

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

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Royalties: Funds are coming in to you or your institution due to your patent

Goldblatt 1



Section 1.	Identifying Information				
1. Given Name (Fi David	rst Name)	2. Surname (Last Name) Goldblatt	3. Date 12-April-2020		
4. Are you the corresponding author?		Yes ✓ No	Corresponding Author's Name Jeremy S Brown/Elisa Ramos Sevillano		
5. Manuscript Title Preclinical devel against pneumo	opment of virulence at	tenuated Streptococcus p	neumoniae strains able to enhance protective immunity		
6. Manuscript Ide Blue-202011-416	ntifying Number (if you kr 61LE.R1	now it)			
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Section 2.	The Work Under C	onsideration for Public	cation		
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Section 4.	Intellectual Proper	rty Patents & Copyric	ghts		
Do you have any			oadly relevant to the work? Yes V No		

Goldblatt 2



Section 5.						
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Dr. Goldblatt ha	s nothing to disclose.					

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Goldblatt 3



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patent

Heyderman 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Robert	2. Surname (Last Name) Heyderman	3. Date 08-December-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Jeremy S Brown/Elisa Ramos Sevillano
 Manuscript Title Preclinical development of virulence at against pneumococcal infection Manuscript Identifying Number (if you known to be supported by the best of the best		neumoniae strains able to enhance protective immunity
	onsideration for Public	
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Place a check in the appropriate boxes in the appropriate boxes of compensation) with entities as described.	ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by e present during the 36 months prior to publication.
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Heyderman 2



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Ramiro de Assis



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1. Given Name (Fi Rafael	rst Name)	2. Surname (Last Name) Ramiro de Assis	3. Date			
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Jeremy S Brown/Elisa Ramos Sevillano			
5. Manuscript Title Preclinical develonagainst pneumo	opment of virulence at	tenuated Streptococcus p	neumoniae strains able to enhance protective immunity			
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Ramiro de Assis



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Nakajima 1



Section 1.	Identifying Inform	nation	
1. Given Name (First Name) Rie		2. Surname (Last Name) Nakajima	3. Date 04-December-2020
4. Are you the corresponding author?		Yes ✓ No	Corresponding Author's Name Jeremy S Brown/Elisa Ramos-Sevillano
5. Manuscript Title Preclinical developments	opment of virulence at	tenuated Streptococcus pi	neumoniae strains able to enhance protective immunity
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Nakajima 2



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Ercoli 1



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Ercoli 2



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Ramos Sevillano 1



Section 1.	Identifying Information							
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4. Are you the cor	1. Are you the corresponding author?			Corresponding Author's Name Jeremy S Brown/Elisa Ramos Sevillano				
5. Manuscript Title Preclinical development of virulence attenuated Streptococcus pneumoniae strains able to enhance protective imm against pneumococcal infection					immunity			
6. Manuscript Ider Blue-202011-416	ntifying Number (if yo 51LE.R1	u know it)						
	L							
Section 2.	The Work Unde	r Consideration	for Public	cation				
	stitution at any time wubmitted work (incluetc.)?							.) for
	evant conflicts of in	terest? Yes	✓ No					
	ı							
Section 3.	Relevant financ	ial activities out	tside the s	submitted	l work.			
of compensation	the appropriate box) with entities as de I +" box. You should	scribed in the inst	ructions. Us	se one line	for each entity; ac	dd as many lines as	you need	
_	evant conflicts of in		✓ No		-			
Section 4.	Intellectual Pro	perty Patents	& Copyric	ghts				
If yes, please fill o	patents, whether pout the appropriate be removed by pre	information below	v. If you hav	•		✓ Yes No s the "ADD" buttor		row.
Paten	t ? Pe	nding? Issued?	Licensed?	Royalties ?	Licensee?	Comments		
2017877.8		/			United Kingdom Patent Application			

Ramos Sevillano 2



Section 5.					
Section 5.	Relationships not covered above				
	Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?				
Yes, the followi	ing relationships/conditions/circumstances are present (explain below):				
✓ No other relation	onships/conditions/circumstances that present a potential conflict of interest				
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Section 6.					
Section 6.	Disclosure Statement				
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Dr. Ramos-Sevillar	no has nothing to disclose.				

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Ramos Sevillano 3



Instructions

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Royalties: Funds are coming in to you or your institution due to your patent

Ferreira 1



	ı		
Section 1.	Identifying Inform	nation	
1. Given Name (Fi Daniela	rst Name)	2. Surname (Last N Ferreira	Name) 3. Date 09-December-2020
4. Are you the corresponding author?		☐ Yes ✓ No	Corresponding Author's Name Jeremy S Brown, Elisa Ramos Sevillano
against pneumo	opment of virulence a coccal infection ntifying Number (if you k		occus pneumoniae strains able to enhance protective immunity
Section 2.	The Work Under C	onsideration for	Publication
any aspect of the s statistical analysis,	ubmitted work (includin	g but not limited to gr	ces from a third party (government, commercial, private foundation, etc.) for rants, data monitoring board, study design, manuscript preparation,
If yes, please fill o		ormation below. If y	you have more than one entity press the "ADD" button to add a row.
Name of Institut	ion/Company	Grant? Persona	Other• Comments
Pfizer		✓	Unrelated to this work
Section 3.	Relevant financial	activities outsid	e the submitted work.
of compensation clicking the "Ado Are there any rel	n) with entities as descr	ribed in the instructi port relationships the est? Yes	cate whether you have financial relationships (regardless of amount ions. Use one line for each entity; add as many lines as you need by hat were present during the 36 months prior to publication . No
Name of Entity		Grant? Persona	Other Comments
Pfizer			Unrelated to this work

Ferreira 2



Costion 4			
Section 4.	Intellectual Property Patents & Copyrights		
Do you have any	patents, whether planned, pending or issued, broadly relevant to the work? Yes V No		
Section 5.	Relationships not covered above		
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?		
Yes, the following relationships/conditions/circumstances are present (explain below):			
	✓ No other relationships/conditions/circumstances that present a potential conflict of interest		
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.			
Section 6.	Disclosure Statement		
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Dr. Ferreria rep	orts grants from Pfizer,during the conduct of the study; .		

Evaluation and Feedback

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Felgner 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fi Philip	, ,	2. Surname (Last Name) Felgner	3. Date 09-December-2020
4. Are you the corresponding author?		☐ Yes ✓ No	Corresponding Author's Name Jeremy S Brown
5. Manuscript TitlePreclinical development of virulence attenagainst pneumococcal infection6. Manuscript Identifying Number (if you known)			neumoniae strains able to enhance protective immunity
Blue-202011-410	61LE.R1		_
Section 2.	The Work Under C	onsideration for Public	cation
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No			
	_		
Section 3.	Relevant financial	activities outside the s	submitted work.
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Section 4.	Intellectual Prope	rty Patents & Copyric	ghts
Do you have any	patents, whether plan	ned, pending or issued, br	roadly relevant to the work? Yes V No

Felgner 2



Section 5.		
Section 5.	Relationships not covered above	
	elationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?	
Yes, the following relationships/conditions/circumstances are present (explain below):		
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Brown 1



Costion 1		
Section 1. Identifying Ir	formation	
Given Name (First Name) Jeremy	2. Surname (Last Name) Brown	3. Date 04-December-2020
4. Are you the corresponding author	? ✓ Yes No	
5. Manuscript TitlePreclinical development of viruleragainst pneumococcal infection6. Manuscript Identifying Number (if	·	e strains able to enhance protective immunity
Blue-202011-4161LE.R1		
Section 2. The Work Und	der Consideration for Publication	
any aspect of the submitted work (ind statistical analysis, etc.)? Are there any relevant conflicts of	cluding but not limited to grants, data monitorin	y (government, commercial, private foundation, etc.) for ng board, study design, manuscript preparation,
Section 3. Relevant fina	ncial activities outside the submitted	l work.
of compensation) with entities as	described in the instructions. Use one line to a line to the line	nave financial relationships (regardless of amount for each entity; add as many lines as you need by during the 36 months prior to publication.
Section 4. Intellectual P	roperty Patents & Copyrights	
Do you have any patents, whethe	r planned, pending or issued, broadly relev te information below. If you have more tha	rant to the work? Yes No No No No No No No No No N
Patent [?] I	Pending? Issued? Licensed? Royalties?	Licensee? Comments
United Kingdom Patent Application No. 2017877.8	V	

Brown 2



Section 5. Relationships not severed above		
Relationships not covered above		
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?		
Yes, the following relationships/conditions/circumstances are present (explain below):		
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Section 6. Disclosure Statement		
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Dr. Brown reports In addition, Dr. Brown has a patent United Kingdom Patent Application No. 2017877.8 pending.		

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Gordon 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fi	rst Name)	2. Surname (Last Name) Gordon	3. Date 07-December-2020
4. Are you the corresponding author?		Yes ✓ No	Corresponding Author's Name Jeremy S Brown/Elisa Ramos Sevillano
5. Manuscript Title Preclinical development of virulence attenuated Streptococcus pneumoniae strains able to enhance protective immunity against pneumococcal infection 6. Manuscript Identifying Number (if you know it) Blue-202011-4161LE.R1			
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Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No

Gordon 2



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