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# **BMJ Open**

#### Modeling COVID-19 Transmission in Africa: Country-wise Projections of Total and Severe Infections Under Different Lockdown Scenarios

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#### Modeling COVID-19 Transmission in Africa: Country-wise Projections of Total and Severe Infections Under Different Lockdown Scenarios

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# ABSTRACT

#### **Objectives**

As of August 24<sup>th</sup> 2020, there have been 1,084,904 confirmed cases of SARS-CoV-2 and 24,683 deaths across the African continent. Despite relatively lower numbers of cases initially, many African countries are now experiencing an exponential increase in case numbers. Estimates of the progression of disease and potential impact of different interventions are needed to inform policy making decisions. Herein, we model the possible trajectory of SARS-CoV-2 in 52 African countries under different intervention scenarios.

#### Design

We developed a compartmental model of SARS-CoV-2 transmission to estimate the COVID-19 case burden for all African countries while considering four scenarios: no intervention, moderate lockdown, hard lockdown, and hard lockdown with continued restrictions once lockdown is lifted. We further analyzed the potential impact of COVID-19 on vulnerable populations affected by HIV/AIDS and TB.

#### Results

In the absence of an intervention, the most populous countries had the highest peaks in active projected number of infections with Nigeria having an estimated 645,081 severe infections. The scenario with a hard lockdown and continued post-lockdown interventions to reduce transmission was the most efficacious strategy for delaying the time to the peak and reducing the number of cases. In South Africa projected peak severe infections increase from 162,977 to 203,261, when vulnerable populations with HIV/AIDS and TB are included in the analysis.

#### Conclusion

The COVID-19 pandemic is rapidly spreading across the African continent. Estimates of the potential impact of interventions and burden of disease are essential for policy makers to make evidence-based decisions on the distribution of limited resources and to balance the economic costs of interventions with the potential for saving lives.

# ARTICLE SUMMARY

Strengths and limitations of this study

- Though the rapid spread of SARS-CoV-2 through China, Europe and the United States has been well-studied, leading to a detailed understanding of its biology and epidemiology, the population and resources for combatting the spread of the disease in Africa greatly differ to those areas and require models specific to this context.
- Few models that provide estimates for policymakers, donors, and aid organizations focused on Africa to plan an effective response to the pandemic threat that optimizes the use of limited resources.
- This is a compartmental model and as such has inherent weaknesses; including the possible overestimation of the number of infections as it is assumed people are well mixed, despite many social, physical and geographical barriers to mixing within countries.
- Peaks in transmission are likely to occur at different times in different regions, with multiple epicenters.
- This model is not stochastic and case data are modeled from the first twenty or more cases, each behaving as an average case; in reality, there are no average cases; some individuals are likely to have many contacts, causing multiple infections, and others to have very few.

# FUNDING

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# **COMPETING INTERESTS**

The authors have no competing interests to declare.

# INTRODUCTION

On March 11, 2020, the World Health Organization declared the novel severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) outbreak a pandemic. As of 24<sup>th</sup> August 2020, there have been over 23,472,067 cumulative confirmed cases of coronavirus disease (COVID-19) and over 809,747 deaths reported globally.[1] The first confirmed COVID-19 case in Africa occurred in Egypt on February 14, 2020. To-date, African countries have reported lower disease incidence than most other countries, with 1,084,904 confirmed cases and 24,683 deaths as of 24<sup>th</sup> August 2020 across the continent. However, infectious disease surveillance and reporting infrastructure remain highly underdeveloped, and COVID-19 testing is limited given the shortage of human resources and appropriate laboratory and surveillance facilities across the continent.[2]

Although uncertainties underlying SARS-CoV-2 disease transmission and severity persist, ongoing analysis of available data suggests that old age and underlying health conditions play a critical role in the severity of disease prognosis.[3,4] Approximately 75% of the African population is less than 35 years of age, and African countries may benefit from a largely young population.[5] However, these populations may also be at particular risk for high morbidity and mortality from COVID-19 given the high prevalence of immunocompromised individuals. In 2016, 417,000 people died from tuberculosis in the African region, where 25% of the world's TB deaths occur.[6] Other prevalent co-morbidities include HIV, for which many patients are receiving anti-retroviral therapy, and malnutrition, in addition to other communicable and non-communicable diseases.[7–9]

To mitigate the spread of COVID-19, the majority of African countries reduced or banned international travel and instituted curfews, lockdowns, and other social distancing interventions beginning in March and April 2020.[10] Several studies have demonstrated the effectiveness of social distancing and other quarantine measures in tandem with a rapid scale up of SARS-CoV-2 testing.[11] By one estimate, the number of people likely to be infected with the virus after encountering an infected individual declined by 55 percent after one week of shutdown in Wuhan, China.[12] However, as the majority of these restrictions were lifted in June 2020, the transmission rate is expected to increase, especially in highly populated areas where social distancing is not feasible.

In this analysis, we combine best available estimates of the parameters that govern SARS-CoV-2 transmission dynamics with country-specific population data to estimate potential COVID-19 case burdens under four scenarios: baseline (assuming disease transmission is not mitigated by appropriate interventions), moderate lockdown, hard lockdown, and hard lockdown with continued social distancing. Given the current lack of data and understanding of how COVID-19 will impact the continent, these estimates are generated to inform national and continental COVID-19 preparation and response efforts on the basis of how this disease has spread elsewhere.

## **METHODS**

## Data

The number of confirmed COVID-19 cases and the date of the first twenty or more cases were obtained for each country using data aggregated by the Johns Hopkins Centers for Systems Science and Engineering as of 25<sup>th</sup> June 2020.[1] Lockdown start and end dates, where applicable, were compiled from a variety of sources including news media reports and government statements (Appendix 1 and Table 2). Although data are as current as possible, many government policies are under continuous review and lockdown dates may become rapidly outdated. Where countries do not have dates for the lifting of lockdown, a duration of 60 days has been assumed in line with the recommendations of many governments. Country population estimates were obtained from the World Bank.[13] Projections were simulated across all African nations and territories (excluding Comoros, Mayote, Reunion, Lesotho, and St. Helena which were omitted for lack of sufficient case data).

For all included countries, we used case data for 200 days after the first 20 or more confirmed cases were recorded. Only the Seychelles has not yet reached this 20-case threshold, where confirmed cases remain at 11 since April 6, 2020. For this case the highest number and the date this figure was first recorded were used to initiate the model. Model start and end dates for each country are provided in Table 2.

Country	Beginning Date of Simulation	Start Date of Lockdown	Duration of Lockdown (Days)	Date of Peak Infections (Baseline)	Date of Peak Infections (Moderate Lockdown)	Date of Peak Infections (Hard Lockdown)	Date of Peak Infections (Hard Lockdown with Social Distancing)
Algeria	3/9/20	3/23/20	82	7/2/20	7/22/20	8/27/20	8/14/20
Angola	4/18/20	3/31/20	70	8/6/20	8/29/20	9/12/20	10/3/20
Benin	4/5/20	3/30/20	41	7/15/20	7/31/20	8/15/20	9/23/20
Botswana	4/19/20	4/6/20	46	7/16/20	8/18/20	8/22/20	9/5/20
Burkina Faso	3/18/20	3/21/20	54	7/1/20	7/23/20	7/25/20	8/6/20
Burundi	5/17/20	3/21/20	39	9/3/20	9/11/20	9/23/20	10/9/20
Cabo Verde	4/15/20	3/28/20	17	7/6/20	7/16/20	7/29/20	8/3/20
Cameroon Central African	3/20/20	3/18/20	44	7/6/20	7/21/20	8/11/20	8/29/20
Republic	4/28/20	3/30/20	60	8/9/20	8/31/20	9/7/20	9/29/20
Chad	4/13/20	4/2/20	43	7/28/20	8/3/20	9/6/20	9/26/20
Congo (Brazzaville)	4/2/20	3/31/20	61	7/12/20	7/28/20	8/27/20	8/26/20
Congo (Kinshasa)	3/21/20	3/18/20	78	7/9/20	8/11/20	8/30/20	9/9/20
Cote d'Ivoire	3/23/20	3/16/20	62	7/3/20	7/20/20	8/16/20	8/29/20
Djibouti	3/31/20	3/23/20	46	6/22/20	7/20/20	8/4/20	8/18/20
Egypt	3/8/20	3/15/20	137	6/22/20	7/27/20	10/2/20	9/14/20

Table 2: Lockdown and Peak Dates

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2									
3 4	Equatorial Guinea	4/12/20	3/26/20	64	7/5/20	7/27/20	8/13/20	8/26/20	
5 6	Eritrea	4/2/20	3/23/20	31	7/4/20	7/24/20	7/30/20	8/18/20	
7	Eswatini	4/18/20	3/26/20	42	7/19/20	7/28/20	8/24/20	8/30/20	
8 9	Ethiopia	3/29/20	3/22/20	170	7/22/20	8/18/20	10/27/20	11/22/20	
10 11	Gabon	4/2/20	3/20/20	58	7/5/20	7/19/20	8/5/20	8/27/20	
12	Gambia	5/11/20	3/17/20	59	8/11/20	8/29/20	9/29/20	10/8/20	
13 14	Ghana	3/22/20	3/17/20	34	7/11/20	7/8/20	7/25/20	8/8/20	
15	Guinea	3/30/20	3/26/20	50	7/8/20	7/19/20	8/15/20	8/30/20	
16 17	Guinea-Bissau	4/7/20	3/17/20	55	7/13/20	7/31/20	8/12/20	8/19/20	
18 19	Kenya	3/24/20	3/15/20	43	7/14/20	8/14/20	8/27/20	9/13/20	
20	Liberia	4/8/20	3/22/20	21	7/15/20	7/19/20	8/9/20	8/18/20	
21 22									
23	Libya	4/7/20	4/17/20	20	7/19/20	7/28/20	8/6/20	8/10/20	
24 25	Madagascar	3/26/20	3/23/20	60	7/6/20	8/5/20	8/15/20	8/23/20	
26	Malawi	4/22/20	3/23/20	48	8/7/20	8/13/20	9/17/20	10/21/20	
27 28	Mali	3/30/20	3/15/20	55	7/24/20	8/8/20	8/26/20	9/4/20	
29	Mauritania	5/14/20	3/15/20	60	8/18/20	9/9/20	10/3/20	10/9/20	
30 31	Mauritius	3/22/20	3/29/20	64	6/20/20	7/15/20	8/1/20	8/14/20	
32	Morocco	3/15/20	3/15/20	87	6/28/20	7/26/20	8/25/20	8/18/20	
33 34	Mozambique	4/10/20	3/23/20	68	7/20/20	8/17/20	9/10/20	10/9/20	
35 36	Namibia	4/5/20	3/17/20	76	7/8/20	8/5/20	8/17/20	8/18/20	
37	Niger	3/30/20	3/20/20	113	7/14/20	8/23/20	9/22/20	9/16/20	
38 39	Nigeria	3/21/20	3/30/20	63	7/15/20	8/4/20	9/4/20	9/30/20	
40 41	Rwanda	3/23/20	3/21/20	60	7/2/20	7/15/20	8/19/20	9/12/20	
42	Sao Tome and Principe	5/5/20	3/17/20	60	7/22/20	8/13/20	9/1/20	9/8/20	
43 44	Senegal	3/15/20	3/14/20	77	6/30/20	7/17/20	8/12/20	8/18/20	
45	Seychelles	4/6/20	3/16/20	60	6/19/20	7/5/20	7/21/20	8/15/20	
46 47	Sierra Leone	4/17/20	4/4/20	60	7/21/20	8/8/20	8/28/20	9/13/20	
48									
49 50	Somalia	4/10/20	3/18/20	60	7/17/20	8/17/20	8/24/20	9/15/20	
51	South Africa	3/13/20	3/27/20	35	6/23/20	7/11/20	8/11/20	8/1/20	
52 53	South Sudan	4/28/20	3/25/20	60	8/9/20	9/7/20	9/9/20	9/21/20	
54	Sudan	4/13/20	3/15/20	80	7/29/20	8/22/20	9/19/20	10/9/20	
55 56	Tanzania	4/1/20	3/23/20	60	7/18/20	8/3/20	9/3/20	9/20/20	
57									
58				<i>.</i>					

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Togo	3/24/20	3/20/20	60	7/2/20	7/12/20	8/30/20	8/16/20
Tunisia	3/16/20	3/12/20	53	6/20/20	7/31/20	7/27/20	8/12/20
Uganda	3/27/20	3/18/20	47	7/10/20	7/30/20	8/16/20	8/27/20
Zambia	3/27/20	3/17/20	38	6/30/20	7/27/20	8/15/20	9/3/20
Zimbabwe	4/15/20	3/23/20	60	7/26/20	8/25/20	9/8/20	9/19/20

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To incorporate the varying age structures of different countries into the model, parameters were weighted by the proportion of the population in the 0-64, 65-79, and 80 and above age brackets in each country to form a unique set of parameters for each country (Appendix 2). Demographic data were obtained from the World Bank for 2018,[13] with the exception of Eritrea, for which population data came from IndexMundi.[14] Many African countries have high rates of TB and HIV/AIDS which are likely to make their populations more vulnerable to severe infection. To further consider the impact of this on our analysis we reweighted the parameters according to the proportion of the younger population with HIV and/or TB. Those over age 80, and 65-79 were modeled as before, however the under 64 cohort was split into healthy individuals and those affected by TB and/or HIV/AIDS. For this group we employed the parameter set previously used for the 0-64-year-old cohort, with the exception of progression to severe disease, which was doubled to 0.404 (upper bound tripled to 0.606 and lower bound of 0.202), for populations with HIV/AIDS and/or TB, based on estimates for mortality from South Africa.[15,16] Algeria, Mauritius, Eritrea, and Seychelles were excluded from this second analysis due to lack of data.

#### **Model Structure**

#### Epidemiological equations and parameters

The model follows a modified SEIR structure (Figure 1) with seven unique compartments to describe the epidemiology of SARS-CoV-2. This is adapted from the model structure previously described in Lin et al. 2020.[17] Susceptible individuals, S, are those in the population that can become infected with the virus. They become exposed, E, to SARS-CoV-2 by encountering infected individuals in the population at rate  $\beta_1$  for asymptomatic individuals or  $\beta_2$  for symptomatic individuals. We assume that individuals that are asymptomatic or mildly symptomatic have a lower transmission rate,  $\beta_1$  than more symptomatic individuals,  $\beta_2$ .[17] Exposed individuals incubate the virus at rate  $\mu$  (calculated as the inverse of the incubation period). A proportion of these individuals,  $\theta$ , become symptomatically infected while the rest become contagious with mild or no symptoms, C. Of the symptomatically infected individuals, a proportion, h, have severe symptoms, to the extent that they will require hospitalization if available,  $I_{s}$ , and the rest have moderate or non-severe symptoms,  $I_{N}$ . Asymptomatic or mildly symptomatic, moderately symptomatic, and severely symptomatic individuals recover (or otherwise become non-contagious) at rates  $\gamma_1$ ,  $\gamma_2$ , and  $\gamma_3$ , respectively. It is assumed that recovered individuals are immune from becoming re-infected during the time period of the study. Severely infected individuals may also die, D, at rate  $\delta$ .

The model is described by the following set of differential equations:

$$N = \sum (S + E + C + I_N + I_H + D + R)$$
$$\dot{S} = -\beta_1 \frac{SC}{N} - \beta_2 \frac{S(I_N + I_S)}{N}$$
$$\dot{E} = \beta_1 \frac{SC}{N} + \beta_2 \frac{S(I_N + I_S)}{N} - \mu E$$

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 $\dot{C} = \mu(1-\theta)E - \gamma_1C$  $\dot{I}_N = \mu\theta(1-h)E - \gamma_2I_N$  $\dot{I}_S = \mu\theta hE - \gamma_3I_S - \delta I_S$  $\dot{D} = \delta I_S$  $\dot{R} = \gamma_1C + \gamma_2I_N + \gamma_3I_S$ 

#### **Estimation of Epidemiological Parameters**

Parameters are estimated from the literature and the sources for this are outlined in Table 1 and the supplementary materials.

To assess the uncertainty of the parameter ranges on model estimations, we used Latin Hypercube Sampling (LHS), a stratified sampling technique that efficiently analyzes large numbers of input parameters by treating each parameter as a separate random variable. Stochastic sampling of the parameters with LHS was based on an estimation of parameter ranges obtained from the literature (Table 1). From the parameter sampling, we were able to calculate the 95% confidence interval (CI) for all compartment values over the temporal domain.

## **Scenarios and Assumptions**

We provide case projections for the following four scenarios:

1. **Baseline**: Disease continues to spread with no curfew, lockdown, social distancing, or other intervention(s) and with no change in transmission rate.

2. **Moderate Lockdown**: Disease transmission is reduced by 25% during the lockdown period, then transmission resumes at 90% of the pre-lockdown value due to sustained changes in behavior.

3. **Hard Lockdown**: Disease transmission falls 44% during the lockdown period, then transmission resumes at 90% of pre-lockdown levels.

4. **Hard Lockdown and Continued Social Distancing/Isolating Cases**: Disease transmission is reduced by 44% during the lockdown period then, through social distancing regulations and isolation of symptomatic individuals, resumes at 75% of pre-lockdown levels.

For each scenario, we estimate the total number of infections that are asymptomatic or mildly symptomatic, moderately symptomatic, and severely symptomatic cases. The rate of severely symptomatic cases is based on the rate of hospitalization in other parts of the world, although access to hospital care is likely to differ greatly between different parts of Africa.[18]

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Parameter	Definition	Value	Calculation	Ref
$R_0$	Basic Reproduction Number	3.1 (1.9 – 6.5)		[19]
θ	Symptomatic rate	0.792		[20-22]
		(0.568-0.815)		
$\beta_2$		0.62	$R_0$	[23]
	Infection rate for symptomatic transmissions	(0.38-1.3)	Infectious Period <sub>Severe</sub>	
α	Reduction of infection rate for asymptomatic	0.55		[24]
	transmission	(0.46 - 0.62)		
$\beta_1$	Infration note for commutant tis transferiories	0.341	$\beta_1 = \alpha \beta_2$	
	Infection rate for asymptomatic transmissions	(0.175-0.806)		
$\gamma_1$		0.170	1	[20,24]
	Clearance rate for asymptomatic/mild cases	(0.0526-0.287)	Infectious Period <sub>Mild</sub>	
γ2		0.238	1	[25-27]
	Clearance rate for symptomatic non-severe cases	(0.143-0.333)	Infectious Period <sub>sympt</sub>	
γ <sub>3</sub>		0.238	1	[25-27]
	Clearance rate for symptomatic severe cases	(0.143-0.333)	Infectious Period <sub>sympt</sub>	
μ	Incubation rate	0.202	1	[28,29]
·		(0.101-0.364)	Incubation Time	
δ	Severe case fatality rate	0.244		[16,30]
		(0.209-0.280)		
κ	Increase in rate of progression to severe disease	2.14		[15,16]
	in populations with TB or HIV/AIDS	(1.1-2.7)		
		Ages 0-64	Ages 65-79 $Ages \ge 80$	
h	Rate of progression to severe disease <sup>‡</sup>	0.202	0.361 0.471	[31,32]
		(0.167-0.237)	(0.286-0.435) (0.308-0.634)	
кh	Rate of progression to severe disease in	0.432		
	populations with TB and/or HIV/AIDS	(0.222-0.545)		

#### Patient and Public Involvement Statement

It was not appropriate or possible to involve patients or the public in the design, or conduct, or reporting, or dissemination plans of our research.

# RESULTS

Under baseline conditions, the most populous countries stand to bear the greatest disease burden with Nigeria having an estimated peak case load of 645,081 severe infections (0.31 percent of total country population) and 9,359,221 total infections (4.54% of total country population), followed by Ethiopia, with an estimated peak case load of 335,024 (0.29%) severe infections and 4,978,734 (4.33%) total infections (Figure 2; Table 3). Smaller countries have a lower case load; Cabo Verde is projected to have a peak case load of 2,244 severe infections (0.40% of total country population) and 32,811 (5.90%) total infections, Sao Tome and Principe are estimated to experience peaks of 14,012 (6.40%) total infections and 1,048 (0.48%) severe infections (Figure S1). However, the baseline scenario does not reflect the current situation in any country as all countries have instituted some form of social distancing policies.

Moderate lockdowns (assumed to lower transmission by 25% during lockdown), reduced estimated peak severe infections by 10% in Senegal, to 37%, in Ethiopia and Egypt. However, South Africa the peak of the severe case load showed a 1% increase of 1,929, given a moderate lockdown of 35 days (Figures S1 and S6). Longer lockdowns were more effective. In Egypt and Ethiopia, who have the longest planned lockdowns of any of the African countries (137 and 170 days, respectively), the estimated impact was a reduction in total peak cases of 37%, or 130,998 and 123,890 severe cases, respectively (Figure 5). In addition, the estimated peak of infections was shifted by 35 and 27 days, respectively (Table 2). In Cabo Verde, which had the shortest planned lockdown of 17 days, the estimated reduction in severe peak cases was 19% under a moderate lockdown, and the peak date of infections was shifted by 10 days (Figure 5).

The effect of hard temporary lockdowns without extended post-lockdown social distancing compared to moderate lockdowns varied by country. The reduction in severe peak infections ranged from 7%, in Rwanda, to 35% in Egypt, compared to baseline values. In some countries a hard lockdown had a lower impact on reducing peak cases than a moderate one. For example, in Ethiopia the expected impact of a moderate lockdown reduced severe cases by 123,890 cases compared to baseline, while a hard lockdown reduced cases by 100,743. However, hard lockdowns delayed the onset of the peak in infections compared to moderate lockdowns by several weeks. For example, in Tanzania, a moderate lockdown of 60 days delayed the peak in severe infections by 16 days compared to a 47-day delay in the case of a hard lockdown.

For all countries, hard lockdowns with continued post-lockdown interventions were the most effective in delaying and reducing peak infections. Delays to the peak in infections ranged from 22 days in Libya to 123 days in Ethiopia (Table 2). This reflects the different lengths of lockdowns in these countries which lasted 20, and 170 days respectively. Hard lockdowns with continued interventions also led to the greatest reductions in the peak in estimated total infections to the greatest extent in these model projections, from 36% in Ghana and South Africa to 58% in

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Namibia. In Kenya this would reduce the peak case load in severe infections by 100,552 cases with a lockdown of 43 days.

Many African countries have young populations, who have been less likely to show severe symptoms in other countries, however, the high prevalence of HIV/AIDS and TB in these populations potentially renders them more vulnerable to COVID-19.[15] The highest proportional burden HIV countries in Africa are Eswatini, Botswana and South Africa and the highest proportional burden tuberculosis countries are Burundi and Central African Republic. Assuming individuals with TB and HIV/AIDS, once infected, are more likely to progress to severe disease increased the peak number of infections significantly (Figure 2). In Eswatini, Botswana, and South Africa the baseline peak number of severe infections increased from 4,529 to 5,279, 9,334 to 10,023, and from 162,977 to 203,261, respectively (Figure S2, S11-S18). In Burundi, the peak number of severe cases increased from 40,417 to 44,058. However, progression to severe disease may, under certain circumstances, lead to less infections, as those with severe disease may be more likely to die, quarantine, or be sick enough that they are not widely transmitting the disease. For example, in Nigeria, severe infections decrease from 645,081 to 591,888 under this scenario.

### Conclusion

Most African countries are likely early in the outbreak of SARS-CoV-2, and the initial peak in infections may be several months away in many cases. Policymakers need mathematical models that are attuned to the context in Africa to aid in planning for continued transmission of the virus and to develop interventions that reduce disease transmission. Here we analyzed a model of transmission of SARS-CoV-2 parameterized for low-resource settings. Based on current observed cases of COVID-19 in African countries, we assessed the impact of strict social distancing measures. However, the extent and efficacy of lockdown policies is certain to vary between and within countries. Furthermore, after a lockdown, and in response to a high death toll, citizens are likely to continue to adjust their social behavior.[33] The results from our simulations suggest that national lockdowns will likely slow viral transmission, reducing the peak number of active cases and delaying the time until the peak occurs. This delay can allow governments time to prepare by setting up designated COVID-19 treatment sections in hospitals and additional testing centers in densely populated areas, as well as practical measures within communities such as handwashing stations and soap distribution and information campaigns educating the public in infection prevention behaviors including mask wearing, social distancing and handwashing. Our models suggest that by using this time to prepare, transmission is likely to decrease enough to substantially to reduce the peak in infections, even after lockdowns cease. This may make the consequences of the pandemic more manageable for health and social systems, though many are still likely to become overwhelmed.

The predicted dates of peak cases varied greatly by country, from 1<sup>st</sup> August in South Africa to 22<sup>nd</sup> December in Ethiopia in the case of a hard lockdown with continued social distancing (Scenario 3) (Table 6). This is due to many factors including differences in population size, when the virus first arrived in the country, and length and severity of lockdown interventions. Most infections are mild; however, some countries are likely to bear a much higher per capita burden than others, largely due to differences in the duration and efficacy of lockdowns.

This model considers the impact of lockdown on transmission of SARS-CoV-2; however, effective implementation of large-scale mitigation measures thus far implemented in developed countries may not be feasible or sustainable in many low- and middle-income countries (LMICs) in Africa and around the world given various sociocultural, economic, and political challenges.[34,35] Across the African continent, an estimated 40% of people live below the international poverty line making less than \$1.90 (in 2011 purchasing parity power) per day, and approximately 85.8% of employment is informal.[36,37] Therefore, lockdowns that restrict movement to and from work will likely not be well enforced. Furthermore, access to hygiene and sanitation facilities is limited; in 2017, only 15% of people across sub-Saharan Africa had access to basic handwashing facilities with soap and water. [38] Social distancing within communities and within households is often not possible given over 55% of Africa's urban populations live in densely populated slums, higher than the global average of 30%.[39] In addition, there are 6.3 million refugees and 17.7 million internally displaced persons in the African continent, and ongoing humanitarian crises have displaced over 20 million people. These challenges will also abrogate the effectiveness of restrictions on movement and access to care.[40]

COVID-19 presents most severely in the elderly population and those with chronic noncommunicable diseases such as diabetes and hypertension, which affects an estimated 55% of Africans.[41] African populations may benefit from having a younger population and low prevalence of diabetes (3.9%) compared to the global average (9.3%). [42,43] In the model, we modify the transmission and mortality rates according to the age structure of countries, based on evidence that morbidity and mortality is concentrated in older individuals. However emerging reports of COVID-19 cases in the developing world, particularly Brazil, suggest the death toll in the young may be higher than expected.[44] Young populations who go out to work, buy food and look after the family are hard to shield and likely to be highly exposed to the virus. The average size of households with older members is  $12 \cdot 1$  in Senegal and  $12 \cdot 6$  in the Gambia, the highest in the world, [45] and this may increase the potential for exposure to the virus and hinder isolation of symptomatic cases. High rates of tuberculosis, HIV, malaria, and other infectious diseases may also make young African populations more vulnerable to severe infection with COVID-19. In West and Central Africa 60% of people living with HIV do not receive treatment. [46] The high prevalence of malnutrition, anemia, and exposure to indoor air pollution, often from cooking fires, may also increase vulnerability. Additionally, the poor air quality in many Africa cities, which has been shown to be associated with increased morbidity and mortality from COVID-19,[47,48] may exacerbate issues for the region.[49]

Testing capacity is limited in much of Africa,[50] and confirmed cases may increase faster than predicted in the near future as testing capacity increases and contact tracing continues. In addition, evidence suggests that many cases are asymptomatic and may be missed by testing protocols that only include those with symptoms who have recently travelled to an infected area or their contacts. Furthermore, social stigma and inability to access healthcare may prevent symptomatic individuals from seeking treatment. This may affect the results of the model as it uses the initial numbers of confirmed COVID-19 cases recorded. In addition, results from the model suggest cases may rapidly rise after a hard lockdown if there are no further mitigation measures. Contact tracing and testing are needed to maintain the reduction in cases gained from early lockdowns. Pooled testing can make mass testing more affordable and achievable with limited resources.[51]

There are inherent difficulties in inferring real world results from mass action models such as the one in this study. Our models tend to overestimate the number of infections as they assume people are well mixed, despite many social, physical and geographical barriers to mixing within countries. Peaks in transmission are likely to occur at different times in different regions, as has occurred in the United States and Europe where there have been multiple epicenters. This model is not stochastic and case data are modeled from the first twenty or more cases, each behaving as an average case. In reality, there are no average cases; some individuals are likely to have many contacts, causing multiple infections,[52] and others to have very few. The different contact patterns of different segments of the population were also not included in this model and may have an impact on transmission between vulnerable groups.

The estimates presented here suggest that the burden of severe disease caused by SARS-CoV-2 is likely to be high for the African continent. Projections of disease progression are needed to enable policy makers, governments, aid agencies and other actors to optimize resource allocation and planning decisions. The high prevalence of TB, HIV, and malnutrition and other immunocompromising conditions accompanied by limited testing capacity and access to healthcare in many African countries are likely to make populations particularly vulnerable to this pandemic. Immediate planning and appropriate resource allocation are essential to save lives and mitigate the impact of COVID-19 in Africa.

## **AUTHOR CONTRIBUTIONS**

IF, JC, GO, KT, OG, ES, E. Kalanxhi and SH contributed to the analysis, data collection and figures. E. Klein, GL and YY developed the model and original code with adaptations and additions by IF. IF, JC, and SH contributed to the writing, and all authors provided critical revisions of the manuscript.

## ACKNOWLEDGEMENTS

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# **DATA SHARING**

The data for this study is included in the manuscript and supplementary materials, however please contact the corresponding author for any further data.

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#### FIGURE LEGENDS

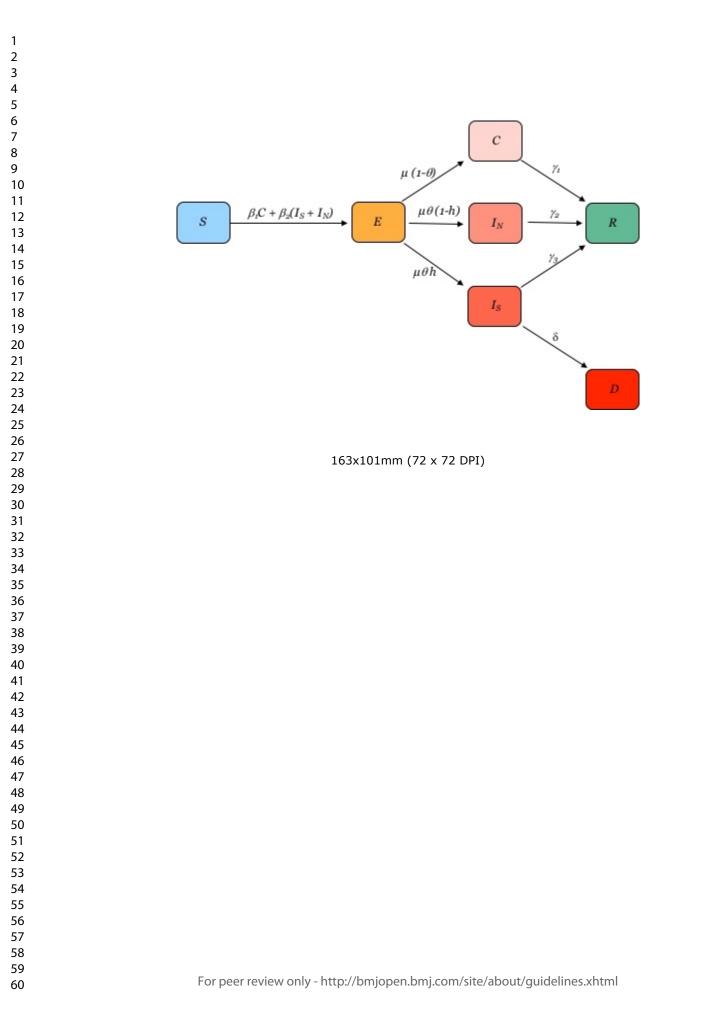
Figure 1. Modified SEIR model structure. Susceptible individuals, *S*, become exposed, *E*, to SARS-CoV-2. A proportion of these individuals become symptomatically infected with severe symptoms,  $I_S$ , or non-severe, symptoms,  $I_N$ , while the rest become contagious with mild or no symptoms, *C*. Asymptomatic or mildly symptomatic, moderately symptomatic and severely symptomatic individuals recover, *R*, and severely infected individuals may also die, *D*.

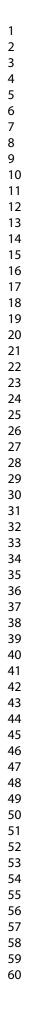
Figure 2. Projected total infections over time when parameters are normalized for the age distribution of the population in each country. Areas shaded in grey denote lockdown duration. SD denotes 'social distancing.'

Figure 3. Projected total infections over time when parameters are normalized for the age distribution of the population in each country and the fraction of the under 70-year-old population with HIV/AIDS and or TB. Areas shaded in grey denote lockdown duratio

Figure 4. Percent change in peak severe infections under moderate lockdown scenario, parameters adjusted by age only.

Figure 5. Percent change in peak total infections under interventions, compared to baseline, parameters adjusted by age only. Values in parentheses represent the duration of lockdown in the respective countries. Percent change was calculated relative to a baseline scenario of disease spread with no intervention.





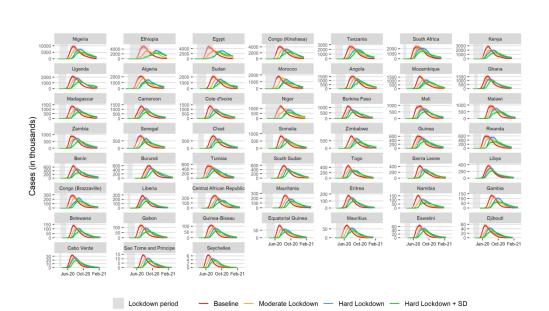


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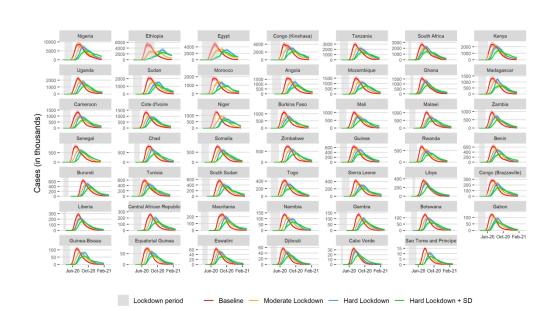
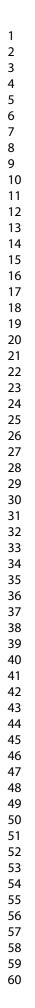


Figure 3. Percent change in peak severe infections under moderate lockdown scenario, parameters adjusted by age only.



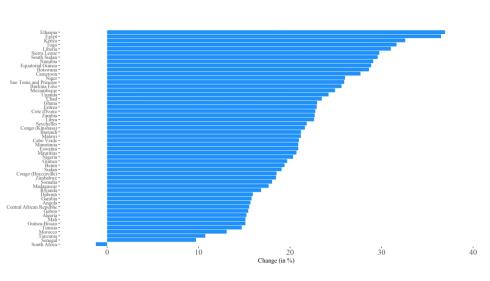
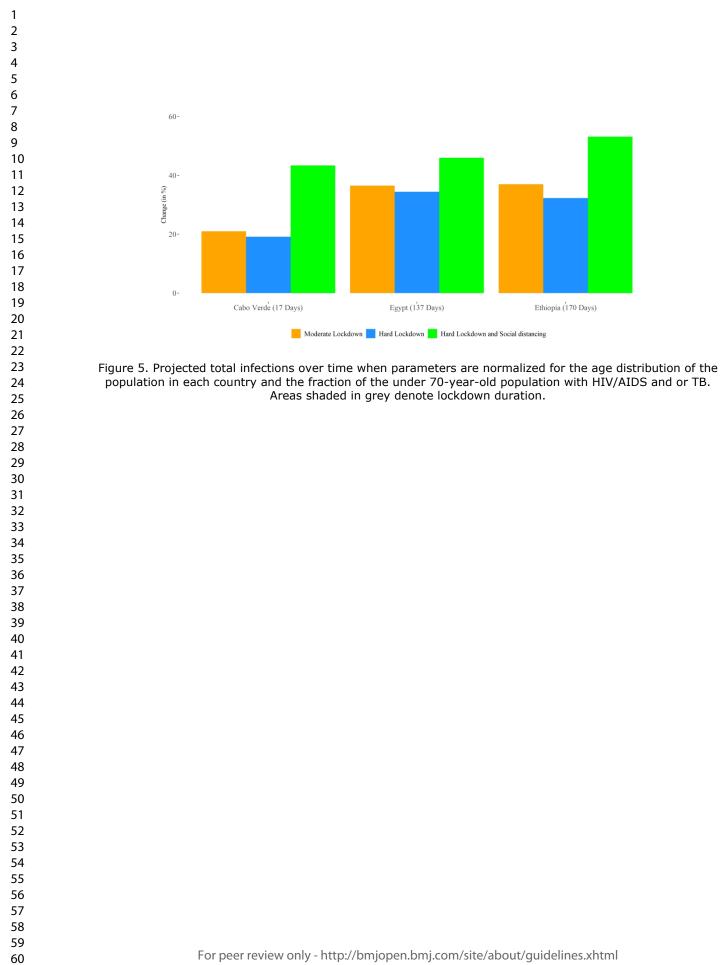


Figure 4. Percent change in peak total infections under interventions, compared to baseline, parameters adjusted by age only. Values in parentheses represent the duration of lockdown in the respective countries. Percent change was calculated relative to a baseline scenario of disease spread with no intervention.



### **Supplementary Materials**

## Estimation of Epidemiological Parameters

Parameters are estimated from the literature and the sources for this are outlined in Table 1. The basic reproduction number, R<sub>0</sub>, was gathered from a systematic review for which the average value was 3.1 and the range of values was 1.9 - 6.5.[1] The infection rate for symptomatic transmissions,  $\beta_1$  was calculated using the  $R_0$  of COVID-19 divided by the infectious period for mild/asymptomatic cases and moderate/severe cases, respectively, taken from.[2–4] The asymptomatic rate in Wanzhou District, China was 20.8%, [5] this gave a value of 0.792 for the asymptomatic rate, the upper bound of 0.815 (asymptomatic rate of 18.5%) is taken from the Diamond Princess cruise ship[6] and the lower bound of 0.568 is taken from the Italian town of Vo, [7] where the asymptomatic rate was 43.2%. The infection rate for symptomatic infection,  $\beta_1$ , was calculated using R<sub>0</sub> and the infectious period assumed from other coronaviruses of 5 days [4]. The reduction in infection rate for asymptomatic patients,  $\alpha$ , was based a model fitted to data from Wuhan.[8] The clearance rate for asymptomatic infection,  $\gamma_1$ , is calculated as the inverse of the infectious period which has an upper bound of 3.48 days, according to data collected in China, [8] a lower bound of 19 days using data on the viral load in asymptomatic patients[5] and the main value is the central point between the two. The clearance rate for symptomatic non-severe and severe cases,  $\gamma_2$  and  $\gamma_3$ , is the inverse of the infectious period, which was estimated as 5 to 7 days[9,10] and 3 to 7 days[11] in the literature. The incubation rate,  $\mu$ , was the inverse of the incubation time which averaged 4.8 days, and ranged from 1 to 14 days[12,13]. The severe case fatality rate,  $\delta$ , ranges from 20.9 percent[14], in South Africa, to 28 percent in China[15]. The relative increase in progression to severe disease in those with HIV/AIDS and/or TB,  $\kappa$ , was assumed to be 2.14 (1.1-2.7) using estimates from South Africa.[14,16]

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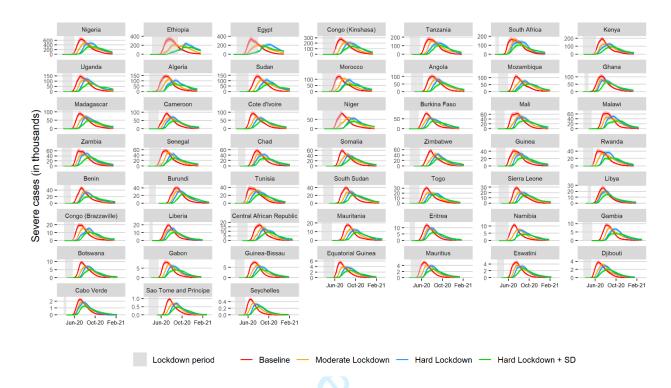


Figure S1 Projected severe infections over time when parameters are normalized for the age distribution of the population in each country. Areas shaded in grey denote lockdown duration.

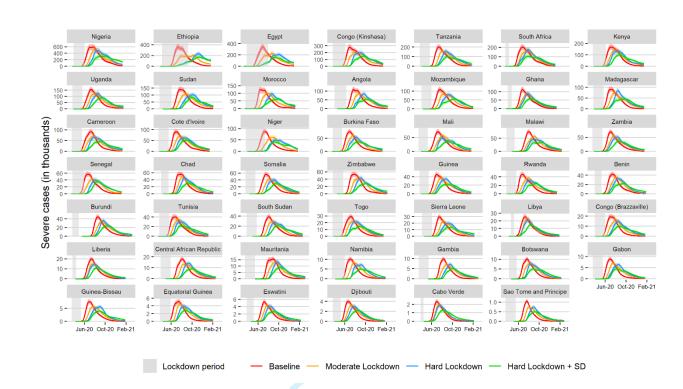


Figure S2. Projected severe infections over time when parameters are normalized for the age distribution of the population in each country and the fraction of the under 70-year-old population with HIV/AIDS and or TB. Areas shaded in grey denote lockdown duration.

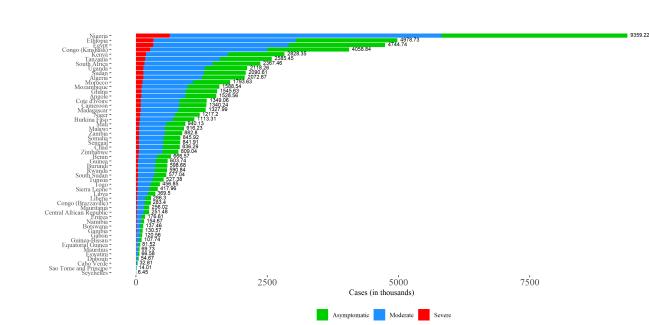


Figure S3. Peak number of infections by country under the baseline scenario, parameters adjusted by age only.

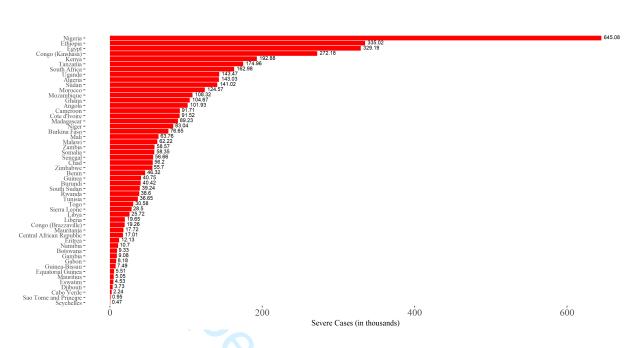


Figure S4. Peak number of severe infections by country under the baseline scenario, parameters adjusted by age only.

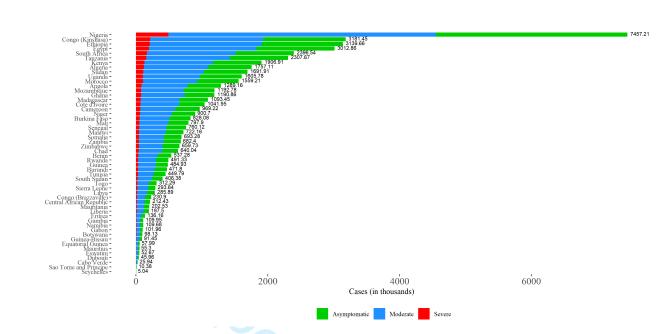


Figure S5. Peak number of infections by country with a moderate lockdown (Scenario 1), parameters adjusted by age only.

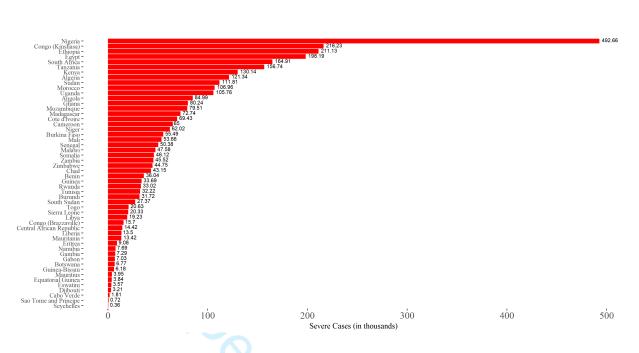


Figure S6. Peak number of severe infections by country with a moderate lockdown (Scenario 1), parameters adjusted by age only.

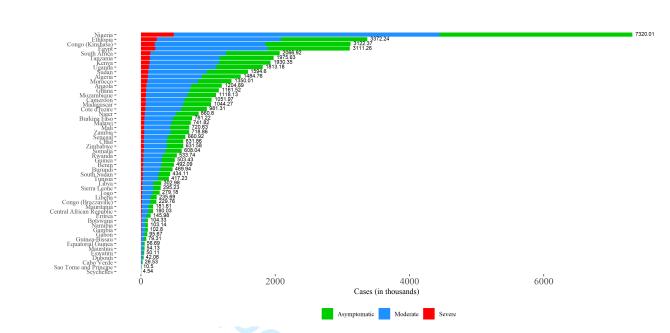


Figure S7. Peak number of infections by country with a hard lockdown (Scenario 2), parameters adjusted by age only.

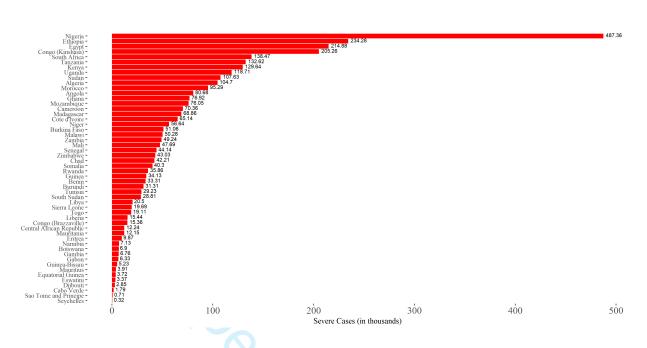


Figure S8. Peak number of severe infections by country with a hard lockdown (Scenario 2), parameters adjusted by age only.

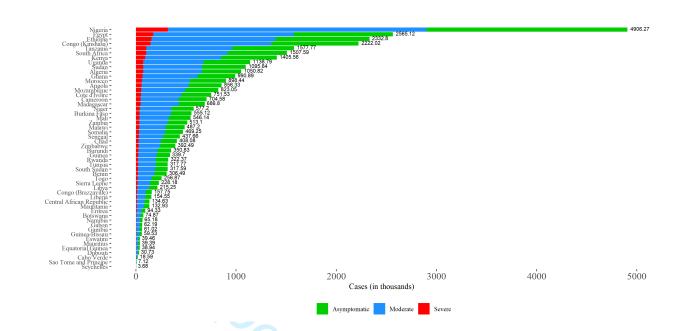


Figure S9. Peak number of infections by country with a hard lockdown followed by further measures such as social distancing (Scenario 3), parameters adjusted by age only.

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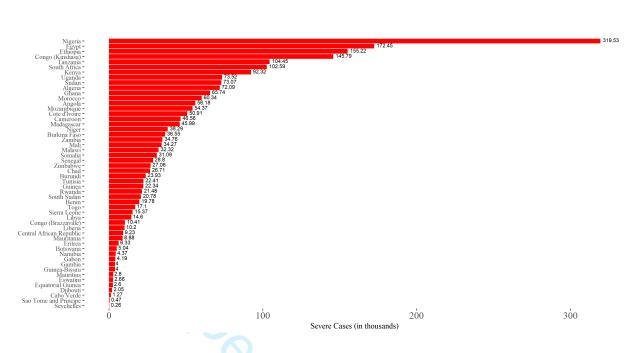


Figure S10. Peak number of severe infections by country with a hard lockdown followed by further measures such as social distancing (Scenario 3), parameters adjusted by age only.

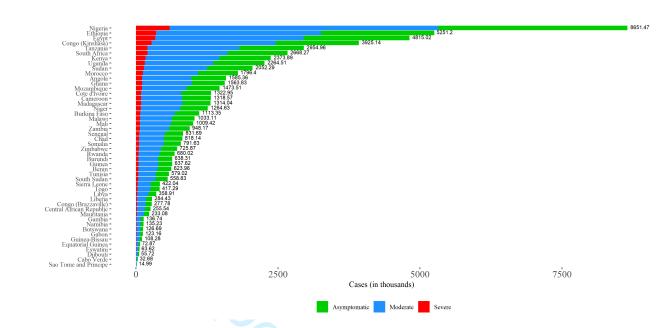


Figure S11. Peak number of infections by country under the baseline scenario, parameters adjusted by age and fraction of the under 70-year-old population with TB and/or HIV/AIDS.

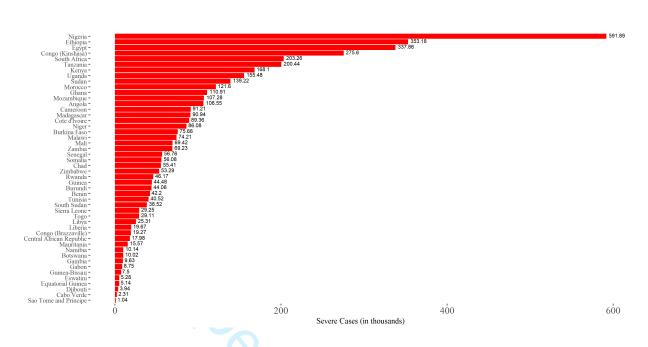


Figure S12. Peak number of severe infections by country under the baseline scenario, parameters adjusted by age and fraction of the under 70-year-old population with TB and/or HIV/AIDS.

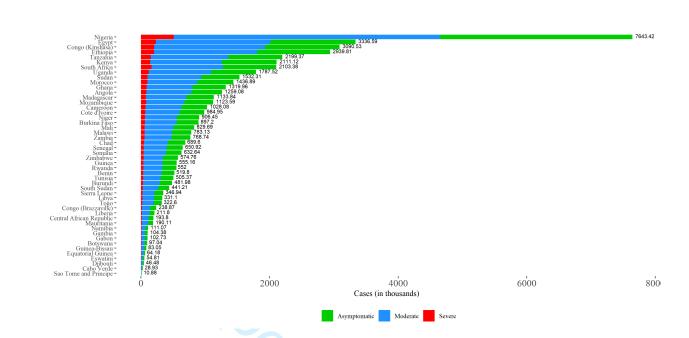


Figure S13. Peak number of infections by country with a moderate lockdown (Scenario 1), parameters adjusted by age and fraction of the under 70-year-old population with TB and/or HIV/AIDS.

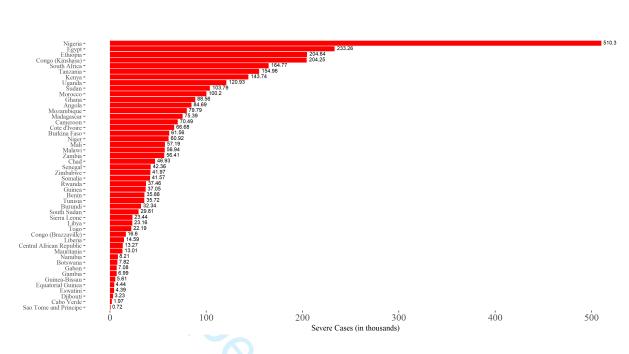


Figure S14. Peak number of severe infections by country with a moderate lockdown (Scenario 1), parameters adjusted by age and fraction of the under 70-year-old population with TB and/or HIV/AIDS.

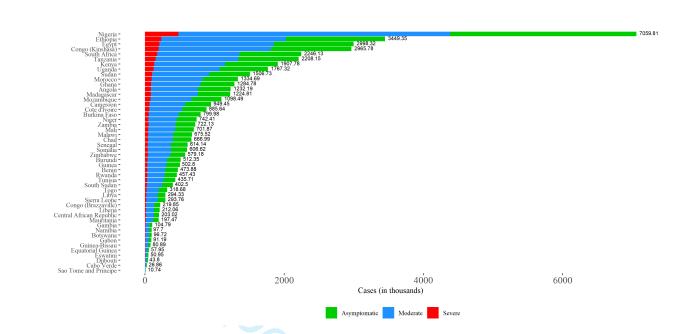


Figure S15. Peak number of infections by country with a hard lockdown (Scenario 2), parameters adjusted by age and fraction of the under 70-year-old population with TB and/or HIV/AIDS.

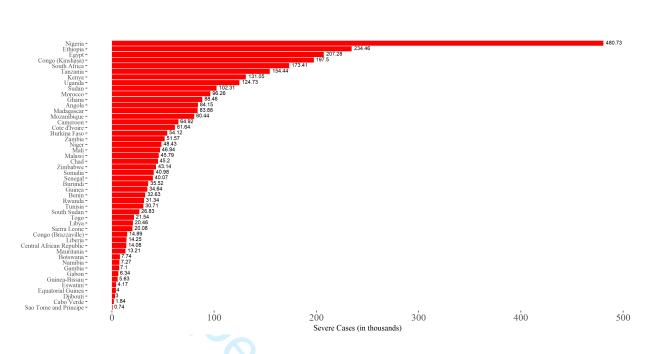


Figure S16. Peak number of severe infections by country with a hard lockdown (Scenario 2), parameters adjusted by age and fraction of the under 70-year-old population with TB and/or HIV/AIDS.

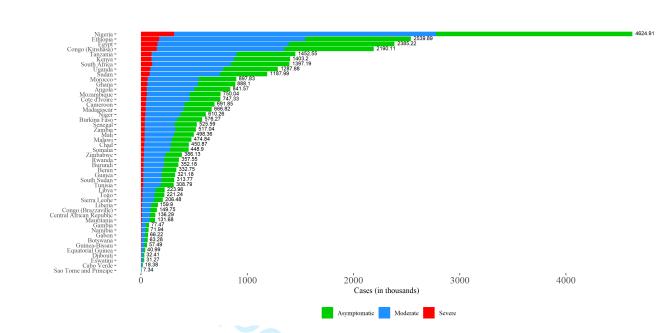


Figure S17. Peak number of infections by country with a hard lockdown followed by further measures such as social distancing (Scenario 3), parameters adjusted by age only.

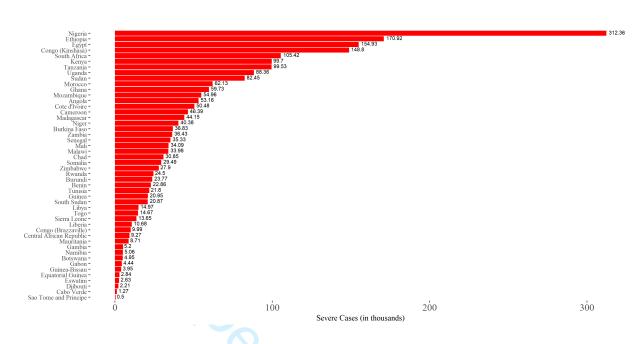


Figure S18. Peak number of severe infections by country with a hard lockdown followed by further measures such as social distancing (Scenario 3), parameters adjusted by age only.

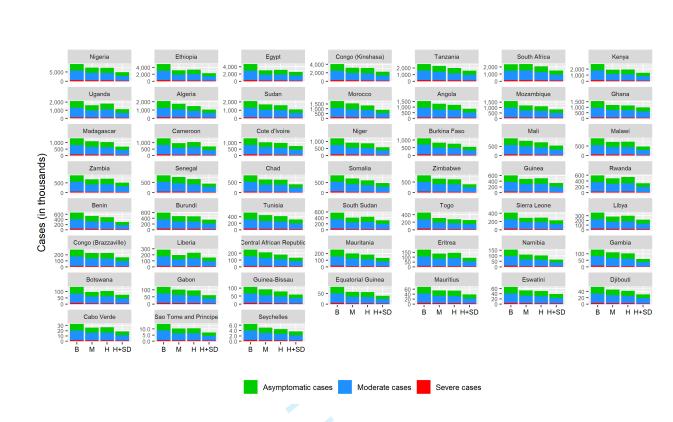


Figure S19. Projected peak infections and peak severe infections when parameters are normalized for the age distribution of the population in each country. Areas shaded in grey denote lockdown duration.



Figure S20. Projected peak severe infections and peak severe infections when parameters are normalized for the age distribution of the population in each country. Areas shaded in grey denote lockdown duration.

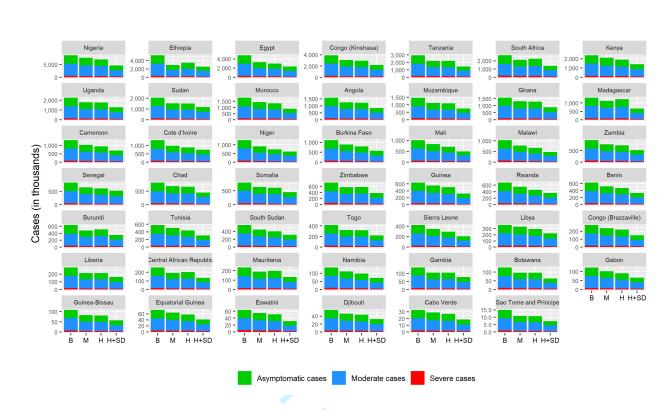


Figure S21. Projected peak infections and peak severe infections when parameters are normalized for the age distribution of the population in each country and the fraction of the under 70-year-old population with HIV/AIDS and or TB. Areas shaded in grey denote lockdown duration.



Figure S22. Projected peak severe infections and peak severe infections when parameters are normalized for the age distribution of the population in each country and the fraction of the under 70-year-old population with HIV/AIDS and or TB. Areas shaded in grey denote lockdown duration.

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# Supplementary Appendix Initial Cases, Lockdown dates and Sources

Country	Date_20_plus	InitialCaseNo	start_lockdown	end_lockdown	lockdown_duration	source
Algeria	3/9/20	20	3/23/20	6/13/20	82	1,2
Angola	4/18/20	24	3/31/20	6/9/20	70	3,4
Benin	4/5/20	22	3/30/20	5/10/20	41	5
Botswana	4/19/20	20	4/6/20	5/22/20	46	6
Burkina Faso	3/18/20	20	3/21/20	5/14/20	54	7
Burundi	5/17/20	23	3/21/20	4/29/20	39	8,9
Cabo Verde	4/15/20	56	3/28/20	4/14/20	17	10
Cameroon	3/20/20	20	3/18/20	5/1/20	44	11,12
Central African Republic	4/28/20	50	3/30/20	NA	60	13
Chad	4/13/20	23	4/2/20	5/15/20	43	14
Congo (Brazzaville)	4/2/20	22	3/31/20	5/31/20	61	15,16
Congo (Kinshasa)	3/21/20	23	3/18/20	6/4/20	78	17,18,19,20
Cote d'Ivoire	3/23/20	25	3/16/20	5/17/20	62	21,22
Djibouti	3/31/20	30	3/23/20	5/8/20	46	23
Egypt	3/8/20	49	3/15/20	7/30/20	137	24,25,26
Equatorial Guinea	4/12/20	21	3/26/20	5/29/20	64	27,28
Eritrea	4/2/20	22	3/23/20	4/23/20	31	29
Eswatini	4/18/20	22	3/26/20	5/7/20	42	30
Ethiopia	3/29/20	21	3/22/20	9/8/20	170	31,32
Gabon	4/2/20	21	3/20/20	5/17/20	58	33
Gambia	5/11/20	22	3/17/20	5/15/20	59	34
Ghana	3/22/20	23	3/17/20	4/20/20	34	35,36
Guinea	3/30/20	22	3/26/20	5/15/20	50	37,38
Guinea-Bissau	4/7/20	33	3/17/20	5/11/20	55	39
Kenya	3/24/20	25	3/15/20	4/27/20	43	40,41,42
Liberia	4/8/20	31	3/22/20	5/7/20	21	43,44
Libya	4/7/20	20	4/17/20	5/7/20	20	45
Madagascar	3/26/20	23	3/23/20	NA	60	46
Malawi	4/22/20	23		5/10/20	48	47,48
Mali	3/30/20	25	3/15/20	5/9/20	55	49
Mauritania	5/14/20	20	3/15/20	NA	60	50
Mauritius	3/22/20	28	3/29/20	6/1/20	64	51
Morocco	3/15/20	28		6/10/20	87	52,53,54
Mozambique	4/10/20	20		5/30/20	68	55,56,57
Namibia	5/23/20	20		6/1/20	76	58
Niger	3/30/20	27		7/11/20	113	59
Nigeria	3/21/20	22		6/1/20	63	60,61
Rwanda	3/23/20	36			60	62
Sao Tome and Principe	5/5/20	174			60	63
Senegal	3/15/20	24		5/30/20	77	64,65
Seychelles	4/6/20	11			60	66

Sierra Leone	4/17/20	26	4/4/20		60	67
Somalia	4/10/20	21	3/18/20 NA		60	68
South Africa	3/13/20	24	3/27/20	5/1/20	35	69,70
South Sudan	4/28/20	34	3/25/20 NA		60	71
Sudan	4/13/20	29	3/15/20	6/3/20	80	72,73,74
Tanzania	4/1/20	20	3/23/20 NA		60	75,76,77
Togo	3/24/20	20	3/20/20 NA		60	78
Tunisia	3/16/20	20	3/12/20	5/4/20	53	79,80,81
Uganda	3/27/20	23	3/18/20	5/4/20	47	82,12
Zambia	3/27/20	22	3/17/20	4/24/20	38	83,12
Zimbabwe	4/15/20	23	3/23/20 NA		60	84,12

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hosp rate UB hosp rate LB

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6	Angola
7	Benin
8	Botswana
9	Burkina Faso
10	Burundi
11 12	
13	Cabo Verde
14	Cameroon
15	Central African Republic
16	Congo (Brazzaville)
17	Congo (Kinshasa)
18	Cote d'Ivoire
19 20	Djibouti
20	Egypt
22	Eritrea
23	Equatorial Guinea
24	•
25	Eswatini
26	Ethiopia
27	Gambia
28 29	Ghana
30	Greece
31	Guinea
32	Guinea-Bissau
33	Kenya
34	Liberia
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36 37	Libya
38	Madagascar
39	Malawi
40	Maldives
41	Mali
42	Mauritania
43	Mauritius
44	Morocco
45 46	Mozambique
40 47	Namibia
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49	Niger
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51	Rwanda
52	Sao Tome and Principe
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56	Sierra Leone
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# Supplementary Appendix Parameters by age only

hosp rate

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3	Somalia	0.206882422	).243256952	0.170482012	
4	South Africa		).248452757		
5 6	South Sudan		0.244501808		
0 7	Sudan		).244963113	0.171358029	
8			).247289083	0.172510496	
9	Syria Tanzania				
10	Tanzania		0.242540083	0.170138607	
11 12	Togo		0.243151327		
12	Tunisia		0.256314451	0.177210668	
14	Uganda		).241112756	0.16933958	
15	Zambia		0.241465189		
16	Zimbabwe	0.206943313 0	0.243307754	0.170551927	
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3	Supplementary Appendix	Parameters by	/ age and TB/H	IV prevalence
4 5	CountryName	-	hosp rate UB	-
6	Angola	0.208508855	0.245493069	0.170343906
7	Benin	0.209142326	0.246251377	0.171329249
8	Botswana	0.246200701	0.295734696	0.180997409
9 10	Burkina Faso	0.207377495	0.243934205	0.170228172
11	Burundi	0.20844609	0.245414656	0.170352722
12	Cabo Verde	0.212463238	0.250762297	
13	Cameroon	0.211777752	0.249813921	0.171540378
14 15	Central African Republic	0.212172565	0.250319211	0.171714153
16	Chad	0.208560111	0.245552133	0.170567325
17	Congo (Brazzaville)	0.211552775	0.24955363	0.171445435
18	Congo (Kinshasa)	0.208584859	0.24547517	0.171010399
19 20	Cote d'Ivoire	0.211986846	0.250085928	0.171694741
20	Djibouti	0.212563879	0.250736713	0.173172004
22	Egypt	0.211002928	0.248582675	0.173362321
23	Equatorial Guinea	0.214111991	0.252973806	0.171881279
24 25	Eritrea	0.210295199	0.247728013	0.172165789
25	Eswatini	0.250773758	0.301863097	
27	Ethiopia	0.209850712	0.247225869	
28	Gabon	0.213603152	0.252206128	0.172647257
29	Gambia	0.209136276	0.246296264	
30 31	Ghana	0.209958295	0.247344291	
32	Guinea	0.209240862	0.246393659	
33	Guinea-Bissau	0.21152442	0.249431163	0.171558842
34	Kenya	0.214884247	0.254005155	0.171972133
35 36	Liberia	0.209466443	0.246688059	0.171406584
37	Libya	0.209739267	0.247031104	0.172380473
38	Madagascar	0.207801636	0.247031104	0.172380473
39	Malawi	0.2207801050	0.244522002	0.173641137
40 41	Mali	0.220815851	0.2019099	0.17041635
42	Mauritania	0.207855045	0.244303121	
43	Morocco	0.2073338659	0.252939504	
44	Mozambique	0.214338039	0.265695591	
45 46	Namibia	0.223002407	0.274470837	
40		0.230228525		
48	Niger		0.243431112	
49	Nigeria	0.210851441	0.248547448	
50	Rwanda	0.211348422	0.249227437	
51 52	Sao Tome and Principe	0.207239366	0.243761287	
53	Senegal	0.208313481	0.245158397	
54	Sierra Leone	0.208967992	0.246031381	
55	Somalia	0.207585301	0.244198275	
56 57	South Africa	0.239390705	0.286520386	0.180244139
58				
59	-			
60	For pee	r review only - http	p://pmjopen.bmj.c	om/site/about/gui

2	South Sudan	0.20980823	0.2471501	0.171603644	
4 5	Sudan	0.208749758	0.245731131		
6	Tanzania _	0.212393073	0.250631364		
7 8	Togo Tunisia	0.210343647 0.216896765	0.247867478 0.256448089		
9 10	Uganda	0.213594749	0.252308197		
11	Zambia	0.221890247	0.263404074		
12 13	Zimbabwe	0.227944676	0.271433602	0.175586126	
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#### Modeling COVID-19 Transmission in Africa: Country-wise Projections of Total and Severe Infections Under Different Lockdown Scenarios

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#### Modeling COVID-19 Transmission in Africa: Country-wise Projections of Total and Severe Infections Under Different Lockdown Scenarios

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# ABSTRACT

### **Objectives**

As of 13<sup>th</sup> January 2021, there have been 3,113,963 confirmed cases of SARS-CoV-2 and 74,619 deaths across the African continent. Despite relatively lower numbers of cases initially, many African countries are now experiencing an exponential increase in case numbers. Estimates of the progression of disease and potential impact of different interventions are needed to inform policy making decisions. Herein, we model the possible trajectory of SARS-CoV-2 in 52 African countries under different intervention scenarios.

# Design

We developed a compartmental model of SARS-CoV-2 transmission to estimate the COVID-19 case burden for all African countries while considering four scenarios: no intervention, moderate lockdown, hard lockdown, and hard lockdown with continued restrictions once lockdown is lifted. We further analyzed the potential impact of COVID-19 on vulnerable populations affected by HIV/AIDS and TB.

### Results

In the absence of an intervention, the most populous countries had the highest peaks in active projected number of infections with Nigeria having an estimated 645,081 severe infections. The scenario with a hard lockdown and continued post-lockdown interventions to reduce transmission was the most efficacious strategy for delaying the time to the peak and reducing the number of cases. In South Africa projected peak severe infections increase from 162,977 to 203,261, when vulnerable populations with HIV/AIDS and TB are included in the analysis.

# Conclusion

The COVID-19 pandemic is rapidly spreading across the African continent. Estimates of the potential impact of interventions and burden of disease are essential for policy makers to make evidence-based decisions on the distribution of limited resources and to balance the economic costs of interventions with the potential for saving lives.

# ARTICLE SUMMARY

Strengths and limitations of this study

- Though the rapid spread of SARS-CoV-2 through China, Europe and the United States has been well-studied, leading to a detailed understanding of its biology and epidemiology, the population and resources for combatting the spread of the disease in Africa greatly differ to those areas and require models specific to this context.
- Few models that provide estimates for policymakers, donors, and aid organizations focused on Africa to plan an effective response to the pandemic threat that optimizes the use of limited resources.
- This is a compartmental model and as such has inherent weaknesses; including the possible overestimation of the number of infections as it is assumed people are well mixed, despite many social, physical and geographical barriers to mixing within countries.
- Peaks in transmission are likely to occur at different times in different regions, with multiple epicenters.
- This model is not stochastic and case data are modeled from the first twenty or more cases, each behaving as an average case; in reality, there are no average cases; some individuals are likely to have many contacts, causing multiple infections, and others to have very few.

# FUNDING

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# **COMPETING INTERESTS**

The authors have no competing interests to declare.

# INTRODUCTION

On March 11, 2020, the World Health Organization declared the novel severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) outbreak a pandemic. As of 13<sup>th</sup> January 2021, there have been over 92,096,179 cumulative confirmed cases of coronavirus disease (COVID-19) and over 1,972,758 deaths reported globally.[1] The first confirmed COVID-19 case in Africa occurred in Egypt on February 14, 2020. To-date, African countries have reported lower disease incidence than most other countries, with 3,113,963 confirmed cases and 74,619 deaths as of 13<sup>th</sup> January 2021 across the continent. However, infectious disease surveillance and reporting infrastructure remain highly underdeveloped, and COVID-19 testing is limited given the shortage of human resources and appropriate laboratory and surveillance facilities across the continent.[2]

Although uncertainties underlying SARS-CoV-2 disease transmission and severity persist, ongoing analysis of available data suggests that old age and underlying health conditions play a critical role in the severity of disease prognosis.[3,4] Approximately 75% of the African population is less than 35 years of age, and African countries may benefit from a largely young population.[5] However, these populations may also be at particular risk for high morbidity and mortality from COVID-19 given the high prevalence of immunocompromised individuals. In 2016, 417,000 people died from tuberculosis in the African region, where 25% of the world's TB deaths occur.[6] Other prevalent co-morbidities include HIV, for which many patients are receiving anti-retroviral therapy, and malnutrition, in addition to other communicable and non-communicable diseases.[7–9]

To mitigate the spread of COVID-19, the majority of African countries reduced or banned international travel and instituted curfews, lockdowns, and other social distancing interventions beginning in March and April 2020.[10] Several studies have demonstrated the effectiveness of social distancing and other quarantine measures in tandem with a rapid scale up of SARS-CoV-2 testing.[11] By one estimate, the number of people likely to be infected with the virus after encountering an infected individual declined by 55 percent after one week of shutdown in Wuhan, China.[12] However, as the majority of these restrictions were lifted in June 2020, the transmission rate is expected to increase, especially in highly populated areas where social distancing is not feasible.

In this analysis, we combine best available estimates of the parameters that govern SARS-CoV-2 transmission dynamics with country-specific population data to estimate potential COVID-19 case burdens under four scenarios: baseline (assuming disease transmission is not mitigated by appropriate interventions), moderate lockdown, hard lockdown, and hard lockdown with continued social distancing. Given the current lack of data and understanding of how COVID-19 will impact the continent, these estimates are generated to inform national and continental COVID-19 preparation and response efforts on the basis of how this disease has spread elsewhere.

# METHODS

### Data

The number of confirmed COVID-19 cases and the date of the first twenty or more cases were obtained for each country using data aggregated by the Johns Hopkins Centers for Systems Science and Engineering as of 25<sup>th</sup> June 2020.[1] Lockdown start and end dates, where applicable, were compiled from a variety of sources including news media reports and government statements (Supplementary File 1 and results section). Although data are as current as possible, many government policies are under continuous review and lockdown dates may become rapidly outdated. Where countries do not have dates for the lifting of lockdown, a duration of 60 days has been assumed in line with the recommendations of many governments. Country population estimates were obtained from the World Bank.[13] Projections were simulated across all African nations and territories (excluding Comoros, Mayote, Reunion, Lesotho, and St. Helena which were omitted for lack of sufficient case data).

For all included countries, we used case data for 200 days after the first 20 or more confirmed cases were recorded. Only the Seychelles has not yet reached this 20-case threshold, where confirmed cases remain at 11 since April 6, 2020. For this case the highest number and the date this figure was first recorded were used to initiate the model. Model start and end dates for each country are provided in the results section.

To incorporate the varying age structures of different countries into the model, parameters were weighted by the proportion of the population in the 0-64, 65-79, and 80 and above age brackets in each country to form a unique set of parameters for each country (Supplementary File 2). Demographic data were obtained from the World Bank for 2018,[13] with the exception of Eritrea, for which population data came from IndexMundi.[14] Many African countries have high rates of TB and HIV/AIDS which are likely to make their populations more vulnerable to severe infection. To further consider the impact of this on our analysis we reweighted the parameters according to the proportion of the younger population with HIV and/or TB [15] (Supplementary File 3). Those over age 80, and 65-79 were modeled as before, however the under 64 cohort was split into healthy individuals and those affected by TB and/or HIV/AIDS. For this group we employed the parameter set previously used for the 0-64-year-old cohort, with the exception of progression to severe disease ( $\kappa h$ ), which was doubled to 0.404 (upper bound tripled to 0.606 and lower bound of 0.202), for populations with HIV/AIDS and/or TB, based on estimates for mortality from South Africa.[16,17] Algeria, Mauritius, Eritrea, and Seychelles were excluded from this second analysis due to lack of data.

#### **Model Structure**

#### Epidemiological equations and parameters

The model follows a modified SEIR structure (Figure 1) with seven unique compartments to describe the epidemiology of SARS-CoV-2. This is adapted from the model structure previously described in Lin et al. 2020.[18] This model is adapted from the Kermack and McKendrick compartmental model according to the disease dynamics that have been reported for COVID-19. The model assumptions are described in further depth in [18], but in brief, we assume susceptible individuals that become infected have an incubation period; and some asymptomatic or mildly symptomatic individuals are neither tested nor counted as confirmed cases. Susceptible individuals, S, are those in the population that can become infected with the virus. They become exposed, E, to SARS-CoV-2 by encountering infected individuals in the population at rate  $\beta_1$  for asymptomatic individuals or  $\beta_2$  for symptomatic individuals. We assume that individuals that are asymptomatic or mildly symptomatic have a lower transmission rate,  $\beta_{I}$ , than more symptomatic individuals,  $\beta_2$ .[18] Exposed individuals incubate the virus at rate  $\mu$  (calculated as the inverse of the incubation period). A proportion of these individuals,  $\theta$ , become symptomatically infected while the rest become contagious with mild or no symptoms, C. Of the symptomatically infected individuals, a proportion, h, have severe symptoms, to the extent that they will require hospitalization if available,  $I_{s}$ , and the rest have moderate or non-severe symptoms,  $I_{N}$ . Asymptomatic or mildly symptomatic, moderately symptomatic, and severely symptomatic individuals recover (or otherwise become non-contagious), R, at rates  $\gamma_1$ ,  $\gamma_2$ , and  $\gamma_3$ , respectively. It is assumed that recovered individuals are immune from becoming re-infected during the time period of the study. Severely infected individuals may also die, D, at rate  $\delta$ .

The model is described by the following set of differential equations:

$$N = \sum (S + E + C + I_N + I_S + D + R)$$

# $\dot{S} = -\beta_1 \frac{SC}{N} - \beta_2 \frac{S(I_N + I_S)}{N}$ $\dot{E} = \beta_1 \frac{SC}{N} + \beta_2 \frac{S(I_N + I_S)}{N} - \mu E$ $\dot{C} = \mu(1 - \theta)E - \gamma_1 C$ $\dot{I}_N = \mu \theta (1 - h)E - \gamma_2 I_N$ $\dot{I}_S = \mu \theta hE - \gamma_3 I_S - \delta I_S$ $\dot{D} = \delta I_S$ $\dot{R} = \gamma_1 C + \gamma_2 I_N + \gamma_3 I_S$

#### Estimation of Epidemiological Parameters

Parameters are estimated from the literature and the sources for this are outlined in Table 1 and Supplementary File 4.

To assess the uncertainty of the parameter ranges on model estimations, we used Latin Hypercube Sampling (LHS), a stratified sampling technique that efficiently analyzes large numbers of input parameters by treating each parameter as a separate random variable [19]. LHS is a type of Monte Carlo sampling which treats each input parameter as a separate random variable and is able to efficiently analyze large sets of input parameters. The parameter distribution is stratified into equiprobable intervals and then each of these intervals is sampled once, without replacement. These random samples of each of the input parameters are then collated into an input vector. This process is highly efficient as each parameter is only used once and the model is then run to derive distribution functions for each of the output variables. The probabilistic nature of this technique allows it to be conveniently used within a statistical framework. Stochastic sampling of the parameters with LHS was based on an estimation of parameter ranges obtained from the literature (Table 1). From the parameter sampling, we were able to calculate the 95% confidence interval (CI) for all compartment values over the temporal domain.

#### **Scenarios and Assumptions**

We provide case projections for the following four scenarios:

1. **Baseline**: Disease continues to spread with no curfew, lockdown, social distancing, or other intervention(s) and with no change in transmission rate.

2. **Moderate Lockdown**: Disease transmission is reduced by 25% during the lockdown period, then transmission resumes at 90% of the pre-lockdown value due to sustained changes in behavior.

3. **Hard Lockdown**: Disease transmission falls 44% during the lockdown period, then transmission resumes at 90% of pre-lockdown levels.

4. **Hard Lockdown and Continued Social Distancing/Isolating Cases**: Disease transmission is reduced by 44% during the lockdown period then, through social distancing regulations and isolation of symptomatic individuals, resumes at 75% of pre-lockdown levels.

For each scenario, we estimate the total number of infections that are asymptomatic or mildly symptomatic, moderately symptomatic, and severely symptomatic cases. The rate of severely symptomatic cases is based on the rate of hospitalization in other parts of the world, although access to hospital care is likely to differ greatly between different parts of Africa.[20]

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arameter	Definition	Value	Calculation	Ref
$R_0$	Basic Reproduction Number	3.1 (1.9 – 6.5)		[21]
θ	Symptomatic rate	0.792		[22–24]
0		(0.568-0.815)	D	[0.5]
$\beta_2$	Infection rate for symptomatic transmissions	0.62 (0.38-1.3)	$\frac{R_0}{Infectious \ Period_{Severe}}$	[25]
α	Reduction of infection rate for asymptomatic transmission	0.55 (0.46-0.62)		[26]
$\beta_1$	Infection rate for asymptomatic transmissions	(0.46-0.62) 0.341 (0.175-0.806)	$\beta_1 = \alpha \beta_2$	
γ1	Clearance rate for asymptomatic/mild cases	0.170 (0.0526-0.287)	1 Infectious Period <sub>Mild</sub>	[22,26]
γ2	Clearance rate for symptomatic non-severe cases	0.238 (0.143-0.333)	$\frac{1}{Infectious Period_{sympt}}$	[27–29]
γ3	Clearance rate for symptomatic severe cases	0.238 (0.143-0.333)	$\frac{1}{Infectious Period_{sympt}}$	[27–29]
μ	Incubation rate	0.202 (0.101-0.364)	$\frac{1}{Incubation Time}$	[30,31]
δ	Severe case fatality rate	0.244 (0.209-0.280)	Incubation 1 the	[17,32]
К	Increase in rate of progression to severe disease in populations with TB or HIV/AIDS	(0.20) 0.200) 2.14 (1.1-2.7)		[16,17]
		Ages 0-64	Ages 65-79 Ages ≥ 80	
h	Rate of progression to severe disease <sup>‡</sup>	0.202 (0.167-0.237)	$\begin{array}{ccc} 0.361 & 0.471 \\ (0.286 \text{-} 0.435) & (0.308 \text{-} 0.634) \end{array}$	[33,34]
кһ	Rate of progression to severe disease in populations with TB and/or HIV/AIDS	0.432 (0.222-0.545)		

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‡ Age groups are: 0-59, 60-69, 70+; 0-64, 65-74, 65+, US hospitalization rate used as a proxy for severe disease progression

# Patient and Public Involvement Statement

It was not appropriate or possible to involve patients or the public in the design, or conduct, or reporting, or dissemination plans of our research.

# RESULTS

Under baseline conditions, the most populous countries stand to bear the greatest disease burden with Nigeria having an estimated peak case load of 645,081 severe infections (0.31 percent of total country population) and 9,359,221 total infections (4.54% of total country population), followed by Ethiopia, with an estimated peak case load of 335,024 (0.29%) severe infections and 4,978,734 (4.33%) total infections (Figure 2). Smaller countries have a lower case load; Cabo Verde is projected to have a peak case load of 2,244 severe infections (0.40% of total country population) and 32,811 (5.90%) total infections, Sao Tome and Principe are estimated to experience peaks of 14,012 (6.40%) total infections and 1,048 (0.48%) severe infections (Figures s1, s3-10). However, the baseline scenario does not reflect the current situation in any country as all countries have instituted some form of social distancing policies.

Moderate lockdowns (assumed to lower transmission by 25% during lockdown), reduced estimated peak severe infections by 10% in Senegal, to 37%, in Ethiopia and Egypt (Figure 3). However, South Africa the peak of the severe case load showed a 1% increase of 1,929, given a moderate lockdown of 35 days (Figures s1-s11, Supplementary File 4). Longer lockdowns were more effective. In Egypt and Ethiopia, who have the longest planned lockdowns of any of the African countries (137 and 170 days, respectively), the estimated impact was a reduction in total peak cases of 37%, or 130,998 and 123,890 severe cases, respectively (Figure 4). In addition, the estimated peak of infections was shifted by 35 and 27 days, respectively (Table 2). In Cabo Verde, which had the shortest planned lockdown of 17 days, the estimated reduction in severe peak cases was 19% under a moderate lockdown, and the peak date of infections was shifted by 10 days (Figure 4).

The effect of hard temporary lockdowns without extended post-lockdown social distancing compared to moderate lockdowns varied by country. The reduction in severe peak infections ranged from 7%, in Rwanda, to 35% in Egypt, compared to baseline values. In some countries a hard lockdown had a lower impact on reducing peak cases than a moderate one. For example, in Ethiopia the expected impact of a moderate lockdown reduced severe cases by 123,890 cases compared to baseline, while a hard lockdown reduced cases by 100,743. However, hard lockdowns delayed the onset of the peak in infections compared to moderate lockdowns by several weeks. For example, in Tanzania, a moderate lockdown of 60 days delayed the peak in severe infections by 16 days compared to a 47-day delay in the case of a hard lockdown (s2, s3, Supplementary File 4).

For all countries, hard lockdowns with continued post-lockdown interventions were the most effective in delaying and reducing peak infections. Delays to the peak in infections ranged from 22 days in Libya to 123 days in Ethiopia (Table 2). This reflects the different lengths of lockdowns in these countries which lasted 20, and 170 days respectively. Hard lockdowns with continued interventions also led to the greatest reductions in the peak in estimated total infections to the greatest extent in these model projections, from 36% in Ghana and South Africa to 58% in Namibia. In Kenya this would reduce the peak case load in severe infections by 100,552 cases with a lockdown of 43 days.

Many African countries have young populations, who have been less likely to show severe symptoms in other countries, however, the high prevalence of HIV/AIDS and TB in these populations potentially renders them more vulnerable to COVID-19.[16] The highest proportional burden HIV countries in Africa are Eswatini, Botswana and South Africa and the highest proportional burden tuberculosis countries are Burundi and Central African Republic. Assuming individuals with TB and HIV/AIDS, once infected, are more likely to progress to severe disease increased the peak number of infections significantly (Figure 5). In Eswatini, Botswana, and South Africa the baseline peak number of severe infections increased from 4,529 to 5,279, 9,334 to 10,023, and from 162,977 to 203,261, respectively (Figures s12-s22, Supplementary File 4). In Burundi, the peak number of severe cases increased from 40,417 to 44,058. However, progression to severe disease may, under certain circumstances, lead to less infections, as those with severe disease may be more likely to die, quarantine, or be sick enough that they are not widely transmitting the disease. For example, in Nigeria, severe infections decrease from 645,081 to 591,888 under this scenario.

Country	Beginning Date of Simulation	Start Date of Lockdown	Duration of Lockdown (Days)	Date of Peak Infections (Baseline)	Date of Peak Infections (Moderate Lockdown)	Date of Peak Infections (Hard Lockdown)	Date of Peak Infections (Hard Lockdown with Social Distancing)
Algeria	3/9/20	3/23/20	82	7/2/20	7/22/20	8/27/20	8/14/20
Angola	4/18/20	3/31/20	70	8/6/20	8/29/20	9/12/20	10/3/20
Benin	4/5/20	3/30/20	41	7/15/20	7/31/20	8/15/20	9/23/20
Botswana	4/19/20	4/6/20	46	7/16/20	8/18/20	8/22/20	9/5/20
Burkina Faso	3/18/20	3/21/20	54	7/1/20	7/23/20	7/25/20	8/6/20
Burundi	5/17/20	3/21/20	39	9/3/20	9/11/20	9/23/20	10/9/20
Cabo Verde	4/15/20	3/28/20	17	7/6/20	7/16/20	7/29/20	8/3/20
Cameroon	3/20/20	3/18/20	44	7/6/20	7/21/20	8/11/20	8/29/20
Central African Republic	4/28/20	3/30/20	60	8/9/20	8/31/20	9/7/20	9/29/20
Chad	4/13/20	4/2/20	43	7/28/20	8/3/20	9/6/20	9/26/20
Congo (Brazzaville)	4/2/20	3/31/20	61	7/12/20	7/28/20	8/27/20	8/26/20
Congo (Kinshasa)	3/21/20	3/18/20	78	7/9/20	8/11/20	8/30/20	9/9/20
Cote d'Ivoire	3/23/20	3/16/20	62	7/3/20	7/20/20	8/16/20	8/29/20
Djibouti	3/31/20	3/23/20	46	6/22/20	7/20/20	8/4/20	8/18/20

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rial	3/8/20	3/15/20	137	6/22/20	

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	Egypt	3/8/20	3/15/20	137	6/22/20	7/27/20	10/2/20	9/14/20
	Equatorial Guinea	4/12/20	3/26/20	64	7/5/20	7/27/20	8/13/20	8/26/20
	Eritrea	4/2/20	3/23/20	31	7/4/20	7/24/20	7/30/20	8/18/20
	Eswatini	4/18/20	3/26/20	42	7/19/20	7/28/20	8/24/20	8/30/20
	Ethiopia	3/29/20	3/22/20	170	7/22/20	8/18/20	10/27/20	11/22/20
	Gabon	4/2/20	3/20/20	58	7/5/20	7/19/20	8/5/20	8/27/20
	Gambia	5/11/20	3/17/20	59	8/11/20	8/29/20	9/29/20	10/8/20
	Ghana	3/22/20	3/17/20	34	7/11/20	7/8/20	7/25/20	8/8/20
	Guinea	3/30/20	3/26/20	50	7/8/20	7/19/20	8/15/20	8/30/20
	Guinea-Bissau	4/7/20	3/17/20	55	7/13/20	7/31/20	8/12/20	8/19/20
	Kenya	3/24/20	3/15/20	43	7/14/20	8/14/20	8/27/20	9/13/20
	Liberia	4/8/20	3/22/20	21	7/15/20	7/19/20	8/9/20	8/18/20
	Libya	4/7/20	4/17/20	20	7/19/20	7/28/20	8/6/20	8/10/20
	Madagascar	3/26/20	3/23/20	60	7/6/20	8/5/20	8/15/20	8/23/20
	Malawi	4/22/20	3/23/20	48	8/7/20	8/13/20	9/17/20	10/21/20
	Mali	3/30/20	3/15/20	55	7/24/20	8/8/20	8/26/20	9/4/20
	Mauritania	5/14/20	3/15/20	60	8/18/20	9/9/20	10/3/20	10/9/20
	Mauritius	3/22/20	3/29/20	64	6/20/20	7/15/20	8/1/20	8/14/20
	Morocco	3/15/20	3/15/20	87	6/28/20	7/26/20	8/25/20	8/18/20
	Mozambique	4/10/20	3/23/20	68	7/20/20	8/17/20	9/10/20	10/9/20
	Namibia	4/5/20	3/17/20	76	7/8/20	8/5/20	8/17/20	8/18/20
	Niger	3/30/20	3/20/20	113	7/14/20	8/23/20	9/22/20	9/16/20
	Nigeria	3/21/20	3/30/20	63	7/15/20	8/4/20	9/4/20	9/30/20
	Rwanda Sao Tome and	3/23/20	3/21/20	60	7/2/20	7/15/20	8/19/20	9/12/20
	Principe	5/5/20	3/17/20	60	7/22/20	8/13/20	9/1/20	9/8/20
	Senegal	3/15/20	3/14/20	77	6/30/20	7/17/20	8/12/20	8/18/20
	Seychelles	4/6/20	3/16/20	60	6/19/20	7/5/20	7/21/20	8/15/20
	Sierra Leone	4/17/20	4/4/20	60	7/21/20	8/8/20	8/28/20	9/13/20
	Somalia	4/10/20	3/18/20	60	7/17/20	8/17/20	8/24/20	9/15/20
	South Africa	3/13/20	3/27/20	35	6/23/20	7/11/20	8/11/20	8/1/20
	South Sudan	4/28/20	3/25/20	60	8/9/20	9/7/20	9/9/20	9/21/20
	Sudan	4/13/20	3/15/20	80	7/29/20	8/22/20	9/19/20	10/9/20
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	Tanzania	4/1/20	3/23/20	60	7/18/20	8/3/20	9/3/20	9/20/20
	Togo	3/24/20	3/20/20	60	7/2/20	7/12/20	8/30/20	8/16/20
	Tunisia	3/16/20	3/12/20	53	6/20/20	7/31/20	7/27/20	8/12/20
	Uganda	3/27/20	3/18/20	47	7/10/20	7/30/20	8/16/20	8/27/20
	Zambia	3/27/20	3/17/20	38	6/30/20	7/27/20	8/15/20	9/3/20
	Zimbabwe	4/15/20	3/23/20	60	7/26/20	8/25/20	9/8/20	9/19/20

## Conclusion

Most African countries are likely early in the outbreak of SARS-CoV-2, and the initial peak in infections may be several months away in many cases. Policymakers need mathematical models that are attuned to the context in Africa to aid in planning for continued transmission of the virus and to develop interventions that reduce disease transmission. Here we analyzed a model of transmission of SARS-CoV-2 parameterized for low-resource settings. Based on current observed cases of COVID-19 in African countries, we assessed the impact of strict social distancing measures. However, the extent and efficacy of lockdown policies is certain to vary between and within countries. Furthermore, after a lockdown, and in response to a high death toll, citizens are likely to continue to adjust their social behavior.[35] The results from our simulations suggest that national lockdowns will likely slow viral transmission, reducing the peak number of active cases and delaying the time until the peak occurs. This delay can allow governments time to prepare by setting up designated COVID-19 treatment sections in hospitals and additional testing centers in densely populated areas, as well as practical measures within communities such as handwashing stations and soap distribution and information campaigns educating the public in infection prevention behaviors including mask wearing, social distancing and handwashing. Our models suggest that by using this time to prepare, transmission is likely to decrease enough to substantially to reduce the peak in infections, even after lockdowns cease. This may make the consequences of the pandemic more manageable for health and social systems, though many are still likely to become overwhelmed.

The predicted dates of peak cases varied greatly by country, from 1<sup>st</sup> August in South Africa to 22<sup>nd</sup> December in Ethiopia in the case of a hard lockdown with continued social distancing (Scenario 3) (Table 2, Figure 2). This is due to many factors including differences in population size, when the virus first arrived in the country, and length and severity of lockdown interventions. Most infections are mild; however, some countries are likely to bear a much higher per capita burden than others, largely due to differences in the duration and efficacy of lockdowns.

This model considers the impact of lockdown on transmission of SARS-CoV-2; however, effective implementation of large-scale mitigation measures thus far implemented in developed countries may not be feasible or sustainable in many low- and middle-income countries (LMICs) in Africa and around the world given various sociocultural, economic, and political challenges.[36,37] Across the African continent, an estimated 40% of people live below the international poverty line making less than \$1.90 (in 2011 purchasing parity power) per day, and approximately 85.8% of employment is informal.[38,39] Therefore, lockdowns that restrict

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movement to and from work will likely not be well enforced. Furthermore, access to hygiene and sanitation facilities is limited; in 2017, only 15% of people across sub-Saharan Africa had access to basic handwashing facilities with soap and water. [40] Social distancing within communities and within households is often not possible given over 55% of Africa's urban populations live in densely populated slums, higher than the global average of 30%.[41] In addition, there are 6.3 million refugees and 17.7 million internally displaced persons in the African continent, and ongoing humanitarian crises have displaced over 20 million people. These challenges will also abrogate the effectiveness of restrictions on movement and access to care.[42]

COVID-19 presents most severely in the elderly population and those with chronic noncommunicable diseases such as diabetes and hypertension, which affects an estimated 55% of Africans.[43] African populations may benefit from having a younger population and low prevalence of diabetes (3.9%) compared to the global average (9.3%). [44,45] In the model, we modify the transmission and mortality rates according to the age structure of countries, based on evidence that morbidity and mortality is concentrated in older individuals. However emerging reports of COVID-19 cases in the developing world, particularly Brazil, suggest the death toll in the young may be higher than expected. [46] Young populations who go out to work, buy food and look after the family are hard to shield and likely to be highly exposed to the virus. The average size of households with older members is 12.1 in Senegal and 12.6 in the Gambia, the highest in the world, [47] and this may increase the potential for exposure to the virus and hinder isolation of symptomatic cases. High rates of tuberculosis, HIV, malaria, and other infectious diseases may also make young African populations more vulnerable to severe infection with COVID-19. In West and Central Africa 60% of people living with HIV do not receive treatment. [48] The high prevalence of malnutrition, anemia, and exposure to indoor air pollution, often from cooking fires, may also increase vulnerability. Additionally, the poor air quality in many Africa cities, which has been shown to be associated with increased morbidity and mortality from COVID-19,[49,50] may exacerbate issues for the region.[51]

Testing capacity is limited in much of Africa,[52] and confirmed cases may increase faster than predicted in the near future as testing capacity increases and contact tracing continues. In addition, evidence suggests that many cases are asymptomatic and may be missed by testing protocols that only include those with symptoms who have recently travelled to an infected area or their contacts. Furthermore, social stigma and inability to access healthcare may prevent symptomatic individuals from seeking treatment. This may affect the results of the model as it uses the initial numbers of confirmed COVID-19 cases recorded. In addition, results from the model suggest cases may rapidly rise after a hard lockdown if there are no further mitigation measures. Contact tracing and testing are needed to maintain the reduction in cases gained from early lockdowns. Pooled testing can make mass testing more affordable and achievable with limited resources.[53]

There are inherent difficulties in inferring real world results from mass action models such as the one in this study. Our models tend to overestimate the number of infections as they assume people are well mixed, despite many social, physical and geographical barriers to mixing within countries. Peaks in transmission are likely to occur at different times in different regions, as has occurred in the United States and Europe where there have been multiple epicenters. This model is not stochastic and case data are modeled from the first twenty or more cases, each behaving as

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an average case. In reality, there are no average cases; some individuals are likely to have many contacts, causing multiple infections,[54] and others to have very few. Superspreading events are likely to play a key role in the transmission of SARS-CoV-2 and, though beyond the scope of this model, this is an important consideration for future work. The different contact patterns of different segments of the population were also not included in this model and may have an impact on transmission between vulnerable groups. In different countries and different contexts the impact of lockdowns and other methods to reduce transmission are likely to be different. For this reason we have considered a range of values for the reduction in transmission, however values outside of this range are entirely possible. We have gathered data, from multiple sources, on the dates of lockdown interventions in countries across the African continent and this data is accurate to the best of our knowledge. However, interventions are likely to have been enacted to a different extent in different parts of a given country and often these dates and interventions differ at the level of districts and cities. It was not possible to capture this level of heterogeneity here.

The estimates presented here suggest that the burden of severe disease caused by SARS-CoV-2 is likely to be high for the African continent. Projections of disease progression are needed to enable policy makers, governments, aid agencies and other actors to optimize resource allocation and planning decisions. The high prevalence of TB, HIV, and malnutrition and other immunocompromising conditions accompanied by limited testing capacity and access to healthcare in many African countries are likely to make populations particularly vulnerable to this pandemic. Immediate planning and appropriate resource allocation are essential to save lives and mitigate the impact of COVID-19 in Africa.

# AUTHOR CONTRIBUTIONS

IF, JC, GO, KT, OG, ES, E. Kalanxhi and SH contributed to the analysis, data collection and figures. E. Klein, GL and YY developed the model and original code with adaptations and additions by IF. IF, JC, and SH contributed to the writing, and all authors provided critical revisions of the manuscript.

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# **DATA SHARING**

The data for this study is included in the manuscript and supplementary materials, however please contact the corresponding author for any further data.

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#### FIGURE LEGENDS

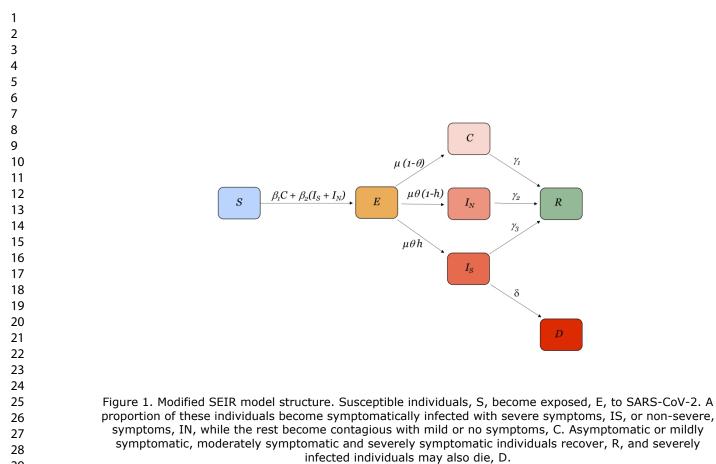
Figure 1. Modified SEIR model structure. Susceptible individuals, *S*, become exposed, *E*, to SARS-CoV-2. A proportion of these individuals become symptomatically infected with severe symptoms,  $I_S$ , or non-severe, symptoms,  $I_N$ , while the rest become contagious with mild or no symptoms, *C*. Asymptomatic or mildly symptomatic, moderately symptomatic and severely symptomatic individuals recover, *R*, and severely infected individuals may also die, *D*.

Figure 2. Projected total infections over time when parameters are normalized for the age distribution of the population in each country. Areas shaded in grey denote lockdown duration. SD denotes 'social distancing.'

Figure 3. Percent change in peak severe infections under moderate lockdown scenario, parameters adjusted by age only.

Figure 4. Percent change in peak total infections under interventions, compared to baseline, parameters adjusted by age only. Values in parentheses represent the duration of lockdown in the respective countries. Percent change was calculated relative to a baseline scenario of disease spread with no intervention.

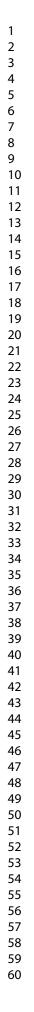
Figure 5. Projected total infections over time when parameters are normalized for the age distribution of the population in each country and the fraction of the under 70-year-old population with HIV/AIDS and or TB. Areas shaded in grey denote lockdown duration.



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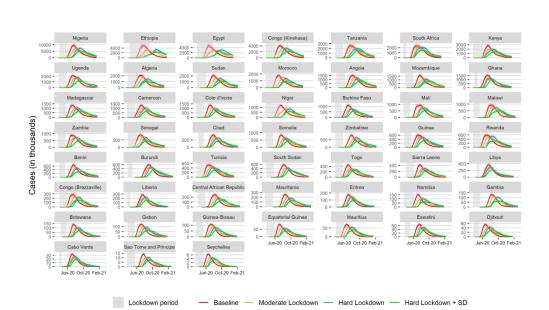


Figure 2. Projected total infections over time when parameters are normalized for the age distribution of the population in each country. Areas shaded in grey denote lockdown duration. SD denotes 'social distancing.'

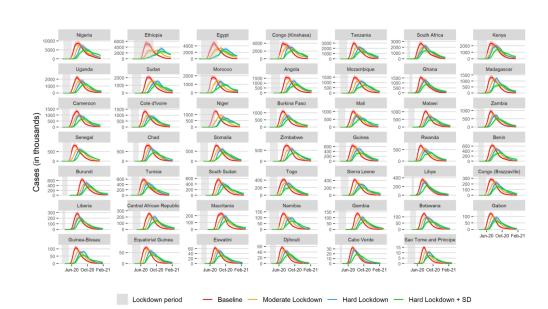
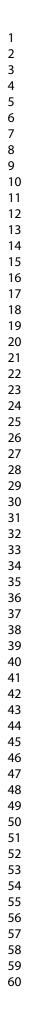


Figure 3. Percent change in peak severe infections under moderate lockdown scenario, parameters adjusted by age only.



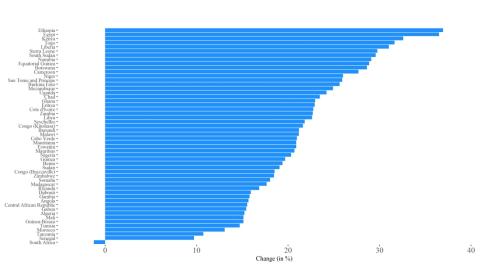
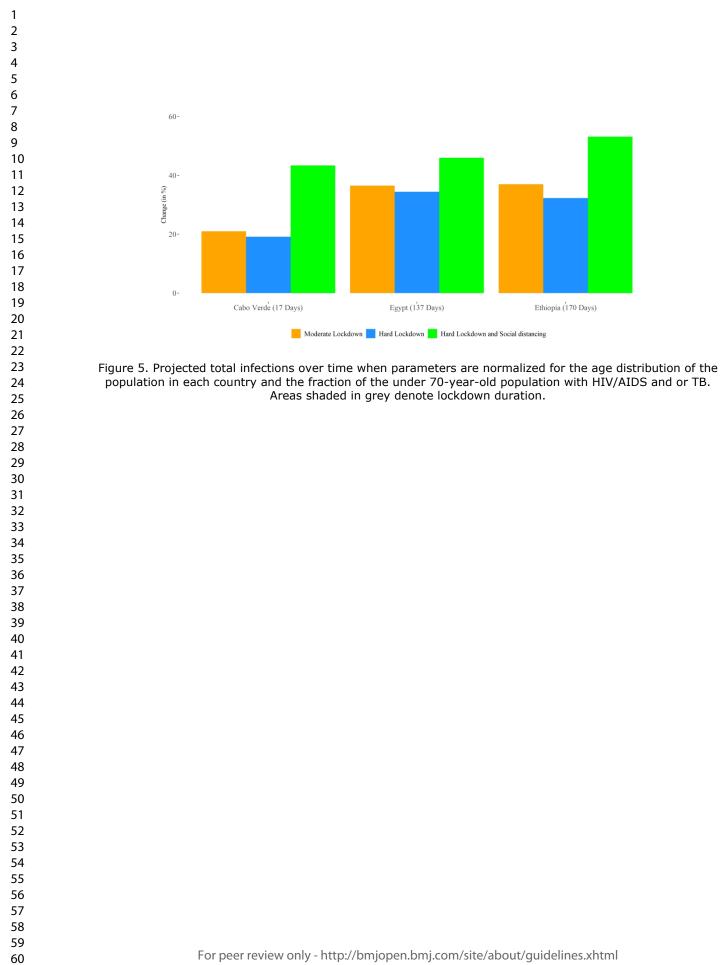


Figure 4. Percent change in peak total infections under interventions, compared to baseline, parameters adjusted by age only. Values in parentheses represent the duration of lockdown in the respective countries. Percent change was calculated relative to a baseline scenario of disease spread with no intervention.



#### Supplementary Appendix Initial Cases, Lockdown dates and Sources

4	Supplementary Ap	pendix Initia	l Cases, Lo	ckdown dates	s and Sources	S	
5	Country	Date_20_plus	InitialCaseNo	start_lockdown	end_lockdown	lockdown_duration	source
6	Algeria	3/9/20	20	3/23/20	6/13/20	82	1,2
7	Angola	4/18/20	24	3/31/20	6/9/20	70	3,4
8 9	Benin	4/5/20	22	3/30/20	5/10/20	41	5
9 10	Botswana	4/19/20	20	4/6/20	5/22/20	46	6
11	Burkina Faso	3/18/20	20	3/21/20	5/14/20	54	7
12	Burundi	5/17/20	23	3/21/20	4/29/20	39	8,9
13 14	Cabo Verde	4/15/20	56	3/28/20	4/14/20	17	10
14	Cameroon	3/20/20	20	3/18/20	5/1/20	44	11,12
16	Central African Republic	4/28/20	50	3/30/20	NA	60	13
17	Chad	4/13/20	23	4/2/20	5/15/20	43	14
18 19	Congo (Brazzaville)	4/2/20	22	3/31/20	5/31/20	61	15,16
19 20	Congo (Kinshasa)	3/21/20	23	3/18/20	6/4/20	78	17,18,19,20
21	Cote d'Ivoire	3/23/20	25	3/16/20	5/17/20	62	21,22
22	Djibouti	3/31/20	30	3/23/20	5/8/20	46	23
23 24	Egypt	3/8/20	49	3/15/20	7/30/20	137	24,25,26
24 25	Equatorial Guinea	4/12/20	21	3/26/20	5/29/20	64	27,28
26	Eritrea	4/2/20	22	3/23/20	4/23/20	31	29
27	Eswatini	4/18/20	22	3/26/20	5/7/20	42	30
28 29	Ethiopia	3/29/20	21	3/22/20	9/8/20	170	31,32
29 30	Gabon	4/2/20	21	3/20/20	5/17/20	58	33
31	Gambia	5/11/20	22	3/17/20	5/15/20	59	34
32	Ghana	3/22/20	23	3/17/20	4/20/20	34	35 <i>,</i> 36
33	Guinea	3/30/20	22	3/26/20	5/15/20	50	37,38
34 35	Guinea-Bissau	4/7/20	33	3/17/20	5/11/20	55	39
36	Kenya	3/24/20	25	3/15/20	4/27/20	43	40,41,42
37	Liberia	4/8/20	31	3/22/20	5/7/20	21	43,44
38 39	Libya	4/7/20	20	4/17/20	5/7/20	20	45
39 40	Madagascar	3/26/20	23	3/23/20	NA	60	46
41	Malawi	4/22/20	23	3/23/20	5/10/20	48	47,48
42	Mali	3/30/20	25	3/15/20	5/9/20	55	49
43 44	Mauritania	5/14/20	20	3/15/20	NA	60	50
44 45	Mauritius	3/22/20	28	3/29/20	6/1/20	64	51
46	Morocco	3/15/20	28	3/15/20	6/10/20	87	52,53,54
47	Mozambique	4/10/20	20	3/23/20	5/30/20	68	55,56,57
48	Namibia	5/23/20	20	3/17/20	6/1/20	76	58
49 50	Niger	3/30/20	27	3/20/20	7/11/20	113	59
51	Nigeria	3/21/20	22	3/30/20	6/1/20	63	60,61
52	Rwanda	3/23/20	36	3/21/20	NA	60	62
53	Sao Tome and Principe	5/5/20	174	3/17/20	NA	60	63
54 55	Senegal	3/15/20	24	3/14/20	5/30/20	77	64,65
56	Seychelles	4/6/20	11	3/16/20	NA	60	66
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3	Sierra Leone	4/17/20	26	4/4/20		60	67
4 5	Somalia	4/10/20	21	3/18/20 NA		60	68
6	South Africa	3/13/20	24	3/27/20	5/1/20	35	69,70
7	South Sudan	4/28/20	34	3/25/20 NA		60	71
8	Sudan	4/13/20	29	3/15/20	6/3/20	80	72,73,74
9 10	Tanzania	4/1/20	20	3/23/20 NA		60	75,76,77
10	Тодо	3/24/20	20	3/20/20 NA		60	78
12	Tunisia	3/16/20	20	3/12/20	5/4/20	53	79,80,81
13	Uganda	3/27/20	23	3/18/20	5/4/20	47	82,12
14 15	Zambia	3/27/20	22	3/17/20	4/24/20	38	83,12
15	Zimbabwe	4/15/20	22	2/22/20 14		60	84,12
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### Supplementary Appendix Parameters by age only

4	Supplementary Appendix	Parameters by	age only		
5	CountryName	hosp_rate	hosp_rate_UB	hosp_rate_LB	
6	Angola	0.20575232	0.24180141	0.169683142	
7	Benin	0.207539628	0.244104981	0.170945069	
8	Botswana	0.209078609	0.246019337	0.17209894	
9 10	Burkina Faso	0.206022975	0.242120179	0.169903482	
10	Burundi	0.205822653	0.241901247	0.169723863	
12	Cabo Verde	0.210261266	0.247813329	0.172671586	
13	Cameroon	0.206608871	0.242891552	0.170301355	
14	Central African Republic	0.206743817	0.243048818	0.170412838	
15 16	Congo (Brazzaville)	0.206606175	0.242928949	0.170259694	
10	Congo (Kinshasa)	0.207026747	0.243388486	0.170636906	
18	Cote d'Ivoire	0.206839964	0.243193023	0.170460991	
19	Djibouti	0.209763985	0.246986986	0.172500846	
20	-	0.210968491	0.248536556	0.173354066	
21 22	Egypt Eritrea	0.210908491	0.248550550	0.173334000	
23					
24	Equatorial Guinea	0.206163714	0.242329163	0.169976012	
25	Eswatini	0.20868778	0.245499907	0.171838277	
26	Ethiopia	0.208066795	0.244836777	0.171266347	
27 28	Gambia	0.206386242	0.242613311	0.170135712	
20	Ghana	0.207187505	0.243633541	0.17071358	
30	Greece	0.243184847	0.292094165	0.194120367	
31	Guinea	0.206936577	0.243307671	0.170538807	
32	Guinea-Bissau	0.206697271	0.242966457	0.170401735	
33 34	Kenya	0.205931114	0.242014768	0.169825994	
35	Liberia	0.207544856	0.244114595	0.170945964	
36	Libya	0.209669736	0.246937985	0.172363806	
37	Madagascar	0.207171292	0.243677881	0.170638676	
38	Malawi	0.206432223	0.242646782	0.170193264	
39 40	Maldives	0.208640744	0.245693829	0.171557465	
40	Mali	0.20625116	0.242443117	0.170036536	
42	Mauritania	0.207348793	0.243860589	0.170808808	
43	Mauritius	0.221841386	0.262608797	0.180973756	
44 45	Morocco	0.214135401	0.252667293	0.175542333	
45 46	Mozambique	0.206843839	0.243171506	0.170489514	
47	Namibia	0.208227277	0.245006174	0.171416075	
48	Niger	0.206347149	0.242538082	0.170132277	
49	Nigeria	0.206589003	0.242839025	0.170313513	
50 51	Rwanda	0.200389003	0.242839023	0.170558857	
51 52		0.20098373	0.243382098	0.170558854	
53	Sao Tome and Principe				
54	Senegal	0.207257688	0.243744438	0.170743248	
55	Seychelles	0.215189603	0.254053027	0.176260364	
56	Sierra Leone	0.207020638	0.243423407	0.170590965	
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3	Somalia	0.206882422	0 24225 (052	0.170482012	
4			0.243256952		
5	South Africa	0.210965888	0.248452757		
6	South Sudan	0.20783077	0.244501808		
7	Sudan	0.208176285	0.244963113		
8 9	Syria	0.209918855	0.247289083	0.172510496	
9 10	Tanzania	0.206351373	0.242540083	0.170138607	
11	Togo	0.206822132	0.243151327	0.170466602	
12	Tunisia	0.216796979	0.256314451	0.177210668	
13	Uganda	0.205235195	0.241112756	0.16933958	
14	Zambia	0.205508643	0.241465189		
15 16	Zimbabwe	0.206943313	0.243307754		
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4	Supplementary Appendix			-
5	CountryName	hosp_rate	hosp_rate_UB	· – –
6 7	Angola	0.208508855	0.245493069	0.170343906
7 8	Benin	0.209142326	0.246251377	
9	Botswana	0.246200701	0.295734696	0.180997409
10	Burkina Faso	0.207377495	0.243934205	
11	Burundi	0.20844609	0.245414656	0.170352722
12	Cabo Verde	0.212463238	0.250762297	0.173199417
13 14	Cameroon	0.211777752	0.249813921	0.171540378
15	Central African Republic	0.212172565	0.250319211	0.171714153
16	Chad	0.208560111	0.245552133	0.170567325
17	Congo (Brazzaville)	0.211552775	0.24955363	0.171445435
18 19	Congo (Kinshasa)	0.208584859	0.24547517	0.171010399
20	Cote d'Ivoire	0.211986846	0.250085928	0.171694741
21	Djibouti	0.212563879	0.250736713	0.173172004
22	Egypt	0.211002928	0.248582675	0.173362321
23	Equatorial Guinea	0.214111991	0.252973806	0.171881279
24 25	Eritrea	0.210295199	0.247728013	0.172165789
25 26	Eswatini	0.250773758	0.301863097	0.18192663
27	Ethiopia	0.209850712	0.247225869	
28	Gabon	0.213603152	0.252206128	0.172647257
29	Gambia	0.209136276	0.246296264	0.172047237
30 31			0.246296264	
32	Ghana	0.209958295		0.171377761
33	Guinea	0.209240862	0.246393659	
34	Guinea-Bissau	0.21152442	0.249431163	0.171558842
35	Kenya	0.214884247	0.254005155	0.171972133
36	Liberia	0.209466443	0.246688059	0.171406584
37 38	Libya	0.209739267	0.247031104	0.172380473
39	Madagascar	0.207801636	0.244522062	0.170789774
40	Malawi	0.220815851	0.2619099	0.173641137
41	Mali	0.207835645	0.244565121	0.17041635
42	Mauritania	0.207555803	0.244137825	0.17085843
43 44	Morocco	0.214338659	0.252939504	0.175591056
45	Mozambique	0.223662407	0.265695591	0.174521063
46	Namibia	0.230228323	0.274470837	0.176689906
47	Niger	0.207013969	0.243431112	0.170292119
48 40	Nigeria	0.210851441	0.248547448	0.171335255
49 50	Rwanda	0.211348422	0.249227437	0.171605104
51	Sao Tome and Principe	0.207239366	0.243761287	0.170607048
52	Senegal	0.208313481	0.245158397	0.17099633
53	Sierra Leone	0.208967992	0.246031381	0.171057761
54 55	Somalia	0.207585301	0.244198275	0.170650497
55 56	South Africa	0.239390705	0.286520386	0.180244139
57	SouthAnita	0.2000000	0.200320300	0.100277100
58				

58 59

2				
3	South Sudan	0.20980823	0.2471501	0.171603644
4 5	Sudan	0.208749758	0.245731131	0.171495495
6	Tanzania	0.212393073	0.250631364	0.171586852
7	Togo	0.210343647	0.247867478	0.171310738
8 9	Tunisia	0.216896765	0.256448089	0.177234587
9 10	Uganda	0.213594749	0.252308197	0.171343433
11	Zambia	0.221890247	0.263404074	0.173459452
12	Zimbabwe	0.227944676	0.271433602	0.175586126
13				

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### **Supplementary Materials**

### Estimation of Epidemiological Parameters

Parameters are estimated from the literature and the sources for this are outlined in Table 1. The basic reproduction number, R<sub>0</sub>, was gathered from a systematic review for which the average value was 3.1 and the range of values was 1.9 - 6.5.[1] The infection rate for symptomatic transmissions,  $\beta_1$  was calculated using the  $R_0$  of COVID-19 divided by the infectious period for mild/asymptomatic cases and moderate/severe cases, respectively, taken from.[2-4] The asymptomatic rate in Wanzhou District, China was 20.8%,[5] this gave a value of 0.792 for the asymptomatic rate, the upper bound of 0.815 (asymptomatic rate of 18.5%) is taken from the Diamond Princess cruise ship[6] and the lower bound of 0.568 is taken from the Italian town of Vo, [7] where the asymptomatic rate was 43.2%. The infection rate for symptomatic infection,  $\beta_1$ , was calculated using R<sub>0</sub> and the infectious period assumed from other coronaviruses of 5 days [4]. The reduction in infection rate for asymptomatic patients,  $\alpha$ , was based a model fitted to data from Wuhan.[8] The clearance rate for asymptomatic infection,  $\gamma_1$ , is calculated as the inverse of the infectious period which has an upper bound of 3.48 days, according to data collected in China, [8] a lower bound of 19 days using data on the viral load in asymptomatic patients[5] and the main value is the central point between the two. The clearance rate for symptomatic non-severe and severe cases,  $\gamma_2$  and  $\gamma_3$ , is the inverse of the infectious period, which was estimated as 5 to 7 days[9,10] and 3 to 7 days[11] in the literature. The incubation rate,  $\mu$ , was the inverse of the incubation time which averaged 4.8 days, and ranged from 1 to 14 days[12,13]. The severe case fatality rate,  $\delta$ , ranges from 20.9 percent[14], in South Africa, to 28 percent in China[15]. The relative increase in progression to severe disease in those with HIV/AIDS and/or TB,  $\kappa$ , was assumed to be 2.14 (1.1-2.7) using estimates from South Africa.[14,16]

**Supplementary Figures** 

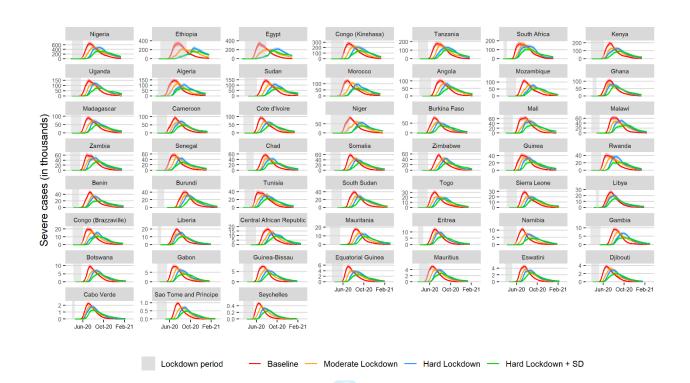


Figure S1 Projected severe infections over time when parameters are normalized for the age distribution of the population in each country. Areas shaded in grey denote lockdown duration.

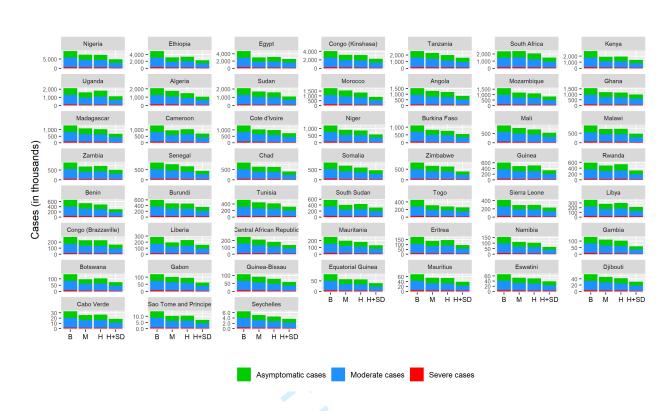


Figure S2. Projected peak infections and peak severe infections when parameters are normalized for the age distribution of the population in each country. Areas shaded in grey denote lockdown duration.

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Figure S3. Projected peak severe infections and peak severe infections when parameters are normalized for the age distribution of the population in each country. Areas shaded in grey denote lockdown duration.

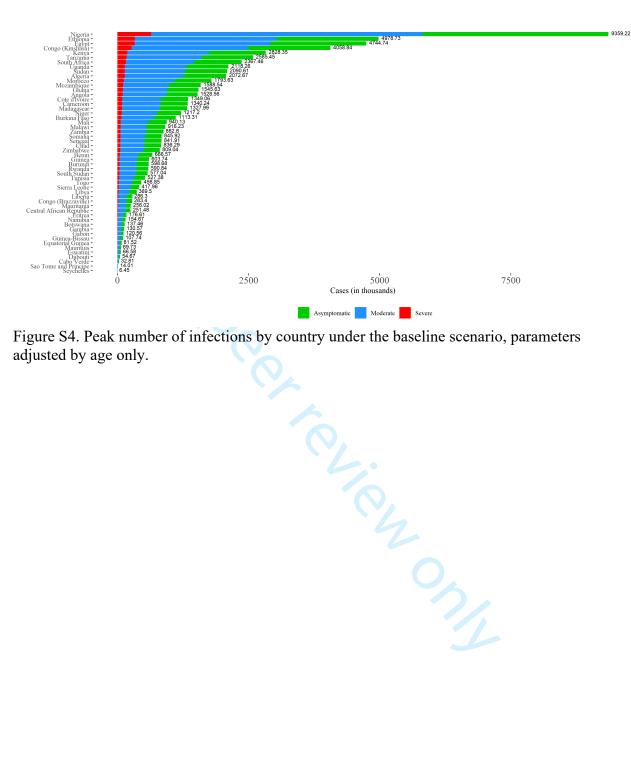


Figure S4. Peak number of infections by country under the baseline scenario, parameters adjusted by age only.

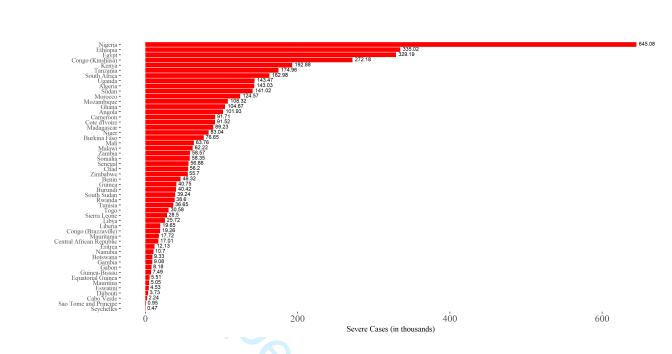


Figure S5. Peak number of severe infections by country under the baseline scenario, parameters adjusted by age only.

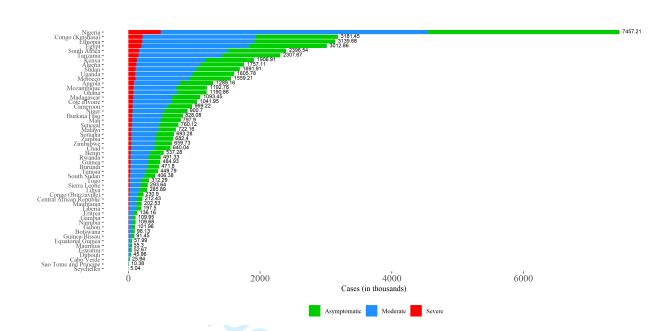


Figure S6. Peak number of infections by country with a moderate lockdown (Scenario 1), parameters adjusted by age only.

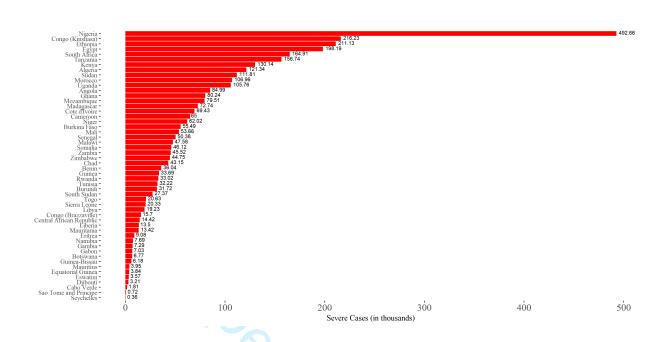


Figure S7. Peak number of severe infections by country with a moderate lockdown (Scenario 1), parameters adjusted by age only.

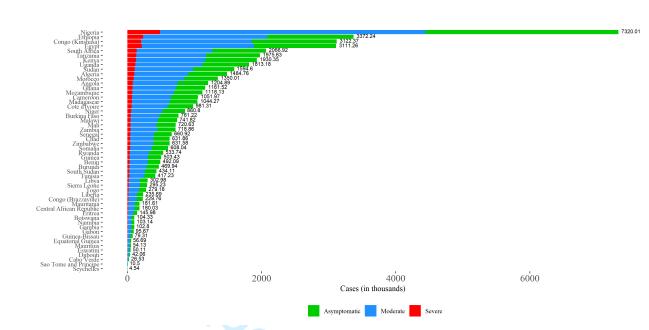


Figure S8. Peak number of infections by country with a hard lockdown (Scenario 2), parameters adjusted by age only.

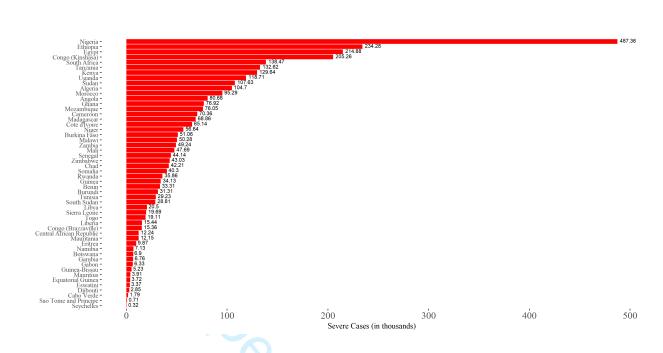


Figure S9. Peak number of severe infections by country with a hard lockdown (Scenario 2), parameters adjusted by age only.

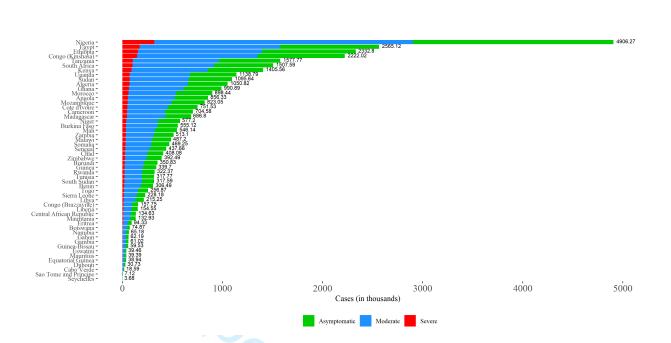


Figure S10. Peak number of infections by country with a hard lockdown followed by further measures such as social distancing (Scenario 3), parameters adjusted by age only.

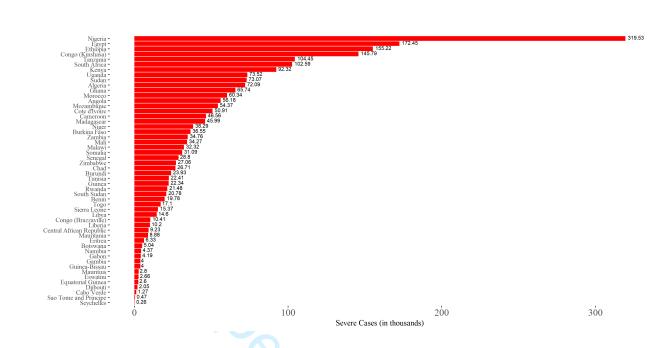


Figure S11. Peak number of severe infections by country with a hard lockdown followed by further measures such as social distancing (Scenario 3), parameters adjusted by age only.

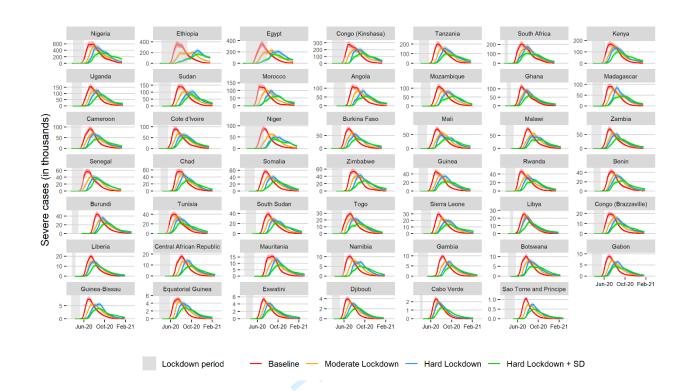


Figure S12. Projected severe infections over time when parameters are normalized for the age distribution of the population in each country and the fraction of the under 70-year-old population with HIV/AIDS and or TB. Areas shaded in grey denote lockdown duration.

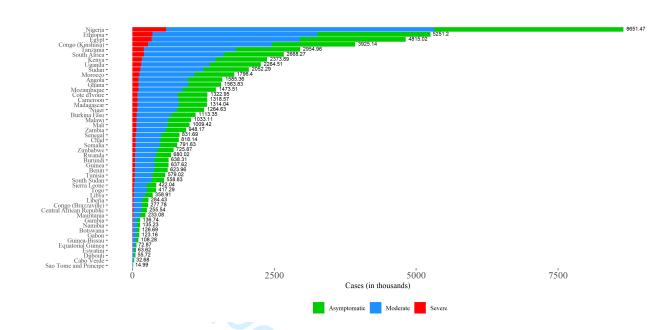


Figure S13. Peak number of infections by country under the baseline scenario, parameters adjusted by age and fraction of the under 70-year-old population with TB and/or HIV/AIDS.

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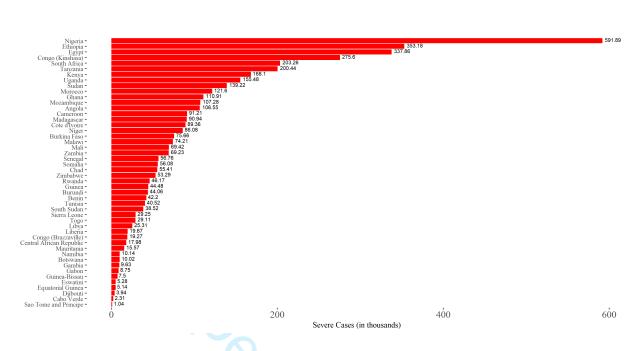


Figure S14. Peak number of severe infections by country under the baseline scenario, parameters adjusted by age and fraction of the under 70-year-old population with TB and/or HIV/AIDS.

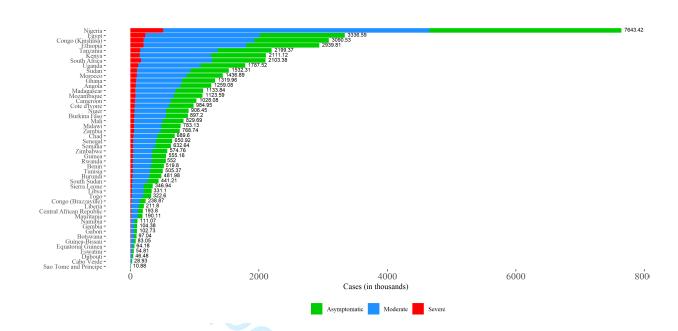


Figure S15. Peak number of infections by country with a moderate lockdown (Scenario 1), parameters adjusted by age and fraction of the under 70-year-old population with TB and/or HIV/AIDS.

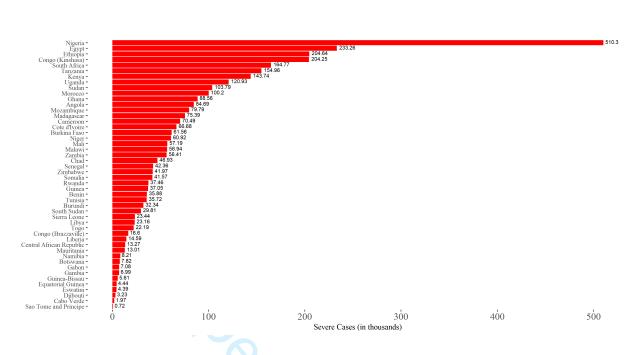


Figure S16. Peak number of severe infections by country with a moderate lockdown (Scenario 1), parameters adjusted by age and fraction of the under 70-year-old population with TB and/or HIV/AIDS.

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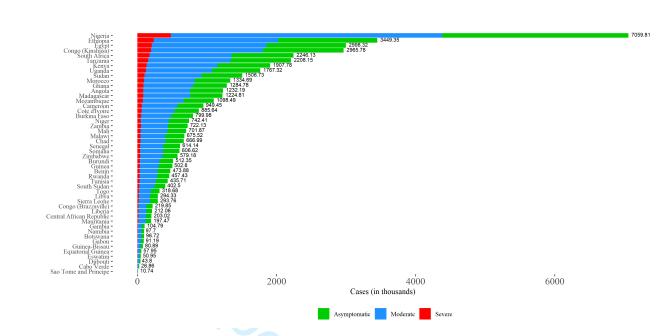


Figure S17. Peak number of infections by country with a hard lockdown (Scenario 2), parameters adjusted by age and fraction of the under 70-year-old population with TB and/or HIV/AIDS.

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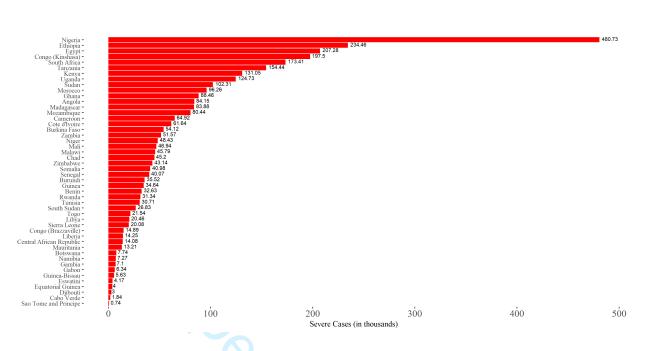


Figure S18. Peak number of severe infections by country with a hard lockdown (Scenario 2), parameters adjusted by age and fraction of the under 70-year-old population with TB and/or HIV/AIDS.

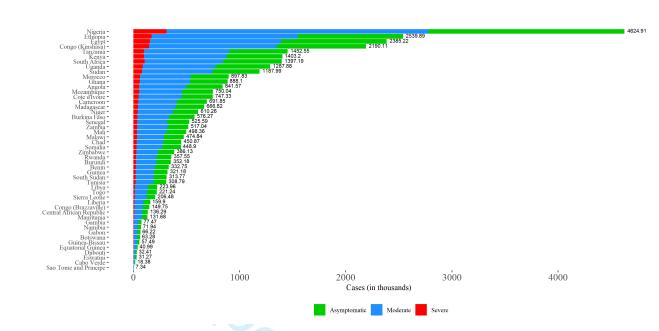


Figure S19. Peak number of infections by country with a hard lockdown followed by further measures such as social distancing (Scenario 3), parameters adjusted by age only.

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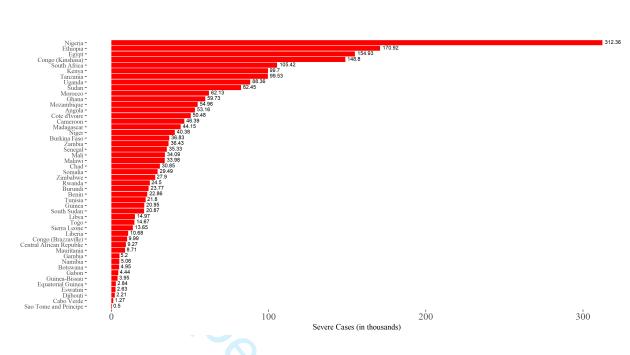


Figure S20. Peak number of severe infections by country with a hard lockdown followed by further measures such as social distancing (Scenario 3), parameters adjusted by age only.



Figure S21. Projected peak infections and peak severe infections when parameters are normalized for the age distribution of the population in each country and the fraction of the under 70-year-old population with HIV/AIDS and or TB. Areas shaded in grey denote lockdown duration.

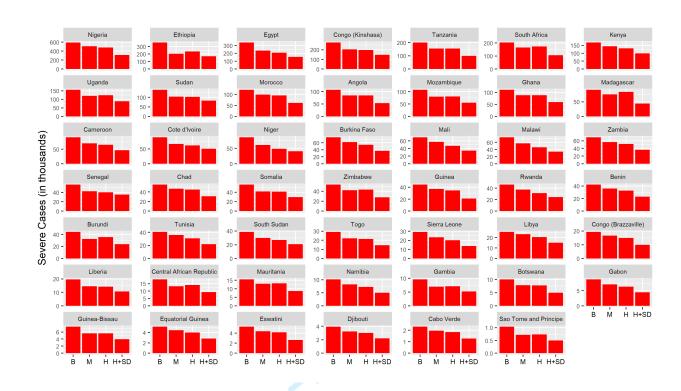


Figure S22. Projected peak severe infections and peak severe infections when parameters are normalized for the age distribution of the population in each country and the fraction of the under 70-year-old population with HIV/AIDS and or TB. Areas shaded in grey denote lockdown duration.

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