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# BMJ Open

## Experiences along the diagnostic pathway for patients with advanced lung cancer

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4 **Experiences along the diagnostic pathway for patients with advanced lung cancer**  
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20 **List of Abbreviations:**  
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23	CT	Computed Tomography
24	<i>ROS1</i>	c-Ros oncogene 1
25	ALK	Anaplastic lymphoma kinase
26		Epidermal growth factor
27	EGFR	receptor
28	NSCLC	Non-small cell lung cancer
29	PCP	Primary care provider
30	CXR	Chest X-ray
31	ER	Emergency room
32	PET	positron emission tomography

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**Abstract (248 words)**

*Background:* Most lung cancer patients are diagnosed at advanced stages. But the advent of oral targeted therapies improved prognosis of many lung cancer patients.

*Purpose:* We aimed to understand the diagnostic experiences of patients with advanced lung cancer with oncogenic mutations.

*Methods:* Qualitative interviews with patients with advanced or metastatic non-small cell lung cancer with oncogenic alterations. Patients were recruited from online support groups within the US. Interviews were conducted remotely or in person. Analysis used an iterative inductive and deductive process. Themes were mapped to the Model of Pathways to Treatment..

*Results:* 40 patients (12 male and 28 female) with a median age of 48. We identified 9 distinct themes. During the “patient interval,” individuals became concerned about symptoms, but often attributed them to other causes. Prolonged or more severe symptoms prompted care seeking. During the “primary care interval,” doctors initially treated for illnesses other than cancer. Discovery of an imaging abnormality was a turning point in diagnostic pathways. Occasionally, severity of symptoms prompted patients to seek emergency care. During the “secondary care interval,” obtaining tissue samples was pivotal in confirming diagnosis. Delays in accessing oncology care sometimes led to patient distress. Obtaining genetic testing was crucial in directing patients to receive targeted treatments.

*Conclusions:* Patients experienced multiple different routes to their diagnosis. Some patients perceived delays, inefficiencies, and lack of coordination which could be distressing. Shifting the stage of diagnosis of lung cancer to optimize the impact of targeted therapies will require concerted efforts in early detection.

### Strengths and limitations of this study

The study's strengths include exploring the perspectives on the diagnosis journey of a large number of participants representing a relatively new group of lung cancer survivors: those on targeted therapies that experience significantly superior outcomes.

Our findings were developed within an existing theoretical framework used in research on early cancer diagnosis by many other countries.

The study's limitations include relying on individuals identified from lung cancer survivor groups, which may have reduced the representativeness, particularly of individuals from less affluent backgrounds.

Only a small proportion of our participants experienced barriers in accessing care due to financial concerns, which may have limited our ability to determine these factors' impact.

Recall bias and differential recall bias are major concerns with this type of research.

## Background

Lung cancer is the leading cause of cancer death and the second most common cancer type in the United States (US).<sup>1</sup> In 2016, incidence of new lung cancer cases in the US was 56 per 100,000 people and the rate of lung cancer death surpassed the rate of any other cancer death with 38.5 per 100,000 people.<sup>2</sup>

Although screening for lung cancer using low dose computed tomography (CT) scanning has been recommended in the US since 2013, the majority of individuals are diagnosed either after seeking clinical care with symptoms or as an incidental finding after imaging.<sup>3</sup> The poor outcomes associated with lung cancer are at least partly the result of the length of time between a patient first experiencing bodily changes and being diagnosed.<sup>4-7</sup> Based on a pooled analysis of 56 studies, the median time from symptom onset to diagnosis ranged from 41 to 143 days.<sup>8</sup> Unfortunately, a significant proportion of individuals with lung cancer are at advanced stages at the time of diagnosis and have an overall survival rate measured in months.<sup>9</sup>

There has been surprisingly little US research on patients' perceptions of the diagnostic pathways for lung cancer. Most research assessing time to diagnosis has been performed in European health care systems and in smokers, making comparisons to the US population or to non-smokers difficult.<sup>10,11</sup> There has been almost no research on the diagnostic experiences of patients with advanced lung cancer who are receiving targeted therapies for oncogenic mutations such as c-ros oncogene 1 (*ROS1*) mutations (1%), anaplastic lymphoma kinase (*ALK*) rearrangements (3%–7%), and epidermal growth factor receptor (*EGFR*) mutations (10%–15%).<sup>12</sup> Targeted therapy has improved outcomes for patients with these mutations, with median overall survival times of 52.1 months for *ROS1*, 81 months for *ALK*, and 29.7 months for *EGFR*. Thus, understanding the pathway to diagnosis is especially important in this population.<sup>13-16</sup>

The purpose of this study was to explore the experience of the diagnostic process among patients with advanced lung cancer whose tumors tested positive for oncogenic driver mutations in order to identify potential areas to improve the efficiency and experience of the diagnostic pathway.

## Methods

*Study design:* This qualitative study used in-depth individual patient interviews and was approved by the University of Washington Institutional Review Board (Study number STUDY00005438).

*Study population:* Participants met the following inclusion criteria: (1) histologic or cytologically confirmed diagnosis of metastatic or advanced non-small cell lung cancer (NSCLC) with the presence of one oncogenic alteration (*EGFR*, *ALK*, or *ROS1*); (2) physically and psychologically well enough to participate; (3) proficient in English; and (4) receiving care in the US. We identified patients using online oncogene-focused lung cancer support groups. Detailed methods are included in a previous publication.<sup>17</sup>

*Study procedures:* Participants were interviewed by phone, video-conference, or in-person depending on location and preference. One author (MA) conducted the interviews after receiving verbal consent. Interviews were audio-recorded and transcribed verbatim. Participants



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2  
3 were asked to describe their diagnostic journey from the moment of first noticing symptoms to  
4 initial treatment. The interviewer asked follow up questions for clarification. Participants were  
5 given a \$50 gift card for participating. Interview guide is included in appendix 1.  
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7  
8 *Analysis:* NVIVO 11 was used to organize the data and conduct the analysis. Inductive  
9 and deductive thematic analysis was applied. As outlined by Carspecken,<sup>18</sup> the transcripts were  
10 read by the lead author (MA) and low-level codes were developed. The codes were then collated  
11 by topic. Codes were mapped following the Model of Pathways to Treatment (Figure 1).<sup>8,19,20</sup>  
12 Themes and subthemes emerged through an iterative process, and all authors engaged in peer  
13 debriefings as groups and dyads reviewing aspects of the work, including coding and analysis,  
14 theme development, and description of findings. Themes were organized based on the *Aarhus*  
15 *statement on cancer diagnostic research* stages: patient interval, primary care interval, and  
16 secondary care interval.<sup>21,22</sup> Transcripts and themes were reviewed and synthesized to  
17 characterize the different types of diagnostic pathways experienced by patients.  
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21 MA is a lung cancer patient, family doctor, and qualitative researcher. MLZS is a  
22 researcher with experience in qualitative research. MT is a family physician in the US with  
23 extensive research experience on disease diagnosis. BHLG is an oncologist and health service  
24 researcher. FMW and RDN are primary care lung cancer researchers from the UK. MA did the  
25 main analysis and engaged in peer debriefing with co-authors as dyads and groups. Co-authors  
26 review aspects of the work, such as analysis and coding, theme development, and writing results.  
27  
28

29 *Patient and Public Involvement:* The main author is a stage 4 lung cancer patient and a  
30 member of a one lung cancer support groups. The research questions were informed by  
31 conversations with lung cancer communities. Patient gatekeepers helped recruiting participants  
32 by sharing about the study in their support groups. The study will be shared with cancer  
33 communities on social media, and specifically in the support group venues.  
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## 39 Results

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41 A total of 40 patients were interviewed. Their mean age was 48 (range 30–75); 12 were male and  
42 28 were female. Interviews were conducted a median of 19.5 months (range 3–152) after  
43 diagnosis (Table 1). All participants had a primary diagnosis of metastatic or advanced NSCLC  
44 with one driver oncogenic alteration. We noted seven different diagnostic pathways experienced  
45 by patients; rather than simply linear or predictable courses, pathways to diagnosis were more  
46 iterative and circular (Figure 2).  
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### 49 A. The Experience of Lung Cancer Diagnosis

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51 Emergent themes within the diagnostic intervals (patient, primary care, and secondary care) are  
52 detailed below.  
53

#### 54 1) Patient interval (Table 2)

### **a) Initial concerns about symptoms despite low perception of risk**

Prior to diagnosis, lung cancer did not come to mind for most participants, especially as most were younger and non-smokers. Many believed their healthy lifestyle protected them against such illnesses. In contrast, those who smoked suspected lung cancer from the onset of symptoms. The participants recalled experiencing various new symptoms or a change in persisting symptoms that concerned them. Most reported nonspecific symptoms; some were respiratory in nature, while others related to organs and systems due to metastatic spread (e.g., bone pain) or were constitutional (e.g., fatigue, weight loss). Some recalled the symptoms being present up to few months prior to diagnosis. A minority did not recall any symptoms. Diagnosis occurred after imaging for other reasons, such as an injury or trauma.

### **b) Attribution of symptoms to other causes, and not always seeking care immediately**

Participants initially attributed their symptoms to reasons other than lung cancer. Coughing, for example, was explained by forest fire smoke in the air; back pain was attributed to muscle spasm; fatigue was blamed on depression, and shortness of breath with activities on excessive weight. Even hemoptysis raised concern for tuberculosis as a more likely cause. Many participants did not worry initially because the symptoms were perceived as mild or they felt others had similar symptoms, such as dismissing a cough during flu season. Finally, some people did not have health insurance at the time of early symptoms, and the potential cost of health care services deterred them from seeking help.

### **c) Changes in severity or nature of symptoms prompting care-seeking actions**

Participants expressed experiencing a change in their level of concern prompting them to seek medical attention. Reasons included symptoms getting worse, especially after initially improving; not responding to treatments for other suspected illnesses; symptoms lingering; disruptive pain; symptoms developing in combination; alarming symptoms appearing, such as hemoptysis or significant weight loss; and symptoms affecting quality of life or affecting sleep. Sometimes family members or friends had advised the person to seek care after noticing symptoms.

Most individuals initially visited their primary care providers (PCPs) to get help with their symptoms or to determine the reason for the symptoms that had become concerning. Some first visited urgent care, especially when they encountered delays in accessing a PCP. Some patients who had established relationships with specialists consulted with them first: some complained to their ear, nose and throat doctor about their hemoptysis while others complained to their gastroenterologist about their shortness of breath.

## **2) Primary care interval (Table 3)**

### **a) Doctors initially treated for illnesses other than lung cancer**

Participants described that providers were not alarmed by, or sometimes dismissed, their initial symptoms. For many, the initial course of management was the investigation and treatment of benign etiologies. In some cases, initial investigations supported other diagnoses, such as a respiratory infection from chest X-ray (CXR) or acid reflux confirmed on endoscopy. In other

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3 cases, initial tests were normal. Some patients' symptoms were attributed to and treated as other  
4 diseases, for example, a shortness of breath was attributed to underlying asthma and treated with  
5 inhalers and steroids. Some patients were referred to specialists, such as physical therapy or  
6 orthopedics for musculoskeletal complaints. The wait for specialist appointments sometimes  
7 took several weeks. Not infrequently, providers used "safety netting", or contingency plans, such  
8 as scheduling return visits, follow-up CXR, and trying other treatment plans.  
9

### 10 11 **b) Discovery of imaging abnormality, often on CXR and/or chest CT, leading to** 12 **diagnosis**

13 A major turning point identified by some participants was getting a CXR, either at their request  
14 or prompted by their PCP, intended to identify the cause of symptoms. Imaging studies were also  
15 ordered when treatment failed or to assess whether previously-noted radiologic findings had been  
16 resolved. Occasionally, imaging tests were used to evaluate incidental conditions such as  
17 injuries, while other patients received CXR to follow up on nodules seen on previous imaging.  
18 Other imaging tests used to evaluate symptoms elsewhere in the body identified lung cancer as  
19 an incidental or unexpected finding, such as magnetic resonance imaging (MRI) for back pain or  
20 breast-screening MRIs identifying lung lesions.  
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23 For many patients, a diagnosis of lung cancer was supported by a chest CT done after an  
24 abnormal CXR or to discover the primary site after a metastasis was found. Scheduling the CT  
25 scan was often rushed. Sometimes PCPs pushed for this to happen or, when scheduling was  
26 delayed, advised patients to go to the emergency room (ER).  
27

### 28 29 **c) Severity of symptoms prompting need for emergency care**

30 Some patients went directly to the ER with distressing symptoms such as severe shortness of  
31 breath. Others sought care in the ER for symptoms such as headache and back pain as they had  
32 no PCP. At times, the patient's condition deteriorated quickly, requiring admission due to  
33 hypoxia or losing consciousness with brain tumors causing seizures. Occasionally, delays in  
34 diagnostics or the perception that their PCP could not offer much besides office testing prompted  
35 the patient to go to ER. Other patients were advised to go to the ER after findings such as a  
36 pulmonary embolism or massive brain metastasis. At the ER, it was not uncommon for the  
37 patient to be admitted. Some patients demanded urgent consultations from specialists and to be  
38 admitted to complete the cancer workup and start treatment.  
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## 41 **3) Specialty care interval (Table 4)**

### 42 43 **a) The pivotal nature of tissue sample collection**

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45 Once imaging raised the alarm for cancer, interventional radiologists, pulmonologists, or thoracic  
46 surgeons obtained tissue samples. While some patients saw a specialist fairly quickly, others  
47 experienced significant delays. Bronchoscopy, needle biopsies, sampling of pleural effusions,  
48 and occasionally surgical biopsies were used to clarify if the lesions seen on imaging were  
49 cancer, to identify the type of cancer, and to obtain tumor tissue for genetic testing. Results were  
50 delivered within a few days. While a bronchoscopy was often uneventful, it sometimes led to  
51 major bleeding, collapsed lungs, or the patient requiring resuscitation. Occasionally, concerns  
52 over the procedure led to delays in this diagnostic step. When decisions were made to forego  
53 biopsy, patients felt they were provided false reassurance based on less reliable information, such  
54 as the appearance on images and their overall assumed low-risk of cancer.  
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### **b) Access to oncologists determined staging but perceived delays led to distress**

Patients were referred to an oncologist once diagnosed. The referral was made urgently, often by the PCP or pulmonologist based on imaging findings or following pathology results. It was not uncommon for patients to perceive a delay in making appointments, causing frustration. To identify the right specialist and overcome delays, patients often leveraged personal connections or sought help from family and the cancer community. First meetings with oncologists often involved reviewing the results and setting treatment plans. These were usually short, especially if molecular results were not back. Oncologists often completed the diagnostic workup by ordering additional imaging such as positron emission tomography (PET) scans or brain MRIs. Since our participants had advanced diseases, PET scans often showed metastasis outside the lungs.

### **c) Genetic testing was crucial in directing patients to targeted treatments**

For our participants, molecular testing on tissue or blood samples was obviously an instrumental part of their diagnosis. Realization of a positive mutation was met with relief, as patients were fortunate to be a candidate for targeted therapy. However, molecular testing results sometimes took several weeks or were overlooked by providers. Looking back, some patients described frustration at being given chemotherapy instead of waiting for molecular testing results. Some, however, needed emergency chemotherapy, radiation, or surgery to relieve symptoms.

## **Discussion**

As the first on the subject, this study contributes to the literature on pathways to diagnosis and the intervals of diagnosis among patients with advanced lung cancer on targeted therapy. The participants were mostly young, non-smokers, unlike those in previous research in this area. We used a well-established model to map participants' experiences from their initial realization of symptoms, through contact with health care, and diagnostic workup.<sup>19,20</sup>

Previous studies on this 'patient interval' suggested that atypical or vague symptoms caused delays in knowing when to seek care. Previous research (with participants who were predominantly smokers) noted reluctance among patients to visit their health care provider when symptoms emerge,<sup>6</sup> but this pattern was not reported by the majority of our study participants. Because they were younger than the average age at presentation of lung cancer and/or presented with non-specific symptoms,<sup>4</sup> their concerns were typically attributed initially to benign diseases. Recognizing the symptoms and making a diagnosis can be particularly challenging when a patient has comorbid conditions with symptoms similar to those of lung cancer.<sup>4,23</sup>

Many patients perceived inefficiency and delays in the primary care interval. However, these perceptions were made retrospectively, bringing into question whether an actual delay took place. Some patients felt they had to advocate for themselves to obtain initial diagnostic testing and push for more advanced testing when initial tests were inconclusive. This finding is consistent with the role of self-advocacy in improving the quality of care for patients with cancer.<sup>24,25</sup> Previous studies suggested dismissive responses from PCPs may impact patients' decisions to consult care again.<sup>26,27</sup> In contrast, our participants reported persistence and, at times, sought other providers. Some

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3 providers clearly had contingency and follow-up plans, but other patients felt they were dismissed  
4 without clear “safety netting”.<sup>28</sup>  
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7 Previous US studies of lung cancer patients have suggested delays occur mainly in the primary  
8 care interval through misdiagnosis (and from monitoring nodules) rather than in the specialty-care  
9 interval.<sup>29</sup> In contrast, difficulty in accessing secondary care is a major cause for delays in the  
10 United Kingdom.<sup>6</sup> Our study found that patients’ sense of urgency and perception of unnecessary  
11 waiting intensified after receiving imaging diagnosing possible cancer. Many complained about  
12 delays in accessing pulmonologists, oncologists, or in results from molecular testing. While these  
13 waits were fairly short and probably had little impact on the overall prognosis, they did  
14 appear to intensify patient emotion.  
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18 This study has many strengths. It is the first to explore the perspectives of a relatively new group of  
19 lung cancer survivors: those on targeted therapies that experience significantly superior outcomes.  
20 Interviewees may have been better able to reflect on their diagnostic journey in the absence of side  
21 effects from chemo or radiation therapy. Our findings were developed within an existing  
22 framework used in research on early diagnosis of cancer by many other countries. Our study also  
23 has a few limitations. Only a small proportion of our participants experienced barriers in accessing  
24 care due to financial concerns, which may have limited our ability to determine the impact of these  
25 factors.<sup>29</sup> Our sampling relied on individuals identified from lung cancer survivor groups, which  
26 may have reduced the representativeness, particular of individuals from less affluent backgrounds  
27 and over-recruited patients who were more engaged with their disease and diagnostic work up.  
28 Also, we did not actively seek to define smoking status during the interviews, thus we omitted  
29 characterizing the sample by this factor. Finally, recall bias and differential recall bias are major  
30 concerns with this type of research.  
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35 Our study has important practical implications. First, lung cancer affects everyone, including those  
36 thought to be at low risk. The public must be made aware of this so when new symptoms appear,  
37 they will seek healthcare promptly. This advice should be tempered with knowledge of the  
38 extremely low probability of cancer in most patients and the poor predictive value of most  
39 symptoms. Second, PCPs should be vigilant for rare but serious diseases with similar symptom  
40 profiles to benign conditions. “Safety netting” should including sharing diagnostic uncertainty and  
41 encouraging patients to return for further assessment when symptoms fail to respond. More precise  
42 diagnostic tools would be valuable to PCPs in this difficult task, but ready access to CXR and CT  
43 is clearly important. Third, while access to secondary care for serious conditions like cancer may  
44 not be a challenge for all patients in the US, the need for coordinating care, communication with  
45 patients, and provision of up-to-date standards of practice continue to be an issue.  
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18 **Competing Interests:** The Authors have no conflict of interest to report.  
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20 **Contribution Statement:** MA, MLZS, FMW, RDN, BHLG, MT contributed to the literature review  
21 and the conceptualization of the work. MA conducted the interviews. MA conducted the primary analysis  
22 of the data. MLZS and MT did peer debriefing and review of analysis with MA individually and in  
23 groups. MA, MLZS, FMW, RDN, BHLG, MT all contributed to the writing of the discussion. All the  
24 authors reviewed and approved the final version of the study.  
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27 **Data sharing:** Deidentified data will be shared upon request.  
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3 Table 1. Participant characteristics.  
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5 Table 2. Supportive quotes for the.  
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11 Figure 1. The conceptual model of pathway to treatment.  
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13 Figure 2. Identified pathways to diagnosis.  
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15 Appendix 1. Interview guide.  
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Table 1. Participant characteristics.

Participant Characteristics	Median (Range) / Count
Age	49 (30-75) years
Gender	
Male	12
Female	28
Race	
White	34
Others (Asian, Hispanic, biracial (Asian and Hispanic))	6
Region in the US	
West	18
Northeast	8
Midwest	7
South	6
Insurance	
Private	34
Medicare	4
Medicaid	2
Time since diagnosis	19.5 (3-152) months
Cancer Stage at Time of Interview	
IV	38
IIIb	2
Mutation	
ALK	20
EGFR	14
Ros1	6

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Table 2. Supportive quotes for the patient interval.

<b>Initial concerns about symptoms despite low perception of risk</b>
<i>I have not been into a doctor for a medical check-up at all in all that time. I never had any days off taken my entire work experience. (1001)</i>
<i>I looked really healthy and I'm not a smoker. (3005)</i>
<i>I started seeing symptoms three-four months before diagnosis. I noticed some tightness in my chest. (1003)</i>
<i>I just had a dry cough that would not go away. (2007)</i>
<b>Attribution of symptoms to other causes, and not always seeking care immediately</b>
<i>There were a lot of forest fires. The air was always really smoky and I thought maybe part of the headaches or not feeling quite right was caused by the smoke. (2013)</i>
<i>I was having some lower back pain in the kidney area and had some other symptoms that made me think maybe I have got kidney stones. (2006)</i>
<i>Everybody else in the family also seemed to have flu- like illness going on with a cough; cold-cough kind of thing. (1005)</i>
<i>I was very weak, very lethargic; the worst I ever felt in my life. I tried to self-medicate. I was not insured. (1003)</i>
<b>Changes in severity or nature of symptoms prompting care-seeking actions</b>
<i>Three more weeks went by and the cough continued to get worse to the point where my chest started hurting and I had a little bit of a backache. (1005)</i>
<i>My wife came back from China, she was away for about a month. She said, "Your coughing is different." At the time, I didn't notice anything yet. (2012)</i>
<i>I coughed a little blood. I am not stupid I knew I had big trouble. There was no question; I called the doctor. (1012)</i>
<i>I decided, I'm going to go ahead and see my primary care physician to see if maybe she had some more suggestions of what I can do to help this throat situation. (1017)</i>

Review only

Table 3. Supportive quotes for the primary care provider interval.

<b>Doctors initially treated for illnesses other than lung cancer</b>
<p><i>I recall going to see the Primary Care Physician and mentioned, "I'm constantly clearing my throat." They casually dismissed me; the symptom continued. (3002)</i></p> <p><i>I went to the doctor and she did full blood work and said everything looks great. She said the cough was probably just a little bit of a remnant from the cold and typically it can take 3, 4, 5 or even 6 months to go away and not to worry about it too much. (1001)</i></p> <p><i>I went back to my doctor again and said, "okay, we've tried asthma, we've tried the allergy, here is some reflux medications," which kind of helped. She sent me to my doctor that specializes in reflux. We did an endoscopy. They came back with, "you do have reflux." (3004)</i></p> <p><i>I kept seeing various doctors and they would always send me home. Like, "Oh, it's a seasonal cold. Oh, it's allergies. Oh, you pulled the muscles from coughing too much, here are some steroids." (1008)</i></p> <p><i>I went to a walk in clinic two different times and was diagnosed with walking pneumonia. Both of those times, I did have an x-ray of the chest, and it just showed some cloudiness, it didn't show any kind mass. (2007)</i></p> <p><i>She put me on a different prescription but she said, "If you're not better in a couple of weeks, come back and we'll do a full pulmonary workup and we'll do more diagnostic testing 'cause this was concerning." (3001)</i></p>
<b>Discovery of imaging abnormality, often on CXR or a chest CT led to diagnosis</b>
<p><i>The doctor gave me steroids was leaving the room, I said something to the effect of, "I thought I would have to get an X-ray." I'm the one who mentioned the word, "X-ray". (1017)</i></p> <p><i>I went to get an x-ray of my left rib cage. It felt like something was there. I told my doctor that I think I have cancer and I want her to check for cancer. So she obliged. (1009)</i></p> <p><i>I made an appointment and set me for a chest x-ray. And this is was to me really an important point. There was a radiologist sitting in the booth. He looked at me and from the look on his face I just knew. (1011)</i></p> <p><i>After the car accident I was taken to a trauma center and they scanned me and said, "You have a broken back and lung cancer." (2009)</i></p> <p><i>I went back to the doctor the next day and she took a look and she said, "Hmm, I don't like that (swelling in supra-clavicular area)." And she sent me for an ultrasound. (3001)</i></p> <p><i>I went for a physical to my primary care doctor. He noticed that I had motor deficits in my hands. He suggested that I get an MRI. I actually had to go and see a neurologist in order to get the prescription for an MRI and paid for. (2013)</i></p> <p><i>As soon as the order went in for the chest x-ray, I went in to have it done. That night my doctor called back and said, "we saw some things on the chest x-ray, we want to get you in for CT scan." So the chest x-ray was a Monday, the CT scan was a Thursday. On the night of the CT scan, she called back and said, "It looks like cancer." (3004)</i></p> <p><i>She noticed that my breath sounds weren't right. So she ordered a CT and called me the next day and told me that she was going to send me for a PET. She was pretty concerned that it was lung cancer. (1004)</i></p>
<b>Severity of symptoms prompting need for emergency care</b>
<p><i>I was scheduled for a CT scan but the next opening wasn't for like 2 or 3 weeks. I was having so much coughing that I couldn't speak or breathe properly. So I called my APNP's office. She advised that I should go to the ER and get a CT scan. (2007)</i></p> <p><i>We scheduled the biopsy for Thursday. Tuesday morning before I could go for the biopsy, I woke up coughing up blood, a considerable amount of blood which was new that it never happened. So I drove myself to the ER</i></p> <p><i>The second I went in the pulmonologist office, he checked my oxygen and it was 85%. I took his advise and went to the hospital. (1014)</i></p>

Table 4. Supportive quotes for the secondary care interval.

<p><b>The pivotal nature of tissue sample collection</b></p>
<p><i>The PCP said, "I think you have a problem. You got to go and see a Pulmonologist immediately." Finding a Pulmonologist with an opening is impossible. (3002)</i>  <i>She said it looks like a metastatic disease. She set me up with a biopsy of the lung and a biopsy of the liver. (3003)</i>  <i>I tried to have a lung biopsy done and I was sitting on the table and the radiologist came in and he said, "I can't biopsy that nodule, no way." The team were all arguing about it over me and finally the radiologist said it is not biopsiable and so I left. They said, well, that probably is not cancer. (1011)</i>  <i>I had a biopsy of the lungs and ended up with a completely collapsed lung and a chest tube. (1006)</i>  <i>A senior pulmonologist said, "We suggest drain her lung, drain her effusion and let her out." But the hospitalist was like, "I don't want to let her out until we get a biopsy because she's going to be in the community and it's trying to schedule all of these and she's going to be given and run around and this is an emergent case so I'm leaving her until she can get the biopsy." (1019)</i>  <i>I had a needle biopsy and he called me a couple of days later, "It's lung cancer and it's adenocarcinoma, and I'm going to send you to an oncologist. (3001)</i></p>
<p><b>Access to oncologists determined staging but perceived delays led to distress</b></p>
<p><i>I was discharged from the hospital, came home, had a follow-up appointment a few days later with an oncologist who was just part of the healthcare system. They just assigned to me to somebody. (2008)</i>  <i>I was leaving ever more frantic messages and calling again and again and pushing the reception desk. It was about quarter to 12 before I finally convinced her I needed to talk with the doctor today rather than wait, find out how long I might live. (2014)</i>  <i>I was able to find a lung cancer foundation. And one of the folks there told me about a lung cancer oncologist in an area close to me and said, "You should reach out to them. And tell them I told you to give them a call." And so I did just that. And the doctor called me back. (3002)</i>  <i>They noted that there were tumors spotted on in my neck region and at that point in time, they wanted to do a full PET scan to figure out what the extent it was. They turned around really quickly. I must say after the original scan, the quickness of my treatment and exploratory work was very fast. (1013)</i>  <i>I had developed what I had thought was sciatica, but when they did the scan they found out that it was metastasis in my bone that was hitting my sacrum that was kind of causing the sciatic nerve to be inflamed.(2001)</i></p>
<p><b>Genetic testing was crucial in directing patients to targeted treatments</b></p>
<p><i>I'm grateful my oncologist ordered molecular testing. I know that's becoming standard as care now, it was not quite so much standard as care at that stage. (1001)</i>  <i>So the following week we are supposed to have an appointment but the insurance took a while to approve everything. We postponed that appointment till we got result and the result were ALK positive. (1019)</i>  <i>When week number 4 went around, (the local oncologist) has not been following up with me. I've been calling you, we still don't have results. I was uncomfortable right around week 6, so I flew and sat down with an oncologist (at a major cancer center) and he basically said well we don't need to wait. Let's do blood test (liquid biopsy). I'll have the results for you in 7 days. (1020)</i>  <i>I think that somebody dropped the ball at the hospital because the request for the testing wasn't sent until three weeks after they did the surgery they hadn't even requested to do the molecular testing. So when they finally did it still took another few weeks. (1018)</i>  <i>I had a week of radiation and they were still waiting for the mutation to come back. (3006)</i>  <i>He wanted me to start chemo treatment immediately because it seemed to be very aggressive whatever this was. Without waiting for the results of any genomic testing and this is still a point of concern for me because, looking back, I feel things work done improperly. We did not wait for the results of the genomic testing. I was started on chemotherapy. (2008)</i>  <i>The surgery basically gave me a hug and said, there's some really good news, the tumors tested positive for ROS 1, and I had no idea what this means. (3002)</i>  <i>I'll never forget when my doctor came in and he said, "Hey, you have the ALK mutation." And he said, "You're really lucky." And I'm like, "What do you mean? How am I lucky?" And he was like, "We have this great medicine that was just approved by the FDA. (1008)</i></p>

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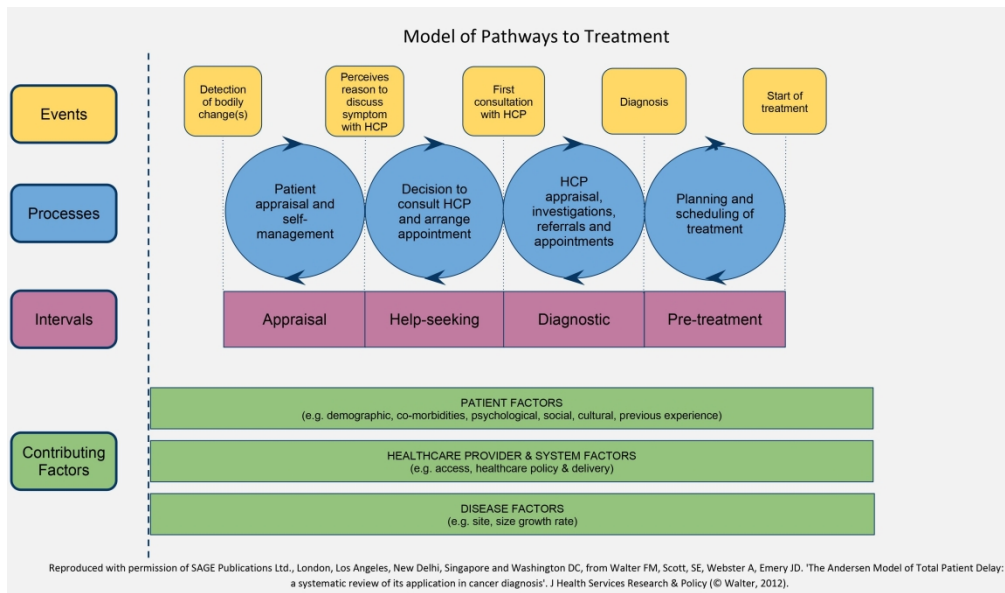


Figure 1. The conceptual model of pathway to treatment.

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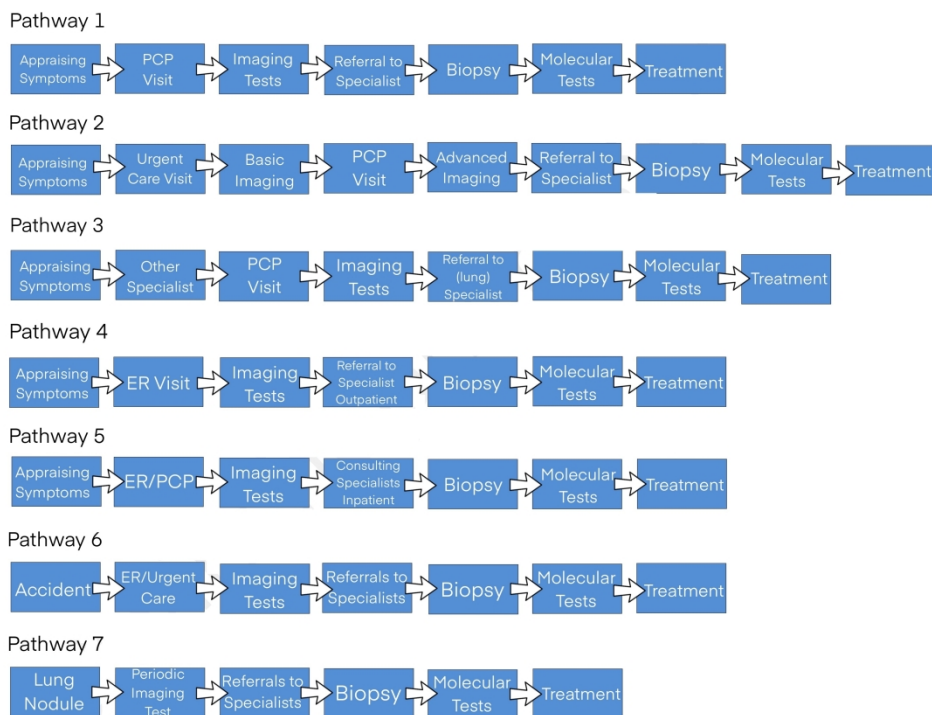


Figure 2. Identified pathways to diagnosis.

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## Interview Protocol

### First Topic Domain: Life Before Cancer Diagnosis

- *Lead-off question*

*“I want to know about your life before cancer diagnosis. Think about the time back then and tell me about typical days of your life. Pretend that you are telling your life story to a friend. I want to know everything, family, school, work, friends, hobbies.”*

- Covert categories: [day to day life; meaning-making; identity; self-image; what did the person do before; who the person was before; aspects of life relevant to the person; norms and values; education; how the person looks at oneself in the past; how much reconstruction is taking place; the tone of feeling when reflecting about the past; the relation to the old self; others.]
- *Possible follow-up questions*
  1. *Tell me about you and your family before*
  2. *Tell me about significant other(s)*
  3. *Tell me what you did for work today. Describe your job before.*
  4. *Tell me about what you did in your leisure time (friends, hobbies, etc.)*

### Second Topic Domain: Diagnosis of Cancer

- *Lead-off question*

*“Now I want to learn about your cancer itself. Tell me the story of your cancer diagnosis and treatment.”*

- Covert categories: [the experience of early symptoms; the internal dialogues and making decision to seek help; the experience of making the diagnosis; the role of family and friends; the experience with healthcare; perceptions about doctors, nurses, and staff; opinions of the health system at large; the decisions around treatment; the treatment; side effects; others]
- *Possible follow-up questions*
  1. *How did the disease present itself?*
  2. *How was your experience with the doctors, hospitals, clinic staff?*
  3. *How was your experience with treatment?*
  4. *How did you and your doctor make decisions about treatment?*

### Third Topic Domain: Life after Cancer

- *Lead-off question*

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3 “Now I want to learn about your life after cancer diagnosis. Tell me about your life now, the day to day  
4 life. Walk me through a typical day of your week.”  
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- 6 • Covert categories: [how is the patient with cancer living life. What is different from before; what  
7 is the same; work; school; family; relationships; emotions/feelings; desires; struggles; things that  
8 are going well; things that are not going well; resilience; others]
- 9 • Possible follow-up questions
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- 12 1. How do you spend your time if not working?
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- 14 2. What are non-cancer related things you do on day to day?
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- 16 3. What changed from before?
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#### 19 **Fourth Topic Domain: Coping with Cancer**

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- 21 • Lead-off question
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23 “I want to focus now on what you are doing to cope with cancer. What are you doing on day-to-day basis  
24 to deal with cancer? Tell me everything in the area of health and wellbeing you are doing related to  
25 dealing with cancer. [if there are special treatment days] tell me about the treatment days.”  
26

- 27 • Covert categories: [health related actions; exercise; diet; taking medications; other categories the  
28 patient considers relevant; why are they doing every one; what are implicit theories behind the  
29 workings of these actions; support persons]
- 30
- 31 • Possible follow-up questions
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- 33 1. What are some things that you are doing to live better/be healthier?
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- 35 2. What are some things you are doing to get better at dealing with cancer?
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- 37 3. What have you found helpful?
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- 39 4. How do you get strength?
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- 41 5. How do you find meaning?
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#### 44 **Fifth Topic Domain: Unmet Needs**

- 45 • Lead-off question
- 46

47 “What is it that you need today to make things better in your day to day. I am speaking about the  
48 emotional need, physical need, and spiritual needs and any others.”  
49

- 50 • Covert categories: [unmet needs; desires; wants; struggles; conflicts; limitations; perceptions of  
51 what can be helpful; perceptions of what is contributing to the person’s struggle; how can others  
52 help the person; how can the person help herself; others]
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- 54 • Possible follow-up questions
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- 56 1. What could improve your quality of life today?
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- 2. *What do you need for your emotional wellbeing?*
- 3. *What is it that can be done for you so you feel better health-wise?*
- 4. *What is it that can be done to improve your experience with your healthcare team?*

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## Standards for Reporting Qualitative Research (SRQR)\*

<http://www.equator-network.org/reporting-guidelines/srqr/>

Page/line no(s).

### Title and abstract

<p><b>Title</b> - Concise description of the nature and topic of the study Identifying the study as qualitative or indicating the approach (e.g., ethnography, grounded theory) or data collection methods (e.g., interview, focus group) is recommended</p>	1
<p><b>Abstract</b> - Summary of key elements of the study using the abstract format of the intended publication; typically includes background, purpose, methods, results, and conclusions</p>	3

### Introduction

<p><b>Problem formulation</b> - Description and significance of the problem/phenomenon studied; review of relevant theory and empirical work; problem statement</p>	5
<p><b>Purpose or research question</b> - Purpose of the study and specific objectives or questions</p>	5

### Methods

<p><b>Qualitative approach and research paradigm</b> - Qualitative approach (e.g., ethnography, grounded theory, case study, phenomenology, narrative research) and guiding theory if appropriate; identifying the research paradigm (e.g., postpositivist, constructivist/ interpretivist) is also recommended; rationale**</p>	6
<p><b>Researcher characteristics and reflexivity</b> - Researchers' characteristics that may influence the research, including personal attributes, qualifications/experience, relationship with participants, assumptions, and/or presuppositions; potential or actual interaction between researchers' characteristics and the research questions, approach, methods, results, and/or transferability</p>	6
<p><b>Context</b> - Setting/site and salient contextual factors; rationale**</p>	5
<p><b>Sampling strategy</b> - How and why research participants, documents, or events were selected; criteria for deciding when no further sampling was necessary (e.g., sampling saturation); rationale**</p>	5-6
<p><b>Ethical issues pertaining to human subjects</b> - Documentation of approval by an appropriate ethics review board and participant consent, or explanation for lack thereof; other confidentiality and data security issues</p>	5
<p><b>Data collection methods</b> - Types of data collected; details of data collection procedures including (as appropriate) start and stop dates of data collection and analysis, iterative process, triangulation of sources/methods, and modification of procedures in response to evolving study findings; rationale**</p>	5-6

1 2 3 4 5	<b>Data collection instruments and technologies</b> - Description of instruments (e.g., interview guides, questionnaires) and devices (e.g., audio recorders) used for data collection; if/how the instrument(s) changed over the course of the study	5-6, appendix
6 7 8	<b>Units of study</b> - Number and relevant characteristics of participants, documents, or events included in the study; level of participation (could be reported in results)	6, table 1
9 10 11 12	<b>Data processing</b> - Methods for processing data prior to and during analysis, including transcription, data entry, data management and security, verification of data integrity, data coding, and anonymization/de-identification of excerpts	6
13 14 15 16	<b>Data analysis</b> - Process by which inferences, themes, etc., were identified and developed, including the researchers involved in data analysis; usually references a specific paradigm or approach; rationale**	6
17 18 19 20	<b>Techniques to enhance trustworthiness</b> - Techniques to enhance trustworthiness and credibility of data analysis (e.g., member checking, audit trail, triangulation); rationale**	6

### Results/findings

23 24 25 26	<b>Synthesis and interpretation</b> - Main findings (e.g., interpretations, inferences, and themes); might include development of a theory or model, or integration with prior research or theory	6-9
27 28 29	<b>Links to empirical data</b> - Evidence (e.g., quotes, field notes, text excerpts, photographs) to substantiate analytic findings	tables 2-4

### Discussion

32 33 34 35 36 37	<b>Integration with prior work, implications, transferability, and contribution(s) to the field</b> - Short summary of main findings; explanation of how findings and conclusions connect to, support, elaborate on, or challenge conclusions of earlier scholarship; discussion of scope of application/generalizability; identification of unique contribution(s) to scholarship in a discipline or field	9-10
38 39	<b>Limitations</b> - Trustworthiness and limitations of findings	10

### Other

42 43 44	<b>Conflicts of interest</b> - Potential sources of influence or perceived influence on study conduct and conclusions; how these were managed	11
45 46	<b>Funding</b> - Sources of funding and other support; role of funders in data collection, interpretation, and reporting	11

\*The authors created the SRQR by searching the literature to identify guidelines, reporting standards, and critical appraisal criteria for qualitative research; reviewing the reference lists of retrieved sources; and contacting experts to gain feedback. The SRQR aims to improve the transparency of all aspects of qualitative research by providing clear standards for reporting qualitative research.

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\*\*The rationale should briefly discuss the justification for choosing that theory, approach, method, or technique rather than other options available, the assumptions and limitations implicit in those choices, and how those choices influence study conclusions and transferability. As appropriate, the rationale for several items might be discussed together.

**Reference:**

O'Brien BC, Harris IB, Beckman TJ, Reed DA, Cook DA. **Standards for reporting qualitative research: a synthesis of recommendations.** *Academic Medicine*, Vol. 89, No. 9 / Sept 2014  
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## Experiences along the diagnostic pathway for patients with advanced lung cancer in the United States: A Qualitative Study

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4 **Experiences along the diagnostic pathway for patients with advanced lung cancer in the**  
5 **United States: A Qualitative Study**  
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17 **Keywords:** lung cancer, cancer diagnosis, oncogenetic alterations.  
18  
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20 **List of Abbreviations:**  
21  
22

23 CT Computed Tomography  
24 *ROS1* c-Ros oncogene 1  
25 ALK Anaplastic lymphoma kinase  
26 Epidermal growth factor  
27 EGFR receptor  
28 NSCLC Non-small cell lung cancer  
29 PCP Primary care provider  
30 CXR Chest X-ray  
31 ER Emergency room  
32 PET positron emission tomography  
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**Abstract (248 words)**

*Background:* Most lung cancer patients are diagnosed at advanced stages. But the advent of oral targeted therapies improved prognosis of many lung cancer patients.

*Purpose:* We aimed to understand the diagnostic experiences of patients with advanced lung cancer with oncogenic mutations.

*Methods:* Qualitative interviews with patients with advanced or metastatic non-small cell lung cancer with oncogenic alterations. Patients were recruited from online support groups within the US. Interviews were conducted remotely or in person. Analysis used an iterative inductive and deductive process. Themes were mapped to the Model of Pathways to Treatment..

*Results:* 40 patients (12 male and 28 female) with a median age of 48. We identified 9 distinct themes. During the “patient interval,” individuals became concerned about symptoms, but often attributed them to other causes. Prolonged or more severe symptoms prompted care seeking. During the “primary care interval,” doctors initially treated for illnesses other than cancer. Discovery of an imaging abnormality was a turning point in diagnostic pathways. Occasionally, severity of symptoms prompted patients to seek emergency care. During the “secondary care interval,” obtaining tissue samples was pivotal in confirming diagnosis. Delays in accessing oncology care sometimes led to patient distress. Obtaining genetic testing was crucial in directing patients to receive targeted treatments.

*Conclusions:* Patients experienced multiple different routes to their diagnosis. Some patients perceived delays, inefficiencies, and lack of coordination which could be distressing. Shifting the stage of diagnosis of lung cancer to optimize the impact of targeted therapies will require concerted efforts in early detection.

### Strengths and limitations of this study

The study's strengths include exploring the perspectives on the diagnosis journey of a large number of participants representing a relatively new group of lung cancer survivors: those on targeted therapies that experience significantly superior outcomes.

Our findings were developed within an existing theoretical framework used in research on early cancer diagnosis by many other countries.

The study's limitations include relying on individuals identified from lung cancer survivor groups, which may have reduced the representativeness, particularly of individuals from less affluent backgrounds.

Only a small proportion of our participants experienced barriers in accessing care due to financial concerns, which may have limited our ability to determine these factors' impact.

Recall bias and differential recall bias are major concerns with this type of research.

## Background

Lung cancer is the leading cause of cancer death and the second most common cancer type in the United States (US).<sup>1</sup> In 2016, incidence of new lung cancer cases in the US was 56 per 100,000 people and the rate of lung cancer death surpassed the rate of any other cancer death with 38.5 per 100,000 people.<sup>2</sup>

Although screening for lung cancer using low dose computed tomography (CT) scanning has been recommended in the US since 2013, the majority of individuals are diagnosed either after seeking clinical care with symptoms or as an incidental finding after imaging.<sup>3</sup> The poor outcomes associated with lung cancer are at least partly the result of the length of time between a patient first experiencing bodily changes and being diagnosed.<sup>4-7</sup> Based on a pooled analysis of 56 studies, the median time from symptom onset to diagnosis ranged from 41 to 143 days.<sup>8</sup> Unfortunately, a significant proportion of individuals with lung cancer are at advanced stages at the time of diagnosis and have an overall survival rate measured in months.<sup>9</sup>

There has been surprisingly little US research on patients' perceptions of the diagnostic pathways for lung cancer. Most research assessing time to diagnosis has been performed in European health care systems and in smokers, making comparisons to the US population or to non-smokers difficult.<sup>10,11</sup> There has been almost no research on the diagnostic experiences of patients with advanced lung cancer who are receiving targeted therapies for oncogenic mutations such as c-ros oncogene 1 (*ROS1*) mutations (1%), anaplastic lymphoma kinase (*ALK*) rearrangements (3%–7%), and epidermal growth factor receptor (*EGFR*) mutations (10%–15%).<sup>12</sup> Targeted therapy has improved outcomes for patients with these mutations, with median overall survival times of 52.1 months for *ROS1*, 81 months for *ALK*, and 29.7 months for *EGFR*. Thus, understanding the pathway to diagnosis is especially important in this population.<sup>13-16</sup>

The purpose of this study was to explore the experience of the diagnostic process among patients with advanced lung cancer whose tumors tested positive for oncogenic driver mutations in order to identify potential areas to improve the efficiency and experience of the diagnostic pathway.

## Methods

*Study design:* This qualitative study used in-depth individual patient interviews and was approved by the University of Washington Institutional Review Board (Study number STUDY00005438).

*Study population:* Participants met the following inclusion criteria: (1) histologic or cytologically confirmed diagnosis of metastatic or advanced non-small cell lung cancer (NSCLC) with the presence of one oncogenic alteration (*EGFR*, *ALK*, or *ROS1*); (2) physically and psychologically well enough to participate; (3) proficient in English; and (4) receiving care in the US. We identified patients using online oncogene-focused lung cancer support groups. Detailed methods are included in a previous publication.<sup>17</sup>

*Study procedures:* Participants were interviewed by phone, video-conference, or in-person depending on location and preference. One author (MA) conducted the interviews after receiving verbal consent. Interviews were audio-recorded and transcribed verbatim. Participants

1  
2  
3 were asked to describe their diagnostic journey from the moment of first noticing symptoms to  
4 initial treatment. The interviewer asked follow up questions for clarification. Participants were  
5 given a \$50 gift card for participating. Interview questions and follow up prompts are included in  
6 appendix 1.  
7

8  
9 *Analysis:* NVIVO 11 was used to organize the data and conduct the analysis. Inductive  
10 and deductive thematic analysis was applied. As outlined by Carspecken,<sup>18</sup> the transcripts were  
11 read by the lead author (MA) and low-level codes were developed. The codes were then collated  
12 by topic. Codes were mapped following the Model of Pathways to Treatment (Figure 1).<sup>8,19,20</sup>  
13 Themes and subthemes emerged through an iterative process, and all authors engaged in peer  
14 debriefings as groups and dyads reviewing aspects of the work, including coding and analysis,  
15 theme development, and description of findings. Themes were organized based on the *Aarhus*  
16 *statement on cancer diagnostic research* stages: patient interval, primary care interval, and  
17 secondary care interval.<sup>21,22</sup> Transcripts and themes were reviewed and synthesized to  
18 characterize the different types of diagnostic pathways experienced by patients.  
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22  
23 MA is a stage 4, ALK positive lung cancer patient, family doctor, and qualitative  
24 researcher. MLZS is a researcher with experience in qualitative research. MT is a family  
25 physician in the US with extensive research experience on disease diagnosis. BHLG is an  
26 oncologist and health service researcher. FMW and RDN are primary care lung cancer  
27 researchers from the UK. MA did the main analysis and engaged in peer debriefing with co-  
28 authors as dyads and groups. Co-authors review aspects of the work, such as analysis and coding,  
29 theme development, and writing results.  
30  
31

32 *Patient and Public Involvement:* The main author is a stage 4 lung cancer patient and a  
33 member of a one lung cancer support groups. The research questions were informed by  
34 conversations with lung cancer communities. Patient gatekeepers helped recruiting participants  
35 by sharing about the study in their support groups. The study will be shared with cancer  
36 communities on social media, and specifically in the support group venues.  
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## 41 **Results**

42  
43 A total of 40 patients were interviewed. Their mean age was 48 (range 30–75); 12 were male and  
44 28 were female. Interviews were conducted a median of 19.5 months (range 3–152) after  
45 diagnosis (Table 1). All participants had a primary diagnosis of metastatic or advanced NSCLC  
46 with one driver oncogenic alteration. We noted seven different diagnostic pathways experienced  
47 by patients, rather than a single course. These pathways varied primarily by the initial presentation  
48 site (primary care, emergency room, etc.) due to the perceived urgency of symptoms (Figure 2).  
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### 51 **A. The Experience of Lung Cancer Diagnosis**

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53 Emergent themes within the diagnostic intervals (patient, primary care, and secondary care) are  
54 detailed below.  
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3 1) Patient interval (Table 2)  
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5 **a) Initial concerns about symptoms despite low perception of risk**  
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7 Prior to diagnosis, lung cancer did not come to mind for most participants, especially as most  
8 were younger and non-smokers. Many believed their healthy lifestyle protected them against  
9 such illnesses. In contrast, those who smoked suspected lung cancer from the onset of symptoms.  
10 The participants recalled experiencing various new symptoms or a change in persisting  
11 symptoms that concerned them. Most reported nonspecific symptoms; some were respiratory in  
12 nature, while others related to organs and systems due to metastatic spread (e.g., bone pain) or  
13 were constitutional (e.g., fatigue, weight loss). Some recalled the symptoms being present up to  
14 few months prior to diagnosis. A minority did not recall any symptoms. Diagnosis occurred after  
15 imaging for other reasons, such as an injury or trauma.  
16  
17

18 **b) Attribution of symptoms to other causes, and not always seeking care immediately**  
19

20 Participants initially attributed their symptoms to reasons other than lung cancer. Coughing, for  
21 example, was explained by forest fire smoke in the air; back pain was attributed to muscle  
22 spasm; fatigue was blamed on depression, and shortness of breath with activities on excessive  
23 weight. Even hemoptysis raised concern for tuberculosis as a more likely cause. Many  
24 participants did not worry initially because the symptoms were perceived as mild or they felt  
25 others had similar symptoms, such as dismissing a cough during flu season. Finally, some people  
26 did not have health insurance at the time of early symptoms, and the potential cost of health care  
27 services deterred them from seeking help.  
28  
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30

31 **c) Changes in severity or nature of symptoms prompting care-seeking actions**  
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33 Participants expressed experiencing a change in their level of concern prompting them to seek  
34 medical attention. Reasons included symptoms getting worse, especially after initially  
35 improving; not responding to treatments for other suspected illnesses; symptoms lingering;  
36 disruptive pain; symptoms developing in combination; alarming symptoms appearing, such as  
37 hemoptysis or significant weight loss; and symptoms affecting quality of life or affecting sleep.  
38 Sometimes family members or friends had advised the person to seek care after noticing  
39 symptoms.  
40  
41

42 Most individuals initially visited their primary care providers (PCPs) to get help with their  
43 symptoms or to determine the reason for the symptoms that had become concerning. Some first  
44 visited urgent care, especially when they encountered delays in accessing a PCP. Some patients  
45 who had established relationships with specialists consulted with them first: some complained to  
46 their ear, nose and throat doctor about their hemoptysis while others complained to their  
47 gastroenterologist about their shortness of breath.  
48  
49

50 2) Primary care interval (Table 3)  
51

52 **a) Doctors initially treated for illnesses other than lung cancer**  
53

54 Participants described that providers were not alarmed by, or sometimes dismissed, their initial  
55 symptoms. For many, the initial course of management was the investigation and treatment of  
56 benign etiologies. In some cases, initial investigations supported other diagnoses, such as a  
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3 respiratory infection from chest X-ray (CXR) or acid reflux confirmed on endoscopy. In other  
4 cases, initial tests were normal. Some patients' symptoms were attributed to and treated as other  
5 diseases, for example, a shortness of breath was attributed to underlying asthma and treated with  
6 inhalers and steroids. Some patients were referred to specialists, such as physical therapy or  
7 orthopedics for musculoskeletal complaints. The wait for specialist appointments sometimes  
8 took several weeks. Not infrequently, providers used "safety netting", or contingency plans, such  
9 as scheduling return visits, follow-up CXR, and trying other treatment plans.  
10  
11

### 12 **b) Discovery of imaging abnormality, often on CXR and/or chest CT, leading to** 13 **diagnosis**

14 A major turning point identified by some participants was getting a CXR, either at their request  
15 or prompted by their PCP, intended to identify the cause of symptoms. Imaging studies were also  
16 ordered when treatment failed or to assess whether previously-noted radiologic findings had been  
17 resolved. Occasionally, imaging tests were used to evaluate incidental conditions such as  
18 injuries, while other patients received CXR to follow up on nodules seen on previous imaging.  
19 Other imaging tests used to evaluate symptoms elsewhere in the body identified lung cancer as  
20 an incidental or unexpected finding, such as magnetic resonance imaging (MRI) for back pain or  
21 breast-screening MRIs identifying lung lesions.  
22  
23

24 For many patients, a diagnosis of lung cancer was supported by a chest CT done after an  
25 abnormal CXR or to discover the primary site after a metastasis was found. Scheduling the CT  
26 scan was often rushed. Sometimes PCPs pushed for this to happen or, when scheduling was  
27 delayed, advised patients to go to the emergency room (ER).  
28

### 29 **c) Severity of symptoms prompting need for emergency care**

30  
31 Some patients went directly to the ER with distressing symptoms such as severe shortness of  
32 breath. Others sought care in the ER for symptoms such as headache and back pain as they had  
33 no PCP. At times, the patient's condition deteriorated quickly, requiring admission due to  
34 hypoxia or losing consciousness with brain tumors causing seizures. Occasionally, delays in  
35 diagnostics or the perception that their PCP could not offer much besides office testing prompted  
36 the patient to go to ER. Other patients were advised to go to the ER after findings such as a  
37 pulmonary embolism or massive brain metastasis. At the ER, it was not uncommon for the  
38 patient to be admitted. Some patients demanded urgent consultations from specialists and to be  
39 admitted to complete the cancer workup and start treatment.  
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## 42 **3) Specialty care interval (Table 4)**

### 43 **a) The pivotal nature of tissue sample collection**

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46 Once imaging raised the alarm for cancer, interventional radiologists, pulmonologists, or thoracic  
47 surgeons obtained tissue samples. While some patients saw a specialist fairly quickly, others  
48 experienced significant delays. Bronchoscopy, needle biopsies, sampling of pleural effusions,  
49 and occasionally surgical biopsies were used to clarify if the lesions seen on imaging were  
50 cancer, to identify the type of cancer, and to obtain tumor tissue for genetic testing. Results were  
51 delivered within a few days. While a bronchoscopy was often uneventful, it sometimes led to  
52 major bleeding, collapsed lungs, or the patient requiring resuscitation. Occasionally, concerns  
53 over the procedure led to delays in this diagnostic step. When decisions were made to forego  
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3 biopsy, patients felt they were provided false reassurance based on less reliable information, such  
4 as the appearance on images and their overall assumed low-risk of cancer.  
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### 6 **b) Access to oncologists determined staging but perceived delays led to distress**

7  
8 Patients were referred to an oncologist once diagnosed. The referral was made urgently, often by  
9 the PCP or pulmonologist based on imaging findings or following pathology results. It was not  
10 uncommon for patients to perceive a delay in making appointments, causing frustration. To  
11 identify the right specialist and overcome delays, patients often leveraged personal connections  
12 or sought help from family and the cancer community. First meetings with oncologists often  
13 involved reviewing the results and setting treatment plans. These were usually short, especially if  
14 molecular results were not back. Oncologists often completed the diagnostic workup by ordering  
15 additional imaging such as positron emission tomography (PET) scans or brain MRIs. Since our  
16 participants had advanced diseases, PET scans often showed metastasis outside the lungs.  
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### 19 **c) Genetic testing was crucial in directing patients to targeted treatments**

20  
21 For our participants, molecular testing on tissue or blood samples was obviously an instrumental  
22 part of their diagnosis. Realization of a positive mutation was met with relief, as patients were  
23 fortunate to be a candidate for targeted therapy. However, molecular testing results sometimes  
24 took several weeks or were overlooked by providers. Looking back, some patients described  
25 frustration at being given chemotherapy instead of waiting for molecular testing results. Some,  
26 however, needed emergency chemotherapy, radiation, or surgery to relieve symptoms.  
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## 30 **Discussion**

31  
32 As the first on the subject, this study contributes to the literature on pathways to diagnosis and the  
33 intervals of diagnosis among patients with advanced lung cancer on targeted therapy. The  
34 participants were mostly young, non-smokers, unlike those in previous research in this area. We  
35 used a well-established model to map participants' experiences from their initial realization of  
36 symptoms, through contact with health care, and diagnostic workup.<sup>19,20</sup>  
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39 Previous studies on this 'patient interval' suggested that atypical or vague symptoms caused delays  
40 in knowing when to seek care. Previous research (with participants who were predominantly  
41 smokers) noted reluctance among patients to visit their health care provider when symptoms  
42 emerge,<sup>6</sup> but this pattern was not reported by the majority of our study participants. Because they  
43 were younger than the average age at presentation of lung cancer and/or presented with non-  
44 specific symptoms,<sup>4</sup> their concerns were typically attributed initially to benign diseases.  
45 Recognizing the symptoms and making a diagnosis can be particularly challenging when a patient  
46 has comorbid conditions with symptoms similar to those of lung cancer.<sup>4,23</sup>  
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50 Many patients perceived inefficiency and delays in the primary care interval. However, these  
51 perceptions were made retrospectively, bringing into question whether an actual delay took place.  
52 Some patients felt they had to advocate for themselves to obtain initial diagnostic testing and push  
53 for more advanced testing when initial tests were inconclusive. This finding is consistent with the  
54 role of self-advocacy in improving the quality of care for patients with cancer.<sup>24,25</sup> Previous studies  
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3 suggested dismissive responses from PCPs may impact patients' decisions to consult care again.<sup>26</sup>  
4 <sup>27</sup> In contrast, our participants reported persistence and, at times, sought other providers. Some  
5 providers clearly had contingency and follow-up plans, but patients commonly felt they were  
6 dismissed without clear "safety netting".<sup>28</sup>  
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9 Previous US studies of lung cancer patients have suggested delays occur mainly in the primary  
10 care interval through misdiagnosis (and from monitoring nodules) rather than in the specialty-care  
11 interval.<sup>29</sup> In contrast, difficulty in accessing secondary care is a major cause for delays in the  
12 United Kingdom.<sup>6</sup> Our study found that patients' sense of urgency and perception of unnecessary  
13 waiting intensified after receiving imaging diagnosing possible cancer. Many complained about  
14 delays in accessing pulmonologists, oncologists, or in results from molecular testing. While these  
15 waits were fairly short and probably had little impact on the overall prognosis, they did  
16 appear to intensify patient emotion.  
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20 This study has many strengths. It is the first to explore the perspectives of a relatively new group of  
21 lung cancer survivors: those on targeted therapies that experience significantly superior outcomes.  
22 Interviewees may have been better able to reflect on their diagnostic journey in the absence of side  
23 effects from chemo or radiation therapy. Our findings were developed within an existing  
24 framework used in research on early diagnosis of cancer by many other countries. Our study also  
25 has a few limitations. Only a small proportion of our participants experienced barriers in accessing  
26 care due to financial concerns, which may have limited our ability to determine the impact of these  
27 factors.<sup>29</sup> Our sampling relied on individuals identified from lung cancer survivor groups, which  
28 may have reduced the representativeness, particular of individuals from less affluent backgrounds  
29 and over-recruited patients who were more engaged with their disease and diagnostic work up.  
30 Also, we did not actively seek to define smoking status during the interviews, thus we omitted  
31 characterizing the sample by this factor. Finally, as a qualitative exploration, our study was not  
32 equipped to provide insights about frequencies of occurrences, time indicators, or variations  
33 between participants based on their characteristics.  
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38 Our study has important practical implications. First, lung cancer affects everyone, including those  
39 thought to be at low risk. The public must be made aware of this so when new symptoms appear,  
40 they will seek healthcare promptly. This advice should be tempered with knowledge of the  
41 extremely low probability of cancer in most patients and the poor predictive value of most  
42 symptoms. Second, PCPs should be vigilant for rare but serious diseases with similar symptom  
43 profiles to benign conditions. "Safety netting" should including sharing diagnostic uncertainty and  
44 encouraging patients to return for further assessment when symptoms fail to respond. More precise  
45 diagnostic tools would be valuable to PCPs in this difficult task, but ready access to CXR and CT  
46 is clearly important. Third, while access to secondary care for serious conditions like cancer may  
47 not be a challenge for all patients in the US, the need for coordinating care, communication with  
48 patients, and provision of up-to-date standards of practice continue to be an issue. This issue is  
49 relevant especially to patients with lung cancer where targeted therapy has changed the disease  
50 outcomes in the past few years for patients who have received molecular testing. It's paramount  
51 that these new standards of care be available promptly to all patients.  
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9  
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15 intended or should be inferred.  
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18 **Competing Interests:** The Authors have no conflict of interest to report.  
19

20 **Contribution Statement:** MA, MLZS, FMW, RDN, BHLG, MT contributed to the literature review  
21 and the conceptualization of the work. MA conducted the interviews. MA conducted the primary analysis  
22 of the data. MLZS and MT did peer debriefing and review of analysis with MA individually and in  
23 groups. MA, MLZS, FMW, RDN, BHLG, MT all contributed to the writing of the discussion. All the  
24 authors reviewed and approved the final version of the study.  
25  
26

27 **Data sharing:** Deidentified data will be shared upon request.  
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15 Appendix 1. Interview guide.  
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Table 1. Participant characteristics.

Participant Characteristics	Median (Range) / Count
Age	49 (30-75) years
Gender	
Male	12
Female	28
Race	
White	34
Others (Asian, Hispanic, biracial (Asian and Hispanic))	6
Region in the US	
West	18
Northeast	8
Midwest	7
South	6
Insurance	
Private	34
Medicare	4
Medicaid	2
Time since diagnosis	19.5 (3-152) months
Cancer Stage at Time of Interview	
IV	38
IIIb	2
Mutation	
ALK	20
EGFR	14
Ros1	6

Table 2. Supportive quotes for the patient interval.

<b>Initial concerns about symptoms despite low perception of risk</b>
<p><i>I have not been into a doctor for a medical check-up at all in all that time. I never had any days off taken my entire work experience. (1001)</i></p> <p><i>I looked really healthy and I'm not a smoker. (3005)</i></p> <p><i>I started seeing symptoms three-four months before diagnosis. I noticed some tightness in my chest. (1003)</i></p> <p><i>I just had a dry cough that would not go away. (2007)</i></p>
<b>Attribution of symptoms to other causes, and not always seeking care immediately</b>
<p><i>There were a lot of forest fires. The air was always really smoky and I thought maybe part of the headaches or not feeling quite right was caused by the smoke. (2013)</i></p> <p><i>I was having some lower back pain in the kidney area and had some other symptoms that made me think maybe I have got kidney stones. (2006)</i></p> <p><i>Everybody else in the family also seemed to have flu- like illness going on with a cough; cold-cough kind of thing. (1005)</i></p> <p><i>I was very weak, very lethargic; the worst I ever felt in my life. I tried to self-medicate. I was not insured. (1003)</i></p>
<b>Changes in severity or nature of symptoms prompting care-seeking actions</b>
<p><i>Three more weeks went by and the cough continued to get worse to the point where my chest started hurting and I had a little bit of a backache. (1005)</i></p> <p><i>My wife came back from China, she was away for about a month. She said, "Your coughing is different." At the time, I didn't notice anything yet. (2012)</i></p> <p><i>I coughed a little blood. I am not stupid I knew I had big trouble. There was no question; I called the doctor. (1012)</i></p> <p><i>I decided, I'm going to go ahead and see my primary care physician to see if maybe she had some more suggestions of what I can do to help this throat situation. (1017)</i></p>



Table 3. Supportive quotes for the primary care provider interval.

<b>Doctors initially treated for illnesses other than lung cancer</b>
<p><i>I recall going to see the Primary Care Physician and mentioned, "I'm constantly clearing my throat." They casually dismissed me; the symptom continued. (3002)</i></p> <p><i>I went to the doctor and she did full blood work and said everything looks great. She said the cough was probably just a little bit of a remnant from the cold and typically it can take 3, 4, 5 or even 6 months to go away and not to worry about it too much. (1001)</i></p> <p><i>I went back to my doctor again and said, "okay, we've tried asthma, we've tried the allergy, here is some reflux medications," which kind of helped. She sent me to my doctor that specializes in reflux. We did an endoscopy. They came back with, "you do have reflux." (3004)</i></p> <p><i>I kept seeing various doctors and they would always send me home. Like, "Oh, it's a seasonal cold. Oh, it's allergies. Oh, you pulled the muscles from coughing too much, here are some steroids." (1008)</i></p> <p><i>I went to a walk in clinic two different times and was diagnosed with walking pneumonia. Both of those times, I did have an x-ray of the chest, and it just showed some cloudiness, it didn't show any kind mass. (2007)</i></p> <p><i>She put me on a different prescription but she said, "If you're not better in a couple of weeks, come back and we'll do a full pulmonary workup and we'll do more diagnostic testing 'cause this was concerning." (3001)</i></p>
<b>Discovery of imaging abnormality, often on CXR or a chest CT led to diagnosis</b>
<p><i>The doctor gave me steroids was leaving the room, I said something to the effect of, "I thought I would have to get an X-ray." I'm the one who mentioned the word, "X-ray". (1017)</i></p> <p><i>I went to get an x-ray of my left rib cage. It felt like something was there. I told my doctor that I think I have cancer and I want her to check for cancer. So she obliged. (1009)</i></p> <p><i>I made an appointment and set me for a chest x-ray. And this is was to me really an important point. There was a radiologist sitting in the booth. He looked at me and from the look on his face I just knew. (1011)</i></p> <p><i>After the car accident I was taken to a trauma center and they scanned me and said, "You have a broken back and lung cancer." (2009)</i></p> <p><i>I went back to the doctor the next day and she took a look and she said, "Hmm, I don't like that (swelling in supra-clavicular area)." And she sent me for an ultrasound. (3001)</i></p> <p><i>I went for a physical to my primary care doctor. He noticed that I had motor deficits in my hands. He suggested that I get an MRI. I actually had to go and see a neurologist in order to get the prescription for an MRI and paid for. (2013)</i></p> <p><i>As soon as the order went in for the chest x-ray, I went in to have it done. That night my doctor called back and said, "we saw some things on the chest x-ray, we want to get you in for CT scan." So the chest x-ray was a Monday, the CT scan was a Thursday. On the night of the CT scan, she called back and said, "It looks like cancer." (3004)</i></p> <p><i>She noticed that my breath sounds weren't right. So she ordered a CT and called me the next day and told me that she was going to send me for a PET. She was pretty concerned that it was lung cancer. (1004)</i></p>
<b>Severity of symptoms prompting need for emergency care</b>
<p><i>I was scheduled for a CT scan but the next opening wasn't for like 2 or 3 weeks. I was having so much coughing that I couldn't speak or breathe properly. So I called my APNP's office. She advised that I should go to the ER and get a CT scan. (2007)</i></p> <p><i>We scheduled the biopsy for Thursday. Tuesday morning before I could go for the biopsy, I woke up coughing up blood, a considerable amount of blood which was new that it never happened. So I drove myself to the ER</i></p> <p><i>The second I went in the pulmonologist office, he checked my oxygen and it was 85%. I took his advise and went to the hospital. (1014)</i></p>

Table 4. Supportive quotes for the secondary care interval.

<p><b>The pivotal nature of tissue sample collection</b></p>
<p><i>The PCP said, "I think you have a problem. You got to go and see a Pulmonologist immediately." Finding a Pulmonologist with an opening is impossible. (3002)</i>  <i>She said it looks like a metastatic disease. She set me up with a biopsy of the lung and a biopsy of the liver. (3003)</i>  <i>I tried to have a lung biopsy done and I was sitting on the table and the radiologist came in and he said, "I can't biopsy that nodule, no way." The team were all arguing about it over me and finally the radiologist said it is not biopsiable and so I left. They said, well, that probably is not cancer. (1011)</i>  <i>I had a biopsy of the lungs and ended up with a completely collapsed lung and a chest tube. (1006)</i>  <i>A senior pulmonologist said, "We suggest drain her lung, drain her effusion and let her out." But the hospitalist was like, "I don't want to let her out until we get a biopsy because she's going to be in the community and it's trying to schedule all of these and she's going to be given and run around and this is an emergent case so I'm leaving her until she can get the biopsy." (1019)</i>  <i>I had a needle biopsy and he called me a couple of days later, "It's lung cancer and it's adenocarcinoma, and I'm going to send you to an oncologist. (3001)</i></p>
<p><b>Access to oncologists determined staging but perceived delays led to distress</b></p>
<p><i>I was discharged from the hospital, came home, had a follow-up appointment a few days later with an oncologist who was just part of the healthcare system. They just assigned to me to somebody. (2008)</i>  <i>I was leaving ever more frantic messages and calling again and again and pushing the reception desk. It was about quarter to 12 before I finally convinced her I needed to talk with the doctor today rather than wait, find out how long I might live. (2014)</i>  <i>I was able to find a lung cancer foundation. And one of the folks there told me about a lung cancer oncologist in an area close to me and said, "You should reach out to them. And tell them I told you to give them a call." And so I did just that. And the doctor called me back. (3002)</i>  <i>They noted that there were tumors spotted on in my neck region and at that point in time, they wanted to do a full PET scan to figure out what the extent it was. They turned around really quickly. I must say after the original scan, the quickness of my treatment and exploratory work was very fast. (1013)</i>  <i>I had developed what I had thought was sciatica, but when they did the scan they found out that it was metastasis in my bone that was hitting my sacrum that was kind of causing the sciatic nerve to be inflamed.(2001)</i></p>
<p><b>Genetic testing was crucial in directing patients to targeted treatments</b></p>
<p><i>I'm grateful my oncologist ordered molecular testing. I know that's becoming standard as care now, it was not quite so much standard as care at that stage. (1001)</i>  <i>So the following week we are supposed to have an appointment but the insurance took a while to approve everything. We postponed that appointment till we got result and the result were ALK positive. (1019)</i>  <i>When week number 4 went around, (the local oncologist) has not been following up with me. I've been calling you, we still don't have results. I was uncomfortable right around week 6, so I flew and sat down with an oncologist (at a major cancer center) and he basically said well we don't need to wait. Let's do blood test (liquid biopsy). I'll have the results for you in 7 days. (1020)</i>  <i>I think that somebody dropped the ball at the hospital because the request for the testing wasn't sent until three weeks after they did the surgery they hadn't even requested to do the molecular testing. So when they finally did it still took another few weeks. (1018)</i>  <i>I had a week of radiation and they were still waiting for the mutation to come back. (3006)</i>  <i>He wanted me to start chemo treatment immediately because it seemed to be very aggressive whatever this was. Without waiting for the results of any genomic testing and this is still a point of concern for me because, looking back, I feel things work done improperly. We did not wait for the results of the genomic testing. I was started on chemotherapy. (2008)</i>  <i>The surgery basically gave me a hug and said, there's some really good news, the tumors tested positive for ROS 1, and I had no idea what this means. (3002)</i>  <i>I'll never forget when my doctor came in and he said, "Hey, you have the ALK mutation." And he said, "You're really lucky." And I'm like, "What do you mean? How am I lucky?" And he was like, "We have this great medicine that was just approved by the FDA. (1008)</i></p>

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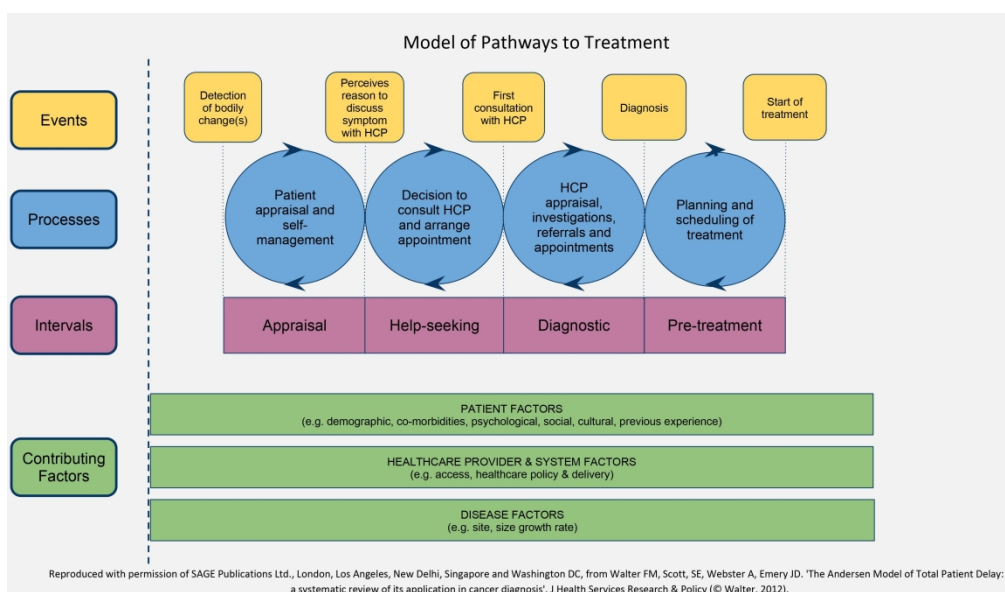


Figure 1. The conceptual model of pathway to treatment.

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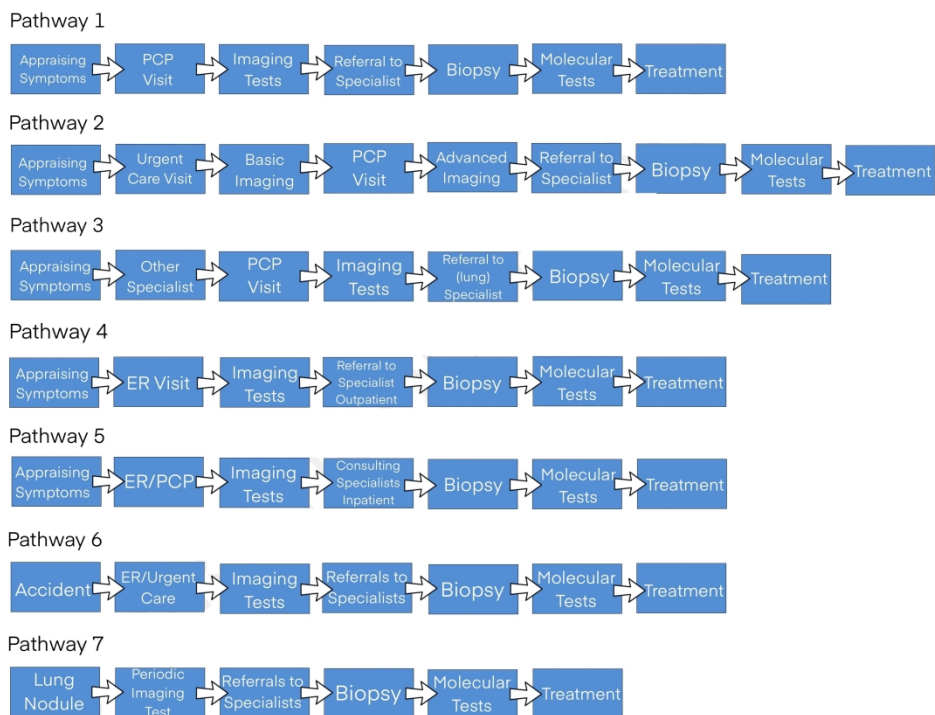


Figure 2. Identified pathways to diagnosis.

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**Initial question.**

Share with me the whole story of the cancer diagnosis the way that you would tell it to a friend. Tell me every detail of the whole story of the cancer diagnosis from the very first time when you noticed that something is not right.

**Follow up prompts**

1. Can you elaborate on some of these specific turning points to reflect on some of the conversations that took place?
2. Besides the [first symptom], what else have you had in the period before the diagnosis of cancer?
3. Tell me more about the conversations with the doctor the first time you had symptoms.
4. Can you share about when your doctor started to be alarmed?
5. Then you had the visit to the [Urgent Care, PCP office] where they did an x-ray, and they found [tumor, fluids, etc.], walk me through the process.
6. Tell me how the results came and how they were conveyed to you. What happened after?
7. They found a tumor. What was its size, and where was it located?
8. How did she share the findings on the [diagnostic test] with you?
9. Please share with me some of your earlier reactions when your primary care doctor gave you the results.
10. How was the process of getting a CT scan?
11. Please walk me through your first interaction with the specialist, the team, or the doctor who was not your primary care.
12. They did a biopsy after that. Please walk me through some of the procedures, the decision around that.
13. Who gave you the cancer diagnosis, and can you walk me through the conversation that took place the first time they confirmed the diagnosis?
14. When the conversation came around the metastatic disease, what were some of your thoughts and feelings at that time?
15. How was your experience with the oncologist?
16. Can you share your conversations with the oncologist?
17. Do you mind sharing what was going on in your mind after the first interaction with the oncologist?
18. When were you told it was [ALK, EGFR, ROS1].
19. Did anybody explain to you what it meant back?
20. Can you explain what [ALK, EGFR, ROS1] means? Assume that I don't know anything about that.
21. Then a few days the results of the [ALK, EGFR, ROS1] came back positive. Tell me how you received the news about [ALK, EGFR, ROS1].
22. Did they offer a management plan, treatment plan early on?
23. How were some of those decisions made to start chemotherapy and radiation?
24. What were conversations around starting the [targeted therapy]?

## Standards for Reporting Qualitative Research (SRQR)\*

<http://www.equator-network.org/reporting-guidelines/srqr/>

Page/line no(s).

### Title and abstract

<p><b>Title</b> - Concise description of the nature and topic of the study Identifying the study as qualitative or indicating the approach (e.g., ethnography, grounded theory) or data collection methods (e.g., interview, focus group) is recommended</p>	1
<p><b>Abstract</b> - Summary of key elements of the study using the abstract format of the intended publication; typically includes background, purpose, methods, results, and conclusions</p>	3

### Introduction

<p><b>Problem formulation</b> - Description and significance of the problem/phenomenon studied; review of relevant theory and empirical work; problem statement</p>	5
<p><b>Purpose or research question</b> - Purpose of the study and specific objectives or questions</p>	5

### Methods

<p><b>Qualitative approach and research paradigm</b> - Qualitative approach (e.g., ethnography, grounded theory, case study, phenomenology, narrative research) and guiding theory if appropriate; identifying the research paradigm (e.g., postpositivist, constructivist/ interpretivist) is also recommended; rationale**</p>	6
<p><b>Researcher characteristics and reflexivity</b> - Researchers' characteristics that may influence the research, including personal attributes, qualifications/experience, relationship with participants, assumptions, and/or presuppositions; potential or actual interaction between researchers' characteristics and the research questions, approach, methods, results, and/or transferability</p>	6
<p><b>Context</b> - Setting/site and salient contextual factors; rationale**</p>	5
<p><b>Sampling strategy</b> - How and why research participants, documents, or events were selected; criteria for deciding when no further sampling was necessary (e.g., sampling saturation); rationale**</p>	5-6
<p><b>Ethical issues pertaining to human subjects</b> - Documentation of approval by an appropriate ethics review board and participant consent, or explanation for lack thereof; other confidentiality and data security issues</p>	5
<p><b>Data collection methods</b> - Types of data collected; details of data collection procedures including (as appropriate) start and stop dates of data collection and analysis, iterative process, triangulation of sources/methods, and modification of procedures in response to evolving study findings; rationale**</p>	5-6

1 2 3 4 5	<b>Data collection instruments and technologies</b> - Description of instruments (e.g., interview guides, questionnaires) and devices (e.g., audio recorders) used for data collection; if/how the instrument(s) changed over the course of the study	5-6, appendix
6 7 8	<b>Units of study</b> - Number and relevant characteristics of participants, documents, or events included in the study; level of participation (could be reported in results)	6, table 1
9 10 11 12	<b>Data processing</b> - Methods for processing data prior to and during analysis, including transcription, data entry, data management and security, verification of data integrity, data coding, and anonymization/de-identification of excerpts	6
13 14 15 16	<b>Data analysis</b> - Process by which inferences, themes, etc., were identified and developed, including the researchers involved in data analysis; usually references a specific paradigm or approach; rationale**	6
17 18 19 20	<b>Techniques to enhance trustworthiness</b> - Techniques to enhance trustworthiness and credibility of data analysis (e.g., member checking, audit trail, triangulation); rationale**	6

### Results/findings

23 24 25 26	<b>Synthesis and interpretation</b> - Main findings (e.g., interpretations, inferences, and themes); might include development of a theory or model, or integration with prior research or theory	6-9
27 28 29	<b>Links to empirical data</b> - Evidence (e.g., quotes, field notes, text excerpts, photographs) to substantiate analytic findings	tables 2-4

### Discussion

32 33 34 35 36 37	<b>Integration with prior work, implications, transferability, and contribution(s) to the field</b> - Short summary of main findings; explanation of how findings and conclusions connect to, support, elaborate on, or challenge conclusions of earlier scholarship; discussion of scope of application/generalizability; identification of unique contribution(s) to scholarship in a discipline or field	9-10
38 39	<b>Limitations</b> - Trustworthiness and limitations of findings	10

### Other

42 43 44	<b>Conflicts of interest</b> - Potential sources of influence or perceived influence on study conduct and conclusions; how these were managed	11
45 46	<b>Funding</b> - Sources of funding and other support; role of funders in data collection, interpretation, and reporting	11

\*The authors created the SRQR by searching the literature to identify guidelines, reporting standards, and critical appraisal criteria for qualitative research; reviewing the reference lists of retrieved sources; and contacting experts to gain feedback. The SRQR aims to improve the transparency of all aspects of qualitative research by providing clear standards for reporting qualitative research.



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\*\*The rationale should briefly discuss the justification for choosing that theory, approach, method, or technique rather than other options available, the assumptions and limitations implicit in those choices, and how those choices influence study conclusions and transferability. As appropriate, the rationale for several items might be discussed together.

**Reference:**

O'Brien BC, Harris IB, Beckman TJ, Reed DA, Cook DA. **Standards for reporting qualitative research: a synthesis of recommendations.** *Academic Medicine*, Vol. 89, No. 9 / Sept 2014  
DOI: 10.1097/ACM.0000000000000388

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