Quality by Design modelling to support rapid RNA vaccine production against emerging infectious diseases

Supplementary Information

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QAs	Unit	Acceptance criteria	lmpact Score - Safety ^α	Impact Score - Efficacy ^α	Uncertainty score - Safety ^β	Uncertainty score - Efficacy ^β	Severity Score – Safety ^y	Severity Score – Efficacy ^δ	Max Severity	Classifi- cation [€]
Amount of precipitate	g/L	<0.001	2	8	4	4	8	32	32	pCQA
RNA sequence integrity	kb (length)	>9	8	25	4	3	32	75	75	CQA
RNA sequence identity	%match	>99%	8	25	4	3	32	75	75	CQA
RNA yield	g/L	>1.5	2	8	3	3	6	24	24	CQA
5' capping efficiency	%	>85%	2	25	3	2	6	50	50	CQA
Residual host cell proteins (E. Coli)	g/L	<500 ng/mg RNA	2	2	3	3	6	6	6	QA
Residual host cell DNA (E. Coli)	g/L	<100 ng/mg RNA	8	2	3	3	24	6	24	QA
Residual template DNA	g/L	<50 ng/mg RNA	8	2	3	3	24	6	24	QA
Bacterial endotoxins	g/L	None	25	2	2	4	50	8	50	CQA ^ω
Bioburden	g/L	None	25	8	2	4	50	32	50	CQA ^ω
Post-filtration pH	/	±0.1	2	25	3	3	6	75	75	CQA ^ω
Salt concentration	mM	±0.1	2	8	3	3	6	24	24	QA

^a Impact score, assesses the impact of the quality attribute on product safety and/or efficacy for the patients. Scored with 2 for low, 8 for moderate and with 25 for high.

^β Uncertainty score, assesses the level of uncertainty of the impact of the attribute on safety and/or efficacy for the patients. Scored with 1 for minimal, 2 for low, 3 for moderate, 4 for high, and 5 for very high.

^Y Severity Score for safety is obtained by the multiplication of the Impact Score for safety by the Uncertainty Score for safety.

^δSeverity Score for efficacy is obtained by the multiplication of the Impact Score for efficacy by the Uncertainty Score for efficacy.

^c QA – non-critical quality attribute (marked in green), due to low impact scores of 2 or moderate impact score of 8 and resulting severity score values of 2, 4, 6, or 8; CQA (marked in red) – critical quality attribute, due to high impact score of 25 and uncertainty score of below 3, resulting in severity score values of 25, 50, 75; pCQA (marked in yellow)– potential critical quality attribute, the remaining severity score values, due to high impact scores of 8 or 25 and uncertainty score of below 2-5, resulting in severity score values of 16, 24, 32, 40, 100, 125.

^w These CQAs are well-controlled in a GMP bioproduction process, hence these QCAs were not included in the model.

The concentration of the 5' cap analogue remains unchanged when RNA vaccines of different length are used due to the very high molar excess of the 5' cap analogue used relative to the final molar RNA concentration; the calculations are available in **Supplementary Table 2**.

For example, when producing a 2 kilobases long mRNA the excess of the CleanCap AG reagent is over 99.87% and when producing a 10 kilobases long saRNA the excess of the CleanCap AU reagent is over 99.97%. Additionally, the 5' cap analogue supplier recommends the identical starting CleanCap AG or CleanCap AU concentrations in the reaction mix when producing mRNA or saRNA transcripts, respectively, at similar RNA transcript yields and capping efficiencies, but different transcript lengths [43,44]. Therefore, due to its high molar excess, the initial and final 5' cap analogue concentration in the reaction mix remains largely unchanged when producing 5 g per L of \approx 95% capped transcripts of different lengths [43,44]. Moreover, the purchase price of the 5' cap analogue remains unchanged when the length of RNA transcript changes, because the relative purchase price of the CleanCap AG compared to CleanCap AU depends largely on the purchase amounts due to the economies of scales which influence the production of these 5' cap analogues.

Supplementary Table 2. Calculation of CleanCap AG and CleanCap AU molar concentration excesses with respect to molar concentration of RNA transcripts of different length.

Parameter name	Parameter Symbol	Calculation formula or Reference	Parameter Value	Parameter Unit
Average NTP molecular mass	MM _{NTP}	Average of molecular mass of the 4 NTPs (ATP, CTP, GTP and UTP) constituting RNA molecules.*	499.414	Da or g × Mol ⁻¹
Number of NTPs in a 10 kb RNA	N NTP 10kb RNA	Count of the number of bases in a 10 kilobases RNA polymer	10,000	Number of nucleobases
Average molecular mass of a 10 kb RNA	MM _{10kb} RNA	$MM_{10kbRNA} = N_{NTP10kbRNA} \times MM_{NTP}$	4,994,142.5	Da or g × Mol ⁻¹
Number of NTPs in a 2 kb RNA	N NTP 2kb RNA	Count of the number of bases in a 2 kilobases RNA polymer	2,000	Number of nucleobases
Average molecular mass of a 2 kb RNA	MM _{2kb RNA}	$MM_{2kb RNA} = N_{NTP 2kb RNA} \times MM_{NTP}$	998,828.5	Da or g × Mol⁻¹
CleanCap AU or CleanCap AG concentration in the reaction mix	$CM_{CleanCap}$	[2–4]	0.004	M or moles $\times L^{-1}$
Avogadro's constant	N _A	[5]	6.02214076 × 10 ²³	Molecules × mol ⁻¹
Number of CleanCap AU or CleanCap AG molecules per L	$N_{CleanCap}$	$N_{CleanCap} = CM_{CleanCap} \times N_A$	2.40885630 × 10 ²¹	Molecules × L ⁻¹
Yield of the 10 kb RNA transcript in the reaction mix	$Y_{10kb \ RNA}$	[2-4]	5	g × L ⁻¹
Yield of the 2 kb RNA transcript in the reaction mix	$Y_{2kb \ RNA}$	[2–4]	5	g × L ⁻¹
Molar concentration of the 10 kb RNA in the reaction mix	CM _{10kb RNA}	$CM_{10kb RNA} = Y_{10kb RNA} \times MM_{10kb RNA}^{-1}$	1.00117287 × 10 ⁻⁶	M or moles × L ⁻¹
Molar concentration of the 2 kb RNA in the reaction mix	CM_{2kbRNA}	$CM_{2kbRNA} = Y_{2kbRNA} \times MM_{2kbRNA}^{-1}$	5.00586437 × 10 ⁻⁶	M or moles $\times L^{-1}$
Number of 10 kb RNA molecules per L	$N_{10kb RNA}$	$N_{10kb RNA} = CM_{10kb RNA} \times N_A$	6.02920397 × 10 ¹⁷	Molecules × L ⁻¹
Number of 2 kb RNA molecules per L	N _{2kb RNA}	$N_{2kb RNA} = CM_{2kb RNA} \times N_A$	$3.01460199 \times 10^{18}$	Molecules × L ⁻¹
CleanCap AU excess	E _{CleanCap} AU	$E_{CleanCap AU} = (N_{CleanCap} - N_{10kb RNA}) \times 100 \times N_{CleanCap}^{-1}$	99.9750	%
CleanCap AG excess	E _{CleanCap} AG	$E_{CleanCap AG} = (N_{CleanCap} - N_{2kb RNA}) \times 100 \times \overline{N_{CleanCap}}^{-1}$	99.8749	%

* Average molecular mass of NTPs calculated by taking the average of the 4 NTPs found in RNA sequences, assuming equimolar ratios of the 4 NTPs. The molecular masses of ATP, CTP, GTP and UTP are 507.18, 483.156, 523.18 and 484.141 g × Mol⁻¹, respectively.

Supplementary Table 3. Factor Sensitivity Table. The first order and total effect Sobol indices of the RNA yield response with respect to the six estimated model parameters is given after 6 hours of reaction time and as an average over the first 8 hours of the reaction. These results were obtained using global variance-based sensitivity analysis sampling 80,000 realisations of an experimental run at initial conditions of 0.075 M Mg, 0.04 M NTP and 1×10⁻⁸ M T7RNAP from uniform distributions of ±10% around the optimal kinetic model parameter values from the parameter estimation.

Kinetic parameter*	RNA yield after (transcription	6 hours of <i>in vitro</i> reaction time	Average RNA yield over the first 8 hours of in vitro transcription reaction		
	First-order effect	Total-order effect	First-order effect	Total-order effect	
k_app	0.613937828	0.615556387	0.623147208	0.624923921	
K_1	0.300884499	0.302357491	0.303372512	0.305001923	
K_2	0.056224231	0.05663848	0.058120643	0.05862554	
k_ac	0.026960587	0.027121538	0.013332301	0.01340837	
k_ba	4.21E-05	3.91E-29	9.74E-06	5.20E-29	
k Mg	3.65E-04	3.34E-04	1.50E-04	1.46E-04	

*The kinetic model parameters have been quasi-randomly varied using Sobol sequences within a uniformly distributed range of ±10% around the optimal parameter values obtained from the parameter estimation. The impact of each quasi-randomly generated parameter value on the RNA yield has been determined using variance-based global sensitivity analysis and is illustrated by the First-order effect and the Total-order effect [6–10].



Supplementary Figure 1. Process flow diagram for RNA drug substance production (aka. active ingredient production, bulk production or primary manufacturing) and drug product manufacturing (aka. fill-to-finish or secondary manufacturing). The RNA drug substance is synthesized in the production bioreactor using the *in vitro* transcription reaction with the T7 RNA polymerase enzyme and is 5' capped co-transcriptionally. After RNA synthesis, the template DNA is digested using the DNAse I enzyme. Next, the downstream purification starts with tangential flow filtration (TFF) where the RNA molecule is retained by the filter and the other, smaller components of the reaction mix flow through the TFF filter. Next, the protein enzymes can be further removed using a chromatography unit operation, such as ion exchange chromatography, CaptoCore 700 chromatography, or hydroxyapatite chromatography. Next, the buffer is replaced for the formulation buffer in a second TFF step and then the solution is sterile filtered. This solution then enters the lipid nanoparticle (LNP) encapsulation unit operation which is the bottleneck of RNA drug substance production. After formulation, the LNP encapsulated RNA solution enters a third TFF for diafiltration then an optional dilution step is carried out followed by a sterile filtered. Next, the formulated RNA solution undergoes quality control and is filled into vials or other containers. The vials are then capped, sealed, inspected, labelled and packaged into secondary and tertiary packaging [11–18].

The scatter plots obtained from the variance-based global sensitivity analysis results are shown below in **Supplementary Figure 2**. The 80,000 dots in each scatter plot were obtained by running 80,000 simulations at 0.075 M Mg, 0.04 M NTP and 1×10^{-8} M T7RNAP initial concentrations where the kinetic parameters were quasi-randomly varied in a uniformly distributed range at ±10% around the optimal kinetic parameter values which were obtained using parameter estimation. The quasi-random variation of the model parameters was obtained using Sobol sequences. If the dots of the scatter plots are clustered around a narrow range of values on the y-axis, for example resembling a line, then the input parameter on the x-axis describes the variation of the output parameter plotted on the y-axis. On the other hand, if the dots are scattered across a wide range of y-values, the variability is explained by other input parameters.













Supplementary Figure 2. Sensitivity Scatter plots. The figures show the RNA yield after 6 hours from global sensitivity analysis sampling 80,000 realisations of an experimental run at initial concentrations of 0.075 M Mg, 0.04 M NTP and 1×10⁻⁸ M T7RNAP from uniform distributions of ±10% around the optimal parameter values from the parameter estimation. A. Scatter plots of RNA yield after 6 hours of transcription with respect to the value of parameter k_{app} for different realisation of K₁, K₂, k_{ac}, k_{ba}, k_{Mg}. B. Scatter plots of RNA yield after 6 hours of transcription with respect to the value of parameter K₁ for different realisation of k_{app}, K₂, k_{ac}, k_{ba}, k_{Mg}. C. Scatter plots of RNA yield after 6 hours of transcription with respect to the value of parameter K₂ for different realisation of k_{app}, K₁, k_{ac}, k_{ba}, k_{Mg}. D. RNA yield scatter plots after 6 hours of transcription with respect to the value of parameter k_{ac} for different realisation of k_{app}, K₁, K₂, k_{ba}, k_{Mg}. E. Scatter plots of RNA yield after 6 hours of transcription with respect to the value of parameter k_{ba} for different realisation of k_{app}, K₁, K₂, k_{ba}, k_{Mg}. F. RNA yield scatter plots after 6 hours of transcription with respect to the value of parameter k_{ba} for different realisation of k_{app}, K₁, K₂, k_{ac}, k_{Mg}. F. RNA yield scatter plots after 6 hours of transcription with respect to the value of parameter k_{ba} for different realisation of k_{app}, K₁, K₂, k_{ac}, k_{Mg}. F. RNA yield scatter plots after 6 hours of transcription with respect to the value of parameter k_{Mg} for different realisation of k_{app}, K₁, K₂, k_{ac}, k_{Mg}. The plots are color-coded according to k_{app}, the only model parameter driving the reaction forward.



Supplementary Figure 3. Prediction error plots of MLR statistical models. The x-axis represents the true experimental RNA yield, and the y-axis marks the corresponding prediction from the model. Each point (circle, square or x) is a prediction generated using the model. The black line represents the identity line, where modelling results perfectly match the experimental outcome. A. Multiple linear regression (MLR) fit using only the four linear predictors time, initial Mg concentration, initial NTP concentration and initial T7RNAP concentration achieving an R² value of 0.398 and a mean absolute error of 0.570 g/L with 4 coefficients and a constant. B. Data fitting based on linear terms of the model from plot A as well as squared and interaction terms in Mg and NTP achieving an R² value of 0.766 and a mean absolute error of 0.167 g/L.

Supplementary Table 4. Worksheet statistics. This table gives the statistics of the RNA yield observations of the experimental dataset. The table was produced alongside **Supplementary Table 5** and **Supplementary Table 6** as part of the descriptive statistics describing the respective MLR fits.

	RNA yield
Worksheet	
statistics	
Worksheet runs	51
Ν	51
Min	0.00226667
Max	3.06667
Mean	1.15453
Q(25%)	0.4045
Q(75%)	1.74334
Median	1.02
Std. dev.	0.853383
Min/Max	0.000739131
Std. dev./Mean	0.739161
Skewness	0.421131
Skewness test	1.2629
Kurtosis	-0.939453

Supplementary Table 5. Linear MLR model statistics. This table shows the descriptive statistics of the MLR fit predicting RNA yield using linear terms of time, initial Mg concentration, initial NTP concentration and initial T7RNAP concentration. Thus, using 4 coefficients and a constant in MLR yielded a fit with an R² value of 0.398 and a mean absolute error of 0.570 g/L.

Model statistics				
Model type	Evaluation of MLR model			
Scaling type	All factors are orthogonally scaled			
DF	46			
R2	0.398027			
R2 adj	0.345682			
Q2	0.214196			
Power (post-hoc)	0.991			
Condition number	1.20307			
Model terms	5			
DF residual	46			
RSD	0.690301			
p model	8.65E-05			
DF lack of fit	42			
p lack of fit	0.0416183			
DF pure error (repl. runs)	4			
SD pure error	0.284829			
Residual skewness	-0.401681			
Residual skewness test	-1.20457			

Supplementary Table 6. MLR with linear, squared and interaction terms model stastistics. This table shows the descriptive statistics of the MLR fit predicting RNA yield using linear terms of time, initial Mg concentration, initial sNTP concentration and initial T7RNAP concentration as well as squared terms in initial Mg concentration, squared terms in initial NTP concentration and an interssaction term consisting of the initial Mg concentration and initial NTP concentration and initial NTP concentration and a constant in MLR yielded a fit with an R² value of 0.766 and a mean absolute error of 0.167 g/L.

Model statistics				
Model type	Evaluation of MLR model			
Scaling type	All factors are orthogonally scaled			
DF	43			
R2	0.765741			
R2 adj	0.727605			
Q2	-0.459336			
Power (post-hoc)	1			
Condition number	8.13406			
Model terms	8			
DF residual	43			
RSD	0.445393			
p model	1.17E-11			
DF lack of fit	39			
p lack of fit	0.182395			
DF pure error (repl. runs)	4			
SD pure error	0.284829			
Residual skewness	-4.28E-06			
Residual skewness test	-1.28E-05			

Model Equations:

$$\begin{aligned} \frac{d[RNA]_{tot}}{dt} &= V_{tr} - V_{deg} \quad (Eq. 1) \\ \frac{d[PPi]_{tot}}{dt} &= (Nall - 1) * V_{tr} - V_{precip} \quad (Eq. 2) \\ \frac{d[NTP]_{tot}}{dt} &= -N_{all} * V_{tr} \quad (Eq. 3) \\ \frac{d[H]_{tot}}{dt} &= (Nall - 1) * V_{tr} \quad (Eq. 3) \\ \frac{d[H]_{tot}}{dt} &= (Nall - 1) * V_{tr} \quad (Eq. 4) \\ \frac{d[T7RNAP]_{tot}}{dt} &= -k_d * [T7RNAP]_{tot} \quad (Eq. 5) \\ \frac{d[Mg]_{tot}}{dt} &= -2 * V_{precip} \quad (Eq. 6) \\ \frac{d[HEPES]_{tot}}{dt} &= 0 \quad (Eq. 7) \\ V_{tr} &= k_{app} * [T7RNAP]_{tot} \quad \frac{[Mg] \ [MgNTP]}{1 + K_1 \ [Mg] + K_2 \ [MgNTP]} \quad (Eq. 8) \\ V_{deg} &= (k_{Ac} |H|^{n_{ac}} + k_{ba} |OH|^{n_{ba}} + k_{Mg} \ [Mg]^{n_{Mg}}) \quad [RNA]^{n_{RNA}} \quad (Eq. 9) \\ V_{precip} &= \begin{cases} k_{precip} \ ([Mg_2PPi] - \ [Mg_2PPi]_{eq}) \quad if \ [Mg_2PPi] \geq \ [Mg_2PPi]_{eq} \ (Eq. 10) \\ if \ [Mg_2PPi] \geq \ [Mg_2PPi]_{eq} \ (Eq. 10) \end{cases} \\ [Mg]_{tot} &= [Mg] + \ [MgNTP] + 2 * \ [Mg_2NTP] + \ [MgHNTP] + \ [MgHNTP] + \ [MgHPPi] +$$

 $[H][PPi] = K_{eq,6}[HPPi] (E7) ; [H][HPPi] = K_{eq,7}[H_2PPi] (E8)$ $[Mg][HPPi] = K_{eq,8}[MgHPPi] (E9) ; [H][HEPES] = K_{eq,9}[HHEPES]; (E10)$

Nominal Initial conditions:

 $[Mg]_{tot}=0.085 \text{ M}$ $[NTP]_{tot}=0.04 \text{ M}$ $[H]_{tot}=0.02 \text{ M} \text{ (or if possible the equivalent of pH = 7.5)}$ $[PPi]_{tot}=1e-18 \text{ M} \text{ (for numerical stability reasons)}$ $[HEPES]_{tot}=0.04 \text{ M}$ $[RNA]_{tot}=0$ $[T7RNAP]_{tot}=1e-7 \text{ M}$

To convert the 10 kb RNA concentration from mol/L to g/L, multiply by molecular weight of 5 million g/mol.

Nomenclature:

Parameter name	Symbol	Units	Value
Total component concentrations of magnesium, nucleotide, proton, pyrophosphate, HEPES, RNA	[Mg] _{tot} , [NTP] _{tot} , [H] _{tot} , [PPi] _{tot} , [HEPES] _{tot} , [RNA] _{tot}	mol/L	Given as initial condition, then modelled [cf. code]. Nominal Initial conditions: $[Mg]_{tot}$ =0.085 M, $[NTP]_{tot}$ = 0.04M $[H]_{tot}$ = 0.02 M (or if possible the equivalent of pH = 7.5) $[PPi]_{tot}$ = 1e-18 M (for numerical stability reasons) $[HEPES]_{tot}$ = 0.04 M, $[RNA]_{tot}$ = 0 $[T7RNAP]_{tot}$ = 1e-7 M

Mg ²⁺ , NTP ⁴⁻ , H ⁺ , HEPES ⁻ PPi ⁴⁻ HNTP ³⁻ , MgNTP ²⁻ , Mg ₂ NTP, MgHNTP ⁻ , MgPPi ²⁺ , Mg ₂ PPi, HPpi ³⁻ , H ₂ PPi ²⁻ , MgHPPi ⁻ and HHEPES free solution concentrations	[Mg], [MgNTP], [Mg ₂ NTP], [MgHNTP], [NTP], [HNTP], [PPi], [H], [MgPPi], [Mg ₂ PPi],[HPPi], [H ₂ PPi],[MgHPPi], [HEPES],[HHEPES]	mol/L	modelled
T7RNAP enzyme concentration	[T7RNAP] _{tot}	mol/L	Given as initial condition, then modelled
Dissociation equilibrium constants	$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$		$10^{-6.95}, 10^{-4.42}, 10^{-1.69}, 10^{-1.49}, 10^{-5.42}, 10^{-2.33}, 10^{-8.94}, 10^{-6.13}, 10^{-3.05}, 10^{-7.5}$
Transcription rate	V _{tr}	mol L.hr	modelled
Degradation rate	V _{deg}	mol L.hr	modelled
Precipitation rate	V _{precip}	mol L.hr	modelled
Transcription rate constant	K _{app}	$\frac{L^2}{mol. U. hr}$	Fitted:
Mg saturation constant	K ₁	$\frac{L^2}{mol}$	Fitted:
MgNTP saturation constant	K ₂	$\frac{L^2}{mol.hr}$	Fitted:
Acidic degradation rate constant	k _{ac}	$\frac{L^2}{mol.hr}$	Fitted:
Basic degradation rate constant	k _{ba}	$\frac{L^2}{mol.hr}$	Fitted:
Magnesium degradation rate constant	k _{Mg}	$\frac{L^2}{mol.hr}$	Fitted:
Reaction order with respect to RNA, proton, hydroxy and magnesium concentration	N _{RNA} , N _{ac} , N _{ba} , N _{Mg}	/	Fixed to 1

Precipitation rate constant	k _{precip}	hr1	Fixed to 0
Equilibrium Mg ₂ PPi concentration	[Mg ₂ PPi] _{eq}	mol/L	1.4 x 10 ⁻⁵
RNA chain length	N _{all}	/	10,000

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