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Factor analysis of the My Positive Health dialogue tool: the first steps towards a measurement tool

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3 **Factor analysis of the My Positive Health dialogue tool: the first steps**
4 **towards a measurement tool**
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Factor analysis of the My Positive Health dialogue tool: the first steps towards a measurement tool

Abstract

OBJECTIVES: The My Positive Health (MPH) dialogue tool is increasingly adopted by healthcare professionals in the Netherlands as well as abroad to support people in their health. Given this trend, the need arises to measure effects of interventions on the Positive Health dimensions. However, the dialogue tool was not developed for this purpose. Therefore, this study aims to work towards a suitable measurement instrument using the My Positive Health (MPH) dialogue tool as starting point.

DESIGN: A cross-sectional study design

PARTICIPANTS AND SETTINGS: A total of 708 respondents, who were all members of the municipal health service panel in the eastern part of the Netherlands, completed the MPH dialogue tool.

METHODS: The factor structure of the MPH dialogue tool was explored through exploratory factor analysis (EFA) using maximum likelihood extraction. Next, the fit of the extracted factor structure was tested through confirmatory factor analysis (CFA). Reliability and discriminant validity of both a new model and the MPH scales was assessed through Cronbach's alpha tests.

RESULTS: Similar to the MPH dialogue tool, the extracted 17-item model has a six factor structure but named differently, comprising the factors Physical fitness, Mental functions, Future perspectives, Contentment, Social relations, and Health management. The reliability tests suggest good to very good reliability of the aimed measurement tool and MPH model (Cronbach's alpha values ranging from respectively .820 to .920 and .882 to .933). The measurement model shows acceptable discriminant validity, whereas the MPH model suggests overlap between domains.

CONCLUSION: The results suggest that the current MPH dialogue tool seems reliable as a dialogue, but is not suitable as a measurement tool. We therefore propose a 17-item model with improved, acceptable psychometric properties which can serve as a basis for further development of a measurement tool.

Article Summary

Strengths and limitations of this study

* The study sets the first steps for an additional measurement tool to evaluate interventions with a focus on Positive Health.

* Data from a large group of respondents (N= 708) with suitable characteristics for factor analysis were used.

* The study evaluated the reliability of the MPH dialogue tool, which is widely used in the Netherlands.

* Given the demographic origin and the relatively low response rate (22%) of the sample, the generalizability of the results of the study for the whole Dutch population should be studied.

Introduction

Over the last years, the European healthcare system is increasingly shifting its focus from cure and disease towards health and healthy behaviour[1, 2]. In the Netherlands, more attention is given to health-oriented approaches, which focus on health promotion, vitality and possibilities rather than on imperfections[3]. This process was accelerated by the demographic situation of an increasing number of elderly people with one or more chronic disease, increasing healthcare expenditures and an increasing wish of citizens for an active and autonomic role during medical consultation[1, 4, 5]. Within the shift towards health-oriented approaches, a focus on health which is broader than only biomedical aspects, and which contributes to achieving a more meaningful life, has gained more interest[6-10]. Furthermore, it is increasingly recognized that understanding patients' experiences about living with a disease, is of vital importance in the management of chronic diseases[11].

Taking this broader focus into mind, Huber et al. (2011)[12] proposed a new concept of health, which describes health "as the ability to adapt and self-manage, in the face of physical, mental and social challenges". According to this concept, being healthy reflects the capacity to deal with internal and external stressors, despite possible limitations – and the tendency to adapt to changing conditions. This opposes to the more static current definition of the WHO, which regards health "as a complete physical, mental and social wellbeing and not merely the absence of disease or infirmity". Although this definition was not intended as such, critics express that the very high ambition has resulted in a major focus on the diagnosis and treatment of symptoms and disease[13-16]. However, people with chronic diseases do not automatically see themselves as being unhealthy[17]. Similarly, many elderly people consider themselves to be healthy, even if their physical abilities are significantly reduced. To them, being healthy often means to have the ability to fulfil one's life[10, 18, 19]. This is fully in line with the content of the new concept of 2011.

The Dutch government considered the dynamic concept of health by Huber et al. (2011)[12] to serve well as a framework for the new strategy within the Dutch healthcare system and required an operationalisation study to make the concept useful for practice[20]. During this study the concept has been further elaborated into the concept of Positive Health, nowadays written with capitals as to express the specific content. The content of the concept is derived from interviews and focus groups with different stakeholder groups (e.g. patients, healthy citizens, healthcare professionals, etc.) This inductive, bottom-up approach enabled the researchers to gain a thorough insight into the perceptions about the health of patients and other stakeholders themselves. Positive Health represents a broad perception of health, expressed by six dimensions with 32 underlying aspects, representing indicators for health. The dimensions were by then named: bodily functions, mental functions and perception, spiritual existential dimension, quality of life, social and societal participation and daily functioning. The six dimensions were visualized in a spider web with six axes, representing these six dimensions and ranging from value 0 (in the centre for poor) to 10 (on the periphery, for excellent). Soon people in practice started to use the spiderweb in dialogue with patients.

Also soon after the spiderweb became available an attempt started to transform the dimensions and 32 aspects into a questionnaire that could serve as a validated outcome measurement instrument to measure Positive Health. However, this attempt failed[21]. The results of the validity study suggested that the tool was not suitable as a measurement instrument[21]. It appeared that the goal of a measurement tool to express outcomes in health in a fixed number was too far from the experienced reality of an individual. Moreover, the 32 items appeared to be rather long for measurement purposes but also interviews made clear that the language of the present spiderweb was too complex for many people. Because of this feedback the six dimensions and the underlying 32 aspects

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3 of Positive Health were elaborated by an expert panel group into a more simple language and into
4 42 aspects, including now (as exceptions) the determinants living conditions and having enough
5 money. The dimensions were renamed as bodily functions, mental well-being, meaningfulness,
6 quality of life, participation and daily functioning. The result was called: the My Positive Health
7 (MPH) dialogue tool (see www.MijnPositieveGezondheid.nl).
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9

10 This MPH tool aims to provide individuals insight in their own health and stimulate self-reflection. By
11 completing 42 statements, mean scores for each of the dimensions are graphically displayed in a
12 spider web. See Supplementary file 1. This spider web can be used during consultations with (for
13 example) healthcare professionals, to discuss one's perceived health and to reveal one's needs,
14 desires and abilities.. Thereby it could lead to identifiable statements which would find connection
15 with the people concerned. This recognition was confirmed by a user evaluation among populations
16 of healthy citizens, elderly, and chronically ill. The vast majority of the respondents rated the MPH
17 dialogue tool good to excellent[22]. The tool is widely used in the Netherlands, with more than
18 100.000 unique users for the digital version since its introduction in 2016 (see
19 MijnPositieveGezondheid.nl ("MyPositiveHealth.nl"). In addition, a paper version of the MPH tool is
20 used across a wide range of care centres.
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24 INSERT FIGURE 1 HERE.
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26 Along with the extensive use of the dialogue tool, a growing interest is once again observed for a
27 measurement instrument that measure changes in a person's Positive Health. Such a measurement
28 tool could provide stakeholders in various domains and levels (e.g. healthcare professionals, national
29 and local policy makers and insurers) with valid information on the effectiveness of a Positive Health
30 approach. Such insight could support them during the decision-making process and thereby enable a
31 more structural implementation of interventions that improve people's (positive) health. As far as we
32 were aware, since Prinsen no new attempt was made to develop a measurement instrument that
33 covers the broad concept of Positive Health[23, 24]. Therefore, the objective of this present study
34 was to set first steps in a new attempt towards a suitable measurement instrument with adequate
35 psychometric properties and scale brevity. In order to reach this objective, we first aimed to extract
36 an improved model to measure (positive) health by performing an Exploratory Factor Analysis (EFA)
37 and to test it through confirmatory factor analysis (CFA). Next, we also aim to determine the
38 reliability of the MPH dialogue tool.
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44 **Methods**

45 *Design*

46 This study comprised a cross-sectional quantitative survey study. Data from the quantitative survey
47 was used to develop a new model to measure health by performing an Exploratory Factor Analysis
48 (EFA) following a confirmatory factor analysis (CFA).
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54 *The instrument*

55 We use the digital version of the MPH dialogue tool (MijnPositieveGezondheid.nl;
56 "MyPositiveHealth.nl") in this study. In this digital version, 42 statement are proposed (7 for each
57 dimension) on an 11-point scale. 0 means totally disagree and 10 means totally agree. Target
58 population are Dutch citizens, with or without a chronic disease.
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Participants and data collection

Members of the citizens' panel of GGD Twente (regional municipal health service) were asked to fill out the 42 questions of the MPH dialogue tool. This panel comprises adults (19+) who took part in the national health survey of GGD Twente in the east of the Netherlands. This survey is carried out every four years to monitor the general state of health of Dutch citizens. At the end of that health survey, the participants were asked whether they were willing to participate in other, future studies by GGD Twente. For the present study, 3218 participants were invited by e-mail to enrol in the study. Of these, 708 participants (46% female, *M* age = 62, *SD* age = 15) completed the questionnaire (response rate is 22%). Data collection took place from January to February 2018.

Analytical plan

To examine the construct validity of the MPH tool, we used a split-half validation method in which we randomly divided the participants into two groups. We used one of these groups to explore the factor structure through exploratory factor analysis (EFA) and the other group to test the goodness of fit of the extracted factor structure through confirmatory factor analysis (CFA). In conducting the factor analyses, we followed best practices described by Brown[25], Costello and Osborne[26], and Cabrera-Nguyen[27].

Through EFA, we explored the factor structure using maximum likelihood (ML) extraction. Because we expected our factors to be interdependent aspects of Positive Health, we used ML extraction with direct oblimin rotation. We considered items with cross loading values of $\leq .32$ on at least two factors as weak (and thereby as candidates for deletion)[26]. And we considered items with factor loading of $\geq .60$ as strong[28].

We strove for a model with improved psychometric properties and scale brevity, while maintaining enough items to create stable factors. We therefore aimed to reduce each factor to three items with highest factor loadings.

Through CFA, we evaluated the goodness of fit of the factor structure extracted during EFA. With the CFA evaluation we compared the extracted factor structure with two baseline models containing all 42 items of the MPH dialogue tool: the original 6-factor structure that includes the six dimensions of health and a 1-factor structure which considers all items belonging to one health domain. This comparison helps to understand the degree to which our extracted factor structure fits unseen data better than respectively the original 6-factor structure and the 1-factor structure. We evaluated the goodness of fit using several indices: Pearson's Chi-squared test, comparative fit index (CFI; $> .95$ is acceptable), Tucker Lewis index (TLI; $> .95$ is acceptable), the root mean square error of approximation (RMSEA; $< .06$ is acceptable), and standardized root mean square residual (SRMR; $< .08$ is acceptable). These indices reflect model fit (Pearson's Chi-squared test), incremental fit (CFI, TLI), and absolute fit (RMSEA, SRMR). The threshold values we applied are cut-off values recommended by Hu and Bentler (1999)[29] and endorsed by both Brown (2014)[25] and Cabrera-Nguyen (2010)[27]. For fitting the models, we used lavaan version 0.6-3[30] in R version 3.5.1[31]. We used maximum likelihood estimation and standardized the latent factors to allow free estimation of all factor loadings.

Finally, we examined the reliability and discriminant validity of the factors of both the original 6-factor model (MPH dialogue tool) and the new experimental model. We examined the reliability by evaluating the Cronbach's alpha coefficients and tested the discriminant validity by evaluating the factor correlations.

Ethical considerations

Due to the harmless and non-invasive character of the study, this study was exempt from medical ethical approval. Prior to completion of the questionnaire, informed consent for the use of data for scientific purposes was asked in the invitation email to the participants. All data are handled anonymously.

Results

Exploratory Factor Analysis

Our exploration showed that the data are suitable for EFA. Our sample had both an acceptable size of 356[32 33] and a very common participant-to-item ratio (8.5:1). The Kaiser–Meyer–Olkin (KMO) statistic of sampling adequacy and the Bartlett’s of sphericity are both positive indicators for EFA suitability as well. The KMO test yielded a statistic of 0.97, implying that the dataset contains a significant number of factors, and the Bartlett’s test of sphericity yielded significant results, $\chi^2(41) = 318.368, p < .001$, implying that the correlations among variables are greater than one would expect by chance.

Common approaches for determining the number of factors to extract, showed support for the theoretically suggested 6-factor structure. First, the Kaiser criterion suggests that the data clusters in 6 factors, as they contain 6 factors with eigenvalues greater than one[34 35]. Second, the scree plot (see Figure 1) suggests 2, 4, or 6 factors because the eigenvalues level off after these amount of factors. Third, parallel analysis (see Figure 2), through which one compares the actual scree plot with the possible scree plot based on randomly resampled data, suggest a structure of 6 factors – the crossing point of the two plots. A 6-factor structure account for 67.5% of the total item variance.

INSERT FIGURE 1 HERE.

INSERT FIGURE 2 HERE.

Factor loadings are shown in Table 1. In this table, factor names for the experimental model are displayed in the second horizontal row. Overall, the items that group together in our EFA mostly group together in the original model as well.

Table 1 shows that the first factor has factor loadings above .40 for several items originating from the dimension Social and Societal Participation (SP). These items are: SP29 Social contacts, SP30 Being taken seriously, SP31 Doing fun things together, SP32 Having the support of others, SP33 Belonging. We selected the three highest factor loadings (SP29, SP32, and SP33) and labelled this factor ‘Social relations’.

The second factor showed loadings above .40 on items from the original dimension Daily Functioning (DF). These items are: DF36 Looking after yourself, DF37 Knowing your limitations, DF38 Knowledge of health, DF39 Managing time, DF40 Managing money. We selected the three highest factor loadings (DF37, DF38, DF39) and labelled this factor ‘Daily life-management’.

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3 The third factor showed that loadings above .40 were all strong factor loading ($\geq .60$) on items from
4 the original dimension Bodily Functions (BF). These items are: BF1 Feeling healthy, BF2 Feeling fit,
5 BF5 Eating pattern, BF6 Physical condition, and BF7 Exercise. We included the three items with
6 highest factor loadings (BF2, BF6, and BF7) and labelled this factor 'Physical fitness'.

8
9 The fourth factor showed loadings above .40 on items across three dimensions of the dialogue tool:
10 Mental Well-being (MW), Meaningfulness (MF), and Quality of Life (QL). These items are: MW11
11 Being cheerful, MW12 Accepting yourself, MW14 having control, MF16 Being high spirited, and
12 MF19 Accepting life, QL22 Enjoyment, QL23 Being happy, QL24 Feeling good, and QL25 Feeling well-
13 balanced. We selected the three highest factor loadings (QL23, QL24, and QL25) and labelled this
14 factor 'Contentment'.

16
17 The fifth factor showed loadings above .40 on items from one dimension of the dialogue tool: Mental
18 Wellbeing (MW) and Meaningfulness (MF). These items are: MW13 Being able to handle changes,
19 MF17 Wanting to achieve ideals, MF18 Feeling confident about own future, MF21 Continue learning,
20 and SP34 Doing meaningful things. We selected the three highest factor loadings (MW13, MF17, and
21 MF18) and labelled this factor 'Future perspectives'.

23
24 The last factor showed that loadings above .40 were all strong factor loading ($\geq .60$) on items from
25 one dimension of the dialogue tool: Mental Well-being (MW). These items are: MW8 Being able to
26 remember things and MW9 Being able to concentrate. We selected these two highest factor loadings
27 (MW8 and MW9) and labelled this factor 'Mental functioning', as these aspects solely focus on
28 cognitive abilities and do not concern any emotional aspects or feelings.

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31 In our exploration for a measurement instrument model, we were successful in reducing the number
32 of items for five factors from 7 to 3 items and for one factor to 2 items. The final factor structure we
33 extracted thus contained 17 items.
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Table 1. Factor Pattern/Structure Rotated to the Oblimin Criterion

Item number*	Description	Factor 1	Factor 2	Factor 3	Factor 4	Factor 5	Factor 6	h^2
		Social relations	Daily-management	Physical fitness	Contentment	Future achievements	Mental functioning	
BF1	Feeling healthy	-0.03	0.05	0.82	0.12	-0.02	0.03	0.807
BF2	Feeling fit	-0.04	0.03	0.86	0.12	-0.07	0.07	0.826
BF3	Having complaints or pain	0.10	-0.07	0.37	-0.11	0.10	0.13	0.250
BF4	Sleeping pattern	0.10	-0.10	0.36	0.25	0.01	0.21	0.464
BF5	Eating pattern	0.17	-0.01	0.43	0.12	0.04	0.13	0.517
BF6	Physical condition	0.09	-0.02	0.73	-0.08	0.12	0.02	0.675
BF7	Exercise	0.02	0.09	0.74	-0.17	0.16	-0.01	0.698
MW8	Being able to remember things	-0.03	-0.01	0.00	-0.08	0.01	0.95	0.820
MW9	Being able to concentrate	0.03	0.02	0.07	0.13	-0.01	0.77	0.817
MW10	Being able to communicate	0.10	0.36	0.08	-0.22	0.22	0.20	0.487
MW11	Being cheerful	0.24	0.03	0.12	0.46	0.07	0.19	0.775
MW12	Accepting yourself	0.21	0.14	0.13	0.46	-0.01	0.10	0.689
MW13	Being able to handle changes	0.10	0.10	0.05	-0.01	0.48	0.11	0.506
MW14	Having control	0.13	0.22	0.03	0.42	0.09	0.15	0.695
MF15	Having a meaningful life	0.28	0.17	0.05	0.35	0.20	0.06	0.795
MF16	Being high-spirited	0.13	0.13	0.20	0.41	0.17	0.08	0.784
MF17	Wanting to achieve ideals	-0.06	-0.05	0.06	0.01	0.87	0.07	0.770
MF18	Feeling confident about own future	0.12	0.03	0.01	0.19	0.68	0.00	0.801
MF19	Accepting life	0.06	0.18	0.08	0.41	0.21	0.00	0.570
MF20	Being grateful	0.24	0.09	0.04	0.31	0.32	0.03	0.680
MF21	Continue learning	0.06	0.13	0.15	-0.08	0.43	0.05	0.433
QL22	Enjoyment	0.16	0.14	0.15	0.50	0.19	0.00	0.828
QL23	Being happy	0.11	0.13	0.08	0.56	0.17	0.06	0.793
QL24	Feeling good	0.09	0.07	0.16	0.58	0.14	0.12	0.864
QL25	Feeling well-balanced	0.08	0.12	0.16	0.56	0.13	0.11	0.852

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3	QL26	Feeling safe	0.30	0.22	0.08	0.26	0.09	0.07	0.673
4	QL27	Living conditions	0.37	0.13	0.02	0.26	0.09	0.04	0.568
5	QL28	Having enough money	0.24	0.34	0.11	-0.10	0.15	0.05	0.480
6	SP29	Social contacts	0.88	0.02	0.00	-0.08	0.04	0.05	0.819
7	SP30	Being taken seriously	0.66	0.17	-0.10	-0.03	0.15	0.05	0.719
8	SP31	Doing fun things together	<i>0.81</i>	-0.02	0.08	0.07	0.03	-0.05	0.769
9	SP32	Having the support of others	0.88	0.03	-0.01	0.02	-0.09	0.05	0.761
10	SP33	Belonging	0.88	-0.04	0.03	0.08	0.01	-0.02	0.837
11	SP34	Doing meaningful things	0.21	0.12	0.04	0.12	<i>0.43</i>	0.04	0.629
12	SP35	Being interested in society	0.11	0.40	-0.03	0.11	0.20	0.10	0.553
13	DF36	Looking after yourself	0.13	<i>0.68</i>	0.13	-0.09	0.02	-0.06	0.633
14	DF37	Knowing your limitations	-0.06	0.88	-0.02	0.13	0.00	0.02	0.805
15	DF38	Knowledge of health	0.08	0.72	0.20	-0.03	-0.03	-0.03	0.714
16	DF39	Managing time	0.01	0.71	-0.07	0.14	-0.01	0.17	0.719
17	DF40	Managing money	0.13	<i>0.58</i>	0.02	-0.08	0.07	0.15	0.623
18	DF41	Being able to work	0.04	0.33	0.18	-0.05	0.29	0.02	0.492
19	DF42	Asking for help	0.18	0.20	0.01	-0.11	0.16	0.24	0.360
20									
21									
22									
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24									
25									
26	% of								
27	variance		15.1	12.8	11.2	10.9	10.3	7.2	67.5

Notes: Coefficients greater than |.40| are italicized. The items in bold are retained for that factor.

* BF refers to Bodily functions; MW refers to Mental well-being; MF refers to meaningfulness; QL refers to Quality of life; SP refers to Social and societal participation; DF refers to daily functioning

Confirmatory Factor Analysis

The two baseline models, against which we compared the factor structure we extracted during our EFA, had low fits. First, the original 6-factor structure had a CFI of .846, TLI of .835, RMSEA of .086 with 90% confidence interval (CI) [.082, .089], and an SRMR of 0.063. Second, the 1-factor structure had a CFI of .731, TLI of .717, RMSEA of .112 with 90% confidence interval (CI) [.109, .115], and an SRMR of 0.066. The factor structure we extracted during the EFA, in contrast, had an acceptable fit, with a CFI of .964, TLI of .953, RMSEA of .071 with 90% confidence interval (CI) [.062, .081], and an SRMR of 0.036. This fit was significantly better than the fit of both the original 6-factor solution ($\chi^2(700) = 2604.48, p < .001$) and the 1-factor solution ($\chi^2(715) = 4174.19, p < .001$).

The items we selected during the EFA all showed positive factor loadings on their respective domains, with standardized coefficients ranging from .714 to .971 (see Table 2), supporting the factor structure. As we report in Table 3, the items within each factor yielded highly consistent response. More specifically, the Cronbach's alpha values of the factors ranged from .820 to .920. The six factors correlated significantly positively among each other (see Table 3), indicating that individuals that score higher on one domain typically score higher on the other domains. The factor correlations did not exceed .80, which suggest acceptable discriminant validity[25]. In comparison, the factor correlations of the original model suggest overlap between Meaningfulness and Mental well-being, Mental well-being and Quality of Life, Quality of life and Meaningfulness, Quality of Life and Social and societal participation, and Social and societal participation and Daily functioning (see Table 4). Cronbach alpha values from this original model range from .882 to .933.

Table 2. Parameter Estimates Confirmatory Factor Analysis

Latent factor	Item	Description	B	SE	Z	Beta	p
Social relations	29	Social contacts	1.000	0.000		0.844	
Social relations	32	Having the support of others	1.096	0.056	19.485	0.841	***
Social relations	33	Belonging	1.180	0.054	21.956	0.911	***
Daily life-management	37	Knowing your limitations	1.000	0.000		0.892	
Daily life-management	38	Knowledge of health	1.046	0.039	26.516	0.933	***
Daily life-management	39	Managing time	1.093	0.055	19.895	0.803	***
Physical fitness	1	Feeling healthy	1.000	0.000		0.945	
Physical fitness	2	Feeling fit	1.046	0.042	24.890	0.882	***
Physical fitness	7	Exercise	1.027	0.057	17.969	0.742	***
Contentment	23	Being happy	1.000	0.000		0.870	
Contentment	24	Feeling good	1.104	0.043	25.949	0.938	***
Contentment	25	Feeling well-balanced	1.010	0.045	22.283	0.867	***
Future perspective	13	Being able to handle changes	1.000	0.000		0.714	
Future perspective	17	Wanting to achieve ideals	1.188	0.092	12.915	0.734	***
Future perspective	18	Feeling confident about own future	1.321	0.089	14.902	0.863	***
Mental functioning	8	Being able to remember things	1.000	0.000		0.833	
Mental functioning	9	Being able to concentrate	1.148	0.059	19.528	0.971	***

Notes: *** = $p < .001$, B = unstandardized estimates, Beta = standardized estimates.

Table 3. Means, SD, Cronbach's alpha (in correlation matrix diagonal) and correlations of the extracted factors (new model).

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Factor	M	SD	(1)	(2)	(3)	(4)	(5)	(6)
(1) Physical fitness	7.60	1.70	(.879)					
(2) Mental functions	7.76	1.58	.575***	(.894)				
(3) Future perspective	7.73	1.45	.550***	.573***	(.820)			
(4) Contentment	7.92	1.59	.638***	.626***	.730***	(.920)		
(5) Social relations	8.42	1.35	.538***	.576***	.640***	.698***	(.899)	
(6) Daily life-management	8.52	1.35	.573***	.619***	.666***	.691***	.720***	(.899)

Notes: *** = p < .001.

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Table 4. Means, SD, Cronbach's alpha (in correlation matrix diagonal) and correlations of the original factors (MPH dialogue tool).

Factor	<i>M</i>	<i>SD</i>	(1)	(2)	(3)	(4)	(5)	(6)
(1) Bodily functions	7.60	1.70	(.882)					
(2) Mental well-being	7.76	1.58	.720***	(.902)				
(3) Meaningfulness	7.73	1.45	.650***	.831***	(.904)			
(4) Quality of life	7.92	1.59	.723***	.833***	.875***	(.921)		
(5) Social and societal participation	8.42	1.35	.608***	.767***	.774***	.808***	(.933)	
(6) Daily functioning	8.52	1.35	.653***	.786***	.744***	.777***	.815***	(.901)

Notes: *** = $p < .001$.

Discussion

The results of our factor analysis support a factor structure of six dimensions. The model we extracted contained 17 items, comprising the factors Physical fitness, Mental functions, Future perspectives, Contentment, Social relations, and Daily life-management. The extracted model showed improved construct validity compared to the original model with good fit, high reliability and acceptable discriminant validity. For the MPH tool our reliability tests suggest good to very good reliability (Cronbach alpha values ranging from .882 to .933). Furthermore, our factor analysis suggests overlap across the dimensions Mental wellbeing, Meaningfulness, Quality of life, and Social and societal participation, making the MPH tool less suitable as a measurement tool.

This study addresses the difference in aims and thereby required properties between a dialogue tool and a measurement tool. The results show that a 17- item model has better psychometric properties, and can thereby serve as a strong basis for the development of a Positive Health measure. However, the 17- item scale neglects several aspects relevant to address when determining and discussing an individual's perception of health. For example, for bodily functions, the ignored items about sleeping and eating patterns can inspire ideas and improve dialogue about improving bodily functions. Similarly, it ignores the aspect *accepting yourself*, whereas this was considered the most important aspect of Mental wellbeing by respondents (18-25 years) in a study that focused on the development of an adolescent version of the tool[36]. Importance of this aspect came forward in studies regarding the development of health-related quality of life and subjective well-being scales as well[6, 37]. Furthermore, research shows that poor living conditions and financial problems are often accompanied by considerable physical and mental problems[38-40]. Inclusion of these aspects in the MPH dialogue tool provides a broader understanding of the multiple needs of an individual. This enables individuals to express their needs during the dialogue about the results and enables to refer them, if required, to appropriate providers. Overall, for two statements within the MPH dialogue tool it can be argued that they also function as determinants - which influence people's perceived health – like patients themselves expressed during the original study, or consequences of health as well. While such determinants and consequences of health are not appropriately represented in the measurement of health itself, we suggest that they may still be relevant in a dialogue tool.

Difficulties to blend the two goals of both a dialogue tool and a measurement tool were noted earlier. During the development process, an attempt to transform the dimensions and underlying aspects into a questionnaire that could serve as a validated outcome measurement instrument to measure Positive Health has failed[21]. At this stage it appeared that the goal of a measurement tool to express outcome in health in (a) fixed number(-s) was too far from the experienced reality of an individual. Based on the results of our study and the previous attempt, we therefore suggest the use of two separate tools: (1) a dialogue tool with the aim to elucidate a broad representation of a person's perceived health status, comprising a broad range of aspects and (2) a measurement tool with improved psychometric properties that is able to capture broad health in a valid and reliable way. For this second purpose, our extracted 17- item model may serve as a basis.

When further developing a measurement tool to the measure (positive) health, several issues need to be addressed. First, concepts like salutogenesis, sense of coherence, and resilience form a strong basis of Positive Health, focussing on the abilities of individuals to handle changes and experience meaningfulness. It should be further investigated whether these concepts are sufficiently addressed in

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3 the current 17-item experimental model. For example, the included aspects from the dimension of
4 Meaningfulness solely focus on future achievements, while meaningfulness can be regarded as an
5 integration of past, present and future[41]. Second, in line with the concept of health as the ability to
6 adapt and to self-manage, one could expect that an individual with poor objective health status can still
7 experience good health when being capable to deal well with the situation, while another individual with
8 better objective health status can experience lower health. It would be a challenge to develop a
9 measurement tool that does not reflect health by one continuum, but that can capture both realities.

12 *Methodological considerations*

14 This study was based on a survey among a citizen panel in eastern part of the Netherlands, with a mean
15 age of 62 ($SD = 15$) and 46% women. This relatively higher age of the study population compared to the
16 whole adult population in this region (M age = 51) may be the result of the relatively low response rate
17 of 22%. To be able to draw more firm conclusions about the psychometric properties of the MPH tool,
18 this should be investigated among other populations as well.

21 In our study we have focused on the construct validity. Therefore, other psychometric properties such as
22 the predictive validity and discriminant validity of the 17- item model remain still unknown. Such issues
23 should be addressed in future studies. Also, we made a first attempt to label the identified factors with
24 factor names. The appropriateness of these names should be further investigated.

26 We based the choice to select three items per factor on both practical and theoretical arguments. From
27 practical point of view, it is desirable to have a short and easy to use scale with acceptable psychometric
28 properties. A scale of 17 items can be completed within a short amount of time. From a theoretical
29 perspective, factors with fewer than three items are often weak and unstable[26].

32 *Conclusion*

34 Overall, we conclude that the overall structure of the MPH dialogue tool, seems reliable. While the 42-
35 item model might be suitable as a dialogue tool, this study shows that it is not suitable as a
36 measurement tool. Instead, we propose a 17-item model with a six factor structure, comprising the
37 factors Physical fitness, Mental functions, Future perspective, Contentment, Social relations, and Daily
38 life-management, which can serve as a basis for the development of an additional measurement tool.
39 Given the prevailing healthcare trend towards a focus on health and wellbeing, expressed by an
40 increased number of practices based on a Positive Health approach, the existence of such measurement
41 tool is of great importance.

46 **Author contributions**

47 SB collected the data. BD performed the statistical analysis. MV interpreted the results and wrote the
48 manuscript in collaboration with BD and EA. All authors commented and agreed on the final version.

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56 **Competing interest**

The authors declare no conflict of interest.

Data sharing statement

A request for sharing data can be made by sending an email to the corresponding author.

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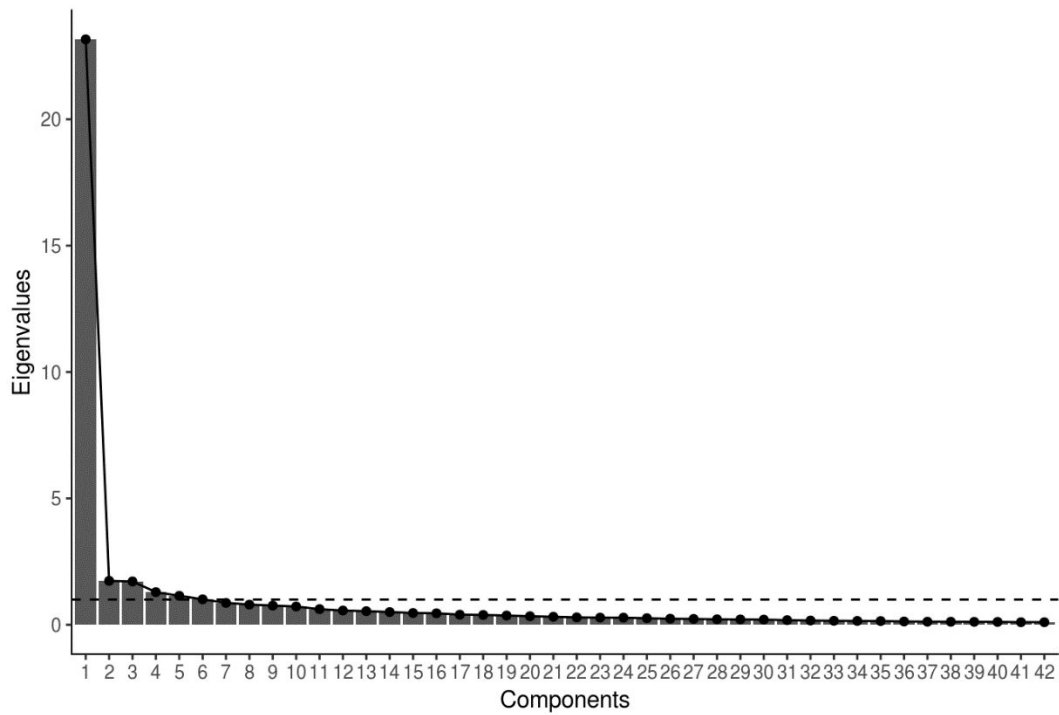


Figure 1. Scree plot. Note: Kaiser criterion is shown by the black dashed line.

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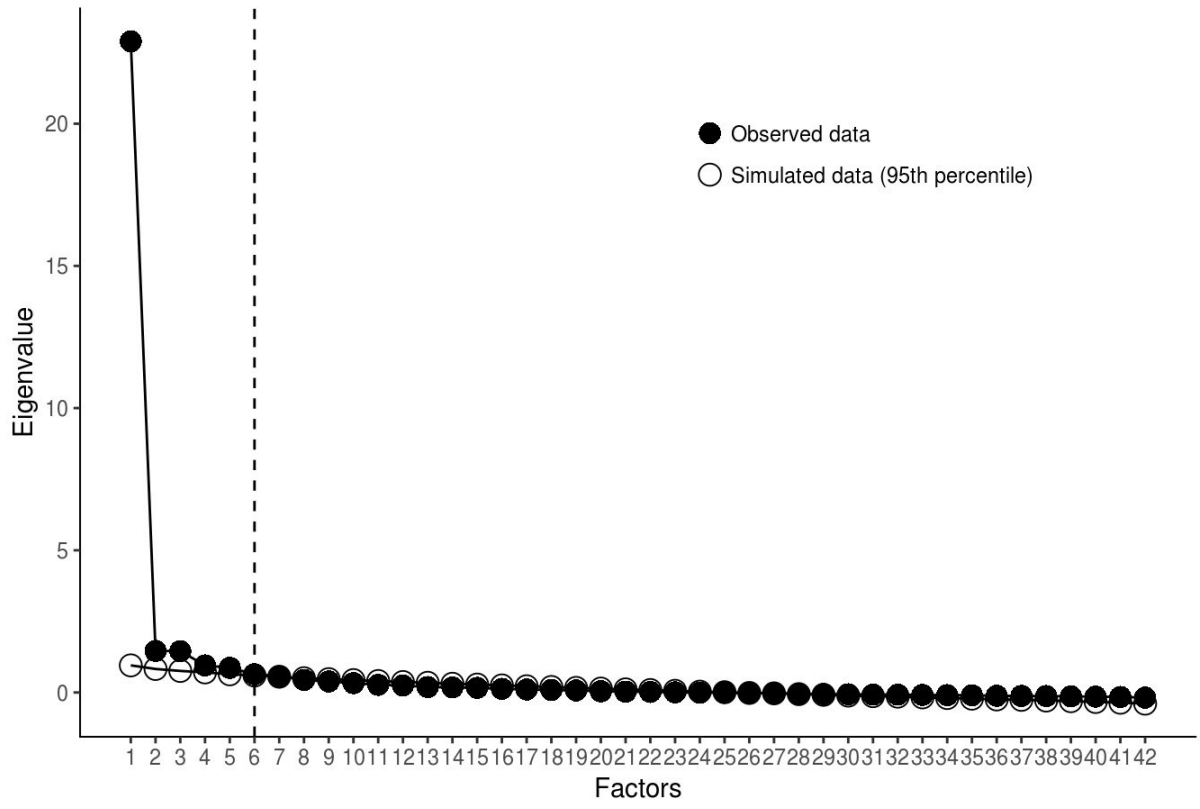
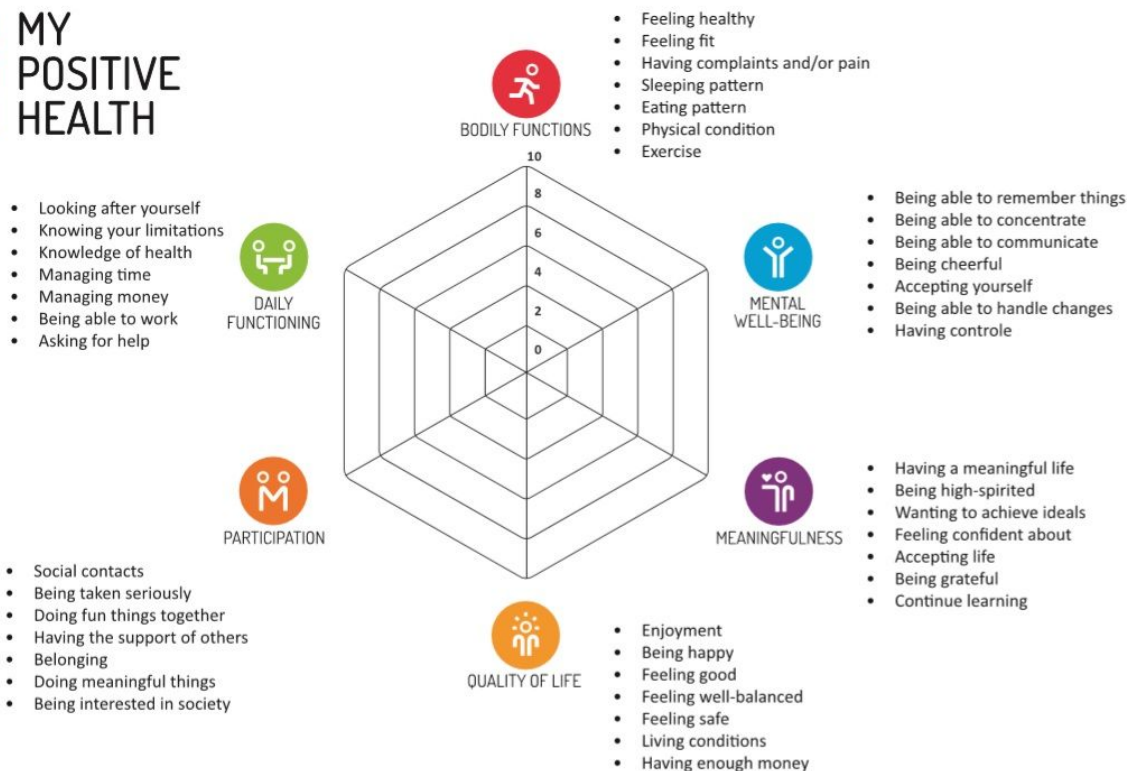


Figure 2. Parallel Analysis. Note: The 6-factor structure is shown by the black dashed line.

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**MY
POSITIVE
HEALTH**



Institute for Positive Health (IPH) | Dialogue tool 1.0



Supplementary file 1. My Positive Health spiderweb comprising 6 dimensions and 42 aspects

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BMJ Open

Factor analysis of the My Positive Health dialogue tool: the first steps towards a measurement tool

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Primary Subject Heading:	Public health
Secondary Subject Heading:	Research methods, Health services research, Patient-centred medicine, Health informatics
Keywords:	Quality in health care < HEALTH SERVICES ADMINISTRATION & MANAGEMENT, Health economics < HEALTH SERVICES ADMINISTRATION & MANAGEMENT, PREVENTIVE MEDICINE, PRIMARY CARE, PUBLIC HEALTH, STATISTICS & RESEARCH METHODS

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3 **Factor analysis of the My Positive Health dialogue tool: the first steps**
4 **towards a measurement tool**
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Word count: 4108 words

Factor analysis of the My Positive Health dialogue tool: the first steps towards a measurement tool

Abstract

OBJECTIVES: The My Positive Health (MPH) dialogue tool is increasingly adopted by healthcare professionals in the Netherlands as well as abroad to support people in their health. Given this trend, the need arises to measure effects of interventions on the Positive Health dimensions. However, the dialogue tool was not developed for this purpose. Therefore, this study aims to work towards a suitable measurement instrument using the My Positive Health (MPH) dialogue tool as starting point.

DESIGN: A cross-sectional study design

PARTICIPANTS AND SETTINGS: A total of 708 respondents, who were all members of the municipal health service panel in the eastern part of the Netherlands, completed the MPH dialogue tool.

METHODS: The factor structure of the MPH dialogue tool was explored through exploratory factor analysis (EFA) using maximum likelihood extraction. Next, the fit of the extracted factor structure was tested through confirmatory factor analysis (CFA). Reliability and discriminant validity of both a new model and the MPH scales was assessed through Cronbach's alpha tests.

RESULTS: Similar to the MPH dialogue tool, the extracted 17-item model has a six factor structure but named differently, comprising the factors Physical fitness, Mental functions, Future perspectives, Contentment, Social relations, and Health management. The reliability tests suggest good to very good reliability of the aimed measurement tool and MPH model (Cronbach's alpha values ranging from respectively .820 to .920 and .882 to .933). The measurement model shows acceptable discriminant validity, whereas the MPH model suggests overlap between domains.

CONCLUSION: The results suggest that the current MPH dialogue tool seems reliable as a dialogue, but is not suitable as a measurement tool. We therefore propose a 17-item model with improved, acceptable psychometric properties which can serve as a basis for further development of a measurement tool.

Article Summary

Strengths and limitations of this study

* The study sets the first steps for an additional measurement tool to evaluate interventions with a focus on Positive Health.

* Data from a large group of respondents (N= 708) with suitable characteristics for factor analysis were used.

* The study evaluated the reliability of the MPH dialogue tool, which is widely used in the Netherlands.

* Given the demographic origin and the relatively low response rate (22%) of the sample, the generalizability of the results of the study for the whole Dutch population should be studied.

Introduction

Over the last years, the European healthcare system is increasingly shifting its focus from cure and disease towards health and healthy behaviour[1, 2]. In the Netherlands, more attention is given to health-oriented approaches, which focus on health promotion, vitality and possibilities rather than on imperfections[3]. This process was accelerated by the demographic situation of an increasing number of elderly people with one or more chronic disease, increasing healthcare expenditures and an increasing wish of citizens for an active and autonomic role during medical consultation[1, 4, 5]. Within the shift towards health-oriented approaches, a focus on health which is broader than only biomedical aspects, and which contributes to achieving a more meaningful life, has gained more interest[6-10]. Furthermore, it is increasingly recognized that understanding patients' experiences about living with a disease, is of vital importance in the management of chronic diseases[11].

Taking this broader focus into mind, Huber et al. (2011)[12] proposed a new concept of health, which describes health "as the ability to adapt and self-manage, in the face of physical, mental and social challenges". According to this concept, being healthy reflects the capacity to deal with internal and external stressors, despite possible limitations – and the tendency to adapt to changing conditions. This opposes to the more static current definition of the WHO, which regards health "as a complete physical, mental and social wellbeing and not merely the absence of disease or infirmity". Although this definition was not intended as such, critics express that the very high ambition has resulted in a major focus on the diagnosis and treatment of symptoms and disease[13-16]. However, people with chronic diseases do not automatically see themselves as being unhealthy[17]. Similarly, many elderly people consider themselves to be healthy, even if their physical abilities are significantly reduced. To them, being healthy often means to have the ability to fulfil one's life[10, 18, 19]. This is fully in line with the content of the new concept of 2011.

The Dutch government considered the dynamic concept of health by Huber et al. (2011)[12] to serve well as a framework for the new strategy within the Dutch healthcare system and required an operationalisation study to make the concept useful for practice[20]. During this study the concept has been further elaborated into the concept of Positive Health, nowadays written with capitals as to express the specific content. The content of the concept is derived from interviews and focus groups with different stakeholder groups (e.g. patients, healthy citizens, healthcare professionals, etc.) This inductive, bottom-up approach enabled the researchers to gain a thorough insight into the perceptions about the health of patients and other stakeholders themselves. Positive Health represents a broad perception of health, expressed by six dimensions with 32 underlying aspects, representing indicators for health. The dimensions were by then named: bodily functions, mental functions and perception, spiritual existential dimension, quality of life, social and societal participation and daily functioning. The six dimensions were visualized in a spider web with six axes, representing these six dimensions and ranging from value 0 (in the centre for poor) to 10 (on the periphery, for excellent). Soon people in practice started to use the spiderweb in dialogue with patients.

Also soon after the spiderweb became available an attempt started to transform the dimensions and 32 aspects into a questionnaire that could serve as a validated outcome measurement instrument to measure Positive Health. However, this attempt failed[21]. The results of the validity study suggested that the tool was not suitable as a measurement instrument[21]. It appeared that the goal of a measurement tool to express outcomes in health in a fixed number was too far from the experienced reality of an individual. Moreover, the 32 items appeared to be rather long for measurement purposes but also interviews made clear that the language of the present spiderweb was too complex for many people. Because of this feedback the six dimensions and the underlying 32 aspects

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3 of Positive Health were elaborated by an expert panel group into a more simple language and into
4 42 aspects, including now (as exceptions) the determinants living conditions and having enough
5 money. The dimensions were renamed as bodily functions, mental well-being, meaningfulness,
6 quality of life, participation and daily functioning. The result was called: the My Positive Health
7 (MPH) dialogue tool (see www.MijnPositieveGezondheid.nl).
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10 This MPH tool aims to provide individuals insight in their own health and stimulate self-reflection. By
11 completing 42 statements, mean scores for each of the dimensions are graphically displayed in a
12 spider web. See Supplementary file 1. This spider web can be used during consultations with (for
13 example) healthcare professionals, to discuss one's perceived health and to reveal one's needs,
14 desires and abilities. Thereby it could lead to identifiable statements which would find connection
15 with the people concerned. This recognition was confirmed by a user evaluation among populations
16 of healthy citizens, elderly, and chronically ill. The vast majority of the respondents rated the MPH
17 dialogue tool good to excellent[22]. The tool is widely used in the Netherlands, with more than
18 100.000 unique users for the digital version since its introduction in 2016 (see
19 MijnPositieveGezondheid.nl ("MyPositiveHealth.nl"). In addition, a paper version of the MPH tool is
20 used across a wide range of care centres.
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24 INSERT SUPPLEMENTARY FILE 1 HERE.
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26 Along with the extensive use of the dialogue tool, a growing interest is once again observed for a
27 measurement instrument that measure changes in a person's Positive Health. Such a measurement
28 tool could provide stakeholders in various domains and levels (e.g. healthcare professionals, national
29 and local policy makers and insurers) with valid information on the effectiveness of a Positive Health
30 approach. Such insight could support them during the decision-making process and thereby enable a
31 more structural implementation of interventions that improve people's (positive) health. As far as we
32 were aware, no other validated questionnaire is available that covers the broad concept of Positive
33 Health and since Prinsen no new attempt was made to develop such measurement instrument [23,
34 24]. The objective of this present study was to set first steps in a new attempt towards a suitable
35 measurement instrument with adequate psychometric properties and scale brevity. This instrument
36 could meet the needs of professionals wishing to evaluate their Positive Health interventions. In
37 order to reach this objective, we aimed to extract an improved model to measure (positive) health by
38 performing an Exploratory Factor Analysis (EFA) and to test it through confirmatory factor analysis
39 (CFA). Performing a factor analysis will not only help to identify items to measure (positive) health, it
40 will also provide insight into the adequacy of the current arrangement of dimensions and aspects of
41 the MPH dialogue tool. Furthermore, we also aimed to examine the reliability of the MPH dialogue
42 tool.
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49 **Methods**

50 *Design*

51 This study comprised a cross-sectional quantitative survey study. Data from the quantitative survey
52 was used to develop a new model to measure health by performing an Exploratory Factor Analysis
53 (EFA) following a confirmatory factor analysis (CFA).
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59 *The instrument*

We use the digital version of the MPH dialogue tool (MijnPositieveGezondheid.nl; “MyPositiveHealth.nl”) in this study. In this digital version, 42 statement are proposed (7 for each dimension) on an 11-point scale. 0 means totally disagree and 10 means totally agree. Target population are Dutch citizens, with or without a chronic disease.

Participants and data collection

Members of the citizens’ panel of GGD Twente (regional municipal health service) were asked to fill out the 42 questions of the MPH dialogue tool and were asked for their age, gender, educational level, poverty (difficulty getting around), and health literacy (difficulty understanding health information such as leaflets). This panel comprises adults (19+) who took part in the national health survey of GGD Twente in the east of the Netherlands. This survey is carried out every four years to monitor the general state of health of Dutch citizens. At the end of that health survey, the participants were asked whether they were willing to participate in other, future studies by GGD Twente. Participants were invited by email to complete the questionnaire. Data collection took place from January to February 2018.

Analytical plan

To examine the construct validity of the MPH tool, we used a split-half validation method in which we randomly divided the participants into two groups. We used one of these groups to explore the factor structure through exploratory factor analysis (EFA) and the other group to test the goodness of fit of the extracted factor structure through confirmatory factor analysis (CFA). In conducting the factor analyses, we followed best practices described by Brown[25], Costello and Osborne[26], and Cabrera-Nguyen[27]. Suitability of the data for EFA was examined based on the Kaiser–Meyer–Olkin (KMO) statistic of sampling adequacy and the Bartlett’s test of sphericity[28 29].

Through EFA, we explored the factor structure using maximum likelihood (ML) extraction. Because we expected our factors to be interdependent aspects of Positive Health, we used ML extraction with direct oblimin rotation. Kaiser criterion, scree plot analysis, and parallel analysis were used to determine the numbers of factors to extract[30 31]. We considered items with cross loading values of $\leq .32$ on at least two factors as weak (and thereby as candidates for deletion)[26]. And we considered items with factor loading of $\geq .60$ as strong[32].

We strove for a model with improved psychometric properties and scale brevity, while maintaining enough items to create stable factors. We therefore aimed to reduce each factor to three items with highest factor loadings.

Through CFA, we evaluated the goodness of fit of the factor structure extracted during EFA. With the CFA evaluation we compared the extracted factor structure with two baseline models containing all 42 items of the MPH dialogue tool: the original 6-factor structure that includes the six dimensions of health and a 1-factor structure which considers all items belonging to one health domain. This comparison helps to understand the degree to which our extracted factor structure fits unseen data better than respectively the original 6-factor structure and the 1-factor structure. We evaluated the goodness of fit using several indices: Pearson’s Chi-squared test, comparative fit index (CFI; $> .95$ is acceptable), Tucker Lewis index (TLI; $> .95$ is acceptable), the root mean square error of approximation (RMSEA; $< .06$ is acceptable), and standardized root mean square residual (SRMR; $< .08$ is acceptable). These indices reflect model fit (Pearson’s Chi-squared test), incremental fit (CFI, TLI), and absolute fit (RMSEA, SRMR). The threshold values we applied are cut-off values recommended by Hu and Bentler (1999)[33] and endorsed by both Brown (2014)[25] and Cabrera-Nguyen (2010)[27]. For fitting the models, we used lavaan version 0.6-3[34] in R version 3.5.1[35].

We used maximum likelihood estimation and standardized the latent factors to allow free estimation of all factor loadings.

Finally, we examined the reliability and discriminant validity of the factors of both the original 6-factor model (MPH dialogue tool) and the new experimental model. We examined the reliability by evaluating the Cronbach's alpha coefficients and tested the discriminant validity by evaluating the factor correlations.

Ethical considerations

Due to the harmless and non-invasive character of the study, this study was exempt from medical ethical approval. Prior to completion of the questionnaire, informed consent for the use of data for scientific purposes was asked in the invitation email to the participants. All data are handled anonymously.

Results

Characteristics of respondents

In total, 3218 participants were invited to enrol in the study. Of those, 708 participants completed the questionnaire (response rate is 22%). The mean age of the respondents was 62 years (SD = 15) and 46% of them were female. Most respondents had a high educational level 44% (medium 34%; low 22%). And 9% of the respondents indicated some to severe difficulty in getting around (poverty). Low health literacy (difficulty understanding health information such as leaflets) was indicated by 5% of the respondents. Comparing to the general 19+ population in the region Twente the respondents were older (Twente: mean age = 51); were higher educated (Twente: high educational level = 30%); had higher health literacy (Twente: 9%) and had less difficulty in getting around (Twente: 17%).

Exploratory Factor Analysis

Our exploration showed that the data are suitable for EFA. Our sample had both an acceptable size of 356[32 33] and a very common participant-to-item ratio (8.5:1). The KMO test yielded a statistic of 0.97, implying that the dataset contains a significant number of factors, and the Bartlett's test of sphericity yielded significant results, $\chi^2(41) = 318.368, p < .001$, implying that the correlations among variables are greater than one would expect by chance.

Common approaches for determining the number of factors to extract showed support for a 6-factor structure. First, the Kaiser criterion method showed that the data contain 6 factors with eigenvalues greater than one, suggesting that the data clusters in 6 factors. . Second, the scree plot suggested 2, 4, or 6 factors because the eigenvalues level off after these amount of factors (see Figure 1). Third, parallel analysis (see Figure 2) suggested a structure of 6 factors – the crossing point of the actual scree plot with the possible scree plot based on randomly resampled data. A 6-factor structure accounts for 67.5% of the total item variance.

INSERT FIGURE 1 HERE.

INSERT FIGURE 2 HERE.

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3 Factor loadings are shown in Table 1. In this table, factor names for the experimental model are
4 displayed in the second horizontal row. Overall, the items that group together in our EFA mostly
5 group together in the original model as well.
6

7 Table 1 shows that the first factor has factor loadings above .40 for several items originating from the
8 dimension Social and Societal Participation (SP). These items are: SP29 Social contacts, SP30 Being
9 taken seriously, SP31 Doing fun things together, SP32 Having the support of others, SP33 Belonging.
10 We selected the three highest factor loadings (SP29, SP32, and SP33) and labelled this factor 'Social
11 relations'.
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14 The second factor showed loadings above .40 on items from the original dimension Daily Functioning
15 (DF). These items are: DF36 Looking after yourself, DF37 Knowing your limitations, DF38 Knowledge
16 of health, DF39 Managing time, DF40 Managing money. We selected the three highest factor
17 loadings (DF37, DF38, DF39) and labelled this factor 'Daily life-management'.
18

19 The third factor showed that loadings above .40 were all strong factor loading ($\geq .60$) on items from
20 the original dimension Bodily Functions (BF). These items are: BF1 Feeling healthy, BF2 Feeling fit,
21 BF5 Eating pattern, BF6 Physical condition, and BF7 Exercise. We included the three items with
22 highest factor loadings (BF2, BF6, and BF7) and labelled this factor 'Physical fitness'.
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25 The fourth factor showed loadings above .40 on items across three dimensions of the dialogue tool:
26 Mental Well-being (MW), Meaningfulness (MF), and Quality of Life (QL). These items are: MW11
27 Being cheerful, MW12 Accepting yourself, MW14 having control, MF16 Being high spirited, and
28 MF19 Accepting life, QL22 Enjoyment, QL23 Being happy, QL24 Feeling good, and QL25 Feeling well-
29 balanced. We selected the three highest factor loadings (QL23, QL24, and QL25) and labelled this
30 factor 'Contentment'.
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33 The fifth factor showed loadings above .40 on items from one dimension of the dialogue tool: Mental
34 Wellbeing (MW) and Meaningfulness (MF). These items are: MW13 Being able to handle changes,
35 MF17 Wanting to achieve ideals, MF18 Feeling confident about own future, MF21 Continue learning,
36 and SP34 Doing meaningful things. We selected the three highest factor loadings (MW13, MF17, and
37 MF18) and labelled this factor 'Future perspectives'.
38

39 The last factor showed that loadings above .40 were all strong factor loading ($\geq .60$) on items from
40 one dimension of the dialogue tool: Mental Well-being (MW). These items are: MW8 Being able to
41 remember things and MW9 Being able to concentrate. We selected these two highest factor loadings
42 (MW8 and MW9) and labelled this factor 'Mental functioning', as these aspects solely focus on
43 cognitive abilities and do not concern any emotional aspects or feelings.
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46 In our exploration for a measurement instrument model, we were successful in reducing the number
47 of items for five factors from 7 to 3 items and for one factor to 2 items. The final factor structure we
48 extracted thus contained 17 items.
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Table 1. Factor Pattern/Structure Rotated to the Oblimin Criterion

Item number*	Description	Factor 1	Factor 2	Factor 3	Factor 4	Factor 5	Factor 6	h^2
		Social relations	Daily-management	Physical fitness	Contentment	Future achievements	Mental functioning	
BF1	Feeling healthy	-0.03	0.05	0.82	0.12	-0.02	0.03	0.81
BF2	Feeling fit	-0.04	0.03	0.86	0.12	-0.07	0.07	0.83
BF3	Having complaints or pain	0.10	-0.07	0.37	-0.11	0.10	0.13	0.25
BF4	Sleeping pattern	0.10	-0.10	0.36	0.25	0.01	0.21	0.46
BF5	Eating pattern	0.17	-0.01	0.43	0.12	0.04	0.13	0.52
BF6	Physical condition	0.09	-0.02	0.73	-0.08	0.12	0.02	0.68
BF7	Exercise	0.02	0.09	0.74	-0.17	0.16	-0.01	0.70
MW8	Being able to remember things	-0.03	-0.01	0.00	-0.08	0.01	0.95	0.82
MW9	Being able to concentrate	0.03	0.02	0.07	0.13	-0.01	0.77	0.82
MW10	Being able to communicate	0.10	0.36	0.08	-0.22	0.22	0.20	0.49
MW11	Being cheerful	0.24	0.03	0.12	0.46	0.07	0.19	0.78
MW12	Accepting yourself	0.21	0.14	0.13	0.46	-0.01	0.10	0.69
MW13	Being able to handle changes	0.10	0.10	0.05	-0.01	0.48	0.11	0.51
MW14	Having control	0.13	0.22	0.03	0.42	0.09	0.15	0.70
MF15	Having a meaningful life	0.28	0.17	0.05	0.35	0.20	0.06	0.80
MF16	Being high-spirited	0.13	0.13	0.20	0.41	0.17	0.08	0.78
MF17	Wanting to achieve ideals	-0.06	-0.05	0.06	0.01	0.87	0.07	0.77
MF18	Feeling confident about own future	0.12	0.03	0.01	0.19	0.68	0.00	0.80
MF19	Accepting life	0.06	0.18	0.08	0.41	0.21	0.00	0.57
MF20	Being grateful	0.24	0.09	0.04	0.31	0.32	0.03	0.68
MF21	Continue learning	0.06	0.13	0.15	-0.08	0.43	0.05	0.43
QL22	Enjoyment	0.16	0.14	0.15	0.50	0.19	0.00	0.83
QL23	Being happy	0.11	0.13	0.08	0.56	0.17	0.06	0.79
QL24	Feeling good	0.09	0.07	0.16	0.58	0.14	0.12	0.86
QL25	Feeling well-balanced	0.08	0.12	0.16	0.56	0.13	0.11	0.85

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3	QL26	Feeling safe	0.30	0.22	0.08	0.26	0.09	0.07	0.67
4	QL27	Living conditions	0.37	0.13	0.02	0.26	0.09	0.04	0.57
5	QL28	Having enough money	0.24	0.34	0.11	-0.10	0.15	0.05	0.48
6	SP29	Social contacts	0.88	0.02	0.00	-0.08	0.04	0.05	0.82
7	SP30	Being taken seriously	0.66	0.17	-0.10	-0.03	0.15	0.05	0.72
8	SP31	Doing fun things together	<i>0.81</i>	-0.02	0.08	0.07	0.03	-0.05	0.77
9	SP32	Having the support of others	0.88	0.03	-0.01	0.02	-0.09	0.05	0.76
10	SP33	Belonging	0.88	-0.04	0.03	0.08	0.01	-0.02	0.84
11	SP34	Doing meaningful things	0.21	0.12	0.04	0.12	<i>0.43</i>	0.04	0.63
12	SP35	Being interested in society	0.11	0.40	-0.03	0.11	0.20	0.10	0.55
13	DF36	Looking after yourself	0.13	<i>0.68</i>	0.13	-0.09	0.02	-0.06	0.63
14	DF37	Knowing your limitations	-0.06	0.88	-0.02	0.13	0.00	0.02	0.81
15	DF38	Knowledge of health	0.08	0.72	0.20	-0.03	-0.03	-0.03	0.71
16	DF39	Managing time	0.01	0.71	-0.07	0.14	-0.01	0.17	0.72
17	DF40	Managing money	0.13	<i>0.58</i>	0.02	-0.08	0.07	0.15	0.62
18	DF41	Being able to work	0.04	0.33	0.18	-0.05	0.29	0.02	0.49
19	DF42	Asking for help	0.18	0.20	0.01	-0.11	0.16	0.24	0.36
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26	% of								
27	variance		15.1	12.8	11.2	10.9	10.3	7.2	67.5

Notes: Coefficients greater than |.40| are italicized. The items in bold are retained for that factor.

* BF refers to Bodily functions; MW refers to Mental well-being; MF refers to meaningfulness; QL refers to Quality of life; SP refers to Social and societal participation; DF refers to daily functioning

Confirmatory Factor Analysis

The two baseline models, against which we compared the factor structure we extracted during our EFA, had low fits. First, the original 6-factor structure had a CFI of .846, TLI of .835, RMSEA of .086 with 90% confidence interval (CI) [.082, .089], and an SRMR of 0.063. Second, the 1-factor structure had a CFI of .731, TLI of .717, RMSEA of .112 with 90% confidence interval (CI) [.109, .115], and an SRMR of 0.066. The factor structure we extracted during the EFA, in contrast, had an acceptable fit, with a CFI of .964, TLI of .953, RMSEA of .071 with 90% confidence interval (CI) [.062, .081], and an SRMR of 0.036. This fit was significantly better than the fit of both the original 6-factor solution ($\chi^2(700) = 2604.48, p < .001$) and the 1-factor solution ($\chi^2(715) = 4174.19, p < .001$).

The items we selected during the EFA all showed positive factor loadings on their respective domains, with standardized coefficients ranging from .71 to .97 (see Table 2), supporting the factor structure. As we report in Table 3, the items within each factor yielded highly consistent response. More specifically, the Cronbach's alpha values of the factors ranged from .82 to .92. The six factors correlated significantly positively among each other (see Table 3), indicating that individuals that score higher on one domain typically score higher on the other domains. The factor correlations did not exceed .80, which suggest acceptable discriminant validity[25]. In comparison, the factor correlations of the original model suggest overlap between Meaningfulness and Mental well-being, Mental well-being and Quality of Life, Quality of life and Meaningfulness, Quality of Life and Social and societal participation, and Social and societal participation and Daily functioning (see Table 4). Cronbach alpha values from this original model range from .88 to .93.

Table 2. Parameter Estimates Confirmatory Factor Analysis

Latent factor	Item	Description	B	SE	Z	Beta	p
Social relations	29	Social contacts	1.00	0.00		0.84	
Social relations	32	Having the support of others	1.10	0.06	19.49	0.84	***
Social relations	33	Belonging	1.18	0.05	21.96	0.91	***
Daily life-management	37	Knowing your limitations	1.00	0.00		0.89	
Daily life-management	38	Knowledge of health	1.05	0.04	26.52	0.93	***
Daily life-management	39	Managing time	1.09	0.06	19.90	0.80	***
Physical fitness	1	Feeling healthy	1.00	0.00		0.95	
Physical fitness	2	Feeling fit	1.05	0.04	24.89	0.88	***
Physical fitness	7	Exercise	1.03	0.06	17.97	0.74	***
Contentment	23	Being happy	1.00	0.00		0.87	
Contentment	24	Feeling good	1.10	0.04	25.95	0.94	***
Contentment	25	Feeling well-balanced	1.01	0.05	22.28	0.87	***
Future perspective	13	Being able to handle changes	1.00	0.00		0.71	
Future perspective	17	Wanting to achieve ideals	1.19	0.09	12.92	0.73	***
Future perspective	18	Feeling confident about own future	1.32	0.09	14.90	0.86	***
Mental functioning	8	Being able to remember things	1.00	0.00		0.83	
Mental functioning	9	Being able to concentrate	1.15	0.06	19.53	0.97	***

Notes: *** = $p < .001$, B = unstandardized estimates, Beta = standardized estimates.

Table 3. Means, SD, Cronbach's alpha (in correlation matrix diagonal) and correlations of the extracted factors (new model).

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Factor	M	SD	(1)	(2)	(3)	(4)	(5)	(6)
(1) Physical fitness	7.60	1.70	(.88)					
(2) Mental functions	7.76	1.58	.58***	(.89)				
(3) Future perspective	7.73	1.45	.55***	.57***	(.82)			
(4) Contentment	7.92	1.59	.64***	.63***	.73***	(.92)		
(5) Social relations	8.42	1.35	.54***	.58***	.64***	.70***	(.90)	
(6) Daily life-management	8.52	1.35	.57***	.62***	.67***	.69***	.72***	(.90)

Notes: *** = p < .001.

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Table 4. Means, SD, Cronbach's alpha (in correlation matrix diagonal) and correlations of the original factors (MPH dialogue tool).

Factor	<i>M</i>	<i>SD</i>	(1)	(2)	(3)	(4)	(5)	(6)
(1) Bodily functions	7.60	1.70	(.88)					
(2) Mental well-being	7.76	1.58	.72***	(.90)				
(3) Meaningfulness	7.73	1.45	.65***	.83***	(.90)			
(4) Quality of life	7.92	1.59	.72***	.83***	.88***	(.92)		
(5) Social and societal participation	8.42	1.35	.61***	.77***	.77***	.81***	(.93)	
(6) Daily functioning	8.52	1.35	.65***	.79***	.74***	.78***	.82***	(.90)

Notes: *** = $p < .001$.

Discussion

The results of our factor analysis support a factor structure of six dimensions. The model we extracted contained 17 items, comprising the factors Physical fitness, Mental functions, Future perspectives, Contentment, Social relations, and Daily life-management. The extracted model showed improved construct validity compared to the original model with good fit, high reliability and acceptable discriminant validity. For the MPH tool our reliability tests suggest good to very good reliability (Cronbach alpha values ranging from .88 to .93). Furthermore, our factor analysis suggests overlap across the dimensions Mental wellbeing, Meaningfulness, Quality of life, and Social and societal participation, making the MPH tool less suitable as a measurement tool.

This study addresses the difference in aims and thereby required properties between a dialogue tool and a measurement tool. The results show that a 17-item model has better psychometric properties, and can thereby serve as a strong basis for the development of a Positive Health measure. However, the 17-item scale neglects several aspects relevant to address when determining and discussing an individual's perception of health. For example, for bodily functions, the ignored items about sleeping and eating patterns can inspire ideas and improve dialogue about improving bodily functions. Similarly, it ignores the aspect *accepting yourself*, whereas this was considered the most important aspect of Mental wellbeing by respondents (18-25 years) in a study that focused on the development of an adolescent version of the tool[36]. Importance of this aspect came forward in studies regarding the development of health-related quality of life and subjective well-being scales as well[6, 37]. Furthermore, research shows that poor living conditions and financial problems are often accompanied by considerable physical and mental problems[38-40]. Inclusion of these aspects in the MPH dialogue tool provides a broader understanding of the multiple needs of an individual. This enables individuals to express their needs during the dialogue about the results and enables to refer them, if required, to appropriate providers. Overall, for two statements within the MPH dialogue tool it can be argued that they also function as determinants - which influence people's perceived health - like patients themselves expressed during the original study, or consequences of health as well. While such determinants and consequences of health are not appropriately represented in the measurement of health itself, we suggest that they may still be relevant in a dialogue tool.

Difficulties to blend the two goals of both a dialogue tool and a measurement tool were noted earlier. During the development process, an attempt to transform the dimensions and underlying aspects into a questionnaire that could serve as a validated outcome measurement instrument to measure Positive Health has failed[21]. At this stage it appeared that the goal of a measurement tool to express outcome in health in (a) fixed number(-s) was too far from the experienced reality of an individual. Based on the results of our study and the previous attempt, we therefore suggest the use of two separate tools: (1) a dialogue tool with the aim to elucidate a broad representation of a person's perceived health status, comprising a broad range of aspects and (2) a measurement tool with improved psychometric properties that is able to capture broad health in a valid and reliable way. For this second purpose, our extracted 17-item model may serve as a basis.

When further developing a measurement tool to the measure (positive) health, several issues need to be addressed. First, concepts like salutogenesis, sense of coherence, and resilience form a strong basis of Positive Health, focussing on the abilities of individuals to handle changes and experience meaningfulness. It should be further investigated whether these concepts are sufficiently addressed in

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2
3 the current 17-item experimental model. For example, the included aspects from the dimension of
4 Meaningfulness solely focus on future achievements, while meaningfulness can be regarded as an
5 integration of past, present and future[41]. Second, in line with the concept of health as the ability to
6 adapt and to self-manage, one could expect that an individual with poor objective health status can still
7 experience good health when being capable to deal well with the situation, while another individual with
8 better objective health status can experience lower health. It would be a challenge to develop a
9 measurement tool that does not reflect health by one continuum, but that can capture both realities.

12 *Methodological considerations*

14 This study was based on a survey among a citizen panel in eastern part of the Netherlands (Twente), with
15 a mean age of 62 ($SD = 15$) and 46% women. This relatively higher age of the study population compared
16 to the whole adult population in this region (M age = 51) could reflect a higher interest in the topic of
17 personal positive health status in older adults, and may be the result of the relatively low response rate
18 of 22%. To be able to draw more firm conclusions about the psychometric properties of the MPH tool,
19 this should be investigated among other populations as well. This will reveal to which extent difference
20 in age, education level, health literacy and poverty level affects our findings.

23 In our study we have focused on the construct validity. Therefore, other psychometric properties such as
24 the predictive validity, discriminant validity, and responsiveness of the 17- item model remain still
25 unknown. Such issues should be addressed in future studies. Also, we made a first attempt to label the
26 identified factors with factor names. The appropriateness of these names should be further investigated
27 as well.

30 We based the choice to select three items per factor on both practical and theoretical arguments. From
31 practical point of view, it is desirable to have a short and easy to use scale with acceptable psychometric
32 properties. A scale of 17 items can be completed within a short amount of time. From a theoretical
33 perspective, factors with fewer than three items are often weak and unstable[26]. Due to low factor
34 loadings ($< .40$) we were not able to select a third item for the factor Mental functions. To increase
35 stability within this factor and to improve overall balance of the scale, we suggest to investigate the
36 possibility to develop and include a third item for the factor Mental functions in future studies.

39 *Conclusion*

41 Overall, we conclude that the overall structure of the MPH dialogue tool, seems reliable. While the 42-
42 item model might be suitable as a dialogue tool, this study shows that it is not suitable as a
43 measurement tool. Instead, we propose a 17-item model with a six factor structure, comprising the
44 factors Physical fitness, Mental functions, Future perspective, Contentment, Social relations, and Daily
45 life-management, which can serve as a basis for the development of an additional measurement tool.
46 Given the prevailing healthcare trend towards a focus on health and wellbeing, expressed by an
47 increased number of practices based on a Positive Health approach, the existence of such measurement
48 tool is of great importance.

53 **Author contributions**

SB collected the data. BD performed the statistical analysis. MV interpreted the results and wrote the manuscript in collaboration with BD and EA. All authors commented and agreed on the final version.

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Competing interest

The authors declare no conflict of interest.

Data sharing statement

A request for sharing data can be made by sending an email to the corresponding author.

Patient and Public involvement statement

Patients and/ or the public were not involved in the design, or conduct, or reporting, or dissemination plans of our research.

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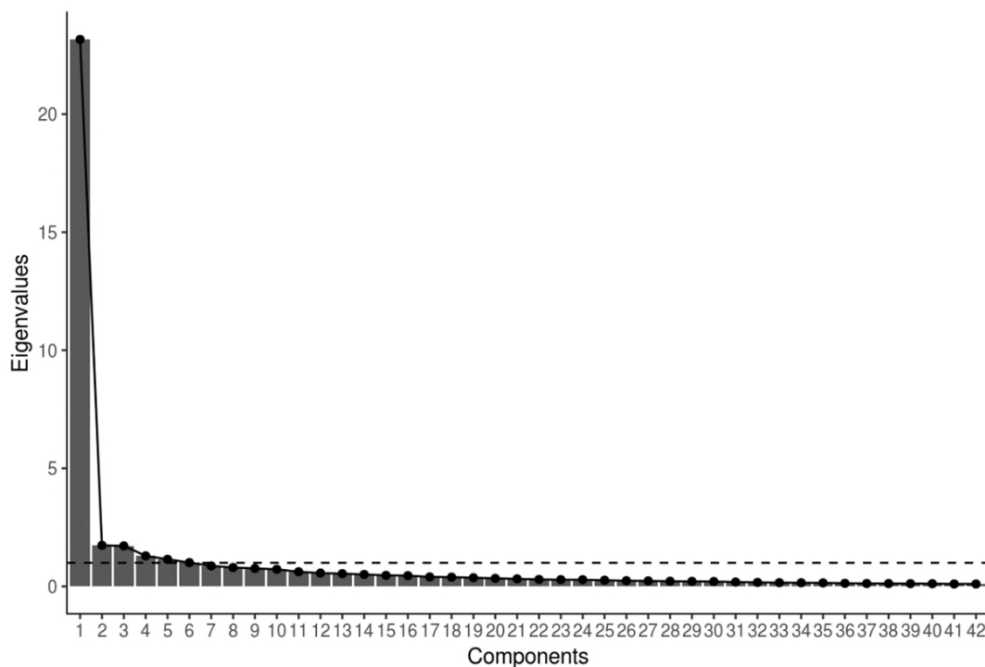


Figure 1. Scree plot. Note: Kaiser criterion is shown by the black dashed line.

141x95mm (220 x 220 DPI)

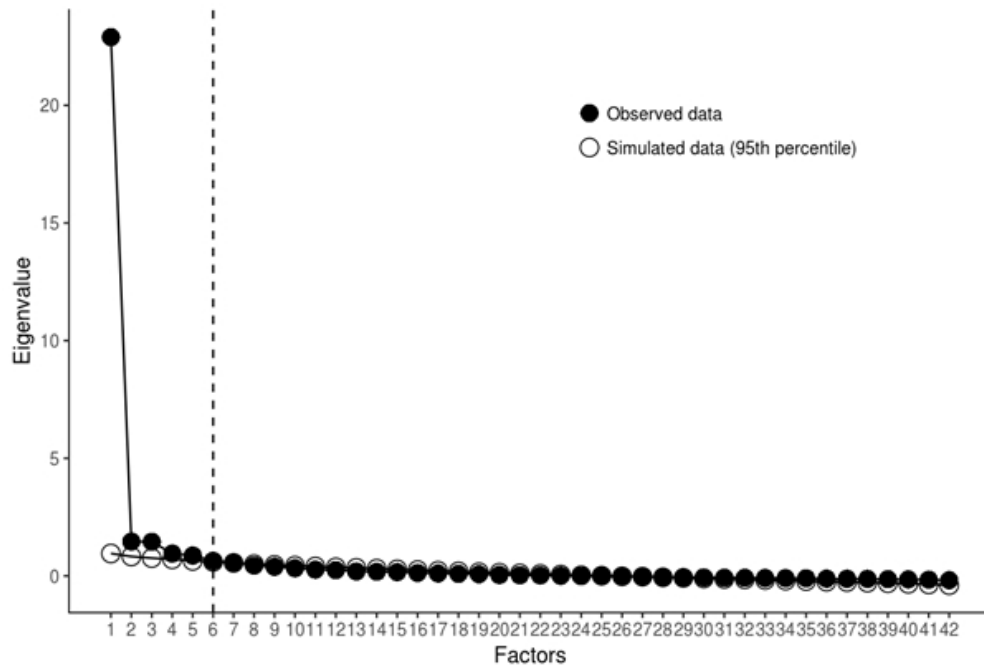
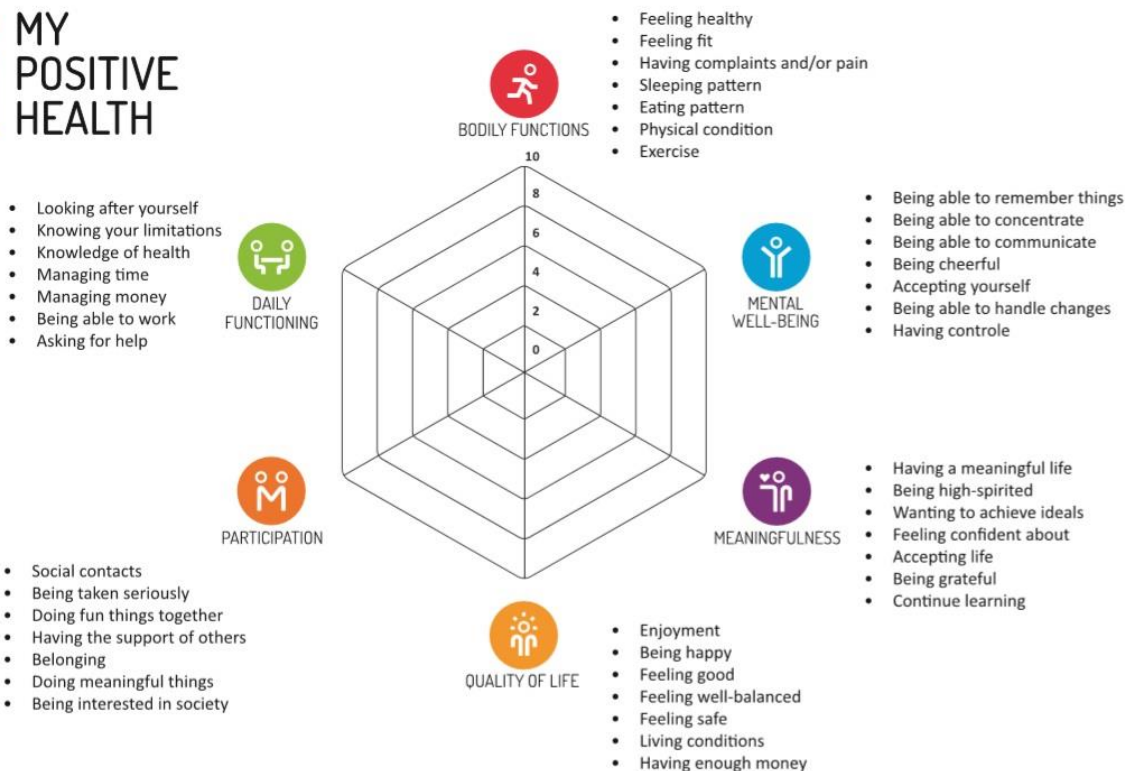


Figure 2. Parallel Analysis. Note: The 6-factor structure is shown by the black dashed line.

160x108mm (96 x 96 DPI)

MY POSITIVE HEALTH



Institute for Positive Health (IPH) | Dialogue tool 1.0



Supplementary file 1. My Positive Health spiderweb comprising 6 dimensions and 42 aspects

BMJ Open

Development and psychometric evaluation of a Positive Health measurement scale: a factor analysis study based on a Dutch population.

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3 1 **Development and psychometric evaluation of a Positive Health measurement**
4 **scale: a factor analysis study based on a Dutch population**
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26 measure, factor analysis, health survey

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28

1 Development and psychometric evaluation of a Positive Health measurement 2 scale: a factor analysis study based on a Dutch population

3 4 **Abstract**

5 OBJECTIVES: The My Positive Health (MPH) dialogue tool is increasingly adopted by healthcare
6 professionals in the Netherlands as well as abroad to support people in their health. Given this trend,
7 the need arises to measure effects of interventions on the Positive Health dimensions. However, the
8 dialogue tool was not developed for this purpose. Therefore, this study aims to work towards a
9 suitable measurement scale using the My Positive Health (MPH) dialogue tool as starting point.

10 DESIGN: A cross-sectional study design

11 PARTICIPANTS AND SETTINGS: A total of 708 respondents, who were all members of the municipal
12 health service panel in the eastern part of the Netherlands, completed the MPH dialogue tool.

13 METHODS: The factor structure of the MPH dialogue tool was explored through exploratory factor
14 analysis (EFA) using maximum likelihood extraction. Next, the fit of the extracted factor structure was
15 tested through confirmatory factor analysis (CFA). Reliability and discriminant validity of both a new
16 model and the MPH scales were assessed through Cronbach's alpha tests.

17 RESULTS: Similar to the MPH dialogue tool, the extracted 17-item model has a six factor structure but
18 named differently, comprising the factors Physical fitness, Mental functions, Future perspectives,
19 Contentment, Social relations, and Health management. The reliability tests suggest good to very
20 good reliability of the aimed measurement tool and MPH model (Cronbach's alpha values ranging
21 from respectively .820 to .920 and .882 to .933). The measurement model shows acceptable
22 discriminant validity, whereas the MPH model suggests overlap between domains.

23 CONCLUSION: The results suggest that the current MPH dialogue tool seems reliable as a dialogue,
24 but is not suitable as a measurement scale. We therefore propose a 17-item model with improved,
25 acceptable psychometric properties which can serve as a basis for further development of a
26 measurement scale.

27 28 **Strengths and limitations of this study**

29 * Main strength of this study was the thorough psychometric analysis to develop a Positive Health
30 measurement scale.

31 * Data from a large group of respondents (N= 708) with suitable characteristics for factor analysis
32 were used.

33 * Development of the Positive Health measurement tool was based on the items of the Positive
34 Health dialogue tool, which is widely used in the Netherlands.

35 * This study had a relatively low response rate (22%), which may have created a sampling bias.

36 * Given the relatively small geographic area in which the data are collected, wider generalisation of
37 the present results may be restricted.

38

1 Introduction

Over the last years, the European healthcare system is increasingly shifting its focus from cure and disease towards health and healthy behaviour[1, 2]. In the Netherlands, more attention is given to health-oriented approaches, which focus on health promotion, vitality and possibilities rather than on imperfections[3]. This process was accelerated by the demographic situation of an increasing number of elderly people with one or more chronic disease, increasing healthcare expenditures and an increasing wish of citizens for an active and autonomic role during medical consultation[1, 4, 5]. Within the shift towards health-oriented approaches, a focus on health which is broader than only biomedical aspects, and which contributes to achieving a more meaningful life, has gained more interest[6-10]. Furthermore, it is increasingly recognized that understanding patients' experiences about living with a disease, is of vital importance in the management of chronic diseases[11].

Taking this broader focus into mind, Huber et al. (2011)[12] proposed a new concept of health, which describes health "as the ability to adapt and self-manage, in the face of physical, mental and social challenges". According to this concept, being healthy reflects the capacity to deal with internal and external stressors, despite possible limitations – and the tendency to adapt to changing conditions. This opposes to the more static current definition of the WHO, which regards health "as a complete physical, mental and social wellbeing and not merely the absence of disease or infirmity". Although this definition was not intended as such, critics express that the very high ambition has resulted in a major focus on the diagnosis and treatment of symptoms and disease[13-16]. However, people with chronic diseases do not automatically see themselves as being unhealthy[17]. Similarly, many elderly people consider themselves to be healthy, even if their physical abilities are significantly reduced. To them, being healthy often means to have the ability to fulfil one's life[10, 18, 19]. This is fully in line with the content of the new concept of 2011.

The Dutch government considered the dynamic concept of health by Huber et al. (2011)[12] to serve well as a framework for the new strategy within the Dutch healthcare system and required an operationalisation study to make the concept useful for practice[20]. During this study the concept has been further elaborated into the concept of Positive Health, nowadays written with capitals as to express the specific content. The content of the concept is derived from interviews and focus groups with different stakeholder groups (e.g. patients, healthy citizens, healthcare professionals, etc.) This inductive, bottom-up approach enabled the researchers to gain a thorough insight into the perceptions about the health of patients and other stakeholders themselves. Positive Health represents a broad perception of health, expressed by six dimensions with 32 underlying aspects, representing indicators for health. The dimensions were by then named: bodily functions, mental functions and perception, spiritual existential dimension, quality of life, social and societal participation and daily functioning. The six dimensions were visualized in a spider web with six axes, representing these six dimensions and ranging from value 0 (in the centre for poor) to 10 (on the periphery, for excellent). Soon people in practice started to use the spiderweb in dialogue with patients.

Also soon after the spiderweb became available an attempt started to transform the dimensions and 32 aspects into a questionnaire that could serve as a validated outcome measurement instrument to measure Positive Health. However, this attempt failed[21]. The results of the validity study suggested that the tool was not suitable as a measurement instrument[21]. It appeared that the goal of a measurement tool to express outcomes in health in a fixed number was too far from the experienced reality of an individual. Moreover, the 32 items appeared to be rather long for measurement purposes but also interviews made clear that the language of the present spiderweb was too complex for many people. Because of this feedback the six dimensions and the underlying 32 aspects

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2
3 1 of Positive Health were elaborated by an expert panel group into a more simple language and into
4 2 42 aspects, including now (as exceptions) the determinants living conditions and having enough
5 3 money. The dimensions were renamed as bodily functions, mental well-being, meaningfulness,
6 4 quality of life, participation and daily functioning. The result was called: the My Positive Health
7 5 (MPH) dialogue tool (see www.MijnPositieveGezondheid.nl).

8
9
10 6 This MPH tool aims to provide individuals insight in their own health and stimulate self-reflection.
11 7 Target population are Dutch citizens, with or without a chronic disease. By completing 42
12 8 statements, mean scores for each of the dimensions are graphically displayed in a spider web. See
13 9 Supplementary file 1. This spider web can be used during consultations with (for example) healthcare
14 10 professionals, to discuss one's perceived health and to reveal one's needs, desires and abilities.
15 11 Thereby it could lead to identifiable statements which would find connection with the people
16 12 concerned. This recognition was confirmed by a user evaluation among populations of healthy
17 13 citizens, elderly, and chronically ill. The vast majority of the respondents rated the MPH dialogue tool
18 14 good to excellent[22]. The tool is widely used in the Netherlands, with more than 100.000 unique
19 15 users for the digital version since its introduction in 2016 (see MijnPositieveGezondheid.nl
20 16 ("MyPositiveHealth.nl")). In addition, a paper version of the MPH tool is used across a wide range of
21 17 care centres.

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25 18 INSERT SUPPLEMENTARY FILE 1 HERE.

26
27 19 Along with the extensive use of the dialogue tool, a growing interest is once again observed for a
28 20 measurement instrument that measure changes in a person's Positive Health. Such a measurement
29 21 tool could provide stakeholders in various domains and levels (e.g. healthcare professionals, national
30 22 and local policy makers and insurers) with valid information on the effectiveness of a Positive Health
31 23 approach. Such insight could support them during the decision-making process and thereby enable a
32 24 more structural implementation of interventions that improve people's (positive) health. As far as we
33 25 were aware, no other validated questionnaire is available that covers the broad concept of Positive
34 26 Health and since Prinsen no new attempt was made to develop such measurement instrument [23,
35 27 24]. The objective of this present study was to set first steps in a new attempt towards a suitable
36 28 measurement instrument with adequate psychometric properties and scale brevity. This instrument
37 29 could meet the needs of professionals wishing to evaluate their Positive Health interventions. In
38 30 order to reach this objective, we aimed to extract an improved model to measure (positive) health by
39 31 performing an Exploratory Factor Analysis (EFA) and to test it through confirmatory factor analysis
40 32 (CFA). Performing a factor analysis will not only help to identify items to measure (positive) health, it
41 33 will also provide insight into the adequacy of the current arrangement of dimensions and aspects of
42 34 the MPH dialogue tool. Furthermore, we also aimed to examine the reliability of the MPH dialogue
43 35 tool.

44 45 46 47 48 49 50 37 **Methods**

51 52 38 *Design*

53
54 39 This study comprised a cross-sectional quantitative survey study. Data from the quantitative survey
55 40 was used to develop a new model to measure health by performing an Exploratory Factor Analysis
56 41 (EFA) following a confirmatory factor analysis (CFA).

1 *The instrument*

2 We use the digital version of the MPH dialogue tool (MijnPositieveGezondheid.nl;
3 “MyPositiveHealth.nl”) in this study. In this digital version, 42 statement are proposed (7 for each
4 dimension) on an 11-point scale. 0 means totally disagree and 10 means totally agree. To avoid any
5 missing data, it is not allowed to skip any question.

6 *Participants and data collection*

7 Members of the citizens’ panel of GGD Twente (regional municipal health service) were asked to fill
8 out the 42 questions of the MPH dialogue tool and were asked for their age, gender, educational
9 level, poverty (difficulty getting around), and health literacy (difficulty understanding health
10 information such as leaflets). This panel comprises adults (19+) who took part in the national health
11 survey of GGD Twente in the east of the Netherlands. This survey is carried out every four years to
12 monitor the general state of health of Dutch citizens. At the end of that health survey, the
13 participants were asked whether they were willing to participate in other, future studies by GGD
14 Twente. Participants were invited by email to complete the questionnaire. Data collection took place
15 from January to February 2018.

16 *Analytical plan*

17 To examine the construct validity of the MPH tool, we used a split-half validation method in which
18 we randomly divided the participants into two groups. We used one of these groups to explore the
19 factor structure through exploratory factor analysis (EFA) and the other group to test the goodness of
20 fit of the extracted factor structure through confirmatory factor analysis (CFA). In conducting the
21 factor analyses, we followed best practices described by Brown[25], Costello and Osborne[26], and
22 Cabrera-Nguyen[27]. Suitability of the data for EFA was examined based on the Kaiser–Meyer–Olkin
23 (KMO) statistic of sampling adequacy and the Bartlett’s test of sphericity[28 29].

24 Through EFA, we explored the factor structure using maximum likelihood (ML) extraction. Because
25 we expected our factors to be interdependent aspects of Positive Health, we used ML extraction with
26 direct oblimin rotation. Kaiser criterion, scree plot analysis, and parallel analysis were used to
27 determine the numbers of factors to extract[30 31]. We considered items with cross loading values
28 of $\leq .32$ on at least two factors as weak (and thereby as candidates for deletion)[26]. And we
29 considered items with factor loading of $\geq .60$ as strong[32].

30 We strove for a model with improved psychometric properties and scale brevity, while maintaining
31 enough items to create stable factors. We therefore aimed to reduce each factor to three items with
32 highest factor loadings.

33 Through CFA, we evaluated the goodness of fit of the factor structure extracted during EFA. With the
34 CFA evaluation we compared the extracted factor structure with two baseline models containing all
35 42 items of the MPH dialogue tool: the original 6-factor structure that includes the six dimensions of
36 health and a 1-factor structure which considers all items belonging to one health domain. This
37 comparison helps to understand the degree to which our extracted factor structure fits unseen data
38 better than respectively the original 6-factor structure and the 1-factor structure. We evaluated the
39 goodness of fit using several indices: Pearson’s Chi-squared test, comparative fit index (CFI; $> .95$ is
40 acceptable), Tucker Lewis index (TLI; $> .95$ is acceptable), the root mean square error of
41 approximation (RMSEA; $< .06$ is acceptable), and standardized root mean square residual (SRMR; $<$
42 $.08$ is acceptable). These indices reflect model fit (Pearson’s Chi-squared test), incremental fit (CFI,
43 TLI), and absolute fit (RMSEA, SRMR). The threshold values we applied are cut-off values

recommended by Hu and Bentler (1999)[33] and endorsed by both Brown (2014)[25] and Cabrera-Nguyen (2010)[27]. For fitting the models, we used lavaan version 0.6-3[34] in R version 3.5.1[35]. We used maximum likelihood estimation and standardized the latent factors to allow free estimation of all factor loadings.

Finally, we examined the reliability and discriminant validity of the factors of both the original 6-factor model (MPH dialogue tool) and the new experimental model. We examined the reliability by evaluating the Cronbach's alpha coefficients and tested the discriminant validity by evaluating the factor correlations.

Ethical considerations

Due to the harmless and non-invasive character of the study, this study was exempt from medical ethical approval. Prior to completion of the questionnaire, informed consent for the use of data for scientific purposes was asked in the invitation email to the participants. All data are handled anonymously.

Patient and Public involvement statement

Patients and/ or the public were not involved in the design, or conduct, or reporting, or dissemination plans of our research.

Results

Characteristics of respondents

In total, 3218 participants were invited to enrol in the study. Of those, 708 participants completed the questionnaire (response rate is 22%). The mean age of the respondents was 62 years (SD = 15) and 46% of them were female. Most respondents had a high educational level 44% (medium 34%; low 22%). And 9% of the respondents indicated some to severe difficulty in getting around (poverty). Low health literacy (difficulty understanding health information such as leaflets) was indicated by 5% of the respondents. Comparing to the general 19+ population in the region Twente the respondents were older (Twente: mean age = 51); were higher educated (Twente: high educational level = 30%); had higher health literacy (Twente: 9%) and had less difficulty in getting around (Twente: 17%).

Exploratory Factor Analysis

Our exploration showed that the data are suitable for EFA. Our sample had both an acceptable size of 356[32 33] and a very common participant-to-item ratio (8.5:1). The KMO test yielded a statistic of 0.97, implying that the dataset contains a significant number of factors, and the Bartlett's test of sphericity yielded significant results, $\chi^2(41) = 318.368, p < .001$, implying that the correlations among variables are greater than one would expect by chance.

Common approaches for determining the number of factors to extract showed support for a 6-factor structure. First, the Kaiser criterion method showed that the data contain 6 factors with eigenvalues greater than one, suggesting that the data clusters in 6 factors. Second, the scree plot suggested 2, 4, or 6 factors because the eigenvalues level off after these amount of factors (see Figure 1). Third, parallel analysis (see Figure 2) suggested a structure of 6 factors – the crossing point of the actual scree plot with the possible scree plot based on randomly resampled data. A 6-factor structure accounts for 67.5% of the total item variance.

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3 1 INSERT FIGURE 1 HERE.

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9 4 Factor loadings are shown in Table 1. In this table, factor names for the experimental model are
10 5 displayed in the second horizontal row. Overall, the items that group together in our EFA mostly
11 6 group together in the original model as well.

12
13 7 Table 1 shows that the first factor has factor loadings above .40 for several items originating from the
14 8 dimension Social and Societal Participation (SP). These items are: SP29 Social contacts, SP30 Being
15 9 taken seriously, SP31 Doing fun things together, SP32 Having the support of others, SP33 Belonging.
16 10 We selected the three highest factor loadings (SP29, SP32, and SP33) and labelled this factor 'Social
17 11 relations'.

18
19 12 The second factor showed loadings above .40 on items from the original dimension Daily Functioning
20 13 (DF). These items are: DF36 Looking after yourself, DF37 Knowing your limitations, DF38 Knowledge
21 14 of health, DF39 Managing time, DF40 Managing money. We selected the three highest factor
22 15 loadings (DF37, DF38, DF39) and labelled this factor 'Daily life-management'.

23
24 16 The third factor showed that loadings above .40 were all strong factor loading ($\geq .60$) on items from
25 17 the original dimension Bodily Functions (BF). These items are: BF1 Feeling healthy, BF2 Feeling fit,
26 18 BF5 Eating pattern, BF6 Physical condition, and BF7 Exercise. We included the three items with
27 19 highest factor loadings (BF2, BF6, and BF7) and labelled this factor 'Physical fitness'.

28
29 20 The fourth factor showed loadings above .40 on items across three dimensions of the dialogue tool:
30 21 Mental Well-being (MW), Meaningfulness (MF), and Quality of Life (QL). These items are: MW11
31 22 Being cheerful, MW12 Accepting yourself, MW14 having control, MF16 Being high spirited, and
32 23 MF19 Accepting life, QL22 Enjoyment, QL23 Being happy, QL24 Feeling good, and QL25 Feeling well-
33 24 balanced. We selected the three highest factor loadings (QL23, QL24, and QL25) and labelled this
34 25 factor 'Contentment'.

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36 26 The fifth factor showed loadings above .40 on items from one dimension of the dialogue tool: Mental
37 27 Wellbeing (MW) and Meaningfulness (MF). These items are: MW13 Being able to handle changes,
38 28 MF17 Wanting to achieve ideals, MF18 Feeling confident about own future, MF21 Continue learning,
39 29 and SP34 Doing meaningful things. We selected the three highest factor loadings (MW13, MF17, and
40 30 MF18) and labelled this factor 'Future perspectives'.

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42 31 The last factor showed that loadings above .40 were all strong factor loading ($\geq .60$) on items from
43 32 one dimension of the dialogue tool: Mental Well-being (MW). These items are: MW8 Being able to
44 33 remember things and MW9 Being able to concentrate. We selected these two highest factor loadings
45 34 (MW8 and MW9) and labelled this factor 'Mental functioning', as these aspects solely focus on
46 35 cognitive abilities and do not concern any emotional aspects or feelings.

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48 36 In our exploration for a measurement instrument model, we were successful in reducing the number
49 37 of items for five factors from 7 to 3 items and for one factor to 2 items. The final factor structure we
50 38 extracted thus contained 17 items.

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Table 1. Factor Pattern/Structure Rotated to the Oblimin Criterion

Item number*	Description	Factor 1	Factor 2	Factor 3	Factor 4	Factor 5	Factor 6	h^2
		Social relations	Daily-management	Physical fitness	Contentment	Future achievements	Mental functioning	
BF1	Feeling healthy	-0.03	0.05	0.82	0.12	-0.02	0.03	0.81
BF2	Feeling fit	-0.04	0.03	0.86	0.12	-0.07	0.07	0.83
BF3	Having complaints or pain	0.10	-0.07	0.37	-0.11	0.10	0.13	0.25
BF4	Sleeping pattern	0.10	-0.10	0.36	0.25	0.01	0.21	0.46
BF5	Eating pattern	0.17	-0.01	0.43	0.12	0.04	0.13	0.52
BF6	Physical condition	0.09	-0.02	<i>0.73</i>	-0.08	0.12	0.02	0.68
BF7	Exercise	0.02	0.09	0.74	-0.17	0.16	-0.01	0.70
MW8	Being able to remember things	-0.03	-0.01	0.00	-0.08	0.01	0.95	0.82
MW9	Being able to concentrate	0.03	0.02	0.07	0.13	-0.01	0.77	0.82
MW10	Being able to communicate	0.10	0.36	0.08	-0.22	0.22	0.20	0.49
MW11	Being cheerful	0.24	0.03	0.12	<i>0.46</i>	0.07	0.19	0.78
MW12	Accepting yourself	0.21	0.14	0.13	<i>0.46</i>	-0.01	0.10	0.69
MW13	Being able to handle changes	0.10	0.10	0.05	-0.01	0.48	0.11	0.51
MW14	Having control	0.13	0.22	0.03	<i>0.42</i>	0.09	0.15	0.70
MF15	Having a meaningful life	0.28	0.17	0.05	0.35	0.20	0.06	0.80
MF16	Being high-spirited	0.13	0.13	0.20	<i>0.41</i>	0.17	0.08	0.78
MF17	Wanting to achieve ideals	-0.06	-0.05	0.06	0.01	0.87	0.07	0.77
MF18	Feeling confident about own future	0.12	0.03	0.01	0.19	0.68	0.00	0.80
MF19	Accepting life	0.06	0.18	0.08	0.41	0.21	0.00	0.57
MF20	Being grateful	0.24	0.09	0.04	0.31	0.32	0.03	0.68
MF21	Continue learning	0.06	0.13	0.15	-0.08	<i>0.43</i>	0.05	0.43
QL22	Enjoyment	0.16	0.14	0.15	<i>0.50</i>	0.19	0.00	0.83
QL23	Being happy	0.11	0.13	0.08	0.56	0.17	0.06	0.79
QL24	Feeling good	0.09	0.07	0.16	0.58	0.14	0.12	0.86
QL25	Feeling well-balanced	0.08	0.12	0.16	0.56	0.13	0.11	0.85

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3	QL26	Feeling safe	0.30	0.22	0.08	0.26	0.09	0.07	0.67
4	QL27	Living conditions	0.37	0.13	0.02	0.26	0.09	0.04	0.57
5	QL28	Having enough money	0.24	0.34	0.11	-0.10	0.15	0.05	0.48
6	SP29	Social contacts	0.88	0.02	0.00	-0.08	0.04	0.05	0.82
7	SP30	Being taken seriously	0.66	0.17	-0.10	-0.03	0.15	0.05	0.72
8	SP31	Doing fun things together	<i>0.81</i>	-0.02	0.08	0.07	0.03	-0.05	0.77
9	SP32	Having the support of others	0.88	0.03	-0.01	0.02	-0.09	0.05	0.76
10	SP33	Belonging	0.88	-0.04	0.03	0.08	0.01	-0.02	0.84
11	SP34	Doing meaningful things	0.21	0.12	0.04	0.12	<i>0.43</i>	0.04	0.63
12	SP35	Being interested in society	0.11	0.40	-0.03	0.11	0.20	0.10	0.55
13	DF36	Looking after yourself	0.13	<i>0.68</i>	0.13	-0.09	0.02	-0.06	0.63
14	DF37	Knowing your limitations	-0.06	0.88	-0.02	0.13	0.00	0.02	0.81
15	DF38	Knowledge of health	0.08	0.72	0.20	-0.03	-0.03	-0.03	0.71
16	DF39	Managing time	0.01	0.71	-0.07	0.14	-0.01	0.17	0.72
17	DF40	Managing money	0.13	<i>0.58</i>	0.02	-0.08	0.07	0.15	0.62
18	DF41	Being able to work	0.04	0.33	0.18	-0.05	0.29	0.02	0.49
19	DF42	Asking for help	0.18	0.20	0.01	-0.11	0.16	0.24	0.36
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27	variance		15.1	12.8	11.2	10.9	10.3	7.2	67.5

Notes: Coefficients greater than |.40| are italicized. The items in bold are retained for that factor.

* BF refers to Bodily functions; MW refers to Mental well-being; MF refers to meaningfulness; QL refers to Quality of life; SP refers to Social and societal participation; DF refers to daily functioning

1 *Confirmatory Factor Analysis*

2 The two baseline models, against which we compared the factor structure we extracted
3 during our EFA, had low fits. First, the original 6-factor structure had a CFI of .846, TLI of .835, RMSEA
4 of .086 with 90% confidence interval (CI) [.082, .089], and an SRMR of 0.063. Second, the 1-factor
5 structure had a CFI of .731, TLI of .717, RMSEA of .112 with 90% confidence interval (CI) [.109, .115],
6 and an SRMR of 0.066. The factor structure we extracted during the EFA, in contrast, had an
7 acceptable fit, with a CFI of .964, TLI of .953, RMSEA of .071 with 90% confidence interval (CI) [.062,
8 .081], and an SRMR of 0.036. This fit was significantly better than the fit of both the original 6-factor
9 solution ($\chi^2(700) = 2604.48, p < .001$) and the 1-factor solution ($\chi^2(715) = 4174.19, p < .001$).

10 The items we selected during the EFA all showed positive factor loadings on their respective
11 domains, with standardized coefficients ranging from .71 to .97 (see Table 2), supporting the factor
12 structure. As we report in Table 3, the items within each factor yielded highly consistent response.
13 More specifically, the Cronbach's alpha values of the factors ranged from .82 to .92. The six factors
14 correlated significantly positively among each other (see Table 3), indicating that individuals that
15 score higher on one domain typically score higher on the other domains. The factor correlations did
16 not exceed .80, which suggest acceptable discriminant validity[25]. In comparison, the factor
17 correlations of the original model suggest overlap between Meaningfulness and Mental well-being,
18 Mental well-being and Quality of Life, Quality of life and Meaningfulness, Quality of Life and Social
19 and societal participation, and Social and societal participation and Daily functioning (see Table 4).
20 Cronbach alpha values from this original model range from .88 to .93.

Table 2. Parameter Estimates Confirmatory Factor Analysis

Latent factor	Item	Description	B	SE	Z	Beta	p
Social relations	29	Social contacts	1.00	0.00		0.84	
Social relations	32	Having the support of others	1.10	0.06	19.49	0.84	***
Social relations	33	Belonging	1.18	0.05	21.96	0.91	***
Daily life-management	37	Knowing your limitations	1.00	0.00		0.89	
Daily life-management	38	Knowledge of health	1.05	0.04	26.52	0.93	***
Daily life-management	39	Managing time	1.09	0.06	19.90	0.80	***
Physical fitness	1	Feeling healthy	1.00	0.00		0.95	
Physical fitness	2	Feeling fit	1.05	0.04	24.89	0.88	***
Physical fitness	7	Exercise	1.03	0.06	17.97	0.74	***
Contentment	23	Being happy	1.00	0.00		0.87	
Contentment	24	Feeling good	1.10	0.04	25.95	0.94	***
Contentment	25	Feeling well-balanced	1.01	0.05	22.28	0.87	***
Future perspective	13	Being able to handle changes	1.00	0.00		0.71	
Future perspective	17	Wanting to achieve ideals	1.19	0.09	12.92	0.73	***
Future perspective	18	Feeling confident about own future	1.32	0.09	14.90	0.86	***
Mental functioning	8	Being able to remember things	1.00	0.00		0.83	
Mental functioning	9	Being able to concentrate	1.15	0.06	19.53	0.97	***

Notes: *** = $p < .001$, B = unstandardized estimates, Beta = standardized estimates.

Table 3. Means, SD, Cronbach's alpha (in correlation matrix diagonal) and correlations of the extracted factors (new model).

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Factor	M	SD	(1)	(2)	(3)	(4)	(5)	(6)
(1) Physical fitness	7.60	1.70	(.88)					
(2) Mental functions	7.76	1.58	.58***	(.89)				
(3) Future perspective	7.73	1.45	.55***	.57***	(.82)			
(4) Contentment	7.92	1.59	.64***	.63***	.73***	(.92)		
(5) Social relations	8.42	1.35	.54***	.58***	.64***	.70***	(.90)	
(6) Daily life-management	8.52	1.35	.57***	.62***	.67***	.69***	.72***	(.90)

Notes: *** = p < .001.

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Table 4. Means, SD, Cronbach's alpha (in correlation matrix diagonal) and correlations of the original factors (MPH dialogue tool).

Factor	<i>M</i>	<i>SD</i>	(1)	(2)	(3)	(4)	(5)	(6)
(1) Bodily functions	7.60	1.70	(.88)					
(2) Mental well-being	7.76	1.58	.72***	(.90)				
(3) Meaningfulness	7.73	1.45	.65***	.83***	(.90)			
(4) Quality of life	7.92	1.59	.72***	.83***	.88***	(.92)		
(5) Social and societal participation	8.42	1.35	.61***	.77***	.77***	.81***	(.93)	
(6) Daily functioning	8.52	1.35	.65***	.79***	.74***	.78***	.82***	(.90)

Notes: *** = $p < .001$.

1 Discussion

2 The results of our factor analysis support a factor structure of six dimensions. The model we extracted
3 contained 17 items, comprising the factors Physical fitness, Mental functions, Future perspectives,
4 Contentment, Social relations, and Daily life-management. The extracted model showed improved
5 construct validity compared to the original model with good fit, high reliability and acceptable
6 discriminant validity. For the MPH tool our reliability tests suggest good to very good reliability
7 (Cronbach alpha values ranging from .88 to .93). Furthermore, our factor analysis suggests overlap across
8 the dimensions Mental wellbeing, Meaningfulness, Quality of life, and Social and societal participation,
9 making the MPH tool less suitable as a measurement tool.

10 This study addresses the difference in aims and thereby required properties between a dialogue tool and
11 a measurement tool. The results show that a 17-item model has better psychometric properties, and can
12 thereby serve as a strong basis for the development of a Positive Health measure. However, the 17-item
13 scale neglects several aspects relevant to address when determining and discussing an individual's
14 perception of health. For example, for bodily functions, the ignored items about sleeping and eating
15 patterns can inspire ideas and improve dialogue about improving bodily functions. Similarly, it ignores
16 the aspect *accepting yourself*, whereas this was considered the most important aspect of Mental well-
17 being by respondents (18-25 years) in a study that focused on the development of an adolescent version
18 of the tool[36]. Importance of this aspect came forward in studies regarding the development of health-
19 related quality of life and subjective well-being scales as well[6, 37]. Furthermore, research shows that
20 poor living conditions and financial problems are often accompanied by considerable physical and
21 mental problems[38-40]. Inclusion of these aspects in the MPH dialogue tool provides a broader
22 understanding of the multiple needs of an individual. This enables individuals to express their needs
23 during the dialogue about the results and enables to refer them, if required, to appropriate providers.
24 Overall, for two statements within the MPH dialogue tool it can be argued that they also function as
25 determinants - which influence people's perceived health - like patients themselves expressed during
26 the original study, or consequences of health as well. While such determinants and consequences of
27 health are not appropriately represented in the measurement of health itself, we suggest that they may
28 still be relevant in a dialogue tool.

29 Difficulties to blend the two goals of both a dialogue tool and a measurement tool were noted earlier.
30 During the development process, an attempt to transform the dimensions and underlying aspects into a
31 questionnaire that could serve as a validated outcome measurement instrument to measure Positive
32 Health has failed[21]. At this stage it appeared that the goal of a measurement tool to express outcome
33 in health in (a) fixed number(-s) was too far from the experienced reality of an individual. Based on the
34 results of our study and the previous attempt, we therefore suggest the use of two separate tools: (1) a
35 dialogue tool with the aim to elucidate a broad representation of a person's perceived health status,
36 comprising a broad range of aspects and (2) a measurement tool with improved psychometric properties
37 that is able to capture broad health in a valid and reliable way. For this second purpose, our extracted 17-
38 item model may serve as a basis.

39 When further developing a measurement tool to the measure (positive) health, several issues need to be
40 addressed. First, concepts like salutogenesis, sense of coherence, and resilience form a strong basis of
41 Positive Health, focussing on the abilities of individuals to handle changes and experience
42 meaningfulness. It should be further investigated whether these concepts are sufficiently addressed in

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3 1 the current 17-item experimental model. For example, the included aspects from the dimension of
4 2 Meaningfulness solely focus on future achievements, while meaningfulness can be regarded as an
5 3 integration of past, present and future[41]. Second, in line with the concept of health as the ability to
6 4 adapt and to self-manage, one could expect that an individual with poor objective health status can still
7 5 experience good health when being capable to deal well with the situation, while another individual with
8 6 better objective health status can experience lower health. It would be a challenge to develop a
9 7 measurement tool that does not reflect health by one continuum, but that can capture both realities.

12 8 *Methodological considerations*

14 9 This study was based on a survey among a citizen panel in eastern part of the Netherlands (Twente), with
15 10 a mean age of 62 ($SD = 15$) and 46% women. This relatively higher age of the study population compared
16 11 to the whole adult population in this region (M age = 51) could reflect a higher interest in the topic of
17 12 personal positive health status in older adults, and may be the result of the relatively low response rate
18 13 of 22%. To be able to draw more firm conclusions about the psychometric properties of the MPH tool,
19 14 this should be investigated among other populations as well. This will reveal to which extent difference
20 15 in age, education level, health literacy and poverty level affects our findings.

23 16 In our study we have focused on the construct validity. Therefore, other psychometric properties such as
24 17 the predictive validity, discriminant validity, and responsiveness of the 17- item model remain still
25 18 unknown. Such issues should be addressed in future studies. Also, we made a first attempt to label the
26 19 identified factors with factor names. The appropriateness of these names should be further investigated
27 20 as well.

30 21 We based the choice to select three items per factor on both practical and theoretical arguments. From
31 22 practical point of view, it is desirable to have a short and easy to use scale with acceptable psychometric
32 23 properties. A scale of 17 items can be completed within a short amount of time. From a theoretical
33 24 perspective, factors with fewer than three items are often weak and unstable[26]. Due to low factor
34 25 loadings ($< .40$) we were not able to select a third item for the factor Mental functions. To increase
35 26 stability within this factor and to improve overall balance of the scale, we suggest to investigate the
36 27 possibility to develop and include a third item for the factor Mental functions in future studies.

39 28 *Conclusion*

41 29 Overall, we conclude that the overall structure of the MPH dialogue tool, seems reliable. While the 42-
42 30 item model might be suitable as a dialogue tool, this study shows that it is not suitable as a
43 31 measurement scale. Instead, we propose a 17-item model with a six factor structure, comprising the
44 32 factors Physical fitness, Mental functions, Future perspective, Contentment, Social relations, and Daily
45 33 life-management, which can serve as a basis for the development of an additional measurement scale.
46 34 Given the prevailing healthcare trend towards a focus on health and wellbeing, expressed by an
47 35 increased number of practices based on a Positive Health approach, the existence of such measurement
48 36 scale is of great importance.

53 38 **Author contributions**

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3 1 SB collected the data. BD performed the statistical analysis. MV interpreted the results and wrote the
4 2 manuscript in collaboration with BD and EA. All authors commented and agreed on the final version.

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9 5 sector.

11 6 **Competing interest**

13 7 The authors declare no conflict of interest.

15 8 **Data sharing statement**

17 9 A request for sharing data can be made by sending an email to the corresponding author.

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21 11 We thank all respondents from the GGD Twente citizens' panel for completing our questionnaire.

22 12 Figure 1. Scree plot. Note: Kaiser criterion is shown by the black dashed line.

24 13 Figure 2. Parallel Analysis. Note: The 6-factor structure is shown by the black dashed line.

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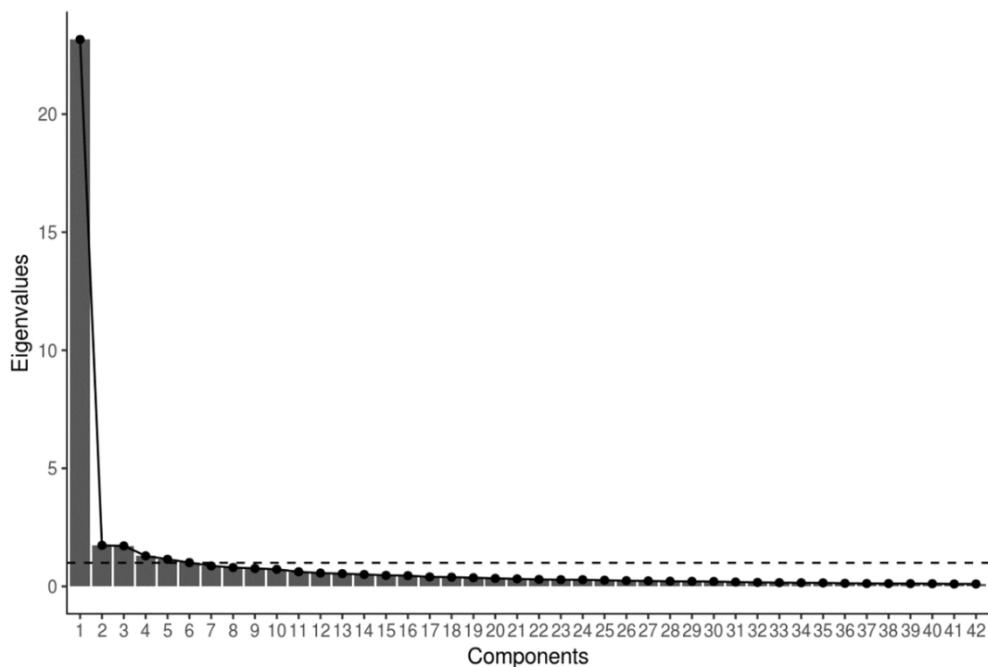


Figure 1. Scree plot. Note: Kaiser criterion is shown by the black dashed line.

141x95mm (220 x 220 DPI)

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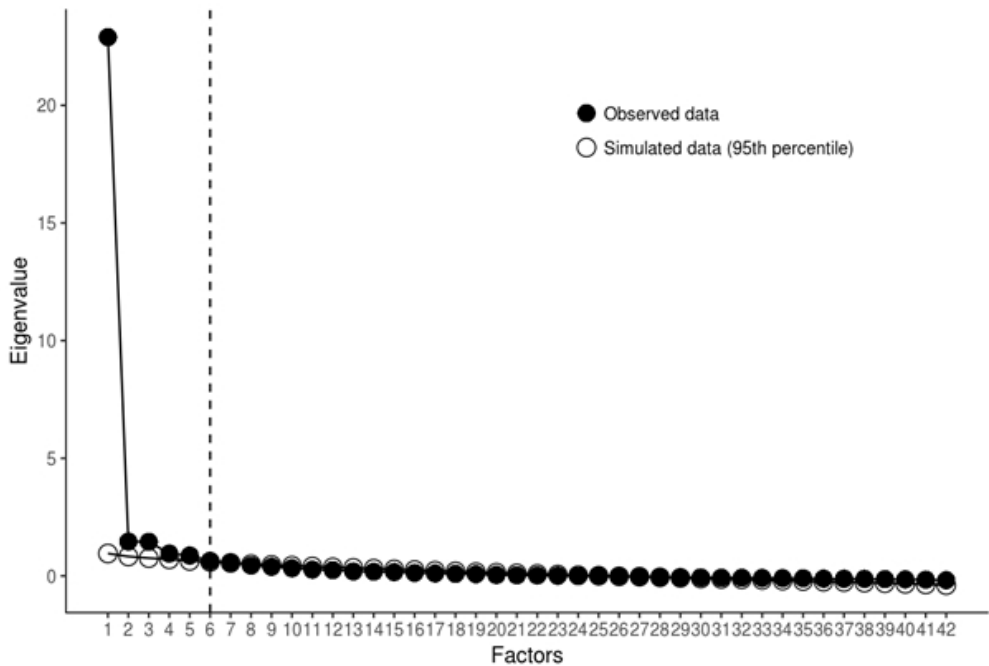
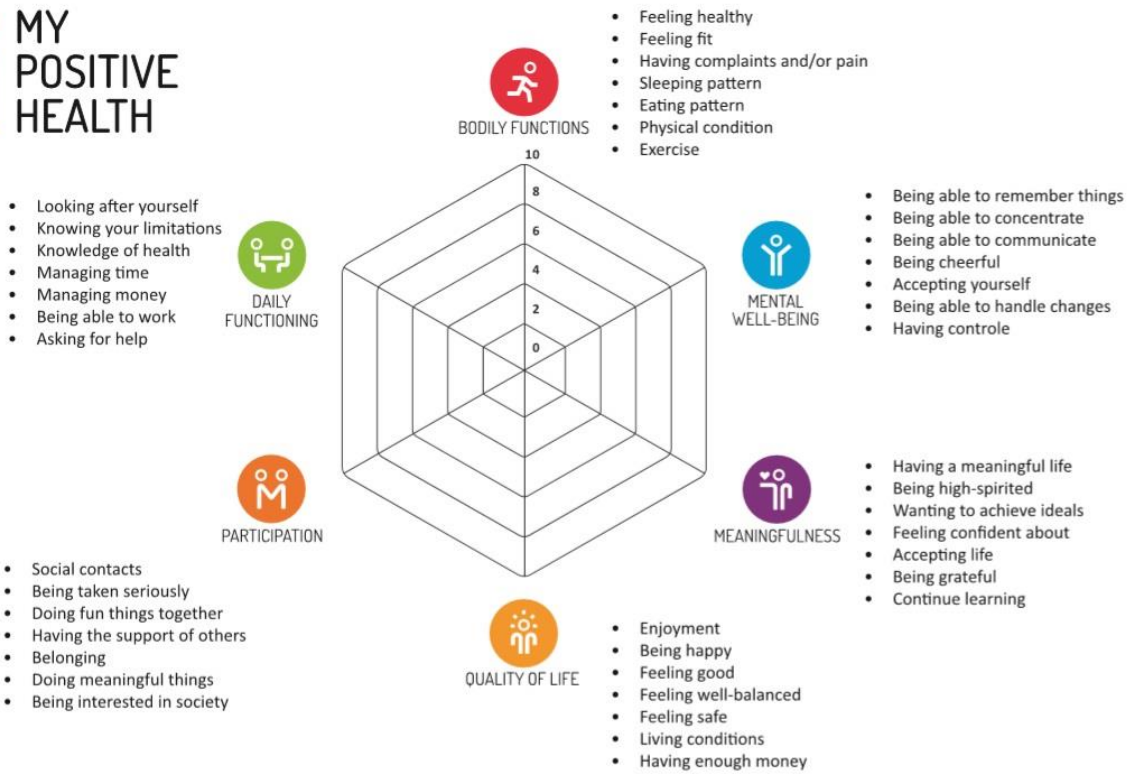


Figure 2. Parallel Analysis. Note: The 6-factor structure is shown by the black dashed line.

160x108mm (96 x 96 DPI)

MY POSITIVE HEALTH



Institute for Positive Health (IPH) | Dialogue tool 1.0



Supplementary file 1. My Positive Health spiderweb comprising 6 dimensions and 42 aspects

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60STROBE Statement—Checklist of items that should be included in reports of *cross-sectional studies*

	Item No	Recommendation
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract p2 / line 1/2 (b) Provide in the abstract an informative and balanced summary of what was done and what was found p2
Introduction		
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported p3-4
Objectives	3	State specific objectives, including any prespecified hypotheses p4 line 29 - 34
Methods		
Study design	4	Present key elements of study design early in the paper p4 – line 39-41
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection p5 line 6-15
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants p5 line 6-15
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable p5 line n/a
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group p5 line 1-4
Bias	9	Describe any efforts to address potential sources of bias p5 6-11, p15 9-15
Study size	10	Explain how the study size was arrived at p5 line 22-23
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why p5 line 16 to p6 line 6
Statistical methods p5 line 16 to p6 line 7	12	(a) Describe all statistical methods, including those used to control for confounding (b) Describe any methods used to examine subgroups and interactions n/a (c) Explain how missing data were addressed n/a (d) If applicable, describe analytical methods taking account of sampling strategy (e) Describe any sensitivity analyses
Results		
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed p6 line 20-21 (b) Give reasons for non-participation at each stage n/a (c) Consider use of a flow diagram n/a
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders p6 line

		19-27	
			(b) Indicate number of participants with missing data for each variable of interest <i>n/a</i>
Outcome data	15*		Report numbers of outcome events or summary measures
Main results	16		(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included
Different since factor analysis was performed. Results p6 line 29 to p10 line 20			(b) Report category boundaries when continuous variables were categorized
			(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period
Other analyses	17		Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses
Discussion			
Key results p14 line 2-9	18		Summarise key results with reference to study objectives
Limitations p15 line 9-27	19		Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias
Interpretation p 14 line 10 to p15 line 7	20		Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence
Generalisability p15 line 9-15	21		Discuss the generalisability (external validity) of the study results
Other information			
Funding p 16 line 4-5	22		Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based

*Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.