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Community perspectives towards morbidity management and disability prevention for lymphatic filariasis in Luangwa district, Zambia: A qualitative study.

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Abstract:	<p>Background Morbidity management and disability prevention (MMDP) services are essential for the management of elephantiasis, lymphoedema, and hydrocele that result from lymphatic filariasis (LF) infection. However, there is limited information on health beliefs and health seeking behaviour related to MMDP services within LF endemic regions of Zambia. This study sought to document health beliefs and health seeking behaviour for LF MMDP services among communities living in Luangwa District, Zambia.</p> <p>Methods This was an exploratory qualitative study conducted with community members including LF patients, community health workers and healthcare providers. Data was collected through a series of 4 focus group discussions stratified by sex and 26 interviews in-depth interviews. Data were analyzed by thematic analysis using NVivo software.</p> <p>Results The most commonly mentioned causes of the chronic manifestations of LF included; contact with animal faeces, using traditional herbal aphrodisiacs (mutoto) , sexual contact with women who were menstruating or had miscarried and witchcraft. LF patients would opt to visit a traditional healer before going to the health facility. Hydrocele patients were reported to be afraid of hydrocelectomies because they thought they would become infertile or die. Very few of the community members were able to identify any home and facility-based care strategies for LF patients. Health system and cultural barriers to seeking healthcare included; long distances to the health facilities, lack of awareness of existing MMDP services, costs of accessing healthcare services, gender and social norms and fear of stigmatization.</p> <p>Conclusion This study found that health seeking behaviour among LF patients are largely driven by the causes associated with the disease. Ongoing community sensitisation and provider capacity building efforts that seek to create demand for existing morbidity management services at primary health care and household levels, as well as address patients' concerns surrounding access to care are necessary.</p>
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5

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24

25 **Abstract**

26 **Background**

27 Morbidity management and disability prevention (MMDP) services are essential for the
28 management of elephantiasis, lymphoedema, and hydrocele that result from lymphatic filariasis
29 (LF) infection. However, there is limited information on health beliefs and health seeking
30 behaviour related to MMDP services within LF endemic regions of Zambia. This study sought to
31 document health beliefs and health seeking behaviour for LF MMDP services among
32 communities living in Luangwa District, Zambia.

33 **Methods**

34 This was an exploratory qualitative study conducted with community members including LF
35 patients, community health workers and healthcare providers. Data was collected through a
36 series of 4 focus group discussions stratified by sex and 26 ~~interviews~~ in-depth interviews. Data
37 were analyzed by thematic analysis using NVivo software.

38 **Results**

39 The most commonly mentioned causes of the chronic manifestations of LF included; contact
40 with animal faeces, using traditional herbal aphrodisiacs (*mutoto*), sexual contact with women
41 who were menstruating or had miscarried and witchcraft. LF patients would opt to visit a
42 traditional healer before going to the health facility. Hydrocele patients were reported to be afraid
43 of hydrocelectomies because they thought they would become infertile or die. Very few of the
44 community members were able to identify any home and facility-based care strategies for LF
45 patients. Health system and cultural barriers to seeking healthcare included; long distances to
46 the health facilities, lack of awareness of existing MMDP services, costs of accessing healthcare
47 services, gender and social norms and fear of stigmatization.

48 **Conclusion**

49 This study found that health seeking behaviour among LF patients are largely driven by the
50 causes associated with the disease. Ongoing community sensitisation and provider capacity
51 building efforts that seek to create demand for existing morbidity management services at
52 primary health care and household levels, as well as address patients' concerns surrounding access
53 to care are necessary.

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55 **Key words:** Lymphatic filariasis, morbidity management, Zambia,

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58 **Author Summary**

59 Lymphatic filariasis (LF) infection if untreated results in fluid accumulation in the limbs or
60 breasts (lymphoedema) or genitalia (hydrocele) that is painful and causes great discomfort.
61 Morbidity management and disability prevention (MMDP) strategies such as surgery for
62 hydrocele, treatment of acute attacks and management of lymphoedema are necessary for the
63 management of the advanced stages of LF. However, very few countries including Zambia, have
64 adequate information on the health beliefs and health seeking behaviour of communities living
65 in endemic areas. This study sought to explore community perspectives to morbidity
66 management and disability prevention for LF in a highly endemic region, Luangwa District,
67 Zambia between February and April 2019. Some of the common causes of lymphoedema and
68 hydrocele mentioned were; contact with animal faeces, using traditional herbal aphrodisiacs
69 (*mutoto*), sexual contact with women who were menstruating or had miscarried and witchcraft.
70 There was limited knowledge of home-based and facility-based care strategies for LF patients.
71 Nevertheless, patients would often go to health facilities after visiting traditional healers and
72 observing no improvement. Barriers to accessing healthcare included; long distances to the
73 health facilities, lack of awareness of existing MMDP services, costs of accessing healthcare
74 services, gender and social norms and fear of stigmatization.

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85 **Background**

86 Lymphatic filariasis (LF), a neglected tropical disease, causes permanent disability through
87 chronic manifestations of lymphoedema and hydrocele accounting for 1.36 million disability
88 adjusted life years [1]. Globally more than 890 million people living in 72 endemic countries face
89 the risk of infection and an estimated 40 million have chronic manifestations of the disease [2].
90 Disease control programmes have mostly targeted the interruption of LF transmission through
91 mass drug administration (MDA) whilst placing less emphasis on promoting morbidity
92 management and disability prevention services (MMDP) for those presenting with chronic
93 manifestations. The basic care package for MMDP services includes individual treatment for
94 episodes of adenolymphangitis (acute attacks), destruction of microfilaria, management of
95 lymphoedema to prevent disease progression, and surgery for hydrocele [3]. Since 2000, MDA
96 programmes for LF programs have delivered 7.7 billion cumulative treatments to people living
97 in endemic areas [2], whilst fewer lymphoedema and hydrocele patients have accessed MMDP
98 services in the same period.

99 According to the World Health Organization (WHO), MMDP services should be included in
100 the basic primary healthcare package [4-7]. However, progress towards establishing and
101 streamlining MMDP services still remains considerably slow, particularly in the Africa region,
102 which accounts for a considerable proportion of the LF burden [2]. This is evident in the number
103 of countries that have put in place reporting mechanisms for patients with lymphoedema and
104 hydrocele. For instance out of 34 LF endemic countries in the WHO Africa region, only 22 are
105 reporting on lymphoedema patients and 23 on hydrocele patients [2]. Global efforts to eliminate
106 LF through MDA are likely to scale down beyond 2020, and strategic direction of diseases control
107 efforts will most likely pivot towards the provision of MMDP services.

108 In Zambia, LF is a public health concern as 87 of 118 districts are considered endemic with the
109 prevalence of the circulating filarial antigen above 1.5% [2,8]. MDA for LF was first piloted in
110 Western Province in 2014, and then scaled up nationally in 2015 with annual rounds running
111 until 2018. In 2018, national coverage for MDA was reported at 90.8 % [2]. Morbidity mapping
112 of LF patients has been conducted concurrently with the MDA rounds. Results from the LF
113 mapping exercise have shown that there are many cases of hydrocele and lymphedema spread
114 across all ten provinces in the country. However, there is limited information of what MMDP
115 services are available, where and how LF patients are accessing them. Furthermore, LF patients'

116 health beliefs and health seeking behaviour towards MMDP services remain largely
117 undocumented in Zambia.

118 The Zambia Elimination of Neglected Tropical Diseases National Masterplan (2019-2023) places
119 huge emphasis delivery of MMDP services in endemic districts. However, Zambia currently lacks
120 a comprehensive national MMDP strategy for LF or suitable indicators to monitor the provision
121 of MMDP services [8]. As part of efforts to generate evidence to guide the MMDP strategy
122 formulation, the Ministry of Health in collaboration with the University of Zambia, School of
123 Public Health developed a pilot programme using implementation research approaches to
124 identify the most appropriate mechanisms through which MMDP services can be streamlined
125 and integrated into local primary health care systems in Luangwa District to inform potential
126 scale up. This study presents the findings of a preliminary baseline assessment conducted prior
127 to the development and implementation of the pilot programme to understand LF patients'
128 health beliefs and health seeking behaviours towards MMDP services in Zambia. Specifically, this
129 paper reports on the perspectives of health providers, local leaders, community members and
130 patients on their knowledge of LF morbidity, morbidity management practices, health seeking
131 behaviors, and factors affecting access to MMDP services in Luangwa District.

132

133 **Methods**

134 **Study design**

135 An exploratory qualitative research was undertaken as part of a larger baseline formative
136 assessment to inform the development and implementation of an integrated health system
137 intervention to improve access of MMDP services for LF patients in the district. Due to paucity
138 of information within the Zambian context on MDDP, an exploratory qualitative research design
139 was felt to be most appropriate to fully explore community perspectives and common
140 management practices of the most common LF chronic manifestations in the district. The study
141 was conducted between February and April 2019.

142 **Study setting**

143 Luangwa District which is found along the Luangwa River valley in Lusaka Province, has one of
144 the highest prevalence of Circulating Filarial Antigen in Zambia [9]. The district is predominantly
145 rural with an estimated population of 31,665 whose main income generating activities are fishing
146 and farming. The Ministry of Health (MoH) through the local District Health Office is

147 responsible for primary health care in the district as in other parts of the country. The local
148 population is served by 14 Rural Health Centers and 2 second level Hospitals.

149 **Health systems context**

150 Mass Drug Administration activities which begun in 2015 have predominately been the main
151 recognized health system intervention against LF at district level. While MMDP services are
152 considered as part of the primary health care, local health systems face numerous challenges in
153 their delivery. Very few rural health centers are suitably equipped to provide basic MMDP
154 package of services. Available primary health services are general in nature without specific stand-
155 alone activities for chronic manifestations of LF such as hydrocele and lymphedema. A limited
156 number of health facilities in the area have adequate resources to conduct hydrocelectomies as
157 well as provide lymphoedema management services. In the case of lymphoedema, the most
158 readily available services are pain relief and general health education with IEC materials on
159 display in busy outpatient areas. The health facilities also provide antibiotics to prevent secondary
160 infections as a result of acute attacks as well as lymphatic draining to reduce fluid density.
161 Furthermore, there are existing referral systems is in place, where complicated hydrocele cases
162 are referred to the two hospitals; Katondwe Mission Hospital and Luangwa Boma Hospitals
163 which are equipped to perform hydrocelectomies. Severe cases are referred to the University
164 Teaching Hospital in Lusaka District. Despite surgical interventions being available at health
165 facilities, utilisation has also been reported to be very low. In addition, local healthcare providers
166 and community health workers responsible for conducting case identification and management
167 have limited training on provision of MMDP services.

168 **Participant recruitment**

169 In order to select the most appropriate health facility catchment areas from which data would be
170 collected, the study team conducted a morbidity mapping exercise as available records from the
171 Ministry of Health were not up to date. This mapping exercise consisted a census of patients
172 with the chronic manifestations of LF conducted by Community health workers (CHWs) who
173 are usually engaged by the health facilities in drug distribution during MDA for F campaigns.
174 The CHWs received training on LF case identification and went from household to household
175 recording all patients who exhibited signs of elephantiasis, lymphoedema and hydrocele. There
176 was a total of 237 cases identified during the mapping exercise in the district; 27 lymphedemas,
177 199 hydroceles and 7 with both hydrocele and lymphedema. Based on the census, eight health
178 facility catchment areas which had the highest number of LF patients were selected as study sites.

179 These were Luangwa Boma, Mpukha, Katondwe, Kanemela, Chitope, Kasinsa, Mandombe
180 clinics and Luangwa District Hospital. Whilst this study focuses on the formative exploratory
181 qualitative research, the results of the baseline census of LF patients are published elsewhere.

182 **Data collection**

183 Data was collected through a series of focus group discussions (FGDs) and in-depth interviews
184 (IDIs). Interview and FGD guides contained questions on the causes and manifestations of the
185 disease, cultural beliefs, disease management practices, health seeking behavior as well as factors
186 that affect how patients access to healthcare (Finalized tools provided as S1 Appendix). Prior to
187 data collection, the guides/tools were piloted to ensure their suitability by adapting questions
188 where necessary. All FGDs and interviews were audio recorded with the consent of the study
189 participants. In addition, field notes were taken during the course of the interviews. Data
190 collection was done in English and Nyanja to ensure that all participants were able to articulate
191 their perspectives as comprehensively as possible. The recordings were transcribed verbatim and
192 those done in Nyanja were translated to English.

193 *Focus group discussions*

194 There were 4 mixed focus group discussions (2 male and 2 female) held with community
195 members and LF patients in two health facility catchment areas; Luangwa Boma and Mphuka,
196 which had reported the highest number of cases in the district after the morbidity mapping
197 exercise. The participants were conveniently sampled from the communities living in the selected
198 catchment areas. Recruitment was done by the CHWs and health care providers attached to the
199 two health facilities. Participants were invited to take part in the study via telephone by the **health**
200 **facility in-charges**. On average each focus group was comprised nine participants aged between
201 18-50. The focus groups were differentiated by gender due to prevailing cultural beliefs in the
202 district surrounding the ease with which community members could talk about hydrocele which
203 is considered a sensitive topic of discussion. Such a separation encouraged community members
204 to freely express themselves. The FGDs were conducted at the health facility but away from
205 patient areas to ensure the privacy of the participants and avoid interruptions. The FGDs lasted
206 between 1 hour 30 minutes to 2 hours.

207 *In-depth Interviews*

208 A total of twenty-six interviews were also conducted with district neglected tropical disease focal
209 point persons (n=2), community health workers (n=8), health facility staff (n=8) and traditional
210 leaders (n=8). Participants were purposively sampled based on their involvement in the

211 implementation of LF elimination programmes in the area and were drawn from the 8 health
 212 facility catchment areas that had the highest number of LF patients. The interviews with the
 213 community leaders were centered around exploring community perspectives of the disease,
 214 availability and accessibility of services, stigma and social support structures in their respective
 215 communities. Whereas the interviews that were targeted towards the health facility staff, district
 216 health officials and CHWs focused on their training to provide MMDP services, knowledge of
 217 different management services and factors shaping their ability to effectively provide these
 218 services. **Participants invited** to take part either through telephone calls or face to face. Interviews
 219 were conducted at the respective health facilities and the Luangwa district Health Offices.
 220 Interviews lasted between 45 minutes to an hour.

221 **Data analysis**

222 A thematic analysis approach was used to analyze the data. In order to determine the different
 223 barriers that affect how community members including LF patients access appropriate care, a
 224 **framework analysis approach was** [10]. The study team utilized Levesque et al's conceptual
 225 framework on patient centered access to health care which has been extensively used in other
 226 studies [7,11,12]. The framework defines access as the “opportunity to reach and obtain
 227 appropriate health care services in situations of perceived need for care.” It proposes that from a
 228 health care provider perspective, access to care can be categorized into five dimensions;
 229 approachability, acceptability, availability and accommodation, affordability and
 230 appropriateness. Barriers can impact these dimensions which in turn affects an individual's
 231 ability to utilize health services. By affecting their ability to perceive, ability to seek, ability to
 232 reach, ability to pay and ability to engage with the available health services [1]. These demand
 233 and supply side dimensions formed the coding tree from which predetermined themes and sub
 234 themes were extracted using both inductive and deductive methods to ensure that existing and
 235 emergent themes were exhaustively identified. Preliminary coding of the transcripts was done by
 236 PM and AS. JZ reviewed the coding lists to ensure that identified codes were aligned to the
 237 the framework. Subsequently PM, AS and JZ jointly reviewed the codes and developed the final
 238 codebook as shown in Table 1.

239 Table 1. Summary of qualitative coding tree.

Broader theme	Sub theme
Health beliefs about the chronic stages of LF	Presence of local disease terminologies.
	Causes of infection

	Signs and symptoms of the lymphoedema, hydrocele and acute attacks.
Health seeking behaviour	Consulting traditional healers
	Visits to the health facility
	Knowledge of disease management strategies
Availability and accommodation of health services	Long distances to health facilities
Approachability of health services	Lack of awareness of existing MMDP services
Acceptability of health services	Gender norms
	Social Norms
	Stigmatization
Affordability of health services	Costs of accessing healthcare services

240

241 After completion of the data analysis, a stakeholder meeting was held to validate the findings of
 242 the study and to confirm that the information captured from the participants was accurately
 243 reported. A total of fifty participants took part in the validation meeting including Local Chiefs,
 244 traditional leaders, Ministry of Health staff and CHWs. The validation meeting provided an
 245 opportunity for further interrogation and alignment of the study findings. More so, it provided
 246 a platform to clarify participant perspectives on some of the findings.

247 **Ethical Considerations**

248 Ethical approval was sought from University of Zambia Biomedical Research Ethics Committee
 249 (REF.017-11-18) and the National Health Research Authority under the Ministry of Health,
 250 Zambia. All participants were informed of the purpose of the assessment, details of study
 251 procedures including freedom to withdraw, potential benefits and risks, prior to the
 252 commencement of data collection. Thereafter written informed consent was obtained as all
 253 participants were 18 years and above.

254

255 **Results**

256 The study results are presented according to the views of various categories of participants;
 257 community members, patients, healthcare providers, community health workers and traditional
 258 leaders. Verbatim quotes are also presented to provide context on the participant perspectives.
 259 Most of the views on key thematic areas were similar among the different category of participants.

260

261 **Health beliefs of lymphoedema, hydrocele and elephantiasis.**

262 During the focus group discussions, participants pointed out the local Nyanja names associated
263 with the symptoms of the different manifestations of LF; *tumbu* or *nchofu* for hydrocele and
264 *musakasa* for all forms lymphoedema. The signs and symptoms of the different manifestations of
265 LF chronic conditions were well known by the community members who were able to describe
266 them during the FGDs.

267 “This disease of lymphoedema, I used to see people swelling the testicles, legs and to my side
268 at first, I took it to be normal like that’s how they were born. All the years I took it like that.”

269 (FGD1, Community member)

270 The most common mentioned causes of LF included eating food that had not been warmed
271 properly, contact with animal faeces, using traditional medicine such as local herbal aphrodisiacs
272 (*mutoto*), sexual contact with women who were menstruating or who’ve had a miscarriage,
273 witchcraft, men using pounding sticks after they have been used by women, sitting on chairs
274 which LF patients have used, sitting on stones that women use during food preparation and
275 children vomiting on their mothers during breastfeeding. In addition, there was a belief that the
276 diseases were hereditary. Only a handful of the FGD participants were able to correctly identify
277 mosquitoes as the disease-causing vectors and could be prevented by taking medication.

278 “Sleeping with a lady who was pregnant and whose baby dies and they are found in clubs or
279 bars without you knowing anything, you approach her and when she accepts to have sex with
280 her, you end up getting the disease from her.” (FGD2, Community member)

281

282 “I didn’t know anything I could only see a woman her breast gets swollen, it could be a leg
283 and hand. I thought it was a different disease. I didn’t know that the mosquito brings the
284 disease.” (FGD1, Community member)

285 Community actors such as traditional leaders reiterated some of the beliefs that came up during
286 the FGDs. For instance, one of the chiefs stated that;

287 “..... Here we believe that if you are passing where there are faeces of animals especially
288 this rain season whether bush animals or village animals and it can be goats, cattle, sheep,
289 pigs, elephants or other animals the disease goes inside the nails making your legs swollen
290 so even if you treat hydrocele it can’t be healed and that person will die at that old age
291 with swollen legs like that....” [IDI5, Traditional Leader].

292 Nevertheless, knowledge of the causes of LF among community actors such as traditional leaders,
293 community-based volunteers and healthcare providers differed greatly depending on their level
294 of involvement in LF disease control activities such as MDA. Most of them acknowledged that
295 they had limited knowledge of how to manage the disease. Healthcare providers who came into
296 regular contact with patients coming to the facility or through community case identification
297 exercises were better at identifying signs and symptoms of lymphoedema, elephantiasis and
298 hydrocele. One health provider indicated;

299 “...Even the skin changes and it looks like it doesn’t not look to be a normal skin. It
300 changes the color and it becomes hard. For lymphoedema even the limbs, arms legs differ
301 to a normal one. They become different in size...[IDI2, Health provider].”

302 **Healthcare seeking behaviour**

303 It was apparent from the FGDs and interviews that, patients’ decision to seek care from both
304 traditional healers and from the health facility was linked to the prevailing ideas about the causes
305 of the disease. Due to the communal belief that LF is hereditary, patients who had seen their
306 family members exhibiting symptoms and not seeking appropriate care did not see the need to
307 go to a health facility. Moreover, community members who viewed it as a disease that arose due
308 to witchcraft rather than mosquitoes were more likely to seek help from traditional healers. There
309 was also a common belief that the remedies provided by the traditional healers were more
310 effective and permanent solutions in comparison to what was provided at the health facilities.
311 One of the participants who had lymphoedema pointed out;

312 “I have had three years with this disease and here at this clinic they just give me Panadol
313 when I come so I stopped coming because Panadol doesn’t work.” (FGD 2, Community
314 member)

315 The study participants indicated that some lymphoedema patients would first go to a traditional
316 healers and only go to a health facility once their symptoms became more severe. This was
317 because the tattoos and herbs administered by the traditional healers would exacerbate the acute
318 attacks due to LF forcing the patients to go to the health facility for specialised treatment.

319 “With traditional beliefs you will think let me go and look for traditional medicine and
320 put traditional tattoos. Now when they put tattoos instead of the legs healing they start
321 swelling because traditional tattoos now start bringing sores because people are different,
322 some of them it just starts swelling without any pain and then you go to see some with
323 doctors or to the clinic.” [IDI1, Community Health Worker].

324 Very few of the community members were able to identify any homebased care strategies that
325 could prevent the progression of lymphoedema and reduce the occurrence of acute attacks. In
326 the case of hydrocele, it was rare for patients to go to health facility to seek interventions such as
327 aspiration of fluid or hydrocelectomies because they were afraid of being rendered infertile, fear
328 of undergoing a surgical procedure or that the surgery would leave them in wheelchairs that
329 made it difficult for them to undertake their regular duties. Some participants narrated that they
330 thought the surgical procedure was actually meant to remove the testicles.

331 “Some people are scared to go for operation to say they will be operated, they think when
332 they do they can be gone for good and secondly they say when you are operated you will not
333 have children anymore as a result people go for traditional medicine.” (FGD3, Community
334 member)

335 The patients reported that they were afraid to go the health facility because they felt that their
336 conditions had become so advanced that any treatment they received would not lead to an
337 improvement of their symptoms. Healthcare providers and CHWs pointed out that as a result
338 of this, it was common, for hydrocele patients to come into the health facility with very huge
339 swellings. The loss of hope hampered patient motivation to maintain home based care practices
340 which are critical to ensuring that lymphoedema does not progress to elephantiasis, and that
341 surgical interventions are undertaken early for hydrocele.

342 “Say even if am to go to the clinic I won’t be healed am already disabled or paralyzed so even
343 if am to go to the clinic I won’t be helped, others regardless of the condition being severe,
344 they would remain home and say am disabled already.” [IDI3, Community Health Worker].

345 **Health system and cultural barriers to seeking healthcare**

346 **Distance to health facility**

347 Luangwa District is very remote and a portion of the district is covered by the Luangwa National
348 Park. As a result, some communities in the district have to travel long distances of up to 20
349 kilometers and more to the nearest health facility and their access may be inhibited by wildlife
350 attacks such as elephants from the Luangwa National Park. In addition, roads to the health
351 facilities are sometimes impassable and the most common means of transport is bicycles which
352 are inappropriate to transport lymphoedema and hydrocele patients. As such some patients
353 choose to stay home rather than go to the health facility. The district also borders Mozambique
354 and Zimbabwe and patients who may be involved in economic activities which require them to

355 travel across the borders are often missed out when healthcare providers conduct outreach and
356 follow up visits at community level to provide MMDP services as they may not be found at their
357 homes.

358 “Some villages are quite on remote areas. Hence depending on the transportation they
359 may not be able to come from here and also some they come from across our neighboring
360 country across the river in Mozambique.” [IDI3, Healthcare Provider].

361 **Lack of awareness of existing MMDP services**

362 A recurring theme across the focus group discussions and interviews is that most community
363 members are not aware that there are MMDP services available at the health facilities or that
364 there are home based care strategies that lymphoedema patients can use to prevent the worsening
365 of their conditions. They indicated that the information that was provided to them by the
366 **Community Health Workers** was mostly focused on the importance of taking part in annual
367 drug distribution exercises during MDA for LF campaigns. Furthermore, other ongoing
368 community sensitisation exercises tended to focus on diseases such as malaria, HIV/AIDS and
369 maternal, newborn and child related conditions, as LF is not perceived to be a public health
370 priority. Nevertheless, the study participants reported that the healthcare providers and CHWs
371 had now begun conducting community outreach and health talks with the help of LF patients
372 who would act as champions to encourage more community members to utilize MMDP services.

373 “What makes these people to come to the clinic, maybe a fellow patient went to the clinic
374 and was assisted then the information is spread to fellows. Then we encourage them that you
375 find you have the right to share with friends that I was helped in this way so that those who
376 are shy can be motivated and go to the clinic openly and express their problems.” [IDI3,
377 Community Health Worker].

378 **Costs of accessing healthcare services**

379 Majority of the residents in the district are poor and rely on subsistence farming and fishing for
380 sustenance. As such, when deciding on how best to prioritize available resources, they opted to
381 use the minimal resources they had for food and basic necessities before considering spending
382 on health care, particularly for conditions that they thought were incurable. For this reason,
383 some patients may fear going to the health facility to access MMDP services due to the cost they
384 may have to incur to not only access, but also getting to the health facility considering their
385 disability.

386 “Yes, some they think that way. That they will pay for the operation, they think that if doctors
387 refer me to the theatre where am I going to get the money so it’s better I just stay with my
388 swollen legs.” [IDI5, Traditional Leader].

389 Despite services at health facilities being free, there is a perception among community members
390 that they are expected to pay fees for treatment services such as hydrocelectomies which dissuades
391 them from seeking care. Furthermore, the opportunity cost associated with taking time off to go
392 to a health facility is not considered preferable. In the event that a patient is found to have a
393 severe case of hydrocele or lymphoedema requiring specialized treatment at one of the three
394 referral facilities, the associated costs such as hiring transportation and out of pocket hospital
395 expenses act as barriers to accessing care. Families that have LF patients are sometimes forced to
396 save money over long periods of time to enable them to access care.

397 “So, for them they think, if I start going there with my cost of living, it is difficult to find
398 money, so when they think I start going to the hospital and maybe there are also some
399 payments at the hospital, no its better I just don’t go.” [IDI1, Community Health Worker].

400 **Gender and Social norms**

401 According to the health care providers, male hydrocele patients are less likely to come to the
402 facility and speak freely about their condition. The participants indicated that one of the most
403 common prevailing cultural belief was that conditions to do with genitalia should not be
404 discussed openly or even shown to members of the opposite sex. As such hydrocele patients are
405 embarrassed to access services and even if they do, they do not easily open up especially if they
406 are being attended to by female health care providers.

407 “People are not open to explain the problem they have especially if a woman is interviewing
408 a man it becomes a big challenge. Others are known that he has such a problem but talking
409 to him, he refuses completely.” [IDI2, Community Health Worker].

410 Nonetheless, having hydrocele was viewed as a marker of high social standing, and men who
411 have it are more likely to be chosen as headmen as they are perceived to be old and wise. This
412 has acted as a deterrent to patients seeking care.

413 “... When they get sick they don’t even go to the hospital because they are respected so
414 much. Yes, they respect him a lot even if you reach somewhere he will be the first one to be
415 given the chair just because of what they are seeing, respecting him a lot that one who doesn’t
416 have, so mostly if you become a headman they believe you are supposed to have hydrocele

417 and you should become the head of the family you are supposed to have that so that even if
418 you this is our leader.....”[KII2, Traditional Leader].

419 **Fear of stigmatisation**

420 Fear of stigmatisation also inhibits patients’ ability to seek care. During regular case identification
421 exercises by healthcare providers, known hydrocele patients, who are approached for referral to
422 the health facility would either deny having the condition or request to talk to them in private
423 because they are afraid that they would be laughed at if other community members found out
424 that they had hydrocele.

425 “Especially if he has hydrocele they start laughing at him so you will find sometimes he
426 can’t even be open and be free to go to the hospital because of the fear that people will
427 laugh at me.” [KII2, Traditional Leader].

428 “They tell you we can talk in a hidden place or maybe you just come later, because there
429 are people present.” [IDI1, Community Health Worker].

430

431 **Discussion**

432 Lymphatic filariasis infection if left untreated results in lymphoedema, elephantiasis and
433 hydrocele which are not only debilitating but without care may result in permanent disability.
434 MMDP services provide an opportunity through which patients could have access to
435 management strategies that ensure their conditions do not progress to more advanced stages
436 allowing them to be fully involved in community activities and undertake personal daily tasks.
437 Various studies have been conducted in Luangwa district exploring the prevalence of the disease
438 [9] and how community engagement strategies are able to shape participation in Mass drug
439 administration campaigns [13], however there have been no studies exploring how the socio-
440 cultural context of the area shapes morbidity management practices at health system and
441 community levels. As such this study sought to explore health beliefs and the health seeking
442 behaviour among communities living in the area in order to inform the development of a MMDP
443 programme that would be implemented in the district.

444 Local communities in Luangwa district were found to believe that some of the causes of hydrocele
445 and lymphoedema included witchcraft, direct contact with infected individuals or objects they
446 have used as well it being a heritable disease which matches to results from similar studies in
447 other LF endemic countries [14-16]. Moreover, the poor understanding of the connection

448 between mosquitoes as the cause of filarial infection and the more advanced stages of disease has
449 also been shown elsewhere [14,17,18]. Although a few of the community members were able to
450 correctly identify mosquitoes as the disease-causing vectors and could list some preventive
451 measures such as taking part in mass drug distribution exercises, knowledge of morbidity
452 management practices was very minimal.

453 As is the case with other low resource settings, community health workers who come into contact
454 with communities are often the main source of information on diseases and management
455 strategies[17]. In the absence of a national MMDP strategy for LF in Zambia which ought to
456 provide guidance on training of CHWs, the inclusion of key health messages related to morbidity
457 management during community sensitization exercises is a missed opportunity. Largely because
458 most of the information that they provide during community mobilization exercises is limited to
459 creating awareness on MDA and the importance of participation in annual rounds.

460 Despite seeking care from healthcare providers and traditional healers, our study found that
461 traditional healers are still the preferred first line of care for most LF patient living in the
462 community as has been reported elsewhere [14,17,19,20]. Most patients only go to the health
463 facility once their pain has become too severe and their symptoms have progressed. This has a
464 negative bearing on their treatment outcomes. The inclusion of traditional healers in the delivery
465 of morbidity management programmes is an opportunity that can be explored especially given
466 that some of them were found to be LF patients themselves and as such can act as champions.
467 We also found that patient motivation amongst lymphoedema patients often wanes once they
468 realize that their conditions can only be managed over time and are not completely curable. As
469 has been the case in other studies [15,17,20,21]. There were low uptake levels for surgical
470 interventions for hydrocele patients due to fear of the surgery itself, fear of sterility and
471 anticipated costs of surgery. Similar to other studies exploring health seeking behaviour, factors
472 that were found have the most significant effect on accessing MMDP services included cost, lack
473 of awareness of existing services, gender and social norms and stigma [17,19,22,23].

474 Morbidity management and disability prevention services remain a critical component of the
475 global strategy to address the lymphatic filariasis burden in most low-income settings. Whilst
476 most efforts have predominately been centered around MDA for LF, MMDP services provide a
477 platform to complement these efforts. Studies have documented that creating demand for
478 MMDP services has also had a positive impact on community acceptability of MDA for LF [24].
479 Morbidity management programmes for lymphedema and hydrocele have been reported to

480 increase community support for and hence participation in MDA for LF [25]. These programmes
481 provide training on self-management of lymphedema for patients and hydrocele surgical
482 operation for the healthcare providers. Community knowledge of available care, including
483 surgery for hydrocele patients motivated people to participate in MDA for LF. Lymphedema
484 management programmes also provided patients with a platform to share information with other
485 community members about the disease and the benefits of the drugs [26].

486 **Strengths and limitations of the study**

487 This was an exploratory qualitative study that sought to gather data on one of a most neglected,
488 but prevalent public health problem in Zambia. Data were gathered from a varied category of
489 participants with regards to community experiences of LF patients access to healthcare, which
490 enabled for richer understanding and perspective of the topic. Furthermore, the study research
491 team engaged actors from both health systems, community and household level to provide
492 information on some of the underlying issues and multitude of factors at different levels affecting
493 access to MMDP services in Zambia. However, only a small fraction of patients were interviewed
494 as most of their data was captured using a close ended survey that is reported elsewhere. But even
495 with this limitation, the patients as well as other category of participants were able to provide the
496 required information. Another limitation is that sample of Luangwa District may not represent
497 views of other LF endemic areas in Zambia, but still provides a critical learning point in efforts
498 to create national strategy for MMDP services.

499 **Conclusion**

500 This study found that hydrocele and lymphoedema are well known among communities living
501 in Luangwa District. Moreover, the health seeking behaviour among LF patients living in the
502 communities is largely driven by the causes associated with the disease. Ongoing community
503 sensitisation that highlights the linkage between initial infection through mosquitoes and the
504 more advanced disease stages as well as morbidity management practices are necessary. Training
505 guidelines for healthcare providers and community health workers working in endemic districts
506 should equip them with the necessary knowledge that can help them deliver key health messages
507 such as how to conduct home based care for lymphoedema patients and the importance of
508 hydrocele surgery which are necessary for the success of morbidity management programmes.
509 Health education campaigns at community level should also address some of the patients
510 concerns surrounding access to care including reduced patient motivation to maintain
511 lymphoedema management practices and fear of taking up hydrocele surgery. There is also a

512 need strengthen referral systems to ensure patients not only get appropriate care but that
513 subsequent follow up is made possible. Furthermore, MMDP programmes should include
514 strategies that seek to empower LF patients by ensuring that they get the required information,
515 to access and use the services at the health facilities.

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523

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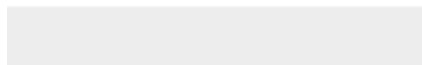
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Supporting Information
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Reviewer 1.

1. The authors are presenting the findings of a KAPP (knowledge attitude, practices and perceptions) study on lymphatic filarial disease aetiology and management among three stakeholder groups (patients, community and health providers) in an endemic setting in the district of Luangwa in Zambia where the majority appear to be of low educational attainment with more or less primitive health care facilities. The writing needs to be improved overall so as to improve the clarity of the message conveyed, Results documented were insufficient and erroneous and not presented clearly.

Thank you very much for your feedback. The authors have reviewed the writing and made suitable edits to ensure that the reader experience is improved.

2. I feel that the title “ Access to morbidity management and disability prevention services for lymphatic filariasis in Luangwa district, Zambia: A mixed methods study” is somewhat inappropriate as the manuscript does not detail the availability of MMDP health services in the region (number of health facilities that can perform hydrocelectomies, facilities which provide limb care etc.). Without knowing the availability of baseline facilities I feel that you cannot discuss access (defined as “opportunity to reach and obtain appropriate health care services in situations of perceived need for care”) to these services. What the authors are describing are the health seeking behavior of patients and factors that influence the behavior patterns.

As part of the baseline assesment, a rapid assessment in 8 of the 16 health facilities in the district and their ability to provide MMDP services was conducted. It was found that very few of them were suitably equipped to provide these services. A brief statement on the availability of services at the health facilities in the area has been included within the description of the study settings of the paper (Lines 150-167). However, we have adjusted the manuscript to primarily reflect community perspective around health seeking behaviour as per the reviewer’s suggestion.

3. Overall writing is poor and the meaning of certain statements are rather ambiguous and not clear. This is just one of the instances, Eg, “...of the MMDP intervention such as the design training curriculums, health education and...” .There are many more grammatical errors which need to be attended.

The authors have reviewed the manuscript and paid attention to the concern by the reviewer on grammatical errors and resolved them.

4. The KAPPP findings were interesting but somewhat vague due to lack of quantitative data. I feel that the Results section need to be re-written as presentation of research findings is not very clear and rather confusing as outcomes of all surveys are presented together and discussed in this section. Furthermore the data given in the results section appear to be incorrect. Percentages without the actual values of the variable are unacceptable as there is no way to verify the accuracy of data. Perhaps using tables to present the quantitative data may increase the clarity of the findings. The results of the 3 surveys; patient KAPPP, FGD

and interviews (specify the group, primary health care providers/ Key informants/ community leaders?) if presented separately may be clearer to the reader as well as the authors

Based on the feedback from the reviewers, the authors decided to focus on the qualitative findings and present the quantitative findings as a separate publication. As such the manuscript only present a revised version of the qualitative findings.

5. Is it possible to specify the key areas that were investigated by the KAPP survey, the questions included to the questionnaire ? used on the patients, In the FGDs were the same questions asked from the community was it approached differently? Was the approach similar for all FGDs? With regard to interviews detail the core areas that were covered

We have included a descriptions of the key questions that were asked during the interviews and focus groups as well as added the data collection tools as supplementary file (Lines 184-186 & S1 Appendix).

6. The basic results documented are erroneous and contradictory, Lines 229 & 230; Patient characteristics There were 237 pts.....199 hydrocele, 27 lymphoedema, 7 both lymphoedema and hydrocele, addition brings the total to 233, Line 320 says of the 22 patients with lymphedema? I think even the authors are not clear about how many patients had lymphoedema. The number of lymphoedema patients are rather low to discuss the morbidity management measures practiced by them. Presenting the results as percentages is not acceptable, include the actual value of the variables as well.

As mentioned above, the quantitative component of the assessment has been removed to focus on the qualitative findings from the interviews and the focus group discussions.

7. Line 239, Knowledge of lymphedema, elephantiasis and hydrocele, It is important to document the disease knowledge among patients rather than community, there is no data on this aspect.

The selection of participants for the focus group discussions also included lymphoedema and hydrocele patients to ensure that their perspectives were also represented.

8. With regard to FGD, what was the composition of the sample? (It says a convenient sample) how many patients were included? What was the age range?

The FGDs were comprised of community members aged 18-50 drawn from sampled health facility catchment areas who were able to attend the discussion.

9. Line 354, Social norms. Appear to be contradictory” Having hydrocele is viewed as a marker of high social standing and men who had it are more likely to be chosen as headmen as they are perceived to be old and wise” and the statement “Though LF patients were considered to be in a pitiful state” and lines 376-380 under Stigmatization ” Fear of stigmatization also inhibits patients’ ability to seek care....would either deny having the condition or request to talk to them in private because they are afraid that they would be laughed at if other community members found out. Are these statement derived from the FGD or are these the authors views?

The statements were derived from the data that was collected, a verbatim quote has been included in the section on stigmatization (Lines 425-429).

10. Beliefs (line 342) were these derived from FGDs or Patient surveys or past publications? (Shawa et al 2013)

All the findings presented are drawn from the data collected during the focus group discussions and the interviews.

11. The manuscript is too lengthy and include a lot of detail on implementation research approaches (lines 102-126) patient access to care frame work guidelines (191-195)but detail (data) on the current study outcomes and the Discussion are inadequate. The authors should compare and discuss the similarities and differences of their results with past reports (publications) on LF in Zimbabwe and elsewhere, discuss the limitations of the study etc.

After revisions based on reviewer's comments, the length of the manuscript has reduced. We have also drawn comparisons with other relevant studies and situated our work within the field.

Reviewer 2.

1. The manuscript is too long given the number of focus groups and in-depth interview conducted. I would suggest the authors to focus on the key findings than listing everything here.

The authors reviewed the manuscript and reviewer's comments and decided to focus specifically on the qualitative findings which have been presented, due to these changes the length of the manuscript has reduced.

2. In the study setting please give clear description of the study setting. When was the LF treatment started in the district? How many health facilities are there in the district? What type of health facilities? How is the function of the health system organized in the district etc.

We have included a description of the local primary health system (Lines 150-167).

3. The discussion part is very brief I would suggest the authors would include, comparing their findings with previous studies, the key limitations of their study. The implication of the current study to programme planning and implementation and some recommendations.

The discussion section of the manuscript has been expanded to factor in similar studies in other settings as well having limitations and recommendations.

Reviewer 3.

1. Overall a reasonably written paper addressing a neglected topic, especially in Zambia. The use of mixed methods is good, however as little more delineation between the different groups would be helpful so it is easier to see who is saying what.

The authors have rewritten the results section and included quotations to indicate sources of information alongside relevant textual descriptions.

2. In the abstract the findings could include more hard data/figures as it is a little vague and the challenges should link to the paper key themes so there is consistency

The authors rewrote the abstract to clearly articulate the major findings from the study.

3. The discussion needs more references supporting the statements.

The authors have reviewed additional references and included relevant ones within the introduction and discussion sections of the manuscript.

4. MMDP - inconsistency in how it is presented i.e. sometime abbreviated but not always. Please check all

We have made revisions to ensure that MMDP is used consistently throughout the manuscript.

5. The authors may want to highlight the economic benefits of surgery as published in PloS recently <https://www.ncbi.nlm.nih.gov/pubmed/32210436>. This paper also has a number of references that the authors here could use/include to better back some of the statements.

Thank you for this recommendation, the authors have included some of the relevant publications within the revised version.

6. In general there is a lack of references to support the paper

The authors have reviewed additional references and included relevant ones within the introduction and discussion sections of the manuscript.



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Revised Article with Changes Highlighted

Revised Access to MMDP Services in Luangwa PM.docx

