elizabeth	2. Surname (Last Name) McFarland	3. Date 06-May-2020
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name Ruth Karron
5. Manuscript Title Live-attenuated vaccines prevent respir	atory syncytial virus-asso	ciated illness in young children
6. Manuscript Identifying Number (if you kn	ow it)	
		_
Section 2. The Work Under Co	onsideration for Publi	cation
	but not limited to grants, da	a a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
•	rmation below. If you hav	ve more than one entity press the "ADD" button to add a row.
Name of Institution/Company	Grant? Personal No	n-Financial Support? Other? Comments
IIH flow through funds via Westat, Inc and JHU		funds to the institution for conduct of the trial.
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Are there any relevant conflicts of interest? \Box Yes \checkmark No

 Section 4.
 Intellectual Property -- Patents & Copyrights

 Do you have any patents, whether planned, pending or issued, broadly relevant to the work?
 Yes

 Image: Section 4.
 Image: Section 4.



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Dr. McFarland reports grants from NIH flow through funds via Westat, Inc and JHU, during the conduct of the study; .

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Section 1.	Identifying Infor	mation		
1. Given Name (Fi Petronella		2. Surname (Last Name) Muresan		3. Date 07-May-2020
4. Are you the cor	responding author?	Yes 🖌 No	Corresponding Author's Na Ruth Karron	me
5. Manuscript Title Live-attenuated		iratory syncytial virus-asso	ociated illness in young child	Iren
6. Manuscript Ide	ntifying Number (if you	know it)		
Section 2.	The Work Under	Consideration for Publ	ication	
any aspect of the s statistical analysis,	submitted work (includir etc.)?	ng but not limited to grants, o	n a third party (government, co lata monitoring board, study de	mmercial, private foundation, etc.) for esign, manuscript preparation,
Are there any rel	evant conflicts of inte	rest? 🖌 Yes 🔄 No		

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row	٧.
Excess rows can be removed by pressing the "X" button.	

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NIH/NIAID	\checkmark				UM1 Al068616	

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Are there any relevant conflicts of interest? Yes

✓ No

Section 4. **Intellectual Property -- Patents & Copyrights**

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ✓ No Yes



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Dr. Muresan reports grants from NIH/NIAID, during the conduct of the study; .

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Section 1.	Identifying Inform	mation	
1. Given Name (Fi Charlotte		2. Surname (Last Name) Perlowski	3. Date 06-May-2020
4. Are you the corresponding author?		Yes 🖌 No	Corresponding Author's Name Ruth A. Karron
5. Manuscript Title Live-attenuated		iratory syncytial virus-ass	ociated illness in young children
6. Manuscript Ide	ntifying Number (if you k	xnow it)	
Section 2.	The Work Under O	Consideration for Pub	lication
	ubmitted work (includin		m a third party (government, commercial, private foundation, etc.) for data monitoring board, study design, manuscript preparation,

Are there any relevant conflicts of interest?	\checkmark	Yes		No
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If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row
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Name of Institution/Company	Grant?	Personal Fees	Non-Financial Support <mark>?</mark>	Other?	Comments	
US National Institutes of Health	\checkmark					

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✓ No

Section 4. **Intellectual Property -- Patents & Copyrights**

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ✓ No Yes



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1. Given Name (Fi Stephen	rst Name)	2. Surname (Las Spector	Name) 3. Date 06-May-2020
4. Are you the corresponding author?		Yes 🖌	lo Corresponding Author's Name Ruth Karron
5. Manuscript Title Live-attenuated		viratory syncytial v	rus-associated illness in young children
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	•		ices from a third party (government, commercial, private foundation, etc.) for grants, data monitoring board, study design, manuscript preparation,

statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No

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Do you have any patents, whether planned, pending or issued, broadly relevant to the work? $\; [$		Yes	\checkmark	Nc
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Section 1. Identifying Inform	nation					
1. Given Name (First Name) Suzanne	2. Surname (Last Name) Woods	3. Date 06-May-2020				
4. Are you the corresponding author?	✓ Yes No					
5. Manuscript Title Live-attenuated vaccines prevent resp	iratory syncytial virus-associated illness in young chi	ldren				
6. Manuscript Identifying Number (if you k	now it)					
Section 2. The Work Under C	Consideration for Publication					
	eive payment or services from a third party (government, o g but not limited to grants, data monitoring board, study					
Are there any relevant conflicts of inter	rest? Yes 🖌 No					
Section 3. Relevant financia	activities outside the submitted work.					
of compensation) with entities as desc clicking the "Add +" box. You should re	in the table to indicate whether you have financial r ribed in the instructions. Use one line for each entity port relationships that were present during the 36	; add as many lines as you need by				
Are there any relevant conflicts of inter	Are there any relevant conflicts of interest? \checkmark Yes \checkmark No					

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? $\; [$	'	Yes	\checkmark	No
			•	



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Ms. Woods has nothing to disclose.

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Section 1. Identifying Inform	mation		
1. Given Name (First Name) Kimberli	2. Surname (Last Name) Wanionek		3. Date 06-May-2020
4. Are you the corresponding author?	Yes 🗸 No	Corresponding Author's Na Ruth Karron	ame
5. Manuscript Title Live-attenuated vaccines prevent resp	iratory syncytial virus-asso	ociated illness in young child	dren
6. Manuscript Identifying Number (if you k	(now it)		
Section 2. The Work Under C	Consideration for Publ	ication	
Did you or your institution at any time reco any aspect of the submitted work (includin statistical analysis, etc.)?			
Are there any relevant conflicts of inter	rest? Yes 🖌 No		
Section 3. Relevant financial	l activities outside the	submitted work	
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Place a check in the appropriate boxes of compensation) with entities as desc clicking the "Add +" box. You should re	ribed in the instructions. l	Jse one line for each entity;	add as many lines as you need by
Are there any relevant conflicts of inter	rest? Yes 🖌 No		

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Do you have any patents, whether planned, pending or issued, broadly relevant to the work? 🗌 Yes 🛛 🗸 No	٥V
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Section 1. Identifying Infor	mation		
1. Given Name (First Name) Ram	2. Surname (Last Name) Yogev		3. Date 07-May-2020
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Nam Ruth A Karron	ne
5. Manuscript Title Live-attenuated vaccines prevent resp	piratory syncytial virus-asso	ciated illness in young childro	en
6. Manuscript Identifying Number (if you	know it)		
Section 2. The Work Under	Consideration for Publ	ication	
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Are there any relevant conflicts of inte	rest? Yes 🖌 No		
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Place a check in the appropriate boxes of compensation) with entities as desc clicking the "Add +" box. You should r	ribed in the instructions. U	lse one line for each entity; ac	ld as many lines as you need by

Are there any relevant conflicts of interest? \Box Yes \checkmark No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?		Yes	- √ !	No
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Section 1.	Identifying Infor	mation	
1. Given Name (Fin Ruth	rst Name)	2. Surname (Last Name) Karron	3. Date 18-July-2020
4. Are you the cor	responding author?	✓ Yes No	
5. Manuscript Title Live-attenuated		piratory syncytial virus-associated illness	s in young children
6. Manuscript Ider Blue-202005-166	ntifying Number (if you 50OC	know it)	

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Are there any relevant conflicts of interest? \checkmark Y	Yes	No
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If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row
Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees	Non-Financial Support <mark>?</mark>	Other?	Comments	
Sanofi Pasteur		\checkmark				

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✓ No

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√ No Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes



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Dr. Karron reports consulting with Sanofi Pasteur, Inc.

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