

SUPPLEMENTARY INFORMATION

Methods:

Table S1a: Dataset Composition for RNFL-Map Model ($RS4_{RNFL-Map}$ for $GS_{RNFL-Map}$)

NG vs. G	RNFL Map Data Distribution			
	$DS_{RNFL-Map}$ training	$DS_{RNFL-Map}$ validation	$DS_{RNFL-Map}$ testing	$GS_{RNFL-Map}$ testing
NG	285	118	141	78
G	110	27	56	57
Totals	395	145	197	135

Table S1b: Dataset Composition for B-Scan Models ($RS4_{B-Scan}$ for GS_{B-Scan})

	B-Scan Data Distribution (5050)				B-Scan Data Distribution (2575)			
	DS_{B-Scan} training	DS_{B-Scan} validation	DS_{B-Scan} testing	GS_{B-Scan} testing	C- DS_{B-Scan} training	C- DS_{B-Scan} validation	C- DS_{B-Scan} testing	GS_{B-Scan} testing
NG	297	95	82	77	265	90	89	77
G	178	59	60	50	154	52	53	50
Totals	475	154	142	127	419	142	142	127

Figure S1: B-Scan CNN Architectures Schematic

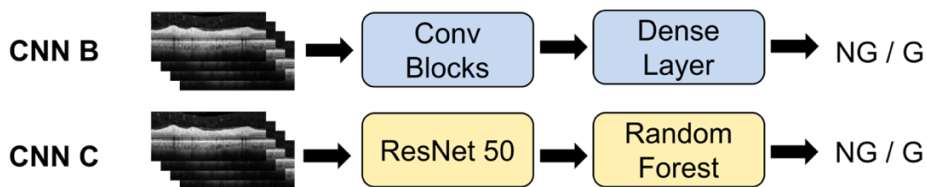
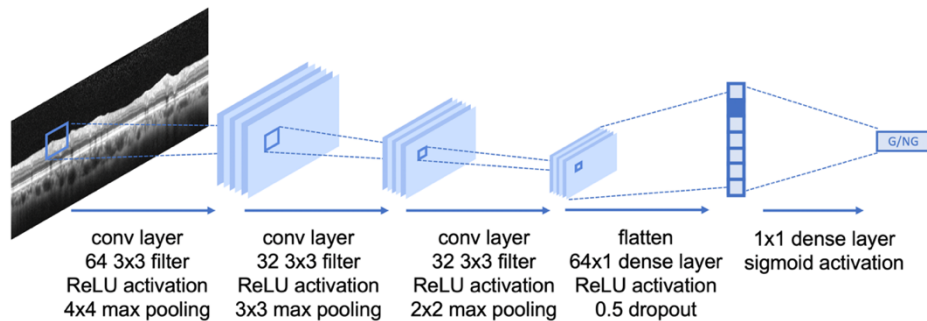


Figure S2: Architecture Details of OCT-Trained B-Scan Model, CNN B



We provide here the relative strengths of CNN B:

- (1) **Good for custom dataset:** CNN B can be trained from scratch using a custom dataset. Medical images are different from natural images of ImageNet. Suppose a lab has a relatively small image training dataset, and the image modality is different from natural images in ImageNet; it is worth considering CNN B as a feasible approach to compare model performance with CNN C.
- (2) **Efficiency:** CNN B can be trained in a short time. It is an efficient approach due to its simple architecture.

We provide here the relative weaknesses of CNN B:

- (1) **Hyperparameter tuning:** effective performance of CNN B requires tuning parameters (regularization/dropout, kernel filter size, activation functions, etc.) based on validation results.
- (2) **Underfitting:** There might be an underfitting problem due to the limited number of parameters. In contrast, CNN C may overfit the training set.

Results:

Table S2: Results of Training and Testing on Confident B-Scans

RS	RS1 _{B-Scan} : cpRNFL Report						RS2 _{B-Scan} : Heidelberg Report						RS3 _{B-Scan} : B-Scan					
	NG < 50, G > 50			NG < 25, G > 75			NG < 50, G > 50			NG < 25, G > 75			NG < 50, G > 50			NG < 25, G > 75		
Metrics/Models	ACC	FP	FN	ACC	FP	FN	ACC	FP	FN	ACC	FP	FN	ACC	FP	FN	ACC	FP	FN
CNN B 5050	72.44%	0	35	76.42%	0	25	64.57%	5	40	73.96%	0	25	66.14%	1	42	69.88%	1	24
CNN C 5050	74.02%	1	32	79.25%	0	22	73.23%	2	32	77.08%	0	22	66.93%	2	40	75.90%	0	20
CNN B 2575	81.10%	1	23	85.85%	1	14	73.23%	6	28	83.33%	1	15	77.95%	0	28	83.13%	0	14
CNN C 2575	74.02%	1	32	78.30%	1	22	70.87%	3	34	77.08%	1	21	69.29%	1	38	75.90%	0	20

Table S3: $DS_{RNFL-Map}/DS_{B-Scan}$ and $GS_{RNFL-Map}/GS_{B-Scan}$ Accuracies for all RS

<i>Best RNFL-Map Model</i>	DS _{RNFL-Map} Accuracy (%) [RS1 _{RNFL-Map} : Hood Report]	GS _{RNFL-Map} Accuracy (%) [RS1 _{RNFL-Map} : Hood Report]	GS _{RNFL-Map} Accuracy (%) [RS2 _{RNFL-Map} : RNFL + RGCP probability maps]	GS _{RNFL-Map} Accuracy (%) [RS3 _{RNFL-Map} : RNFL probability map only]	GS _{RNFL-Map} Accuracy (%) [RS4 _{RNFL-Map} : Consensus]
ResNet-18 PT + Random Forest (CNN A)	94.8	80.7	77.8	83.0	80.0
<i>B-Scan Models</i>	DS _{B-Scan} Accuracy (%) [RS1 _{B-Scan} : cpRNFL Report]	GS _{B-Scan} Accuracy (%) [RS1B: cpRNFL Report]	GS _{B-Scan} Accuracy (%) [RS2 _{B-Scan} : HE Full Report]	GS _{B-Scan} Accuracy (%) [RS3 _{B-Scan} : B-scan only]	GS _{B-Scan} Accuracy (%) [RS4 _{B-Scan} : Consensus]
Conv Layers + Dense Layers (CNN B)	94.4	72.4	64.6	66.1	70.1
ResNet50 + Random Forest (CNN C)	95.8	74.0	73.2	66.9	76.4

Discussion:

Visualization Technique Helps to Explain False Positives and False Negatives

Visualizing Features Used by CNNs to Detect Glaucoma in RNFL Maps to Speculate

Reasons for False Positives and False Negatives: For RNFL maps, we used a visualization approach called Grad-CAMs (Gradient Weighted Class Activation Maps),¹ which highlight regions in images that contribute positively to a neural network’s classification decision. To make Grad-CAMs more quantitative, we compared Grad-CAMs to OCT images superimposed by visual field points showing regions of structure-function agreement,^{2,3} we found that regions highlighted in Grad-CAMs are also regions with abnormal structure and abnormal function agreement (aS-aF). Figure S3 below shows an example of both a Grad-CAM for an RNFL probability map (top left) and an aS-aF diagram (top right) with RNFL probability map, superimposed visual field (VF) points, and aS-aF locations marked by VF points circumscribed by diamonds (24-2 VF locations) or squares (10-2 VF locations).

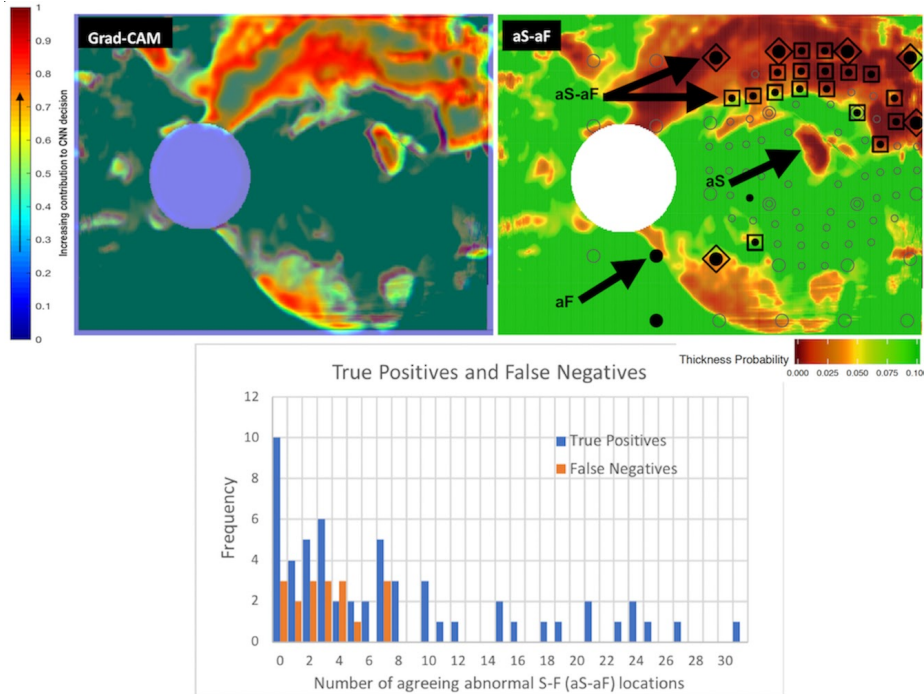


Figure S3: Above left panel shows a Grad-CAM (regions contributing to CNN classification of glaucoma highlighted in red and yellow); the corresponding RNFL map is shown in the upper right: OCT structural information is superimposed by VF functional information, and regions of abnormal structure and abnormal function agreement (aS-aF) are shown by VF points circumscribed by squares and diamonds. Bottom panel contains a histogram showing that the overwhelming number of aS-aF locations are in True Positive RNFL maps (detected as glaucomatous by AI and labeled as glaucomatous by the clinician), like this one.⁴

We found that the number of aS-aF locations is significantly greater ($p < 0.05$, Mann-Whitney Test) for *True Positives* (correctly identified glaucoma cases) than for *False Negatives* (missed glaucoma cases) across all RNFL maps in $GS_{RNFL-Map}$ studied here.⁴

Below is a table of false positives and false negatives that are currently arrived at by our RNFL-map and b-scan models; they have a tendency currently to result in many more false negatives (misses) than false positives. This can be explained by the Grad-CAM and structure-function analysis described above; many of these misses are subtle/edge cases, where damage is not extreme, so the models are missing regions where there is no significant structure-function agreement. Therefore, the models may improve with the incorporation of more such ambiguous examples in training or by designing specific

filters to enable our CNNs to recognize patterns of features characteristic of these subtle cases.

Table S4: False Positives and False Negatives for RNFL-Map and B-Scan Models

Model (Below)	False Positives (FPs/Total NG) Training: 2575 RS4_{B-Scan}: Consensus	False Negatives (FNs/Total G) Training: 2575 RS4_{B-Scan}: Consensus
Conv Layers + Dense Layers (B-Scan CNN B)	8 (11.1%)	19 (34.5%)
ResNet50 + Random Forest (B-Scan CNN C)	4 (5.6%)	24 (43.6%)
Best RNFL Model Below	False Positives (FPs/Total NG) RNFL input w/ Data Aug RS4_{RNFL-Map}: Consensus	False Negatives (FNs/Total G) RNFL input w/ Data Aug RS4_{RNFL-Map}: Consensus
ResNet18 + Random Forest (CNN A)	2 (2.6%)	20 (35.1%)

Table S5: Most Commonly-used Abbreviations for Experiments Conducted

OCT Image Type	Training Dataset (DS)	Generalizability Set (GS)	RS1 (Reference Standard based on single expert viewing Topcon reports for RNFL maps or Heidelberg cpRNFL reports for b-scans)	RS4 (Reference Standard based on consensus of multiple experts viewing OCT and VF information)
RNFL Maps	DS _{RNFL-Map}	GS _{RNFL-Map}	RS1 _{RNFL-Map}	RS4 _{RNFL-Map}
B-Scans	DS _{B-Scan}	GS _{B-Scan}	RS1 _{B-Scan}	RS4 _{B-Scan}

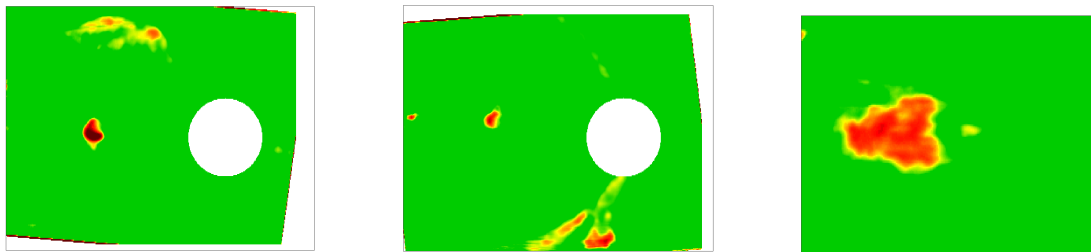


Figure S4: Images disagreed upon by RS1_{RNFL-Map} and RS4_{RNFL-Map}. There are in fact only 3 RNFL maps for which the ratings are reversed between RS1_{RNFL-Map} and RS4_{RNFL-Map}; the left-most two are indeed subtle/mild cases, and the right-most one contains a pattern seen in both healthy controls and patients. These 3 images were all categorized as nonglaucomatous by RS1_{RNFL-Map} and were categorized as glaucomatous by RS4_{RNFL-Map}.

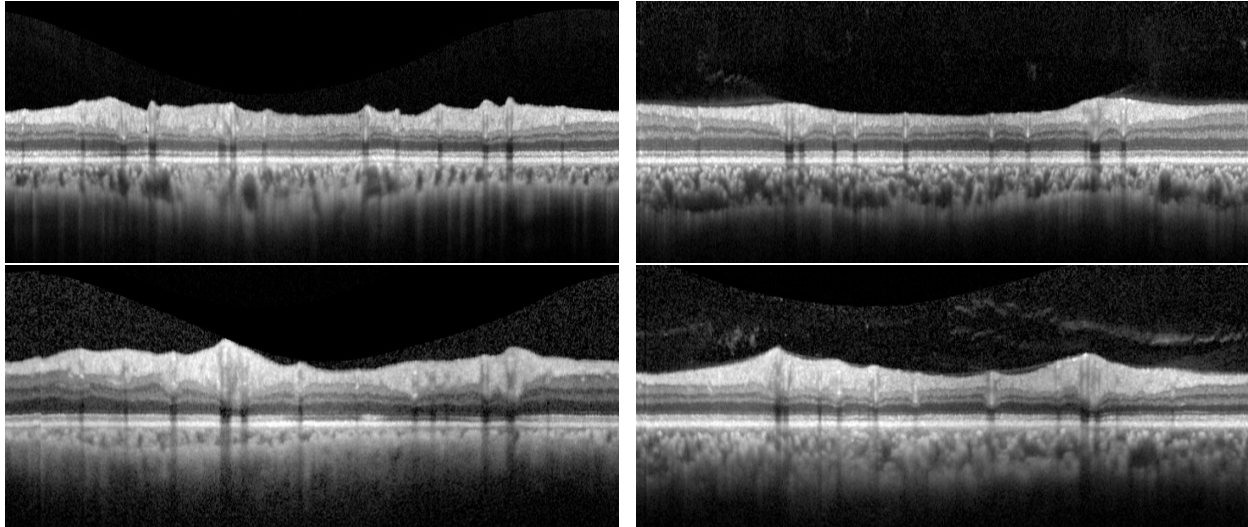


Figure S5: (Top) Two examples that RS1_{B-Scan} labeled glaucomatous while RS4_{B-Scan} labeled non-glaucomatous; (bottom) two examples that RS1_{B-Scan} labeled non-glaucomatous while RS4_{B-Scan} labeled glaucomatous. The b-scans that RS1_{B-Scan} and RS4_{B-Scan} disagreed on are also suspects or mild cases. In the top row, the left example has some local defects, and the right example has a thinning retinal nerve fiber layer; these are typical glaucomatous features. In the bottom row, the glaucomatous defects are not very obvious in these b-scans. The extra information used by experts in RS4_{B-Scan} may have helped to clarify these boundary cases.

References:

1. Selvaraju, R, Cogswell, M, Das A, Vedantam R, Vedantam, Parikh D. Batra D. Grad-CAM: Visual explanations from deep networks via gradient-based localization." *International Conference on Computer Vision*, 2017.
2. Tsamis E, Bommakanti NK, Sun A, Thakoor KA, De Moraes CG, Hood DC. An Automated Method for Assessing Topographical Structure–Function Agreement in Abnormal Glaucomatous Regions." *Translational Vision Science and Technology*, 2020;9(4),14-14.
3. Hood DC, Tsamis E, Bommakanti NK, Joiner DB, Al-Aswad LA, Blumberg DM, Cioffi GA, Liebmann JM, and De Moraes. Structure-Function Agreement Is Better Than Commonly Thought in Eyes With Early Glaucoma. *Investigative ophthalmology & visual science*, 60, no. 13 (2019): 4241-4248.
4. Thakoor, KA, Tsamis, EM, De Moraes, CG, Sajda, P, Hood, DC, 2020. Impact of Reference Standard, Data Augmentation, and OCT Input on Glaucoma Detection Accuracy by CNNs on a New Test Set. *Investigative Ophthalmology & Visual Science*. 2020;61(7):4540-4540.