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2 **HElmet NonInvasive Ventilation versus high-flow Oxygen Therapy in**
3 **acute hypoxemic respiratory failure**

4 **A pilot, open-label, multicentre randomized trial**

5 **The HENIVOTpilot study**

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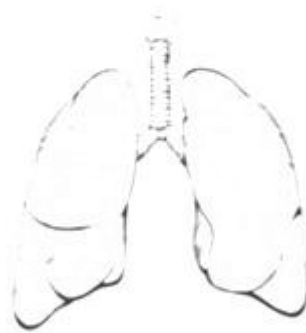
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20 **HELMET NIV VS. HIGH-FLOW OXYGEN**
IN ACUTE RESPIRATORY FAILURE

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22 <https://clinicaltrials.gov/ct2/show/NCT04502576>

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HELMET NIV VS. HIGH-FLOW OXYGEN
IN ACUTE RESPIRATORY FAILURE



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49 **Background**

50 Non-invasive positive pressure ventilation (NIV) has been convincingly shown to be safe
51 and effective as first line treatment in patients with acute hypercapnic respiratory failure
52 and acute cardiogenic pulmonary oedema [1–4]. Despite some data suggest NIV may
53 also avoid intubation in heterogeneous categories of patients with acute hypoxemic
54 respiratory failure (AHRF)[5–11], its safety and efficacy in such a context is still
55 debated, given the high failure rate and the possible detrimental effect on the clinical
56 outcome [12–16].

57 Nasal high flow oxygen (NHF) is a new and promising tool for oxygen therapy in
58 critically ill patients: NHF allows accurate delivery of the set FiO_2 , anatomical dead
59 space clearance due to a washout effect in the upper airways and provides a small,
60 variable amount of positive end end-expiratory pressure[12,17–21]. Different studies
61 have investigated its safety and efficacy in several clinical settings[20,22,23] and a
62 multicentre randomized controlled trial showed that NHF, as compared to NIV sessions
63 delivered via a face mask, may reduce the intubation rate and improve clinical outcome
64 in severely hypoxemic patients with *de novo* AHRF[24].

65 As patients' comfort is crucial for NIV success, over the last years a great effort has been
66 made to optimize NIV tolerability. Different interfaces are available for non-invasive
67 ventilation[25]: in spite of face masks being more commonly used, helmet has been
68 shown to improve patients' comfort, allowing patients' interaction, speech, feeding and
69 not limiting cough. Nonetheless, skin necrosis, gastric distension, or eye irritation are
70 seldom observed during helmet NIV, while may be consequences of long-term
71 treatments with face masks[26,27].

72 Moreover, differently from face masks, helmets permit longer-term treatments and allow
73 the setting of higher levels of PEEP without causing air leaks; this aspect may be crucial

74 when treating severely hypoxemic patients with acute respiratory failure and the acute
75 respiratory distress syndrome (ARDS)[28]. Interestingly, higher PEEP during fully
76 controlled mechanical ventilation in the early phase of the disease improves mortality in
77 ARDS patients and raising evidence indicates that it may exert beneficial effects also if
78 spontaneous breathing is maintained [28–30]. In this sense, a recent randomized
79 controlled trial comparing continuous NIV delivered with helmet or face-mask in patients
80 with ARDS showed a lower intubation rate and a lower 90-day mortality in patients in
81 the helmet group who, accordingly, underwent treatments with higher PEEP and lower
82 FiO_2 [31].

83 We previously showed that helmet NIV may provide physiological benefits over NHF
84 [32], but whether first-line treatment with helmet NIV as compared to NHF may improve
85 clinical outcome in acute hypoxemic respiratory failure remains uncertain.

86 The uncertainty about the initial management of acute hypoxemic respiratory failure
87 has been emphasized by the recent COVID-19 pandemic. SARS-COV-2 is a novel
88 coronavirus with an outbreak of unusual viral pneumonia in Wuhan, China, and that
89 progressively became pandemic. In some patients (5-15%), it can cause acute hypoxemic
90 respiratory failure and Acute Respiratory Distress Syndrome (ARDS) needing ventilatory
91 support and admission to the intensive care unit (ICU).

92 We designed an open-label, multicentre randomized trial to assess first-line treatment
93 with helmet NIV as compared to NHF may increase the amount of 28-day ventilatory
94 support-free days of patients admitted to the intensive care unit due to acute hypoxemic
95 respiratory failure.

96

97

98 **Methods**

99 *Design*

100 Open-label multicentre randomized trial.

101 *Objectives*

102 To demonstrate a clinical benefit by the early application of Helmet NIV in patients with
103 acute hypoxemic respiratory failure, as compared to NHF.

104 *Setting*

105 This is a multi-centre study. Eligible patients will be screened in the ICUs at the
106 “Fondazione Policlinico Universitario A. Gemelli IRCCS” (Rome, Italy), and the
107 “Policlinico SS. Annunziata” (Chieti, Italy). Further centres in Italy will be eventually
108 involved according to availability of resources, materials and investigators, that are
109 limited for ICU personnel due to the COVID-19 pandemic. All recruiting centres will be
110 run by personnel with experience in the use of non-invasive ventilation.

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114 ***Patients***

115 All consecutive adult patients suffering from *de novo* acute hypoxemic respiratory failure
116 will be assessed for the enrolment.

117 Acute hypoxemic respiratory failure will be defined as:

- 118 - Symptoms onset within 14 days before the assessment for enrolment in the study;
- 119 - Oxygenation impairment ($SpO_2 < 90\%$ in ambient air, or requirement for oxygen
120 supplementation according to the decision of the attending physician).

121 Eligibility inclusion criteria will be assessed within the first 72 hours from ICU
122 admission and preferentially while patients receive standard oxygen therapy
123 (VenturiMask). In case this is not available, patients will be evaluated during oxyged
124 while are receiving oxygen therapy through a non-rebreather reservoir bag mask, with
125 FiO_2 estimated as $0.21 + \text{oxygen flow rate in L/min} \times 3$ [33].

126 Patients will be considered eligible, whether all the following inclusion criteria are met:

- 127 1. PaO_2/FiO_2 ratio ≤ 200 ;
- 128 2. $PaCO_2 \leq 45$ mmHg;
- 129 3. Absence of history of chronic respiratory failure or moderate to severe cardiac
130 insufficiency (NYHA>2 or left ventricular ejection fraction<50%);
- 131 4. The informed consent form needs to be signed and dated by the patient or a
132 relative/legal guardian before of any procedure related to the study; if the patient is
133 initially unable to sign the informed consent form, but later regains the ability to sign it, a
134 new informed consent form will be given to the patient and must be signed and dated.

135 Exclusion criteria:

- 136 • Pregnancy;
- 137 • Body mass index>40;
- 138 • Exacerbation of asthma or chronic obstructive pulmonary disease;

- 139 • Known hypercapnia ($\text{PaCO}_2 > 45$ mmHg) with or without respiratory acidosis;
- 140 • More than 2 organ failures, including the lung.
- 141 • Documented pneumothorax;
- 142 • Clinical diagnosis of Cardiogenic pulmonary oedema;
- 143 • Haemodynamic instability (Systolic blood pressure < 90 mmHg or mean arterial
- 144 pressure < 65 mmHg) and/or lactic acidosis (lactate > 5 mmol/L) and/or clinically
- 145 diagnosed Shock requiring administration of vasoactive agents (norepinephrine > 0.1
- 146 mcg/Kg/min);
- 147 • Metabolic Acidosis (pH < 7.30 with normal- or hypo-carbia);
- 148 • Chronic kidney failure requiring dialysis before ICU admission;
- 149 • Chronic hypoxemic respiratory failure requiring long-term oxygen therapy;
- 150 • Altered neurological status that requires immediate intubation and/or making the
- 151 patient uncooperative;
- 152 • Urgent need for endotracheal intubation, according to the decision of the attending
- 153 physician;
- 154 • Do not intubate order;
- 155 • Decision of withdrawal of life-sustaining therapy;
- 156 • Thoracic or abdominal surgery in the previous 7 days;
- 157 • Any condition that makes the patient very likely to require endotracheal intubation
- 158 due to a reason different from respiratory failure;
- 159 • Recent head surgery or anatomy that prevent the application of helmet or Optiflow to
- 160 patient's face.

161 Patients that have already received NIV continuously for more than 12 hours before the

162 screening visit will be excluded.

163

164 ***Protocol***

165

166 *Assessment of the oxygenation criteria*

167 Nonhypercapnic patients with a $\text{PaO}_2/\text{FiO}_2 \leq 200$ mmHg will be enrolled.

168 In the absence of exclusion criteria, and if all others inclusion criteria are met, patients
169 showing $\text{PaO}_2/\text{FiO}_2 \leq 300$ and >200 mmHg will be treated according to the clinical
170 practice of each institution and eventually reassessed for the presence of oxygenation
171 criterion up to 72 hours from ICU admission.

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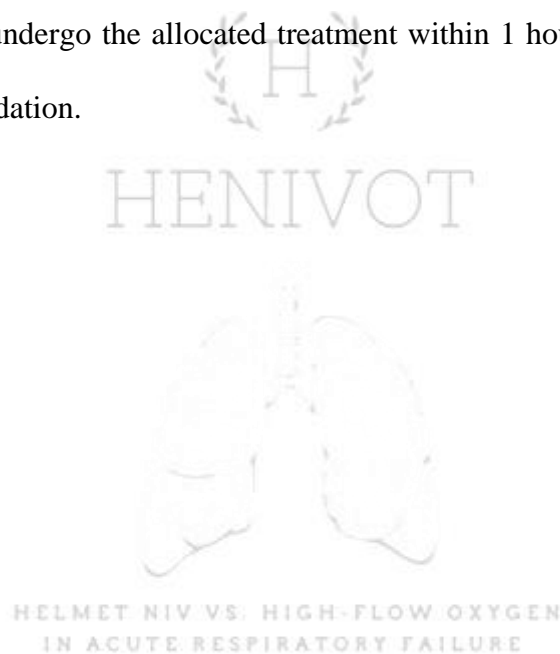
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Randomization

Enrolled patients will be randomized in a 1:1 ratio to receive helmet PSV or NHF as first-line treatment for AHRF. A computer-generated permuted block randomization scheme with varying block sizes ranging from 3 to 9 managed by a centralized web-based system will be used to allocate patients to each group.

Randomization will be stratified according to the presence of SARS-CoV-2 infection (diagnosed with a positive polymerase chain reaction (PCR) testing of the nasopharyngeal or tracheal sample)

Patients will have to undergo the allocated treatment within 1 hour from the moment of enrolment criteria validation.



187 *Study treatments*

188 In both arms, the treatment according to the assigned protocol will be continued until the
189 patient requires endotracheal intubation or (in case of no intubation) up to ICU discharge.
190 Patients will have to undergo the allocated treatment within 1 hour from the moment of
191 oxygen criteria validation.

192

193 High-flow oxygen therapy

194 Nasal high flow oxygen therapy will be delivered with the Optiflow system.

195 Initial set flow will be ≥ 50 /min, and flows will be decreased in case of intolerance
196 and/or according to patients' requirements: flows ≥ 30 L/min will be mandatory in all
197 enrolled patients. Humidification chamber (MR860, Fisher and Paykel healthcare, New
198 Zealand) will be set at 37 °C or 34 °C according to patient's comfort[34]. FiO₂ will be
199 titrated to obtain an SpO₂ $\geq 92\%$ and $\leq 98\%$.

200 Weaning the patient from NHF will be considered only after 48 hours from enrolment.

201 Weaning from NHF within the first 2 days of the study will be allowed only whether the
202 patient is considered for ICU discharge, according to the decision of the attending
203 physician.

204 All enrolled patients will be discharged from the ICU while undergoing low-flow
205 oxygen, according to the prescription of the attending physician and the clinical practice
206 of each participating institution (VenturiMask, nasal prongs, non-rebreathing oxygen
207 mask). As suggested by Maggiore (NCT02107183), weaning from NHF will be allowed
208 when FiO₂ $< 40\%$ and respiratory rate < 25 /min. Oxygen flow will be lowered to 10 L/min,
209 keeping FiO₂ unchanged. Weaning from NHF will be considered successful if the SpO₂
210 remains between 92% and 98% and the respiratory rate ≤ 25 /min with an oxygen flow of

211 10 L/min. In this case, the NHF device will be replaced by the low-flow oxygen and
212 oxygen flow or FiO_2 will be set to obtain the same SpO_2 target.
213 NHF treatment can be resumed any time if the patient is experiencing respiratory distress
214 and hypoxemia, according to the prescription of the attending physician.
215
216



217 Helmet PSV

218 Patients in PSV group will receive continuous helmet pressure support ventilation for at
219 least 16 hours/day the first 2 calendar days. Continuous NIV without interruptions will be
220 strongly encouraged in the first 24 hours of treatment. When NIV is interrupted, patients
221 will receive low flow oxygen therapy or nasal high flow oxygen therapy, according to
222 physician's decision. Dedicated helmets for NIV (Dimar, Italia, or Intersurgical, UK) and
223 size will be chosen according to neck circumference, as suggested by Antonelli et al.
224 [26], or according to manufacturer recommendations, if present (Appendix, table 1).

225 Each patient will be connected to an ICU compressed gas based ventilator through a
226 bitube circuit with no humidification

227 The ventilator will be set in PSV (the choice to use NIV modes will be left to the
228 decision of the physician in charge of the patient), with the following suggested settings
229 [35–39]:

- 230 1. initial pressure support $\geq 8-10$ cmH₂O and adequate to permit of a peak in the
231 inspiratory flow of 100 l/min;
- 232 2. positive end-expiratory pressure ≥ 10 cmH₂O and increased to achieve the
233 oxygenation target according to the choice of the attending physician.
- 234 3. FiO₂ will be titrated to obtain an SpO₂ $\geq 92\%$ and $\leq 98\%$.
- 235 4. Inspiratory flow trigger = 1 l/min or according to the practice of each institution;
- 236 5. fastest pressurization time;
- 237 6. expiratory trigger: 10-50% of the maximum inspiratory flow;
- 238 7. maximum inspiratory time 1.2 second.

239 The use of earplugs to mitigate noise-related discomfort will be allowed according to the
240 decision of the attending physician and will be encouraged especially overnight.

241 Any modification in the ventilator settings and in the interface set-up to optimize comfort
242 and patient-ventilator interaction will be allowed at the discretion of the attending
243 physicians. However, maintenance of PEEP ≥ 10 during the treatment is mandatory.

244 Weaning from PSV will be discouraged within the first 48 hours from enrolment.
245 Weaning from PSV at any time will be attempted only whether $FiO_2 \leq 40\%$, respiratory
246 rate ≤ 25 : to assess the readiness for interrupting PSV, PEEP will be lowered to 8
247 cmH_2O with pressure support = 8 cmH_2O , keeping FiO_2 unchanged. If the patient
248 maintains $SpO_2 \geq 92\%$ and respiratory rate ≤ 25 during the following 30 minutes with these
249 settings, PSV weaning will be considered successful. After weaning from PSV and
250 between two NIV sessions, patients will undergo low-flow, VenturiMask or NHF,
251 according to the choice of the attending physician: oxygen flow or FiO_2 will be set to
252 obtain the same SpO_2 target.

253 PSV will be resumed at any time if the respiratory rate is more than 25 breaths per
254 minute and SpO_2 is less than 92% with and/or anytime deemed necessary by the
255 attending physician.

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258

259 *Standard Management*

260 In both arms, standard care (diagnostic tests, antibiotics administration, fluid therapy,
261 haemodynamic management) will be applied according to the clinical practice of each
262 institution.

263 Mild sedation will be allowed during the treatment in both groups to achieve a RASS=0
264 and according to the physician's preference, but the contemporary use of sedative and
265 analgesic drugs will be not allowed [40].

266 In NHF group, a NIV trial with face mask before endotracheal intubation will be only
267 allowed in case of hypercapnia and respiratory acidosis (i.e. $\text{PaCO}_2 > 45$ mmHg with
268 $\text{pH} < 7.35$). Similarly, in helmet group, a NIV trial with oro-nasal or full face mask will be
269 permitted in case of hypercapnia with acidosis (i.e. $\text{PaCO}_2 > 45$ mmHg with $\text{pH} < 7.35$).

270 Allocated treatment will be resumed as soon as hypercapnia is deemed resolved by the
271 attending physician.

272

273 *Hemodynamic management*

274 Fluid overload will be discouraged. If appropriate fluid challenge fails to restore adequate
275 blood pressure and organ perfusion and if the patient is deemed not to be fluid-
276 responsive, therapy with vasopressor agents will be started.

277 Severe haemodynamic instability, cardiac arrest, pneumothorax or any other adverse
278 event possibly related or worsened by the assigned treatments will be recorded in the
279 CRF.

280 Norepinephrine will be the first-choice vasopressor agent to correct hypotension in septic
281 shock.

282 Vasopressin use may be considered in patients with refractory shock despite adequate
283 fluid resuscitation and high-dose conventional vasopressors.

301 ***Treatment failure***

302 In the entire cohort, the final decision to intubate the patient will be left to the attending
303 physician.

304 However, in order to avoid any delay in intubation, and to standardize the treatment in
305 both groups, coherently with previous studies with similar design, the decision to
306 intubated will be based on predefined criteria [5,24,31].

307 Patients will be intubated in case of persisting or worsening respiratory failure, defined
308 by at least two of the following:

- 309 1. worsening or unchanged unbearable dyspnea
- 310 2. lack of improvement in oxygenation
- 311 3. lack of improvement of signs of respiratory-muscle fatigue
- 312 4. development of unmanageable tracheal secretions
- 313 5. respiratory acidosis with a pH below 7.30 despite NIV with face mask
- 314 6. SpO₂ below 90% for more than 5 min without technical dysfunction
- 315 7. intolerance to the used device

316 Patients will also be intubated in case of hemodynamic instability (SBP below 90 mmHg,
317 MBP below 65 mmHg and/or requirement for high-dosage vasopressors and/or
318 hyperlactatemia) or deterioration of neurologic status with a Glasgow coma scale below
319 12 points.

320 Since the final decision on intubation will be left to the physician in charge that cannot be
321 blinded to the study group, an Adjudication Committee will verify whether the decision
322 to intubate was unbiased and in compliance with the required criteria, as already
323 suggested by Maggiore et al. (NCT02107183). Three physicians, with expertise in the
324 field, not directly involved in the study and blinded to patients' allocation, will review *a*

325 *posteriori* the records of all intubated patients and verify the presence of intubation
326 criteria.

327 After intubation, patients will be managed according to the clinical practice of each
328 institution: however, tidal volume exceeding 8 ml/Kg IBW will be avoided in all patients
329 as a standard of care and tidal volume of 6 ml/kg IBW will be strongly encouraged in
330 ARDS patient during the acute phase of the disease. Forty-eight hours of paralysis and
331 prone position are suggested in ARDS patients with $\text{PaO}_2/\text{FiO}_2 < 150$ mmHg after
332 intubation and/or anytime during the ICU stay.

333 A daily assessment for readiness for undergoing a spontaneous breathing trial will be
334 done (Appendix).

335 Patients in both groups will receive NHF after extubation[23]. Pre-emptive NIV (any
336 interface allowed) after extubation will be allowed in prolonged to wean patients (i.e.
337 more than 3 SBT failure or more than 7 days from the first SBT to being
338 extubated)[16,41,42]. In case of respiratory failure during oxygen therapy via high flow
339 nasal cannula after extubation, a rescue NIV (any interface allowed) trial will be allowed
340 before reintubation in all enrolled patients at the discretion of the attending physician.

341 Decision to perform tracheostomy to enhance the weaning process will be left to the
342 attending physicians.

343

344

345 ***Measurements***

346 Patient's demographics will be collected at study entry:

- 347 • initials, age, sex, height, weight, BMI;
- 348 • cause of hospital and ICU admission;
- 349 • SAPS II;
- 350 • SOFA score;
- 351 • timing of respiratory symptoms onset;
- 352 • date and time of hospital admission;
- 353 • Time of stay in medical or surgical ward before randomization;
- 354 • Time of ICU stay before randomization;
- 355 • Date and time of enrolment;
- 356 • Main comorbidities;
- 357 • NYHA category before respiratory failure;
- 358 • Body temperature;
- 359 • Presence of bilateral or monolateral infiltrates at the chest x-ray (jpeg images) or
360 chest CT scan (whether available);
- 361 • Baseline clinical parameters: arterial pressure, heart rate, respiratory rate, SpO₂
362 and blood gases (PaO₂, PaCO₂, pH and PaO₂/FiO₂, serum lactate),;
- 363 • IL-6, C Reactive Protein, ferritin and D-Dimer will be measured according to the
364 practice of each institution;
- 365 • Time from oxygen criteria validation and beginning of assigned treatment.

366

367 Following data will be recorded 1-6-12-24-48 hours from randomization and then on a
368 daily basis (72-96-120...etc.) up to 28 days or ICU discharge.

- 369
- Ventilatory management
- 370
- ✓ **NHF group:** NHF settings (flows, humidification chamber temperature,
- 371
- FiO₂) or VenturiMask settings (FiO₂)
- 372
- ✓ **Helmet PSV group:** NIV settings (pressure support, FiO₂, PEEP, cycling off
- 373
- criteria, maximum inspiratory time, peak inspiratory flow) or, if, receiving
- 374
- low-flow oxygen, oxygen therapy settings (device, flow, flow-FiO₂).
- 375
- ✓ **Intubated patients, after treatment failure:** type of ventilation, FiO₂, tidal
- 376
- volume, mean airway pressure, PEEP, Pplat if available, minute ventilation,
- 377
- respiratory rate, proportion of spontaneous ventilation on minute ventilation
- 378
- Respiratory rate, SpO₂, pH, PCO₂, PaO₂, SaO₂, PaO₂/FiO₂;
- 379
- Heart Rate, arterial blood pressure;
- 380
- If patient is not intubated, dyspnoea, as defined by a visual analogic scale
- 381
- (Appendix, Figure 1) (VAS), discomfort related to the device, as defined by a visual
- 382
- analogic scale (VAS) adapted to rate the procedural pain of ICU patients (Appendix,
- 383
- Figure 2)[43];
- 384
- Richmond agitation and sedation scale (RASS) (Appendix, Figure 3) and sedative
- 385
- drugs administered, if any;
- 386
- IL-6, C Reactive Protein, ferritin and D-Dimer will be measured (only on a daily basis).
- 387
- Simplified organ failure assessment score (SOFA) (Appendix, Table 1), modified clinical
- 388
- pulmonary infection score (CPIS) (Appendix, Table 2) will be calculated daily.
- 389
- The hours spent by the patient on NIV, NHF, low-flow oxygen or invasive mechanical
- 390
- ventilation will be also recorded daily.
- 391
- The total hours during the ICU stay spent by the patient in the prone positioning will be
- 392
- recorded.

393 At ICU discharge, in-ICU mortality will be recorded. Tracheostomized patients
394 discharged while receiving mechanical ventilation will be recorded as well.

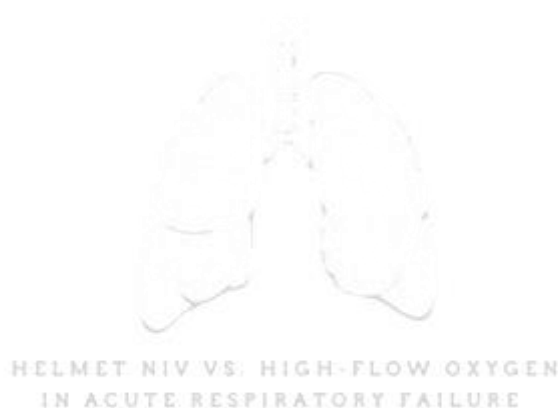
395 At hospital discharge, 90-day and in-hospital mortality and the days without invasive
396 ventilation on a 28,60 and 90-day basis will be recorded.

397 The daily cumulative doses of vasopressors (norepinephrine, adrenaline, dobutamine),
398 sedative and analgesic agents (sufentanil, propofol, midazolam, dexmedetomidine), the
399 total amount of administered crystalloids and colloids, the use of diuretics, diuresis and
400 net fluid balances will be calculated for each patient and recorded.

401 To assess quality-adjusted life year (QUALY), patients discharged alive from the
402 hospital will be contacted 6 and 12 months from hospital discharge and will ask to
403 undergo a telephone interview to answer the Short-form 36 (SF-36) questionnaire.

404

405



406 **Outcome measures**

407

408 *Primary endpoint*

409 The primary outcome will be the number of ventilatory support-free days at day 28 day
410 from enrolment. Ventilatory support will include: invasive positive pressure ventilation
411 (through endotracheal tube or tracheostomy), noninvasive ventilation and NHF.

412

413 *Secondary endpoints*

414 Main secondary endpoints will be:

- 415 1. the proportion of patients who require endotracheal intubation within 28 days
416 from study enrolment
- 417 2. 28-day, 60-day mortality, 90-day mortality
- 418 3. 'In-hospital' mortality
- 419 4. Days of ICU stay after randomization
- 420 5. Days of hospital stay after randomization
- 421 6. 6- and 12-month quality of life

422 The main safety endpoints will be:

- 423 1. In patients meeting the primary endpoint, time (hours) from randomization to
424 intubation.
- 425 2. Among patients meeting the primary endpoint, proportion of patients requiring
426 'emergency intubation', defined according to the judgement of the attending physician.
- 427 3. Among patients meeting the primary endpoint, the cause of non-invasive treatment
428 failure (as defined by the intubation criteria).

429 Other explored endpoints will be:

- 430 • PaO₂/FiO₂ ratio at 1-6-12-24-48-72 hours after randomization

- 431 • PaCO₂ 1-6-12-24-48-72 hours after randomization
- 432 • Respiratory rate 1-6-12-24-48-72 hours after randomization
- 433 • Discomfort related to the device 1-6-12-24-48-72 hours after randomization
- 434 • Dyspnoea 1-6-12-24-48-72 hours after randomization at the different timepoints.
- 435 • Daily measured SOFA
- 436 • Rate of hospital acquired pneumonia
- 437 • Catecholamine-free days/days of ICU stay

438

439

440

441

442



443 **Power analysis**

444 Systematic data about the total duration of respiratory support in patients affected by
445 hypoxemic respiratory failure with PaO₂/FiO₂ lower than 200 mmHg and treated solely
446 with high-flow nasal oxygen are lacking. Data from a single-center exploratory report
447 indicate that the mean 28-day respiratory support-free days of patients receiving first line
448 treatment with high-flow nasal oxygen is 11.6±5 days [24]. We hypothesized that this
449 parameter would be 25% higher in patients receiving helmet noninvasive ventilation
450 (14.5 days). Assuming a normal distribution of the primary endpoint, we calculated that
451 the enrolment of 50 patients per group would provide 80% power to detect a 25%-
452 increase in the number of ventilatory-support free days on a 28-day basis in the helmet
453 group, with an alpha level of 0.05. The attrition rate was expected to be smaller than 10%
454 and likely due to protocol violations, absence of objective criteria to define the need for
455 endotracheal intubation, cross-over and drop-outs. We planned to enrol a total of 110
456 patients.

457

458

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460



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461 **Statistical analysis**

462 Results will be expressed as mean \pm SD or median [interquartile range] or number of
463 events [%]. All data collected will be tabulated descriptively by study group and analysed
464 on an intention-to-treat basis. In addition, a *per protocol* analysis will be conducted on
465 the patients who successfully underwent the allocated treatments for the time defined by
466 the study protocol.

467 Comparisons between groups regarding qualitative variables will be performed with the
468 Chi-Squared test or the Fisher's exact test, as appropriate. Ordinal qualitative variables or
469 non-normal quantitative variables will be compared Mann-Whitney test. Quantitative
470 normal variables will be compared with the Anova test. Kaplan-Meier curves will be
471 displayed for significant results concerning intubation rate and mortality.

472 Inter-group differences in quantitative variables distribution at different timepoints will
473 be assessed with ANOVA for repeated measures.

474 Prespecified subgroup analyses according to the following characteristics will be
475 performed:

- 476 • Patients with AHRF due to COVID-19
- 477 • Patients with bilateral pulmonary infiltrates at study inclusion
- 478 • History or no history of cardiac failure
- 479 • Patients older than 65 years.
- 480 • Patients with $\text{PaCO}_2 \geq 40$ at study inclusion
- 481 • Respiratory rate ≥ 35 at study inclusion (measure at the moment of the oxygenation
482 criteria validation while receiving 50 L/min of 50% oxygen via a face mask).
- 483 • $\text{P/F} \leq 120$ at study inclusion.
- 484 • Immunocompromised patients.

485 All analyses will be performed applying a bilateral hypothesis.

486

Appendix

487 Table 1. Helmet size according to neck circumference.

Neck circumference (cm)	Helmet Size
17-22.7	Extra small
27-34	Small
34-40	Medium
40-47	Large
> 45	Extra large

488

489



490 **Criteria for undergoing a spontaneous breathing trial**

491 During invasive mechanical ventilation, spontaneous breathing trial will be initiated as the
492 following criteria are met:

- 493 a) improvement or resolution of the underlying cause of acute respiratory failure,
- 494 b) normal sensorium (alertness and ability to communicate),
- 495 c) correction of arterial hypoxemia ($\text{PaO}_2 \geq 60$ mmHg at a $\text{FiO}_2 \leq 40\%$ with $\text{PEEP} \leq 5$ cmH₂O);
- 496 d) absence of fever (≥ 38 °C) or sepsis;
- 497 e) blood hemoglobin concentration of 7 g/dL or more;
- 498 f) hemodynamic stability without cardiac ischemia or arrhythmias (norepinephrine < 0.1
499 gamma/kg/min).

500 Success of the spontaneous breathing trial will be defined as presence of the following criteria: 1)
501 respiratory rate < 35/min, 2) arterial oxygen saturation $\geq 90\%$, 3) heart rate < 120/min, 4) systolic
502 blood pressure > 90 and < 160 mmHg, 5) adequate cough. If the spontaneous breathing trial is
503 successful, the patient will be extubated.

504 In case of SBT failure, mechanical ventilation will be resumed and new spontaneous breathing
505 trials will be performed on a daily basis. The use of pressure support ventilation and proportional
506 assist ventilation, as compared to assist-control modes, will be encouraged during the weaning
507 phase.

508



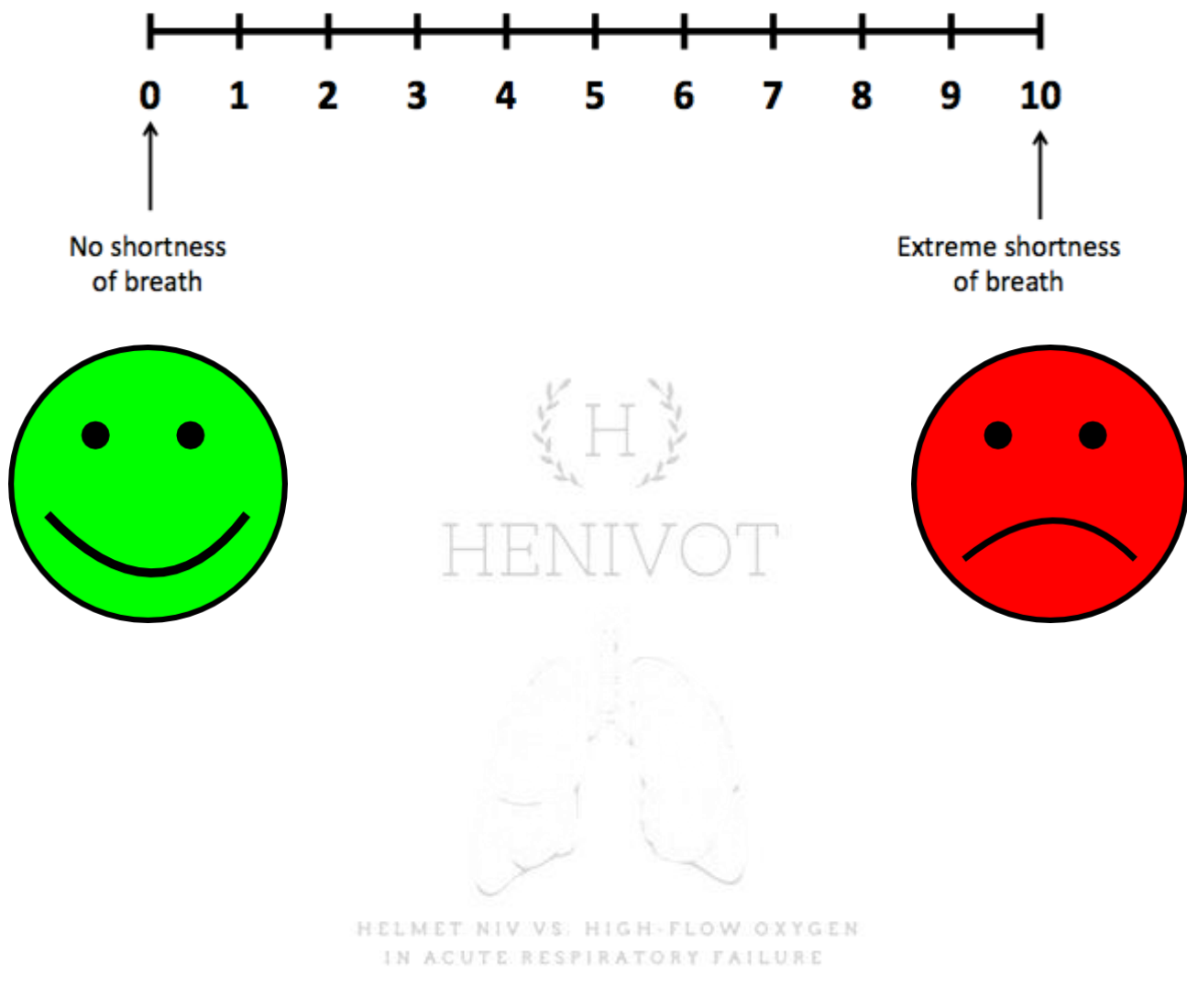
HELMET NIV VS. HIGH-FLOW OXYGEN
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509 **Figure 1.** VAS scale for dyspnea

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511

512



526 **Figure 3.** Richmond agitation and sedation scale

RASS score			CAM-ICU
Richmond Agitation & Sedation Scale			
Score	Description		
+4	Combative	Violent, immediate danger to staff	RASS \geq -2 Proceed to CAM-ICU assessment
+3	Very agitated	Pulls at or removes tubes, aggressive	
+2	Agitated	Frequent non-purposeful movements, fights ventilator	
+1	Restless	Anxious, apprehensive but movements not aggressive or vigorous	
0	Alert & calm		
-1	Drowsy	Not fully alert, sustained awakening to voice (eye opening & contact >10 secs)	RASS < -2 STOP Recheck later
-2	Light sedation	Briefly awakens to voice (eye opening & contact < 10 secs)	
-3	Moderate sedation	Movement or eye-opening to voice (no eye contact)	
-4	Deep sedation	No response to voice, but movement or eye opening to physical stimulation	
-5	Un-rousable	No response to voice or physical stimulation	

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529 Table 1. Sequential Organ Failure Assessment (SOFA) score
 530

SOFA score	0	1	2	3	4
Respiration PaO ₂ /FIO ₂ (mmHg)	>400	<400	<300	<200	<100
Coagulation Platelets 10 ³ /mm ³	>150	<150	<100	<50	<20
Liver Bilirubin (mg/dL)	<1.2	1.2– 1.9	2.0–5.9	6.0–11.9	>12.0
Cardiovascular^b Hypotension	No hypotension	MAP <70	Dopamine ≤5 or dobutamine (any)	Dopamine >5 or norepineph rine≤0.1	Dopamine>15 or norepinephrine>0.1
CNS Glasgow Coma Score	15	13– 14	10–12	6–9	<6
Renal Creatinine (mg/dL) or urine output (mL/d)	<1.2	1.2– 1.9	2.0–3.4	3.5–4.9 or <500	>5.0 or <200

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534 Table 2. The modified clinical pulmonary infection score
 535

CPIS Points	0	1	2
<i>Tracheal secretions</i>	Rare	Abundant	Abundant + purulent
<i>Chest X-ray infiltrates</i>	No infiltrate	Diffused	Localized
<i>Temperature, °C</i>	≥ 36.5 and ≤ 38.4	≥ 38.5 and ≤ 38.9	≥ 39 or ≤ 36
<i>Leukocytes count, per mm³</i>	≥ 4,000 and ≤ 11,000	< 4,000 or > 11,000	< 4,000 or > 11,000 + band forms ≥ 500
<i>PAO₂/FIO₂, mm Hg</i>	> 240 or ARDS		≤ 240 and no evidence of ARDS
<i>Microbiology</i>	Negative		Positive

536
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