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## The Real-World Effectiveness of Prescription Medications Among Midlife Women with Sleep Disturbances During Two Years of Follow-up: A SWAN Pharmacoepidemiology Study

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## The Real-World Effectiveness of Prescription Medications Among Midlife Women with Sleep Disturbances During Two Years of Follow-up: A SWAN Pharmacoepidemiology Study

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**ABSTRACT**

**Objective:** To examine the effects of prescription sleep medications on patient-reported sleep disturbances.

**Design:** Retrospective cohort.

**Setting:** Longitudinal cohort of community-dwelling women in the US.

**Participants:** Racially and ethnically diverse middle-aged women who reported a sleep disturbance.

**Interventions:** New users of prescription sleep medications propensity score matched to women not starting sleep medications.

**Main Outcomes and Measures:** Self-reported sleep disturbance during the previous two weeks – difficulty initiating asleep, waking frequently, and early morning awakening – using a 5-point Likert scale, ranging from no difficulty on any night (rating 1) to difficulty on 5 or more nights a week (rating 5). Sleep disturbances were compared at one-year (primary outcome) and two-years of follow-up.

**Results:** 238 women who started sleep medications were matched with 447 non-users. Participants had a mean age of 49.5 years and approximately half were White. At baseline, sleep disturbance ratings were similar: medication users had a mean score for difficulty initiating asleep of 2.7 (SD 1.5), waking frequently 3.8 (SD 1.3), and early morning awakening 2.8 (SD 1.5); non-users ratings were 2.6 (SD 1.5), 3.7 (SD 1.3), and 2.7 (SD 1.4), respectively. After one year, ratings for medication users were 2.6 (SD 1.6) for initiating asleep, 3.6 (SD 1.5) for waking frequently, and 2.8 (SD 1.5) for early morning awakening; for non-users, the mean ratings were 2.3 (SD 1.4), 3.5 (SD 1.4), and 2.5 (SD 1.5), respectively. None of the one-year changes were statistically significant nor were they different between medication users and non-users. Two-year follow-up results were consistent, without statistically significant reductions in sleep disturbance in medication users compared with non-users.

**Conclusions:** These analyses suggest that women who initiated sleep medications rated their sleep disturbances similar after one and two years. The potential benefits of long-term use of sleep medication should be re-examined.

**Article summary: Strengths and limitations of this study:**

- Little is known about the long-term effectiveness of medications used for sleep.
- We compared reductions in sleep difficulties across a large cohort of women reporting sleep difficulties who did and did not start prescription medications used for sleep.
- No clear differences were observed in sleep difficulties at one and two years of follow-up between women who did and did not start medications used for sleep.
- Some of these medications may not have been prescribed for sleep difficulties and some medications were likely used intermittently.

For peer review only

## INTRODUCTION

Sleep disturbances are common, and an estimated 9 million adults in the United States report prescription medication use for this indication.<sup>1</sup> The frequency of sleep medication use has increased since the 1990s and first decade of the 2000s.<sup>2,3</sup> Sleep disorders are associated with many important chronic conditions, including diabetes, hypertension, pain, and depression.<sup>4</sup> Due to the prevalence of sleep disturbances and their interplay with important comorbidities, many pharmacologic treatment options have been developed for sleep.

Prescription sleep medications consist of benzodiazepines (BZDs) and non-benzodiazepine hypnotics (non-BZDs). The non-BZDs include zolpidem, zaleplon, eszopiclone, and other agents mostly used off-label to promote sleep through a variety of other mechanisms. Randomized controlled trials demonstrate the short-term sleep benefits of many agents in these categories, with typical trials for these agents lasting only 12-24 weeks and often including fewer than 100 patients.<sup>5,6</sup> One 8 month study of zolpidem found improved polysomnographic sleep parameters and subject assessments on two nights in month 8.<sup>7</sup> While sleep medications are recommended for short courses,<sup>8</sup> sleep disturbances may be chronic and many patients use these agents for long periods, sometimes intermittently and other times nightly.<sup>9</sup> Thus, effectiveness data would be useful for patients and clinicians if it included sleep medications used over several months in populations of typical patients with sleep disturbances; we found no such studies in the literature.

There has been increased interest in using non-randomized designs to test the real-world effectiveness of drugs.<sup>10</sup> We assessed the effectiveness of sleep medications among a large and diverse cohort of mid-life women not reporting prevalent sleep medication use at baseline who self-reported sleep disturbances during observation in a longitudinal cohort. Women who subsequently started sleep medications were matched on a propensity score with women who did not and followed for 1-2 years with annual assessment of sleep disturbances.

## METHODS

**Study design.** The design of this study was based on the “target trial emulation” concept as proposed by Hernan and Robins.<sup>11</sup> In this study paradigm, a target randomized controlled trial is designed and then, an observational study is constructed to emulate the target trial. We specified all relevant aspects of the target trial and the observational corollary as noted in **Supplementary Table 1**. The observational study focused on new users of sleep medications, never previously reporting sleep medication use during the period of observation and primarily used an intention to treat design to most closely emulate the target trial. Further, we described the study design using standardized illustrations as suggested by Schneeweiss and colleagues (see **Supplementary Figure 1**).<sup>12</sup>

**Setting and participants.** All potentially eligible women were drawn from the Study of Women’s Health Across the Nation (SWAN). SWAN is an ongoing multicenter, multi-ethnic/multi-racial longitudinal study examining the biological and psychosocial changes that occur during the menopausal transition. Between 1995 and 1997, a screening survey assessed the eligibility of women at each of seven participating sites; sampling used either community-based or population-based frames.<sup>13</sup> Major cohort entry criteria included: age 42 to 52 years; intact uterus and at least one ovary, not using sex steroid hormones or pregnant, breastfeeding or lactating at enrollment or within the previous three months; at least one menstrual period in the 3 months prior to screening; and self-identified as either White, African-American, Hispanic, Chinese, or Japanese. Each site recruited at least 450 eligible women, including White women and a minority group sample, into the cohort in

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3 1995-1997, resulting in an inception cohort of 3302 women.<sup>14,15</sup> For the current analyses, we used follow-up  
4 data through 2016.  
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7 Since we were interested in the long-term effects of prescription sleep medications on sleep disturbances, we  
8 required all women to have reported during SWAN follow-up a sleep disturbance on at least 3 nights per week  
9 during a two-week interval. On almost all annual visits, women were asked to self-report on three aspects of  
10 sleep: difficulty initiating, frequent awakening, and early morning awakening. If women reported any of these  
11 disturbances at least once, they were eligible for the study cohort. We also required women to have sleep data  
12 at the visit after first reporting a sleep disturbance; some visits did not include the brief sleep inventory and thus  
13 follow-up information would be missing. Finally, we excluded women who reported use of prescription sleep  
14 medications at the baseline visit in SWAN, to eliminate prevalent users of these drugs.  
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17 Patient and public involvement: There was no patient or public involvement in this research. Participants in  
18 SWAN receive updates on the conduct and results of the study. Data from SWAN are available for qualified  
19 researchers. All participants gave written informed consent to use their data for these analyses. The current  
20 analyses were funded by the US National Institutes of Health. All participants gave written informed consent  
21 after being educated about the nature of the study, potential risks, and how their data may be used.  
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23  
24 Exposures. Many different medications are used for sleep. We focused on two groups of medications: BZDs and  
25 non-BZDs. The full list of medications considered included the following BZDs: estazolam, flurazepam,  
26 lorazepam, temazepam, and triazolam; the following non-BZDs: zaleplon, zolpidem, and eszopiclone; and agents  
27 with other mechanisms: doxepin (a tertiary amine tricyclic), mirtazapine (noradrenergic and specific  
28 serotonergic), ramelteon (selective melatonin receptor agonist), and trazodone (serotonin antagonist and  
29 reuptake inhibitor). The primary analyses grouped all sleep medications together. In secondary analyses, groups  
30 of medications were considered separately. Lorazepam users (n = 65) and their matched non-users (n = 125)  
31 were dropped in a secondary analysis because it is used for many indications.  
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34 The drug information is collected at each study visit by asking women to bring in their medication bottles or a  
35 pharmacy generated list of medications that they have used in the last month. Interviewers record the  
36 medications used, which are coded using the Iowa Drug Information Service system.<sup>16</sup> Women were not  
37 prompted specifically about sleep medications. Dosages and drug frequency were not reliably recorded and  
38 were not used for these analyses. Further, over-the-counter medication use information was considered  
39 incomplete and not included in these analyses. Non-users were not included if they became users at a later visit.  
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42 As noted, we only included new use of sleep medications. The first visit with a mention of a sleep medication  
43 was considered the index visit. Since there are no between visit medication updates, we considered women who  
44 reported starting a sleep medication as users until their next annual SWAN visit. This design mimics an intention-  
45 to-treat analysis.  
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48 Outcomes. Three domains of sleep disturbances were self-reported at all annual SWAN visits. Women were  
49 asked to pick the answer that best describes their difficulty initiating sleep, remaining asleep, and early morning  
50 awakenings during the previous two weeks. They used a five-point Likert scale to report on each type of  
51 disturbance, where 1 = no difficulties on any nights, 2 = difficulties on less than 1 night per week, 3 = 1-2 nights  
52 per week, 4 = 3-4 nights per week, and 5 = 5-7 nights per week.<sup>17-19</sup> We considered the results at one-year to be  
53 the primary outcome and two-years to be the secondary outcome. For the two-year outcome, only women who  
54 had both year one and year two results were analyzed.  
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3 Covariates. SWAN collects a broad range of variables at cohort entry and at each subsequent annual visit. We  
4 considered a wide range of potential covariates including demographics, comorbidities, menopausal status,  
5 body mass index (BMI), tobacco use, and alcohol use. The variables unlikely to change over time (race/ethnicity  
6 and educational attainment) were collected at cohort entry and others were collected at the visit prior to the  
7 index visit. Variables were not updated after the index date. Depression was measured with the CES-D,<sup>20</sup> and the  
8 SF-36 scales were used to measure pain, mental function and physical function.<sup>21</sup>  
9

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11 Statistical analyses. After assembling the analytic cohort, covariates were defined and compared across women  
12 who initiated a sleep medication and those who did not. To improve the baseline balance in characteristics, we  
13 estimated a propensity score using a logistic regression model.<sup>22</sup> A propensity score estimates the likelihood that  
14 women would start a sleep medication, with values ranging from zero to one. All covariates shown in **Table 1**  
15 were included in the propensity score model. We then matched women who started a medication for sleep with  
16 women who did not based on their propensity score.<sup>23</sup> We attempted to match 2 non-users for each user using  
17 a “greedy matching” algorithm, with a maximum caliper of 0.2.<sup>24</sup>  
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20 After matching, we examined baseline characteristics for balance using standardized mean differences (see  
21 **Table 1**). With evidence of good balance across measured baseline characteristics, we next examined sleep  
22 disturbances at baseline and found these to be well balanced. We then examined sleep disturbance reports at  
23 one- and two-years, estimating means and standard deviations, and the changes in sleep disturbance from  
24 baseline to one year and one year to two years. These changes were estimated and compared across  
25 medication exposure groups, using a mixed model regression. No adjustments were made, as the baseline  
26 characteristics were well balanced as noted in **Table 1**.  
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29 Secondary analyses compared the distribution of scores on the Likert scale across medication exposures,  
30 specifically assessing for the percent of women who reported less frequent sleep disturbance; this analysis has  
31 the benefit of not assuming a continuous or linear distribution across the five categories of the Likert scale. As  
32 well, we conducted a proportional odds analysis to determine if exposure to sleep medications was associated  
33 with a significant reduction in the Likert scale. Other secondary analyses used the visit before sleep medication  
34 initiation to define the baseline patient characteristics to calculate the propensity score; this analysis allows us  
35 to assess the sensitivity of the results to the timing of variable measurement. As well, we restricted the analyses  
36 to women who reported more severe sleep disturbances at baseline, defined as a 4 or 5 on at least one sleep  
37 domain. This definition is consistent with the frequency criterion for clinically significant sleep difficulty (e.g.,  
38 insomnia disorder).<sup>25,26</sup> Such analyses retained the propensity score match.  
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41 All analyses were conducted using SAS (Cary NC). All p-values were nominal and not adjusted for multiple  
42 comparisons, as these were post-hoc exploratory analyses.  
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## 45 RESULTS

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48 We identified 2,531 potentially eligible women in SWAN who reported the severity of a sleep disturbance at  
49 some point during the twenty-one years of follow-up, 1995-2016 (see **Figure 1**). We applied the exclusion  
50 criteria and found 1,528 women who were analyzed in the propensity score to identify potential matches. From  
51 this group, 238 women who initiated a prescription sleep medication could be matched with 447 women who  
52 never initiated a sleep medication during study follow-up. These 685 women were similar in characteristics to  
53 the 1,846 potentially eligible women not included in the analysis (see **Supplementary Table 2**).  
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3 The baseline characteristics of the women in the study cohort are shown in **Table 1**. After propensity score  
4 matching, the women who initiated a sleep medication and those who did not were similar; all standardized  
5 mean differences were  $\leq 0.1$ , indicating successful propensity score matching. The mean age for this analytic  
6 sample was 49.5 years (SD 8.5) and their BMI was 29.1 kg/m<sup>2</sup> (SD 7.4). Approximately 80% had some education  
7 beyond high school. Approximately one-quarter were African-American and 57.5% were White; Hispanic,  
8 Chinese, and Japanese women made up the rest of the sample. Almost all women had some medical insurance.  
9 Approximately half were current or past tobacco users and half were moderate to heavy alcohol users. Mean  
10 depression, anxiety and pain scores were similar across the groups, as were SF-36 mental and physical function  
11 scores. Menopausal status was very similar across the groups with about 36% being in the peri-menopause. The  
12 range of comorbidities was typical for this population and similar across exposure groups.  
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16 At baseline, women who did and did not start a sleep medication reported very similar levels of sleep  
17 disturbance (see **Table 2**). In both groups, women reported difficulty initiating sleep on approximately one-third  
18 of nights, waking frequently on approximately two-thirds of nights, and early morning awakenings on  
19 approximately one-third of nights of the week. More than 70% of both groups reported any sleep disturbance  
20 at least 3 times weekly.  
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23 After one year, there were slight reductions noted in women's reports of all types of sleep disturbances, but  
24 none of the differences from baseline in either exposure group (medication users or non-users) were statistically  
25 significant (see **Figure 2**). One-year reports of early morning awakenings appeared to be slightly lower on the  
26 Likert scale among women not using sleep medications (mean 2.5, SD 1.5) compared to those who did (mean  
27 2.8, SD 1.5;  $p = 0.02$ ). The secondary two-year outcomes were similar to the one-year results; none  
28 demonstrated statistically significant reductions in sleep disturbances among sleep medication users.  
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30  
31 Several secondary analyses were pursued. First, we examined the distribution of Likert scores at baseline and  
32 one year of follow-up in the two groups (see **Table 3**). The distributions among medication users and non-users  
33 were similar at baseline and follow-up (all  $p$ -values  $> 0.10$ ). We also examined whether the results differed by  
34 type of sleep medication, BZD versus non-BZD (see **Table 4**); no differences were observed in the change from  
35 baseline to one year for either sleep medication group compared with medication non-users. The BZD group  
36 was further examined after removing lorazepam, and we found similar results for all types of sleep disturbances.  
37 We also re-ran the analyses with the baseline characteristics defined at the visit prior to the start of medications  
38 to assess how sensitive the results were to possible imprecision in the timing of variable measurement. The  
39 results showed small improvements in early morning awakenings among the sleep medication group (see  
40 **Supplementary Table 3**). Additional sensitivity analyses retained the five-level categorical Likert scale as the  
41 primary outcome and analyses gave similar negative results (see **Table 3 and Supplementary Table 4**). Finally, in  
42 analyses that only included the women reporting clinically significant weekly frequency of sleep disturbances at  
43 baseline (4 or 5 on the Likert scale), no differences were found between sleep medication users and non-users  
44 (see **Supplementary Figure 2**).  
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## 48 DISCUSSION

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50 Sleep difficulties are common.<sup>1,27</sup> Not surprisingly, the use of sleep medications has also grown over the last two  
51 decades.<sup>2</sup> These agents have a range of safety concerns<sup>5</sup> and recent reports describe substantial driving  
52 impairments.<sup>28</sup> Most data regarding their efficacy derive from short term studies (i.e., 2-12 weeks), but these  
53 agents appear to be used over the long-term by many patients. In this analysis of the long-term effectiveness in  
54 a large "real-world" longitudinal cohort of well-characterized middle-aged community-dwelling women who  
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3 self-reported their sleep disturbances and sleep medication use, sleep disturbances did not improve over one or  
4 two years among those who started sleep medications compared with women who did not.  
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7 When physicians or other clinicians prescribe these medicines, they often begin with short-term prescriptions,  
8 but many patients receiving these prescriptions become long-term users.<sup>9</sup> In the SWAN cohort, 37% of women  
9 starting a medication for sleep report using a sleep medication one year later. While there are good data from  
10 randomized controlled trials that these medications improve sleep disturbances in the short term,<sup>8</sup> the results  
11 we present here represent some of the only data on these medications' long-term effectiveness. The lack of  
12 benefit observed in the current study suggests that when physicians begin prescribing these medicines they  
13 should discuss with patients that many patients continue them long-term, and that there is scant evidence  
14 demonstrating benefit to using these medicines beyond several months.<sup>6,7</sup> In the study cohort, approximately  
15 half of the women were current or past tobacco users and twenty percent were moderate to heavy alcohol  
16 users. This was higher than expected and may reflect the demographic of women who endorse having a sleep  
17 disturbance.  
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19  
20 A broader issue raised by this example is how clinicians should consider prescribing medications when their  
21 expected use differs substantially from the randomized controlled trial (RCT) evidence. Without evidence from  
22 RCTs demonstrating the benefit of a given type of drug in a given patient population using the drug for a similar  
23 duration, clinicians lack the necessary information to prescribe appropriately. Real-world data, or data from  
24 observational cohorts such as what we present here, provide important opportunities for looking at the way  
25 drugs may actually be used in typical practice. There has been an increasing appreciation for the use of  
26 observational data analyzed appropriately to complement randomized trials.<sup>10</sup> The FDA has published a  
27 framework for generating evidence from real-world observational data sets,<sup>29</sup> with the hope that such analyses  
28 will allow clinicians to better understand the benefits and risks of drugs in typical practice.  
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31 We used rigorous epidemiologic methods and analyzed a well characterized cohort of women, but as with all  
32 observational studies there are limitations to recognize. The use of sleep medications was not randomized. Thus,  
33 even though the propensity score matched cohorts were very similar, there may be unmeasured confounding  
34 not accounted for in the analyses. These analyses were not pre-defined prior to establishing the SWAN cohort  
35 and should be considered post-hoc and exploratory. Medication use was collected only at annual or biennial  
36 study visits, and there may have been intermittent use or non-adherence between visits. This is a limitation of  
37 many retrospective cohort medication analyses and limits the inferences that can be drawn. In the primary one-  
38 year analysis, women were required to report use of a sleep medication at the subsequent annual visit in the  
39 new initiator group and to not report a sleep medication in the non-user group. In the secondary two-year  
40 analysis, women who remained on drug accrued no benefit compared with women who never used a sleep  
41 medication.  
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45 Sleep disturbances were self-reported, without any objective measures of sleep. This may have introduced mis-  
46 classification, however the outcomes were self-reported among both groups of women, limiting any potential  
47 bias. The outcome measure we used for sleep disturbances has been validated in prior studies<sup>17,18</sup> but never in  
48 SWAN participants. The five-level categorical Likert scale was primarily analyzed as a continuous variable in the  
49 mixed regression models, however analyses that retained the five categories gave similar negative results (see  
50 Table 3 and Supplementary Table 4). We do not have measures of daytime consequences in this dataset. It is  
51 also possible that sleep medications may have helped in the short-term, i.e., at 8 or 12 weeks. Women only  
52 reported medication use and sleep disturbances at annual visits and thus interim outcomes (i.e., at six month  
53 intervals) and intermittent medication use are not available for analysis. We did not include over-the-counter  
54 medication use and thus some non-users may actually have been using an over-the-counter hypnotic. We know  
55 that 11% of the women in this study reported use of an over-the-counter hypnotic at the baseline visit; slightly  
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3 more women in the user group reported such use compared with the non-user group. Finally, some prescription  
4 sleep medications can be used for multiple indications, regardless of the prescriber's knowledge.  
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7 In addition to these limitations, several strengths of this study should be described. We examined a well  
8 characterized cohort of women during a high-risk period for sleep disturbance. It is known that women going  
9 through the midlife often note sleep disturbances.<sup>30</sup> As well, we studied women of several races and ethnicities,  
10 enhancing the generalizability of the results. The study design also allowed us to examine a well-balanced cohort  
11 with very similar identical baseline features after propensity score matching. However, unmeasured or residual  
12 confounding cannot be ruled out.  
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14  
15 In conclusion, sleep disturbances are common and increasing in prevalence. The use of sleep medications has  
16 grown, and they are often used over a long period, despite the relative lack of evidence from randomized  
17 controlled clinical trials. The current observational study does not support use of sleep medications over the  
18 long-term, as there were no self-reported differences at one- or two-years of follow-up comparing sleep  
19 medication users to non-users. While we used rigorous epidemiologic methods, the findings reported herein are  
20 based on a non-randomized observational dataset and must be seen in that light. It is also important to note  
21 that neither group reported more severe sleep disturbances over the study follow-up. Most patients, if not all,  
22 should have received cognitive behavioral therapy.<sup>31</sup> While some small percentage of patients with sleep  
23 disturbances may receive benefit from long-term use of medications, the lack of benefit associated with use of  
24 sleep medications in the population studied after one- and two-years should help inform clinicians and patients  
25 considering initiating pharmacologic treatment for midlife women who have sleep complaints.  
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**Table 1: Baseline Demographics of Women in SWAN Examined in the Primary Cohort**

	<b>Total N=685</b>	<b>No Sleep Medication n=447</b>	<b>Sleep Medication User n=238</b>	<b>SMD</b>
	<i>N (%) unless noted</i>			
Age, mean (SD)	49.5 (8.5)	49.6 (8.8)	49.3 (7.7)	0.02
BMI, mean (SD)	29.1 (7.4)	29.1 (7.3)	29.2 (7.6)	0.02
<b>Educational attainment</b>				
High school or less	141 (20.6)	87 (19.5)	54 (22.7)	0.06
> high school	542 (79.1)	358 (80.1)	184 (77.3)	0.07
<b>Ethnicity/race</b>				
African American	158 (23.1)	103 (23.0)	55 (23.1)	0.002
White	394 (57.5)	261 (58.4)	133 (55.9)	0.05
Chinese	45 (6.6)	29 (6.5)	16 (6.7)	0.009
Hispanic	25 (3.7)	15 (3.4)	10 (4.2)	0.05
Japanese	63 (9.2)	39 (8.7)	24 (10.1)	0.04
<b>Medical insurance</b>				
	660 (96.4)	430 (96.2)	230 (96.6)	0.02
<b>Marital status</b>				
Single	94 (13.7)	58 (13.0)	36 (15.1)	0.06
Married	451 (65.8)	305 (68.2)	146 (61.3)	0.15
Separated	19 (2.8)	9 (2.0)	10 (4.2)	0.15
Widowed	30 (4.4)	17 (3.8)	13 (5.5)	0.08
Divorced	91 (13.3)	58 (13.0)	33 (13.9)	0.03
<b>Tobacco use</b>				
Never	344 (50.2)	220 (49.2)	124 (52.1)	0.06
Past/Current	341 (49.8)	227 (50.8)	114 (47.9)	0.06
<b>Alcohol use</b>				
None	294 (44.1)	193 (44.3)	101 (43.7)	0.01
<1 drink/week	167 (25.0)	117 (26.8)	50 (21.7)	0.12
1-7 drinks/week	131 (19.6)	75 (17.2)	56 (24.2)	0.17
>7 drinks/week	75 (11.2)	51 (11.7)	24 (10.4)	0.04
Depression (CES-D), mean (SD)	12.7 (10.5)	12.4 (10.3)	13.2 (10.9)	0.08
Anxiety score, mean (SD)	3.2 (2.7)	3.1 (2.8)	3.2 (2.6)	0.03
Body pain, mean (SD)	62.3 (22.5)	62.5 (22.0)	61.9 (23.3)	0.03
SF36-Mental, mean (SD)	46.5 (11.3)	46.7 (11.6)	46.2 (10.8)	0.05
SF36-Physical, mean (SD)	48.1 (10.4)	48.2 (9.8)	47.9 (11.5)	0.03
<b>Menopausal Status</b>				
Unknown	85 (12.4)	52 (11.6)	33 (13.9)	0.07
Pre-menopausal	30 (4.6)	19 (4.3)	11 (4.6)	0.02
Early/Late Peri-menopausal	246 (35.9)	162 (36.2)	84 (35.3)	0.02
Surgical menopause	30 (4.2)	20 (4.5)	10 (4.2)	0.01
Post-menopausal	294 (42.9)	194 (43.4)	100 (42.0)	0.03

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Diabetes	65 (9.5)	38 (8.5)	27 (11.3)	0.10
Hypertension	316 (46.1)	201 (45.0)	115 (48.3)	0.07
Osteoarthritis	303 (44.2)	196 (43.9)	107 (45.0)	0.02
Cancer, current	21 (3.1)	16 (1.8)	5 (2.1)	0.10
Any antidepressant	22 (3.2)	6 (1.3)	16 (6.7)	0.28
Any analgesic	28 (4.1)	22 (4.9)	6 (2.5)	0.13

Abbreviations: SMD, standardized mean difference; CES-D, Center for Epidemiologic Studies Depression Scale; BMI, Body Mass Index; SF36 Mental, Mental Component Score; and SF36 Physical, Physical Component Score. There are missing values for education (n=2), Alcohol use (n=14), and insurance (n=25). Antidepressants include TCAs, SSRI, SNRIs, and MAO inhibitors. Analgesics include opioids and nonsteroidal anti-inflammatory drugs.

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**Table 2: Sleep Disturbances at Baseline Among Women in SWAN Included in the Primary Cohort**

	<b>No Sleep Medication N = 447</b>	<b>Sleep Medication User N = 238</b>	<b>SMD</b>
Trouble initiating sleep, mean (SD)*	2.6 (1.5)	2.7 (1.5)	0.08
Waking frequently, mean (SD)*	3.7 (1.3)	3.8 (1.3)	0.03
Early morning awakening, mean (SD)*	2.7 (1.4)	2.8 (1.5)	0.07
Trouble initiating sleep, at least 3 nights per week, n (%)	137 (30.7)	82 (34.5)	0.07
Waking frequently, at least 3 nights per week, n (%)	291 (65.1)	158 (66.4)	0.008
Early morning awakening, at least 3 nights per week, n (%)	135 (30.2)	81 (34.0)	0.07
Any disturbance, at least 3 nights per week, n (%)	322 (72.0)	183 (76.9)	0.08

Abbreviations: SD, standard deviation; SMD, standardized mean difference. \*Mean calculated based on 5-point Likert scale where 1 = no difficulties on any nights, 2 = difficulties on less than 1 night per week, 3 = 1-2 nights per week, 4 = 3-4 nights per week, and 5 = 5-7 nights per week.

**Table 3. Likert Scale Severity Ratings of Self-Reported Sleep Disturbances from Baseline to Year 1 Among Women in SWAN who Reported Sleep Disturbances**

Sleep Disturbance	<u>Baseline Visit</u>				<u>Visit 1 Year After</u>				<u>Visit 2 Years After</u>			
	No Sleep Medication n=447		Medication Users n=238		No Sleep Medication n=447		Medication Users n=238		No Sleep Medication N = 353		Medication Users N = 187	
	N	%	n	%	n	%	n	%	n	%	n	%
<b>Difficulty initiating sleep (per week)</b>												
1 (no difficulty)	154	34.5%	81	34.0%	190	42.5%	94	39.5%	156	44.2%	70	37.4%
2 (= <1 night/week)	74	16.6%	31	13.0%	72	16.1%	29	12.2%	52	14.7%	33	17.6%
3 (1-2 nights/week)	81	18.1%	44	18.5%	82	18.3%	37	15.5%	70	19.8%	32	17.1%
4 (3-4 nights/week)	74	16.6%	39	16.4%	49	11.0%	34	14.3%	32	9.1%	16	8.6%
5 (5-7 nights/week)	63	14.1%	43	18.1%	54	12.1%	44	18.5%	43	12.2%	36	19.3%
<b>Waking frequently during sleep</b>												
1 (no difficulty)	47	10.5%	20	8.4%	63	14.1%	34	14.3%	42	11.9%	25	13.4%
2 (<1 night/week)	41	9.2%	23	9.7%	54	12.1%	25	10.5%	50	14.2%	21	11.2%
3 (1-2 nights/week)	68	15.2%	37	15.5%	89	19.9%	38	16.0%	78	22.1%	36	19.3%
4 (3-4 nights/week)	118	26.4%	69	29.0%	93	20.8%	47	19.7%	70	19.8%	40	21.4%
5 (5-7 nights/week)	173	38.7%	89	37.4%	148	33.1%	94	39.5%	113	32.0%	65	34.8%
<b>Early morning awakening</b>												
1 (no difficulty)	127	28.4%	69	29.0%	171	38.3%	72	30.3%	122	34.6%	70	37.4%
2 (<1 night/week)	83	18.6%	37	15.5%	82	18.3%	49	20.6%	72	20.4%	30	16.0%
3 (1-2 nights/week)	102	22.8%	51	21.4%	67	15.0%	35	14.7%	67	19.0%	34	18.2%
4 (3-4 nights/week)	76	17.0%	39	16.4%	66	14.8%	30	12.6%	41	11.6%	20	10.7%
5 (5-7 nights/week)	59	13.2%	42	17.6%	61	13.6%	52	21.8%	51	14.4%	33	17.6%
<b>Any Complaint of 3 or more times per week**</b>												
Yes	322	72.0%	183	76.9%	273	61.1%	159	66.8%	203	57.5%	122	65.2%

Abbreviations: SD, standard deviation. Means calculated based on 5-point Likert scale where 1 = no difficulties on any nights, 2 = difficulties on less than 1 night per week, 3 = 1-2 nights per week, 4 = 3-4 nights per week, and 5 = 5-7 nights per week.



**Table 4. Change in Severity of Self-Reported Sleep Disturbances from Baseline to Year 1 Among Women in SWAN who Reported Sleep Disturbances, by Medication Type**

	<b>Baseline Visit</b>		<b>Visit 1 Year After</b>		<b>P-value*</b>
	<b>No Sleep Medications</b> n=447	<b>BZD Users</b> n=87	<b>No Sleep Medications</b> n=447	<b>BZD Users</b> n=87	
Difficulty initiating sleep, mean (SD)	2.6 (1.5)	2.2 (1.6)	2.3 (1.4)	2.6 (1.6)	0.71
Waking frequently during sleep, mean (SD)	3.7 (1.3)	3.8 (1.3)	3.5 (1.4)	3.3 (1.4)	0.24
Early morning awakening, mean (SD)	2.7 (1.4)	2.6 (1.5)	2.5 (1.5)	2.6 (1.6)	0.17
	<b>No Sleep Medications</b> n=447	<b>Non-BZD</b> n=151	<b>No Sleep Medications</b> n=447	<b>Non-BZD</b> n=151	
Difficulty initiating sleep, mean (SD)	2.6 (1.5)	2.7 (1.5)	2.3 (1.4)	2.6 (1.6)	0.12
Waking frequently during sleep, mean (SD)	3.7 (1.3)	3.8 (1.2)	3.5 (1.4)	3.8 (1.4)	0.05
Early morning awakening, mean (SD)	2.7 (1.4)	2.9 (1.5)	2.5 (1.5)	2.8 (1.5)	0.28

Abbreviations: SD, standard deviation; BZD, benzodiazepine; non-BZD, non-benzodiazepine. Means calculated based on 5-point Likert scale where 1 = no difficulties on any nights, 2 = difficulties on less than 1 night per week, 3 = 1-2 nights per week, 4 = 3-4 nights per week, and 5 = 5-7 nights per week. \*p-values reflect the differences between the sleep medication users and non-users in the change in severity of disturbances between baseline and year one.

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3 Contributorship statement:  
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5 Daniel H. Solomon: Design, analysis, drafting and revising manuscript.

6 Kristine Ruppert: Analysis and revising manuscript.

7 Laurel Habel: Design, analysis and revising manuscript.

8 Joel Finkelstein: Data collection, design, and revising manuscript.

9 Pam Lian: Analysis and revising manuscript.

10 Hadine Joffe: Design and revising manuscript.

11 Howard M. Kravitz: Data collection, design and revising manuscript.  
12  
13

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15 support from research grants to Brigham and Women's Hospital for unrelated work from Abbvie,  
16 Amgen, Corrona, Genentech, and Pfizer.  
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18  
19 Funding: The Study of Women's Health Across the Nation (SWAN) has grant support from the National  
20 Institutes of Health (NIH), DHHS, through the National Institute on Aging (NIA), the National Institute of  
21 Nursing Research (NINR) and the NIH Office of Research on Women's Health (ORWH) (Grants  
22 U01NR004061; U01AG012505, U01AG012535, U01AG012531, U01AG012539, U01AG012546,  
23 U01AG012553, U01AG012554, U01AG012495). The content of this paper is solely the responsibility of  
24 the authors and does not necessarily represent the official views of the NIA, NINR, ORWH or the NIH.  
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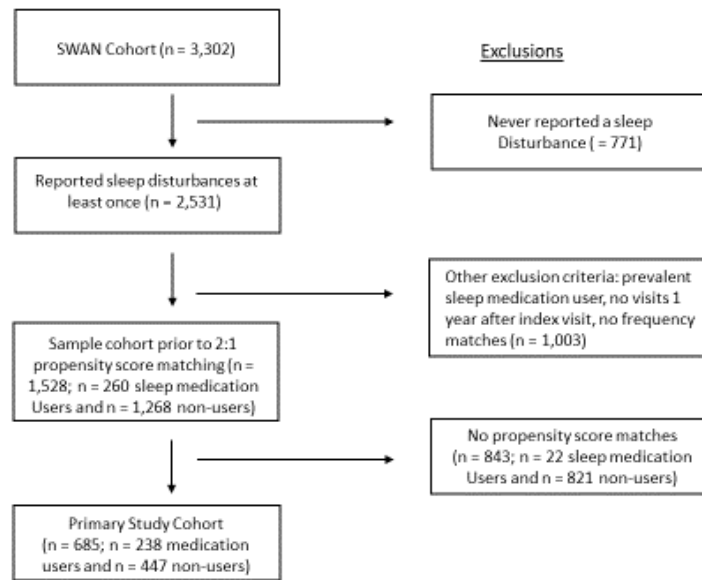
26 Data sharing statement: Data from SWAN are available for qualified researchers.  
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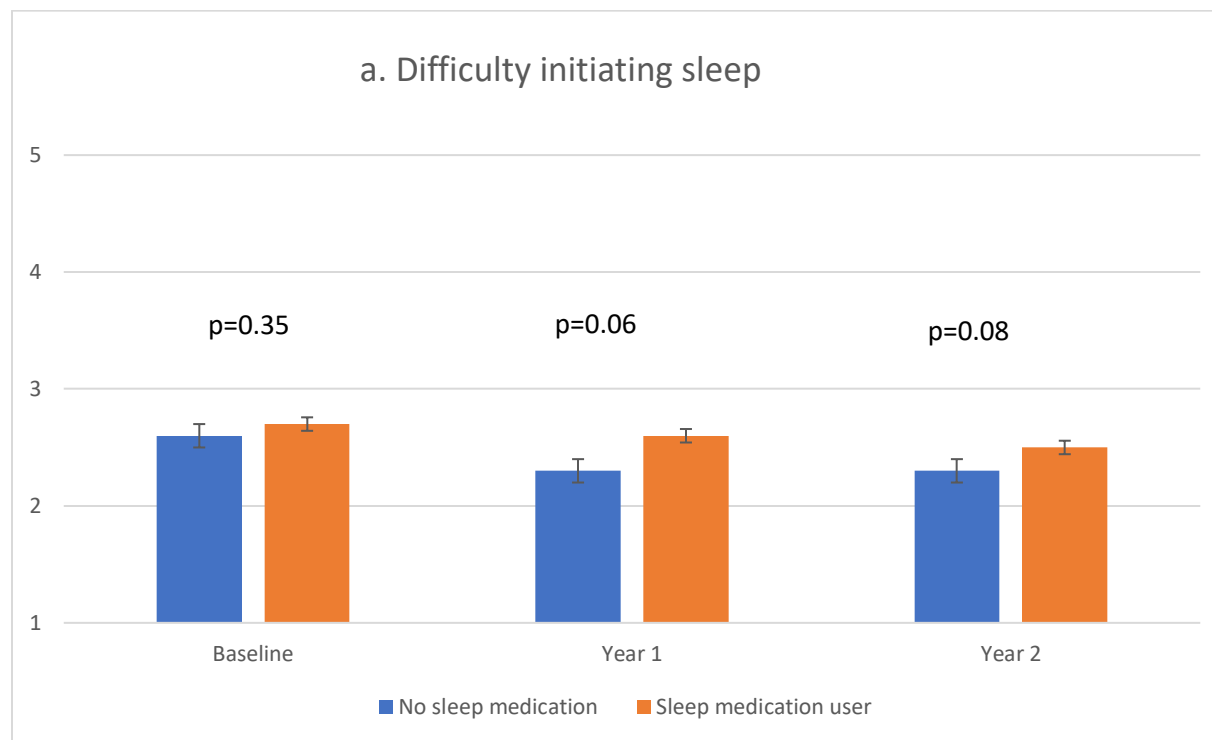
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**Figure 1:** Assembly of the Primary Study Cohort is demonstrated in this figure. The final study cohort was selected Based on propensity score matching from the women who were potentially eligible and met selection criteria.

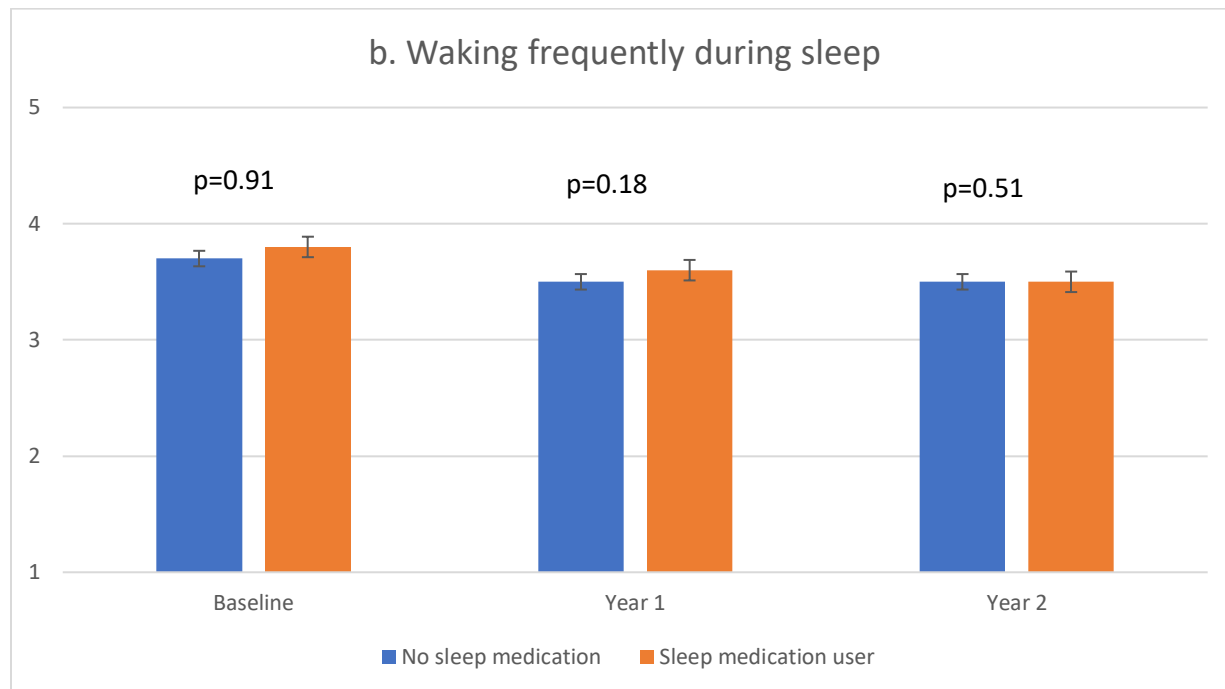


**Figure 2 – Sleep Disturbance Ratings by Medication Exposure During Follow-up**

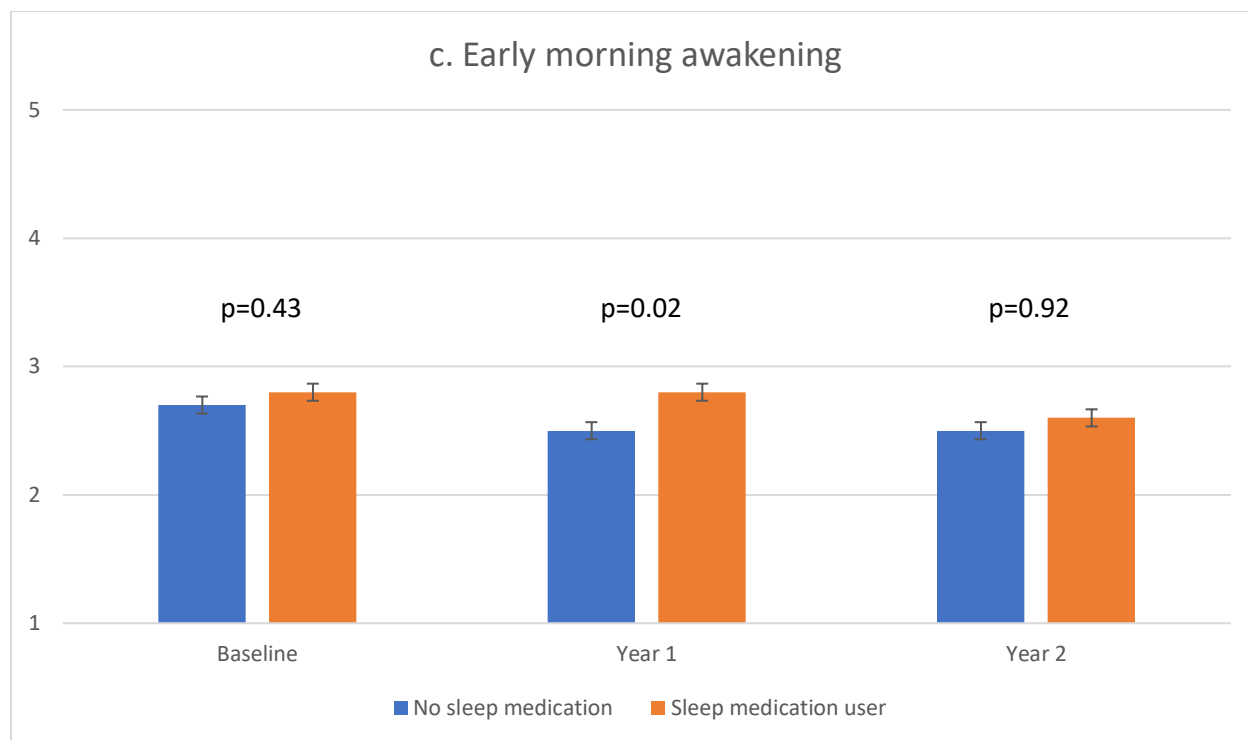
**Legend:** Means calculated based on 5-point Likert scale where 1 = no difficulties on any nights, 2 = difficulties on less than 1 night per week, 3 = 1-2 nights per week, 4 = 3-4 nights per week, and 5 = 5-7 nights per week. Error bars represent standard errors. P-values at baseline, year 1 and year 2 comparing sleep medication users with non-users were estimated from the Wilcoxon Rank Sum test.



P-values for the differences between medication users and non-users for the change between baseline and one year = 0.19; baseline and two year = 0.55; and one year and two year = 0.73.



P-values for the differences between medication users and non-users for the change between baseline and one year = 0.41; baseline and two year = 0.98; and one year and two year = 0.55.



P-values for the differences between medication users and non-users for the change between baseline and one year = 0.13; baseline and two year = 0.46; \* one year and two year = 0.03 (favoring non-use).



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3 **SUPPLEMENTARY TABLES AND FIGURES**  
4

5 **Supplementary Table 1: Design of the Target Trial and the Observational Corollary**  
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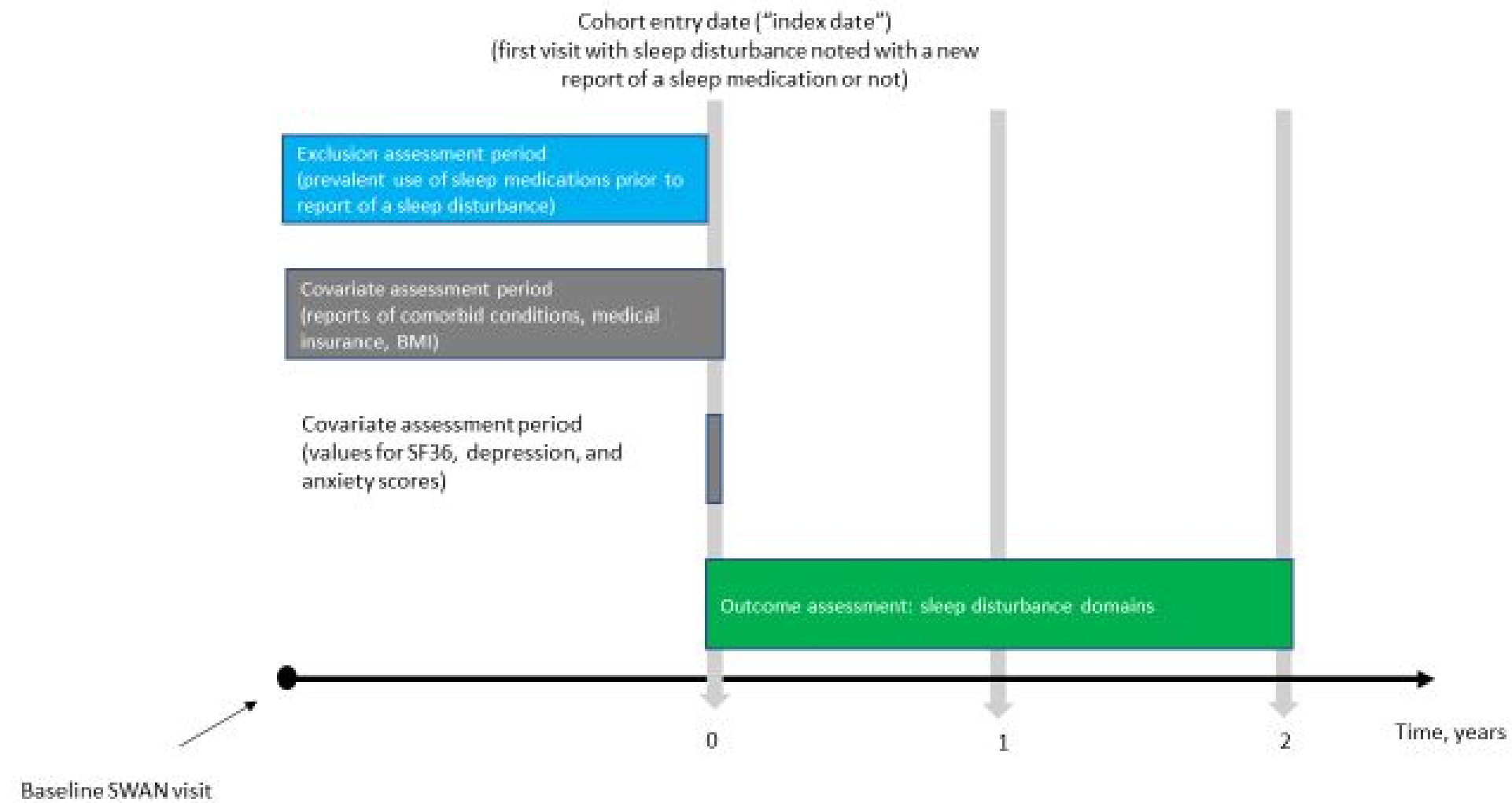
Protocol component	Target Trial	Observational Corollary*
Study question	Are sleep medications effective over one year?	Same
Eligible criteria	Adult men and women reporting a sleep disturbance	Women in the SWAN cohort reporting a sleep disturbance
Other selection criteria	No use of sleep medications at baseline (or a sufficient washout period); no obstructive sleep apnea	No use of sleep medications at entry into SWAN; one-year follow-up data
Treatment strategies	Specific medication for sleep at a known effective dosage versus placebo	All known sleep medications at a variety of dosages versus no use of a sleep medication
Treatment assignment procedures	Randomization	Based on clinical evaluation during routine medical visits
Outcome	Sleep disturbance, self-reported and measured; assessed monthly	Self-reported sleep disturbances assessed one- and two-years after baseline
Balancing method	Randomization	Propensity score matching
Causal contrasts of interest	Intention to treat	Same

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\*Current study. SWAN, Study of Women Across the Nation.

Based on Hernan and Robins, Am J Epidemiology, 2016;183:758.

Supplementary Figure 1: Study Design



**Supplementary Table 2: Baseline Demographics of Women in SWAN Who Were Included in the Current Analyses and Women Who Were Not**

	Women Included in Study Cohort N=685	Women not Included in Study Cohort n=1846	SMD
<i>N (%) unless noted</i>			
Age, mean (SD)	46.5 (2.7)	46.3 (2.7)	0.02
BMI, mean (SD)	28.2 (7.4)	28.5 (7.4)	0.03
Educational attainment			0.001
High school or less	141 (20.6)	443 (24.2)	
> high school	542 (79.4)	1387 (75.8)	
Ethnicity/race			0.001
African American	158 (23.1)	564 (30.6)	
White	394 (57.5)	867 (47.0)	
Chinese	45 (6.6)	145 (7.9)	
Hispanic	25 (3.7)	127 (6.9)	
Japanese	63 (9.2)	143 (7.8)	
Medical insurance	657 (95.9)	1696 (92.0)	0.06
Tobacco use			0.16
Never	347 (50.7)	1088 (59.0)	
Past/Current	337 (49.3)	756 (41.0)	
Alcohol use			0.16
None	294 (44.8)	877 (50.2)	
< 1 drink/week	60 (9.1)	179 (10.3)	
1-7 drinks/week	175 (26.6)	469 (28.9)	
>7 drinks/week	128 (19.5)	221 (12.7)	
Depression (CES-D), mean (SD)	12.3 (10.2)	10.7 (9.6)	0.31
Anxiety score, mean (SD)	3.1 (2.7)	2.5 (2.3)	0.30
Body pain, mean (SD)	65.3 (21.5)	68.8 (22.6)	0.27
Menopausal Status			0.05
Unknown	2 (0.3)	3 (0.2)	
Pre-menopausal	315 (46.3)	1023 (55.8)	
Early Peri-menopausal	364 (53.5)	808 (44.1)	
Diabetes	33 (4.8)	90 (4.9)	0.01
Hypertension	160 (23.5)	423 (23.1)	0.07
Osteoarthritis	150 (22.1)	312 (17.1)	0.02

Abbreviations: SMD, standardized mean difference; CES-D, Center for Epidemiologic Studies Depression Scale; BMI, Body Mass Index; SF36 Mental, Mental Component Score; and SF36 Physical, Physical Component Score.

**Supplementary Table 3: Change in severity of sleep disturbances from baseline to year 1 for those propensity score matched at baseline minus 1 year**

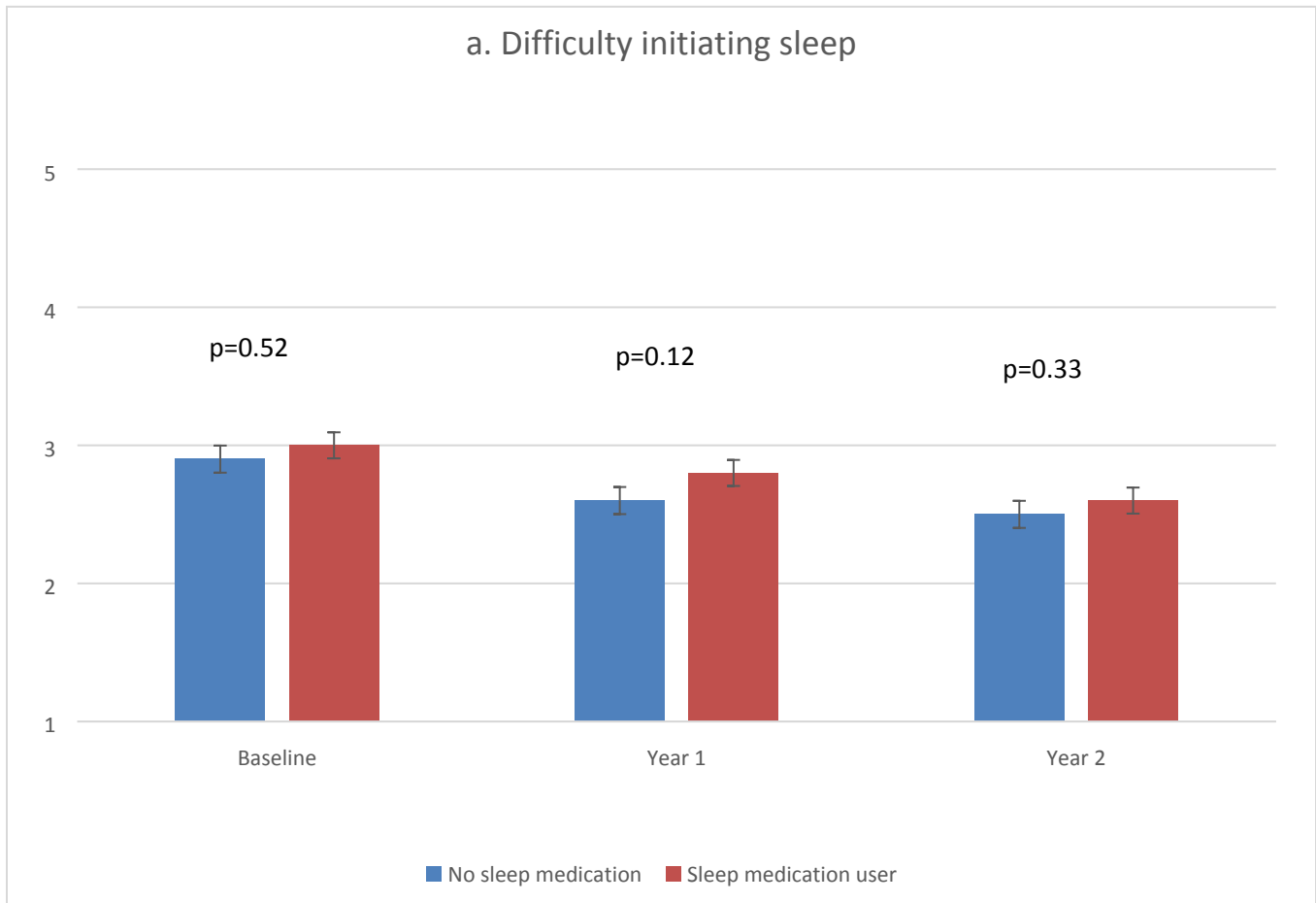
	Index Visit No Meds n=477		Index Visit Med Users n=253		Visit 1 year after No Meds n=477		Visit 1 year after Med Users n=253		Visit 2 year after No Meds n=361		Visit 2 year after Med Users n=197		P-value
	n	%	n	%	n	%	n	%	n	%	n	%	
<b>Difficulty initiating sleep</b>													0.17 <sup>1</sup>
													0.11 <sup>2</sup>
													0.83 <sup>3</sup>
1	188	39.4%	81	32.0%	199	41.7%	96	37.9%	150	41.6%	71	36.0%	
2	87	18.2%	31	12.3%	90	18.9%	31	12.3%	74	20.5%	34	17.3%	
3	98	20.5%	44	17.4%	90	18.9%	41	16.2%	72	19.9%	35	17.8%	
4	57	11.9%	42	16.6%	47	9.9%	38	15.0%	28	7.8%	18	9.1%	
5	47	9.9%	55	21.7%	51	10.7%	47	18.6%	37	10.2%	39	19.8%	
<b>Waking frequently during sleep</b>													0.55 <sup>1</sup>
													0.14 <sup>2</sup>
													0.31 <sup>3</sup>
1	58	12.2%	20	7.9%	78	16.4%	35	13.8%	60	16.6%	25	12.7%	
2	66	13.8%	23	9.1%	67	14.0%	27	10.7%	49	13.6%	25	12.7%	
3	102	21.4%	37	14.6%	96	20.1%	38	15.0%	75	20.8%	38	19.3%	
4	97	20.3%	73	28.9%	95	19.9%	52	20.6%	65	18.0%	41	20.8%	
5	154	32.3%	100	39.5%	141	29.6%	101	39.9%	112	31.0%	68	34.5%	
<b>Early morning awakening</b>													0.82 <sup>1</sup>
													0.02 <sup>2</sup>
													0.02 <sup>3</sup>
1	161	33.8%	69	27.3%	192	40.3%	77	30.4%	135	37.4%	73	37.1%	
2	105	22.0%	37	14.6%	94	19.7%	50	19.8%	75	20.8%	32	16.2%	
3	100	21.0%	53	20.9%	77	16.1%	37	14.6%	69	19.1%	37	18.8%	
4	66	13.8%	45	17.8%	62	13.0%	34	13.4%	39	10.8%	21	10.7%	
5	45	9.4%	49	19.4%	52	10.9%	55	21.7%	43	11.9%	34	17.3%	
<b>Any Complaint of 3 or more times week</b>													0.10 <sup>1</sup>
													0.04 <sup>2</sup>
Yes	279	58.5%	198	78.3%	264	55.3%	172	68.0%	197	54.6%	128	65.0%	0.53 <sup>3</sup>

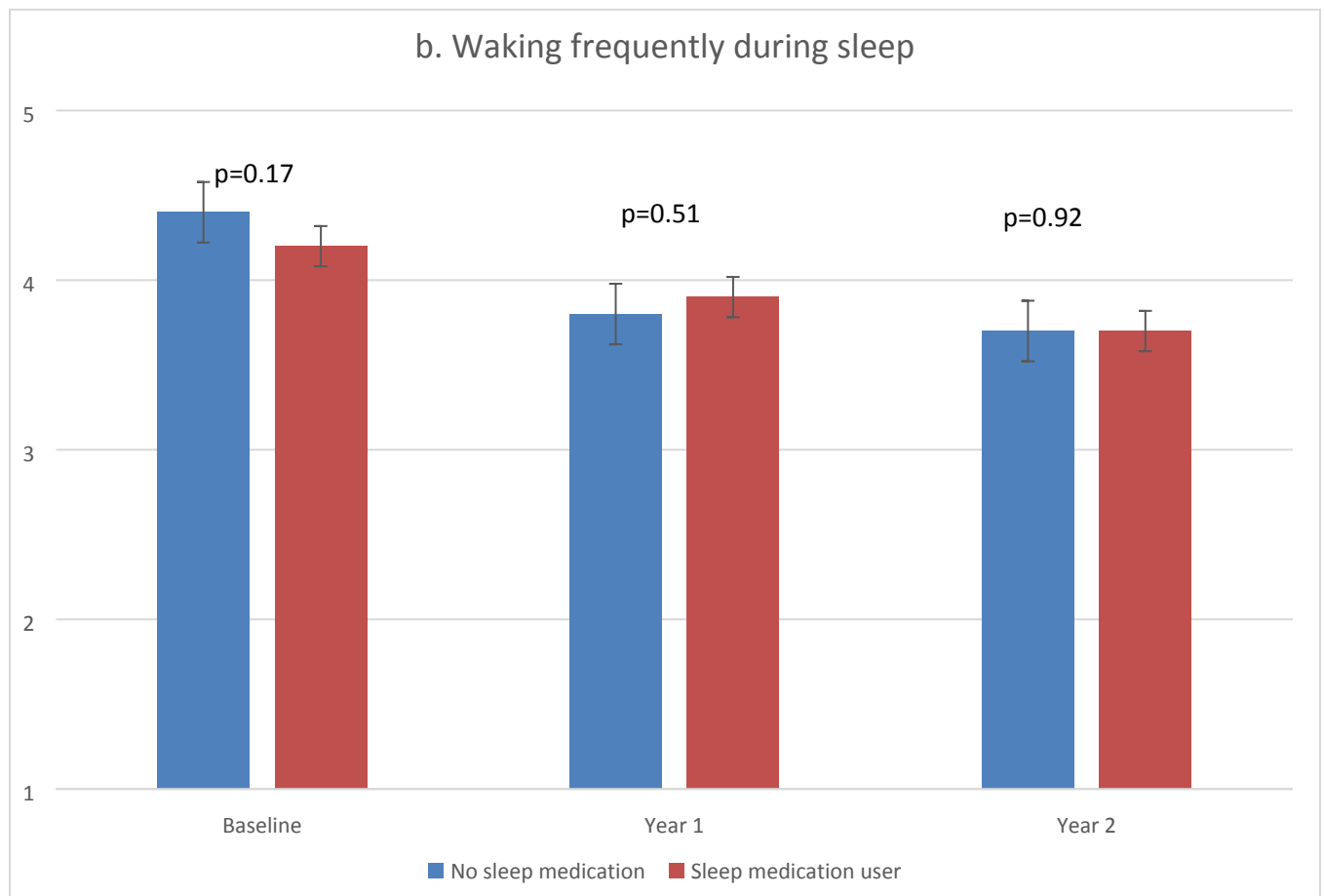
5-point Likert scale where 1 = no difficulties on any nights, 2 = difficulties on less <1 night/week, 3 = 1-2 nights per week, 4 = 3-4 nights per week, and 5 = 5-7 nights per week.

\*p-values reflect change in severity of disturbances: <sup>1</sup>=baseline vs visit 1, <sup>2</sup>= baseline vs visit 2, <sup>3</sup>= visit 1 vs. visit 2.

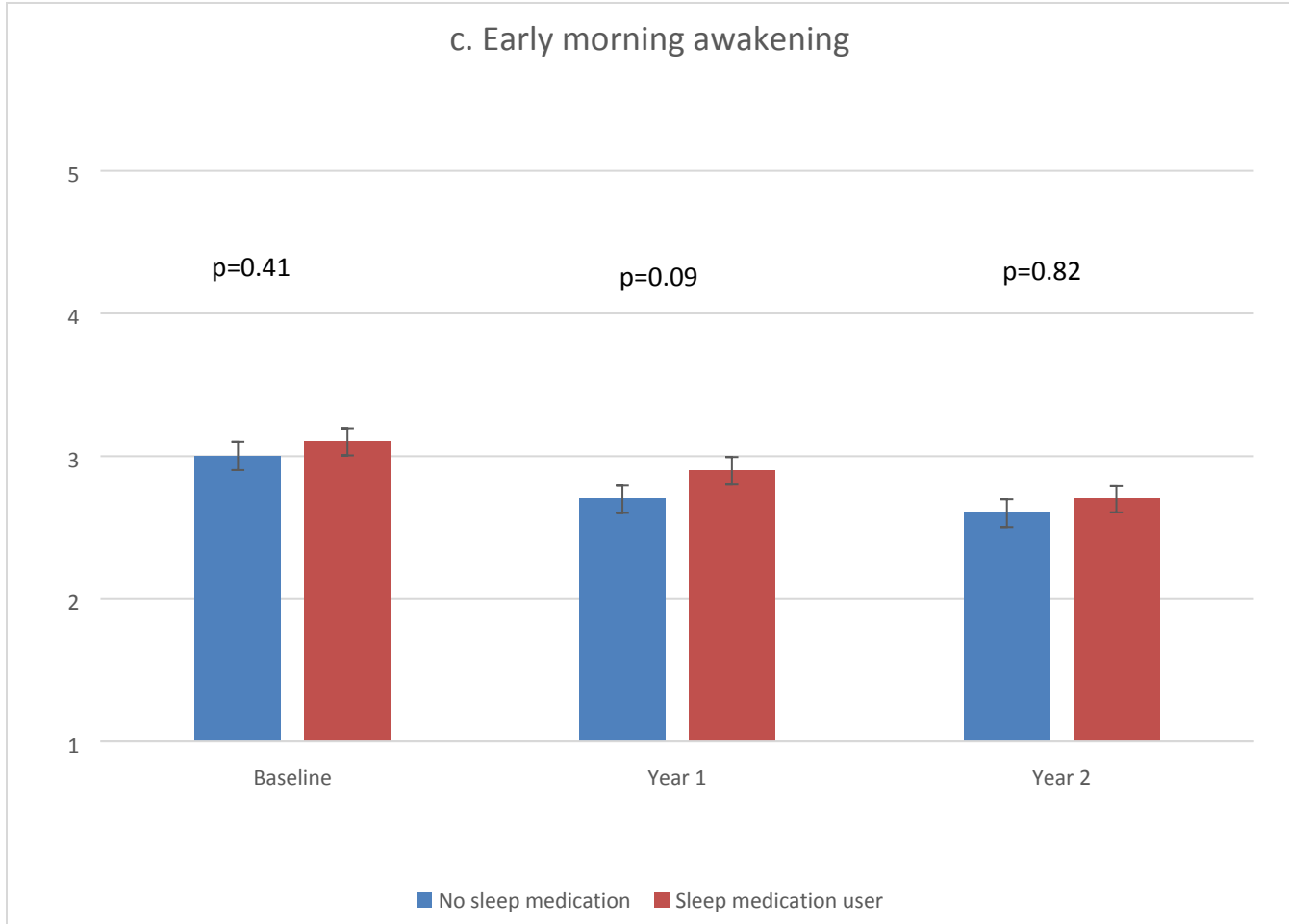
**Supplementary Figure 2. Sleep Disturbance Ratings by Medication Exposure During Follow-up  
In women who reported a 4 or 5 on any severity scale**

**Legend:** Means calculated based on 5-point Likert scale where 1 = no difficulties on any nights, 2 = difficulties on <1 night/week, 3 = 1-2 nights per week, 4 = 3-4 nights per week, and 5 = 5-7 nights per week . Error bars represent standard errors. P-values estimated from the Wilcoxon Rank Sum test.





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**Supplementary Table 4: Change in severity of sleep disturbances from baseline to year 1 using a proportional odds analysis**

	Year 0 versus Year 1	Year 1 versus Year 2	Year 0 versus Year 2
	Odds ratio (95% CI) comparing sleep medication users with non-users		
Difficulty initiating sleep	0.89 (0.58 – 1.30)	0.72 (0.42 – 1.30)	0.80 (0.61 – 1.00)
Waking frequently	0.92 (0.58 – 1.40)	1.44 (0.82 – 2.50)	1.20 (0.90 – 1.50)
Early morning awakening	0.75 (0.49 – 1.20)	1.20 (0.67 – 2.00)	0.94 (0.72 – 1.20)

Notes: The odds ratios represent the odds of a one level increase in the Likert scale, comparing sleep medication users to non-users.



# BMJ Open

## Prescription Medications for Sleep Disturbances Among Midlife Women During Two Years of Follow-up: A SWAN Retrospective Cohort Study

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## Prescription Medications for Sleep Disturbances Among Midlife Women During Two Years of Follow-up: A SWAN Retrospective Cohort Study

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**ABSTRACT**

**Objective:** To examine the effects of prescription sleep medications on patient-reported sleep disturbances.

**Design:** Retrospective cohort.

**Setting:** Longitudinal cohort of community-dwelling women in the US.

**Participants:** Racially and ethnically diverse middle-aged women who reported a sleep disturbance.

**Interventions:** New users of prescription sleep medications propensity score matched to women not starting sleep medications.

**Main Outcomes and Measures:** Self-reported sleep disturbance during the previous two weeks – difficulty initiating asleep, waking frequently, and early morning awakening – using a 5-point Likert scale, ranging from no difficulty on any night (rating 1) to difficulty on 5 or more nights a week (rating 5). Sleep disturbances were compared at one-year (primary outcome) and two-years of follow-up.

**Results:** 238 women who started sleep medications were matched with 447 non-users. Participants had a mean age of 49.5 years and approximately half were White. At baseline, sleep disturbance ratings were similar: medication users had a mean score for difficulty initiating asleep of 2.7 (SD 1.5), waking frequently 3.8 (SD 1.3), and early morning awakening 2.8 (SD 1.5); non-users ratings were 2.6 (SD 1.5), 3.7 (SD 1.3), and 2.7 (SD 1.4), respectively. After one year, ratings for medication users were 2.6 (SD 1.6) for initiating asleep, 3.6 (SD 1.5) for waking frequently, and 2.8 (SD 1.5) for early morning awakening; for non-users, the mean ratings were 2.3 (SD 1.4), 3.5 (SD 1.4), and 2.5 (SD 1.5), respectively. None of the one-year changes were statistically significant nor were they different between medication users and non-users. Two-year follow-up results were consistent, without statistically significant reductions in sleep disturbance in medication users compared with non-users.

**Conclusions:** These analyses suggest that women who initiated sleep medications rated their sleep disturbances similar after one and two years. The effectiveness of long-term sleep medication use should be re-examined.

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3 **Article summary: Strengths and limitations of this study:**

- 4 • Little is known about the long-term benefits of medications used for sleep in typical practice.  
5 • We compared reductions in sleep difficulties across a large cohort of women reporting sleep difficulties  
6 who did and did not start prescription medications used for sleep.  
7 • Some of these medications may not have been prescribed for sleep difficulties and some medications  
8 were likely used intermittently.  
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## INTRODUCTION

Sleep disturbances are common, and an estimated 9 million adults in the United States report prescription medication use for this indication.<sup>1</sup> The frequency of sleep medication use has increased since the 1990s and first decade of the 2000s.<sup>2,3</sup> Sleep disorders are associated with many important chronic conditions, including diabetes, hypertension, pain, and depression.<sup>4</sup> Due to the prevalence of sleep disturbances and their interplay with important comorbidities, many pharmacologic treatment options have been developed for sleep.

Prescription sleep medications consist of benzodiazepines (BZDs), Z-drugs (selective benzodiazepine receptor agonists that include zolpidem, zaleplon, and eszopiclone) and other agents mostly used off-label to promote sleep through a variety of other mechanisms. Randomized controlled trials demonstrate the short-term sleep benefits of many agents in these categories, with typical trials for these agents lasting only 12-24 weeks and often including fewer than 100 patients.<sup>5,6</sup> One 8 month study of zolpidem found improved polysomnographic sleep parameters and subject assessments on two nights in month 8.<sup>7</sup> While sleep medications are recommended for short courses,<sup>8</sup> sleep disturbances may be chronic and many patients use these agents for long periods, sometimes intermittently and other times nightly.<sup>9</sup> Thus, data from typical practice would be useful for patients and clinicians if it included sleep medications used over several months in populations of patients with sleep disturbances; we found no such studies in the literature.

There has been increased interest in using non-randomized designs to test the benefits of drugs.<sup>10</sup> We assessed the potential benefits of sleep medications among a large and diverse cohort of mid-life women not reporting prevalent sleep medication use at baseline who self-reported sleep disturbances during observation in a longitudinal cohort. Women who subsequently started sleep medications were matched on a propensity score with women who did not and followed for 1-2 years with annual assessment of sleep disturbances.

## METHODS

**Study design.** The design of this study was based on the “target trial emulation” concept as proposed by Hernan and Robins.<sup>11</sup> In this study paradigm, a target randomized controlled trial is designed and then, an observational study is constructed to emulate the target trial. We specified all relevant aspects of the target trial and the observational corollary as noted in **Supplementary Table 1**. The observational study focused on new users of sleep medications, never previously reporting sleep medication use during the period of observation and primarily used an intention to treat design to most closely emulate the target trial. Further, we described the study design using standardized illustrations as suggested by Schneeweiss and colleagues (see **Supplementary Figure 1**).<sup>12</sup>

**Setting and participants.** All potentially eligible women were drawn from the Study of Women’s Health Across the Nation (SWAN). SWAN is an ongoing multicenter, multi-ethnic/multi-racial longitudinal study examining the biological and psychosocial changes that occur during the menopausal transition. Between 1995 and 1997, a screening survey assessed the eligibility of women at each of seven participating sites; sampling used either community-based or population-based frames.<sup>13</sup> Major cohort entry criteria included: age 42 to 52 years; intact uterus and at least one ovary, not using sex steroid hormones or pregnant, breastfeeding or lactating at enrollment or within the previous three months; at least one menstrual period in the 3 months prior to screening; and self-identified as either White, African-American, Hispanic, Chinese, or Japanese. Each site recruited at least 450 eligible women, including White women and a minority group sample, into the cohort in 1995-1997, resulting in an inception cohort of 3302 women.<sup>14,15</sup> For the current analyses, we used follow-up data through 2016.

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4 Since we were interested in the long-term effects of prescription sleep medications on sleep disturbances, we  
5 required all women to have reported during SWAN follow-up a sleep disturbance on at least 3 nights per week  
6 during a two-week interval. On almost all annual visits, women were asked to self-report on three aspects of  
7 sleep: difficulty initiating, frequent awakening, and early morning awakening. If women reported any of these  
8 disturbances at least once, they were eligible for the study cohort. We also required women to have sleep data  
9 at the visit after first reporting a sleep disturbance; some visits did not include the brief sleep inventory and thus  
10 follow-up information would be missing. Finally, we excluded women who reported use of prescription sleep  
11 medications at the baseline visit in SWAN, to eliminate prevalent users of these drugs.  
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14 Patient and public involvement: There was no patient or public involvement in this research. Participants in  
15 SWAN receive updates on the conduct and results of the study. Data from SWAN are available for qualified  
16 researchers. All participants gave written informed consent to use their data for these analyses. The current  
17 analyses were funded by the US National Institutes of Health. All participants gave written informed consent  
18 after being educated about the nature of the study, potential risks, and how their data may be used.  
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21 Exposures. Many different medications are used for sleep. We focused on several groups of medications: BZDs ,  
22 selective benzodiazepine receptor agonists, and other hypnotics. The full list of medications considered included  
23 the following BZDs: estazolam, flurazepam, lorazepam, temazepam, and triazolam; , selective benzodiazepine  
24 receptor agonists: zaleplon, zolpidem, and eszopiclone; and agents with other mechanisms: doxepin (a tertiary  
25 amine tricyclic), mirtazapine (noradrenergic and specific serotonergic) , ramelteon (selective melatonin receptor  
26 agonist), and trazodone (serotonin antagonist and reuptake inhibitor). The primary analyses grouped all sleep  
27 medications together. In secondary analyses, groups of medications were considered separately. Lorazepam  
28 users (n = 65) and their matched non-users (n = 125) were dropped in a secondary analysis because it is used for  
29 many indications.  
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32 The drug information is collected at each study visit by asking women to bring in their medication bottles or a  
33 pharmacy generated list of medications that they have used in the last month. Interviewers record the  
34 medications used, which are coded using the Iowa Drug Information Service system.<sup>16</sup> Women were not  
35 prompted specifically about sleep medications. Dosages and drug frequency were not reliably recorded and  
36 were not used for these analyses. Further, over-the-counter medication use information was considered  
37 incomplete and not included in these analyses. Non-users were never users. They entered the study (index date)  
38 at visits matched in frequency distribution with the sleep medication user.  
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41 As noted, we only included new use of sleep medications. The first visit with a mention of a sleep medication  
42 was considered the index visit. Since there are no between visit medication updates, we considered women who  
43 reported starting a sleep medication as users until their next annual SWAN visit. This design mimics an intention-  
44 to-treat analysis.  
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47 Outcomes. Three domains of sleep disturbances were self-reported at all annual SWAN visits. Women were  
48 asked to pick the answer that best describes their difficulty initiating sleep, remaining asleep, and early morning  
49 awakenings during the previous two weeks. They used a five-point Likert scale to report on each type of  
50 disturbance, where 1 = no difficulties on any nights, 2 = difficulties on less than 1 night per week, 3 = 1-2 nights  
51 per week, 4 = 3-4 nights per week, and 5 = 5-7 nights per week.<sup>17-19</sup> We considered the results at one-year to be  
52 the primary outcome and two-years to be the secondary outcome. For the two-year outcome, only women who  
53 had both year one and year two results were analyzed.  
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3 **Covariates.** SWAN collects a broad range of variables at cohort entry and at each subsequent annual visit. We  
4 considered a wide range of potential covariates including demographics, comorbidities, menopausal status,  
5 body mass index (BMI), tobacco use, and alcohol use. The variables unlikely to change over time (race/ethnicity  
6 and educational attainment) were collected at cohort entry and others were collected at the visit prior to the  
7 index visit. Variables were not updated after the index date. Depression was measured with the CES-D,<sup>20</sup> anxiety  
8 with the GAD-7,<sup>21</sup> and the SF-36 scales were used to measure pain, mental function and physical function.<sup>22</sup>  
9

10  
11 **Statistical analyses.** After assembling the analytic cohort, covariates were defined and compared across women  
12 who initiated a sleep medication and those who did not. To improve the baseline balance in characteristics, we  
13 estimated a propensity score using a logistic regression model.<sup>23</sup> A propensity score estimates the likelihood that  
14 women would start a sleep medication, with values ranging from zero to one. All covariates shown in **Table 1**  
15 were included in the propensity score model. We then matched women who started a medication for sleep with  
16 women who did not based on their propensity score.<sup>24</sup> We attempted to match 2 non-users for each user using  
17 a “greedy matching” algorithm, with a maximum caliper of 0.2 of a standard deviation of the logit of the  
18 propensity score.<sup>25</sup>  
19

20  
21 After matching, we examined baseline characteristics for balance using standardized mean differences (see  
22 **Table 1**). With evidence of good balance across measured baseline characteristics, we next examined sleep  
23 disturbances at baseline and found these to be well balanced. We then examined sleep disturbance reports at  
24 one- and two-years, estimating means and standard deviations, and the changes in sleep disturbance from  
25 baseline to one year and one year to two years. These changes were estimated and compared across  
26 medication exposure groups, using a mixed model regression. No adjustments were made, as the baseline  
27 characteristics were well balanced as noted in **Table 1**.  
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30 Secondary analyses compared the distribution of scores on the Likert scale across medication exposures,  
31 specifically assessing for the percent of women who reported less frequent sleep disturbance; this analysis has  
32 the benefit of not assuming a continuous or linear distribution across the five categories of the Likert scale. As  
33 well, we conducted a proportional odds analysis to determine if exposure to sleep medications was associated  
34 with a significant reduction in the Likert scale. Other secondary analyses used the visit before sleep medication  
35 initiation to define the baseline patient characteristics to calculate the propensity score; this analysis allows us  
36 to assess the sensitivity of the results to the timing of variable measurement. We restricted the analyses to  
37 women who reported more severe sleep disturbances at baseline, defined as a 4 or 5 on at least one sleep  
38 domain. This definition is consistent with the frequency criterion for clinically significant sleep difficulty (e.g.,  
39 insomnia disorder).<sup>26,27</sup> We compared no medication use to specific sleep medications, benzodiazepines and  
40 selective benzodiazepine receptor agonists. Finally, we ran models adjusted for SWAN site and estrogen  
41 replacement therapy. Such analyses retained the propensity score match.  
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44 All analyses were conducted using SAS (Cary NC). All p-values were nominal and not adjusted for multiple  
45 comparisons, as these were post-hoc exploratory analyses.  
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## 48 49 **RESULTS**

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51 We identified 2,531 potentially eligible women in SWAN who reported the severity of a sleep disturbance at  
52 some point during the twenty-one years of follow-up, 1995-2016 (see **Figure 1**). We applied the exclusion  
53 criteria and found 1,528 women who were analyzed in the propensity score to identify potential matches. From  
54 this group, the 238 women who initiated a prescription sleep medication were significantly different than the  
55 overall group of women who did not (see Supplementary Table 2). Thus, we propensity matched the 238,  
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3 attempting, attempting to find 2 non-users for each user; we were able to match 447 women who never  
4 initiated a sleep medication during study follow-up. These 685 women were similar in characteristics to the  
5 1,846 potentially eligible women not included in the analysis (see **Supplementary Table 3**). 100% of women  
6 included reported a sleep disturbance at some point during follow-up. At baseline, 72-77% reported sleep  
7 disturbance.  
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10 The baseline characteristics of the women in the study cohort are shown in **Table 1**. After propensity score  
11 matching, the women who initiated a sleep medication and those who did not were similar; all standardized  
12 mean differences were  $\leq 0.1$ , indicating successful propensity score matching. The mean age for this analytic  
13 sample was 49.5 years (SD 8.5) and their BMI was 29.1 kg/m<sup>2</sup> (SD 7.4). Approximately 80% had some education  
14 beyond high school. Approximately one-quarter were African-American and 57.5% were White; Hispanic,  
15 Chinese, and Japanese women made up the rest of the sample. Almost all women had some medical insurance.  
16 Approximately half were current or past tobacco users and half were moderate to heavy alcohol users. Mean  
17 depression, anxiety and pain scores were similar across the groups, as were SF-36 mental and physical function  
18 scores. Menopausal status was very similar across the groups with about 36% being in the peri-menopause. The  
19 range of comorbidities was typical for this population and similar across exposure groups.  
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22 At baseline, women who did and did not start a sleep medication reported very similar levels of sleep  
23 disturbance (see **Table 2**). In both groups, women reported difficulty initiating sleep on approximately one-third  
24 of nights, waking frequently on approximately two-thirds of nights, and early morning awakenings on  
25 approximately one-third of nights of the week. More than 70% of both groups reported any sleep disturbance  
26 at least 3 times weekly.  
27  
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29 After one year, there were slight reductions noted in women's reports of all types of sleep disturbances, but  
30 none of the differences from baseline in either exposure group (medication users or non-users) were statistically  
31 significant (see **Figure 2**). One-year reports of early morning awakenings appeared to be slightly lower on the  
32 Likert scale among women not using sleep medications (mean 2.5, SD 1.5) compared to those who did (mean  
33 2.8, SD 1.5;  $p = 0.02$ ). The secondary two-year outcomes were similar to the one-year results; none  
34 demonstrated statistically significant reductions in sleep disturbances among sleep medication users.  
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37 Several secondary analyses were pursued. First, we examined the distribution of Likert scores at baseline and  
38 one year of follow-up in the two groups (see **Table 3**). The distributions among medication users and non-users  
39 were similar at baseline and follow-up (all  $p$ -values  $> 0.10$ ). We also examined whether the results differed by  
40 type of sleep medication, BZD versus selective benzodiazepine receptor agonists and other hypnotics (see **Table**  
41 **4**); no differences were observed in the change from baseline to one year for either sleep medication group  
42 compared with medication non-users. The BZD group was further examined after removing lorazepam, and we  
43 found similar results for all types of sleep disturbances. We also re-ran the analyses with the baseline  
44 characteristics defined at the visit prior to the start of medications to assess how sensitive the results were to  
45 possible imprecision in the timing of variable measurement. The results showed small improvements in early  
46 morning awakenings among the sleep medication group (see **Supplementary Table 4**). Additional sensitivity  
47 analyses retained the five-level categorical Likert scale as the primary outcome and proportional odds analyses  
48 gave similar negative results (see **Table 3 and Supplementary Table 5**); all proportional odds assumptions were  
49 met. In analyses that only included the women reporting clinically significant weekly frequency of sleep  
50 disturbances at baseline (4 or 5 on the Likert scale), no differences were found between sleep medication users  
51 and non-users (see **Supplementary Figure 2**). Finally, analyses that also included site and estrogen use gave  
52 similar results (see **Supplementary Table 6**).  
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## 55 DISCUSSION

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4 Sleep difficulties are common.<sup>1,28</sup> Not surprisingly, the use of sleep medications has also grown over the last two  
5 decades.<sup>2</sup> These agents have a range of safety concerns<sup>5</sup> and recent reports describe substantial driving  
6 impairments.<sup>29</sup> Most data regarding their efficacy derive from short term studies (i.e., 2-12 weeks), but these  
7 agents appear to be used over the long-term by many patients. In this analysis of the long-term impact of sleep  
8 medications in a large longitudinal cohort of well-characterized middle-aged community-dwelling women with  
9 sleep disturbances, sleep medication use was not associated with reduced sleep disturbances.

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12 When physicians or other clinicians prescribe these medicines, they often begin with short-term prescriptions,  
13 but many patients receiving these prescriptions become long-term users.<sup>9</sup> In the SWAN cohort, 37% of women  
14 starting a medication for sleep report using a sleep medication one year later. While there are good data from  
15 randomized controlled trials that these medications improve sleep disturbances in the short term,<sup>8</sup> the results  
16 we present here represent some of the only data on these medications' long-term impact on sleep. The lack of  
17 benefit observed in the current study suggests that when physicians begin prescribing these medicines they  
18 should discuss with patients that many patients continue them long-term, and that there is scant evidence  
19 demonstrating benefit to using these medicines beyond several months.<sup>6,7</sup> In the study cohort, approximately  
20 half of the women were current or past tobacco users and twenty percent were moderate to heavy alcohol  
21 users. This was higher than expected and may reflect the demographic of women who endorse having a sleep  
22 disturbance.

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25 A broader issue raised by this example is how clinicians should consider prescribing medications when their  
26 expected use differs substantially from the randomized controlled trial (RCT) evidence. Without evidence from  
27 RCTs demonstrating the benefit of a given type of drug in a given patient population using the drug for a similar  
28 duration, clinicians lack the necessary information to prescribe appropriately. Real-world data, or data from  
29 observational cohorts such as what we present here, provide important opportunities for looking at the way  
30 drugs may actually be used in typical practice. There has been an increasing appreciation for the use of  
31 observational data analyzed appropriately to complement randomized trials.<sup>10</sup> The FDA has published a  
32 framework for generating evidence from real-world observational data sets,<sup>30</sup> with the hope that such analyses  
33 will allow clinicians to better understand the benefits and risks of drugs in typical practice.

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37 We used rigorous epidemiologic methods and analyzed a well characterized cohort of women, but as with all  
38 observational studies there are limitations to recognize. The use of sleep medications was not randomized. Thus,  
39 even though the propensity score matched cohorts were very similar, there may be unmeasured confounding  
40 not accounted for in the analyses. These analyses were not pre-defined prior to establishing the SWAN cohort  
41 and should be considered post-hoc and exploratory. Medication use was collected only at annual or biennial  
42 study visits, and there may have been intermittent use or non-adherence between visits. This is a limitation of  
43 many retrospective cohort medication analyses and limits the inferences that can be drawn. In the primary one-  
44 year analysis, women were required to report use of a sleep medication at the subsequent annual visit in the  
45 new initiator group and to not report a sleep medication in the non-user group. In the secondary two-year  
46 analysis, women who remained on drug accrued no benefit compared with women who never used a sleep  
47 medication. We did not update covariates in the two-year analysis.

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50 Sleep disturbances were self-reported, without any objective measures of sleep. This may have introduced mis-  
51 classification, however the outcomes were self-reported among both groups of women, limiting any potential  
52 bias. The outcome measure we used for sleep disturbances has been validated in prior studies<sup>17,18</sup> but never in  
53 SWAN participants. The five-level categorical Likert scale was primarily analyzed as a continuous variable in the  
54 mixed regression models, however analyses that retained the five categories gave similar negative results (see  
55 Table 3 and Supplementary Table 4). We do not have measures of daytime consequences in this dataset. It is  
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3 also possible that sleep medications may have helped in the short-term, i.e., at 8 or 12 weeks. Women only  
4 reported medication use and sleep disturbances at annual visits and thus interim outcomes (i.e., at six month  
5 intervals) and intermittent medication use are not available for analysis. We did not include over-the-counter  
6 medication use and thus some non-users may actually have been using an over-the-counter hypnotic. We know  
7 that 11% of the women in this study reported use of an over-the-counter hypnotic at the baseline visit; slightly  
8 more women in the user group reported such use compared with the non-user group. Finally, some prescription  
9 sleep medications can be used for multiple indications, regardless of the prescriber's knowledge.  
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12 In addition to these limitations, several strengths of this study should be described. We examined a well  
13 characterized cohort of women during a high-risk period for sleep disturbance. It is known that women going  
14 through the midlife often note sleep disturbances.<sup>31</sup> As well, we studied women of several races and ethnicities,  
15 enhancing the generalizability of the results. The study design also allowed us to examine a well-balanced cohort  
16 with very similar identical baseline features after propensity score matching. However, unmeasured or residual  
17 confounding cannot be ruled out.  
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20 In conclusion, sleep disturbances are common and increasing in prevalence. The use of sleep medications has  
21 grown, and they are often used over a long period, despite the relative lack of evidence from randomized  
22 controlled clinical trials. The current observational study does not support use of sleep medications over the  
23 long-term, as there were no self-reported differences at one- or two-years of follow-up comparing sleep  
24 medication users to non-users. While we used rigorous epidemiologic methods, the findings reported herein are  
25 based on a non-randomized observational dataset and must be seen in that light. It is also important to note  
26 that neither group reported more severe sleep disturbances over the study follow-up. Most patients, if not all,  
27 should have received cognitive behavioral therapy.<sup>32</sup> While some small percentage of patients with sleep  
28 disturbances may receive benefit from using these medications over several years, the lack of benefit associated  
29 with use of sleep medications in the population studied after one- and two-years should help inform clinicians  
30 and patients considering initiating pharmacologic treatment for midlife women who have sleep complaints.  
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3 **Legends:**  
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5 **Figure 1:** Assembly of the Primary Study Cohort is demonstrated in this figure. The final study cohort was  
6 selected based on propensity score matching from the women who were potentially eligible and met selection  
7 criteria.  
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11 **Figure 2.** These three panels describe sleep disturbance ratings by medication exposure. Means were calculated  
12 based on 5-point Likert scale where 1 = no difficulties on any nights, 2 = difficulties on less than 1 night per  
13 week, 3 = 1-2 nights per week, 4 = 3-4 nights per week, and 5 = 5-7 nights per week. Error bars represent  
14 standard errors. P-values at baseline, year 1 and year 2 comparing sleep medication users with non-users were  
15 estimated from the Wilcoxon Rank Sum test. In Panel A, P-values for the differences between medication users  
16 and non-users for the change between baseline and one year = 0.19; baseline and two year = 0.55; and one year  
17 and two year = 0.73. In Panel B, P-values for the differences between medication users and non-users for the  
18 change between baseline and one year = 0.41; baseline and two year = 0.98; and one year and two year = 0.55.  
19 In Panel C, P-values for the differences between medication users and non-users for the change between  
20 baseline and one year = 0.13; baseline and two year = 0.46; \* one year and two year = 0.03 (favoring non-use).  
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**Table 1: Baseline Demographics of Women in SWAN Examined in the Primary Cohort**

	<b>Total N=685</b>	<b>No Sleep Medication n=447</b>	<b>Sleep Medication User n=238</b>	<b>SMD</b>
	<i>N (%) unless noted</i>			
Age, mean (SD)	49.5 (8.5)	49.6 (8.8)	49.3 (7.7)	0.02
BMI, mean (SD)	29.1 (7.4)	29.1 (7.3)	29.2 (7.6)	0.02
<b>Educational attainment</b>				
High school or less	141 (20.6)	87 (19.5)	54 (22.7)	0.06
> high school	542 (79.1)	358 (80.1)	184 (77.3)	0.07
<b>Ethnicity/race</b>				
African American	158 (23.1)	103 (23.0)	55 (23.1)	0.002
White	394 (57.5)	261 (58.4)	133 (55.9)	0.05
Chinese	45 (6.6)	29 (6.5)	16 (6.7)	0.009
Hispanic	25 (3.7)	15 (3.4)	10 (4.2)	0.05
Japanese	63 (9.2)	39 (8.7)	24 (10.1)	0.04
Medical insurance	660 (96.4)	430 (96.2)	230 (96.6)	0.02
<b>Marital status</b>				
Single	94 (13.7)	58 (13.0)	36 (15.1)	0.06
Married	451 (65.8)	305 (68.2)	146 (61.3)	0.15
Separated	19 (2.8)	9 (2.0)	10 (4.2)	0.15
Widowed	30 (4.4)	17 (3.8)	13 (5.5)	0.08
Divorced	91 (13.3)	58 (13.0)	33 (13.9)	0.03
<b>Tobacco use</b>				
Never	344 (50.2)	220 (49.2)	124 (52.1)	0.06
Past/Current	341 (49.8)	227 (50.8)	114 (47.9)	0.06
<b>Alcohol use</b>				
None	294 (44.1)	193 (44.3)	101 (43.7)	0.01
<1 drink/week	167 (25.0)	117 (26.8)	50 (21.7)	0.12
1-7 drinks/week	131 (19.6)	75 (17.2)	56 (24.2)	0.17
>7 drinks/week	75 (11.2)	51 (11.7)	24 (10.4)	0.04
Depression (CES-D), mean (SD)	12.7 (10.5)	12.4 (10.3)	13.2 (10.9)	0.08
Anxiety score, mean (SD)	3.2 (2.7)	3.1 (2.8)	3.2 (2.6)	0.03
Body pain, mean (SD)	62.3 (22.5)	62.5 (22.0)	61.9 (23.3)	0.03
SF36-Mental, mean (SD)	46.5 (11.3)	46.7 (11.6)	46.2 (10.8)	0.05
SF36-Physical, mean (SD)	48.1 (10.4)	48.2 (9.8)	47.9 (11.5)	0.03
<b>Menopausal Status</b>				
Unknown	85 (12.4)	52 (11.6)	33 (13.9)	0.07
Pre-menopausal	30 (4.6)	19 (4.3)	11 (4.6)	0.02
Early/Late Peri-menopausal	246 (35.9)	162 (36.2)	84 (35.3)	0.02
Surgical menopause	30 (4.2)	20 (4.5)	10 (4.2)	0.01
Post-menopausal	294 (42.9)	194 (43.4)	100 (42.0)	0.03

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Diabetes	65 (9.5)	38 (8.5)	27 (11.3)	0.10
Hypertension	316 (46.1)	201 (45.0)	115 (48.3)	0.07
Osteoarthritis	303 (44.2)	196 (43.9)	107 (45.0)	0.02
Cancer, current	21 (3.1)	16 (1.8)	5 (2.1)	0.10
Any antidepressant	22 (3.2)	6 (1.3)	16 (6.7)	0.28
Any analgesic	28 (4.1)	22 (4.9)	6 (2.5)	0.13

Abbreviations: SMD, standardized mean difference; CES-D, Center for Epidemiologic Studies Depression Scale; BMI, Body Mass Index; SF36 Mental, Mental Component Score; and SF36 Physical, Physical Component Score. There are missing values for education (n=2), Alcohol use (n=14), and insurance (n=25). Antidepressants include TCAs, SSRI, SNRIs, and MAO inhibitors. Analgesics include opioids and nonsteroidal anti-inflammatory drugs. The CES-D is a 20-item scale with a range of 0 to 60 (REF 20). The anxiety score (GAD-7) is a 7-item scale with a range of 0-21 (REF 21). The SF-36 bodily pain score includes two items with a range of 0 to 100; SF-36 mental component score is a 5-item scale with a range of 0 to 100; and SF-36 physical function is a 10-item scale with a range of 0 to 100 (REF 21).

**Table 2: Sleep Disturbances at Baseline Among Women in SWAN Included in the Primary Cohort**

	<b>No Sleep Medication N = 447</b>	<b>Sleep Medication User N = 238</b>	<b>SMD</b>
Trouble initiating sleep, mean (SD)*	2.6 (1.5)	2.7 (1.5)	0.08
Waking frequently, mean (SD)*	3.7 (1.3)	3.8 (1.3)	0.03
Early morning awakening, mean (SD)*	2.7 (1.4)	2.8 (1.5)	0.07
Trouble initiating sleep, at least 3 nights per week, n (%)	137 (30.7)	82 (34.5)	0.07
Waking frequently, at least 3 nights per week, n (%)	291 (65.1)	158 (66.4)	0.008
Early morning awakening, at least 3 nights per week, n (%)	135 (30.2)	81 (34.0)	0.07
Any disturbance, at least 3 nights per week, n (%)	322 (72.0)	183 (76.9)	0.08

Abbreviations: SD, standard deviation; SMD, standardized mean difference. \*Mean calculated based on 5-point Likert scale where 1 = no difficulties on any nights, 2 = difficulties on less than 1 night per week, 3 = 1-2 nights per week, 4 = 3-4 nights per week, and 5 = 5-7 nights per week.

**Table 3. Likert Scale Severity Ratings of Self-Reported Sleep Disturbances from Baseline to Year 1 Among Women in SWAN who Reported Sleep Disturbances**

Sleep Disturbance	<u>Baseline Visit</u>				<u>Visit 1 Year After</u>				<u>Visit 2 Years After</u>			
	No Sleep Medication n=447		Medication Users n=238		No Sleep Medication n=447		Medication Users n=238		No Sleep Medication N = 353		Medication Users N = 187	
	N	%	n	%	n	%	n	%	n	%	n	%
<b>Difficulty initiating sleep (per week)</b>												
1 (no difficulty)	154	34.5%	81	34.0%	190	42.5%	94	39.5%	156	44.2%	70	37.4%
2 (= <1 night/week)	74	16.6%	31	13.0%	72	16.1%	29	12.2%	52	14.7%	33	17.6%
3 (1-2 nights/week)	81	18.1%	44	18.5%	82	18.3%	37	15.5%	70	19.8%	32	17.1%
4 (3-4 nights/week)	74	16.6%	39	16.4%	49	11.0%	34	14.3%	32	9.1%	16	8.6%
5 (5-7 nights/week)	63	14.1%	43	18.1%	54	12.1%	44	18.5%	43	12.2%	36	19.3%
<b>Waking frequently during sleep</b>												
1 (no difficulty)	47	10.5%	20	8.4%	63	14.1%	34	14.3%	42	11.9%	25	13.4%
2 (<1 night/week)	41	9.2%	23	9.7%	54	12.1%	25	10.5%	50	14.2%	21	11.2%
3 (1-2 nights/week)	68	15.2%	37	15.5%	89	19.9%	38	16.0%	78	22.1%	36	19.3%
4 (3-4 nights/week)	118	26.4%	69	29.0%	93	20.8%	47	19.7%	70	19.8%	40	21.4%
5 (5-7 nights/week)	173	38.7%	89	37.4%	148	33.1%	94	39.5%	113	32.0%	65	34.8%
<b>Early morning awakening</b>												
1 (no difficulty)	127	28.4%	69	29.0%	171	38.3%	72	30.3%	122	34.6%	70	37.4%
2 (<1 night/week)	83	18.6%	37	15.5%	82	18.3%	49	20.6%	72	20.4%	30	16.0%
3 (1-2 nights/week)	102	22.8%	51	21.4%	67	15.0%	35	14.7%	67	19.0%	34	18.2%
4 (3-4 nights/week)	76	17.0%	39	16.4%	66	14.8%	30	12.6%	41	11.6%	20	10.7%
5 (5-7 nights/week)	59	13.2%	42	17.6%	61	13.6%	52	21.8%	51	14.4%	33	17.6%
<b>Any Complaint of 3 or more times per week**</b>												
Yes	322	72.0%	183	76.9%	273	61.1%	159	66.8%	203	57.5%	122	65.2%

Abbreviations: SD, standard deviation. Means calculated based on 5-point Likert scale where 1 = no difficulties on any nights, 2 = difficulties on less than 1 night per week, 3 = 1-2 nights per week, 4 = 3-4 nights per week, and 5 = 5-7 nights per week.



**Table 4. Change in Severity of Self-Reported Sleep Disturbances from Baseline to Year 1 Among Women in SWAN who Reported Sleep Disturbances, by Medication Type**

	<b>Baseline Visit</b>		<b>Visit 1 Year After</b>		<b>P-value*</b>
	<b>No Sleep Medications</b> n=447	<b>BZD Users</b> n=87	<b>No Sleep Medications</b> n=447	<b>BZD Users</b> n=87	
Difficulty initiating sleep, mean (SD)	2.6 (1.5)	2.2 (1.6)	2.3 (1.4)	2.6 (1.6)	0.71
Waking frequently during sleep, mean (SD)	3.7 (1.3)	3.8 (1.3)	3.5 (1.4)	3.3 (1.4)	0.24
Early morning awakening, mean (SD)	2.7 (1.4)	2.6 (1.5)	2.5 (1.5)	2.6 (1.6)	0.17
	<b>No Sleep Medications</b> n=447	<b>Z-drugs + other hypnotics</b> n=151	<b>No Sleep Medications</b> n=447	<b>Z-drugs + other hypnotics</b> n=151	
Difficulty initiating sleep, mean (SD)	2.6 (1.5)	2.7 (1.5)	2.3 (1.4)	2.6 (1.6)	0.12
Waking frequently during sleep, mean (SD)	3.7 (1.3)	3.8 (1.2)	3.5 (1.4)	3.8 (1.4)	0.05
Early morning awakening, mean (SD)	2.7 (1.4)	2.9 (1.5)	2.5 (1.5)	2.8 (1.5)	0.28

Abbreviations: SD, standard deviation; BZD, benzodiazepine; Z-drugs (selective benzodiazepine receptor agonists) include zolpidem, zaleplon, and eszopiclone. Means calculated based on 5-point Likert scale where 1 = no difficulties on any nights, 2 = difficulties on less than 1 night per week, 3 = 1-2 nights per week, 4 = 3-4 nights per week, and 5 = 5-7 nights per week. \*p-values reflect the differences between the sleep medication users and non-users in the change in severity of disturbances between baseline and year one.

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3 Contributorship statement:  
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5 Daniel H. Solomon: Design, analysis, drafting and revising manuscript.

6 Kristine Ruppert: Analysis and revising manuscript.

7 Laurel Habel: Design, analysis and revising manuscript.

8 Joel Finkelstein: Data collection, design, and revising manuscript.

9 Pam Lian: Analysis and revising manuscript.

10 Hadine Joffe: Design and revising manuscript.

11 Howard M. Kravitz: Data collection, design and revising manuscript.  
12  
13

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17

18  
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25

26 Data sharing statement: Data from SWAN are available for qualified researchers.  
27

28  
29 Ethics statement: This protocol was reviewed and approved at each participating SWAN site: University  
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32 Center – 13021201-IRB01-AM04; University of California, Davis – 260339-17; UCLA – 11-002274-AM-  
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Figure 1

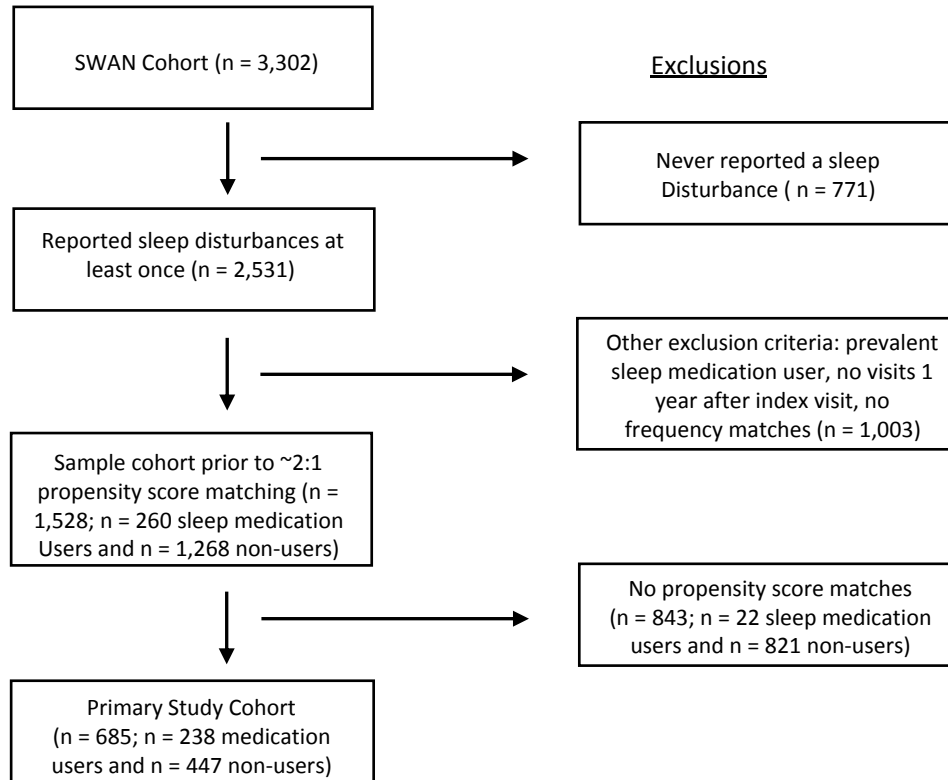
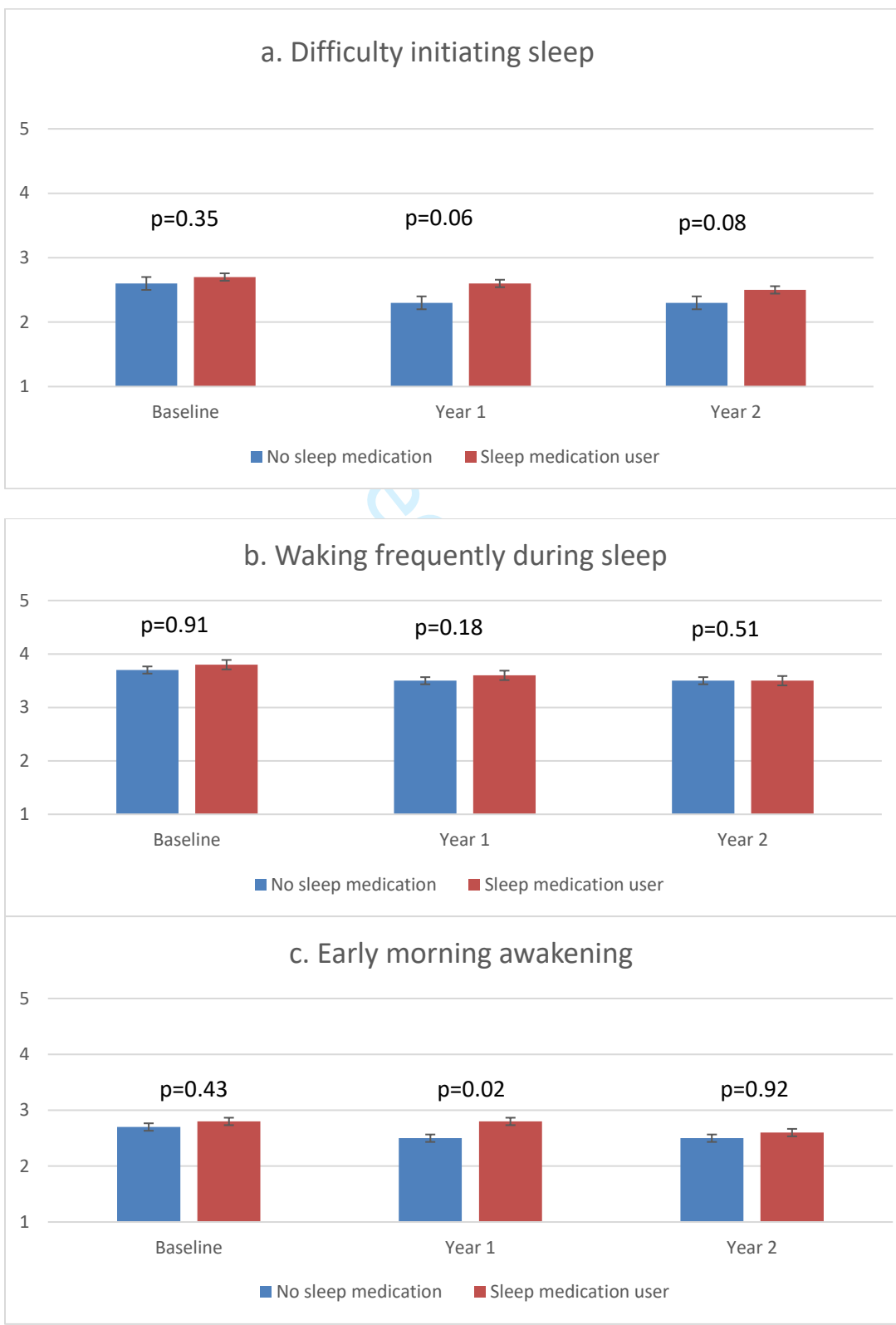


Figure 2



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3 **SUPPLEMENTARY TABLES AND FIGURES**  
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5 **Supplementary Table 1: Design of the Target Trial and the Observational Corollary**  
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Protocol component	Target Trial	Observational Corollary*
Study question	Are sleep medications effective over one year?	Same
Eligible criteria	Adult men and women reporting a sleep disturbance	Women in the SWAN cohort reporting a sleep disturbance
Other selection criteria	No use of sleep medications at baseline (or a sufficient washout period); no obstructive sleep apnea	No use of sleep medications at entry into SWAN; one-year follow-up data
Treatment strategies	Specific medication for sleep at a known effective dosage versus placebo	All known sleep medications at a variety of dosages versus no use of a sleep medication
Treatment assignment procedures	Randomization	Based on clinical evaluation during routine medical visits
Outcome	Sleep disturbance, self-reported and measured; assessed monthly	Self-reported sleep disturbances assessed one- and two-years after baseline
Balancing method	Randomization	Propensity score matching
Causal contrasts of interest	Intention to treat	Same

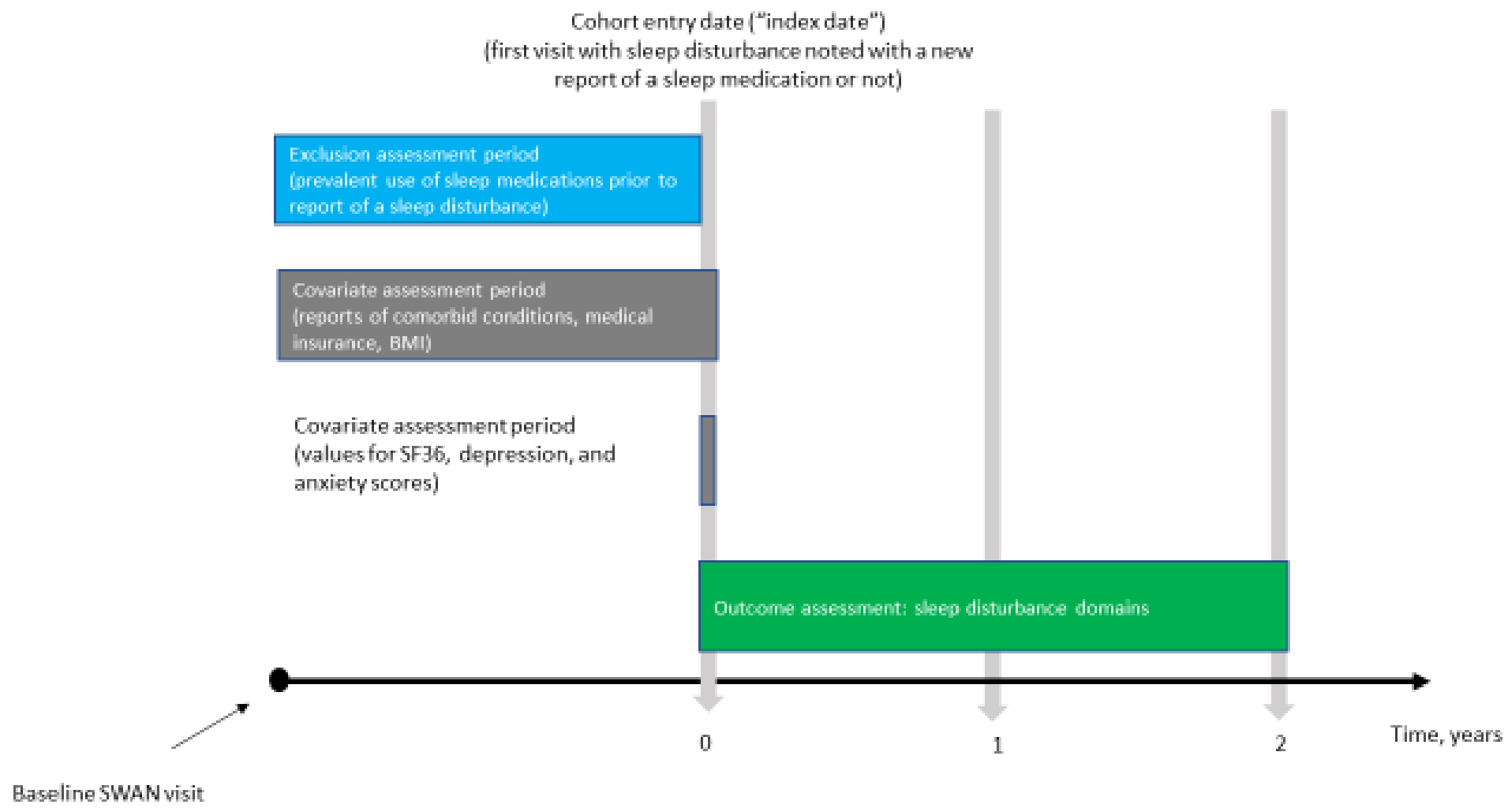
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\*Current study. SWAN, Study of Women Across the Nation.

Based on Hernan and Robins, Am J Epidemiology, 2016;183:758.

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**Supplementary Figure 1: Study Design**





**Supplementary Table 2: Baseline Demographics of Women in SWAN Examined in the Primary Cohort**

	<b>Total N=1528</b>	<b>No Sleep Medication n=1268</b>	<b>Sleep Medication User n=260</b>	<b>SMD</b>
	<i>N (%) unless noted</i>			
Age, mean (SD)	49.8 (8.4)	49.9 (8.5)	49.3 (7.6)	0.08
BMI, mean (SD)	28.8 (7.2)	28.7 (7.1)	29.2 (7.7)	0.07
<b>Educational attainment</b>				
High school or less	319 (20.9)	261 (20.6)	58 (22.3)	0.01
> high school	1201 (78.6)	999 (78.8)	202 (77.7)	
<b>Ethnicity/race</b>				
African American	406 (26.6)	345 (27.2)	61 (23.5)	0.09
White	782 (51.2)	634 (50.0)	148 (56.9)	0.14
Chinese	135 (8.8)	119 (9.4)	16 (6.2)	0.12
Hispanic	44 (2.9)	33 (2.6)	11 (4.2)	0.09
Japanese	161 (10.5)	137 (10.8)	24 (9.2)	0.05
Medical insurance	1438 (94.1)	1186 (93.5)	252 (96.9)	0.16
<b>Marital status</b>				
Single	194 (12.7)	155 (12.2)	39 (15.0)	0.06
Married	991 (64.9)	831 (65.6)	160 (61.5)	0.15
Separated	43 (2.8)	33 (2.6)	10 (3.9)	0.15
Widowed	67 (4.4)	54 (4.3)	13 (5.0)	0.08
Divorced	232 (15.2)	194 (15.3)	38 (14.6)	0.03
<b>Tobacco use</b>				
Never	895 (58.6)	761 (60.0)	113 (43.5)	0.17
Past/Current	629 (41.2)	504 (39.8)	125 (48.1)	
<b>Alcohol use</b>				
None	733 (49.6)	621 (50.7)	112 (44.3)	0.13
<1 drink/week	373 (25.3)	321 (26.2)	52 (20.6)	0.13
1-7 drinks/week	252 (17.1)	190 (15.5)	62 (24.5)	0.23
>7 drinks/week	119 (8.1)	92 (7.5)	27 (10.7)	0.11
Depression (CES-D), mean (SD)	9.4 (9.4)	8.5 (8.6)	14.1 (11.4)	0.57
Anxiety score, mean (SD)	2.4 (2.4)	2.1 (2.2)	3.4 (2.8)	0.49
Body pain, mean (SD)	68.1 (22.4)	69.7 (21.7)	60.1 (24.0)	0.42
SF36-Mental, mean (SD)	49.1 (10.4)	49.9 (9.9)	45.0 (11.5)	0.45
SF36-Physical, mean (SD)	49.7 (9.9)	50.2 (9.5)	47.4 (11.5)	0.27
<b>Menopausal Status</b>				
Unknown	138 (9.0)	98 (7.7)	40 (15.4)	0.24
Pre-menopausal	113 (7.4)	102 (8.0)	11 (4.2)	0.16
Early/Late Peri-menopausal	597 (39.1)	508 (40.1)	89 (34.2)	0.12
Surgical menopause	54 (3.5)	42 (3.3)	12 (34.2)	0.07
Post-menopausal	625 (40.9)	517 (40.8)	108 (41.5)	0.02

Diabetes	152 (10.0)	123 (9.7)	29 (11.2)	0.05
Hypertension	616 (40.3)	488 (38.5)	128 (49.2)	0.22
Osteoarthritis	565 (37.0)	448 (35.3)	117 (45.0)	0.19
Cancer, current	26 (3.5)	12 (1.0)	14 (5.4)	0.16
Any antidepressant	28 (1.8)	11 (0.9)	17 (6.5)	0.30
Any analgesic	72 (4.7)	65 (5.1)	7 (2.7)	0.13

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**Supplementary Table 3: Baseline Demographics of Women in SWAN Who Were Included in the Current Analyses and Women Who Were Not**

	Women Included in Study Cohort N=685	Women not Included in Study Cohort n=1846	SMD
<i>N (%) unless noted</i>			
Age, mean (SD)	46.5 (2.7)	46.3 (2.7)	0.02
BMI, mean (SD)	28.2 (7.4)	28.5 (7.4)	0.03
Educational attainment			0.001
High school or less	141 (20.6)	443 (24.2)	
> high school	542 (79.4)	1387 (75.8)	
Ethnicity/race			0.001
African American	158 (23.1)	564 (30.6)	
White	394 (57.5)	867 (47.0)	
Chinese	45 (6.6)	145 (7.9)	
Hispanic	25 (3.7)	127 (6.9)	
Japanese	63 (9.2)	143 (7.8)	
Medical insurance	657 (95.9)	1696 (92.0)	0.06
Tobacco use			0.16
Never	347 (50.7)	1088 (59.0)	
Past/Current	337 (49.3)	756 (41.0)	
Alcohol use			0.16
None	294 (44.8)	877 (50.2)	
< 1 drink/week	60 (9.1)	179 (10.3)	
1-7 drinks/week	175 (26.6)	469 (28.9)	
>7 drinks/week	128 (19.5)	221 (12.7)	
Depression (CES-D), mean (SD)	12.3 (10.2)	10.7 (9.6)	0.31
Anxiety score, mean (SD)	3.1 (2.7)	2.5 (2.3)	0.30
Body pain, mean (SD)	65.3 (21.5)	68.8 (22.6)	0.27
Menopausal Status			0.05
Unknown	2 (0.3)	3 (0.2)	
Pre-menopausal	315 (46.3)	1023 (55.8)	
Early Peri-menopausal	364 (53.5)	808 (44.1)	
Diabetes	33 (4.8)	90 (4.9)	0.01
Hypertension	160 (23.5)	423 (23.1)	0.07
Osteoarthritis	150 (22.1)	312 (17.1)	0.02

Abbreviations: SMD, standardized mean difference; CES-D, Center for Epidemiologic Studies Depression Scale; BMI, Body Mass Index; SF36 Mental, Mental Component Score; and SF36 Physical, Physical Component Score.

**Supplementary Table 4: Change in severity of sleep disturbances from baseline to year 1 for those propensity score matched at baseline minus 1 year**

	Index Visit No Meds n=477		Index Visit Med Users n=253		Visit 1 year after No Meds n=477		Visit 1 year after Med Users n=253		Visit 2 year after No Meds n=361		Visit 2 year after Med Users n=197		P-value
	n	%	n	%	n	%	n	%	n	%	n	%	
<b>Difficulty initiating sleep</b>													0.17 <sup>1</sup>
													0.11 <sup>2</sup>
													0.83 <sup>3</sup>
1	188	39.4%	81	32.0%	199	41.7%	96	37.9%	150	41.6%	71	36.0%	
2	87	18.2%	31	12.3%	90	18.9%	31	12.3%	74	20.5%	34	17.3%	
3	98	20.5%	44	17.4%	90	18.9%	41	16.2%	72	19.9%	35	17.8%	
4	57	11.9%	42	16.6%	47	9.9%	38	15.0%	28	7.8%	18	9.1%	
5	47	9.9%	55	21.7%	51	10.7%	47	18.6%	37	10.2%	39	19.8%	
<b>Waking frequently during sleep</b>													0.55 <sup>1</sup>
													0.14 <sup>2</sup>
													0.31 <sup>3</sup>
1	58	12.2%	20	7.9%	78	16.4%	35	13.8%	60	16.6%	25	12.7%	
2	66	13.8%	23	9.1%	67	14.0%	27	10.7%	49	13.6%	25	12.7%	
3	102	21.4%	37	14.6%	96	20.1%	38	15.0%	75	20.8%	38	19.3%	
4	97	20.3%	73	28.9%	95	19.9%	52	20.6%	65	18.0%	41	20.8%	
5	154	32.3%	100	39.5%	141	29.6%	101	39.9%	112	31.0%	68	34.5%	
<b>Early morning awakening</b>													0.82 <sup>1</sup>
													0.02 <sup>2</sup>
													0.02 <sup>3</sup>
1	161	33.8%	69	27.3%	192	40.3%	77	30.4%	135	37.4%	73	37.1%	
2	105	22.0%	37	14.6%	94	19.7%	50	19.8%	75	20.8%	32	16.2%	
3	100	21.0%	53	20.9%	77	16.1%	37	14.6%	69	19.1%	37	18.8%	
4	66	13.8%	45	17.8%	62	13.0%	34	13.4%	39	10.8%	21	10.7%	
5	45	9.4%	49	19.4%	52	10.9%	55	21.7%	43	11.9%	34	17.3%	
<b>Any Complaint of 3 or more times week</b>													0.10 <sup>1</sup>
													0.04 <sup>2</sup>
Yes	279	58.5%	198	78.3%	264	55.3%	172	68.0%	197	54.6%	128	65.0%	0.53 <sup>3</sup>

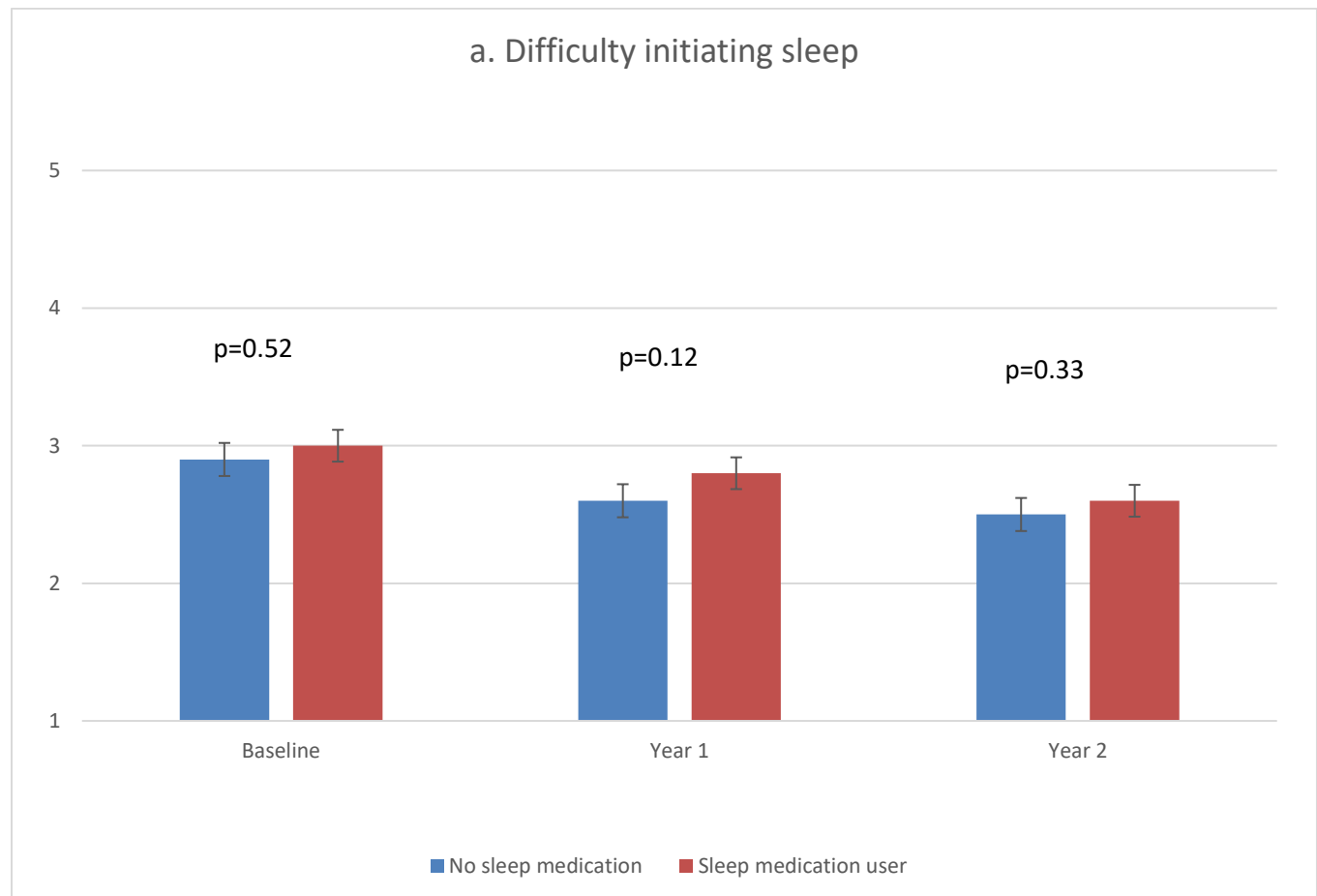
5-point Likert scale where 1 = no difficulties on any nights, 2 = difficulties on less <1 night/week, 3 = 1-2 nights per week, 4 = 3-4 nights per week, and 5 = 5-7 nights per week.

\*p-values reflect change in severity of disturbances: <sup>1</sup>=baseline vs visit 1, <sup>2</sup>= baseline vs visit 2, <sup>3</sup>= visit 1 vs. visit 2.

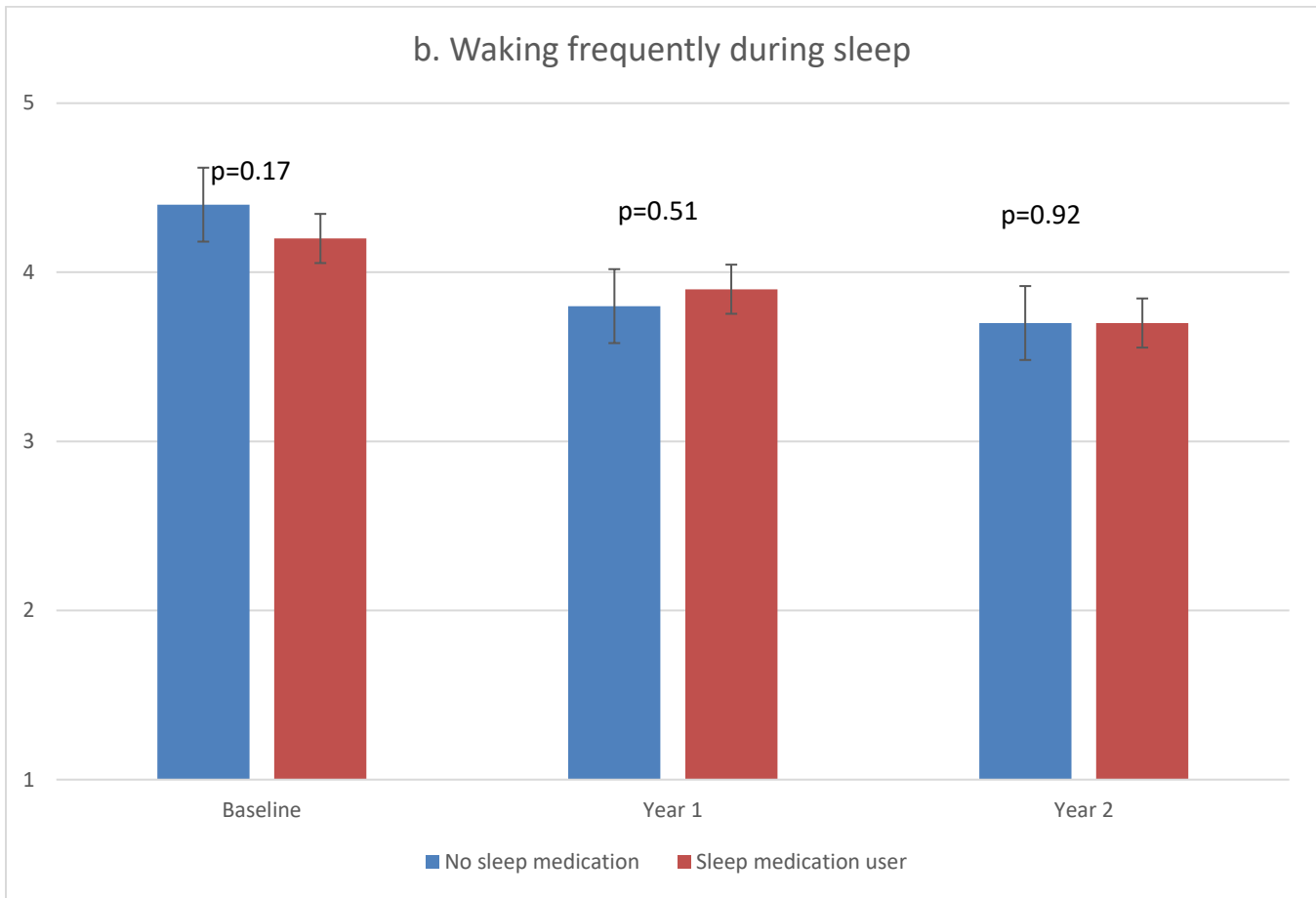
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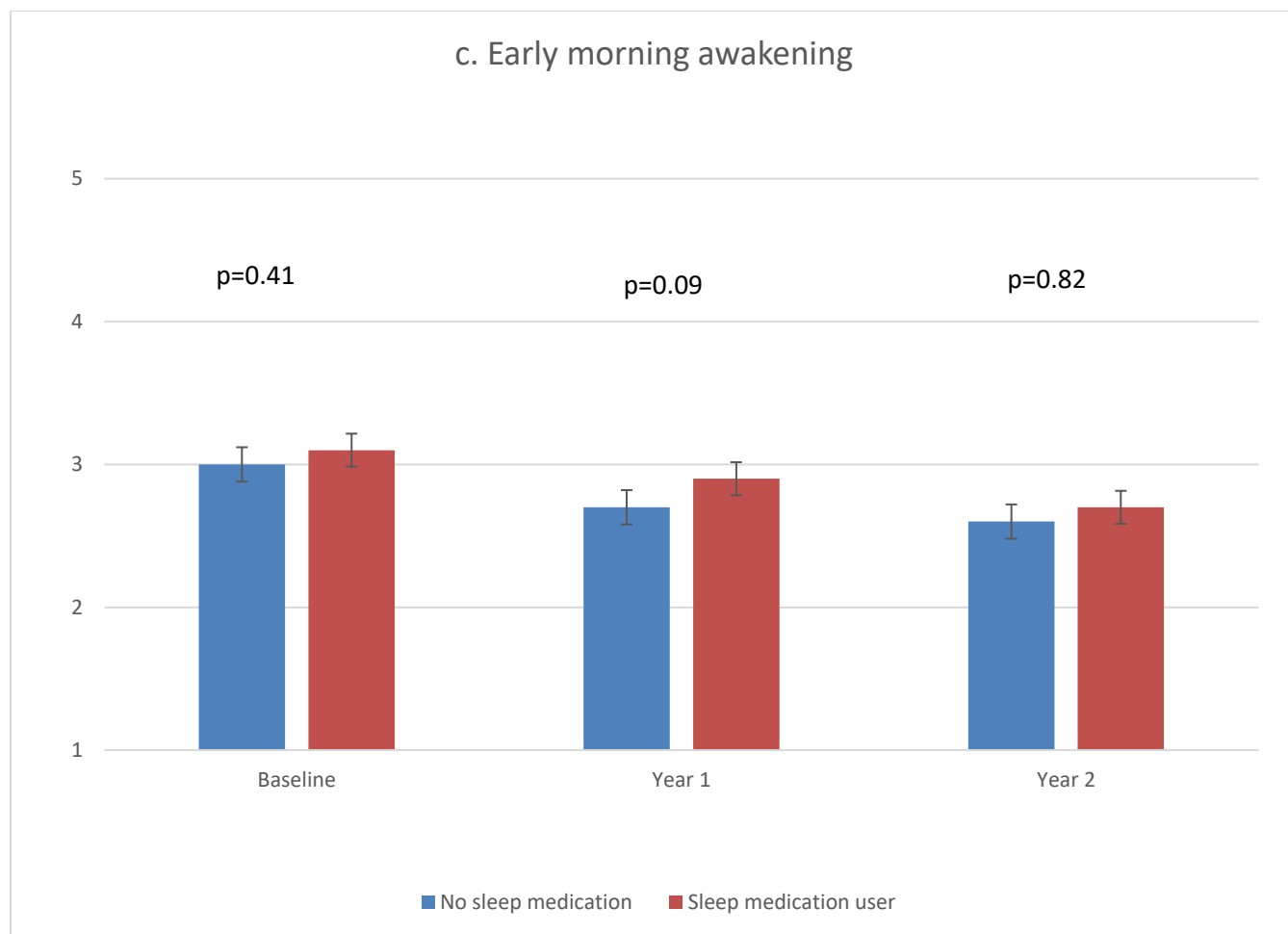
**Supplementary Figure 2. Sleep Disturbance Ratings by Medication Exposure During Follow-up  
In women who reported a 4 or 5 on any severity scale**

**Legend:** Means calculated based on 5-point Likert scale where 1 = no difficulties on any nights, 2 = difficulties on <1 night/week, 3 = 1-2 nights per week, 4 = 3-4 nights per week, and 5 = 5-7 nights per week. Error bars represent standard errors. P-values estimated from the Wilcoxon Rank Sum test.



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**Supplementary Table 5: Change in severity of sleep disturbances from baseline to year 1 using a proportional odds analysis**

	Year 0 versus Year 1	Year 1 versus Year 2	Year 0 versus Year 2
	Odds ratio (95% CI) comparing sleep medication users with non-users		
Difficulty initiating sleep	0.89 (0.58 – 1.30)	0.72 (0.42 – 1.30)	0.80 (0.61 – 1.00)
Waking frequently	0.92 (0.58 – 1.40)	1.44 (0.82 – 2.50)	1.20 (0.90 – 1.50)
Early morning awakening	0.75 (0.49 – 1.20)	1.20 (0.67 – 2.00)	0.94 (0.72 – 1.20)

Notes: The odds ratios represent the odds of a one level increase in the Likert scale, comparing sleep medication users to non-users.

**Supplementary Table 6: Original models additionally adjusted for site and estrogen use**

	Year 0 vs Year 1		Year 0 vs Year 2		Year 1 vs Year 2	
	Estimate	P-value	Estimate	P-value	Estimate	P-value
Difficulty initiating sleep	-0.15	0.3	-0.18	0.04	-0.24	0.21
Waking Frequently	-0.03	0.83	0.11	0.22	0.21	0.02
Early morning awakening	-0.19	0.22	-0.12	0.17	-0.07	0.45

The significant p-value in Year 0 vs Year 2 shows that the non users decreased by .12 and the med users increased by .06. The significant p-value in Year 1 vs Year 2 shows that non users decreased by .004 and the med users decreased by .22.