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Impact of Early Low-Calorie Low-Protein versus Standard-Calorie Standard-Protein Feeding on Outcomes of Ventilated Adults with Shock: Design and Conduct of a Randomised, Controlled, Multicentre, Open-Label, Parallel-Group Trial (NUTRIREA-3)

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19 **Key words:** Critical illness, nutritional support, mechanical ventilation, shock, calories,
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ABSTRACT

Introduction: International guidelines include early nutritional support (≤ 48 h after admission), 20-25 kcal/kg/d, and 1.2-2 g/kg/d protein at the acute phase of critical illness. Recent data challenge the wisdom of providing standard amounts of calories and protein during acute critical illness. Restricting calorie and protein intakes seemed beneficial, suggesting a role for metabolic pathways such as autophagy, a potential key mechanism in muscle protection during critical illness. However, the optimal calorie and protein supply at the acute phase of severe critical illness remains unknown. NUTRIREA-3 will be the first trial to compare standard calorie and protein feeding complying with guidelines to low-calorie low-protein feeding. We hypothesised that nutritional support with calorie and protein restriction during acute critical illness decreased day-90 mortality and/or dependency on ICU management in mechanically ventilated patients receiving vasoactive amine therapy for shock, compared to standard calorie and protein targets.

Methods and analysis: NUTRIREA-3 is a randomised, controlled, multicentre, open-label trial comparing two parallel groups of patients receiving invasive mechanical ventilation and vasoactive amine therapy for shock and given early nutritional support according to one of two strategies: early calorie-protein restriction (6 kcal/kg/d-0.2-0.4 g/kg/d) or standard calorie-protein targets (25 kcal/kg/d-1.0-1.3g/kg/d) at the acute phase defined as the first 7 days in the ICU. We will include 3044 patients in 61 French ICUs. Two primary end-points will be evaluated: day-90 mortality and time to ICU discharge readiness. The trial will be considered positive if significant between-group differences are found for one or both alternative primary endpoints. Secondary outcomes include hospital-acquired infections and nutritional, clinical, and functional outcomes.

Ethics and dissemination: The NUTRIREA-3 study has been approved by the appropriate

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3 ethics committee. Patients are included after informed consent. Results will be submitted for
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5 publication in peer-reviewed journals.
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8 **Trial Registration:** ClinicalTrials: NCT01802099. Date of registration: February 27, 2013.
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STRENGTHS AND LIMITATIONS OF THIS STUDY

- NUTRIREA-3 is a pragmatic randomised controlled trial whose large number of patients recruited in numerous intensive care units (ICUs) enhance the reliability and general applicability of the results.
- We included a well-defined population of very severely critically ill patients requiring at least vasoactive drugs and mechanical ventilation, at high risk for death or protracted recovery, and therefore most likely to benefit from improved early nutritional support.
- We used two strong patient-centred primary outcomes, i.e., 90-day mortality and ICU dependency, and we evaluated important secondary outcomes, including long-term function, in keeping with recommendations about studies on of nutritional support in critically ill patients.
- NUTRIREA-3 is the first study to evaluate the potential benefits of calorie and protein restriction versus standard calorie and protein targets during early nutritional support, using very different amounts of calories and proteins.
- A limitation is that blinding of nutritional strategies is not feasible.

INTRODUCTION

Severe critical illness is associated during the acute phase with anorexia, metabolic disorders, endocrine dysfunction, and a major catabolic response responsible for severe skeletal and diaphragmatic muscle wasting(1). Among critically ill patients requiring mechanical ventilation (MV) and catecholamines for shock, nearly 40% to 50% die, and functional recovery is often delayed in survivors (2). Nutritional support is crucial, as malnutrition is associated with poor outcomes. Prescribing nutritional support in the critically ill is the result of a complex decision-making process designed to optimise three key parameters: the timing, the dose, and the route of artificial feeding. International guidelines encourage early nutritional support (≤ 48 h after admission), via the enteral route if not contraindicated, with 20-25 kcal/kg/d, and 1.2-2 g/kg/d protein at the acute phase(3, 4). These targets are rarely achieved in patients with severe critical illnesses, who frequently experience gastroparesis responsible for intolerance to enteral nutrition(5). Observational studies have indicated that calorie and protein deficiencies were associated with nosocomial infections, ICU-acquired weakness, delayed weaning off MV, longer stays, and higher mortality(6-12).

However, recent data challenge the wisdom of providing standard amounts of calories and protein during the acute phase of critical illness(13, 14). Studies showed no outcome benefits with higher intakes(15, 16). Instead, adding parenteral nutrition to increase intakes was associated with longer ICU stays and more infectious complications(17, 18). Higher protein intakes during the acute phase may be associated with greater muscle wasting and ICU-acquired weakness(1, 19). Restricting calorie and protein intakes seemed beneficial, suggesting a role for metabolic pathways such as autophagy, a potential key mechanism in muscle protection during critical illness(20, 21). The recent EDEN and PERMIT trials showed no differences in patient outcomes between hypocaloric and standard feeding(22-24).

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3 However, in both studies, calorie intakes were below-target in the standard groups.
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5 Moreover, patients in both PERMIT trial groups received similar protein intakes, as protein
6 solutions were added in the hypocaloric group. Thus, the optimal calorie and protein supply
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8 at the acute phase of severe critical illness remains unknown(14, 25-28).
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12 We designed the NUTRIREA-3 trial to compare standard calorie and protein feeding
13 complying with guidelines to low-calorie low-protein feeding in a well-defined group of
14 severely ill ICU patients requiring at least MV and vasoactive drugs. These patients typically
15 have poor outcomes with long ICU stays, high frequencies of ICU-acquired weakness and
16 infections, and high mortality(1, 29). Reported impacts of nutritional support were greatest in
17 the most severely ill ICU patients (3, 4, 30, 31). Our hypothesis is that, in those severe
18 critically ill patients, low-calorie low-protein feeding at the early phase of critical illness
19 improves muscle preservation, thereby improving outcomes, and most notably diminishing
20 mortality and dependency on ICU care.
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METHODS AND ANALYSIS

Trial design

NUTRIREA-3 is a randomised, controlled, multicentre, open-label trial comparing two parallel groups of patients.

Participants, interventions, outcomes

Participating units

Of the 61 French ICUs participating in the study, 34 are in university hospitals. All participating ICU staff members have attended training in the study procedures and protocols for providing nutritional support.

Study population and recruitment modalities

Inclusion criteria are age older than 18 years; invasive MV for an expected duration of at least 48 hours after inclusion, started in the ICU within the past 24 h, or started before ICU admission with ICU admission within the 24 h after intubation; treatment with a vasoactive agent for shock (adrenaline, dobutamine, or noradrenaline); nutritional support expected to be started within 24 h after intubation or within 24 h after ICU admission when MV was started before ICU admission; and patient and/or next-of-kin informed about the study and having consented to participation in the study. If the patient is unable to receive information and no next-of-kin can be contacted during screening for the study, trial inclusion will be completed as an emergency procedure by the ICU physician, in compliance with French law.

Exclusion criteria are specific nutritional needs, such as pre-existing long-term home enteral or parenteral nutrition, for chronic bowel disease; dying patient, not-to-be-resuscitated

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3 order, or other treatment limitation decision at ICU admission; pregnancy, recent delivery, or
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5 lactation; adult under guardianship; and department of corrections inmate.
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10 ***Interventions***

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12 After study inclusion, patients will be allocated at random to one of two nutritional
13 support strategies (Figure 1). The designated feeding strategy will be initiated as soon as
14 possible after randomisation (in all patients, within 24 hours after intubation or ICU
15 admission in patients with MV started before admission) and continued until extubation and
16 withdrawal of vasoactive support, or death, or day 7, whichever occurs first.
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24 In the low-calorie low-protein (Low) group, the calorie target will be 6 kcal/kg/day
25 and the protein target 0.2-0.4 g/kg/d during the acute phase, i.e. from D0 to D7. On D8, the
26 calorie target will be 30 kcal/kg/d and the protein target 1.2-2.0 g/kg/d.
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30 In the standard-calorie/standard-protein (Standard) group, the first-line calorie target
31 calculated based on body weight is 25 kcal/kg/day and the protein target 1.0-1.3 g/kg/d
32 during the acute phase, i.e. from D0 to D7. On D8, the calorie target will be 30 kcal/kg/d and
33 the protein target 1.2-2.0 g/kg/d.
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42 ***Nutritional support protocol***

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44 The nutritional support protocol, including measures designed to evaluate tolerance,
45 is standardised as indicated below.
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49 *General principles of nutritional support in both study arms*

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51 Nutritional support is started as soon as possible after randomisation and no later than
52 24 hours after intubation or after ICU admission if intubation preceded ICU admission. Daily
53 nutritional intakes needed to meet the allocated calorie target will be calculated based on
54 body weight (BW). In obese patients (BMI>30 Kg/m²), the body weight yielding a BMI of
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3 30 will be used. In patients with BMI<18.5, the following corrected body weight will be
4 used: (ideal body weight + actual body weight)/2. The calorie/protein ratios of nutritional
5 solutions currently available in French hospitals will ensure that the protein intake complies
6 with the allocated nutritional regimen.
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12 Randomised controlled trials showed that feeding route during the acute phase had no
13 impact on major clinical outcomes of critically ill patients (32, 33). Thus, during the acute
14 phase, bedside physicians will be free, each day, to choose the best feeding route, according
15 to clinical considerations, to ensure that the calorie target is achieved. After the acute phase,
16 enteral feeding remains the preferred route in patients without contraindications (4). Thus, on
17 day 8, in the absence of contraindications to enteral nutrition, parenteral nutrition will be
18 stopped in those patients fed via the parenteral route and enteral nutrition started. From day 8
19 onwards, supplemental parenteral nutrition may be added in the event of intolerance to
20 enteral nutrition precluding the achievement of the predefined calorie targets.
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33 Nutritional support is prescribed as a flow rate (mL/hour) and started at the prescribed
34 flow rate (as opposed to increased gradually). The feed is delivered continuously over the 24-
35 hour cycle, with no interruptions. Actual feed delivery is monitored regularly based on the
36 volumes delivered relative to the predefined daily calorie targets. In addition, special
37 attention is directed to avoiding delays. Any interruption in feed delivery is reported to the
38 ICU physician in charge. Except in special situations, nutritional support is not interrupted
39 while transporting the patient. However, when EN or PN must be interrupted (e.g., for a
40 specific gastrointestinal or radiological investigation), the flow rate is not increased to
41 compensate for the interruption. Finally, all patients are in the semi-recumbent supine
42 position (torso inclined 30° to 45° relative to the horizontal plane).
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56 After extubation, regardless of time since randomisation, decisions about the
57 continued need for, and optimal route of, nutritional support are made by the physician in
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3 charge of the patient. Patients who are reintubated within 7 days after trial inclusion are
4 managed until the end of the acute phase according to the arm they were randomised to
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6 during the first intubation period.
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9 10 *Enteral nutrition (EN)*

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12 Iso-osmotic iso-caloric normal-protein polymeric preparations are used during the
13 first week, after which the choice of feed is at the discretion of the physician. The feed is
14 delivered via a 14-French silicone gastric tube. Tube position in the middle of the stomach is
15 checked on a radiograph obtained at ICU admission or immediately after tube placement, as
16 well as when the tube is changed or repositioned.
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24 A predefined protocol is used to manage upper gastrointestinal intolerance to enteral
25 nutrition. This protocol was used in the NUTRIREA-2 trial(33). To minimise the risk of
26 gastric intolerance and consequently of vomiting, the volume of supplemental water given
27 enterally will be as small as possible during the first study week. Residual gastric volume is
28 not monitored(34). The tolerance of enteral nutrition is defined based only on episodes of
29 significant vomiting or regurgitation (passage of enteral nutrition formula into the mouth,
30 outside the mouth, or into the endotracheal tube in the absence of care procedures or
31 mobilisation). Minimal regurgitation or vomiting triggered by tracheal aspiration or oral
32 cavity care is not taken to indicate intolerance. EN intolerance leads to the following two
33 measures. First, treatment with a prokinetic agent is initiated after confirmation that there are
34 no contraindications. The study ICUs use the prokinetic agent of their choice, according to
35 their standard practice. The prokinetic agent is discontinued when EN at the highest
36 prescribed flow rate has been well tolerated for 48 hours. Second, if gastric intolerance
37 persists despite prokinetic therapy, the flow rate is decreased by 25 mL/h every 6 hours until
38 the signs of intolerance resolve. Therefore, EN is stopped (and the gastric tube placed under
39 suction) only in patients with intolerance despite a flow rate ≤ 25 mL/h. All interruptions in
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3 EN delivery must be reported to the physician in charge of the patient. This precaution is
4 particularly important in patients receiving insulin. EN is resumed at the prescribed flow rate
5 (appropriate to the patient's needs) after 6 hours have elapsed with no further signs of
6 intolerance. Patients at high risk for gastric intolerance, such as those turned in the prone
7 position for acute respiratory distress syndrome (ARDS), receive prophylactic prokinetic
8 treatment starting at the first turn in the prone position (35, 36).
9

17 *Parenteral nutrition (PN)*

19 Ternary admixtures packaged in bags and containing the three groups of
20 macronutrients are used according to standard practice in each participating centre.
21 Supplemental electrolytes are supplied in a solution separate from the parenteral feed,
22 according to the needs of each patient. PN is delivered continuously via a central venous
23 catheter (CVC). Special attention is directed to preventing infections by complying with the
24 standard protocols for CVC insertion and maintenance used in each of the participating
25 centres. Proper CVC position is checked on a radiograph.
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35 *Additional intakes*

37 Additional water, electrolytes, vitamins, and trace elements are given intravenously
38 according to the needs of each individual patient as assessed by the physician in charge and
39 using the standard preparations and protocols available in each study ICU.
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45 *Monitoring of intestinal transit*

47 The volume and appearance of the stools are monitored daily. The occurrence of
48 constipation (no stool for more than 6 days) or diarrhoea (more than 300 mL of liquid stool
49 or 4 loose stools per day) will be reported and will lead to the appropriate diagnostic and
50 therapeutic management (15, 37, 38).
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58 *Study outcomes*

Primary endpoints

Two primary end-points will be analysed: all-cause mortality by day 90, and time to readiness for ICU discharge. Information on vital status will be collected on the 90th day after patient inclusion. For discharged patients, information on this primary endpoint will be collected by a telephone call to the patient's home. The time of ICU discharge to a regular ward may be affected by the availability of beds on regular wards, which may induce bias. The study will therefore consider the time to readiness for ICU discharge. A patient will be considered ready for ICU discharge as soon as all predefined clinical conditions for ICU discharge are fulfilled (Table 1), regardless of ward-bed availability. Readiness for ICU discharge will be checked daily in all patients weaned from invasive MV and vasoactive drugs. A similar strategy regarding this endpoint has been used previously in studies on nutrition in the ICU(17, 18).

The trial will be considered positive if significant between-group differences are found for one or both alternative primary endpoints.

Secondary outcomes

- Daily mean values during the first week, throughout the time on IMV and from weaning off MV to readiness for ICU discharge of the following:
 - number of calories (in Kcal) delivered enterally and/or parenterally
 - ratio (as a %) of prescribed over delivered calories
- Proportion of patients who achieved their calorie target from day 0 to day 7
- Daily mean values from day 0 to day 7 and during MV of the following:
 - protein supply (g) given enterally and/or parenterally
 - volume of fluids (in mL) received (daily mean from day 0 to day 7 and during MV)

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- From day 0 to day 7:
 - SOFA score changes
 - Changes in daily maximum blood glucose levels
 - Proportion of patients with hypoglycaemia
 - Total insulin dose received daily
 - Days on insulin treatment from day 0 to readiness for ICU discharge
 - Proportion of patients with at least one ICU-acquired infection; an independent blinded committee will adjudicate all ICU-acquired infections
 - Ventilator-associated pneumonia (VAP): the diagnosis of VAP is suspected based on the development or persistence of lung infiltrates on the chest radiograph with at least two of the following criteria: body temperature ≥ 38.5 or $\leq 35.5^{\circ}\text{C}$, leucocytosis ($>10\,000/\text{mm}^3$) or leucopenia ($<4000/\text{mm}^3$), and purulent tracheobronchial aspirate, from H48 after intubation to H48 after extubation. The diagnosis will have to be confirmed by a positive *semi-quantitative* bacteriological test: bronchoalveolar lavage ($>10^{-4}$ cfu/mL), brush ($>10^{-3}$ cfu/mL), tracheal aspirate ($>10^{-6}$ cfu/mL), or protected distal specimen ($>10^{-3}$ cfu/mL)(39, 40). VAP episodes are recorded from 48 hours after intubation until day 2 after extubation.
 - Proportion of patients with at least one VAP episode.
 - Total number of VAP episodes in each group.
 - Other ICU-acquired infections:
 - Proportion of patients with at least one episode of bacteraemia
 - Proportion of patients with at least one central venous catheter (CVC)-related infection
 - Proportion of patients with at least one episode of urinary tract infection
 - Proportion of patients with at least one soft tissue infection

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- 3 ▪ Proportion of patients with other nosocomial infections
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- 5 – Descriptive bacteriological data: organisms recovered in the overall population with
- 6 nosocomial infections, and antimicrobial resistance profiles
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- 8 – Proportion of patients with at least one episode of liver dysfunction, defined as serum
- 9 bilirubin >50 µmol/L and/or elevation >3N of one or more liver enzymes (γ-
- 10 glutamyltransferase, alkaline phosphatase, and ASAT-ALAT) at the end of MV, on day 7 (in
- 11 patients on MV for more than 7 days), and at ICU discharge
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- 13 – Proportion of patients with at least one episode of vomiting or regurgitation while on
- 14 MV
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- 16 – Proportion of patients with at least one episode of diarrhoea defined as liquid stools in
- 17 a volume greater than 300 mL/24 hours in patients with a faecal collector or as more than
- 18 four loose stools/24 hours (15)
- 19
- 20 – Proportion of patients with at least one episode of constipation (no stool for more than
- 21 6 days)
- 22
- 23 – Proportion of patients with at least one documented episode of bowel ischaemia
- 24 defined as absent blood flow in one of the main arteries supplying the bowel (superior
- 25 mesenteric artery, inferior mesenteric artery, or celiac artery) with evidence of bowel wall
- 26 compromise on an imaging study (computed tomography angiography, angiography, or
- 27 magnetic resonance angiography) or presence of criteria for colonic ischaemia according to
- 28 the Favier classification system (stage I, petechiae; stage II, petechiae and superficial ulcers;
- 29 and stage III, necrotic ulcers and polypoid lesions) by endoscopy (rectosigmoidoscopy or
- 30 colonoscopy) (41)
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- 32 – Mean changes in serum albumin, pre-albumin, and C-reactive protein (CRP)
- 33 measured at baseline, at the end of MV, on day 7 (in patients on MV for more than 7 days),
- 34 and at ICU discharge
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- Changes in mean body weight determined at baseline, on day 7, and at ICU discharge
- Days on MV
- Hospital stay length (days in hospital)
- ICU mortality, 28-day mortality, and hospital mortality
- Proportions of patients mobilised from day 0 to day 7 and total number of active mobilisations, using predetermined criteria (42) (Table 2).
- Total Medical Research Council (MRC) score and proportion of patients with ICU-acquired paresis at the time of readiness for ICU discharge (43, 44); the MRC score can range from 0 (quadriplegia) to 60 (normal muscle strength); scores <48 will define ICU-acquired paresis.
- Proportion of patients with at least one criterion for persistent altered health status at the time of readiness for ICU discharge, among the following: tracheostomy, non-invasive ventilation, ongoing renal-replacement therapy, parenteral nutrition, enteral nutrition via a nasogastric tube; Glasgow Coma Scale score <15, and treatment-limitation decision(45)
- SF-36 score completed during a phone call to the patient by an independent blinded research nurse or psychologist 3 months and 1 year after study inclusion(46, 47)

Organisation of the trial

Figure 2 is the study diagram.

Recruitment modalities

All patients treated with invasive MV and vasopressor support for shock within 24 h after intubation, or within 24 h after ICU admission if already intubated will be screened for eligibility by the ICU physicians and clinical research nurses, around the clock and 7 days a week. Patients will be included after checking inclusion and non-inclusion criteria. A log of patients considered for study participation will be kept and will include any reasons for non-

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3 inclusion and refusals of consent.
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7 8 ***Randomisation*** 9

10 Randomisation is centralised and performed using a secure, computer-generated,
11 interactive, web-response system available at each study centre. Randomisation is stratified
12 on study centre with a 1:1 ratio.
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17 18 19 ***Blinding*** 20

21 The trial will be open, since the nature of the intervention precludes blinding of
22 healthcare staff to group assignment. However, the absence of blinding cannot have an
23 impact on either of the primary endpoints. Day-90 mortality is an objective endpoint with no
24 evidence that absence of blinding may affect the data (48). Given more subjective nature, the
25 primary endpoint of readiness to discharge alive from the ICU will be checked daily by the
26 bedside physician according to predefined criteria, in order to strongly limit the risk of
27 detection bias. Moreover, the secondary endpoints relevant to nosocomial infections will be
28 validated by an adjudication committee.
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43 ***Sample size*** 44

45 Assuming a 43% day-90 mortality rate in the Standard group and a 5% absolute decrease
46 in day-90 mortality (to 38%) in the Low group, with the alpha risk set at 4.9% (as two
47 interim analyses are planned) and the beta risk at 20%, 1522 patients are needed in each
48 group, i.e., a theoretical total of 3044 patients.
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53 This sample size will provide 94% power to detect a 1.5 day difference in time to ICU
54 discharge alive between the two groups (mean, 14.5 days in the control group versus 13.0
55 days in the experimental group).
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3 No corrections will be made for multiple comparisons. Indeed, adjusting for multiplicity
4 is controversial and there is no consensus about the appropriate analysis (49). Moreover,
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6 adjusting for multiplicity may lead to increased sample size, and subsequent decreased
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8 feasibility of the study. Last, most recent studies with a similar design did not use corrections
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10 for multiple comparisons (17, 18).
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14 The ICU stay lengths in survivors and mortality rates used for the sample size estimation
15
16 are those obtained in the NUTRIREA-1 and -2 trials, which used similar inclusion criteria.
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20 21 ***Interim analysis*** 22

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24 Given the need for a large sample size, two interim analyses will be scheduled, one
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26 after enrolment of 1000 patients and the other after enrolment of 2000 patients. Members of
27
28 the independent Data Safety Monitoring Board (DSMB) will not be otherwise involved in the
29
30 trial. This DSMB consists of one methodologist and two intensivists. For both interim
31
32 analyses, the DSMB will have access to unblinded results on day-90 mortality, time to
33
34 discharge alive from the ICU, variations in SOFA scores from day 0 to day 7, amounts of
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36 calories and proteins received daily from day 0 to day 7, and nosocomial infections. The
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38 results of the interim analyses will not be disclosed unless they lead the DSMB to request
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40 premature trial discontinuation.
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47 ***Statistical analysis*** 48

49 Each patient will remain in the group assigned by randomisation, regardless of
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51 subsequent events. A statistical analysis report will be written to describe all the findings,
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53 according to CONSORT Statement recommendations, while taking into account the specific
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55 features of the trial, most notably the nonpharmacological nature of the intervention. The
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3 baseline features of the groups established by randomisation will be compared using
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5 descriptive statistics; no statistical tests will be performed.
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8 *Primary endpoint*
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10 Day-90 mortality will be reported as the point estimate with the 95% confidence
11 interval in each group. The difference in proportions with the 95% confidence interval will
12 also be estimated. Day-90 mortality will be compared between the two groups using the chi-
13 square test.
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19 Time to readiness for ICU discharge will be analysed using the competing risk
20 approach, with death in the ICU as a competing risk.
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24 *Secondary endpoints*
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26 The incidence of patients with at least one nosocomial infection will be estimated and
27 compared between the groups using a competing-risk model, with death and ICU discharge
28 alive as competing events. The incidence of patients with at least one VAP episode will be
29 estimated using a competing-risk model, with death and survival for 48 hours without
30 pneumonia as competing events. For CVC-related infections, the competing risks will be
31 death and CVC removal and for urinary tract infections they will be death and urinary
32 catheter removal. Bacteraemia, soft tissue infections, and other infections will be analysed
33 using the same method as for the pooled nosocomial infections. For descriptive
34 bacteriological data (organisms recovered with their resistance profiles for each nosocomial
35 infection), only descriptive analyses will be performed. For the proportions of patients with
36 at least one episode of vomiting or regurgitation, diarrhoea, constipation, documented acute
37 colonic pseudo-obstruction, documented bowel ischaemia, mechanical complication of CVC
38 insertion, hypoglycaemia from day 0 to day 7, and liver dysfunction, the method will be the
39 same as for nosocomial infections. Changes over time in delivered calories and proteins will
40 be represented graphically by boxplots created each day on the same graph; changes over
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3 time will be compared between the two groups using a mixed linear model, after data
4 transformation if necessary. The same analysis will be performed for volume of feeds
5 delivered each day. The proportion of patients who achieved 100% of their daily calorie
6 target will be determined at each follow-up time point (in days) and compared between the
7 two groups using a logistic random-effects model. Day-28 mortality will be analysed in the
8 same way as day-90 mortality. For ICU and hospital mortality rates, competing-risk models
9 will be used, with ICU discharge and hospital discharge as events competing with death
10 during the stay. Changes over time in SOFA, total insulin, blood glucose, nutritional markers
11 and body weight will be analysed using the method described above for the number of
12 calories delivered. For the proportion of mobilised patients, patients with at least one
13 criterion of persistent altered health status at the time of readiness for ICU discharge, and
14 patients with ICU-acquired paresis, the method will be the same as for nosocomial infections.
15 The Medical Research Council (MRC) sum score at the time of readiness for ICU discharge
16 will be compared between the two groups using the non-parametric Wilcoxon test. The total
17 quality-of-life SF-36 score will be compared between the two groups using a mixed linear
18 model.

41 42 ***Data collection and follow-up***

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44 The following data will be recorded until the patient is extubated: demographic and
45 clinical data, nutrition monitoring data, treatments given, laboratory tests, invasive devices,
46 functional evaluation, and data relevant to nosocomial infections. The patient will be
47 followed up for 1 year after study inclusion; at hospital discharge, on D28 and D90, and at 1
48 year, the vital status will be recorded. The SF-36 score will be recorded on D90 and 1 year
49 after study inclusion. Below is a flow-chart of patient follow-up. Table 3 is the study flow-
50 chart.
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Data entry and monitoring

An Internet-based data collection tool will be used for this study to store the data of all the participants. This electronic case-report form (eCRF) is a secure, interactive, web-response system available at each study centre. It is provided and managed by the biometrical unit of the Tours University Hospital (CIC INSERM 1415, Tours, France). Access to the eCRF will require only an Internet connection and a browser.

Monitoring of the data collected and of the screening forms in each participating centre will be carried out by the Research Division Promotion Department of the Nantes University hospital. Research assistants will regularly perform on-site checks of adherence to the protocol and accuracy of recorded data.

Trial Status

Inclusions started in July 2018. The scheduled interim analysis were performed on the first 1000 (by October 2009) and 2000 (by March 2020) included patients, respectively. Both analyses led the DSMB to recommend continuation of the study. Recruitment in the trial was interrupted from March to June 2020 because of the COVID-19 crisis, when all ICUs were full with COVID-19 patients and all research teams were working only on COVID-19 trials. By August 19, 2020, 2764 patients had been included. Recruitment is expected to be complete by December 2020.

Ethics and dissemination

Ethical review board

The NUTRIREA-3 trial was approved by the competent French authorities on 20 April 2016 (*Comité de Protection des Personnes Sud-Méditerranée 2*, registration 2018-

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3 A00424-51) and registered on ClinicalTrials (NCT01802099) on June 2018.
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5 ***Consent***

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7 The patients are included after providing their signed informed consent to trial
8 participation. For patients unable to consent because of impaired consciousness or severe
9 critical illness, information about the study is provided to their next of kin. Patients who are
10 unable to consent and for whom no relative is available to consent to study participation
11 within a timeframe compatible with the study design are included after completion of an
12 emergency consent form by the bedside physician. The relatives are informed of the
13 inclusion as soon as possible. Patients are asked to confirm their willingness to participate in
14 the trial once they regain decision-making capacity. Data of patients without relatives who
15 die without previously recovering consciousness will be included in the statistical analysis.
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28 ***Confidentiality and source data archiving***

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30 The medical data about each patient will be communicated only to the institution (i.e.,
31 the sponsor) with which the chief investigator is affiliated or to a person appointed by the
32 chief investigator and the sponsor under conditions that ensure the confidentiality of the
33 patient data. During or at completion of the study, the data collected from the study
34 participants and communicated by the individuals involved in the study will be rendered
35 anonymous. Study investigators will archive all study data for at least 15 years after the end
36 of the study.
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47 ***Dissemination policy***

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49 The publication policy will comply with international recommendations (N Engl J
50 Med, 1997; 336:309-315) and the CONSORT statement (<http://www.consort-statement.org>).
51 Findings will be published in peer-reviewed journals and presented during national and
52 international scientific meetings. Communications and scientific reports relevant to this study
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3 will be under the responsibility of the coordinator of the study (JR), who will obtain the
4
5 approval of the other investigators.
6

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8 ***Patient and public involvement***
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10 Neither patients nor the public are involved in the study.
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For peer review only

AUTHORS' CONTRIBUTIONS:

ALG and JR prepared the first draft of the manuscript.

JR, JBL, DM, ALG, and BG wrote the manuscript.

JR, JBL, ALG, DM, and BG participated in designing the NUTRIREA-3 study.

ALG and BG wrote the statistical analysis plan and performed the sample size estimation.

JR and DM obtaining funding for the study.

JR, JBL, DA, LA, YH, PA, JB, MAM, NVB, LB, HNB, DC, LC, AC, CC, MD, VD, MD, AD, JD, SV, NAB, LMD, JO, OG, SG, BG, SJ, FL, CL, PL, BM, JM, OM, FM, EM, JPM, SN, WP, GPI, GPi, JPQ, AR, LG, JR, JPR, FS, DS, MS, BS, FR, FT, NT, DT, GT, NTR, JFT, FT, PT, TV, IV, and CV contribute to acquire the study data.

All authors revised the manuscript for important intellectual content and read and approved the final version of the manuscript.

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COMPETING INTEREST STATEMENT

JR had travel and accommodation expenses to attend scientific meetings covered by Baxter, and Fresenius.

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FIGURE LEGENDS**Figure 1:** Study interventions**Figure 2:** Study diagram

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Table 1: Criteria for readiness for ICU discharge

A patient will be considered ready for ICU discharge when he/she meets all of the following criteria:

- No longer in need of, or at risk for needing, invasive mechanical ventilation
 - No longer in need of, or at risk for needing, vasoactive support
 - No agitation or altered consciousness requiring close monitoring and management
 - No severe acute metabolic or haematologic disorder requiring close monitoring and management
-

Table 2: Mobilisation scale

Remains in bed	<ul style="list-style-type: none">▪ no mobilisation,▪ turned in bed,▪ sitting position in bed with the head of the bed elevated
Mobilised in the bed	<ul style="list-style-type: none">▪ passive mobilisation of the legs in bed▪ active mobilisation of the legs in bed▪ cycling motions in bed
Mobilised out of bed	<ul style="list-style-type: none">▪ sits on the edge of the bed with the feet on the floor▪ sits in a chair▪ stands▪ marches in place▪ walks

Table 3: Study flow chart of patient follow-up

	Inclusion	D0*	D1 to Dn	End of study protocol	Ready for ICU discharge	D28	D90	One year End of follow-up **
Eligibility: check inclusion and exclusion criteria	X							
Patient information and consent	X							
Randomisation		X						
Demographic characteristics		X						
Vital signs		X						
Weight		X	X					
Ventilation		X	X					
Laboratory tests		X	X*					
SOFA		X	X					
Nutritional evaluation		X	X					
Treatments used		X	X					
Daily nutritional intake		X	X					
Fluid intake		X	X					
Nosocomial infections			X					
Final extubation				X				
Final discontinuation of nutritional support				X				
Health status					X			
MRC score					X			
Survived / died					X	X	X	X
SF-36							X	X

* from time of inclusion to 11:59 pm

** Information will be collected by phone contact with patients or relatives.

Figure 1: Study interventions

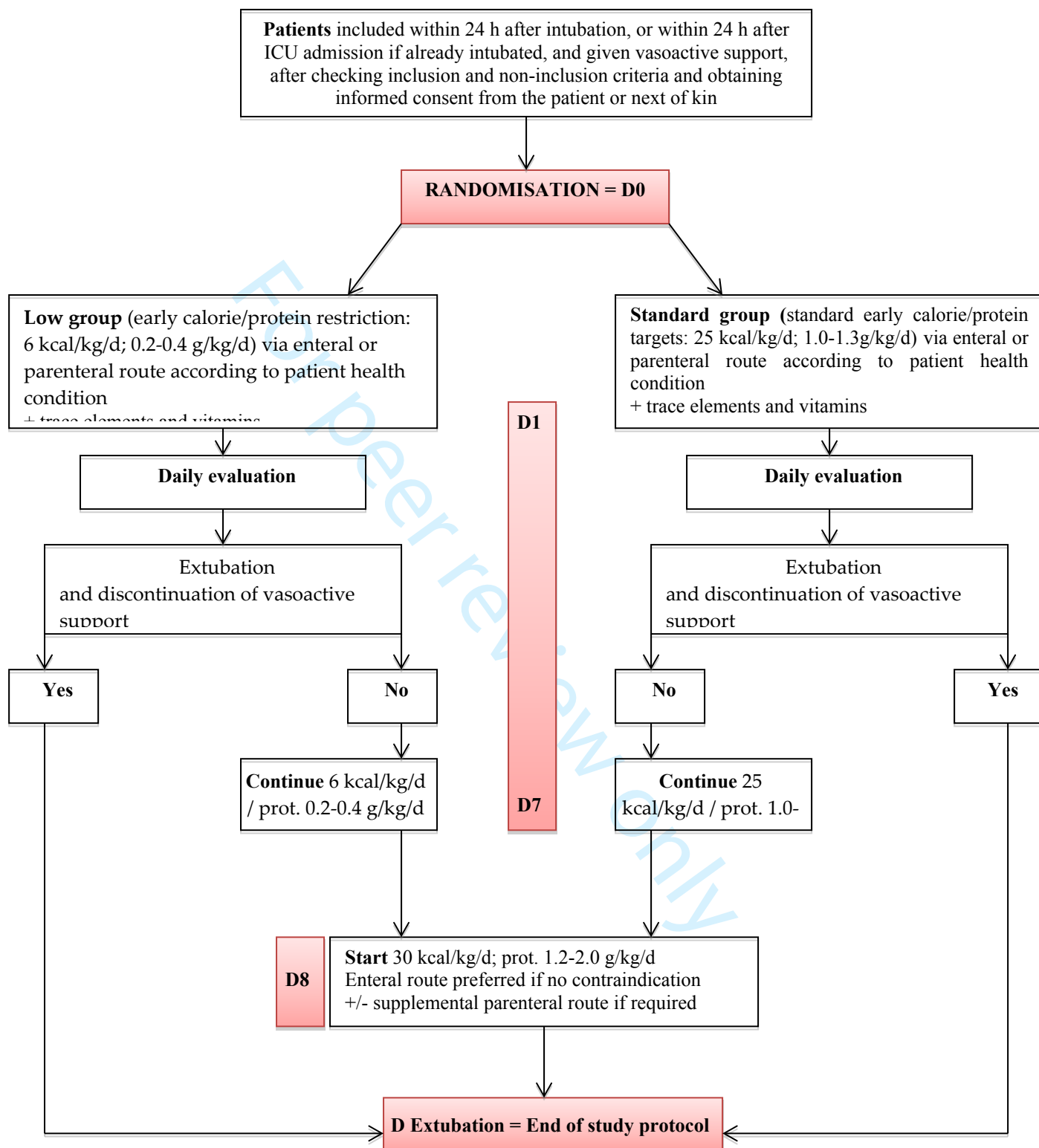
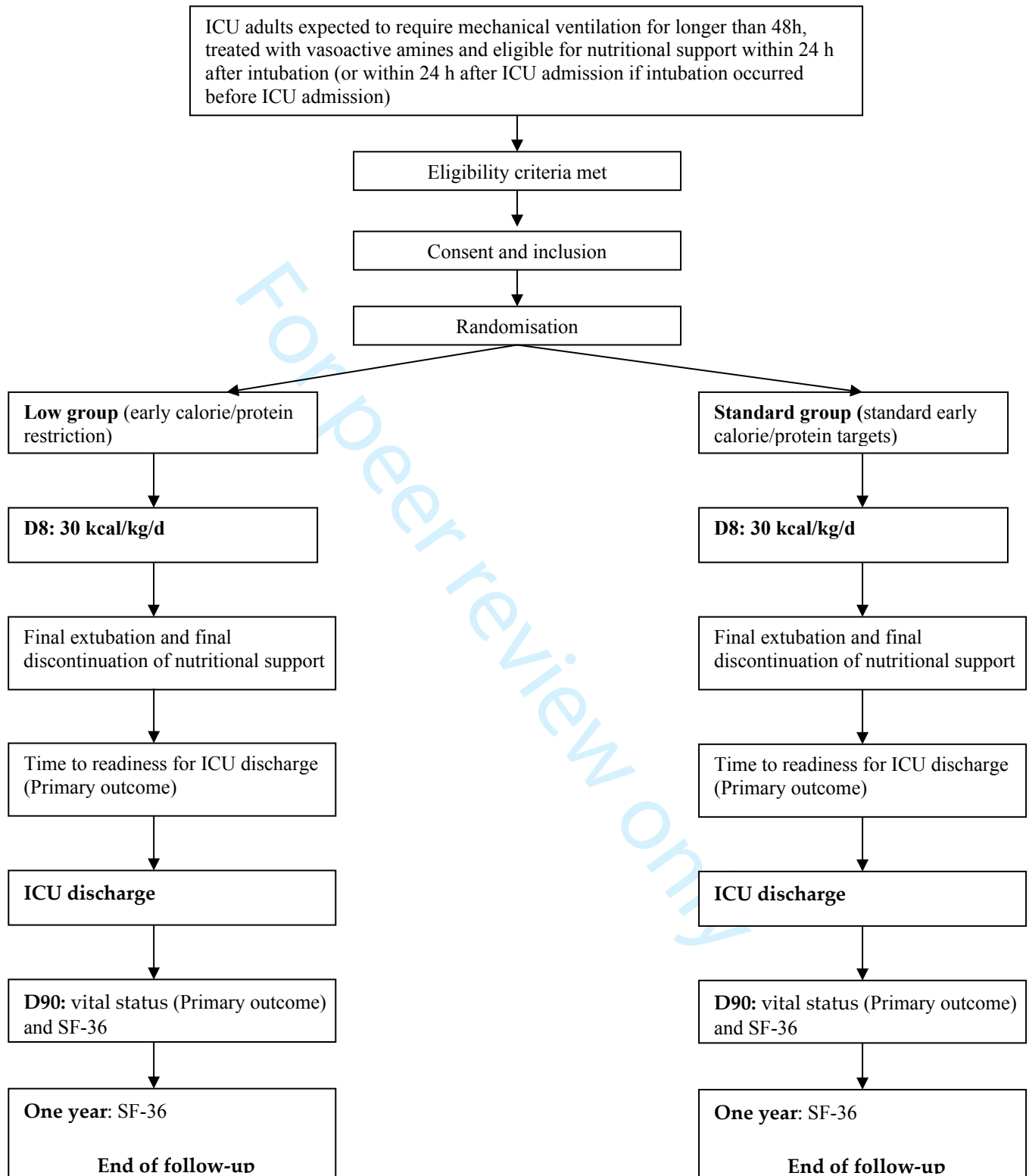


Figure 2: Study diagram



Comité de Protection des Personnes Sud-Méditerranée II

AVIS

Le Comité de Protection des Personnes Sud-Méditerranée II, agréé par arrêté ministériel en date du 31 mai 2012, constitué selon l'arrêté du Directeur Général de l'Agence Régionale de Santé de la région Provence Alpes Côte d'Azur en date du 20 avril 2016,

➤ en application des dispositions du Code de la Santé Publique et de la réglementation en vigueur applicables sur un projet de recherche mentionnée au 1° ou au 2° de l'article L. 1121-1 du code de la santé publique ne portant pas sur un produit mentionné à l'article L. 5311-1 du même code

➤ ayant été saisi par le **CHU de Nantes**, promoteur d'un dossier de recherche impliquant la personne humaine intitulée:

Impact of early low-calorie low-protein versus standard calorie standards protein feeding on outcomes of ventilated adults with shock : a randomised, controlled, multicentre, open-label, parallel-group, study (NUTRIREA-3)

Référencée chez le promoteur : **RC18 0006**

Identifiée sous le numéro ID RCB : **2018-A00424-51**

et dont l'investigateur coordonnateur est le **Pr Jean Reignier**.

➤ ayant, après vérification de la conformité réglementaire, enregistré ce dossier le **22/02/2018** sous la référence interne **218 B14**,

➤ ayant examiné ce dossier de recherche lors de sa séance plénière du **06/04/2018** au cours de laquelle

D. DUSSOL, PH. ROLLAND, V. PRADEL, H. BAGHDADI, C. SICHEL, D. BRAGUER, D. TAILLEFER, J. RICOEUR, G. NAURAYE, P. D'ANGIO . P. BLIEK

le quorum général étant constaté,

après avoir entendu le rapporteur du collège technique, le rapporteur du collège social et l'avis du méthodologiste ont délibéré,

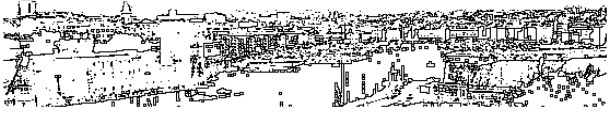
a décidé de demander au promoteur des compléments d'information et de révisions.

Le Comité,

➤ ayant reçu le **17/04/2018** l'ensemble des informations et des documents demandés,

➤ sur la base du dossier de recherche ainsi constitué :

- Courrier de demande d'autorisation du 14/02/2018
- Lettre de réponse du 17/04/2018
- Lettre de réponse du 16/04/2018
- Formulaire de demande d'autorisation du 17/04/2018



Comité de Protection des Personnes Sud-Méditerranée II

- Document additionnel du 14/02/2018
- Protocole de recherche v2 du 16/04/2018
- Résumé du protocole en français V2 du 16/04/2018
- Note d'information patient v2 du 16/04/2018
- Note d'information patient A postérieur v2 du 16/04/2018
- Note d'information proche hors procédure d'urgence et formulaire de consentement v2 du 16/04/2018
- Attestation de consentement proche ou personne de confiance
- Attestation de consentement patient
- Attestation de consentement de procédure d'urgence
- CV des investigateurs des principaux de site
- Attestation d'assurance
- Justification d'adéquation des moyens du lieu de recherche

lors de sa séance plénière du **04/05/2018** au cours de laquelle

C. BAGNIS, PH. ROLLAND, H. BAGHDADI, C. SICHEL, D. BRAGUER, M. RAFFRAY, D. TAILLEFER, J. RICOEUR, M. GABORIAU TABARY, P. D'ANGIO .

le quorum général étant constaté,

après avoir entendu le rapporteur du collège technique, le rapporteur du collège social et l'avis du méthodologiste ont délibéré,

a émis un

AVIS FAVORABLE

à la mise en oeuvre de cette recherche impliquant la personne humaine, considérant que les conditions de validité de la recherche, notamment celles définies dans l'article L. 1123-7 du code de la santé publique, étaient réunies.

Le Président
M. Pierre Henri ROLLAND

Il appartient au promoteur ou à son mandataire d'informer le Comité de "la date effective de commencement de la recherche correspondant à la date de la signature du consentement par la première personne qui se prête à la recherche en France" (Art. R. Art R1123-40 du Code de la Santé Publique) et « si, dans le délai de deux ans suivant l'avis du comité de protection des personnes, la recherche biomédicale n'a pas débuté, cet avis devient caduc. Toutefois, sur justification produite avant l'expiration dudit délai, celui-ci peut être prorogé par le comité concerné ». (Art R1123-26).

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Nantes, october 19th, 2020

The University Hospital of Nantes, represented for this paper by the acting Director of Medical Affairs and Research M.Lazarevic by delegation of the chief executive M. El Saïr, certify that the NUTRIREA-3 study

"Impact of Early Low-Calorie Low-Protein versus Standard-Calorie Standard-Protein Feeding on Outcomes of ventilated adults with shock: a randomised, controlled, multicentre, open-label, parallel-group study (NUTRIREA-3)"

was supported by the French Ministry of Health under National PHRC call 2017. The principal investigator coordinator of this study is Professor Jean Reingnier, attached to our hospital.

The total amount of this grant is 1 443 324 €.

This information can be checked on the site of DGOS:

<https://solidarites-sante.gouv.fr/systeme-de-sante-et-medico-social/recherche-et-innovation/l-innovation-et-la-recherche-clinique/appels-a-projets/article/les-projets-retenus>

To assert that right.

Milan LAZAREVIC
A Directeur de la recherche et de
B l'innovation

PO

Anne OMNES
CHU

délégation à la recherche clinique
44093 NANTES CEDEX 1



STANDARD PROTOCOL ITEMS: RECOMMENDATIONS FOR INTERVENTIONAL TRIALS

SPIRIT 2013 Checklist: Recommended items to address in a clinical trial protocol and related documents*

Section/item	Item No	Description
Administrative information		
Title	1	Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym
Trial registration	2a	Trial identifier and registry name. If not yet registered, name of intended registry
	2b	All items from the World Health Organization Trial Registration Data Set
Protocol version	3	Date and version identifier
Funding	4	Sources and types of financial, material, and other support
Roles and responsibilities	5a	Names, affiliations, and roles of protocol contributors
	5b	Name and contact information for the trial sponsor
	5c	Role of study sponsor and funders, if any, in study design; collection, management, analysis, and interpretation of data; writing of the report; and the decision to submit the report for publication, including whether they will have ultimate authority over any of these activities
	5d	Composition, roles, and responsibilities of the coordinating centre, steering committee, endpoint adjudication committee, data management team, and other individuals or groups overseeing the trial, if applicable (see Item 21a for data monitoring committee)
Introduction		
Background and rationale	6a	Description of research question and justification for undertaking the trial, including summary of relevant studies (published and unpublished) examining benefits and harms for each intervention
	6b	Explanation for choice of comparators
Objectives	7	Specific objectives or hypotheses
Trial design	8	Description of trial design including type of trial (eg, parallel group, crossover, factorial, single group), allocation ratio, and framework (eg, superiority, equivalence, noninferiority, exploratory)

Methods: Participants, interventions, and outcomes

Study setting	9	Description of study settings (eg, community clinic, academic hospital) and list of countries where data will be collected. Reference to where list of study sites can be obtained
Eligibility criteria	10	Inclusion and exclusion criteria for participants. If applicable, eligibility criteria for study centres and individuals who will perform the interventions (eg, surgeons, psychotherapists)
Interventions	11a	Interventions for each group with sufficient detail to allow replication, including how and when they will be administered
	11b	Criteria for discontinuing or modifying allocated interventions for a given trial participant (eg, drug dose change in response to harms, participant request, or improving/worsening disease)
	11c	Strategies to improve adherence to intervention protocols, and any procedures for monitoring adherence (eg, drug tablet return, laboratory tests)
	11d	Relevant concomitant care and interventions that are permitted or prohibited during the trial
Outcomes	12	Primary, secondary, and other outcomes, including the specific measurement variable (eg, systolic blood pressure), analysis metric (eg, change from baseline, final value, time to event), method of aggregation (eg, median, proportion), and time point for each outcome. Explanation of the clinical relevance of chosen efficacy and harm outcomes is strongly recommended
Participant timeline	13	Time schedule of enrolment, interventions (including any run-ins and washouts), assessments, and visits for participants. A schematic diagram is highly recommended (see Figure)
Sample size	14	Estimated number of participants needed to achieve study objectives and how it was determined, including clinical and statistical assumptions supporting any sample size calculations
Recruitment	15	Strategies for achieving adequate participant enrolment to reach target sample size

Methods: Assignment of interventions (for controlled trials)

Allocation:

Sequence generation	16a	Method of generating the allocation sequence (eg, computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that is unavailable to those who enrol participants or assign interventions
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1			
2	Allocation	16b	Mechanism of implementing the allocation sequence (eg, central
3	concealment		telephone; sequentially numbered, opaque, sealed envelopes),
4	mechanism		describing any steps to conceal the sequence until interventions are
5			assigned
6			
7	Implementation	16c	Who will generate the allocation sequence, who will enrol participants,
8			and who will assign participants to interventions
9			
10	Blinding	17a	Who will be blinded after assignment to interventions (eg, trial
11	(masking)		participants, care providers, outcome assessors, data analysts), and
12			how
13		17b	If blinded, circumstances under which unblinding is permissible, and
14			procedure for revealing a participant's allocated intervention during
15			the trial
16			
17			
18			
19			

Methods: Data collection, management, and analysis

20			
21	Data collection	18a	Plans for assessment and collection of outcome, baseline, and other
22	methods		trial data, including any related processes to promote data quality (eg,
23			duplicate measurements, training of assessors) and a description of
24			study instruments (eg, questionnaires, laboratory tests) along with
25			their reliability and validity, if known. Reference to where data
26			collection forms can be found, if not in the protocol
27		18b	Plans to promote participant retention and complete follow-up,
28			including list of any outcome data to be collected for participants who
29			discontinue or deviate from intervention protocols
30			
31	Data	19	Plans for data entry, coding, security, and storage, including any
32	management		related processes to promote data quality (eg, double data entry;
33			range checks for data values). Reference to where details of data
34			management procedures can be found, if not in the protocol
35			
36	Statistical	20a	Statistical methods for analysing primary and secondary outcomes.
37	methods		Reference to where other details of the statistical analysis plan can be
38			found, if not in the protocol
39		20b	Methods for any additional analyses (eg, subgroup and adjusted
40			analyses)
41		20c	Definition of analysis population relating to protocol non-adherence
42			(eg, as randomised analysis), and any statistical methods to handle
43			missing data (eg, multiple imputation)
44			
45			
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Methods: Monitoring

52			
53	Data monitoring	21a	Composition of data monitoring committee (DMC); summary of its role
54			and reporting structure; statement of whether it is independent from
55			the sponsor and competing interests; and reference to where further
56			details about its charter can be found, if not in the protocol.
57			Alternatively, an explanation of why a DMC is not needed
58			
59			
60			

1		21b	Description of any interim analyses and stopping guidelines, including
2			who will have access to these interim results and make the final
3			decision to terminate the trial
4			
5			
6	Harms	22	Plans for collecting, assessing, reporting, and managing solicited and
7			spontaneously reported adverse events and other unintended effects
8			of trial interventions or trial conduct
9			
10			
11	Auditing	23	Frequency and procedures for auditing trial conduct, if any, and
12			whether the process will be independent from investigators and the
13			sponsor
14			

15 Ethics and dissemination

16			
17	Research ethics	24	Plans for seeking research ethics committee/institutional review board
18	approval		(REC/IRB) approval
19			
20			
21	Protocol	25	Plans for communicating important protocol modifications (eg,
22	amendments		changes to eligibility criteria, outcomes, analyses) to relevant parties
23			(eg, investigators, REC/IRBs, trial participants, trial registries, journals,
24			regulators)
25			
26	Consent or assent	26a	Who will obtain informed consent or assent from potential trial
27			participants or authorised surrogates, and how (see Item 32)
28			
29		26b	Additional consent provisions for collection and use of participant data
30			and biological specimens in ancillary studies, if applicable
31			
32			
33	Confidentiality	27	How personal information about potential and enrolled participants will
34			be collected, shared, and maintained in order to protect confidentiality
35			before, during, and after the trial
36			
37	Declaration of	28	Financial and other competing interests for principal investigators for
38	interests		the overall trial and each study site
39			
40			
41	Access to data	29	Statement of who will have access to the final trial dataset, and
42			disclosure of contractual agreements that limit such access for
43			investigators
44			
45	Ancillary and	30	Provisions, if any, for ancillary and post-trial care, and for
46	post-trial care		compensation to those who suffer harm from trial participation
47			
48	Dissemination	31a	Plans for investigators and sponsor to communicate trial results to
49	policy		participants, healthcare professionals, the public, and other relevant
50			groups (eg, via publication, reporting in results databases, or other
51			data sharing arrangements), including any publication restrictions
52			
53			
54		31b	Authorship eligibility guidelines and any intended use of professional
55			writers
56			
57		31c	Plans, if any, for granting public access to the full protocol, participant-
58			level dataset, and statistical code
59			
60			

Appendices

Informed consent materials	32	Model consent form and other related documentation given to participants and authorised surrogates
Biological specimens	33	Plans for collection, laboratory evaluation, and storage of biological specimens for genetic or molecular analysis in the current trial and for future use in ancillary studies, if applicable

*It is strongly recommended that this checklist be read in conjunction with the SPIRIT 2013 Explanation & Elaboration for important clarification on the items. Amendments to the protocol should be tracked and dated. The SPIRIT checklist is copyrighted by the SPIRIT Group under the Creative Commons "[Attribution-NonCommercial-NoDerivs 3.0 Unported](#)" license.

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Impact of Early Low-Calorie Low-Protein versus Standard-Calorie Standard-Protein Feeding on Outcomes of Ventilated Adults with Shock: Design and Conduct of a Randomised, Controlled, Multicentre, Open-Label, Parallel-Group Trial (NUTRIREA-3)

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ABSTRACT

Introduction: International guidelines include early nutritional support (≤ 48 h after admission), 20-25 kcal/kg/d, and 1.2-2 g/kg/d protein at the acute phase of critical illness. Recent data challenge the appropriateness of providing standard amounts of calories and protein during acute critical illness. Restricting calorie and protein intakes seemed beneficial, suggesting a role for metabolic pathways such as autophagy, a potential key mechanism in safeguarding cellular integrity, notably in the muscle, during critical illness. However, the optimal calorie and protein supply at the acute phase of severe critical illness remains unknown. NUTRIREA-3 will be the first trial to compare standard calorie and protein feeding complying with guidelines to low-calorie low-protein feeding. We hypothesised that nutritional support with calorie and protein restriction during acute critical illness decreased day-90 mortality and/or dependency on ICU management in mechanically ventilated patients receiving vasoactive amine therapy for shock, compared to standard calorie and protein targets.

Methods and analysis: NUTRIREA-3 is a randomised, controlled, multicentre, open-label trial comparing two parallel groups of patients receiving invasive mechanical ventilation and vasoactive amine therapy for shock and given early nutritional support according to one of two strategies: early calorie-protein restriction (6 kcal/kg/d-0.2-0.4 g/kg/d) or standard calorie-protein targets (25 kcal/kg/d-1.0-1.3g/kg/d) at the acute phase defined as the first 7 days in the ICU. We will include 3044 patients in 61 French ICUs. Two primary end-points will be evaluated: day-90 mortality and time to ICU discharge readiness. The trial will be considered positive if significant between-group differences are found for one or both alternative primary endpoints. Secondary outcomes include hospital-acquired infections and nutritional, clinical, and functional outcomes.

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3 **Ethics and dissemination:** The NUTRIREA-3 study has been approved by the appropriate
4 ethics committee. Patients are included after informed consent. Results will be submitted for
5 publication in peer-reviewed journals.
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10 **Trial Registration:** ClinicalTrials.gov: NCT03573739. Date of registration: June 29, 2018.
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For peer review only

STRENGTHS AND LIMITATIONS OF THIS STUDY

- NUTRIREA-3 is a pragmatic randomised controlled trial whose large number of patients recruited in numerous intensive care units (ICUs) enhance the reliability and general applicability of the results.
- We included a well-defined population of very severely critically ill patients requiring at least vasoactive drugs and mechanical ventilation, at high risk for death or protracted recovery, and therefore most likely to benefit from improved early nutritional support.
- We used two strong patient-centred primary outcomes, i.e., 90-day mortality and ICU dependency, and we evaluated important secondary outcomes, including long-term function, in keeping with recommendations about studies of nutritional support in critically ill patients.
- NUTRIREA-3 is the first study to evaluate the potential benefits of calorie and protein restriction versus standard calorie and protein targets during early nutritional support, using very different amounts of calories and proteins.
- A limitation is that blinding of nutritional strategies is not feasible.

INTRODUCTION

Severe critical illness is associated during the acute phase with anorexia, metabolic disorders, endocrine dysfunction, and a major catabolic response responsible for severe skeletal and diaphragmatic muscle wasting(1). Among critically ill patients requiring mechanical ventilation (MV) and catecholamines for shock, nearly 40% to 50% die, and functional recovery is often delayed in survivors (2). Nutritional support is crucial, as malnutrition is associated with poor outcomes. Prescribing nutritional support in the critically ill is the result of a complex decision-making process designed to optimise three key parameters: the timing, the dose, and the route of artificial feeding. International guidelines encourage early nutritional support (≤ 48 h after admission), via the enteral route if not contraindicated, with 20-25 kcal/kg/d, and 1.2-2 g/kg/d protein at the acute phase(3, 4). These targets are rarely achieved in patients with severe critical illnesses, who frequently experience gastroparesis responsible for intolerance to enteral nutrition(5). Observational studies have indicated that calorie and protein deficiencies were associated with nosocomial infections, ICU-acquired weakness, delayed weaning off MV, longer stays, and higher mortality(6-12).

However, recent data challenge the appropriateness of providing standard amounts of calories and protein during the acute phase of critical illness(13, 14). Studies showed no outcome benefits with higher intakes(15, 16). Instead, adding parenteral nutrition to increase intakes was associated with longer ICU stays and more infectious complications(17, 18). Higher protein intakes during the acute phase may be associated with greater muscle wasting and ICU-acquired weakness(1, 19). Restricting calorie and protein intakes seemed beneficial, suggesting a role for metabolic pathways such as autophagy, a potential key mechanism in safeguarding cellular integrity, notably in the muscle, during critical illness(20, 21). The recent EDEN and PERMIT trials showed no differences in patient outcomes between

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2
3 hypocaloric and standard feeding(22-24). However, in both studies, calorie intakes were
4
5 below-target in the standard groups. Moreover, patients in both PERMIT trial groups
6
7 received similar protein intakes, as protein solutions were added in the hypocaloric group.
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10 Last, the TARGET trial demonstrated no benefit of delivering 100% vs. 70% of the
11
12 recommended calorie intake on outcomes of critically ill patients(25). Thus, the optimal
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14 calorie and protein supply at the acute phase of severe critical illness remains unknown(14,
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16 26-29).

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19 We designed the NUTRIREA-3 trial to compare standard calorie and protein feeding
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21 complying with guidelines to low-calorie low-protein feeding in a well-defined group of
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23 severely ill ICU patients requiring at least MV and vasoactive drugs. These patients typically
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25 have poor outcomes with long ICU stays, high frequencies of ICU-acquired weakness and
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27 infections, and high mortality(1, 30). Reported impacts of nutritional support were greatest in
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29 the most severely ill ICU patients (3, 4, 31, 32). Our hypothesis is that, in those severe
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31 critically ill patients, low-calorie low-protein feeding at the early phase of critical illness
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33 improves muscle preservation, thereby improving outcomes, and most notably diminishing
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35 mortality and dependency on ICU care.
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METHODS AND ANALYSIS

Trial design

NUTRIREA-3 is a randomised, controlled, multicentre, open-label trial comparing two parallel groups of patients.

Participants, interventions, outcomes

Participating units

Of the 61 French ICUs participating in the study, 34 are in university hospitals. All participating ICU staff members have attended training in the study procedures and protocols for providing nutritional support.

Study population and recruitment modalities

Inclusion criteria are age older than 18 years; invasive MV for an expected duration of at least 48 hours after inclusion, started in the ICU within the past 24 h, or started before ICU admission with ICU admission within the 24 h after intubation; treatment with a vasoactive agent for shock (adrenaline, dobutamine, or noradrenaline); nutritional support expected to be started within 24 h after intubation or within 24 h after ICU admission when MV was started before ICU admission; and patient and/or next-of-kin informed about the study and having consented to participation in the study. If the patient is unable to receive information and no next-of-kin can be contacted during screening for the study, trial inclusion will be completed as an emergency procedure by the ICU physician, in compliance with French law.

Exclusion criteria are specific nutritional needs, such as pre-existing long-term home enteral or parenteral nutrition, for chronic bowel disease; dying patient, not-to-be-resuscitated

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3 order, or other treatment limitation decision at ICU admission; pregnancy, recent delivery, or
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5 lactation; adult under guardianship; and department of corrections inmate.
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10 ***Interventions***

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12 After study inclusion, patients will be allocated at random to one of two nutritional
13 support strategies (Figure 1). The designated feeding strategy will be initiated as soon as
14 possible after randomisation (in all patients, within 24 hours after intubation or ICU
15 admission in patients with MV started before admission) and continued until extubation and
16 withdrawal of vasoactive support, or death, or day 7, whichever occurs first.
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24 In the low-calorie low-protein (Low) group, the calorie target will be 6 kcal/kg/day
25 and the protein target 0.2-0.4 g/kg/d during the acute phase, i.e. from D0 to D7. On D8, the
26 calorie target will be 30 kcal/kg/d and the protein target 1.2-2.0 g/kg/d.
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30 In the standard-calorie/standard-protein (Standard) group, the first-line calorie target
31 calculated based on body weight is 25 kcal/kg/day and the protein target 1.0-1.3 g/kg/d
32 during the acute phase, i.e. from D0 to D7. On D8, the calorie target will be 30 kcal/kg/d and
33 the protein target 1.2-2.0 g/kg/d.
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40 Daily nutritional intakes needed to meet the allocated calorie target will be calculated
41 based on body weight (BW). In obese patients (BMI>30 Kg/m²), the body weight yielding a
42 BMI of 30 will be used. In patients with BMI<18.5, the following corrected body weight will
43 be used: (ideal body weight + actual body weight)/2. The calorie/protein ratios of nutritional
44 solutions currently available in French hospitals will ensure that the protein intake complies
45 with the allocated nutritional regimen.
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56 ***Nutritional support protocol***

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3 The nutritional support protocol, including measures designed to evaluate tolerance,
4 is standardised as indicated below.
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8 *General principles of nutritional support in both study arms*
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10 Nutritional support is started as soon as possible after randomisation and no later than
11 24 hours after intubation or after ICU admission if intubation preceded ICU admission.
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14 Randomised controlled trials showed that feeding route during the acute phase had no
15 impact on major clinical outcomes of critically ill patients when iso-caloric nutrition was
16 provided in both arms(33, 34). Thus, during the acute phase, bedside physicians will be free,
17 each day, to choose the best feeding route, according to clinical considerations, to ensure that
18 the calorie target is achieved. After the acute phase, enteral feeding remains the preferred
19 route in patients without contraindications (4). Thus, on day 8, in the absence of
20 contraindications to enteral nutrition, parenteral nutrition will be stopped in those patients fed
21 via the parenteral route, and enteral nutrition started. From day 8 onwards, supplemental
22 parenteral nutrition may be added in the event of intolerance to enteral nutrition precluding
23 the achievement of the predefined calorie targets.
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37 Nutritional support is prescribed as a flow rate (mL/hour) and started at the prescribed
38 flow rate (as opposed to increased gradually). The feed is delivered continuously over the 24-
39 hour cycle, with no interruptions. Actual feed delivery is monitored regularly based on the
40 volumes delivered relative to the predefined daily calorie targets. In addition, special
41 attention is directed to avoiding delays. Any interruption in feed delivery is reported to the
42 ICU physician in charge. Except in special situations, nutritional support is not interrupted
43 while transporting the patient. However, when EN or PN must be interrupted (e.g., for a
44 specific gastrointestinal or radiological investigation), the flow rate is not increased to
45 compensate for the interruption. Finally, all patients are in the semi-recumbent supine
46 position (torso inclined 30° to 45° relative to the horizontal plane).
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3 After extubation, regardless of time since randomisation, decisions about the
4 continued need for, and optimal route of, nutritional support are made by the physician in
5 charge of the patient. Patients who are reintubated within 7 days after trial inclusion are
6 managed until the end of the acute phase according to the arm they were randomised to
7 during the first intubation period.
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14 *Enteral nutrition (EN)*

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17 Iso-osmotic iso-caloric normal-protein polymeric preparations are used during the
18 first week in both groups, after which the choice of feed is at the discretion of the physician.
19 The feed is delivered via a 14-French silicone gastric tube. Tube position in the middle of the
20 stomach is checked on a radiograph obtained at ICU admission or immediately after tube
21 placement, as well as when the tube is changed or repositioned.
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29 A predefined protocol is used to manage upper gastrointestinal intolerance to enteral
30 nutrition. This protocol was used in the NUTRIREA-2 trial(34). To minimise the risk of
31 gastric intolerance and consequently of vomiting, the volume of supplemental water given
32 enterally will be as small as possible during the first study week. Residual gastric volume is
33 not monitored(35). The tolerance of enteral nutrition is defined based only on episodes of
34 significant vomiting or regurgitation (passage of enteral nutrition formula into the mouth,
35 outside the mouth, or into the endotracheal tube in the absence of care procedures or
36 mobilisation). Minimal regurgitation or vomiting triggered by tracheal aspiration or oral
37 cavity care is not taken to indicate intolerance. EN intolerance leads to the following two
38 measures. First, treatment with a prokinetic agent is initiated after confirmation that there are
39 no contraindications. The study ICUs use the prokinetic agent of their choice, according to
40 their standard practice. The prokinetic agent is discontinued when EN at the highest
41 prescribed flow rate has been well tolerated for 48 hours. Second, if gastric intolerance
42 persists despite prokinetic therapy, the flow rate is decreased by 25 mL/h every 6 hours until
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3 the signs of intolerance resolve. Therefore, EN is stopped (and the gastric tube placed under
4 suction) only in patients with intolerance despite a flow rate ≤ 25 mL/h. All interruptions in
5 EN delivery must be reported to the physician in charge of the patient. This precaution is
6 particularly important in patients receiving insulin. EN is resumed at the prescribed flow rate
7 (appropriate to the patient's needs) after 6 hours have elapsed with no further signs of
8 intolerance. Patients at high risk for gastric intolerance, such as those turned in the prone
9 position for acute respiratory distress syndrome (ARDS), receive prophylactic prokinetic
10 treatment starting at the first turn in the prone position (36, 37).

21 *Parenteral nutrition (PN)*

22 Ternary admixtures packaged in bags and containing the three groups of
23 macronutrients are used according to standard practice in each participating centre.
24 Supplemental electrolytes are supplied in a solution separate from the parenteral feed,
25 according to the needs of each patient. PN is delivered continuously via a central venous
26 catheter (CVC). Special attention is directed to preventing infections by complying with the
27 standard protocols for CVC insertion and maintenance used in each of the participating
28 centres. Proper CVC position is checked on a radiograph.

39 *Additional intakes*

40 Additional water, electrolytes, vitamins, and trace elements are given intravenously
41 according to the needs of each individual patient as assessed by the physician in charge and
42 using the standard preparations and protocols available in each study ICU.

49 *Monitoring of intestinal transit*

50 The volume and appearance of the stools are monitored daily. The occurrence of
51 constipation (no stool for more than 6 days) or diarrhoea (more than 300 mL of liquid stool
52 or 4 loose stools per day) will be reported and will lead to the appropriate diagnostic and
53 therapeutic management (15, 38, 39). Enteral nutrition is not stopped for diarrhoea, which
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3 leads to the following measures. First, treatments that accelerate bowel transit, including
4 prokinetic agents, are stopped. Second, a stool test for *Clostridium difficile* toxin is
5 performed in patients receiving antibiotics. Third, the enteral solution is changed if the first
6 measure is ineffective and the *C. difficile* toxin test is negative. Finally, if the diarrhoea
7 persists despite the measures listed above, the rate of enteral feeding is reduced until the
8 diarrhoea resolves then increased again gradually until the desired flow rate (40).
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16 *Blood glucose control*

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19 Close monitoring and strict application of the blood glucose-control and insulin-
20 therapy protocols used at each study centre will be ensured. Blood glucose targets will be at
21 the discretion of each physician in charge, according to the usual practice and protocols in
22 their ICU. In patients receiving insulin therapy, blood glucose levels will be determined at
23 least hourly if nutritional support is discontinued or decreased (because of poor tolerance of
24 enteral nutrition) for as long as the patient remains intolerant to, or off, nutrition.
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35 *Study outcomes*

36 *Primary endpoints*

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39 Two primary end-points will be analysed: all-cause mortality by day 90, and time to
40 readiness for ICU discharge. Information on vital status will be collected on the 90th day after
41 patient inclusion. For discharged patients, information on this primary endpoint will be
42 collected by a telephone call to the patient's home. The time of ICU discharge to a regular
43 ward may be affected by the availability of beds on regular wards, which may induce bias.
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The study will therefore consider the time to readiness for ICU discharge. A patient will be
considered ready for ICU discharge as soon as all predefined clinical conditions for ICU
discharge are fulfilled (Table 1), regardless of ward-bed availability. Readiness for ICU
discharge will be checked daily in all patients weaned from invasive MV and vasoactive

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3 drugs. A similar strategy regarding this endpoint has been used previously in studies on
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5 nutrition in the ICU(17, 18).
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8 The trial will be considered positive if significant between-group differences are
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10 found for one or both alternative primary endpoints.
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12 *Secondary outcomes*

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14 – Daily mean values during the first week, throughout the time on endotracheal
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16 mechanical ventilation (MV) and from weaning off MV to readiness for ICU discharge of the
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18 following:
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21 ▪ number of calories (in Kcal) delivered enterally and/or
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23 parenterally
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25 ▪ ratio (as a %) of prescribed over delivered calories
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27 – Proportion of patients who achieved their calorie target from day 0 to day 7
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29 – Daily mean values from day 0 to day 7 and during MV of the following:
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31 ▪ protein supply (g) given enterally and/or parenterally
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33 ▪ volume of fluids (in mL) received (daily mean from day 0 to
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35 day 7 and during MV)
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37 – From day 0 to day 7:
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39 ▪ SOFA score changes
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41 ▪ Changes in daily maximum blood glucose levels
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43 ▪ Proportion of patients with hypoglycaemia
- 44
45 ▪ Total insulin dose received daily
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47 – Days on insulin treatment from day 0 to readiness for ICU discharge
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49 – Proportion of patients with at least one ICU-acquired infection; an independent
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56 blinded committee will adjudicate all ICU-acquired infections.
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3 – Ventilator-associated pneumonia (VAP): the diagnosis of VAP is suspected based on
4 the development or persistence of lung infiltrates on the chest radiograph with at least two of
5 the following criteria: body temperature ≥ 38.5 or $\leq 35.5^\circ\text{C}$, leucocytosis ($>10\,000/\text{mm}^3$) or
6 leucopenia ($<4000/\text{mm}^3$), and purulent tracheobronchial aspirate, from H48 after intubation
7 to H48 after extubation. The diagnosis will have to be confirmed by a positive *semi-*
8 *quantitative* bacteriological test: bronchoalveolar lavage ($>10^{-4}$ cfu/mL), brush ($>10^{-3}$
9 cfu/mL), tracheal aspirate ($>10^{-6}$ cfu/mL), or protected distal specimen ($>10^{-3}$ cfu/mL)(41,
10 42). VAP episodes are recorded from 48 hours after intubation until day 2 after extubation.

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- Other ICU-acquired infections:
 - Proportion of patients with at least one VAP episode
 - Total number of VAP episodes in each group
 - Other ICU-acquired infections:
 - Proportion of patients with at least one episode of bacteraemia
 - Proportion of patients with at least one central venous catheter (CVC)-related infection
 - Proportion of patients with at least one episode of urinary tract infection
 - Proportion of patients with at least one soft tissue infection
 - Proportion of patients with other nosocomial infections
 - Descriptive bacteriological data: organisms recovered in the overall population with nosocomial infections, and antimicrobial resistance profiles
 - Proportion of patients with at least one episode of liver dysfunction, defined as serum bilirubin $>50\ \mu\text{mol/L}$ and/or elevation $>3\text{N}$ of one or more liver enzymes (γ -glutamyltransferase, alkaline phosphatase, and ASAT-ALAT) at the end of MV, on day 7 (in patients on MV for more than 7 days), and at ICU discharge

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3 – Proportion of patients with at least one episode of vomiting or regurgitation while on
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5 MV
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7 – Proportion of patients with at least one episode of diarrhoea defined as liquid stools in
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9 a volume greater than 300 mL/24 hours in patients with a faecal collector or as more than
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11 four loose stools/24 hours (15)
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13 – Proportion of patients with at least one episode of constipation (no stool for more than
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15 6 days)
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17 – Proportion of patients with at least one documented episode of bowel ischaemia
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19 defined as absent blood flow in one of the main arteries supplying the bowel (superior
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21 mesenteric artery, inferior mesenteric artery, or celiac artery) with evidence of bowel wall
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23 compromise on an imaging study (computed tomography angiography, angiography, or
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25 magnetic resonance angiography) or presence of criteria for colonic ischaemia according to
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27 the Favier classification system (stage I, petechiae; stage II, petechiae and superficial ulcers;
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29 and stage III, necrotic ulcers and polypoid lesions) by endoscopy (rectosigmoidoscopy or
30
31 colonoscopy) (43)
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33 – Mean changes in serum albumin, pre-albumin, and C-reactive protein (CRP)
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35 measured at baseline, at the end of MV, on day 7 (in patients on MV for more than 7 days),
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37 and at ICU discharge
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39 – Changes in mean body weight determined at baseline, on day 7, and at ICU discharge
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42 – Days on MV
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44 – Hospital stay length (days in hospital)
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47 – ICU mortality, 28-day mortality, and hospital mortality
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50 – Proportions of patients mobilised from day 0 to day 7 and total number of active
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52 mobilisations, using predetermined criteria (44) (Table 2)
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3 – Total Medical Research Council (MRC) score and proportion of patients with ICU-
4 acquired paresis at the time of readiness for ICU discharge (45, 46); the MRC score can
5 range from 0 (quadriplegia) to 60 (normal muscle strength); scores <48 will define ICU-
6 acquired paresis.
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12 – Proportion of patients with at least one criterion for persistent altered health status at
13 the time of readiness for ICU discharge, among the following: tracheostomy, non-invasive
14 ventilation, ongoing renal-replacement therapy, parenteral nutrition, enteral nutrition via a
15 nasogastric tube; Glasgow Coma Scale score <15, and treatment-limitation decision(47)
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19 – SF-36 score completed during a phone call to the patient by an independent blinded
20 research nurse or psychologist 3 months and 1 year after study inclusion(48, 49)
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28 **Organisation of the trial**

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30 Figure 2 is the study diagram.
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33 ***Recruitment modalities***

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35 All patients treated with invasive MV and vasopressor support for shock within 24 h
36 after intubation, or within 24 h after ICU admission if already intubated, will be screened for
37 eligibility by the ICU physicians and clinical research nurses, around the clock and 7 days a
38 week. Patients will be included after checking inclusion and non-inclusion criteria. A log of
39 patients considered for study participation will be kept and will include any reasons for non-
40 inclusion and refusals of consent.
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50 ***Randomisation***

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52 Randomisation is centralised and performed using a secure, computer-generated,
53 interactive, web-response system available at each study centre. Randomisation is stratified
54 on study centre with a 1:1 ratio.
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Blinding

The trial will be open, since the nature of the intervention precludes blinding of healthcare staff to group assignment. However, the absence of blinding cannot have an impact on either of the primary endpoints. Day-90 mortality is an objective endpoint with no evidence that absence of blinding may affect the data(50). Given its more subjective nature, the primary endpoint of readiness to discharge alive from the ICU will be checked daily by the bedside physician according to predefined criteria, in order to strongly limit the risk of detection bias. Moreover, the secondary endpoints relevant to nosocomial infections will be validated by an adjudication committee.

Sample size

Assuming a 43% day-90 mortality rate in the Standard group and a 5% absolute decrease in day-90 mortality (to 38%) in the Low group, with the alpha risk set at 4.9% (as two interim analyses are planned) and the beta risk at 20%, 1522 patients are needed in each group, i.e., a theoretical total of 3044 patients.

This sample size will provide 94% power to detect a 1.5-day difference in time to ICU discharge alive between the two groups (mean, 14.5 days in the control group versus 13.0 days in the experimental group).

No corrections will be made for multiple comparisons. Indeed, adjusting for multiplicity is controversial and there is no consensus about the appropriate analysis (51). Moreover, adjusting for multiplicity may lead to increased sample size and subsequent decreased feasibility of the study. Last, most recent studies with a similar design did not use corrections for multiple comparisons (17, 18).

The ICU stay lengths in survivors and mortality rates used for the sample size estimation

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3 are those obtained in the NUTRIREA-1 and -2 trials, which used similar inclusion criteria.
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7 8 ***Interim analysis*** 9

10 Given the need for a large sample size, two interim analyses will be scheduled, one
11 after enrolment of 1000 patients and the other after enrolment of 2000 patients. Members of
12 the independent Data Safety Monitoring Board (DSMB) will not be otherwise involved in the
13 trial. This DSMB consists of one methodologist and two intensivists. For both interim
14 analyses, the DSMB will have access to unblinded results on day-90 mortality, time to
15 discharge alive from the ICU, variations in SOFA scores from day 0 to day 7, amounts of
16 calories and proteins received daily from day 0 to day 7, and nosocomial infections. The
17 results of the interim analyses will not be disclosed unless they lead the DSMB to request
18 premature trial discontinuation.
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33 ***Statistical analysis*** 34

35 Each patient will remain in the group assigned by randomisation, regardless of
36 subsequent events. A statistical analysis report will be written to describe all the findings,
37 according to CONSORT Statement recommendations, while taking into account the specific
38 features of the trial, most notably the nonpharmacological nature of the intervention. The
39 baseline features of the groups established by randomisation will be compared using
40 descriptive statistics; no statistical tests will be performed.
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49 ***Primary endpoint*** 50

51 Day-90 mortality will be reported as the point estimate with the 95% confidence
52 interval in each group. The difference in proportions with the 95% confidence interval will
53 also be estimated. Day-90 mortality will be compared between the two groups using the chi-
54 square test.
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3 Time to readiness for ICU discharge will be analysed using the competing risk
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5 approach (Fine and Gray model), with death in the ICU as a competing risk.
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8 *Secondary endpoints*
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10 The incidence of patients with at least one nosocomial infection will be estimated and
11 compared between the groups using a Fine and Gray model, with death and ICU discharge
12 alive as competing events. The incidence of patients with at least one VAP episode will be
13 estimated using a Fine and Gray model, with not only death as a competing risk, but also
14 time to extubation +2 days, since after this point any episode of pneumonia would not be
15 classified as VAP. For CVC-related infections, the competing risks will be death and CVC
16 removal; for urinary tract infections they will be death and urinary catheter removal.
17 Bacteraemia, soft tissue infections, and other infections will be analysed using the same
18 method as for the pooled nosocomial infections. For descriptive bacteriological data
19 (organisms recovered with their resistance profiles for each nosocomial infection), only
20 descriptive analyses will be performed. For the proportions of patients with at least one
21 episode of vomiting or regurgitation, diarrhoea, constipation, documented acute colonic
22 pseudo-obstruction, documented bowel ischaemia, mechanical complication of CVC
23 insertion, hypoglycaemia from day 0 to day 7, and liver dysfunction, the method will be the
24 same as for nosocomial infections. Changes over time in delivered calories and proteins will
25 be represented graphically by boxplots created each day on the same graph; changes over
26 time will be compared between the two groups using a mixed linear model, after data
27 transformation if necessary. The same analysis will be performed for volume of feeds
28 delivered each day. The proportion of patients who achieved 100% of their daily calorie
29 target will be determined at each follow-up time point (in days) and compared between the
30 two groups using a logistic random-effects model. Day-28 mortality will be analysed in the
31 same way as day-90 mortality. For ICU and hospital mortality rates, a Fine and Gray model
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3 will be used, with ICU discharge and hospital discharge as events competing with death
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5 during the stay. Time to extubation will be analysed using a Fine and Gray model with death
6
7 and ICU discharge as competing events. Changes over time in SOFA, total insulin, blood
8
9 glucose, nutritional markers and body weight will be analysed using the method described
10
11 above for the number of calories delivered. For the proportion of mobilised patients, patients
12
13 with at least one criterion of persistent altered health status at the time of readiness for ICU
14
15 discharge, and patients with ICU-acquired paresis, the method will be the same as for
16
17 nosocomial infections. The Medical Research Council (MRC) sum score at the time of
18
19 readiness for ICU discharge and days on insulin will be compared between the two groups
20
21 using the non-parametric Wilcoxon test. The total quality-of-life SF-36 score will be
22
23 compared between the two groups using a mixed linear model.
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31 ***Data collection and follow-up***

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33 The following data will be recorded until the patient is extubated: demographic and
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35 clinical data, nutrition monitoring data, treatments given, laboratory tests, invasive devices,
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37 functional evaluation, and data relevant to nosocomial infections. The patient will be
38
39 followed up for 1 year after study inclusion; at hospital discharge, on D28 and D90, and at 1
40
41 year, the vital status will be recorded. The SF-36 score will be recorded on D90 and 1 year
42
43 after study inclusion. Table 3 is the study flow-chart.
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50 ***Data entry and monitoring***

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52 An Internet-based data collection tool will be used for this study to store the data of
53
54 all the participants. This electronic case-report form (eCRF) is a secure, interactive, web-
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56 response system available at each study centre. It is provided and managed by the biometrical
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unit of the Tours University Hospital (CIC INSERM 1415, Tours, France). Access to the eCRF will require only an Internet connection and a browser.

Monitoring of the data collected and of the screening forms in each participating centre will be carried out by the Research Division, Promotion Department, of the Nantes University hospital. Research assistants will regularly perform on-site checks of adherence to the protocol and accuracy of recorded data.

Trial Status

Inclusions started in July 2018. The scheduled interim analysis were performed on the first 1000 (by October 2009) and 2000 (by March 2020) included patients, respectively. Both analyses led the DSMB to recommend continuation of the study. Recruitment in the trial was interrupted from March to June 2020 because of the COVID-19 crisis, when all ICUs were full with COVID-19 patients and all research teams were working only on COVID-19 trials. By August 19, 2020, 2764 patients had been included. Recruitment is expected to be complete by December 2020.

Ethics and dissemination

Ethical review board

The NUTRIREA-3 trial was approved by the competent French authorities on 20 April 2016 (*Comité de Protection des Personnes Sud-Méditerranée 2*, registration 2018-A00424-51) and registered on ClinicalTrials (NCT03573739) in June 2018.

Consent

The patients are included after providing their signed informed consent to trial participation. For patients unable to consent because of impaired consciousness or severe critical illness, information about the study is provided to their next of kin. Patients who are

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3 unable to consent and for whom no relative is available to consent to study participation
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5 within a timeframe compatible with the study design are included after completion of an
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7 emergency consent form by the bedside physician. The relatives are informed of the
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9 inclusion as soon as possible. Patients are asked to confirm their willingness to participate in
10
11 the trial once they regain decision-making capacity. Data of patients without relatives who
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13 die without previously recovering consciousness will be included in the statistical analysis.
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16 17 ***Confidentiality and source data archiving***

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19 The medical data about each patient will be communicated only to the institution (i.e.,
20
21 the sponsor) with which the chief investigator is affiliated or to a person appointed by the
22
23 chief investigator and the sponsor under conditions that ensure the confidentiality of the
24
25 patient data. During or at completion of the study, the data collected from the study
26
27 participants and communicated by the individuals involved in the study will be rendered
28
29 anonymous. Study investigators will archive all study data for at least 15 years after the end
30
31 of the study.
32
33

34 35 ***Dissemination policy***

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37 The publication policy will comply with international recommendations (N Engl J
38
39 Med, 1997; 336:309-315) and the CONSORT statement (<http://www.consort-statement.org>).
40
41 Findings will be published in peer-reviewed journals and presented during national and
42
43 international scientific meetings. Communications and scientific reports relevant to this study
44
45 will be under the responsibility of the study coordinator (JR), who will obtain the approval of
46
47 the other investigators.
48
49

50 51 ***Patient and public involvement***

52
53 Neither patients nor the public are involved in the study.
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AUTHORS' CONTRIBUTIONS:

ALG and JR prepared the first draft of the manuscript.

JR, JBL, DM, ALG, and BGi wrote the manuscript.

JR, JBL, ALG, DM, and BGi participated in designing the NUTRIREA-3 study.

ALG and BGi wrote the statistical analysis plan and performed the sample size estimation.

JR and DM obtaining funding for the study.

JR, JBL, DA, LA, YH, PA, JB, MAN, NVB, LB, HNB, DC, LC, AC, CC, MD, VD, MD, AD, JD, SV, NAB, LMD, JO, OG, SG, BGu, SJ, FL, CL, PL, BM, JM, OM, FM, EM, JPM, SN, WP, GPi, GPI, JPQ, AR, LG, JR, JPR, FS, DS, MS, BS, FR, FT, NT, DT, GT, NTR, JFT, FT, PT, TV, IV, and CV contributed to acquire the study data.

All authors revised the manuscript for important intellectual content and read and approved the final version of the manuscript.

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COMPETING INTEREST STATEMENT

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2
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FIGURE LEGENDS**Figure 1:** Study interventions**Figure 2:** Study diagram

For peer review only

Table 1: Criteria for readiness for ICU discharge

A patient will be considered ready for ICU discharge when he/she meets all of the following criteria:

- No longer in need of, or at risk for needing, invasive mechanical ventilation
 - No longer in need of, or at risk for needing, vasoactive support
 - No agitation or altered consciousness requiring close monitoring and management
 - No severe acute metabolic or haematologic disorder requiring close monitoring and management
-

Table 2: Mobilisation scale

Remains in bed	<ul style="list-style-type: none">▪ no mobilisation,▪ turned in bed,▪ sitting position in bed with the head of the bed elevated
Mobilised in the bed	<ul style="list-style-type: none">▪ passive mobilisation of the legs in bed▪ active mobilisation of the legs in bed▪ cycling motions in bed
Mobilised out of bed	<ul style="list-style-type: none">▪ sits on the edge of the bed with the feet on the floor▪ sits in a chair▪ stands▪ marches in place▪ walks

Table 3: Study flow chart of patient follow-up

	Inclusion	D0*	D1 to Dn	End of study protocol	Ready for ICU discharge	D28	D90	One year End of follow-up **
Eligibility: check inclusion and exclusion criteria	X							
Patient information and consent	X							
Randomisation		X						
Demographic characteristics		X						
Vital signs		X						
Weight		X	X					
Ventilation		X	X					
Laboratory tests		X	X*					
SOFA		X	X					
Nutritional evaluation		X	X					
Treatments used		X	X					
Daily nutritional intake		X	X					
Fluid intake		X	X					
Nosocomial infections			X					
Final extubation				X				
Final discontinuation of nutritional support				X				
Health status					X			
MRC score					X			
Survived / died					X	X	X	X
SF-36							X	X

* from time of inclusion to 11:59 pm

** Information will be collected by phone contact with patients or relatives.

Figure 1: Study interventions

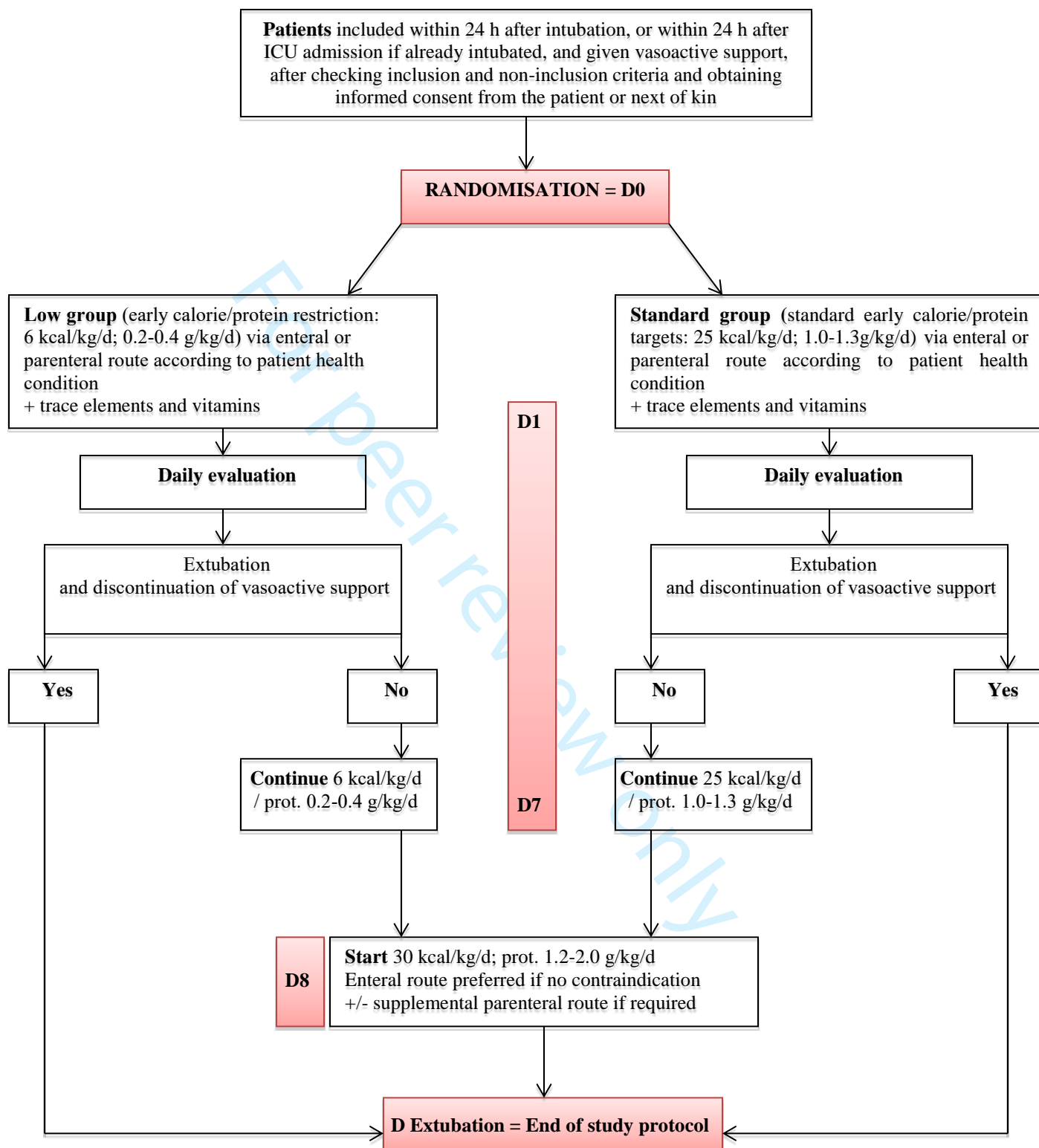
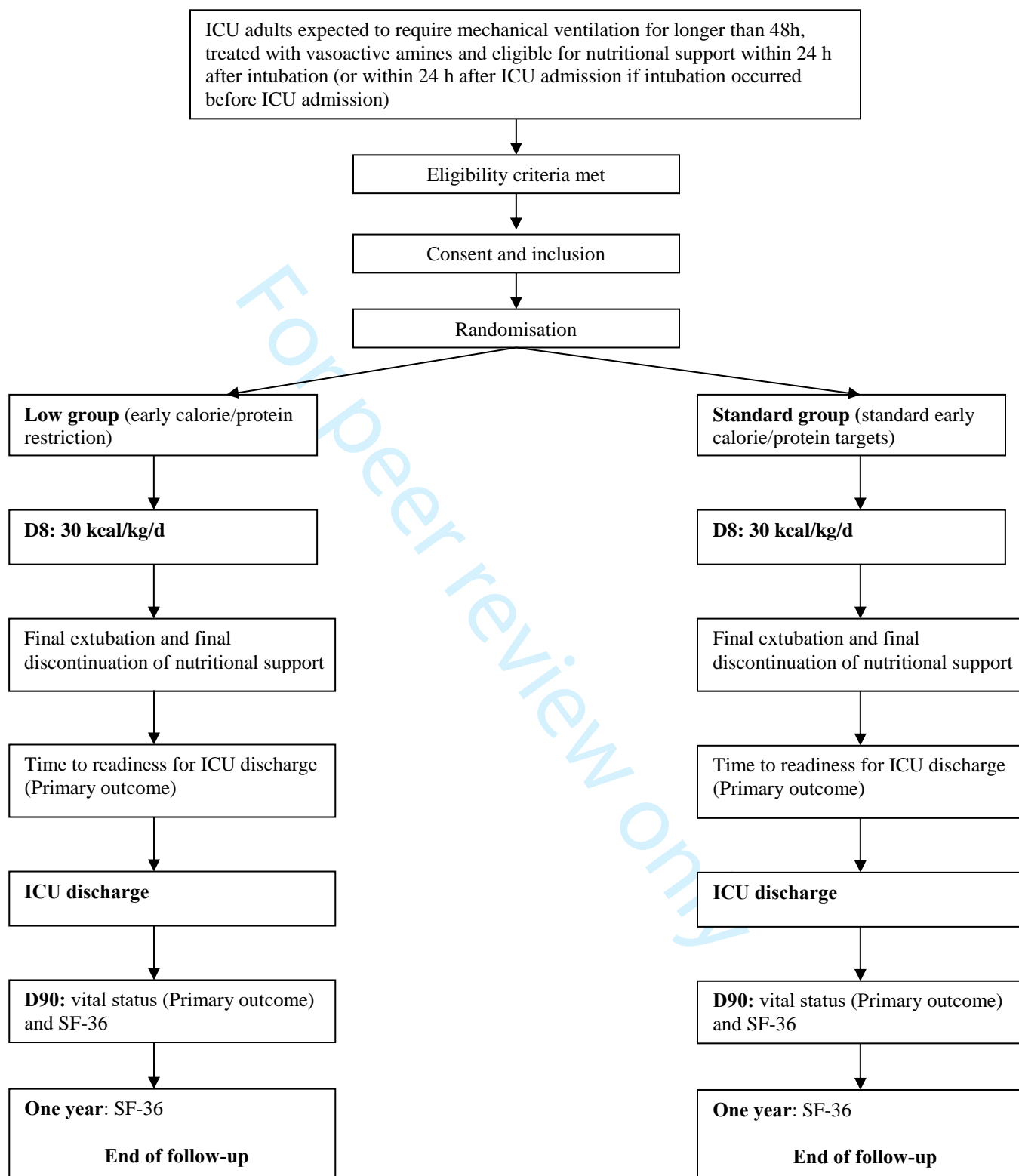


Figure 2: Study diagram



STANDARD PROTOCOL ITEMS: RECOMMENDATIONS FOR INTERVENTIONAL TRIALS

SPIRIT 2013 Checklist: Recommended items to address in a clinical trial protocol and related documents*

Section/item	Item No	Page	Description
Administrative information			
Title	1	1	Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym
Trial registration	2a	9	Trial identifier and registry name. If not yet registered, name of intended registry
	2b		All items from the World Health Organization Trial Registration Data Set
Protocol version	3	NA	Date and version identifier
Funding	4	29	Sources and types of financial, material, and other support
Roles and responsibilities	5a	29	Names, affiliations, and roles of protocol contributors
	5b	NA	Name and contact information for the trial sponsor
	5c	29	Role of study sponsor and funders, if any, in study design; collection, management, analysis, and interpretation of data; writing of the report; and the decision to submit the report for publication, including whether they will have ultimate authority over any of these activities
	5d	NA	Composition, roles, and responsibilities of the coordinating centre, steering committee, endpoint adjudication committee, data management team, and other individuals or groups overseeing the trial, if applicable (see Item 21a for data monitoring committee)
Introduction			
Background and rationale	6a	11	Description of research question and justification for undertaking the trial, including summary of relevant studies (published and unpublished) examining benefits and harms for each intervention
	6b	12	Explanation for choice of comparators
Objectives	7	12	Specific objectives or hypotheses
Trial design	8	13	Description of trial design including type of trial (eg, parallel group, crossover, factorial, single group), allocation ratio, and framework (eg, superiority, equivalence, noninferiority, exploratory)

Methods: Participants, interventions, and outcomes

Study setting	9	13	Description of study settings (eg, community clinic, academic hospital) and list of countries where data will be collected. Reference to where list of study sites can be obtained
Eligibility criteria	10	13	Inclusion and exclusion criteria for participants. If applicable, eligibility criteria for study centres and individuals who will perform the interventions (eg, surgeons, psychotherapists)
Interventions	11a	14	Interventions for each group with sufficient detail to allow replication, including how and when they will be administered
	11b	14-17	Criteria for discontinuing or modifying allocated interventions for a given trial participant (eg, drug dose change in response to harms, participant request, or improving/worsening disease)
	11c	14-17	Strategies to improve adherence to intervention protocols, and any procedures for monitoring adherence (eg, drug tablet return, laboratory tests)
	11d	14-17	Relevant concomitant care and interventions that are permitted or prohibited during the trial
Outcomes	12	18	Primary, secondary, and other outcomes, including the specific measurement variable (eg, systolic blood pressure), analysis metric (eg, change from baseline, final value, time to event), method of aggregation (eg, median, proportion), and time point for each outcome. Explanation of the clinical relevance of chosen efficacy and harm outcomes is strongly recommended
Participant timeline	13	21, 25	Time schedule of enrolment, interventions (including any run-ins and washouts), assessments, and visits for participants. A schematic diagram is highly recommended (see Figure)
Sample size	14	22	Estimated number of participants needed to achieve study objectives and how it was determined, including clinical and statistical assumptions supporting any sample size calculations
Recruitment	15	21	Strategies for achieving adequate participant enrolment to reach target sample size

Methods: Assignment of interventions (for controlled trials)

Allocation:

Sequence generation	16a	22	Method of generating the allocation sequence (eg, computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that is unavailable to those who enrol participants or assign interventions
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2	Allocation	16b	22	Mechanism of implementing the allocation sequence (eg, central
3	concealment			telephone; sequentially numbered, opaque, sealed envelopes),
4	mechanism			describing any steps to conceal the sequence until interventions are
5				assigned
6				
7	Implementation	16c	22	Who will generate the allocation sequence, who will enrol participants,
8				and who will assign participants to interventions
9				
10	Blinding	17a	22	Who will be blinded after assignment to interventions (eg, trial
11	(masking)			participants, care providers, outcome assessors, data analysts), and
12				how
13				
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15		17b	NA	If blinded, circumstances under which unblinding is permissible, and
16				procedure for revealing a participant's allocated intervention during
17				the trial
18				

Methods: Data collection, management, and analysis

21				
22	Data collection	18a	25	Plans for assessment and collection of outcome, baseline, and other
23	methods			trial data, including any related processes to promote data quality (eg,
24				duplicate measurements, training of assessors) and a description of
25				study instruments (eg, questionnaires, laboratory tests) along with
26				their reliability and validity, if known. Reference to where data
27				collection forms can be found, if not in the protocol
28				
29				
30		18b	25	Plans to promote participant retention and complete follow-up,
31				including list of any outcome data to be collected for participants who
32				discontinue or deviate from intervention protocols
33				
34	Data	19	26	Plans for data entry, coding, security, and storage, including any
35	management			related processes to promote data quality (eg, double data entry;
36				range checks for data values). Reference to where details of data
37				management procedures can be found, if not in the protocol
38				
39				
40	Statistical	20a	24	Statistical methods for analysing primary and secondary outcomes.
41	methods			Reference to where other details of the statistical analysis plan can be
42				found, if not in the protocol
43				
44		20b	24	Methods for any additional analyses (eg, subgroup and adjusted
45				analyses)
46				
47				
48		20c	23-	Definition of analysis population relating to protocol non-adherence
49			24	(eg, as randomised analysis), and any statistical methods to handle
50				missing data (eg, multiple imputation)
51				

Methods: Monitoring

52				
53				
54	Data monitoring	21a	NA	Composition of data monitoring committee (DMC); summary of its role
55				and reporting structure; statement of whether it is independent from
56				the sponsor and competing interests; and reference to where further
57				details about its charter can be found, if not in the protocol.
58				Alternatively, an explanation of why a DMC is not needed
59				
60				

	21b	23	Description of any interim analyses and stopping guidelines, including who will have access to these interim results and make the final decision to terminate the trial
Harms	22	NA	Plans for collecting, assessing, reporting, and managing solicited and spontaneously reported adverse events and other unintended effects of trial interventions or trial conduct
Auditing	23	NA	Frequency and procedures for auditing trial conduct, if any, and whether the process will be independent from investigators and the sponsor

Ethics and dissemination

Research ethics approval	24	26	Plans for seeking research ethics committee/institutional review board (REC/IRB) approval
Protocol amendments	25		Plans for communicating important protocol modifications (eg, changes to eligibility criteria, outcomes, analyses) to relevant parties (eg, investigators, REC/IRBs, trial participants, trial registries, journals, regulators)
Consent or assent	26a	27	Who will obtain informed consent or assent from potential trial participants or authorised surrogates, and how (see Item 32)
	26b	NA	Additional consent provisions for collection and use of participant data and biological specimens in ancillary studies, if applicable
Confidentiality	27	27	How personal information about potential and enrolled participants will be collected, shared, and maintained in order to protect confidentiality before, during, and after the trial
Declaration of interests	28	28	Financial and other competing interests for principal investigators for the overall trial and each study site
Access to data	29	27	Statement of who will have access to the final trial dataset, and disclosure of contractual agreements that limit such access for investigators
Ancillary and post-trial care	30	NA	Provisions, if any, for ancillary and post-trial care, and for compensation to those who suffer harm from trial participation
Dissemination policy	31a	27	Plans for investigators and sponsor to communicate trial results to participants, healthcare professionals, the public, and other relevant groups (eg, via publication, reporting in results databases, or other data sharing arrangements), including any publication restrictions
	31b	27	Authorship eligibility guidelines and any intended use of professional writers
	31c	NA	Plans, if any, for granting public access to the full protocol, participant-level dataset, and statistical code

Appendices

Informed consent materials	32		Model consent form and other related documentation given to participants and authorised surrogates
Biological specimens	33	NA	Plans for collection, laboratory evaluation, and storage of biological specimens for genetic or molecular analysis in the current trial and for future use in ancillary studies, if applicable

*It is strongly recommended that this checklist be read in conjunction with the SPIRIT 2013 Explanation & Elaboration for important clarification on the items. Amendments to the protocol should be tracked and dated. The SPIRIT checklist is copyrighted by the SPIRIT Group under the Creative Commons "[Attribution-NonCommercial-NoDerivs 3.0 Unported](#)" license.

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