

ICMJE Form for Disclosure of Potential Conflicts of Interest

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

1. Identifying information.

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This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

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Royalties: Funds are coming in to you or your institution due to your patent



ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)

Tulio

2. Surname (Last Name)

de Oliveira

3. Date

17-February-2021

4. Are you the corresponding author?

Yes No

Corresponding Author's Name

Shabir A. Madhi

5. Manuscript Title

Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa

6. Manuscript Identifying Number (if you know it)

21-02214

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Dr. de Oliveira has nothing to disclose.

Evaluation and Feedback

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Khatija	2. Surname (Last Name) Ahmed	3. Date 12-February-2021
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Shabir A. Madhi
5. Manuscript Title Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa		
6. Manuscript Identifying Number (if you know it) 21-02214		

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Are there any relevant conflicts of interest? Yes No

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Dr. Ahmed has nothing to disclose.

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ICMJJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Parvinder	2. Surname (Last Name) Aley	3. Date 14-February-2021
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Shabir A. Madhi
5. Manuscript Title Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa		
6. Manuscript Identifying Number (if you know it) 21-02214		

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Are there any relevant conflicts of interest? Yes No

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Dr. Aley has nothing to disclose.

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Section 1. Identifying Information

1. Given Name (First Name)
Vicky

2. Surname (Last Name)
Baillie

3. Date
01-February-2021

4. Are you the corresponding author? Yes No
Corresponding Author's Name
Shabir A. Madhi

5. Manuscript Title
Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa

6. Manuscript Identifying Number (if you know it)
21-02214

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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Bill & Melinda Gates Foundation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Institutional
South African Medical Research Council	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Institutional

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Dr. Baillie reports grants from Bill & Melinda Gates Foundation, grants from South African Medical Research Council, during the conduct of the study; .

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Section 1. Identifying Information

1. Given Name (First Name) Shaun	2. Surname (Last Name) Barnabas	3. Date 16-February-2021
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Shabir A. Madhi
5. Manuscript Title Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa		
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Dr. Barnabas has nothing to disclose.

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Definitions.

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Non-Financial Support: Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes

Pending: The patent has been filed but not issued

Issued: The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)
Sutika

2. Surname (Last Name)
Bhikha

3. Date
01-February-2021

4. Are you the corresponding author? Yes No
Corresponding Author's Name
Shabir A. Madhi

5. Manuscript Title
Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa

6. Manuscript Identifying Number (if you know it)
21-02214

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Bill & Melinda Gates Foundation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Institutional
South African Medical Research Council	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Institutional

Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest? Yes No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No

ICMJE Form for Disclosure of Potential Conflicts of Interest

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- Yes, the following relationships/conditions/circumstances are present (explain below):
- No other relationships/conditions/circumstances that present a potential conflict of interest

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Section 6. Disclosure Statement

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Dr. Bhikha reports grants from Bill & Melinda Gates Foundation, grants from South African Medical Research Council, during the conduct of the study; .

Evaluation and Feedback

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This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Royalties: Funds are coming in to you or your institution due to your patent

ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Jinal	2. Surname (Last Name) Bhiman	3. Date 16-February-2021
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Shabir A. Madhi
5. Manuscript Title Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa		
6. Manuscript Identifying Number (if you know it) 21-02214		

Section 2. The Work Under Consideration for Publication

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Are there any relevant conflicts of interest? Yes No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No

ICMJE Form for Disclosure of Potential Conflicts of Interest

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Dr. Bhiman has nothing to disclose.

Evaluation and Feedback

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Royalties: Funds are coming in to you or your institution due to your patent

ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Qasim Ebrahim

2. Surname (Last Name) Bhorat

3. Date 01-February-2021

4. Are you the corresponding author? Yes No Corresponding Author's Name Shabir A. Madhi

5. Manuscript Title Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa

6. Manuscript Identifying Number (if you know it) 21-02214

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Are there any relevant conflicts of interest? Yes No

Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Wits Health Consortium	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Regeneron Pharmaceuticals	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
GSK	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Avillion	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Sanofi	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
NovoNordisk	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Bill & Melinda Gates Foundation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
South African Medical Research Council	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No

Section 5. Relationships not covered above

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Dr. Borat reports grants from Wits Health Consortium, grants from Regeneron Pharmaceuticals, grants from GSK, grants from Avillion, grants from Sanofi, grants from NovoNordisk, grants from Bill & Melinda Gates Foundation, grants from South African Medical Research Council, outside the submitted work; .

Evaluation and Feedback

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Other: Anything not covered under the previous three boxes

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Royalties: Funds are coming in to you or your institution due to your patent

ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) As'ad Ebrahim

2. Surname (Last Name) Bhorat

3. Date 01-March-2021

4. Are you the corresponding author? Yes No Corresponding Author's Name Shabir A. Madhi

5. Manuscript Title Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa

6. Manuscript Identifying Number (if you know it) 21-02214

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Are there any relevant conflicts of interest? Yes No

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Bill & Melinda Gates Foundation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
South African Medical Research Council	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

ICMJE Form for Disclosure of Potential Conflicts of Interest

Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Novavax	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Carmen 2. Surname (Last Name) Briner 3. Date 11-February-2021

4. Are you the corresponding author? Yes No Corresponding Author's Name
Shabir A. Madhi

5. Manuscript Title
Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

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Other: Anything not covered under the previous three boxes

Pending: The patent has been filed but not issued

Issued: The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)
Clare

2. Surname (Last Name)
Cutland

3. Date
01-February-2021

4. Are you the corresponding author? Yes No
Corresponding Author's Name
Shabir A. Madhi

5. Manuscript Title
Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa

6. Manuscript Identifying Number (if you know it)
21-02214

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Bill & Melinda Gates Foundation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Institutional
South Africa Medical Research Council	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Institutional

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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Bill & Melinda Gates Foundation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Institutional
Pfizer	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Personal fee for consulting, institutional support for trial conduct



ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No

Section 5. Relationships not covered above

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Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Cutland reports grants from Bill & Melinda Gates Foundation, grants from South Africa Medical Research Council, during the conduct of the study; grants from Bill & Melinda Gates Foundation, personal fees and other from Pfizer, outside the submitted work; .

Evaluation and Feedback

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Keertan	2. Surname (Last Name) Dheda	3. Date 15-February-2021
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Shabir A. Madhi
5. Manuscript Title Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa		
6. Manuscript Identifying Number (if you know it) 21-02214		

Section 2. The Work Under Consideration for Publication

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Are there any relevant conflicts of interest? Yes No

Section 4. Intellectual Property -- Patents & Copyrights

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Dr. Dheda has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Jeanine 2. Surname (Last Name) du Plessis 3. Date 02-March-2021

4. Are you the corresponding author? Yes No Corresponding Author's Name
Shabir A. Madhi

5. Manuscript Title
Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa

6. Manuscript Identifying Number (if you know it)
21-02214

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Are there any relevant conflicts of interest? Yes No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)
Nicholas

2. Surname (Last Name)
Durham

3. Date
04-March-2021

4. Are you the corresponding author? Yes No
Corresponding Author's Name
Shabir A. Madhi

5. Manuscript Title
Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa

6. Manuscript Identifying Number (if you know it)
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Are there any relevant conflicts of interest? Yes No

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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
AstraZeneca, PLP	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	NMD is an employee and currently holds AstraZeneca stock

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No

ICMJE Form for Disclosure of Potential Conflicts of Interest

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Dr. Durham reports personal fees and other from AstraZeneca, PLP, outside the submitted work; .

Evaluation and Feedback

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)
Elizea

2. Surname (Last Name)
Horne

3. Date
01-February-2021

4. Are you the corresponding author? Yes No
Corresponding Author's Name
Shabir A. Madhi

5. Manuscript Title
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Are there any relevant conflicts of interest? Yes No

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Dr. Horne reports grants from Bill and Melinda Gates Foundation, grants from SA Medical Research Council, during the conduct of the study; .

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Aliasgar	2. Surname (Last Name) Esmail	3. Date 01-February-2021
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Shabir A. Madhi
5. Manuscript Title Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa		
6. Manuscript Identifying Number (if you know it) 21-02214		

Section 2. The Work Under Consideration for Publication

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Are there any relevant conflicts of interest? Yes No

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Are there any relevant conflicts of interest? Yes No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No

ICMJE Form for Disclosure of Potential Conflicts of Interest

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Dr. Esmail has nothing to disclose.

Evaluation and Feedback

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)
Lee

2. Surname (Last Name)
Fairlie

3. Date
02-February-2021

4. Are you the corresponding author? Yes No
Corresponding Author's Name
Shabir A. Madhi

5. Manuscript Title
Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa

6. Manuscript Identifying Number (if you know it)
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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Bill and Melinda Gates Foundation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SA Medical Research Council	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

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Dr. Fairlie reports grants from Bill and Melinda Gates Foundation, grants from SA Medical Research Council, during the conduct of the study; .

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Royalties: Funds are coming in to you or your institution due to your patent

ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)
Sarah

2. Surname (Last Name)
Gilbert

3. Date
08-March-2021

4. Are you the corresponding author? Yes No
Corresponding Author's Name
Shabir A. Madhi

5. Manuscript Title
Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa

6. Manuscript Identifying Number (if you know it)
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Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No

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Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments
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ICMJE Form for Disclosure of Potential Conflicts of Interest

Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments
ChAdOx1 Priority/provisional application: GB1108879.6, 25.05.2011 International application: WO2012172277 SIMIAN ADENOVIRUS AND HYBRID ADENOVIRAL VECTORS, 25.05.2012	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Vaccitech	Covers use of ChAdOx1 to produce vaccines against multiple antigens
ChAdOx1 nCoV-19 ChAdOx1 nCoV-19 Priority/provisional application: GB2003670.3, 13.03.2020	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	AstraZeneca	covers the vaccine used in this study

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Dr. Gilbert reports In addition, Dr. Gilbert has a patent ChAdOx1
Priority/provisional application: GB1108879.6, 25.05.2011
International application: WO2012172277 SIMIAN ADENOVIRUS AND HYBRID ADENOVIRAL VECTORS, 25.05.2012
licensed to Vaccitech, and a patent ChAdOx1 nCoV-19
ChAdOx1 nCoV-19
Priority/provisional application: GB2003670.3, 13.03.2020
licensed to AstraZeneca.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)
Maria

2. Surname (Last Name)
Groenewald

3. Date
05-February-2021

4. Are you the corresponding author?

Yes No

Corresponding Author's Name
Shabir A. Madhi

5. Manuscript Title
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Dr. Groenewald has nothing to disclose.

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Section 1. Identifying Information

1. Given Name (First Name)
Shi-Hsia

2. Surname (Last Name)
Hwa

3. Date
02-February-2021

4. Are you the corresponding author?

Yes No

Corresponding Author's Name
Shabir A. Madhi

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Dr. Hwa has nothing to disclose.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Royalties: Funds are coming in to you or your institution due to your patent

ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)
Alane

2. Surname (Last Name)
Izu

3. Date
04-March-2021

4. Are you the corresponding author? Yes No
Corresponding Author's Name
Shabir A. Madhi

5. Manuscript Title
Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa

6. Manuscript Identifying Number (if you know it)
21-02214

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Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Bill & Melinda Gates Foundation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Institutional
South African Medical Research Council	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Institutional

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Are there any relevant conflicts of interest? Yes No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No

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Dr. Izu reports grants from Bill & Melinda Gates Foundation, grants from South African Medical Research Council, during the conduct of the study; .

Evaluation and Feedback

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Aylin 2. Surname (Last Name) Oommen-Jose 3. Date 01-February-2021

4. Are you the corresponding author? Yes No Corresponding Author's Name
Shabir A. Madhi

5. Manuscript Title
Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa

6. Manuscript Identifying Number (if you know it)
21-02214

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Are there any relevant conflicts of interest? Yes No

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Are there any relevant conflicts of interest? Yes No

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Dr. Oommen-Jose reports grants from Bill & Melinda Gates Foundation, grants from South African Medical Research Council, during the conduct of the study; .

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)
Elizabeth

2. Surname (Last Name)
Kelly

3. Date
04-March-2021

4. Are you the corresponding author? Yes No
Corresponding Author's Name
Shabir A. Madhi

5. Manuscript Title
Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa

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Are there any relevant conflicts of interest? Yes No

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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
AstraZeneca, PLP	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	EJK is an employee of and employees and currently holds AstraZeneca stock

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No

ICMJE Form for Disclosure of Potential Conflicts of Interest

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Dr. Kelly reports personal fees and other from AstraZeneca, PLP, outside the submitted work; .

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Anthonet 2. Surname (Last Name) Koen 3. Date 01-February-2021

4. Are you the corresponding author? Yes No Corresponding Author's Name
Shabir A. Madhi

5. Manuscript Title
Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa

6. Manuscript Identifying Number (if you know it)
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Are there any relevant conflicts of interest? Yes No

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Gaurav 2. Surname (Last Name) Kwatra 3. Date 01-February-2021

4. Are you the corresponding author? Yes No Corresponding Author's Name
Shabir A. Madhi

5. Manuscript Title
Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

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Definitions.

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Other: Anything not covered under the previous three boxes

Pending: The patent has been filed but not issued

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Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Teresa

2. Surname (Last Name) Lambe

3. Date 04-March-2021

4. Are you the corresponding author? Yes No Corresponding Author's Name Shabir A. Madhi

5. Manuscript Title Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa

6. Manuscript Identifying Number (if you know it) 21-02214

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? Yes No

Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Vaccitech	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Consultancy work unrelated to work presented in this manuscript or to the field of COVID-19.

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

ICMJE Form for Disclosure of Potential Conflicts of Interest

Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments
Application for SARS-CoV-2 (nCoV-19) vaccine	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		GB2003670.3

Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

- Yes, the following relationships/conditions/circumstances are present (explain below):
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Section 6. Disclosure Statement

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Dr. Lambe reports personal fees from Vaccitech, outside the submitted work; In addition, Dr. Lambe has a patent Application for SARS-CoV-2 (nCoV-19) vaccine pending.

Evaluation and Feedback

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)
Matt

2. Surname (Last Name)
Laubscher

3. Date
02-February-2021

4. Are you the corresponding author? Yes No
Corresponding Author's Name
Shabir A. Madhi

5. Manuscript Title
Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa

6. Manuscript Identifying Number (if you know it)
21-02214

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Bill & Melinda Gates Foundation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Institutional
South African Medical Research Council	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Institutional

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Are there any relevant conflicts of interest? Yes No

Section 4. Intellectual Property -- Patents & Copyrights

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Dr. Laubscher reports grants from Bill & Melinda Gates Foundation, grants from South African Medical Research Council, during the conduct of the study; .

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Royalties: Funds are coming in to you or your institution due to your patent

ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)
Shabir

2. Surname (Last Name)
Madhi

3. Date
10-March-2021

4. Are you the corresponding author? Yes No

5. Manuscript Title
Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa

6. Manuscript Identifying Number (if you know it)
21-02214

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Bill and Melinda Gates Foundation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Provided funding to institution for the study
South African Medical Research Council	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Provided funding to institution for the study
NIHR	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Funding provided through the University of Oxford

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Bill and Melinda Gates Foundation	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Multiple grants to institution and honorarium for advisory committee
Pfizer	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Grant to institution
GSK	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Grant to institution
Novavax	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Sponsor and co-funder of Covid vaccine study. Funds to institution

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No

Section 5. Relationships not covered above

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Dr. Madhi reports grants from Bill and Melinda Gates Foundation, grants from South African Medical Research Council , grants from NIHR, during the conduct of the study; grants and personal fees from Bill and Melinda Gates Foundation, grants from Pfizer, grants from GSK, other from Novavax, outside the submitted work; .

ICMJE Form for Disclosure of Potential Conflicts of Interest

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Mookho	2. Surname (Last Name) Malahleha	3. Date 02-February-2021
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Shabir A. Madhi
5. Manuscript Title Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa		
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Dr. Malahleha has nothing to disclose.

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Royalties: Funds are coming in to you or your institution due to your patent

ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Masebole

2. Surname (Last Name) Masanya

3. Date 02-February-2021

4. Are you the corresponding author? Yes No Corresponding Author's Name Shabir A. Madhi

5. Manuscript Title Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa

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Dr. Masenya reports grants from Bill and Melinda Gates Foundation, grants from SA Medical Research Council, during the conduct of the study; .

Evaluation and Feedback

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ICMJE Form for Disclosure of Potential Conflicts of Interest

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ICMJJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Mduduzi	2. Surname (Last Name) Masilela	3. Date 02-February-2021
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Shabir A. Madhi
5. Manuscript Title Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa		
6. Manuscript Identifying Number (if you know it) 21-02214		

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Are there any relevant conflicts of interest? Yes No

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Are there any relevant conflicts of interest? Yes No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No

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Dr. Masilela has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Shakeel 2. Surname (Last Name) McKenzie 3. Date 02-February-2021

4. Are you the corresponding author? Yes No Corresponding Author's Name
Shabir A. Madhi

5. Manuscript Title
Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa

6. Manuscript Identifying Number (if you know it)
teresa.lambe@ndm.ox.ac.uk

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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Kgaogelo	2. Surname (Last Name) Molapo	3. Date 05-February-2021
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Shabir A. Madhi
5. Manuscript Title Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa		
6. Manuscript Identifying Number (if you know it) 21-02214		

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Are there any relevant conflicts of interest? Yes No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Penny	2. Surname (Last Name) Moore	3. Date 01-February-2021
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Shabir A. Madhi
5. Manuscript Title Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa		
6. Manuscript Identifying Number (if you know it) 21-02214		

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Are there any relevant conflicts of interest? Yes No

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Are there any relevant conflicts of interest? Yes No

Section 4. Intellectual Property -- Patents & Copyrights

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Dr. Moore has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Andrew 2. Surname (Last Name) Moultrie 3. Date 01-February-2021

4. Are you the corresponding author? Yes No Corresponding Author's Name
Shabir A. Madhi

5. Manuscript Title
Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa

6. Manuscript Identifying Number (if you know it)
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Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Bill & Melinda Gates Foundation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Institutional
South African Medical Research Council	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Institutional

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Royalties: Funds are coming in to you or your institution due to your patent



ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Suzette	2. Surname (Last Name) Oelofse	3. Date 01-February-2021
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Shabir A. Madhi
5. Manuscript Title Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa		
6. Manuscript Identifying Number (if you know it) 21-02214		

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Are there any relevant conflicts of interest? Yes No

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Dr. Oelofse has nothing to disclose.

Evaluation and Feedback

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Sherman	2. Surname (Last Name) Padayachee	3. Date 02-February-2021
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Shabir A. Madhi
5. Manuscript Title Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa		
6. Manuscript Identifying Number (if you know it) 21-02214		

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Are there any relevant conflicts of interest? Yes No

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Are there any relevant conflicts of interest? Yes No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No



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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)
Sureshnee

2. Surname (Last Name)
Pillay

3. Date
17-February-2021

4. Are you the corresponding author?

Yes No

Corresponding Author's Name
Shabir A. Madhi

5. Manuscript Title
Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa

6. Manuscript Identifying Number (if you know it)
21-02214

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

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Section 4. Intellectual Property -- Patents & Copyrights

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Andrew

2. Surname (Last Name) Pollard

3. Date 10-March-2021

4. Are you the corresponding author? Yes No Corresponding Author's Name Shabir A. Madhi

5. Manuscript Title Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa

6. Manuscript Identifying Number (if you know it) 21-02214

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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
NIHR	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Astra Zeneca	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Funded the production of vaccine

Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest? Yes No

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Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No

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AJP is Chair of UK Dept. Health and Social Care's (DHSC) Joint Committee on Vaccination & Immunisation (JCVI), and is a member of the WHO's SAGE. The views expressed in this article do not necessarily represent the views of DHSC, JCVI, NIHR or WHO. The University of Oxford has entered into a partnership with AstraZeneca on coronavirus vaccine development.

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Dr. Pollard reports grants from NIHR, other from Astra Zeneca, during the conduct of the study; and AJP is Chair of UK Dept. Health and Social Care's (DHSC) Joint Committee on Vaccination & Immunisation (JCVI), and is a member of the WHO's SAGE. The views expressed in this article do not necessarily represent the views of DHSC, JCVI, NIHR or WHO. The University of Oxford has entered into a partnership with AstraZeneca on coronavirus vaccine development. .

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Sarah	2. Surname (Last Name) Rhead	3. Date 01-February-2021
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Shabir A. Madhi
5. Manuscript Title Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa		
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Dr. Rhead has nothing to disclose.

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Royalties: Funds are coming in to you or your institution due to your patent

ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Hylton	2. Surname (Last Name) Rodel	3. Date 02-February-2021
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Shabir A. Madhi
5. Manuscript Title Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa		
6. Manuscript Identifying Number (if you know it) 21-02214		

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Are there any relevant conflicts of interest? Yes No

Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest? Yes No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No

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Dr. Rodel has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Lindie	2. Surname (Last Name) Rossouw	3. Date 01-February-2021
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Shabir A. Madhi
5. Manuscript Title Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa		
6. Manuscript Identifying Number (if you know it) 21-02214		

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Are there any relevant conflicts of interest? Yes No

Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest? Yes No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)
Alex

2. Surname (Last Name)
Sigal

3. Date
18-February-2021

4. Are you the corresponding author?

Yes No

Corresponding Author's Name
Shabir A. Madhi

5. Manuscript Title
Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa

6. Manuscript Identifying Number (if you know it)
21-02214

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Dr. Sigal has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Carol	2. Surname (Last Name) Taoushanis	3. Date 02-February-2021
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Shabir A. Madhi
5. Manuscript Title Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa		
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Dr. Taoushanis has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Houriyah	2. Surname (Last Name) Tegally	3. Date 17-February-2021
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Shabir A. Madhi
5. Manuscript Title Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa		
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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Asha 2. Surname (Last Name) Thombrayil 3. Date 02-February-2021

4. Are you the corresponding author? Yes No Corresponding Author's Name
Shabir A. Madhi

5. Manuscript Title
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6. Manuscript Identifying Number (if you know it)
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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Bill & Melinda Gates Foundation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Institutional
South African Medical Research Council	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Institutional

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Section 6. Disclosure Statement

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Dr. Thombrayil reports grants from Bill & Melinda Gates Foundation, grants from South African Medical Research Council, during the conduct of the study; .

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Royalties: Funds are coming in to you or your institution due to your patent

ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Kamohelo Samuel	2. Surname (Last Name) van Eck	3. Date 02-February-2021
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Shabir A. Madhi
5. Manuscript Title Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa		
6. Manuscript Identifying Number (if you know it) 21-02214		

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Are there any relevant conflicts of interest? Yes No

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Are there any relevant conflicts of interest? Yes No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No

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Dr. van Eck has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)
Merryn

2. Surname (Last Name)
Voysey

3. Date
01-February-2021

4. Are you the corresponding author?

Yes No

Corresponding Author's Name
Shabir A. Madhi

5. Manuscript Title
Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa

6. Manuscript Identifying Number (if you know it)
21-02214

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Are there any relevant conflicts of interest? Yes No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No

ICMJE Form for Disclosure of Potential Conflicts of Interest

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Dr. Voysey has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Constantinos Kurt	2. Surname (Last Name) Wibmer	3. Date 01-February-2021
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Shabir A. Madhi
5. Manuscript Title Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa		
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Are there any relevant conflicts of interest? Yes No

Section 4. Intellectual Property -- Patents & Copyrights

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Dr. Wibmer has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Faezah 2. Surname (Last Name) Patel 3. Date 21-February-2021

4. Are you the corresponding author? Yes No Corresponding Author's Name
Shabir A. Madhi

5. Manuscript Title
Safety and efficacy of the ChAdOx1 nCoV-19 non-replicating Covid-19 vaccine in South Africa

6. Manuscript Identifying Number (if you know it)
21-02214

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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Bill and Melinda Gates Foundation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Institutional
SA Medical Research Council	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Institutional

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Are there any relevant conflicts of interest? Yes No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No



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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)
Tonya

2. Surname (Last Name)
Villafana

3. Date
22-February-2021

4. Are you the corresponding author? Yes No
Corresponding Author's Name
Shabir A. Madhi

5. Manuscript Title
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6. Manuscript Identifying Number (if you know it)
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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
AstraZeneca	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Employee

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No

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Dr. Villafana reports personal fees from AstraZeneca, outside the submitted work; .

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