NOTE: The disclosure form for Alexander Muik was updated on March 5, 2021. Both the updated form

and the original form are included here.



Instructions

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

Relationships not covered above.

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Royalties: Funds are coming in to you or your institution due to your patent

Cai 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fi Hui	rst Name)	2. Surname (Last Name) Cai	3. Date 10-February-2021	
4. Are you the corresponding author?		Yes ✓ No	Corresponding Author's Name Philip Dormitzer	
5. Manuscript Title Neutralizing Act		ted Serum – Preliminary Re	eport	
6. Manuscript Ide	ntifying Number (if you kr	now it)		
			-	
Section 2.	The Work Under C	onsideration for Public	cation	
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No				
Section 3.	Relevant financial	activities outside the s	submitted work.	
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication . Are there any relevant conflicts of interest? Yes Vo				
Section 4.	Intellectual Prope	rty Patents & Copyrig	yhts	
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No	

Cai 2



Section 5.	
R	elationships not covered above
	tionships or activities that readers could perceive to have influenced, or that give the appearance of ing, what you wrote in the submitted work?
Yes, the following	ng relationships/conditions/circumstances are present (explain below):
✓ No other relation	nships/conditions/circumstances that present a potential conflict of interest
	iscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements Ils may ask authors to disclose further information about reported relationships.
Section 6. D	isclosure Statement
Based on the above below.	disclosures, this form will automatically generate a disclosure statement, which will appear in the box
Dr. Cai has nothing	to disclose.

Evaluation and Feedback

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Cai 3



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Chen 1



Section 1. Identifying Inform	nation			
1. Given Name (First Name) Wei	2. Surname (Last Name) Chen	3. Date 10-February-2021		
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Philip Dormitzer		
5. Manuscript Title Neutralizing Activity of BNT162b2-Elici	ted Serum – Preliminary Re	port		
6. Manuscript Identifying Number (if you ki 21-02017	now it)			
Section 2. The Work Under C	onsideration for Public	ation		
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Section 3. Relevant financial	activities outside the s	ubmitted work.		
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Section 4. Intellectual Prope	rty Patents & Copyrig	ıhts		
Do you have any patents, whether plan	ned, pending or issued, br	oadly relevant to the work?		

Chen 2



Section 5.	
Section 5.	Relationships not covered above
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest
	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements rnals may ask authors to disclose further information about reported relationships.
Section 6.	Disclosure Statement
Based on the abo	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box
Dr. Chen has no	thing to disclose.

Evaluation and Feedback

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Chen 3



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Royalties: Funds are coming in to you or your institution due to your patent

Cooper 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) David	2. Surname (Last Name) Cooper	3. Date 10-February-2021
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Philip Dormitzer
5. Manuscript Title Neutralizing Activity of BNT162b2-Elici	ted Serum – Preliminary Re	eport
6. Manuscript Identifying Number (if you k 21-02017	now it)	_
Section 2. The Work Under C		
The work officer C	onsideration for Public	
any aspect of the submitted work (including		a third party (government, commercial, private foundation, etc.) for ita monitoring board, study design, manuscript preparation,
statistical analysis, etc.)? Are there any relevant conflicts of inter	rest?	
, , , , , , , , , , , , , , , , , , , ,		
Section 3. Relevant financial	activities outside the s	submitted work.
of compensation) with entities as descri	ribed in the instructions. Us	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.
Are there any relevant conflicts of inter		
If yes, please fill out the appropriate inf	ormation below.	
Name of Entity	Grant? Personal No	n-Financial other? Comments
Pfizer, Inc		Employee and shareholder
Section 4. Intellectual Prope	rty Patents & Copyri	ghts
Do you have any patents, whether plan	nned, pending or issued, br	oadly relevant to the work? Yes V No

Cooper 2



Section 5. Polationships not severed above
Relationships not covered above
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Yes, the following relationships/conditions/circumstances are present (explain below):
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Section 6. Disclosure Statement
Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Cooper reports personal fees and other from Pfizer, Inc, outside the submitted work.

Evaluation and Feedback

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Cooper 3



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1

Cutler



Section 1.	Identifying Inform	nation		
1. Given Name (Fi Mark	rst Name)	2. Surname (Last Name) Cutler		3. Date 10-February-2021
4. Are you the cor	responding author?	Yes 🗸 No	Corresponding Author's Philip Dormitzer	Name
5. Manuscript Title Neutralizing Acti		ed Serum – Preliminary R	eport	
6. Manuscript lder 21-02017	ntifying Number (if you kr	now it)		
	I			
Section 2.	The Work Under C	onsideration for Publi	cation	
any aspect of the s statistical analysis, Are there any rel	ubmitted work (including	but not limited to grants, do	. , ,	commercial, private foundation, etc.) for design, manuscript preparation,
Section 3.	Relevant financial	activities outside the	submitted work.	
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Name of Entity		Grant	n-Financial upport?	Comments
Pfizer			Em	nployee of Pfizer
Section 4.	Intellectual Proper	rty Patents & Copyri	ghts	
Do you have any	patents, whether plan	ned, pending or issued, b	oadly relevant to the wo	rk? ☐ Yes ✓ No

Cutler 2



Section 5. Polationships not severed above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
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Dr. Cutler reports personal fees from Pfizer, outside the submitted work.

Evaluation and Feedback

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Cutler 3



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1

Dormitzer



Section 1.	Identifying Inform	nation				
1. Given Name (Fi Philip	rst Name)	2. Surname (Last Nam Dormitzer	e)		3. Date 11-February-2021	
4. Are you the cor	responding author?	✓ Yes No				
5. Manuscript Title Neutralizing Acti	e ivity of BNT162b2-Elicit	ed Serum – Preliminar	y Report			
6. Manuscript Ider 21-02017	ntifying Number (if you kr	now it)				
Section 2.		onsideration for Pu				
any aspect of the s statistical analysis,	stitution at any time rece ubmitted work (including	ive payment or services f but not limited to grant	rom a third party (s, data monitoring		commercial, private foundation, et design, manuscript preparation,	c.) for
Section 3.	Relevant financial	activities outside t	he submitted	work.		
of compensation) with entities as descri	ibed in the instruction	s. Use one line fo	r each entity	relationships (regardless of amor; add as many lines as you need months prior to publication.	d by
	evant conflicts of intere		lo			
if yes, piease fill c	out the appropriate info	ormation below.				
Name of Entity		Grant? Personal Fees?	Non-Financial Support	Other? Co	omments	
Pfizer					n a Pfizer employee and reholder.	
	ı					
Section 4.	Intellectual Proper	rty Patents & Cop	yrights			
Do you have any	patents, whether plan	ned, pending or issued	d, broadly releva	nt to the wor	rk? ☐ Yes ✓ No	

Dormitzer 2



Section 5. Rolati	
Relati	onships not covered above
	nips or activities that readers could perceive to have influenced, or that give the appearance of what you wrote in the submitted work?
Yes, the following rela	ationships/conditions/circumstances are present (explain below):
✓ No other relationship	s/conditions/circumstances that present a potential conflict of interest
	t acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements y ask authors to disclose further information about reported relationships.
Cartina	
Section 6. Disclo	sure Statement
Based on the above disclebelow.	osures, this form will automatically generate a disclosure statement, which will appear in the box
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Dormitzer 3



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Issued: The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Fontes-Garfias 1



Section 1. Identifying Inform	ation			
1. Given Name (First Name) Camila	2. Surname (Last Name) Fontes-Garfias	3. Date 10-February-2021		
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Philip Dormitzer		
5. Manuscript Title Neutralizing Activity of BNT162b2-Elicit	ed Serum – Preliminary Re	port		
6. Manuscript Identifying Number (if you kn 21-02017	ow it)			
Section 2. The Work Under Co	onsideration for Public	ation		
any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere	but not limited to grants, daest? Yes No prmation below. If you have the "X" button.	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation, etc.) for the more than one entity press the "ADD" button to add a row.		
Name of Institution/Company	Grant	n-Financial Other? Comments		
Pfizer		Received research fund		
Section 3. Relevant financial	activities outside the s	ubmitted work.		
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication . Are there any relevant conflicts of interest? Yes Vo				
Section 4. Intellectual Proper	ty Patents & Copyri <u>c</u>	hts		
Do you have any patents, whether plant	ned, pending or issued, br	oadly relevant to the work? Yes Vo		

Fontes-Garfias 2



Section 5. Relationships not covered above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6
Section 6. Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Fontes-Garfias reports other from Pfizer, during the conduct of the study.

Evaluation and Feedback

Please visit http://www.icmje.org/cgi-bin/feedback to provide feedback on your experience with completing this form.

Fontes-Garfias 3



Instructions

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Royalties: Funds are coming in to you or your institution due to your patent

Jansen 1



Section 1.	ldentifying Inforn	nation				
1. Given Name (Fi Kathrin U.	rst Name)	2. Surname (Last Nam Jansen	3. Date 11-February-2021			
4. Are you the cor	responding author?	Yes 🗸 No	Corresponding Author's Name Philip Dormitzer			
5. Manuscript Title Neutralizing Act	e ivity of BNT162b2-Elicit	ted Serum – Prelimina	ry Report			
6. Manuscript Ide	ntifying Number (if you kı	now it)				
	ı					
Section 2.	The Work Under C	onsideration for Pu	ıblication			
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No						
Section 3.	Relevant financial	activities outside t	he submitted work.			
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Name of Entity		Grant? Personal Fees?	Non-Financial Other? Comments			
Pfizer Inc.			I am a Pfizer employee			
	ı					
Section 4.	Intellectual Prope	rty Patents & Cop	yrights			
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☐ Yes ✓ No						

Jansen 2



Section 5. Polos	
Relat	ionships not covered above
	ships or activities that readers could perceive to have influenced, or that give the appearance of what you wrote in the submitted work?
✓ Yes, the following re	lationships/conditions/circumstances are present (explain below):
No other relationship	os/conditions/circumstances that present a potential conflict of interest
I am a Pfizer shareholde	
On occasion, journals ma	ot acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. ay ask authors to disclose further information about reported relationships.
Section 6. Disclo	osure Statement
Based on the above disc below.	losures, this form will automatically generate a disclosure statement, which will appear in the box
Dr. Jansen reports perso	onal fees from Pfizer Inc., outside the submitted work; and being a Pfizer shareholder.

Evaluation and Feedback

Please visit http://www.icmje.org/cgi-bin/feedback to provide feedback on your experience with completing this form.

Jansen 3



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Section 1. Identifying Inform					
Identifying Information	ation				
Given Name (First Name) Jianying	2. Surname (Last Name) Liu		3. Date 10-February-2021		
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Philip Dormitz			
5. Manuscript Title Neutralizing Activity of BNT162b2-Elicite	ed Serum – Preliminary I	Report			
6. Manuscript Identifying Number (if you kno 21-02017	ow it)				
Section 2. The Work Under Co	nsideration for Publ	lication			
Did you or your institution at any time receivany aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of interest	but not limited to grants, o		ernment, commercial, private foundation, etc.) for ord, study design, manuscript preparation,		
*		ave more than one	e entity press the "ADD" button to add a row.		
Excess rows can be removed by pressing	-		, , , , , , , , , , , , , , , , , , , ,		
Name of Institution/Company	Grance	on-Financial Support?	ner? Comments		
Pfizer			Received research fund		
Section 3. Polovant financial a					
Relevant financial a	ctivities outside the	submitted wo	k.		
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication . Are there any relevant conflicts of interest? Ves No					
If yes, please fill out the appropriate info	rmation below.				
Name of Entity	Grant•	on-Financial Support?	ner? Comments		
Gilead, Inc.			Received research fund through "Sponsored Research Agreement"		
GM Sciences, Inc.			Received research fund through "Sponsored Research Agreement"		



Section 4. Intellectual Property Patents & Copyrights						
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Volume						
Section 5. Relationships not covered above						
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?						
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Dr. Liu reports other from Pfizer, during the conduct of the study; other from Gilead, Inc., other from IGM Sciences, Inc., outside the submitted work.						

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 $Please\ visit\ \underline{http://www.icmje.org/cgi-bin/feedback}\ to\ provide\ feedback\ on\ your\ experience\ with\ completing\ this\ form.$



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Continu 1			
Section 1. Identifying Infor	mation		
Given Name (First Name) Yang	2. Surname (Last Name Liu	2)	3. Date 10-February-2021
4. Are you the corresponding author?	☐ Yes 🗸 No	Correspondi Philip Dorn	ing Author's Name nitzer
5. Manuscript Title Neutralizing Activity of BNT162b2-Elic	cited Serum – Preliminary	/ Report	
6. Manuscript Identifying Number (if you 21-02017	know it)		
Section 2. The Work Under	Consideration for Pul	blication	
any aspect of the submitted work (including statistical analysis, etc.)?	ng but not limited to grants	, data monitoring	government, commercial, private foundation, etc.) f board, study design, manuscript preparation,
Are there any relevant conflicts of inte			
If yes, please fill out the appropriate in Excess rows can be removed by pressi	•	have more than	one entity press the "ADD" button to add a ro
Name of Institution/Company	Grant? Personal Fees?	Non-Financial Support?	Other? Comments
Pfizer			Received research fund
Section 3. Relevant financia	al activities outside th	ne submitted v	work.
Place a check in the appropriate boxe of compensation) with entities as desc	s in the table to indicate cribed in the instructions	whether you hav . Use one line fo	ve financial relationships (regardless of amoun r each entity; add as many lines as you need by uring the 36 months prior to publication.
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Name of Entity	Grant? Personal Fees?	Non-Financial Support?	Other? Comments
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Section 4. Intellectual Property Patents & Copyrights						
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Volume						
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Section 1. Identifying Inform	nation					
1. Given Name (First Name) Alexander	2. Surname (Last Name) Muik	3. Date 25-February-2021				
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Philip Dormitzer				
5. Manuscript Title Neutralizing Activity of BNT162b2-Elicit	ted Sera – Preliminary Rep	ort				
6. Manuscript Identifying Number (if you ki 21-02017	now it)					
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Name of Entity	Grant? Personal No	n-Financial other? Comments				
BioNTech		Employee				
Section 4. Intellectual Prope	rty Patents & Copyri	ghts				
Do you have any patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes ✓ No				



Section 5.	Relationships not covered above
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
✓ Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
No other rela	tionships/conditions/circumstances that present a potential conflict of interest
the work was no	reholder of BioNTech. The initial ICMJE form wherein Dr. Muik noted that he has a patent broadly relevant to it appropriate. Decisions regarding what patent filings and subject matter that might relate broadly to the er related to Dr. Muik are still being ascertained
On occasion, jou	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements rnals may ask authors to disclose further information about reported relationships.
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BioNTech, and ronot appropriate	personal fees from BioNTech, outside the submitted work. Dr. Muik reports being a shareholder of eports that the initial ICMJE form wherein he noted that he has a patent broadly relevant to the work was . Decisions regarding what patent filings and subject matter that might relate broadly to the work of this Dr. Muik are still being ascertained.

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1. Given Name (First Name) Alexander	2. Surname (Last Name) Muik	3. Date 11-February-2021
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Philip R. Dormitzer
5. Manuscript Title Neutralizing Activity of BNT162b2-Elicit	ted Serum – Preliminary Re	eport
6. Manuscript Identifying Number (if you kr 21-02017	now it)	
Section 2. The Work Under C	onsideration for Public	cation
	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for uta monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	activities outside the s	submitted work.
of compensation) with entities as descr	ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.
If yes, please fill out the appropriate infe		
Name of Entity	Grant? Personal Noi	n-Financial Other? Comments
BioNTech		employee and shareholder
Section 4. Intellectual Proper	rty Patents & Copyric	ghts
Do you have any patents, whether plan	ned, pending or issued, br ormation below. If you hav	



Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments	
Coronavirus vaccine	✓					unpublished (patent no. is confidential)	
						·	
Section 5. Rolationshi							
Relationshi	ps not cov	ered abo	ove				
Are there other relationships or potentially influencing, what yo				eive to have	influenced, or tha	at give the appearance of	
Yes, the following relationsh	nips/conditio	ns/circun	nstances are	e present (ex	plain below):		
✓ No other relationships/cond	•			•		st	
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.							
Section 6. Disclosure Statement							
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.							
Dr. Muik reports personal fees f vaccine pending.	rom BioNTeo	ch, outsic	de the subm	nitted work; I	n addition, Dr. M	uik has a patent Coronaviru	S

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Other: Anything not covered under the previous three boxes

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Issued: The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Sahin 1



Section 1. Identifying Inform	nation					
1. Given Name (First Name) Ugur	2. Surname (Last Name) Sahin	3. Date 12-February-2021				
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Philip Dormitzer				
5. Manuscript Title Neutralizing Activity of BNT162b2-Elicit	ed Serum – Preliminary Re	eport				
6. Manuscript Identifying Number (if you kr 21-02017	now it)					
Section 2. The Work Under Co	onsideration for Publi	cation				
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No						
Section 3. Relevant financial	activities outside the s	submitted work.				
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication . Are there any relevant conflicts of interest? Yes No If yes, please fill out the appropriate information below.						
	Grant? Personal No	n-Financial Oct 7 Comments				
Name of Entity	Grant: Fees? S	Upport? Other? Comments				
BioNTech		Co-founder/employee and shareholder				
Section 4. Intellectual Proper	rty Patents & Copyri	ghts				
Do you have any patents, whether plan If yes, please fill out the appropriate info Excess rows can be removed by pressin	ormation below. If you hav	roadly relevant to the work? Yes No ve more than one entity press the "ADD" button to add a row.				

Sahin 2



Paten	?	Pending?	Issued?	Licensed ?	Royalties?	Licensee?	Comments	
US Patent Applicatior "3'-UTR Sequences fo RNA"		√					inventor	
Section 5.	Relationshi	ps not cov	ered abo	ove				
Are there other repotentially influe					eive to have	influenced, or th	at give the appearance of	
	wing relationsh tionships/cond	•			•	plain below):	st	
At the time of ma							odate their disclosure staten ships.	nents.
Section 6.	Disclosure S	tatement						
Based on the abo below.	ve disclosures,	this form wi	ill automa	atically gene	erate a disclo	sure statement, v	which will appear in the box	
•	•					tted work; In add ation of RNA" pen	lition, Dr. Sahin reports havi ding.	ng

Evaluation and Feedback

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Sahin 3



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Royalties: Funds are coming in to you or your institution due to your patent

Sarkar 1



Section 1.	Identifying Inform	nation				
1. Given Name (First Name) Ritu		2. Surname (Last Name) Sarkar	3. Date 10-February-2021			
4. Are you the corresponding author?		Yes ✓ No	Corresponding Author's Name Philip Dormitzer			
5. Manuscript Title Neutralizing Act		ted Serum – Preliminary Re	eport			
6. Manuscript Ide	ntifying Number (if you kr	now it)				
			_			
Section 2.	The Work Under C	onsideration for Public	cation			
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No						
Section 3.	Relevant financial	activities outside the s	submitted work.			
of compensation clicking the "Add	n) with entities as descr	ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by se present during the 36 months prior to publication.			
Section 4.	Intellectual Prope	rty Patents & Copyric	yhts			
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No			

Sarkar 2



Section 5. Polationships not severed above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
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Dr. Sarkar has nothing to disclose.

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Royalties: Funds are coming in to you or your institution due to your patent



Section 1. Identifying Inform	mation			
Given Name (First Name) Pei-Yong	2. Surname (Last Nam Shi	e)	3. Date 10-February-2021	
4. Are you the corresponding author?	Yes ✓ No	Correspond Philip Dorr	ling Author's Name mitzer	
5. Manuscript Title Neutralizing Activity of BNT162b2-Elic	ited Serum – Preliminar	ry Report		
6. Manuscript Identifying Number (if you l 21-02017	know it)			
Section 2. The Work Under 0	Consideration for Pu	blication		
any aspect of the submitted work (includir statistical analysis, etc.)?	ng but not limited to grant	s, data monitoring	government, commercial, private foundation board, study design, manuscript preparatior	
Are there any relevant conflicts of inte		lo . hava maaya than	and outiture was the "ADD" button to ad	بيمير ماما
Excess rows can be removed by pressi		nave more than	one entity press the "ADD" button to ad	.a a row.
Name of Institution/Company	Grant? Personal Fees?	Non-Financial Support?	Other? Comments	
Pfizer			Received research fund	
Section 3. Relevant financia	l activities outside tl	he submitted v	work.	
of compensation) with entities as desc	ribed in the instruction	s. Use one line fo	ve financial relationships (regardless of a or each entity; add as many lines as you no oring the 36 months prior to publication	eed by
Are there any relevant conflicts of inte If yes, please fill out the appropriate in		lo		
Name of Entity	Grant? Personal Fees?	Non-Financial Support?	Other? Comments	
Gilead Sciences			Received research fund through "Sponsored Research Agreement"	
GM Sciences, Inc.			Received research fund through "Sponsored Research Agreement"	



Section 4.	Intellectual	Property	Patents	s & Copyri	ghts			
Do you have any If yes, please fill Excess rows can	out the approp	riate informa	tion belo	w. If you ha	•		Yes No	a row.
Pater	ıt?	Pending?	Issued?	Licensed ?	Royalties?	Licensee?	Comments	
Reverse genetic syst CoV-2. Provisional ap 63/000,713		V		✓		Pfizer, Gilead, Merck, GSK, Vir Biotechnology	Reporter SARS-CoV-2 for antiviral and neutralization testing	
							Used for antiviral and neutralization testing	
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A	-	•			•	• (1)		
potentially influ	•			•	eive to have	influenced, or tr	nat give the appearance of	
Yes, the follo	wing relationsh	nips/conditio	ns/circun	nstances are	e present (ex	rplain below):		
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Section 6.	Disclosure 9	Statement						
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	mitted work. In	addition, Dr.	Shi repo	rts having a	patent Reve	erse genetic syste	s, other from IGM Sciences, I em of SARS-CoV-2. Provisior	



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Royalties: Funds are coming in to you or your institution due to your patent

1

administrative support, etc.



Section 1. Identifying Inform	nation					
1. Given Name (First Name) Kena	2. Surname (Last Name) Swanson	3. Date 10-February-2021				
4. Are you the corresponding author?	☐ Yes 🗸 No	Corresponding Author's Name Philip Dormitzer				
5. Manuscript Title Neutralizing Activity of BNT162b2-Elicit	ted Serum – Preliminary Re	eport				
6. Manuscript Identifying Number (if you kr 21-02017	now it)					
Section 2. The Work Under C	onsideration for Public	cation				
any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of inter	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,				
Section 3. Relevant financial	activities outside the	submitted work.				
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Name of Entity	Grant? Personal Noi	n-Financial Other? Comments				
Pfizer Inc		employee and shareholder				
Section 4. Intellectual Proper	rty Patents & Copyri	ghts				
Do you have any patents, whether plan	ned, pending or issued, br	roadly relevant to the work? Yes V No				

Swanson 2



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Dr. Swanson reports personal fees and other from Pfizer Inc, outside the submitted work.

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Swanson 3



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Weaver 1



Section 1.	Identifying Inform	nation					
1. Given Name (First Name) Scott		2. Surname (Last Name) Weaver	3. Date 10-February-2021				
4. Are you the corresponding author?		Yes ✓ No	Corresponding Author's Name Philip Dormitzer				
5. Manuscript Title Neutralizing Acti		ted Serum – Preliminary Re	eport				
6. Manuscript Ider 21-02017	ntifying Number (if you kr	now it)					
Section 2.	The Work Under C	onsideration for Public	cation				
any aspect of the s statistical analysis,	Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No						
Section 3.	Relevant financial	activities outside the s	submitted work.				
of compensation clicking the "Add	the appropriate boxes i	in the table to indicate wh ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.				
Section 4.	Intellectual Proper	rty Patents & Copyric	ghts				
Do you have any	patents, whether plan	ned, pending or issued, br	roadly relevant to the work? Yes V No				

Weaver 2



Section 5.							
Section 5.	Relationships not covered above						
	Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?						
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):						
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest						
	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements rnals may ask authors to disclose further information about reported relationships.						
Continu							
Section 6.	Disclosure Statement						
Based on the abo	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box						
Dr. Weaver has r	nothing to disclose.						

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Weaver 3



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The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

Relationships not covered above.

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Other: Anything not covered under the previous three boxes

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Royalties: Funds are coming in to you or your institution due to your patent

Xia 1



Section 1. Identifying Inform	nation						
1. Given Name (First Name) Hongjie	2. Surname (Last Name) Xia		3. Date 10-February-2021				
4. Are you the corresponding author?	☐ Yes ✓ No	ding Author's Name mitzer					
5. Manuscript Title Neutralizing Activity of BNT162b2-Elicited Serum – Preliminary Report							
6. Manuscript Identifying Number (if you kr 21-02017	now it)						
Section 2. The Work Under C	onsideration for Publi	ication					
			government, commercial, private foundation, etc board, study design, manuscript preparation,	c.) for			
Are there any relevant conflicts of interest	est? ✓ Yes No						
If yes, please fill out the appropriate info Excess rows can be removed by pressin		ve more than	one entity press the "ADD" button to add a	row.			
Name of Institution/Company	Grant'	on-Financial Support	Other? Comments				
Pfizer			Received research fund				
Section 3. Polovant financial							
Relevant financial	activities outside the	submitted \	work.				
of compensation) with entities as descr	ibed in the instructions. U port relationships that we	lse one line fo	we financial relationships (regardless of amoor each entity; add as many lines as you need uring the 36 months prior to publication.	d by			
If yes, please fill out the appropriate info							
Name of Entity	Grant	on-Financial Support <mark>?</mark>	Other? Comments				
Gilead Sciences			Received research fund through "Sponsored Research Agreement"	_ _			
GM Sciences, Inc.			Received research fund through "Sponsored Research Agreement"				

Xia 2



Section 4. Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Volume No
Section 5. Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
No other relationships/conditions/circumstances that present a potential conflict of interest
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Section 6. Disclosure Statement
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Dr. Xia reports other from Pfizer, during the conduct of the study; other from Gilead Sciences, other from IGM Sciences, Inc., outside the submitted work.

Evaluation and Feedback

 $Please\ visit\ \underline{http://www.icmje.org/cgi-bin/feedback}\ to\ provide\ feedback\ on\ your\ experience\ with\ completing\ this\ form.$

Xia 3



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Section 1. Identifying Inform	ation				
1. Given Name (First Name) Xuping	2. Surname (Last Nan Xie	ne)		3. Date 10-February-2021	
4. Are you the corresponding author?	Yes ✓ No Corresponding Author's Name Philip Dormitzer				
5. Manuscript Title Neutralizing Activity of BNT162b2-Elicite	ed Serum – Prelimina	ıry Report			
6. Manuscript Identifying Number (if you kn 21-02017	ow it)				
Section 2. The Work Under Co	onsideration for P	ublication			
Did you or your institution at any time recei any aspect of the submitted work (including statistical analysis, etc.)?	but not limited to gran	its, data monitoring			
Are there any relevant conflicts of intere If yes, please fill out the appropriate info		No u have more than	one enti	ty press the "ADD" button to add a row	
Excess rows can be removed by pressing		u nave more than	One enti		
Name of Institution/Company	Grant? Personal Fees?	Non-Financial Support?	Other?	Comments	
Pfizer			✓	Received research fund	
Section 3. Relevant financial a		the gulpusideed	wouls		
Relevant ilhancial d	activities outside i	ine submitted (work.		
Place a check in the appropriate boxes in of compensation) with entities as descriclicking the "Add +" box. You should rep	bed in the instructior	ns. Use one line fo	r each er	ntity; add as many lines as you need by	
Are there any relevant conflicts of intere		No			
If yes, please fill out the appropriate info	rmation below.				
Name of Entity	Grant? Personal Fees?	Non-Financial Support?	Other?	Comments	
Gilead Sciences, Inc.			✓	Received research fund through "Sponsored Research Agreement"	
GM Sciences, Inc.			✓	Received research fund through "Sponsored Research Agreement"	



Section 4. Intellectual								
Intellectual	Property	Patents	s & Copyri	ghts				
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.								
Patent?	Pending?	Issued?	Licensed ?	Royalties?	Licensee?	Comments		
Reverse genetic system of SARS- CoV-2. Provisional applications 63/000,713	✓		✓		Pfizer, Gilead, Merck, GSK, Vir Biotechnology	Reporter SARS-CoV-2 for antiviral and neutralization testing		
						Used for antiviral and neutralization testing		
Section 5. Relationships not covered above								
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At the time of manuscript accep On occasion, journals may ask a	-					•	nents.	
Section 6. Disclosure S	tatement							
Based on the above disclosures, below.	this form wi	ll automa	atically gene	erate a disclo	osure statement,	which will appear in the box	(
Dr. Xie reports other from Pfizel Inc., outside the submitted wo Provisional applications 63/000	rk; In additio	n, Dr. Xie	reports hav	ving a paten	t Reverse genetio		es,	



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Zhang 1



Section 1. Identifying Inform	nation		
1. Given Name (First Name) Xianwen	2. Surname (Last Name) Zhang		3. Date 16-February-2021
4. Are you the corresponding author?	☐ Yes 🗸 No	Correspond Philip Dorn	ling Author's Name mitzer
5. Manuscript Title Neutralizing Activity of BNT162b2-Elicit	ted Serum – Preliminary Re	eport	
6. Manuscript Identifying Number (if you ki 21-02017	now it)		
Section 2. The Work Under C	onsideration for Public	cation	
			government, commercial, private foundation, etc.) fo board, study design, manuscript preparation,
Are there any relevant conflicts of inter-			
If yes, please fill out the appropriate info Excess rows can be removed by pressin	-	e more than	one entity press the "ADD" button to add a rov
Name of Institution/Company	Grant	n-Financial upport [?]	Other? Comments
Pfizer			Received research fund
Section 3. Polovant financial	activities outside the s	·uhmittad v	work
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of compensation) with entities as descr clicking the "Add +" box. You should re	ibed in the instructions. Us port relationships that we	se one line fo	ve financial relationships (regardless of amount or each entity; add as many lines as you need by ouring the 36 months prior to publication.
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If yes, please fill out the appropriate info	ormation below.		
Name of Entity	Grant'	n-Financial upport <mark>?</mark>	Other? Comments
Gilead Sciences			Received research fund through "Sponsored Research Agreement"
GM Sciences, Inc.			Received research fund through "Sponsored Research Agreement"

Zhang 2



Section 4. Intellectual Property Patents & Copyrights
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Zhang 3