

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

Identifying information.

2. The work under consideration for publication.

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3. Relevant financial activities outside the submitted work.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

Relationships not covered above.

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Other: Anything not covered under the previous three boxes

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Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Bennett 1



Section 1. Identifying Inform			
Identifying Infor	mation		
Given Name (First Name) Hamilton	2. Surname (Last Name) Bennett		3. Date 12-February-2021
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Autho	or's Name
5. Manuscript Title Serum Neutralizing Activity Elicited by	v mRNA-1273 Vaccine – Prel	liminary Report	
6. Manuscript Identifying Number (if you I 21-02179	know it)	_	
Section 2. The Work Under (Consideration for Public	cation	
any aspect of the submitted work (includir statistical analysis, etc.)? Are there any relevant conflicts of inte	ng but not limited to grants, da rest?	ata monitoring board, st	ent, commercial, private foundation, etc.) for udy design, manuscript preparation, ity press the "ADD" button to add a row.
Name of Institution/Company	Grant? Personal No	n-Financial Other?	Comments
Biomedical Advanced Research and Development Authority			development of mRNA1273 was partially funded by BARDA under contract 75A50120C00034
Section 3. Relevant financia	l activities outside the s	submitted work.	
	ribed in the instructions. Useport relationships that we rest?	se one line for each er	cial relationships (regardless of amount ntity; add as many lines as you need by a 36 months prior to publication .
,,,			
Name of Entity	Grant	n-Financial Other?	Comments
Moderna			H Bennett is employed by Moderna

Bennett 2



Section 4. Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Volume No
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No other relationships/conditions/circumstances that present a potential conflict of interest
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Ms. Bennett reports other from Biomedical Advanced Research and Development Authority, during the conduct of the study; other from Moderna, outside the submitted work.

Evaluation and Feedback

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Bennett 3



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ROYALTIES: Funds are coming in to you or your institution due to your patent

Boyoglu-Barnum 1



Section 1.	Identifying Inform	nation			
1. Given Name (Fii Seyhan	rst Name)	2. Surname (Last Name) Boyoglu-Barnum		3. Date 12-February-2021	
4. Are you the cor	responding author?	Yes 🗸 No	Corresponding Author's Nar Darin Edwards	me	
5. Manuscript Title Serum Neutralizi		mRNA-1273 Vaccine – Pre	eliminary Report		
6. Manuscript Ider 21-02179	ntifying Number (if you kr	now it)			
Section 2.	The Work Under Co	onsideration for Publi	cation		
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No					
Section 3.	Relevant financial	activities outside the	submitted work		
of compensation clicking the "Add	the appropriate boxes i	in the table to indicate whibed in the instructions. Uport relationships that we	nether you have financial rela se one line for each entity; a	ationships (regardless of amount dd as many lines as you need by nonths prior to publication.	
Section 4.	Intellectual Prope	rty Patents & Copyri	ghts		
Do you have any	patents, whether plan	ned, pending or issued, b	roadly relevant to the work?	☐ Yes 🗸 No	

Boyoglu-Barnum 2



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Relationships not covered above
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Dr. Boyoglu-Barnum has nothing to disclose.

Evaluation and Feedback

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Boyoglu-Barnum 3



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Carfi 1



Section 1. Identifying Inform	ation		
identifying inform	alion		
Given Name (First Name) Andrea	2. Surname (Last Name) Carfi		3. Date 14-February-2021
4. Are you the corresponding author?	Yes ✓ No	Corresponding Autho	or's Name
5. Manuscript Title Serum Neutralizing Activity Elicited by r	mRNA-1273 Vaccine – Prel	iminary Report	
6. Manuscript Identifying Number (if you kn 21-02179	ow it)		
Section 2. The Work Under Co	onsideration for Public	cation	
Did you or your institution at any time recei any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere If yes, please fill out the appropriate info	but not limited to grants, da	ta monitoring board, st	udy design, manuscript preparation,
Excess rows can be removed by pressing	•	e more than one enti	ty piess the ADD button to add a low.
Name of Institution/Company	Grant	n-Financial Other?	Comments
Biomedical Advanced Research and Development Authority			development of mRNA1273 was partially funded by BARDA under contract 75A50120C00034
Section 3. Relevant financial	activities outside the s	submitted work.	
Place a check in the appropriate boxes in of compensation) with entities as descriclicking the "Add +" box. You should rep	bed in the instructions. Us	se one line for each er	ntity; add as many lines as you need by
Are there any relevant conflicts of intere	est? ✓ Yes No		
If yes, please fill out the appropriate info	ormation below.		
Name of Entity	Grant•	n-Financial other?	Comments
Moderna			Andrea Carfi is employed by Moderna

Carfi 2



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Choi 1



Costion 1						
Section 1.	Identifying Inform	nation				
1. Given Name (Fi Angela	rst Name)	2. Surname (l	_ast Name)			3. Date 10-February-2021
4. Are you the cor	responding author?	Yes	∕ No	Correspond Darin Edw	_	or's Name
5. Manuscript Title Serum Neutralizi	e ng Activity Elicited by	mRNA-1273 Va	accine – Pre	liminary Rep	ort	
6. Manuscript Idei 21-02179	ntifying Number (if you kr	now it)				
	l					
Section 2.	The Work Under C	onsideratior	ı for Publi	cation		
	ubmitted work (including					ent, commercial, private foundation, etc.) for udy design, manuscript preparation,
•	evant conflicts of inter		No			
	out the appropriate info be removed by pressin			ve more thar	one enti	ty press the "ADD" button to add a row.
Name of Institut		Grant? Pe	rsonal No	n-Financial Support	Other?	Comments
Biomedical Advanced Development Author					√	development of mRNA1273 was partially funded by BARDA under contract 75A50120C00034
Section 3.	Relevant financial	activities ou	tside the	submitted	work.	
of compensation) with entities as descr	ibed in the ins	tructions. U	se one line fo	or each er	rial relationships (regardless of amount nity; add as many lines as you need by a 26 months prior to publication.
•	evant conflicts of inter		No			
If yes, please fill o	out the appropriate info	ormation belov	N.			
Name of Entity		Grant? Pe	rsonal No	n-Financial	Other?	Comments
			ees?	Support?	Other	
Moderna						employment

Choi 2



Section 4. Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo
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Dr. Choi reports other from Biomedical Advanced Research and Development Authority, during the conduct of the study; other from Moderna, outside the submitted work.

Evaluation and Feedback

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Choi 3



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Colpitts 1



Section 1. Identifying Inform	ation		
Identifying Inform	ation		
Given Name (First Name) Tonya	2. Surname (Last Name) Colpitts		3. Date 15-February-2021
4. Are you the corresponding author?	Yes ✓ No	Corresponding Autho	or's Name
5. Manuscript Title Serum Neutralizing Activity Elicited by r	mRNA-1273 Vaccine – Prel	iminary Report	
6. Manuscript Identifying Number (if you kn 21-02179	ow it)	_	
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Excess rows can be removed by pressing	•	e more than one em	ty press the 1188 suttom to dud a 10 m.
Name of Institution/Company	Grant	n-Financial Other?	Comments
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Are there any relevant conflicts of intere	est? ✓ Yes No		
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Name of Entity	Grant•	n-Financial Other?	Comments
Moderna			T Colpitts is employed by Moderna

Colpitts 2



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This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Other: Anything not covered under the previous three boxes

Pending: The patent has been filed but not issued

Issued: The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Corbett 1



Section 1. Identifying				
Identifying	Information			
Given Name (First Name) Kizzmekia	2. Surname (Last Name) Corbett		3. Date 15-February-2021	
4. Are you the corresponding author	or? Yes ✓ No	Corresponding Author's Nam Darin Edwards	ne	
5. Manuscript Title Serum Neutralizing Activity Elici	ted by mRNA-1273 Vaccine – Prel	liminary Report		
6. Manuscript Identifying Number (21-02179	if you know it)			
Section 2. The Work Ur	nder Consideration for Public	cation		
any aspect of the submitted work (in statistical analysis, etc.)? Are there any relevant conflicts o	me receive payment or services from ncluding but not limited to grants, dans of interest?			c., for
Section 3. Relevant fin	ancial activities outside the s	submitted work.		
of compensation) with entities a	boxes in the table to indicate when some some some some some solutions. Use the contraction of interest? Yes V No	se one line for each entity; ac	dd as many lines as you need	d by
Section 4. Intellectual	Property Patents & Copyrig	ghts		
Do you have any patents, wheth	er planned, pending or issued, br iate information below. If you hav	oadly relevant to the work?	✓ Yes No s the "ADD" button to add a	row.
Patent?	Pending? Issued? Licensed?	Royalties? Licensee?	Comments	
Stabilizing mutations for CoV spike US App. No. 16/344,774 and EP App. No. 17800655.7)		licensed to many companies for various uses		

Corbett 2



Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments	
SARS-CoV-2 Vaccine (PCT/ US2021/017709)	✓		✓	✓	licensed to many companies for various uses		

Section 5.	
	Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

✓ No other relationships/conditions/circumstances that present a potential conflict of interest

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Corbett reports having a patent Stabilizing mutations for CoV spike (US App. No. 16/344,774 and EP App. No. 17800655.7) with royalties paid to licensed to many companies for various uses, and a patent SARS-CoV-2 Vaccine (PCT/US2021/017709) with royalties paid to licensed to many companies for various uses.

Evaluation and Feedback

Please visit http://www.icmje.org/cgi-bin/feedback to provide feedback on your experience with completing this form.

Corbett 3



Instructions

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Relevant financial activities outside the submitted work. 3.

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Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your

patent

Edwards 1



Section 1. Identifying Information	ation			
1. Given Name (First Name) Darin	2. Surname (Last Nar Edwards	ne)		3. Date 10-February-2021
4. Are you the corresponding author?	✓ Yes No			
5. Manuscript Title Serum Neutralizing Activity Elicited by m	nRNA-1273 Vaccine -	- Preliminary Rep	ort	
6. Manuscript Identifying Number (if you kno 21-02179	ow it)			
Section 2. The Work Under Co	nsideration for P	ublication		
Did you or your institution at any time received any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of interest of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of interest of the submitted work (including statistical analysis, etc.)?	but not limited to granst? Yes mation below. If yo	nts, data monitoring No	g board, sti	udy design, manuscript preparation,
Name of Institution/Company	Grant? Personal Fees?	Non-Financial Support?	Other?	Comments
Biomedical Advanced Research and Development Authority			✓	development of mRNA1273 was partially funded by BARDA under contract 75A50120C00034
Section 3. Relevant financial a	ctivities outside	the submitted	work.	
Place a check in the appropriate boxes in of compensation) with entities as described clicking the "Add +" box. You should reput Are there any relevant conflicts of interesting the second conflicts of interesti	oed in the instruction ort relationships that st? Yes	ns. Use one line fo	or each er	ntity; add as many lines as you need by
Name of Entity	Grant? Personal Fees?	Non-Financial Support?	Other?	Comments
Moderna			✓	Darin Edwards is employed by

Edwards 2



Section 4. Intellectual Property Patents & Copyrights						
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Volume						
Section 5. Relationships not covered above						
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?						
Yes, the following relationships/conditions/circumstances are present (explain below):						
No other relationships/conditions/circumstances that present a potential conflict of interest						
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Section 6. Disclosure Statement						
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.						
Dr. Edwards reports other from Biomedical Advanced Research and Development Authority, during the conduct of the study; other from Moderna, outside the submitted work.						

Evaluation and Feedback

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Edwards 3



Instructions

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Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Graham 1



Section 1. Identifying						
Identifying	Information					
Given Name (First Name) Barney	2. Surname (Last Name) Graham		. Date 3-February-2021			
4. Are you the corresponding author	or? Yes 🗸 No	Yes ✓ No Corresponding Author's Name Darin Edwards				
5. Manuscript Title Serum Neutralizing Activity Elic	ited by mRNA-1273 Vaccine – Prel	iminary Report				
6. Manuscript Identifying Number 21-02179	(if you know it)					
Section 2. The Work U	nder Consideration for Public	cation				
any aspect of the submitted work (i statistical analysis, etc.)? Are there any relevant conflicts	i me receive payment or services from ncluding but not limited to grants, da of interest? Yes ✓ No			c.) for		
Section 3. Relevant fin	ancial activities outside the s	submitted work.				
of compensation) with entities a	boxes in the table to indicate whose described in the instructions. Us ould report relationships that wer of interest?	se one line for each entity; add	d as many lines as you need	d by		
Section 4. Intellectual	Duanautic Datanta 9 Cancuis	ula de				
Do you have any patents, wheth	Property Patents & Copyrig ner planned, pending or issued, br iate information below. If you hav pressing the "X" button.	oadly relevant to the work?	✓ Yes No the "ADD" button to add a	row.		
Patent?	Pending? Issued? Licensed?	Royalties? Licensee?	Comments			
Stabilizing mutations for CoV spike US App. No. 16/344,774 and EP App. No. 17800655.7)	✓ ✓	Licensed to many companies for various uses				

Graham 2



Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments	
SARS-CoV-2 Vaccine (PCT/ US2021/017709)	✓		✓	\checkmark	licensed to many companies for various uses		

A 10 -	
Section 5.	Relationships not covered above

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Evaluation and Feedback

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Graham 3



Instructions

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Royalties: Funds are coming in to you or your institution due to your patent

Koch 1



Section 1. Identifying Inform	ation				
1. Given Name (First Name) Matthew	2. Surname (Last Name) Koch		3. Date 11-February-2021		
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Darin Edwards			
5. Manuscript Title Serum Neutralizing Activity Elicited by n	nRNA-1273 Vaccine – Pre	liminary Report			
6. Manuscript Identifying Number (if you known 21-02179	ow it)				
Section 2. The Work Under Co	onsideration for Publi	cation			
any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere lf yes, please fill out the appropriate info Excess rows can be removed by pressing	but not limited to grants, doest? Yes No	ata monitoring board, st			
Name of Institution/Company	Grant	n-Financial Other?	Comments		
Biomedical Advanced Research and Development Authority			development of mRNA1273 was partially funded by BARDA under contract 75A50120C00034		
Section 3. Relevant financial a	activities outside the	submitted work.			
Place a check in the appropriate boxes in of compensation) with entities as descril clicking the "Add +" box. You should rep Are there any relevant conflicts of intere If yes, please fill out the appropriate info	bed in the instructions. Uport relationships that we st? Yes No	se one line for each er	ntity; add as many lines as you need by		
Name of Entity	Grant	n-Financial Support?	Comments		
Moderna			Possess equity in form of stock in		

Koch 2



Evaluation and Feedback

 $Please\ visit\ \underline{http://www.icmje.org/cgi-bin/feedback}\ to\ provide\ feedback\ on\ your\ experience\ with\ completing\ this\ form.$

Koch 3



Instructions

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Royalties: Funds are coming in to you or your institution due to your patent

Moliva 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fi Juan Ignacio	rst Name)	2. Surname (Last Name) Moliva	3. Date 12-February-2021
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Darin Edwards
5. Manuscript Title Serum Neutraliz		mRNA-1273 Vaccine – Prel	iminary Report
6. Manuscript Ide	ntifying Number (if you kr	now it)	
			_
Section 2.	The Work Under C	onsideration for Public	cation
any aspect of the s statistical analysis,	submitted work (including	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Section 3.	Relevant financial	activities outside the s	submitted work.
of compensation clicking the "Add	n) with entities as descri	ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by se present during the 36 months prior to publication .
Section 4.	Intellectual Prope	rty Patents & Copyric	yhts
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? ☐ Yes ✓ No

Moliva 2



Section 5.				
Section 5.	Relationships not covered above			
	elationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?			
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):			
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest			
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Section 6.	Disclosure Statement			
Based on the abo	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box			
Dr. Moliva has n	othing to disclose.			

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Moliva 3



Instructions

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Narayanan 1



Section 1. Identifying Inform	ation				
1. Given Name (First Name) Elisabeth	2. Surname (Last Name) Narayanan		3. Date		
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Darin Edwards			
5. Manuscript Title Serum Neutralizing Activity Elicited by n	nRNA-1273 Vaccine – Prel	iminary Report			
6. Manuscript Identifying Number (if you kn 21-02179	ow it)	_			
Section 2. The Work Under Co	onsideration for Public	ation			
any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere lf yes, please fill out the appropriate info Excess rows can be removed by pressing	but not limited to grants, danst. St? Yes No Strmation below. If you have	ta monitoring board, st			
Name of Institution/Company	Grant	n-Financial other?	Comments		
Biomedical Advanced Research and Development Authority			development of mRNA1273 was partially funded by BARDA under contract 75A50120C00034		
Section 3. Relevant financial a	activities outside the s	ubmitted work.			
Place a check in the appropriate boxes in of compensation) with entities as describled clicking the "Add +" box. You should repart there any relevant conflicts of interesting the please fill out the appropriate info	bed in the instructions. Us port relationships that wer st?	se one line for each er	ntity; add as many lines as you need by		
	Personal Nor	n-Financial			
Name of Entity	Grant	upport? Other	Comments		
Moderna			Elisabeth Narayanan is employed by		

Narayanan 2



Section 4. Intellectual Property Patents & Copyrights						
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Volume No						
Section 5. Relationships not covered above						
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?						
Yes, the following relationships/conditions/circumstances are present (explain below):						
✓ No other relationships/conditions/circumstances that present a potential conflict of interest						
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.						
Section 6. Disclosure Statement						
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.						
Dr. Narayanan reports other from Biomedical Advanced Research and Development Authority, during the conduct of the study; other from Moderna, outside the submitted work.						

Evaluation and Feedback

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Narayanan 3



Instructions

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Royalties: Funds are coming in to you or your institution due to your patent

Seder 1



Section 1. Identifying Inform	nation		
Given Name (First Name) Robert	2. Surname (Last Name) Seder	3. Date 12-February-2021	
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Darin Edwards	
5. Manuscript Title Serum Neutralizing Activity Elicited by mRNA-1273 Vaccine – Preliminary Report			
6. Manuscript Identifying Number (if you kr 21-02179	now it)		
Section 2. The Work Under Consideration for Publication			
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No			
Section 3. Relevant financial	activities outside the s	ubmitted work.	
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication . Are there any relevant conflicts of interest? Yes Vo			
Section 4. Intellectual Proper	rty Patents & Copyrig	hts	
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo			

Seder 2



Section 5. Relationships not covered above
helationships not covered above
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Section 6. Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Seder has nothing to disclose.

Evaluation and Feedback

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Seder 3



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Shi 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Wei	2. Surname (Last Name) Shi	3. Date 14-February-2021
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Darin Edwards
5. Manuscript Title Serum Neutralizing Activity Elicited by	mRNA-1273 Vaccine – Prel	iminary Report
6. Manuscript Identifying Number (if you k 21-02179	now it)	
Section 2. The Work Under C	Consideration for Public	cation
	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ita monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	activities outside the	submitted work.
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Section 4. Intellectual Prope	rty Patents & Copyric	ghts
Do you have any patents, whether plan	nned, pending or issued, br	oadly relevant to the work? Yes V No

Shi 2



Section 5.	
R	elationships not covered above
	tionships or activities that readers could perceive to have influenced, or that give the appearance of ing, what you wrote in the submitted work?
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Section 6. D	isclosure Statement
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Dr. Shi has nothing	to disclose.

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Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Stewart-Jones 1



Section 1. Identifying Inform	ation		
1. Given Name (First Name) Guillaume	2. Surname (Last Name) Stewart-Jones		3. Date 14-February-2021
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author Darin Edwards	or's Name
5. Manuscript Title Serum Neutralizing Activity Elicited by n	nRNA-1273 Vaccine – Pre	liminary Report	
6. Manuscript Identifying Number (if you kn 21-02179	ow it)	_	
Section 2. The Work Under Co	onsideration for Publi	cation	
any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere	but not limited to grants, do st? Yes No rmation below. If you have	ata monitoring board, st	tent, commercial, private foundation, etc.) for tudy design, manuscript preparation, etc.) for tudy design, manuscript preparation, etc.) for tudy design, manuscript preparation,
Name of Institution/Company	Grant•	n-Financial Other	Comments
Biomedical Advanced Research and Development Authority			development of mRNA1273 was partially funded by BARDA under contract 75A50120C00034
Section 3. Relevant financial a	activities outside the	submitted work.	
Place a check in the appropriate boxes in of compensation) with entities as descril clicking the "Add +" box. You should rep Are there any relevant conflicts of intere If yes, please fill out the appropriate info	bed in the instructions. Uport relationships that west? Yes No	se one line for each er	ntity; add as many lines as you need by
Name of Entity	Grant•	n-Financial Other?	Comments
Moderna			G Stewart-Jones is employed by

Stewart-Jones 2



Section 4. Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Volume
Section 5. Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
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Stewart-Jones 3



Instructions

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Sullivan 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fi Nancy	rst Name)	2. Surname (Last Name) Sullivan	3. Date 12-February-2021
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Darin Edwards
5. Manuscript Title Serum Neutraliz		mRNA-1273 Vaccine – Prel	iminary Report
6. Manuscript Idea	ntifying Number (if you kr	now it)	
			_
Section 2.	The Work Under C	onsideration for Public	cation
any aspect of the s statistical analysis,	submitted work (including	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Section 3.	Relevant financial	activities outside the s	submitted work.
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Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No

Sullivan 2



Section 5.	
Section 5.	Relationships not covered above
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
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Dr. Sullivan has	nothing to disclose.

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Sullivan 3



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Werner 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fi	rst Name)	2. Surname (Last Name) Werner	3. Date 12-February-2021
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Darin Edwards
5. Manuscript Title Serum Neutralizi		mRNA-1273 Vaccine – Prel	iminary Report
6. Manuscript Ider 21-02179	ntifying Number (if you kr	now it)	
Section 2.	The Work Under C	onsideration for Public	cation
any aspect of the s statistical analysis,	ubmitted work (including	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
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Section 4.	Intellectual Prope	rty Patents & Copyri <u>c</u>	yhts
Do you have any			oadly relevant to the work? Yes V No

Werner 2



Section 5. Polationships not severed above
Relationships not covered above
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Dr. Werner has nothing to disclose.

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3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

Definitions.

Entity: government agency, foundation, commercial sponsor, academic institution, etc.

Grant: A grant from an entity, generally [but not always] paid to your organization

Personal Fees: Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speakers bureaus, expert testimony, employment, or other affiliations

Non-Financial Support: Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes

Pending: The patent has been filed but not issued

Issued: The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

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Section 1. Identifying Inform	ation		
identifying inform			
1. Given Name (First Name) Kai	2. Surname (Last Name) Wu		3. Date 10-February-2021
4. Are you the corresponding author?	Yes ✓ No	Corresponding Autho	or's Name
5. Manuscript Title Serum Neutralizing Activity Elicited by r	nRNA-1273 Vaccine – Prel	iminary Report	
6. Manuscript Identifying Number (if you kn 21-02179	ow it)	_	
Section 2. The Work Under Co	onsideration for Public	cation	
Did you or your institution at any time recei any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere If yes, please fill out the appropriate info	but not limited to grants, da	ta monitoring board, st	udy design, manuscript preparation,
Excess rows can be removed by pressing	•	e more than one end	ty piess the ADD button to add a fow.
Name of Institution/Company	Grant	n-Financial Other?	Comments
Biomedical Advanced Research and Development Authority			development of mRNA1273 was partially funded by BARDA under contract 75A50120C00034
Section 3. Relevant financial	activities outside the s	submitted work.	
Place a check in the appropriate boxes i of compensation) with entities as descri clicking the "Add +" box. You should rep Are there any relevant conflicts of intere	n the table to indicate who bed in the instructions. Us port relationships that wer	ether you have financ se one line for each er	ntity; add as many lines as you need by
If yes, please fill out the appropriate info			
Name of Entity	Grant•	n-Financial other?	Comments
Moderna			Kai Wu is employed by Moderna

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Section 4. Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Volume No
Section 5. Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Wu reports other from Biomedical Advanced Research and Development Authority, during the conduct of the study; other from Moderna, outside the submitted work.

Evaluation and Feedback

 $Please\ visit\ \underline{http://www.icmje.org/cgi-bin/feedback}\ to\ provide\ feedback\ on\ your\ experience\ with\ completing\ this\ form.$

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